





HDAC6 Modulates Signaling Pathways Relevant to Synaptic Biology and Neuronal Differentiation in Human Stem Cell-Derived Neurons

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Abstract: Recent studies show that histone deacetylase 6 (HDAC6) has important roles in the human brain, especially in the context of a number of nervous system disorders. Animal models of neurodevelopmental, neurodegenerative, and neuropsychiatric disorders show that HDAC6 modulates important biological processes relevant to disease biology. Pan-selective histone deacetylase (HDAC) inhibitors had been studied in animal behavioral assays and shown to induce synaptogenesis in rodent neuronal cultures. While most studies of HDACs in the nervous system have focused on class I HDACs located in the nucleus (e.g., HDACs 1,2,3), recent findings in rodent models suggest that the cytoplasmic class IIb HDAC, HDAC6, plays an important role in regulating mood-related behaviors. Human studies suggest a significant role for synaptic dysfunction in the prefrontal cortex (PFC) and hippocampus in depression. Studies of HDAC inhibitors (HDACi) in human neuronal cells show that HDAC6 inhibitors (HDAC6i) increase the acetylation of specific lysine residues in proteins involved in synaptogenesis. This has led to the hypothesis that HDAC6i may modulate synaptic biology not through effects on the acetylation of histones, but by regulating acetylation of non-histone proteins.

Keywords: HDAC6; HDAC inhibitor; acetylation; β-catenin; AKT; synapse; neuronal differentiation

1. Introduction

Histone deacetylases (HDACs) and HATs (histone acetyltransferases) have been studied extensively for their role in regulating chromatin function through acetylation of histone proteins, including in neurons [1,2]. HDACs have important roles in learning and memory and in synaptic plasticity [3–5]. Different HDAC isoforms have been shown to control synapse maturation and function in mice with conditional alleles of HDAC isoforms and HDACi induce synaptogenesis in vitro in rodent neuronal cultures [6,7]. Many of these studies have focused on class I HDACs (HDACs 1,2,3) located in the nucleus and most HDACi studied to date have broad selectivity and target multiple HDAC isoforms [8]. However, there is a growing recognition of cellular processes where HDACs play crucial roles by deacetylating non-histone proteins [9]. The nature and extent of non-histone acetylation, especially in neurons, remain to be elucidated. Recent advances in chemical biology approaches have resulted in a better understanding of the functions of different HDAC isoforms and led to the development of small-molecule probes that target specific HDAC isoforms (Figure 1) [10]. For instance, SAHA and crebinostat target both class I and class II HDACs, CI-994, Cpd-60, and BG-45

are more specific for class I HDACs. Most HDAC inhibitors are designed with the framework of a pharmacophore model that includes a cap, a linker and a chelator. The chelator binds to the zinc ion at the HDAC active site, while the linker connects this chelator with the capping motif that engages the outer edge of the active site region [10]. Efforts to design isoform-selective small molecule inhibitors have focused on employing variations in the cap and chelator functions. While many HDAC inhibitors are designed for better isoform selectivity, many of the inhibitors do inhibit other HDACs as well at higher doses. Since the original discovery of tubacin as a HDAC6 inhibitor, there have been a number of new small molecules that have been synthesized that show better isoform selectivity for HDAC6, including some with brain bio-availability [11–22] (Table 1). For the HDAC6 inhibitor ACY-738, mice treated for 21 days resulted in a brain–plasma ratio of ~1.4 while treatment for 90 days showed brain–plasma ratio of ~2.3 [23]. The brain bio-availability of bavarostat was shown through the radiochemical synthesis of [18] F bavarostat and the demonstration of uptake and retention of the compound in the rat brain with pet imaging [20].



Figure 1. Structure of representative small molecules in the HDAC inhibitor toolkit.

