



STUDY PROTOCOL

Rationale, Design, and Methods of the Study of Comparison of Canagliflozin vs. Teneligliptin Against Basic Metabolic Risks in Patients with Type 2 Diabetes Mellitus (CANTABILE study): Protocol for a Randomized, Parallel-Group Comparison Trial

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ABSTRACT

Background: Dipeptidyl peptidase-4 (DPP-4) inhibitors and sodium-glucose co-transporter 2 (SGLT2) inhibitors are widely used antidiabetic drugs. However, to date, no studies have directly compared the effects of these two drugs on the components of the metabolic syndrome in patients with type 2 diabetes mellitus (T2DM).

Objectives: The Comparison of Canagliflozin vs. Teneligliptin against Basic Metabolic Risks in

Patients with T2DM (CANTABILE) study aims to examine whether the DPP-4 inhibitor (teneligliptin) or the SGLT2 inhibitor (canagliflozin) is the more effective drug for reducing metabolic risk factors as a composite in Japanese patients with T2DM.

Methods: The CANTABILE study is a prospective, multicenter, open-label, randomized, parallel-group comparison study. A total of 200 patients with T2DM treated with metformin alone or without glucose-lowering agents will be enrolled if they have one or more of the metabolic risk factors, such as obesity, borderline high blood pressure, and dyslipidemia. They will then be randomized into the Teneligliptin group or the Canagliflozin group and treated for 24 weeks. The primary endpoint is the composite ratio of subjects with one or more improved metabolic risk factors. The

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secondary endpoints are the changes in each component of the primary endpoint.

Planned outcomes: The CANTABILE study provides valuable evidence to indicate the suitability of SGLT2 inhibitors or DPP-4 inhibitors for Japanese patients with T2DM and metabolic risks.

Trial Registration Number: University Hospital Medical Information Network Clinical Trial Registry number: UMIN000030343.

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Keywords: Canagliflozin; Metabolic syndrome; Tenzeligliptin; Type 2 diabetes mellitus; Risk factors

Key Summary Points

Why carry out this study?

Dipeptidyl peptidase-4 (DPP-4) inhibitors and sodium-glucose co-transporter 2 (SGLT2) inhibitors are widely-used antidiabetic drugs.

However, no studies have directly compared the effects of these two drugs on the components of the metabolic syndrome in patients with type 2 diabetes mellitus (T2DM).

The objectives of this study is to examine whether the DPP-4 inhibitor (Tenzeligliptin) or the SGLT2 inhibitor (Canagliflozin) is more effective for the reduction of metabolic risk factors as a composite in Japanese patients with T2DM.

What will be learned from the study?

This study is a prospective, multicenter, open-label, randomized, parallel group, comparison study.

This study will provide valuable evidence to indicate the suitability of SGLT2 inhibitors or DPP-4 inhibitors for Japanese patients with T2DM and metabolic risks.

INTRODUCTION

Type 2 diabetes mellitus (T2DM) is associated with multiple vascular complications and represents one of the major threats worldwide to life expectancy and quality of life [1]. Therefore, rigorous efforts have been made to lower blood glucose levels and prevent diabetic complications. Randomized controlled trials have consistently shown that the reduction of glycated hemoglobin (HbA1c) levels does not effectively decrease the risk for cardiovascular events, although it does prevent microvascular events [2–5].

In contrast with studies that have aimed to achieve intensive glycemic control, trials with the target of simultaneously controlling glucose, blood pressure, and lipids have shown that multifactorial interventions can reduce cardiovascular complications and mortality in patients with T2DM [6, 7]. When these metabolic risk factors cluster in a single person, as often happens, the risk for cardiovascular events is synergistically, rather than additively, enhanced [8]. In one study, a higher prevalence of metabolic syndrome was found in Japanese patients with T2DM [9]; in addition, the Casale Monferrato Study reported a higher cardiovascular risk in patients with T2DM with at least one component of metabolic syndrome [10]. These findings indicate that it is plausible to concomitantly control metabolic risk factors during the treatment of patients with T2DM.

