### **Research** Article

## **Determination of Best Criteria to Determine Final and Initial Speeds within Ramp Exercise Testing Protocols**

# Sidney C. da Silva,<sup>1</sup> Walace D. Monteiro,<sup>2,3</sup> Felipe A. Cunha,<sup>2,4</sup> Jonathan Myers,<sup>5</sup> and Paulo T. V. Farinatti<sup>2,3</sup>

<sup>1</sup> Department of Sports Science, Brazilian Olympic Committee, Avenida das Américas 899, 22631-000 Rio de Janeiro, RJ, Brazil <sup>2</sup> Laboratory of Physical Activity and Health Promotion, Rio de Janeiro State University, Rua São Francisco Xavier 524, Sala 8121F,

20550-900 Rio de Janeiro, RJ, Brazil

<sup>3</sup> Graduate Program in Sciences of Physical Activity, Salgado de Oliveira University, Rua Marechal Deodoro 217, No. 2 Andar, 24030-060 Niteroi, RJ, Brazil

<sup>4</sup> Graduate Program in Medical Sciences, Rio de Janeiro State University, Avenida Professor Manoel de Abreu, 444/No. 2 Andar, Vila Isabel, 20550-170 Rio de Janeiro, RJ, Brazil

<sup>5</sup> Cardiology Division, Palo Alto VA Health Care System, Cardiology 111C, 3801 Miranda Avenue, Palo Alto, CA 94304, USA

Correspondence should be addressed to Paulo T. V. Farinatti, pfarinatti@gmail.com

Received 20 May 2012; Revised 25 September 2012; Accepted 25 September 2012

Academic Editor: Darcy D. Marciniuk

Copyright © 2012 Sidney C. da Silva et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

This study compared strategies to define final and initial speeds for designing ramp protocols.  $V_{O_2 max}$  was directly assessed in 117 subjects (29 ± 8 yrs) and estimated by three nonexercise models: (1) Veterans Specific Activity Questionnaire (VSAQ); (2) Rating of Perceived Capacity (RPC); (3) Questionnaire of Cardiorespiratory Fitness (CRF). Thirty seven subjects (30 ± 9 yrs) performed three additional tests with initial speeds corresponding to 50% of estimated  $V_{O_2 max}$  and 50% and 60% of measured  $V_{O_2 max}$ . Significant differences (P < 0.001) were found between  $V_{O_2 max}$  measured ( $41.5 \pm 6.6 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ) and estimated by VSAQ ( $36.6 \pm 6.6 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ) and CRF ( $45.0 \pm 5.3 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ), but not RPC ( $41.3 \pm 6.2 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ). The CRF had the highest ICC, the lowest SEE, and better limits of agreement with  $V_{O_2 max}$  compared to the other instruments. Initial speeds from 50%–60%  $V_{O_2 max}$  estimated by CRF or measured produced similar  $V_{O_2 max}$  ( $40.7 \pm 5.9$ ;  $40.0 \pm 5.6$ ;  $40.3 \pm 5.5 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  resp., P = 0.14). The closest relationship to identity line was found in tests beginning at 50%  $V_{O_2 max}$  estimated by CRF. In conclusion, CRF was the best option to estimate  $V_{O_2 max}$  and therefore to define the final speed for ramp protocols. The measured  $V_{O_2 max}$  was independent of initial speeds, but speeds higher than 50%  $V_{O_2 max}$  produced poorer submaximal relationships between workload and  $V_{O_2}$ .

#### 1. Introduction

Exercise capacity is an independent predictor of risk for cardiovascular disease and mortality among asymptomatic and symptomatic individuals [1–3]. Hence the determination of maximal oxygen uptake ( $V_{O_2 max}$ ) is considered to be one of the most important health-related parameters and has been widely used to evaluate cardiorespiratory fitness in health and illness [4–7]. However, the determination of exercise capacity is closely related to the test protocol employed [8]. An extensive body of evidence has shown that ramp exercise protocols offer advantages over traditional protocols, because the increase in external work occurs in a constant and continuous fashion, and when designing the protocol the rate of increase in workload can be individualized by a previous estimate of maximal exercise capacity [7, 9–12]. This is associated with greater linearity between  $V_{O_2}$  and work rate compared to

traditional protocols with large and disproportionate work rate increments [9, 11, 13]. Moreover, ramp protocols induce more uniform hemodynamic and respiratory responses, facilitating the acquisition of information at submaximal intensities, such as the ventilatory threshold [9, 13].

Despite the apparent advantages over traditional exercise testing, standardized criteria to guide the application of ramp protocols remain sparse. For instance, a limitation of ramp protocols is the requirement to estimate maximal exercise capacity from an activity scale and then adjust the ramp rate accordingly [14]. In practical terms, an underestimation of maximal exercise capacity will result in a prolonged total test duration, while an overestimation will result in premature test termination and, therefore, inappropriate test protocol for eliciting a true  $V_{O, max}$  [15]. However, there is no consensus in the literature concerning this issue. Available recommendations are generally vague and largely limited to the premise that tests should last between 8 and 12 min [4, 7, 14–17]. The same occurs with regard to the initial work rate of the test-actually we could not find recommendations of standard procedures for its determination [18].

Thus, the first objective of the present study was to compare three nonexercise models to predict maximal exercise capacity as criteria to determine the final speed of maximal treadmill ramp protocols. A second purpose was to investigate how different initial speeds calculated from  $%V_{O_2 \text{ max}}$  influenced the  $V_{O_2 \text{ max}}$  measured in the tests.

#### 2. Material and Methods

2.1. Subjects. A group of 117 subjects (47 women) aged between 18 and 51 years (mean:  $29.1 \pm 7.6$  yrs), with no previous experience in high performance physical training, volunteered for the study. Exclusion criteria included a clinical diagnosis of any clinical condition that could limit exercise performance and the use of any medication with potential cardiovascular influence. All participants were fully informed about the procedures and potential risks before giving written consent to take part in the study, which was approved by the local Institutional Research Ethics Committee.