HDAC1	HDAC2	HDAC3	HDAC4	HDAC5	HDAC6	HDAC7	HDAC8	HDAC9	Selectivity	Reference
0.0013	0.0016	0.005	_	3.6	0.0016	_	0.48	_	1,2,3,6,8	[11]
0.0007	0.001	0.002	_	_	0.009	_	_	_	1,2,3,6	[12]
0.05	0.19	0.55	_	_	_	_	_	_	1,2,3	[13]
0.001	0.008	0.458	_	_	_	_	_	_	1,2	[14]
2	2.2	0.289	_	_	>20	_	_	_	3	[15]
0.028	0.042	0.275	17	1.5	0.016	8.5	0.17	_	6	[16]
3.2	3.5	4.9	_	_	0.018	_	_	_	6	[17]
0.094	0.128	0.218	_	_	0.0017	_	_	_	6	[18]
2.123	2.57	1.12	_	_	0.0075	_	_	_	6	[18]
0.058	0.048	0.051	7	5	0.004	1.4	0.1	10	6	[19]
>1000	>1000	>1000	11.3	19	0.06	4.7	8.5	5.2	6	[20]
>50	>50	6.8	_	_	2.9	_	0.01	>50	8	[21]
	HDAC1 0.0013 0.0007 0.05 0.001 2 0.028 3.2 0.094 2.123 0.058 >1000 >50	HDAC1 HDAC2 0.0013 0.0016 0.0007 0.001 0.05 0.19 0.001 0.008 2 2.2 0.028 0.042 3.2 3.5 0.094 0.128 2.123 2.57 0.058 0.048 >1000 >1000	HDAC1 HDAC2 HDAC3 0.0013 0.0016 0.005 0.0007 0.001 0.002 0.05 0.19 0.55 0.001 0.008 0.458 2 2.2 0.289 0.028 0.042 0.275 3.2 3.5 4.9 0.094 0.128 0.218 2.123 2.57 1.12 0.058 0.048 0.051 >1000 >1000 >1000	HDAC1 HDAC2 HDAC3 HDAC4 0.0013 0.0016 0.005 _ 0.0007 0.001 0.002 _ 0.05 0.19 0.55 _ 0.001 0.002 _ _ 0.05 0.19 0.55 _ 0.001 0.008 0.458 _ 2 2.2 0.289 _ 0.028 0.042 0.275 17 3.2 3.5 4.9 _ 0.094 0.128 0.218 _ 2.123 2.57 1.12 _ 0.058 0.048 0.051 7 >1000 >1000 11.3 >50 5.6	HDAC1 HDAC2 HDAC3 HDAC4 HDAC5 0.0013 0.0016 0.005	HDAC1 HDAC2 HDAC3 HDAC4 HDAC5 HDAC6 0.0013 0.0016 0.005 _ 3.6 0.0016 0.0007 0.001 0.002 _ _ 0.009 0.05 0.19 0.55 _ _ _ _ 0.001 0.002 _ _ _ _ _ _ 0.05 0.19 0.55 _ _ _ _ _ _ _ 0.001 0.008 0.458 _ <td>$\begin{array}{c ccccccccccccccccccccccccccccccccccc$</td> <td>HDAC1 HDAC2 HDAC3 HDAC4 HDAC5 HDAC6 HDAC7 HDAC8 0.0013 0.0016 0.005 _ 3.6 0.0016 _ 0.48 0.0007 0.001 0.002 _ _ 0.009 _ _ 0.05 0.19 0.55 _ _ _ _ _ 0.001 0.008 0.488 _ _ _ _ _ 0.001 0.048 0.488 _ _ _ _ _ _ 0.001 0.048 0.475 17 1.5 0.016 8.5 0.17 3.2 3.5 4.9 _ _ 0.018 _ _ 0.094 0.128 0.218 _ _ 0.0017 _ _ 1.212 _ _ 0.0017 _ _ _ _ 0.094 0.128 0.218 _ _ _ _<</td> <td>$\begin{array}{c ccccccccccccccccccccccccccccccccccc$</td> <td>HDAC1HDAC2HDAC3HDAC4HDAC5HDAC6HDAC7HDAC8HDAC9Selectivity$0.0013$$0.0016$$0.005$_$3.6$$0.0016$_$0.48$_$1,2,3,6,8$$0.0007$$0.001$$0.002$$0.009$$1,2,3,6,8$$0.005$$0.19$$0.55$$1,2,3,6$$0.001$$0.008$$0.458$$1,2,3,6$$0.011$$0.008$$0.458$$1,2,3,6$$0.0101$$0.008$$0.458$$1,2,3,6$$0.0101$$0.008$$0.458$$1,2,3,6$$0.0101$$0.008$$0.458$1,2,3,6$0.0101$$0.008$$0.458$1,2,3,6$0.0101$$0.008$$0.458$1,2,3,6$0.028$$0.042$$0.275$$17$$1.5$$0.016$$8.5$$0.17$6$0.094$$0.128$$0.218$0.00176$0.058$$0.048$$0.051$75$0.004$$1.4$$0.1$$100$6$0.50$$500$$6.8$</td>	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	HDAC1 HDAC2 HDAC3 HDAC4 HDAC5 HDAC6 HDAC7 HDAC8 0.0013 0.0016 0.005 _ 3.6 0.0016 _ 0.48 0.0007 0.001 0.002 _ _ 0.009 _ _ 0.05 0.19 0.55 _ _ _ _ _ 0.001 0.008 0.488 _ _ _ _ _ 0.001 0.048 0.488 _ _ _ _ _ _ 0.001 0.048 0.475 17 1.5 0.016 8.5 0.17 3.2 3.5 4.9 _ _ 0.018 _ _ 0.094 0.128 0.218 _ _ 0.0017 _ _ 1.212 _ _ 0.0017 _ _ _ _ 0.094 0.128 0.218 _ _ _ _<	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	HDAC1HDAC2HDAC3HDAC4HDAC5HDAC6HDAC7HDAC8HDAC9Selectivity 0.0013 0.0016 0.005 _ 3.6 0.0016 _ 0.48 _ $1,2,3,6,8$ 0.0007 0.001 0.002 0.009 $1,2,3,6,8$ 0.005 0.19 0.55 $1,2,3,6$ 0.001 0.008 0.458 $1,2,3,6$ 0.011 0.008 0.458 $1,2,3,6$ 0.0101 0.008 0.458 $1,2,3,6$ 0.0101 0.008 0.458 $1,2,3,6$ 0.0101 0.008 0.458 1,2,3,6 0.0101 0.008 0.458 1,2,3,6 0.0101 0.008 0.458 1,2,3,6 0.028 0.042 0.275 17 1.5 0.016 8.5 0.17 6 0.094 0.128 0.218 0.00176 0.058 0.048 0.051 75 0.004 1.4 0.1 100 6 0.50 500 6.8