Recent years have witnessed the appearance of many new classes of antidiabetic drugs that have provided more options in the pharmacotherapy of diabetes mellitus. Groundbreaking prospective studies have very recently shown that sodium-glucose co-transporter 2 (SGLT2) inhibitors [11–13] and glucagon-like peptide-1 (GLP-1) agonists [14, 15] significantly reduce cardiovascular risk. Although it remains uncertain whether positive results in these studies reflect the multifactorial effect of these drugs, diabetes treatment has entered into a new era, where the choice of drug is more important than the actual lowering of blood glucose levels. However, participants enrolled in these above-mentioned prospective studies were either those

with cardiovascular disease already present [11, 12] or those at extreme risk for developing cardiovascular disease [12, 13].

Dipeptidyl peptidase-4 (DPP-4) inhibitors are incretin-related drugs that exert glucose-lowering effects with a low risk of hypoglycemia [16]. A meta-analysis of 55 clinical trials showed that DPP-4 inhibitors exhibit a stronger glucose-lowering effect in Asians than in other ethnic groups [17]. Accordingly, since their launch in 2009 in Japan, DPP-4 inhibitors have been established as one of the most frequently used antidiabetic drugs in Japan [18]. Although some studies have shown the beneficial effects of DPP-4 inhibitors in the prevention of the progression of atherosclerotic lesions [19, 20], DPP-4 inhibitors are generally thought to have neutral effects on cardiovascular events [18]. However, analysis of the overall effects of DPP-4 inhibitors on components of the metabolic syndrome that constitute the metabolic risk complex in diabetic patients has not yet been undertaken.

SGLT2 inhibitors are a relatively new class of antidiabetic drug that lead to a continuous excretion of glucose in the urine [21], which results in body weight loss and reduced blood glucose levels. Recent large-scale clinical trials have reported that SGLT2 inhibitors decrease cardiovascular events in patients with T2DM with high cardiovascular risk [11, 12], but the effects of SGLT2 inhibitors on early-phase patients with T2DM accompanied with multiple metabolic risks have not been clarified.

Based on this background, the aim of the CANTABILE (Comparison of Canagliflozin vs. Tenzeligliptin against Basic Metabolic Risks in Patients with T2DM) study is to examine whether the DPP-4 inhibitor (teneligliptin) or the SGLT2 inhibitor (canagliflozin) is the more effective drug for reducing metabolic risk components as a composite in Japanese patients with T2DM. The study is so designed that its results are expected to address the as-yet unanswered question of how these two drugs can properly be used in relatively low-risk, early-phase patients who are drug naive or receive metformin monotherapy.

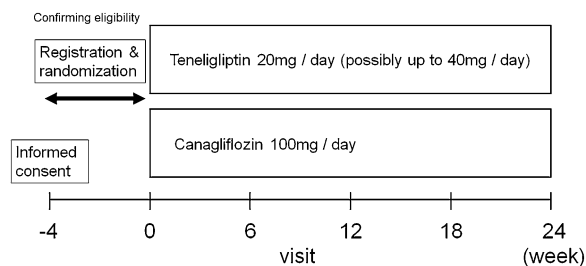


Fig. 1 Study design. A total of 200 patients with type 2 diabetes mellitus (100 patients/group) will participate in this study. After the confirmation of eligibility by a central managing modality, the enrolled patients will be randomly assigned to canagliflozin or teneligliptin therapy. In the canagliflozin group, 100 mg canagliflozin will be orally administered once per day. In the teneligliptin group, 20 mg Teneligliptin will be orally administered once per day. If necessary, the teneligliptin dose can be increased to up to 40 mg per day