2.2. Procedures. A flowchart of the 1st and 2nd studies is presented in Figure 1, detailing the procedures adopted to determine the workload increments using the nonexercise models (1st study—final speed) and different percent  $V_{O_2 max}$  intensities (2nd study—initial speed).

All 117 subjects enrolled in the first study. After signing the informed consent, the subjects performed the following procedures in a single visit to the laboratory: (a) anthropometric measurements; (b) application of three nonexercise models to estimate  $V_{O_2 max}$  (Veterans Specific Activity Questionnaire (VSAQ), [19, 20]; Rating of Perceived Capacity (RPC) [21]; Questionnaire of Cardio-respiratory Fitness (CRF) [22]); (c) cardiopulmonary exercise testing.

The VSAQ was originally developed by Myers et al. [19, 20] with the specific purpose of individualizing ramp protocols. The VSAQ includes a list of physical activities with scores ranging from 1 to 13. The responder indicates which of the listed activities would cause fatigue or shortness of breath. Subjects evaluated in the initial studies with the VSAQ had low cardiorespiratory fitness and a high prevalence of overweight/obesity, hypertension, or coronary disease. Even though further studies have demonstrated that the instrument also provided adequate estimation of  $V_{O_2 \text{ max}}$  in healthy active populations [5, 8], there is a lack of research specifically designed to assess its validity within the application of ramp protocols in healthy subjects. The RPC may be considered a variation of the VSAQ [21], presenting different maximal MET levels (ranging from 1 to 20), which are linked to physical activities of several intensities. Subjects rate their perceived capacity by choosing the most strenuous activity they could sustain for 30 min. However, the RPC has been not validated through direct comparison with exercise capacity using cardiopulmonary exercise testing. The CRF was not specifically developed to design ramp protocols, but it has been extensively applied as a nonexercise model to estimate the maximal cardiorespiratory capacity [22]. It is a progressive scale with scores for the intensity of the activities ranging from 0 to 7. The subjects must select the most appropriate score according to the physical activities performed in the last 30 days. The CRF was selected because of the unusual methodological meticulousness applied to its development. A large sample (N = 799) of men and women aged 19 to 79 years was tested. The estimated  $V_{O_2 \text{ max}}$  was compared to directly measured data, and the questionnaire was cross-validated with another population, which is uncommon in studies assessing such instruments [23, 24].

In the first study, the increase in work rate within the cardiopulmonary exercise test (CPET1) was individualized to elicit each subject's limit of tolerance in 10 min, and treadmill grade was set at 0%. Final and initial speeds were determined using ACSM equations for treadmill running [7], considering the intensities corresponding to the highest  $V_{O_2 \text{ max}}$  estimated by the non-exercise models (final speed) and 50% of this value (initial speed). The choice of 50%of the estimated  $V_{O_2 max}$  to determine the initial speed was based on a previous pilot study involving 35 subjects. In this pilot study, the initial speed was set at 1/3 of the estimated V<sub>O2 max</sub>, which corresponded to a mean speed of  $4.3\,km\cdot h^{-1}$  and a work rate increase of  $0.88\,km\cdot h^{-1}$  each minute. The protocols lasted approximately 12 min (11.3  $\pm$ 2.2 min) and subjects remained walking, for about 4 min. Thus, an intensity of 50% V<sub>O2 max</sub> would probably shorten the test and increase the time in which the subjects would be actually running.

A subgroup of 37 subjects (17 women; age:  $29.1 \pm 7.6$  yrs) was randomly selected to participate in the second study. These subjects performed three additional cardiopulmonary exercise tests, separated by 72 to 120 h intervals. The increase in work rate and treadmill grade were the same applied in CPET1. In the first test (CPET1bis), the final speed was determined using the best non-exercise model as defined in the first study, and the initial speed set at 50% of this value. The other tests (CPET2 and CPET3) were then performed using the results of CPET1bis as reference. In brief,



FIGURE 1: Flowchart of the 1st and 2nd studies including the procedures adopted to determine the workload increments, using nonexercise models to estimate  $V_{O_2 max}$  and ACSM running equation to calculate the treadmill speeds.  $V_{O_2 max}$ : maximal oxygen uptake; CPET: cardiopulmonary exercise test; VSAQ: Veterans Specific Activity Questionnaire; RPC: Rating of Perceived Capacity; CRF: Questionnaire of Cardiorespiratory Fitness.

the final speed in CPET1bis was estimated from the maximal exercise capacity provided by CRF, whereas in both CPET2 and CPET3 it corresponded to the speed associated with the  $V_{O_2 max}$  assessed in CPET1bis. The initial speeds corresponded to 50%  $V_{O_2 max}$  estimated (CPET1bis), 50%  $V_{O_2 max}$  measured (CPET2), and 60%  $V_{O_2 max}$  measured (CPET3). This approach allowed to observe whether initial speeds ranging from 50 to 60%  $V_{O_2 max}$  (estimated or measured) influenced the results of the tests.

In the first study the CPET1 was applied by a researcher blinded for the results of the non-exercise models. In the second study, the sequence of tests was defined by a counterbalanced crossover design. The participants were blinded for the  $\% V_{O_2}$  used to establish the initial speeds, and the evaluator was blinded for the purposes of the study.

The cardiopulmonary exercise test protocols were performed using a super-ATL treadmill (Inbramed, Florianopolis, SC, Brazil), and  $V_{O_2}$  was averaged and recorded every 30 s. The 30 s time average provided a good compromise between removing noise from  $V_{O_2}$  data while maintaining the underlying trend [25]. Data was assessed using a mouthpiece and noseclip. Gas exchange was assessed using a VO2000 analyzer (Medical Graphics, Saint Louis, MO, USA), which was calibrated with a certified standard mixture of oxygen (17.01%) and carbon dioxide (5.00%), balanced with nitrogen. The flows and volumes for the pneumotachograph were calibrated with a 3 L syringe (Hans Rudolph, Kansas, MO, USA). Heart rate was monitored using a Polar S-810 device (Polar, Kempele, Finland). Mean ambient temperature and relative humidity during testing were 22.4  $\pm$  1.8°C (range 18–23) and 62.5  $\pm$  4.1% (range 50–75%), respectively.