Table 1. IC50 values (µM) for inhibition of different HDAC isoforms.

2. Role of HDAC6 in Animal Models Relevant to Nervous System Disorders

Studies in the last few years have led to discoveries on the role of HDAC6 in biological processes implicated in a number of neurodegenerative, neurodevelopmental, and neuropsychiatric disorders. One of these areas relates to neuronal injury and regeneration in the context of axonal degeneration from excitotoxicity. In mouse cortical neuron cultures, it was shown that inhibiting HDAC6 through genetic and pharmacological methods led to increased survival and neuronal regeneration in the setting of oxidative stress [24]. In an another study using mouse cortical neuron cultures, it was shown that axonal degeneration from kainic acid was accompanied by significant decrease in α -tubulin acetylation [25]. Treatment with an HDAC inhibitor resulted in higher levels of α -tubulin acetylation, as expected, but it also protected the neurons from kainic acid-induced axonal degeneration [25].

Studies examining the biology of amyotrophic lateral sclerosis (ALS) have led to a focus on HDAC6 as a putative therapeutic target [26]. A number of ALS-associated proteins have been found to regulate the activity of HDAC6, specifically the proteins Fused in Sarcoma/Translocated in Sarcoma (FUS/TLS) and TAR DNA binding protein-43 (TDP-43) [27-30]. Some of the early studies suggested that HDAC6 may have a protective effect in ALS, and it was found SOD1^{G93A} mice has lower levels of HDAC6 expression at disease onset which became very low at the later stages [31]. In cultured cells, it was initially shown that HDAC6 knockdown led to increased aggregation of mutant SOD1 and that HDAC6 may facilitate degradation of aggregation-prone proteins in the cytoplasm [32,33]. However, in the SOD1^{G93A} mouse model of ALS, deletion of the HDAC6 gene led to a significant decrease in progression of disease and resulted in prolonged survival, which was accompanied by increased α -tubulin acetylation [34]. Given the contradictory nature of those studies, the role of HDAC6 in ALS is unclear. These studies relied on genetic methods of abolishing HDAC6 function. While small-molecule studies complement genetic loss-of-function studies, the correlation between genetic loss of function and small-molecule inhibition profiles is not always consistent with each other [35,36]. It would be informative to examine how pharmacological inhibition of HDAC6 would alter disease progression in these animal models.

A number of animal studies have also pointed to a role for HDAC6 in Alzheimer's disease. In an amyloid precursor protein/presenilin 1 (APP/PS1) mouse model, treatment with the HDAC6 inhibitor ACY-738 resulted in improvement of axonal transport rates, decrease in phosphorylation of tau and increase in α -tubulin acetylation, accompanied by improvement in amyloid pathology as well as contextual learning and memory [23]. Studies in mice and rodent neurons showed that genetic or pharmacological reduction in HDAC6 activity can also decrease tau mislocalization and suppress the pathogenic formation of neuritic tau beads [37]. When APPPS1-21 mice, which show memory impairment, amyloid pathology and low levels of α -tubulin acetylation, were crossed with *Hdac6* knockout mice, it was found that the reduction in HDAC6 led to improvement in memory function, accompanied by robust increases in acetylated α -tubulin [38]. In a study using rTg4510 mouse model of tau deposition, it was shown that treatment with the HDAC6 inhibitor Tubastatin A resulted in improved memory function as well as decreased levels of tau [39]. To confirm that these effects are due to specific inhibition of HDAC6 by Tubastatin A, Tg4510 mice can be crossed

with Hdac6 knockout mice in order to examine the effects on memory formation and tau levels, In another study of an Alzheimer's disease mouse model treatment with ACY-1215 and Tubastatin A, both led to improvement in the behavioral assays as well as changes in amyloid β levels, decrease in phosphorylation of tau and increase in α -tubulin acetylation [40].

The Alzheimer's disease mouse model harboring APP^{Swe} and tau^{P301L} mutant transgenes develops both tangles and plaques and shows impairment in learning and memory tasks [41]. Pharmacological inhibition of HDAC6 in these mice led to improvement in learning and memory tasks, accompanied by increased α -tubulin acetylation in the brain as well as decreased tau S396 and S404 phosphorylation [42]. Experiments in SH-SY5Y and Neuro2a cell lines showed that pharmacological HDAC6 inhibition resulted in reduced phosphorylation and aggregation of tau and increased Hsp90 acetylation, accompanied by increased phosphorylation by Akt of the S9 residue on glycogen synthase kinase β (GSK3 β) [42].