METHODS

Study Design

This is a prospective, multicenter, open-label, randomized, parallel-group comparison study. It is an exploratory study to compare the influence of canagliflozin/teneligliptin treatment on the rate of improvement in metabolic risk factors after 24 weeks of treatment (Fig. 1). We define the metabolic risks as obesity, borderline-high blood pressure, and dyslipidemia. The cutoff values of each risk factor are to be determined according to the Japanese criteria for the diagnosis of metabolic syndrome [22]. The qualifying patients are to be randomly assigned to canagliflozin or teneligliptin therapy (see section “Treatments”). A glycemic (HbA1c) goal is set as < 53 mmol/mol (7.0%). In principle, diet, exercise therapy, and cardiovascular medications ongoing prior to the study will continue without modification. The changes in body weight, blood pressure, and triglyceride (TG) and high-density lipoprotein-cholesterol (HDL-C) levels will be evaluated after 6, 12, 18, and 24 weeks of treatment.

This study will be conducted at 38 institutions in Japan in compliance with both the articles of the Declaration of Helsinki (revised in

Table 1 Primary and secondary endpoints of the CANTABILE study

Primary endpoint	Secondary endpoints
Composite ratio of subjects with improvement in ≥ 1 of the following metabolic risk factors:	1. The ratio of the number of participants who meet the primary criteria consisting of 1, 2, and 3 listed in the primary endpoint
1. BMI ≥ 25 kg/m ² at week 0 of treatment and $\geq 3\%$ weight loss after 24 weeks of treatment	2. HbA1c change from baseline
2. SBP ≥ 130 mmHg or DBP ≥ 85 mmHg at week 0, and SBP < 130 mmHg and DBP < 85 mmHg after 24 weeks of treatment	3. Fasting blood glucose change from baseline
3. Fasting TG ≥ 1.69 mmol/L or HDL-C < 1.03 mmol/L at week 0, and fasting TG of < 1.69 mmol/L and HDL-C ≥ 1.03 mmol/L after 24 weeks of treatment	4. Achievement ratio of HbA1c < 42 mmol/mol (6.0%), and achievement ratio of HbA1c < 53 mmol/mol (7.0%)
	4. Achievement ratio of HbA1c < 42 mmol/mol (6.0%), and achievement ratio of HbA1c < 53 mmol/mol (7.0%)
	5. Achievement ratio of $\geq 3\%$ weight loss, and achievement ratio of $\geq 5\%$ weight loss
	6. Changes from baseline in waist circumference, BMI, and body weight
	7. Changes from baseline in HDL-C and fasting TG
	8. Changes from baseline in blood pressure (SBP and DBP)

BMI Body mass index, *DBP* diastolic blood pressure, *HbA1c* glycated hemoglobin, *HDL-C* high-density lipoprotein cholesterol, *SBP* systolic blood pressure, *TG* triglyceride

October 2013) and the Ethical Guidelines for Medical and Health Research Involving Human Subjects established by the Ministry of Health, Labor, and Welfare in Japan. Independent ethics committees at each institute approved the study protocol. The registration period is from 1 October 2017 to 31 March 2019, and the research period is from 1 October 2017 to 30 September 2020. In accordance with the law for clinical research in Japan in April 2018, the protocol of this study underwent reexamination and was approved by the Nara Medical University Certified Review Board (approval number: nara0002).

Planned Outcomes

Primary Endpoint

The primary endpoint is the composite ratio of subjects with improvement in one or more of the following metabolic risk factors (Table 1):

- BMI ≥ 25 kg/m² at week 0 of treatment and $\geq 3\%$ weight loss after 24 weeks of treatment.
- Systolic blood pressure (SBP) ≥ 130 mmHg or diastolic blood pressure (DBP) ≥ 85 mmHg at week 0, and SBP < 130 mmHg and DBP < 85 mmHg after 24 weeks of treatment.
- Fasting TG ≥ 1.69 mmol/L or HDL-C < 1.03 mmol/L at week 0, and fasting TG of < 1.69 mmol/L and HDL-C ≥ 1.03 mmol/L after 24 weeks of treatment.