The criteria for test interruption followed the recommendations of the American College of Sports Medicine [7]. The test was considered to achieve peak capacity when at least three of the following criteria were observed [26]: (a) maximum voluntary exhaustion as reflected by a score of 10 on the Borg CR-10 scale; (b)  $\geq$ 95% predicted HR max (220—age) or presence of an HR plateau ( $\Delta$ HR between two consecutive work rates  $\leq$ 4 beats·min<sup>-1</sup>); (c) presence of a  $V_{O_2}$  plateau ( $\Delta V_{O_2}$  between two consecutive work rates  $<2.1 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ); (d) respiratory exchange ratio > 1.15. Participants were verbally encouraged to achieve maximal effort. Holding onto the side or front rails of the treadmill was not permitted.

2.3. Statistical Analyses. Data normality was confirmed by univariate analysis. Therefore the intraclass correlation coefficient (ICC) was used to verify the concordance between the  $V_{O_2 max}$  assessed in CPET1 and the  $V_{O_2 max}$  estimated by the non-exercise models. Limits of agreement and bias for measured and estimated  $V_{O_2 max}$  were determined according to the Bland and Altman method [27]. Intraclass correlation (ICC), *R*-square coefficients ( $r^2$ ), and standard errors of estimate (SEE) between actual and estimated  $V_{O_2 max}$  were also calculated.

The  $V_{O_2 max}$  values obtained in CPET1bis, CPET2, and CPET3 were compared by repeated measures ANOVA.

Additionally, linear regression was performed for each subject on each protocol in order to compare the relationships between workload and  $V_{O_2}$ , considering data in every 30 s of exercise. Mean  $\pm$  SD values of intercepts and slopes were determined for each linear regression model. Student t-tests for paired samples were used to test whether the intercepts and slopes were significantly different from 0 and 1, respectively [12], and to test possible differences between the regression lines, as described in detail elsewhere [28]. The  $r^2$  and SEE for the regression models obtained in all tests were calculated as supplementary criteria to define the best initial speed. Two-tailed statistical significance for all tests was accepted as  $P \leq 0.05$ . All statistical analyses were performed using Statistica 7.0 (Statsoft, Tulsa, OK, USA) and SPSS 8.0 (IBM, Chicago, IL, USA) statistical analysis software.

#### 3. Results

An achieved statistical power of 0.96 for an effect size of 0.25 was obtained by performing a post hoc power analysis (GPower version 3.0.10, Kiel, University of Kiel, Germany) based on the sample size, P value, number of repeated measures, and groups. Table 1 presents the characteristics of the samples comparing strategies to define final and initial speeds. Table 2 presents values for the assessed  $V_{O_2 max}$  (mL·kg<sup>-1</sup>·min<sup>-1</sup>) by age and sex groups.

In the first study, mean duration of CPET1 was 13.3  $\pm$  2.1 min for initial and final speeds of 5.9  $\pm$  0.9 km·h<sup>-1</sup> and 14.7  $\pm$  2.1 km·h<sup>-1</sup>, respectively. Significant differences were detected between  $V_{O_2 \text{ max}}$  assessed in CPET1 (41.5  $\pm$  6.6 mL·kg<sup>-1</sup>·min<sup>-1</sup>) and  $V_{O_2 \text{ max}}$  estimated from VSAQ and CRF ( $V_{O_2 \text{ max}}$  VSAQ = 36.6  $\pm$  6.6 mL·kg<sup>-1</sup>·min<sup>-1</sup>, P < 0.0001;  $V_{O_2 \text{ max}}$  CRF = 45.0  $\pm$  5.3 mL·kg<sup>-1</sup>·min<sup>-1</sup>; P < 0.0001), but not from RPC ( $V_{O_2 \text{ max}}$  RPC = 41.3  $\pm$  6.2 mL·kg<sup>-1</sup>·min<sup>-1</sup>, P = 0.99).

Figure 2 shows the Bland-Altman analysis, including the limits of agreement for estimated and measured  $V_{O_2 \text{ max}}$ . Table 3 presents values for *R*-square, SEE, and ICC between  $V_{O_2 \text{ max}}$  measured and estimated by the questionnaires. The RPC provided the lowest mean difference between  $V_{O_2 \text{ max}}$  directly assessed in CPET1 and estimated from the questionnaires (RPC =  $0.24 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; CRF =  $-3.54 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; VSAQ =  $4.94 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; *P* = 0.05). However, the CRF exhibited better limits of agreement compared to the other instruments. The higher values obtained for CRF with regard to *R*-square and ICC were consistent with the results of the Bland-Altman analysis. The SEE between assessed and estimated  $V_{O_2 \text{ max}}$  was also lower in CRF compared to VSAQ and RPC.

Table 4 shows the distribution of  $V_{O_2 \text{ max}}$  assessed in CPET1 according to tertiles, as well the percent agreement between estimated and measured  $V_{O_2 \text{ max}}$  in each tertile. The nonparametric Kendall's tau-b correlation between tertiles was similar across the three questionnaires and measured  $V_{O_2 \text{ max}}$ . However the correlation using the CRF was higher over RPC and VSAQ—the proportion of subjects assigned

in the same tertile category was superior for CRF compared to the other questionnaires, and the distribution was more homogeneous.