Recent studies of Charcot-Marie-Tooth disease suggest that HDAC6 may be a promising target for this disorder as well [43–45]. Cultured DRG neurons from a mutant HSPB1 mouse model of Charcot-Marie-Tooth disease showed deficits in axonal transport and this deficit was rescued by the HDAC6 inhibitors ACY-738 and ACY-775 [46]. Another mouse model of Charcot-Marie-Tooth disease, based on dominant mutations in glycyl-tRNA synthetase, showed that the mice have aberrant axonal transport and this is accompanied by decreased α -tubulin acetylation [47]. Treatment with the HDAC6 inhibitor Tubastatin A led to increased α -tubulin acetylation, ameliorated the deficits in axonal transport and improved the motor functioning in the mutant mice [47]. In a study of cortical neurons from a Rett syndrome MECP2^{T158A} mouse model and of patient fibroblasts, it was found that the cortical neurons of the MECP2-deficient mice and the patient fibroblasts had increased levels of HDAC6 protein expression and reduced levels of acetylated α -tubulin and treatment with Tubastatin A resulted in increased levels of acetylated α -tubulin [48].

In addition to the role of HDAC6 in neurons, animal studies show a role for HDAC6 in oligodendrocytes as well [49,50]. Cultured rat oligodendrocytes were shown to express HDAC6 and inhibition of HDAC6 by Tubastatin A resulted in decreased microtubule binding activity of tau [51]. It was shown that HDAC6 inhibition led to increased acetylation of tau in the oligodendrocytes, which in turn reduced its turnover rate [51]. Furthermore, proteasomal inhibition led to the accumulation of acetylated tau and HDAC6 in protein aggregates, which was altered by Tubastatin A or RNAi-mediated downregulation of HDAC6 [52]. In addition, experiments in oligodendroglial cell lines showed that HDAC6 dysregulation played a role in stress responses in these cells [52].

HDAC6 has also been implicated in animal models of retinal diseases that involve loss of photoreceptors. It has been hypothesized that HDAC6 has a role in protecting photoreceptors and retinal cells that are vulnerable to reactive oxygen species from oxidative stress-related damage [53]. HDAC6 is constitutively expressed in the retina in mice and in the cone-like rodent cell line 661W [53]. Inhibition of HDAC6 by Tubastatin A upregulated heat-shock proteins HSP25 and HSP70 and led to increased cell survival in the setting of oxidative stress [53]. Similarly, in a zebrafish model of inherited sight loss, in vivo treatment with Tubastatin A ameliorated morphological features in the retina and improved visual function [53].

3. Studying HDAC Biology in Human Neuronal Cells

Studies in rodents have yielded a wealth of knowledge on basic biology and pathophysiology, including in our understanding of neurobiology and animal models have been routinely used to identify new therapeutic leads for various human diseases, including for psychiatric disorders [54,55]. While these studies have led to a better understanding of the biology, there has been a dearth of compounds that have translated successfully from animal models to humans [56–60]. Studies show that genomic responses in humans to specific pathophysiological processes often have poor correlation with such responses in rodent models [61]. Recent studies in induced human neurons and in cortical neurons from knockout mice showed species-specific differences in the effects of NRXN1 mutations on synaptic

biology [62]. When heterozygous conditional loss-of-function *NRXN1* mutations were introduced into human embryonic stem cells (ESCs), it resulted in severe deficits in stimulus-dependent transmitter release but similar experiments in mice with Nrxn1 α mutations did not show this impairment [62]. These considerations have led to a note of caution about focusing exclusively on tissue from animal studies for preclinical investigations and have led to the development of different approaches to study the biology of disease and therapeutics in cells from human subjects [63–67].

Cellular reprogramming methods enable generation of human induced pluripotent stem cells (iPSCs) [68,69]. Human iPSCs can be differentiated along neuronal lineages both to study disease biology and to identify new therapeutic leads [70–73]. Given the complexity of cortical development and the diversity of neurons, generation and identification of specific neuronal subtypes seem daunting. However, there have been recent methodological advances in human iPSC differentiation along specific neuronal lineages [74]. Human iPSCs can be differentiated to cortical neurons that express markers for different cortical layer neurons [72,74]. This enables the dissection of the phenotypic effects of small molecules in specific neuronal subtypes.

Ex vivo cellular models of disease based on patient-derived iPSCs provide powerful approaches to dissecting the molecular underpinnings of disease biology and complement/confirm findings from rodent models of different diseases. In a study conducted with iPSCs from Charcot-Marie-Tooth disease 2F (CMT2F) and distal hereditary motor neuropathy 2B (dHMN2B) carrying heat shock 27 kDa protein 1 (HSPB1), it was found that the motor neurons differentiated from the mutant lines showed decreased acetylation of α -tubulin and significant deficits in the axonal movement of mitochondria [75]. Treatment with two different pharmacological inhibitors of HDAC6 led to increased acetylation of α -tubulin and rescue of the deficits in movement of mitochondria in the axons [75].

