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Fibroblast growth factor ligands and receptors (FGF and FGFR) play critical roles in tumorigenesis, and several drugs have been developed to target them. We report the biologic correlates of FGF/FGFR abnormalities in diverse malignancies. The medical records of patients with cancers that underwent targeted next generation sequencing (182 or 236 cancer-related genes) were reviewed. The following FGF/FGFR genes were tested: FGF3, 4, 6, 7, 10, 12, 14, 19, 23 and FGFR1, 2, 3, and 4. Of 391 patients, 56 (14.3%) had aberrant FGF (N = 38, all amplifications) and/or FGFR (N = 22 including 5 mutations and one FGFR3-TACC3 fusion). FGF/FGFR aberrations were most frequent in breast cancers (26/81, 32.1%, p = 0.0003). In multivariate analysis, FGF/FGFR abnormalities were independently associated with CCND1/2, RICTOR, ZNF703, RPTOR, AKT2, and CDK8 alterations (all P < 0.02), as well as with an increased median number of alterations (P < 0.0001). FGF3, FGF4, FGF19 and CCND1 were co-amplified in 22 of 391 patients (5.6%, P <0.0001), most likely because they co-localize on the same chromosomal region (11q13). There was no significant difference in time to metastasis or overall survival when comparing patients harboring FGF/FGFR alterations versus those not. Overall, FGF/FGFR was one of the most frequently aberrant pathways in our population comprising patients with diverse malignancies. These aberrations frequently co-exist with anomalies in a variety of other genes, suggesting that tailored combination therapy may be necessary in these patients.

Introduction

Fibroblast growth factor (FGF) ligands and receptors (FGFR) are important pathway components of cell proliferation and differentiation, and are vital in embryonic development, wound healing and angiogenesis.^{1,2} Over the past several decades, there has been extensive progress in understanding the diverse roles FGF/FGFR signaling plays in developmental disorders and oncogenesis.¹⁻⁴ FGF receptors 1–4 are transmembrane tyrosine kinase receptors that bind 18 different FGF ligands with different affinities, **Figure 1**.⁵ This binding ultimately activates a variety of downstream pathways, including the Ras-dependent MAPK and Ras-independent PI3K-Akt pathways.¹ FGF ligands and FGFRs also play a role in angiogenesis, with activation of FGFR1 or FGFR2 leading to endothelial cell proliferation.⁶ Aberrant activation of these pathways underlies a wide number of cancer types where FGF/FGFR family genes are mutated or amplified.¹

Approximately 50 to 60% of invasive bladder cancers harbor FGFR3 mutations (more common in low-grade papillary tumors and less common in muscle invasive disease), leading to

constitutive activation.¹ FGFR1 aberrations are also present in about 10% of hormone receptor-positive breast cancers as well as 10-20% of squamous non-small cell lung carcinomas.² FGFR2 mutations occur in approximately 10% of human endometrial carcinomas, and 15-20% of patients with multiple myeloma overexpress FGFR3 (due to a t(4;14) translocation).⁷ FGF ligand overexpression is also commonly observed. Indeed, amplification of FGF3 occurs in 15-20% of human breast cancers and is associated with increased invasiveness, and approximately 54% of prostate cancers were found to aberrantly express FGF6.^{7,8} FGFR aberrations have been observed in glioblastomas, head and neck tumors, gastrointestinal cancers and melanoma.^{1,2,7,8} Of note, FGF3, FGF4 and FGF19 co-localize on the same amplicon of 11q13 and are frequently co-amplified. Additionally, FGF6 and FGF23 co-localize on 12p13 and are similarly co-amplified, Figure 4.⁹

The possibility of using FGF/FGFR aberrations to predict tumor behavior and design targeted therapies is appealing. As an example, FGF3/FGF4 amplification was found to predict increased response to the tyrosine kinase inhibitor sorafenib in

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Figure 1. Schematic of FGF ligands and their cognate receptors. Relationship between FGF ligands and FGF receptors. A binding relationship is indicated as a red line between ligand and receptor. Various isoforms of the receptors (1c, 1b; 2c, 2b; 3c, 3b; 4D) are included together. FGF14 is not known to bind to any of the FGF receptors. Based on information obtained from (5).

patients with hepatocellular carcinoma.¹⁰ Additionally, a variety of FGFR specific inhibitors are in early clinical development.¹ For instance, an inhibitor of FGFR1–3 (SSR128129E) was found to delay tumor growth and metastasis in human xenograft tumor models, by allosterically inhibiting FGF2 binding to FGFR.¹¹ SSR128129E was also found to improve tumor response to anti-VEGF therapy.¹¹ Indeed, overexpression of FGF ligands and receptors has been shown to increase resistance to anti-VEGF therapy in several tumor types.¹² In this regard, some FGFR inhibitors such as lenvatinib and lucitanib also suppress VEGFR.^{13,14} Both of the latter molecules show considerable activity in the clinic.^{15,16}

The early demonstrated therapeutic effects of FGFR inhibitors in development, in addition to the roles that FGF/FGFR aberrations may play in resistance to other therapies, indicate the clinical relevance of fully characterizing and understanding these aberrations.^{10,17,18} This is especially relevant for FGF ligand amplifications, which have not been widely studied. We used next generation sequencing results in a population of 391 patients with advanced cancer to study the association between FGF/FGFR aberrations and clinical characteristics, outcomes and co-existent molecular alterations.