With regard to the second study, mean durations of CPET1bis, CPET2, and CPET3 were  $13.7 \pm 1.8 \text{ min}$ ,  $10.7 \pm 1.8 \text{ min}$ 1.9 min, and 10.6  $\pm$  0.9 min, respectively. No differences were detected between  $V_{O_2 max}$  assessed in CPET1bis (used as reference to define final and initial speeds in CPET2 and CPET3), CPET2, and CPET3 (CPET1bis =  $40.7 \pm$  $5.9 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; CPET2 =  $39.8 \pm 5.6 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; CPET3 =  $40.3 \pm 5.5 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; P = 0.142). Mean initial speeds applied in CPET1bis, CPET2, and CPET3 were  $5.7 \pm 0.8 \text{ km} \cdot \text{h}^{-1}$ ,  $8.1 \pm 0.9 \text{ km} \cdot \text{h}^{-1}$ , and  $9.1 \pm 1.1 \text{ km} \cdot \text{h}^{-1}$ , respectively. Table 5 shows the relationships between workload and  $V_{O_2}$  in the ramp test protocols initiating with speeds corresponding to 50% and 60% V<sub>O2 max</sub> either measured or estimated (slopes, intercepts, R-square, and SEE). CPET1bis showed the closest relationship with the theoretical identity line (slope = 1 and intercept = 0), with the highest *R*-square and lowest SEE in comparison with CPET2 and CPET3.

#### 4. Discussion

The present study aimed to compare different strategies to define final and initial speeds when designing ramp exercise testing protocols for healthy young populations. Three nonexercise models were employed to estimate maximal cardiorespiratory capacity and therefore the final speed. The choice of VSAQ, RPC, and CRF to estimate the  $V_{O_2 max}$  was due to the fact that these instruments have been frequently applied in previous studies and have been shown to have good potential to estimate the maximal cardiorespiratory capacity in different populations [23, 24]. Two relative intensities ( $V_{O_2 max}$ ) using different initial treadmill speeds were tested.

The values obtained for the  $V_{O_2 max}$  assessed in CPET1 are consistent with reference values reported by previous research [4, 7, 14, 16]. Our findings on the ICC, R-square, SEE, and dispersion in the Bland-Altman plot (see Figure 2) suggest that there are advantages in using the CRF to determine the final speed, in comparison with the other instruments. In contrast, the VSAQ had the poorest precision and highest variability with respect to  $V_{O_2 max}$  estimation. In their original study, Myers et al. [19] reported a stronger association between estimated and achieved cardiorespiratory capacity over the present data (r = 0.79; SEE = 4.97 mL·kg<sup>-1</sup>·min<sup>-1</sup>; P = 0.001 versus r = 0.40; SEE = 7.63 mL·kg<sup>-1</sup>·min<sup>-1</sup>; P = 0.0001, resp.). However, subjects in the two studies differed considerably in terms of clinical and fitness status, which may have contributed to such discrepancy, since poor conditioned individuals are more likely to interrupt earlier the test due to peripheral fatigue. Moreover, Myers et al. [19] did not directly assess the  $V_{O_2 max}$ in their original research. In a later study, these investigators [20] validated the VSAQ measuring  $V_{O_2 max}$  directly in a larger sample (n = 337). Subjects had similar characteristics as those in the original study, but the results were more

		Age (	years)			Body m	ass (kg)			Heigh	t (cm)			Body f	at (%)		$V_0$	<sup>2</sup> max (m]	C∙kg <sup>−1</sup> •m	$(n^{-1})$
	IJ	Σ	щ	G2	IJ	Ζ	Щ	G2	IJ	Μ	ц	G2	IJ	M	ц	G2	IJ	Μ	ц	G2
Mean	29.1	29.8	28.2	29.7	71.7	79.7	59.7	72.4	171.2	176.7	163.1	170.4	15.2	11.7	20.4	16.8	41.5	43.9	37.8	40.7
SD	7.6	7.9	7.0	8.6	14.9	12.6	8.8	17.9	9.1	5.9	6.5	10.0	7.0	5.8	5.3	6.5	6.6	5.8	6.1	5.9
Minimum	18	18	19	18	46.7	57.4	46.7	46.5	150.0	163.3	150.0	150.5	2.9	2.9	11.2	6.0	25.6	32.8	25.6	28.5
Maximum	51	47	51	51	92.9	92.9	91.8	90.3	190.0	190.0	176.0	190.0	32.8	27.1	32.8	28.3	61.6	61.6	54.8	52.4
G: total sampl	e(n = 1)	17); M: m	ales (n =	70); F: fer	nales (n =	= 47); G2:	subgrou	o for initi	al speed co	mparison (	(n = 37).									

q
ee
ç
2
(1)
11
Z
÷
al
Ξ.
Е.
5
ğ
g
Ξ
$\sim$
$\leq$
1a
fir
e f
Ř
50
ů
di:
ar
0ġ
re
IS
or
IS.
ar
Ê,
Ξ
8
, Ă
Ţ
н.
ы
÷Ħ
at
÷Ë.
ii.
E
p
ŝ
g
je.
4
SI
Je
÷
JC
s
ic.
ist
ELC:
ŭ
.ac
ar
ų
$\mathbf{O}$
÷
щ
BL
2
Ţ

TABLE 2: Descriptive values for  $V_{O_2 \max}$  (mL·kg<sup>-1</sup>·min<sup>-1</sup>) by age and sex groups.

			Age (y	years)		
		Males $(N = 70)$			Females $(N = 47)$	
	18-29 (N = 39)	30-39 (N = 20)	>40 (N = 11)	18-29 (N = 32)	30-39 (N = 10)	>40 (N = 5)
Mean	46.2	41.1	39.4	39.0	34.3	37.0
SD	5.8	4.4	3.9	5.9	6.3	4.6
Minimum	36.5	32.8	34.0	26.2	25.6	29.5
Maximum	61.5	47.9	44.2	54.8	45.3	40.4

TABLE 3: Mean difference (mL·kg<sup>-1</sup>·min<sup>-1</sup>), *R*-square coefficient, standard error of estimate, and intraclass correlation between  $V_{O_2 \text{ max}}$  assessed and estimated by three non-exercise models (N = 117).