A recent study compared iPSC-derived motor neurons from ALS patients with FUS mutations with motor neurons from healthy control subjects along with isogenic lines generated using CRISPR-Cas9 [76]. Motor neurons differentiated from FUS3 mutant iPSC lines, as well as motor neurons from human embryonic stem cells (hESCs) expressing mutant FUS, showed characteristic cellular and functional findings of cytoplasmic FUS accumulation, hypoexcitability, and deficits in axonal transport [76]. They further showed that inhibiting HDAC6, with the HDAC6-specific inhibitors Tubastatin A and ACY-738 or with antisense oligonucleotides, rescued the deficits in axonal transport in those motor neurons [76].

Cellular models using iPSC-derived neurons have also been used to study the role of HDAC6 in Rett syndrome [77]. Neurons differentiated from iPSCs of Rett syndrome patients with MECP2 mutations showed a transcriptomic profile that indicated disruption in GABAergic circuits as well a significant upregulation of HDAC6 [77]. This was accompanied by decreased levels α -tubulin acetylation in the MECP2 neurons, which were reversed with treatment with the HDAC6 inhibitor ACY-1215 [77].

The results of studies examining HDAC6 function in animal models and in human neuronal cells are summarized in Table 2.

Study Model	HDAC6 Modulation	Effect of HDAC6 Inhibition	Citation	
Mouse cortical neurons	Genetic and pharmacological (Trichostatin A)	Increased survival and regeneration in setting of oxidative stress.	Rivieccio et al. (2009) [24]	
Mouse cortical neurons	Trichostatin A Scriptaid	Protection of kainic acid-induced axonal degeneration.	Hanson et al. (2018) [25]	
SOD1 ^{G93A} ALS mouse model	HDAC6 deletion	Buildup of SOD1 ^{G93A} aggregates but only mild effects on motor function.	Lee et al. (2015) [33]	
SOD1 ^{G93A} ALS mouse model	HDAC6 deletion	Decrease in disease progression and prolonged survival.	Taes et al. (2013) [34]	
APP/PS1 mouse model	HDAC6 deletion	Improvement in memory function	Govindarajan et al. (2013) [38]	
rTg4510 mouse model	Tubastatin A	Improvement in memory function and lower tau levels	Selenica et al. (2014) [39]	
AD mouse model	Tubastatin A ACY-1215	Improvement in behavior and decrease in amyloid β and hyperphosphorylated tau.	Zhang et al. (2014) [40]	
AD mouse model	MPT0G211	Improvement in learning and memory and decrease in tau phosphorylation.	Fan et al. (2018) [42]	
Charcot-Marie-Tooth HSPB1 mouse model	ACY-738 ACY-775	Rescue of axonal transport deficits	Benoy et al. (2017) [43]	
Charcot-Marie-Tooth GARS mouse model	Tubastatin A	Improved deficits in axonal transport & motor functioning	Shen et al. (2016) [44]	
Cortical neurons from MECP2 ^{T158A} mouse model	Tubastatin A	Increased α-tubulin acetylation	Gold et al. (2015) [48]	
Rett syndrome patient fibroblast	Tubastatin A	Ameliorated microtubule defects	Gold et al. (2015) [48]	
Cultured rat oligodendrocytes	Tubastatin A shRNA	Reduced microtubule binding activity of tau. Reduced protein aggregation.	Noack et al. (2014) [51] Leyk et al. (2015) [52]	
dye ^{ucd6} zebrafish model	Tubastatin A	Rescued visual function and retinal morphology	Leyk et al. (2017) [53]	
Motor neurons from iPSCs of CMT2F and dHMN2B patients	CHEMICAL X4 CHEMICAL X9	Reversed axonal movement defects of mitochondria	Kim et al. (2015) [75]	
Motor neurons from iPSCs of FUS-ALS patients	ACY-738 Tubastatin A Antisense oligos	Restore axonal transport defects and increase mitochondria-ER overlay	Guo et al. (2017) [76]	
Neurons from iPSCs of Rett syndrome patients with MECP2 mutations	ACY-1215	Reversal of decrease in α-tubulin acetylation	Landucci et al. (2018) [77]	

4. HDACs and Wnt-GSK3-β-Catenin Biology in Mood Disorders

HDACs are hypothesized to be important in the biology of mood disorders based on mRNA expression of HDAC isoforms in patients with mood disorders and modulation of their expression by antidepressants and mood stabilizers [8,78–80]. The mood stabilizer valproic acid has been shown to possess HDAC inhibitory activity [81]. Multiple HDACi have antidepressant-like activity in pre-clinical animal models, including sodium butyrate, suberoylanilide hydroxamic acid (SAHA), MS-275, and Cpd-60 [82–86]. In mice, the SSRI fluoxetine and the class I HDACi MS-275 reverse the effects of chronic social defeat stress on global patterns of gene expression [8]. It has been shown that the HDACi Cpd-60 modulates mood-related behaviors in mice and induces brain gene-expression

changes that overlap with lithium treatment [83]. Recently, brain-penetrant HDAC6-selective inhibitors were shown to induce antidepressant-like behavior in the tail suspension test and the social defeat paradigm in mice [22]. Antidepressant-like behaviors were also described in rodents with loss of function of HDAC6 [22,87].