Secondary Endpoints

The secondary endpoints are listed in Table 1.

- Safety endpoints: Data on the clinical investigation results, vital sign measurements, and adverse events (AEs) will be collected at each visit. The severity and causal

Table 2 Inclusion and exclusion criteria of the CANTABILE study

Inclusion criteria	Exclusion criteria
1. Patients providing written informed consent to participate in the study	1. Type 1 diabetes mellitus
2. Patients aged ≥ 20 and < 85 years (independent of sex)	2. BMI < 22 kg/m ²
3. HbA1c ≥ 53 mmol/mol (7.0%) and < 86 mmol/mol (10.0%)	3. Hypersensitivity to contents of teneligliptin or canagliflozin
4. Patients who have at least one of following metabolic risk factors:	4. Require insulin therapy for blood glucose management
a) BMI ≥ 25 kg/m ²	5. Congestive heart failure (NYHA III or IV)
b) SBP ≥ 130 mmHg or DBP ≥ 85 mmHg	6. Women who are pregnant, breast-feeding, or may be pregnant
c) TG ≥ 1.69 mmol/L or HDL-C < 1.03 mmol/L	7. Diagnosed or suspected malignant tumors
5. Patients who have not changed the antidiabetic therapy as specified for at least 8 weeks before the date of informed consent:	8. Taking unallowed medications or undertaking unallowed therapy as defined in the study protocol during the preceding 8 weeks
a) Diet therapy and/or exercise therapy with metformin monotherapy	<u>Contraindicated concomitant medications:</u> insulin, sulfonylurea, glinide, alpha-glucosidase inhibitor, biguanide (exception of metformin), thiazolidine, DPP-4 inhibitor, SGLT2 inhibitor, GLP-1 receptor agonist, combination products including these active components, and corticosteroid for systemic effects.
b) Diet therapy and/or exercise therapy with no antidiabetic medications	<u>Contraindicated concomitant therapies:</u> bariatric surgery
	9. Changes in dosage of concomitantly administered drugs or therapy content during the preceding 8 weeks
	<u>Limited concomitant medications:</u> metformin hydrochloride, antihypertensives, diuretics, medicines for dyslipidemia, and medicines for obesity, including the Chinese medicine “bouhuutsuushousan”
	<u>Limited concomitant therapies:</u> diet therapy, exercise therapy
	10. Patients who were judged by the investigator to be inappropriate for the study

DDP-4 Dipeptidyl peptidase 4, *GLP-1* glucagon-like peptide-1, *NYHA* New York Heart Association functional classification, *SGLT2* sodium-glucose transport protein 2

Table 3 Rules for concomitant medications

Concomitant medications	
Contraindicated concomitant medications^a	Continued concomitant medications^b
1. Insulin	1. Metformin hydrochloride
2. Sulfonylurea	2. Antihypertensives
3. Glinide	3. Diuretics
4. Alpha-glucosidase inhibitor	4. Medicines for dyslipidemia
5. Biguanide (exception of metformin)	5. Medicines for obesity, including the Chinese medicine “Bouhuutsuushousan”
6. Thiazolidine	
7. DPP-4 inhibitor (with the exception of TENELIA [®] tablets 20 mg for the Teneligliptin group during the study)	
8. SGLT2 inhibitor (with the exception of CANAGLU [®] tablets 100 mg for the Canagliflozin group during the study)	
9. GLP-1 receptor agonist	
10. Combination products that include active components (2) to (9) mentioned above	
11. Corticosteroids	
12. Bariatric surgery (gastric bypass surgery, gastric banding surgery, and intra-gastric balloon placement)	

^a Contraindicated from at least 8 weeks before the date of informed consent until week 24 of treatment

^b Continued without modification from at least 8 weeks before the date of informed consent until week 24 of treatment

relationship of all AEs to the study drug and outcomes of all AEs will be assessed.