	Tot	al (N	= 112	7)		Ma	les (N	I = 70	)		Fem	ales (i	N = 4	7)	
		$V_{O_2}$	max				$V_{O_2 I}$	nax				$V_{\mathrm{O}_{2}\mathrm{r}}$	nax		
	Mean difference	$r^2$	SEE	ICC	Р	Mean difference	$r^2$	SEE	ICC	Р	Mean difference	$r^2$	SEE	ICC	Р
VSAQ	4.94 (11.9%)	0.16	7.63	0.57	< 0.0001	-1.81 (-4.1%)	0.05	7.92	0.36	< 0.0317	-1.24 (-3.3%)	0.07	7.17	0.42	< 0.040
RPC	0.24 (1.0%)	0.09	7.60	0.46	< 0.001	3.22 (7.3%)	0.17	1.70	0.58	< 0.0001	-3.49 (-9.2%)	0.07	8.35	0.42	< 0.035
CRF	-3.54 (-8.5%)	0.53	5.75	0.83	< 0.0001	-3.89 (-8.9%)	0.37	6.01	0.76	< 0.0001	-2.90 (-7.7%)	0.47	5.36	0.81	< 0.0001

VSAQ: Veteran Specific Activity Questionnaire using the following equation:  $V_{O_2}$  (mL·kg<sup>-1</sup>·min<sup>-1</sup>) = (4.7 + 0.97 (VSAQ) - 0.06 (age) × 3.5); for women this value was multiplied by 0.85 [8]; RPC: Rating of Perceived Capacity; CRF: Cardiorespiratory Fitness.

similar to our findings (r = 0.42; SEE = 9.1 mL·kg<sup>-1</sup>·min<sup>-1</sup>; P = 0.001).

Maeder et al. [5] compared the  $V_{O_2 max}$  obtained in tests using cycle ergometer and treadmill with the exercise capacity estimated by the VSAQ in healthy subjects. The correlations were similar to our data (cycle ergometer: r = 0.46and treadmill: r = 0.50; P < 0.0001). More recently, Maeder et al. [8] used the VSAQ to select the optimal treadmill ramp protocol in highly trained individuals and reported a similar correlation between estimated and measured  $V_{O_2 max}$ (r = 0.47), even when using the VSAQ modified nomogram (r = 0.56).

Although the VSAQ was developed to facilitate the individualization of ramp protocols, previous research has not ratified this purpose in all populations. Actually, the available evidence does not support its use in determining the final speed within ramp protocols in healthy and well-conditioned populations. Actually the VSAQ has been shown to be more appropriate to estimate the  $V_{O_2 \text{ max}}$  in unfit individuals [20, 29]. The present results confirm this idea. Precision using the VSAQ was lower compared to the other instruments, and the same categorization was obtained in less than 40% of cases. Furthermore, the Bland-Altman plots suggested that in our sample the  $V_{O_2 \text{ max}}$  was systematically overestimated by the VSAQ.

The RPC closely paralleled  $V_{O_2 \text{ max}}$  assessed in CPET1 (mean difference of  $0.24 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  or 1%), but exhibited high variability, as evidenced by the Bland-Altman method and SEE (7.60 mL·kg<sup>-1</sup>·min<sup>-1</sup>). This variation accounted for the relatively low ICC and *R*-square values. It is noteworthy that RPC was developed in a sample of 87 young, healthy women (age =  $48.4 \pm 17.4$  years) [21]. However, our experience with this method suggests that strong agreement between estimated and actual  $V_{O_2 \text{ max}}$  can be also obtained in men. Interestingly, although our sample consisted of young

women (age =  $28.2 \pm 7.0$  years), the comparison between  $V_{O_2 \text{ max}}$  directly measured and estimated by RPC showed greater concordance (ICC) and lower variation (SEE) among men versus women (ICC = 0.58 versus 0.42 and SEE =  $1.70 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  versus  $8.35 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ , resp.). A possible explanation for this is that in the original RPC study the  $V_{O_2 \text{ max}}$  was estimated from the work performed on cycle ergometer, and not directly measured. The  $V_{O_2 \text{ max}}$  was estimated using maximal work and body mass, assuming as constants the amount of oxygen required for each Watt of power during ramp cycling ( $10.93 \text{ mL} \cdot \text{min}^{-1} \cdot \text{W}^{-1}$ ) and  $V_{O_2}$  at rest when sitting on the cycle ( $4.3 \text{ mL} \cdot \text{min}^{-1}$ ). However these unpublished data have been previously determined in a group of healthy men [21], and no information was provided with regard to their possible application in females.

The CRF has been widely used to estimate maximal cardiorespiratory capacity [12, 30-35]. Although it was not originally developed to help designing ramp protocols, our results indicate that it works well for this purpose. The original study by Matthews et al. [22] showed a higher correlation between V<sub>O2 max</sub> measured and estimated from CRF than the present study, in a sample of 390 men (r =0.82 versus r = 0.61, resp.) and 409 women (r = 0.83versus r = 0.69, resp.). However, the SEEs in the total sample  $(5.7 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1} \text{ versus } 5.8 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1})$ and in gender subgroups (men: 6.3 mL·kg<sup>-1</sup>·min<sup>-1</sup> versus  $6.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; women:  $5.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  versus  $5.4\,mL\cdot k\bar{g}^{-1}\cdot min^{-1})$  were similar in the two studies. The Bland-Altman analysis showed limits of agreement higher over VSAQ and comparable to RPC, but the CRF had the greatest ICC. In addition, the tertile classifications obtained from CRF were more accurate compared to the other nonexercise models.