The molecular mechanisms through which HDAC6i leads to antidepressant-like behavior remain poorly understood, although consistent with a role for non-histone substrates. Much of our knowledge of the biology of HDAC6 and the Wnt-GSK3- β -catenin pathway comes from studies in rodents. Studies aimed at understanding the synaptogenic effects of HDACi with a focus on β -catenin are being explored since β -catenin plays an important role in synaptic plasticity [88]. Studies suggest that β -catenin is a key component in the biology of mood disorders and in the therapeutic effects of antidepressants and mood stabilizers [89–93]. Transgenic mice that express a constitutively active form of β -catenin in the adult brain show antidepressant-like effects [89]. Conversely, mice with forebrain-specific β -catenin knockout exhibit depressive-like behavior [90]. The function and stability of β -catenin is modulated by GSK3 β . GSK3 β phosphorylates β -catenin and primes it for proteasomal degradation. Postmortem studies in unipolar and bipolar depression show decreased activation of GSK3 β in patient brains [94,95]. The mood stabilizer lithium inhibits GSK3 β and this inhibition is hypothesized to mediate its therapeutic efficacy [91,96]. In rodents, lithium shows dose-dependent antidepressant-like effects [97,98]. While homozygous GSK3 β -/- mice die in utero, heterozygous loss of GSK3 β mimics lithium's antidepressant-like effects [99].

 β -catenin, an integral component of the Wnt signaling pathway, is an evolutionarily well-conserved protein with pivotal roles in the developing and adult central nervous system [100–102]. When the Wnt pathway is inactive, β -catenin is retained in the cytoplasm in a "destruction complex" with Axin, adenomatosis polyposis coli (APC), and GSK3 β [102]. In this complex, GSK3 β constitutively phosphorylates β -catenin. This phosphorylated version of β -catenin is recognized by β TrCP of the E3 ubiquitin ligase complex and degraded by the proteasome [102]. When Wnt ligands bind to the Frizzled/LRP receptor, Axin is recruited to the membrane, resulting in dismantling of the destruction complex. β -catenin then accumulates and translocates to the nucleus, where it binds to the T-cell Factor (TCF) transcription factors and increases transcription of target genes [102].

5. Effect of HDAC6 Inhibition on β -Catenin Biology in Human Neuronal Cells

A set of well-annotated HDACi tool compounds (Figure 1) have been used to examine the effects of different HDACs on acetylation of β -catenin in human iPSC-derived neural progenitor cells (NPCs) [103]. The lysine residue K49 on β -catenin is a post-translational modification (PTM) site that is known to be acetylated [104]. K49 is adjacent to the phosphorylation sites for GSK3 β and Casein Kinase 1 α (CK1 α) in the N-terminal regulatory domain. K49 is often found mutated to arginine in anaplastic thyroid carcinomas, resulting in increased nuclear localization of β -catenin [105]. In cancer cell lines SW480 and HCT116, K49 deacetylation was necessary for epidermal growth factor (EGF)-induced nuclear localization of β -catenin [106]. However, outside of these tumor cell lines, the function and regulation of this K49- β -catenin acetylation remain poorly understood. The isoform-selective HDACis, especially ones that target HDAC6, have enabled recent studies on the role of K49 acetylation on β -catenin in human neurons.

While human NPCs had minimal Ac-K49- β -catenin at baseline, treatment with the pan-HDACi SAHA and another broadly selective HDACi crebinostat led to a marked increase in Ac-K49- β -catenin levels, without affecting overall β -catenin levels [103]. Class I specific HDACi MS-275 and BG-45 did not increase Ac-K49- β -catenin, but treatment with HDAC6i ACY-1215 resulted in marked increase in Ac-K49- β -catenin. Experiments were undertaken to investigate how K49 acetylation on β -catenin affected adjacent phosphorylation sites in the N-terminal regulatory domain—CK1 α site S45 and GSK3 β sites S33/S37/T41. There was minimal S45 phosphorylation at baseline but increased K49 acetylation with HDAC6i was mirrored by increased S45 phosphorylation without any impact on phosphorylation of S33/S37/T41 [103]. While CK1 α phosphorylates S45, the functional consequence

of this phosphorylation is not understood [107]. There have been different hypotheses about the interaction between those posttranslational modifications. In one model, S45 phosphorylation primes β -catenin for GSK3 β phosphorylation at S33/S37/T41 [108]. Another model posits that S45 phosphorylation is uncoupled from S33/S37/T41 phosphorylation [109]. Our results support the second model since HDAC6i-induced K49 acetylation led to increased phosphorylation of S45 but not of S33/S37/T41.