Results

Patient characteristics

Three hundred and ninety-one patients were analyzed, 221 (56.5%) of whom were women (**Table 1**). Median age was 54.3 y (range 1.0 to 86.4 years). The most common diagnoses were breast (81/391, 20.7%), glioblastoma (28/391, 7.2%), lung (26/391, 6.6%), and melanoma (25/391, 6.4%). Sixty two patients (15.9%) had metastatic disease at time of diagnosis. Two hundred and thirty-six patients (60.4%) had metastatic disease at the time of biopsy. One hundred and forty-nine biopsies (40.7%) were from a metastatic site, while 217 biopsies (59.3%) were from a primary site.

FGF/FGFR abnormalities

Of the 391 patients, 56 (14.3%) had aberrant FGF receptor (FGFR) or FGF ligand (FGF) genes (Fig. 2). FGF/ FGFR aberrations were most frequently found in patients with breast cancer (26/ 81 patients, 32.1%, p = 0.0003) (Fig. 3).

Of the 56 patients with FGF/FGFR aberrations, there were 5 mutations, 54 amplifications, and one FGFR3-TACC3 fusion. The five mutations were all in FGFR genes (one in FGFR1, 3 in FGFR2, and one in FGFR3). Among FGF ligand aberrations, only amplifications were seen in 38 patients (with more than one FGF amplified in the tumors of 26 patients), ranging from 2 patients with FGF14 amplifications to

27 patients with FGF3 amplifications (Figs. 2 and 3). Included among these were 22 patients that had FGF3, FGF4 and FGF19 aberrations concurrently (39.3%). There were only 2 patients that had both FGF3 and FGF4 aberrations without also having an FGF19 aberration (2/56, 3.6%).

There were 12 patients with FGFR1 aberrations; 6 with FGFR2; 4 with FGFR3 aberrations; and one with an FGFR4 aberration. One patient had both an FGFR1 and FGFR2 aberration (1/56, 1.8%).

In breast cancer patients (N = 81), of 26 patients with an FGF or FGFR abnormality, 13 (16%) also had FGF3, FGF4 and FGF19 amplifications. The other 11 patients showed the following aberrations: FGFR1, N = 7; FGFR2, N = 4; FGF6, N = 1; FGF23, N = 1. The FGF6 and FGF23 amplifications occurred in the same patient.

Characteristics associated with FGF/FGFR aberrations

Women more commonly had FGF/FGFR aberrations than men (17.6% of women vs. 10.0% of men, p = 0.041) (Table 1). In univariate analysis, aberrations in FGF/FGFR were also correlated with a diagnosis of breast cancer (N = 26/81; 32.1%, P < 0.0001). There was also a significant association between aberrant FGF/FGFR and liver metastases in univariate analysis (27.6% of FGF/FGFR aberrant patients had liver metastases vs. 11.7% of wild type patients, p = 0.0009) (Table 1).

Additionally, there were a significantly higher median number of aberrations in FGF/FGFR-aberrant tumors than in FGF/FGFR wild-type tumors (8 vs. 3, P < 0.0001). The median number of alterations in FGF/FGFR aberrant tumors was significantly higher than for tumors with alterations in *P53, KRAS* or *MYC* genes (all P < 0.0001).

Finally, 46/56 patients with FGF/FGFR aberrant tumors (82.1%) had metastases at the time of biopsy, compared to 190/335 patients with FGF/FGFR wild-type tumors (56.7%) (p = 0.0003) (**Table 1**). In a multivariate analysis that included metastasis status at the time of biopsy and the presence of FGF/FGFR abnormalities, only the latter remained independently