Overall, CRF showed higher concordance with measured  $V_{O_2 max}$ , lower dispersion, and better capacity to discriminate



FIGURE 2: Bland-Altman plot for the individual differences between  $V_{O_2 max}$  assessed in CPET1 and  $V_{O_2 max}$  estimated by VSAQ (a), RPC (b), and CRF (c). The first and third horizontal dashed lines in each graph represent the 95% limits of agreement for VSAQ, RPC, CRF, and VSAQ, corresponding, respectively, to -12.1 to 22.0 (-29.1 to 53.0%); -14.7 to 15.2 (-35.5 to 36.6%); -12.5 to 5.4 (-30,0% to 13,0%).  $S_d$ : standard deviation of the differences.

TABLE 4: Percentage of participants ranked in the same tertile, percentage of total agreement, tau-b correlation coefficients between  $V_{O_2 max}$  measured and estimated by three non-exercise models (VSAQ, RPC, and CRF) (N = 117).

	1st Tertile $(n = 39)$	2nd Tertile ( $n = 39$ )	3rd Tertile ( $n = 39$ )	Total ( $N = 117$ )	R (tau-b)
V <sub>O2 max</sub> versus VSAQ	66.66% (26)	5.12% (2)	38.46% (15)	36.75% (43)	0.833
V <sub>O2 max</sub> versus RPC	43.58% (17)	25.64% (10)	43.58% (17)	37.60% (44)	0.992
V <sub>O2 max</sub> versus CRF	69.23% (27)	41.02% (16)	58.97% (23)	56.41% (66)	0.983

VSAQ: Veteran Specific Activity Questionnaire; RPC: Rating of Perceived Capacity; CRF: Questionnaire of Cardiorespiratory Fitness.

subjects with high and low cardio-respiratory capacity in comparison to VSAQ and RPC. Notably, the CRF may be limited when assessing cardiorespiratory capacity in subjects with  $V_{O_2 \text{ max}} > 55.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  [29], which could be a problem when designing ramp protocols in highly fit individuals. However, fewer than 20% of ordinary healthy individuals achieve this level [7]. It therefore seems unlikely

that the final speed would be wrongly determined from inaccurate estimation of  $V_{O_2 max}$  estimation, at least in most healthy nonathletic subjects.

In what concerns the second study, the literature is mixed regarding criteria to determine the initial speed for ramp testing [9, 11]. Recommendations from different expert panels are also ambiguous with regard to this issue

TABLE 5: Intercept, slope, *R*-square ( $r^2$ ), and standard error of estimate (SEE) for the regression models obtained in ramp protocols initiating with speeds corresponding to 50% of the estimated  $V_{O_2 \text{ max}}$  (CPET1bis), 50% of the measured  $V_{O_2 \text{ max}}$  (CPET2), and 60% of the measure  $V_{O_2 \text{ max}}$  (CPET3).

	Y intercept	Slope	r-Square	SEE $(mL \cdot kg^{-1} \cdot min^{-1})$
V <sub>O2</sub> versus speed in CPET1bis	$-4.882 \pm 2.696^{*}$	$0.96 \pm 0.027^{\$}$	$0.93\pm0.050$	$2.14\pm0.67$
$V_{O_2}$ versus speed in CPET2	$-8.270 \pm 6.312^*$	$0.94 \pm 0.029^{\$}$	$0.89 \pm 0.054$	$2.19\pm0.55$
$V_{O_2}$ versus speed in CPET3	$-14.666 \pm 8.958^*$	$0.92 \pm 0.036^{\$}$	$0.86\pm0.065$	$2.48\pm0.67$

\* Intercept significantly different from zero (P < 0.0001).

<sup>§</sup>Slope significantly different from 1.0 (P < 0.0001).

[4, 7, 14, 15], and no formal criteria are available on this important aspect of ramp protocols. Our findings suggested that initial speeds within the range corresponding to 50% to  $60\% V_{O_2 \text{ max}}$  influenced the duration of the test (CPET1bis  $= 13.7 \pm 1.8 \text{ min} > \text{CPET2} = 10.7 \pm 0.9 \text{ min} \cong \text{CPET3} =$  $10.6 \pm 0.9 \text{ min}, P < 0.0001$ ), but not the achieved  $V_{O, \text{max}}$ (CPET1bis = 40.7  $\pm$  5.9 mL·kg<sup>-1</sup>·min<sup>-1</sup>  $\cong$  CPET2 = 40.0  $\pm$  $5.6 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1} \cong \text{CPET3} = 40.3 \pm 5.5 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1},$ P = 0.14). From these results, any initial speed within this range would be appropriate for performing ramp tests. In contrast, the relationship between workload and  $V_{O_2}$ among the tests was affected by the initial speed. Considering the identity line as a reference for the ideal regression between workload and  $V_{O_2}$ , the current results suggest that higher initial speed produced the lowest R-squares (e.g., poorest adjustment to the identity line) (CPET3-60% V<sub>O2 max</sub> < CPET2—50% V<sub>O2 max</sub> < CPET1bis—50%  $V_{O_2 max}$ ).

Early research confirms the concept that the initial speed applied does not influence measured  $V_{O_2 \text{ max}}$ . Kang et al. compared three incremental treadmill protocols (Åstrand, Bruce, and Costill/Fox) in 25 sedentary subjects (10 women) [36]. The protocols began with speeds of 9.7 km  $\cdot$ h<sup>-1</sup>, 2.5 km  $\cdot$ h<sup>-1</sup>, and 14.4 km  $\cdot$ h<sup>-1</sup>, respectively, and no differences in  $V_{O_2 \text{ max}}$  were detected. The relationship between workload and  $V_{O_2}$  was not specifically addressed, but the authors considered that this could have been good, at least in the Costill/Fox protocol. The high initial speed significantly shortened the tests (to about 5 min) and precluded the identification of the ventilatory threshold.