- Other confirmation items: The results of the Framingham Risk Score and of the brief-type self-administered diet history questionnaire (BDHQ) will be used as additional information for further discussion.

Study Population

In total, 200 patients with T2DM will participate in this study. The participants in the study must have insufficient glycemic control under diet and exercise regimens and/or treatment with or without metformin. Patients will be considered eligible for the study if they fulfil all of the inclusion criteria and none of the exclusion criteria, as defined in Table 2. The investigator will provide a sufficient explanation of the

study to each participant and obtain written informed consent.

Randomization

The registration and allocation of the study participants will be performed by the central registration modality using an electronic data capture (EDC) system. After confirmation of the eligibility of the participants, the investigator will input the participant's data into the EDC system, and if the eligibility is confirmed, the participant's identification code will be assigned. Participants whose eligibility has been confirmed will be dynamically assigned to either the Teneligliptin group or the Canagliflozin group by using the following assignment factors: HbA1c on the date of consent, fasting TG, BMI, SBP, and whether or not metformin was administered.

Treatments

In the Canagliflozin group, 100 mg canagliflozin (CANAGLU[®] tablets 100 mg; Mitsubishi Tanabe Pharma) will be orally administered in addition to the participant's ongoing diabetic treatment. In the Tenelegliptin group, 20 mg tenelegliptin (TENELIA[®] tablets 20 mg; Mitsubishi Tanabe Pharma) will be orally administered in addition to the participant's ongoing diabetic treatment; if necessary, the tenelegliptin dose can be increased to up to 40 mg per day. The concomitant diet and exercise therapies ongoing prior to the study will continue without modification from at least 8 weeks before the date of informed consent until week 24 of treatment. The rules for concomitant medications are detailed in Table 3.

Measurements

The schedule of data collection is detailed in Table 4.

Sample Size Calculation

Based on the results of previous clinical trials on canagliflozin and tenelegliptin, if the rate of primary endpoint of canagliflozin and tenelegliptin achieved was 65:40% or 70:45%, the sample size required to detect this difference using a Chi-squared test ($1-\beta = 0.9$, $\alpha = 0.05$ two-sided) is estimated to be 81–82 cases per group. Taking into consideration a 10% dropout rate during the study, the target number of patients is set at 90–92 in each group for a total of 180–184 patients.

Data Analysis

Analysis Populations

For the evaluation of efficacy, two analysis groups will be defined: a full analysis set (FAS) and a per protocol set (PPS), with FAS to be used for the primary analysis. The inclusion of patients into each analysis set (FAS, PPS, and safety analysis set [SAS]) will be performed

under circumstances that mask the medications being studied.

- The FAS is defined as the participant population registered with informed consent and with a measured value of the factors of the primary endpoint (body weight, SBP, DBP, fasting TG, and HDL-C) at baseline and for at least one time point during the treatment period.
- The PPS is defined as the participant population excluded from the FAS for any of the following:
 - (a) violation of safety or human rights-related exclusion criteria, meeting criteria for study discontinuation.
 - (b) non-compliance with allocated drugs, violation of effectiveness-related inclusion criteria, administration of prohibited treatment, study drug compliance rate < 70%, or treatment period < 18 weeks.
- The SAS will include patients for whom safety evaluation data were collected after the start of study treatment.

Statistical Methods

The pooled patients receiving the doses of 20 and 40 mg tenelegliptin, respectively, are defined as the Tenelegliptin group. The summary statistics and frequency of each category for categorical factors will be calculated for each baseline value and for body weight, SBP, DBP, TG, and HDL-C at week 24 in both groups. For the changes in body weight, SBP, DBP, TG, and HDL-C level from baseline, the least-squares mean (LS-MEAN) difference and the 95% confidence interval (95% CI) will be calculated to compare the groups, using analysis of covariance (ANCOVA), with the baseline value as a covariate. The difference in rate will also be tested. Subgroup analysis will be performed to assess the factors influencing the primary endpoint. For the continuous variables of the secondary endpoints, summary statistics will be calculated for each measurement value and the