K49 is a known site for ubiquitination, which primes β -catenin for degradation by the proteasome [110]. There is an increasing recognition of the crosstalk between acetylation and ubiquitination of lysine residues in a number of different proteins [111–114]. There have been suggestions of crosstalk between acetylation and ubiquitination on β -catenin as well [115]. To explore this, the effect of the proteasome inhibitor MG-132 in human NPCs was studied in the presence or absence of HDAC6 inhibition. In NPCs treated with MG-132, there was significant accumulation of ubiquitinated β -catenin, along with increase in total β -catenin [103]. However, in NPCs pre-treated with the HDAC6 inhibitor ACY-1215, there was minimal change in ubiquitinated β -catenin or total β -catenin in the presence of MG-132 [103]. Total ubiquitination levels were unaffected by ACY-1215 pre-treatment, which indicated that ACY-1215 effects on ubiquitination were specific for β -catenin. These findings indicate that HDAC6i-mediated K49 acetylation on β -catenin has an impact on its ubiquitination.

Since acetylation can mediate subcellular localization of non-histone proteins [9], experiments were undertaken to examine whether increased K49 acetylation was accompanied by changes in subcellular localization of β -catenin. Immunofluorescence experiments showed that that exposure to ACY-1215 resulted in increased β -catenin at the plasma membrane in human NPCs, where it co-localized with N-cadherin [103]. Cell fractionation studies of NPCs treated with ACY-1215 showed that the increase in Ac-K49- β -catenin was in the membrane/cytoplasmic fraction and not in the nuclear fraction. With N-cadherin immunoprecipitation in NPCs, ACY-1215 resulted in increased levels of Ac-K49- β -catenin bound to N-cadherin [103]. To corroborate the small-molecule findings, siRNA knockdown of HDAC6 in the human NPCs were undertaken as well. HDAC6 knockdown in the human NPCs resulted in increased Ac-K49 and p-S45- β -catenin levels, similar in magnitude as with ACY-1215, without any change in total β -catenin [103]. HDAC6 knockdown in human NPCs also led to increase in β -catenin at the plasma membrane [103].

6. β-Catenin's Function at the Synapse

In addition to the central role in the Wnt signaling pathway, β -catenin also forms a complex with cadherins at the membrane as part of the adherens junctions, including with N-cadherin in neuronal cells [88,100,116]. The β -catenin/N-cadherin complex is important for cell-cell adhesion in the nervous system and is present in both pre and postsynaptic neurons [88,100,116]. The N-cadherin/ β -catenin complex on dendrites and axons form extracellular interactions between the cadherins while β -catenin links with the actin cytoskeleton. Extracellular homophilic interactions between N-cadherins and the intracellular interactions of β -catenin stabilize the axonal and dendritic contacts to facilitate synapse formation [116].

 β -catenin modulates multiple aspects of synaptic biology, including spine structure, dendritic arborization, and synaptic plasticity [116]. Loss of GSK3 β in the cortex and hippocampus in adult mice has been shown to affect spine density and synaptic stabilization through effects on β -catenin [117]. Maturation of dendritic spines in rodent brain is coordinated by β -catenin-N-cadherin interactions and loss of β -catenin in glutamatergic neurons in rodent models has been shown to significantly alter synaptic structure and function [118]. Overexpression of β -catenin in vivo in mice showed increased dendritic growth and promoted neuronal activity [119]. The specific mechanisms behind how β -catenin modulates synaptic function remain to be elucidated [116].

It is possible that β -catenin-facilitated effects on synaptogenesis may mediate antidepressant activity of seemingly diverse therapeutic modalities. In mice, ketamine induced inhibitory serine

phosphorylation of GSK3 β , which was necessary for its antidepressant-like effects [120]. In rats, GSK3 β inhibition potentiated antidepressant-like effects of sub-threshold doses of ketamine and increased spine density in mPFC pyramidal cells [121]. The antidepressant-like effects of 5-HT_{2A} receptor antagonist ketanserin and the SSRI fluoxetine in rats were accompanied by increase in the membrane fraction of β -catenin and a parallel increase in N-cadherin, but without any change in levels of nuclear β -catenin [122]. Electroconvulsive seizures (ECS) in rats showed up-regulation of β -catenin in the hippocampus [123]. Alternative mechanisms of β -catenin membrane stabilization that do not involve GSK3 β are not well understood. These findings have led to the hypothesis that HDAC6i effects on β -catenin may mediate enhanced synaptogenesis and antidepressant effects.