In 1991, Myers et al. compared  $V_{O_2 max}$  obtained during ramp and conventional staged protocols (Bruce and Balke modified), which were very different with regard to the combination of initial speed, treadmill grade, and workload increment. The duration of tests was significantly different (Bruce:  $6.6 \pm 1.5$  min versus Balke:  $10.4 \pm 3.4$  min and Ramp:  $9.1 \pm 1.4$  min, P < 0.05), with little impact on  $V_{O_2 max}$ (Bruce:  $22.3 \pm 8.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  versus Balke:  $21.1 \pm 8.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  and Ramp:  $21.0 \pm 8.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ , P < 0.05). However, slopes and SEE for the regression curves between workload and  $V_{O_2}$  showed more linear relationships in the ramp protocol (Bruce: slope = 0.62 and SEE =  $4.0 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; Balke: Slope = 0.79and SEE =  $3.4 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ; Ramp: Slope = 0.80 and SEE =  $2.5 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ ). In other words, differences in the protocol design may reflect on physiological relationships in submaximal workloads, but not necessarily on the assessed  $V_{O_2 max}$ . Our findings seem to ratify this idea.

In conclusion, CRF was superior in comparison with RPC and VSAQ to estimate maximal cardio-respiratory capacity and should be preferred when attempting to determine an appropriate speed for ramp testing. Initial speeds within the range corresponding to  $50-60\% V_{O_2 max}$  estimated or measured did not affect assessed  $V_{O_2 max}$ . Nevertheless, speeds higher than  $50\% V_{O_2 max}$  may influence the quality of submaximal relationships between work rate and  $V_{O_2}$ . Moreover, higher speeds applied at the beginning of ramp protocols may hinder the performance of subjects with poor fitness levels and compromise test results. This information should be considered when data from exercise testing is used to establish relative exercise intensities for exercise prescription.

#### Acknowledgments

This paper was supported by grants from FAPERJ (Carlos Chagas Foundation for the Research Support in the State of Rio de Janeiro, Rio de Janeiro, Brazil) and CNPq (Brazilian Council for the Technological and Scientifical Development, Brasília, Brazil).

#### References

- S. Mora, R. F. Redberg, Y. Cui et al., "Ability of exercise testing to predict cardiovascular and all-cause death in asymptomatic women: a 20-year follow-up of the lipid research clinics prevalence study," *JAMA*, vol. 290, no. 12, pp. 1600–1607, 2003.
- [2] M. Gulati, H. R. Black, L. J. Shaw et al., "The prognostic value of a nomogram for exercise capacity in women," *The New England Journal of Medicine*, vol. 353, no. 5, pp. 468–475, 2005.
- [3] E. S. H. Kim, H. Ishwaran, E. Blackstone, and M. S. Lauer, "External prognostic validations and comparisons of age- and gender-adjusted exercise capacity predictions," *Journal of the American College of Cardiology*, vol. 50, no. 19, pp. 1867–1875, 2007.
- [4] R. J. Gibbons, G. J. Balady, J. T. Bricker et al., "ACC/AHA 2002 guideline update for exercise testing: summary article. A report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee to update the 1997 exercise testing guidelines)," *Journal of the*

American College of Cardiology, vol. 40, no. 8, pp. 1531–1540, 2002.

- [5] M. Maeder, T. Wolber, R. Atefy et al., "Impact of the exercise mode on exercise capacity: bicycle testing revisited," *Chest*, vol. 128, no. 4, pp. 2804–2811, 2005.
- [6] P. G. Snell, J. Stray-Gundersen, B. D. Levine, M. N. Hawkins, and P. B. Raven, "Maximal oxygen uptake as a parametric measure of cardiorespiratory capacity," *Medicine and Science in Sports and Exercise*, vol. 39, no. 1, pp. 103–107, 2007.
- [7] American College of Sports Medicine (ACSM), Guidelines of Exercise Testing and Exercise Prescription, Lea & Febiger, Philadelphia, Pa, USA, 8nd edition, 2009.
- [8] M. Maeder, T. Wolber, R. Atefy et al., "A nomogram to select the optimal treadmill ramp protocol in subjects with high exercise capacity: validation and comparison with the Bruce protocol," *Journal of Cardiopulmonary Rehabilitation*, vol. 26, no. 1, pp. 16–23, 2006.
- [9] J. Myers, N. Buchanan, D. Walsh et al., "Comparison of the ramp versus standard exercise protocols," *Journal of the American College of Cardiology*, vol. 17, no. 6, pp. 1334–1342, 1991.
- [10] B. J. Whipp, J. A. Davis, F. Torres, and K. Wasserman, "A test to determine parameters of aerobic function during exercise," *Journal of Applied Physiology Respiratory Environmental and Exercise Physiology*, vol. 50, no. 1, pp. 217–221, 1981.
- [11] J. Myers, N. Buchanan, D. Smith et al., "Individualized ramp treadmill; Observations on a new protocol," *Chest*, vol. 101, no. 5, pp. 236–241, 1992.
- [12] F. A. Cunha, A. W. Midgley, W. D. Monteiro, and P. T. V. Farinatti, "Influence of cardiopulmonary exercise testing protocol and resting VO<sub>2</sub> Assessment on %HR<sub>2max</sub>, %HRR, %VO<sub>2max</sub> and %VO<sub>2</sub>R Relationships," *International Journal of Sports Medicine*, vol. 31, no. 5, pp. 319–326, 2010.
- [13] J. Myers and D. Bellin, "Ramp exercise protocols for clinical and cardiopulmonary exercise testing," *Sports Medicine*, vol. 30, no. 1, pp. 23–29, 2000.
- [14] G. F. Fletcher, G. J. Balady, E. A. Amsterdam et al., "Exercise standards for testing and training: a statement for healthcare professionals from the American Heart Association," *Circulation*, vol. 104, no. 14, pp. 1694–1740, 2001.
- [15] A. W. Midgley, D. J. Bentley, H. Luttikholt, L. R. McNaughton, and G. P. Millet, "Challenging a dogma of exercise physiology: does an incremental exercise test for valid V · O2max determination really need to last between 8 and 12 minutes?" *Sports Medicine*, vol. 38, no. 6, pp. 441–447, 2008.
- [16] American Thoracic Society/American College of Chest Physicians, "ATS/ACCP Statement on cardiopulmonary exercise testing," *American Journal of Respiratory and Critical Care Medicine*, vol. 167, no. 2, pp. 211–277, 2003.
- [17] A. Mezzani, P. Agostoni, A. Cohen-Solal et al., "Standards for the use of cardiopulmonary exercise testing for the functional evaluation of cardiac patients: a report from the exercise physiology section of the European association for cardiovascular prevention and rehabilitation," *European Journal of Cardiovascular Prevention and Rehabilitation*, vol. 16, no. 3, pp. 249–267, 2009.
- [18] S. C. da Silva, W. D. Monteiro, and P. T. V. Farinatti, "Exercise maximum capacity assessment: a review on the traditional protocols and the evolution to individualized models," *Revista Brasileira de Medicina do Esporte*, vol. 17, no. 5, pp. 363–369, 2011.
- [19] J. Myers, W. Herbert, P. Ribisl, and V. F. Froelicher, "A nomogram to predict exercise capacity from a specific activity