Table 4 Schedule of enrollment, interventions, and assessments (SPIRIT flow diagram)

TIMEPOINT	STUDY PERIOD						Close-out
	Enrolment	Allocation	Post-allocation				
	-4W	0	6W	12W	18W	24W	
ENROLMENT:							
Eligibility screen	X						
Informed consent	X						
Allocation		X					
INTERVENTIONS:							
Teneligliptin			←————→				
Canagliflozin			←————→				
ASSESSMENTS:							
participant characteristics*	X						
vital signs**	X	X	X	X	X	X	X
blood test***	X	X	X	X	X	X	X
urine test****		X	X	X	X	X	X
questionnaires	alcohol/ smoking	X					
	diet / exercise therapy		X	X	X	X	X
	research drugs			X	X	X	X
	BDHQ		X			X	X

*Participant characteristics include as follows; sex, age, duration of diabetes mellitus, concomitant medications

**Vital signs include as follows; blood pressure, pulse rate, height, body weight, BMI, waist circumference

***Blood test include the following items; HbA1c, fasting triglyceride, HDL-C, fasting blood glucose, white blood cell count, red blood cell count, hemoglobin content, hematocrit, MCV, MCH, MCHC, platelet count, total protein, albumin, blood urea nitrogen, serum creatinine, serum uric acid, eGFR, AST, ALT, ALP, γ -GTP, TC, LDL-C, CK, Na, K, Cl, Ca, P, fasting insulin, blood ketone body fractions

****Urine test includes the following items; qualitative test (protein, glucose, urobilinogen, bilirubin, ketone body, occult blood), specific gravity, pH

changes from the baseline. The value of HbA1c is placed in a contingency table by the cutoff value (42 mmol/mol [6.0%], 53 mmol/mol [7.0%]), and each frequency, percentage, and 95% CI will be calculated. For the intergroup comparison of the continuous variables, the difference in the LS-MEAN and the 95% CI will be estimated by using ANCOVA with the baseline value as a covariate. Appropriate data conversion will be applied to data for which normal distribution is not observed. The significance level for statistical tests shall be 0.05 on both sides.

STRENGTHS AND LIMITATIONS

In the study described here, we will compare the effect of canagliflozin and teneligliptin on the improvement in metabolic risk factors after 24 weeks of treatment. The primary endpoint is the rate of improvement in one or more of the following three metabolic factors: (1) BMI > 25; (2) SBP \geq 130 mmHg or DBP \geq 85 mmHg; (3) TG \geq 1.69 mmol/L or HDL-C < 1.03 mmol/L.

Previous studies that investigated whether better glycemic control would reduce the risk of the cardiovascular complications of diabetes mellitus, such as myocardial infarction, have reported unfavorable results [23]. These clinical trials, including the United Kingdom Prospective Diabetes Study (UKPDS) [2], the Action to Control Cardiovascular Risk in Diabetes (ACCORD) study [3], the Veterans Affairs Diabetes Trial (VADT) [4], and the Action in Diabetes and Vascular Disease: Preterax and Diamicon Modified Release Controlled Evaluation (ADVANCE) study [5], have found only limited, if any, correlation between glycemic control and diabetic cardiovascular events. The ACCORD study [3] even showed that the use of intensive therapy to achieve normal glycated hemoglobin levels increased mortality and did not significantly reduce major cardiovascular events. In contrast, the trials with multifactorial interventions, such as the STENO-2 study [6], found reduced cardiovascular complications and mortality in patients with T2DM, and the J-DOIT3 study [7] identified similar tendencies in the Japanese population. These data indicate

that the control of comorbid risk factors is recommended in addition to glucose-lowering therapy for the treatment of diabetes mellitus.