7. Implications for HDAC6i Mediated β-catenin Membrane Localization

 β -catenin links N-cadherin to the actin cytoskeleton and recruits intracellular partners to the synaptic membrane to form co-complexes with N-cadherin [116]. Studies in human NPCs had shown that HDAC6 inhibition results in the translocation and enrichment of β -catenin to the plasma membrane [103]. β -catenin has a PDZ-binding domain which has been shown in a protein microarray study to bind to over two-dozen proteins containing PDZ domains [116]. β -catenin has also been shown to co-localize with a number of the PDZ-domain-containing proteins at the plasma membrane [116]. Synapses are enriched for a diverse array of proteins with multiple PDZ domains, including ones involved in synaptic stabilization. PDZ-domain-containing proteins often act as intracellular scaffolds at synapses to co-localize different proteins and facilitate signaling [124–126]. β -catenin regulates synaptic vesicle localization by recruiting synaptic proteins with PDZ domains [116]. β -catenin has been shown to physically bind to a number of synaptic proteins with PDZ domains [127]. These studies suggest a role for β -catenin in HDAC6i effects on synaptogenesis through the interaction of acetylated β -catenin to PDZ-domain-containing synaptic proteins (Figure 2).



Figure 2. Schematic model of putative HDAC6i effect in human neurons: HDAC6i increases K49- β -catenin acetylation, which results in membrane localization of β -catenin. β -catenin binds to N-cadherin and recruits PDZ-containing proteins involved in synaptic stabilization.

8. HDAC6 Interaction with AKT and Relevance to Neurobiology

In addition to β -catenin, HDAC6 deacetylates lysine residues on α -tubulin, HSP90, and AKT [16,128,129]. A number of antipsychotic medications and mood stabilizers are hypothesized to mediate their effects through modulation of the PI3K-AKT-GSK3 pathway [130–132]. AKT knockdown also adversely impacts synaptogenesis in rodent hippocampal neurons, accompanied

by decreased dendritic spine density [133]. Human neuronal cells treated with HDAC6i show increased AKT acetylation, specifically at residues K163 and K377 in the kinase domain [128], which are distinct from the PH-domain sites deacetylated by the class III KDACs SIRT1 and SIRT2 [134,135]. Treatment with HDAC6i led to reduced binding of AKT to PIP₃ and decreased its ability to phosphorylate downstream targets including S552 on β -catenin that mediates its subcellular localization [128]. Hence, HDAC6 may also modulate synaptic function through modulating the acetylation status of K163 and K377 on AKT.

Studies of cortical development in mice had shown that decreased AKT activity in NPCs during development affected neuronal differentiation [136]. AKT has important roles during neuronal differentiation, and p-AKT(Ser⁴⁷³), which is active, is present in NPCs in the cortex [136,137]. Studies with the HDAC6 inhibitors in human iPSC-derived NPCs showed that HDAC6 inhibition did not have any effects on NPC proliferation per se. However, exposure to HDAC6 inhibition during the neuronal differentiation process led to the generation of neuronal cultures which had a greater proportion of glial cells in relation to neuronal cells. These results suggest that HDAC6 and class I HDACs have opposite effects during neuronal differentiation since inhibition of class I HDACs promote differentiation along the neuronal lineage while HDAC6 inhibition led to the generation of greater proportion of glial cells [128,138].

9. Summary

Recent studies in animal models as well as in human neurons have led to a better understanding of the role of HDAC6 in cellular processes that have important roles in the biology of various neurodevelopmental, neurodegenerative, and neuropsychiatric disorders. While early studies of HDAC6 were hindered by the lack of small molecules with good isoforms selectivity, the development of isoform-specific inhibitors in the last few years have enabled incisive chemical biology studies aimed at interrogating the role of HDAC6 in different cellular contexts, including in neuronal differentiation and studies of synaptic biology. Studies of HDAC6 inhibitors in human neuronal cells have shown that HDAC6i modulate the acetylation of specific lysine residues on β -catenin and AKT, two proteins with known roles in synaptic biology. HDAC6 inhibition results in increased acetylation of K49 on β -catenin and increased accumulation of β -catenin at the plasma membrane. At the synapse, β -catenin links N-cadherin to the actin and recruits intracellular partners to the synaptic membrane. Since β -catenin has a PDZ-binding domain that binds PDZ-domain-containing synaptic proteins, HDAC6i may enhance synaptogenesis and synaptic stabilization by modulating the enrichment of acetylated β -catenin at the synapse. These findings raise the possibility that HDAC6i may modulate synaptic biology by regulating acetylation of non-histone proteins, which can be leveraged to in the development of novel therapeutic leads aimed at modulating synaptic biology. Though clinical trials of HDAC6 inhibitors to date have primarily focused on cancer therapies, there is growing evidence that HDAC6 inhibitors may have clinical applications in neuropsychiatric disorders as well. The introduction of HDAC6 inhibitors in these disorders will need a careful assessment not only of the scientific rationale and the preclinical data that points to efficacy but also of the need for brain bio-available small-molecule candidates and careful consideration of any possibility of neurotoxicity.

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