questionnaire and clinical data," American Journal of Cardiology, vol. 73, no. 8, pp. 591–596, 1994.

- [20] J. Myers, D. Bader, R. Madhavan, and V. Froelicher, "Validation of a specific activity questionnaire to estimate exercise tolerance in patients referred for exercise testing," *American Heart Journal*, vol. 142, no. 6, pp. 1041–1046, 2001.
- [21] A. G. M. Wisén, R. G. Farazdaghi, and B. Wohlfart, "A novel rating scale to predict maximal exercise capacity," *European Journal of Applied Physiology*, vol. 87, no. 4-5, pp. 350–357, 2002.
- [22] C. E. Matthews, D. P. Heil, P. S. Freedson, and H. Pastides, "Classification of cardiorespiratory fitness without exercise testing," *Medicine and Science in Sports and Exercise*, vol. 31, no. 3, pp. 486–493, 1999.
- [23] G. A. Maranhão Neto, P. M. Lourenço, and P. T. Farinatti, "Prediction of aerobic fitness without stress testing and applicability to epidemiological studies: a systematic review," *Cadernos de Saúde Pública*, vol. 20, no. 1, pp. 48–56, 2004.
- [24] G. De Albuquerque Maranhão Neto, A. C. M. P. De Leon, and P. De Tarso Veras Farinatti, "Cross-cultural equivalence of three scales used to estimate cardiorespiratory fitness in the elderly," *Cadernos de Saúde Pública*, vol. 24, no. 11, pp. 2499– 2510, 2008.
- [25] A. W. Midgley, L. R. Mcnaughton, and S. Carroll, "Effect of the Vo2 time-averaging interval on the reproducibility of Vo2max in healthy athletic subjects," *Clinical Physiology and Functional Imaging*, vol. 27, no. 2, pp. 122–125, 2007.
- [26] E. T. Howley, D. R. Bassett, and H. G. Welch, "Criteria for maximal oxygen uptake: review and commentary," *Medicine and Science in Sports and Exercise*, vol. 27, no. 9, pp. 1292– 1301, 1995.
- [27] J. M. Bland and D. G. Altman, "Statistical methods for assessing agreement between two methods of clinical measurement," *The Lancet*, vol. 1, no. 8476, pp. 307–310, 1986.
- [28] J. H. Zar, Comparing Simple Linear Regression Equations. Biostatistical Analysis, Prentice-Hall, Englewoods Cliff, NJ,USA, 1984.
- [29] P. McAuley, J. Myers, J. Abella, and V. Froelicher, "Evaluation of a specific activity questionnaire to predict mortality in men referred for exercise testing," *American Heart Journal*, vol. 151, no. 4, pp. 890.e1–890.e7, 2006.
- [30] A. S. Jackson, S. N. Blair, M. T. Mahar, L. T. Wier, R. M. Ross, and J. E. Stuteville, "Prediction of functional aerobic capacity without exercise testing," *Medicine and Science in Sports and Exercise*, vol. 22, no. 6, pp. 863–870, 1990.
- [31] D. P. Heil, P. S. Freedson, L. E. Ahlquist, J. Price, and J. M. Rippe, "Nonexercise regression models to estimate peak oxygen consumption," *Medicine and Science in Sports and Exercise*, vol. 27, no. 4, pp. 599–606, 1995.
- [32] J. D. George, W. J. Stone, and L. N. Burkett, "Non-exercise 'VO2max estimation for physically active college students," *Medicine and Science in Sports and Exercise*, vol. 29, no. 3, pp. 415–423, 1997.
- [33] R. Jurca, A. S. Jackson, M. J. LaMonte et al., "Assessing cardiorespiratory fitness without performing exercise testing," *American Journal of Preventive Medicine*, vol. 29, no. 3, pp. 185–193, 2005.
- [34] D. I. Bradshaw, J. D. George, A. Hyde et al., "An accurate VO<sub>2max</sub> nonexercise regression model for 18-65-year-old adults," *Research Quarterly for Exercise and Sport*, vol. 76, no. 4, pp. 426–432, 2005.
- [35] L. T. Wier, A. S. Jackson, G. W. Ayers, and B. Arenare, "Nonexercise models for estimating V·O2max with waist

girth, percent fat, or BMI," *Medicine and Science in Sports and Exercise*, vol. 38, no. 3, pp. 555–561, 2006.

[36] J. Kang, E. C. Chaloupka, M. A. Mastrangelo, G. B. Biren, and R. J. Robertson, "Physiological comparisons among three maximal treadmill exercise protocols in trained and untrained individuals," *European Journal of Applied Physiology*, vol. 84, no. 4, pp. 291–295, 2001.