SGLT2 inhibitors are a unique class of antidiabetic drugs that mainly act on renal proximal tubule cells and inhibit the SGLT2-dependent reabsorption of glucose [21]. Recent prospective large clinical trials have demonstrated the beneficial effects of this class of medications on cardiovascular events as a composite outcome [11–13]. However, these trials mainly enrolled patients with T2DM who were taking multiple medications. In the EMPA-REG OUTCOME study [11], 73, 43, and 49% of participants received metformin, sulfonylurea, and insulin, respectively; in the CANVAS study [12], the proportion of patients receiving each drug was 77, 44, and 50%; and in the DECLARE-TIMI 58 study, 82, 43, and 41% of patients received each drug, respectively [13]. Thus, it is still unclear which effects SGLT2 inhibitors have on patients with T2DM who are either drug naive or only taking metformin in terms of primary prevention in the earlier phase of disease.

DPP-4 is a circulating enzyme that plays a role in the degradation of GLP-1 and glucose-dependent insulinotropic peptide (GIP). DPP-4 inhibition, which enhances the concentration of GLP-1 and GIP, leads to the amplification of hyperglycemia-induced insulin secretion and eventually lowers blood glucose levels [16]. As neither GLP-1 nor GIP exerts its effect in hypoglycemia, DPP-4 inhibitors are generally accepted as “safe” drugs, with a low risk of hypoglycemia, especially when used as monotherapy [24].

In a retrospective registry study in Taiwan, patients with T2DM prescribed DPP-4 inhibitors were found to have a reduced risk of coronary heart disease, cerebral infarction, and overall mortality compared with those not treated with DPP-4 inhibitors [25]. It is generally accepted that DPP-4 inhibitors have a neutral effect on body weight, but they may also have beneficial effects on some metabolic disorders, such as hypertriglyceridemia [26] and hepatic steatosis [27], and they may exert anti-inflammatory effects in macrophages [28]. However, the analysis of the effects of DPP-4 inhibitors on the metabolic risks as a whole has not yet been undertaken. Considering the observed beneficial effects of DPP-4

inhibitors on the progression of atherosclerosis of patients without cardiovascular diseases [19], it is tempting to speculate that the effect of DPP-4 inhibitors on cardiovascular risk may be more prominent in the earlier phase of vascular diseases. Prospective studies examining late-stage endpoints, such as heart failure, myocardial infarction, stroke, or death, may not be suitable for the observation of drug effects on the earlier, more metabolic stages of the cardiovascular diseases. In this context, it is of interest to examine the potential effects of DPP-4 inhibitors on metabolic risk reduction, an earlier phase endpoint in drug-naïve patients or patients receiving metformin monotherapy in this study.

There are several criteria for obesity, hypertension, and dyslipidemia. For elevated blood pressure and dyslipidemia, we used the cutoff values for the Japanese criteria for the diagnosis of the metabolic syndrome [22]. For obesity, we used the Japanese cutoff value for obesity, which differs from that of the World Health Organization (WHO) [29] but is in accordance with the suggested criteria for Asian population by the WHO expert consultant panel [30].

Although this study has several limitations, including a short observation period, a small number of patients, and an open-label modality, it will provide valuable evidence by which to identify the suitability of SGLT2 inhibitors or DPP-4 inhibitors for Japanese patients with T2DM and components of the metabolic syndrome and potentially also for the patients of East Asian origin.

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Compliance with Ethics Guidelines. In accordance with the law for clinical research in Japan, the protocol of this study was approved by the Nara Medical University Certified Review Board (Approval Number; nara0002). This study is conducted in compliance with the articles of the Declaration of Helsinki (revised in October 2013) and in accordance with the Ethical Guidelines for Medical and Health Research Involving Human Subjects established by the Ministry of Health, Labor, and Welfare in Japan. This study is also conducted in accordance with the law for clinical research in Japan (the Clinical Trials Act). In accordance with the Japanese Clinical Trials Act, this study is also registered in the Japan Registry of Clinical Trials (jRCTs051180112).

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