Table 1. Clinical characteristics of 391	patients with FGF/FGFR aberrations (univariate an	alysis
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Patient characteristics, N = 391	Aberrant FGF/FGFR, N = 56(%)	Normal FGF/FGFR, N = 335(%)	<i>P</i> -value [*]
Gender			
Women (N $=$ 221)	39 (17.6%)	182 (82.4%)	0.041
Men (N = 170)	17 (10.0%)	153 (90.0%)	
Age			
Age \geq 60 y (N = 142)	18 (12.7%)	124 (87.3%)	0.550
Age < 60 y (N = 249)	38 (15.3%)	211 (84.7%)	
Types of cancer diagnosis [§]			
Breast			
Yes $(N = 81)$	26 (32.1%)	55 (67.9%)	< 0.0001
No $(N = 310)$	30 (9.7%)	280 (90.3%)	
Glioblastoma			
Yes (N = 28)	2 (7.1%)	26 (92,9%)	0.401
$N_0 (N = 363)$	54 (14 9%)	309 (85 1%)	01101
	31(11.570)	505 (05.170)	
Ves (N - 26)	5 (19.2%)	21 (80.8%)	0 400
$N_{0}(N - 365)$	51 (14.0%)	314 (86.0%)	0.400
Melanoma	51 (14.070)	514 (00.070)	
V_{05} (N = 25)	1 (4 0%)	24 (96.0%)	0.231
$N_{0}(N = 25)$	55 (15 0%)	24 (90.0%)	0.231
Motoria = 500)	55 (15.6%)	511 (65.0%)	
Voc (N 226)	46 (10 E0()	100 (80 50/)	0 0003
$\frac{1}{100} \frac{1}{100} \frac{1}$	40 (19.5%)	190 (80.5%)	0.0005
No $(N = 155)$	Aborrant ECE/ECEN $-$ 51	143 (93.5%)	
Site of metastasis ($N = 504$)	Aberrant FGF/FGFR $N = 51$	Normal FGF/FGFR N = 255	
Lymph hode	21 (15 10()	110 (04.00/)	0.520
$\operatorname{Yes}\left(N=139\right)$	21 (15.1%)	178 (84.9%)	0.539
NO(N = 105)	30 (18.2%)	135 (81.8%)	
Liver		74 (72 40/)	
Yes (N = 98)	27 (27.6%)	/1 (/2.4%)	0.0009
No $(N = 206)$	24 (11.7%)	182 (88.3%)	
Bone			
Yes (N = 78)	18 (23.1%)	60 (76.9%)	0.113
No $(N = 226)$	33 (14.6%)	193 (85.4%)	
Lung		/>	
Yes (N = 79)	13 (16.5%)	66 (83.5%)	1.000
No $(N = 225)$	38 (16.9%)	187 (83.1%)	
Omentum/Peritoneum			
Yes (N = 49)	6 (12.2%)	43 (87.8%)	0.411
No (N = 255)	45 (17.6%)	210 (82.4%)	
Brain			
Yes (N = 32)	8 (25.0%)	24 (75.0%)	0.210
No (N = 272)	43 (15.8%)	229 (84.2%)	
Soft tissue			
Yes (N = 30)	3 (10.0%)	27 (90.0%)	0.440
No (N = 274)	48 (17.5%)	226 (82.5%)	
Adrenal			
Yes (N $=$ 12)	2 (16.7%)	10 (83.3%)	1.000
No (N = 292)	49 (16.8%)	243 (83.2%)	

*P-values are from Fisher's exact test.

[§]Included characteristics with N \geq 20 of primary cancer diagnosis.

 \P Excluded patients with hematological malignancy (N = 30) and CNS tumors (N = 57). Included site of metastasis with N \ge 10.

associated with a higher number of aberrations (P < 0.0001) (Table S3).

Regarding molecular anomalies, we observed a statistically significant association (univariate analysis) between FGF/FGFR aberrations and abnormalities in CCND1, CCND2, ARID1A, MDM2, ERBB2, AURKA, ATM, RICTOR, ZNF703, ZNF217, MYST3, RPTOR, FLT3, NKX2–1, EMSY and AKT2 (all P < 0.05) (Table S1). There was a trend toward a positive association between FGF/FGFR and anomalies in CDK6/8 (p = 0.051) as well as NFKBIA (p = 0.058).

Multivariate analysis showed that CCND1/2, RICTOR, ZNF703, RPTOR, AKT2 and CDK8 aberrations were significantly (all P < 0.02) and independently associated with FGF/FGFR aberrations (Table 2).



Figure 2. Specific FGF/FGFR aberrations found in patient population. Of 391 patients, 56 had FGF or FGFR aberrations (14%). Of these 56 patients, 22 had aberrations in FGFR1, 2, 3 or 4; and 38 had amplification in FGF3, 4, 6, 10, 14, 19 or 23. The figure shows the frequencies of FGF receptor aberrations and FGF ligand aberrations. Note that some patients had both FGF and FGFR aberrations

Clinical outcomes and FGF/FGFR status

The median time from diagnosis to metastasis was not significantly different in patients with and without FGF/FGFR aberrations (p = 0.402) (Table S2). Similarly, there was no statistically significant difference in overall survival between patients with FGF/FGFR aberrant versus wild-type (p = 0.324) (Table S2).

There was no significant difference in overall median PFS for firstline therapy between patients with FGF/FGFR-aberrant vs. wild-



Discussion

Aberrations of the fibroblast growth factor family, both

ligands and receptors, are frequently found in a wide variety of cancers. Most work so far has focused on FGF receptor aberrations, but FGF ligand amplifications may also be an important molecular feature of certain cancers.¹ In the population considered in this study, 56 of 391 patients (14.3%) had either an FGF or FGFR aberration; 22 of 391 (5.6%) had at least one FGFR aberration and 38 of 391 (9.7%) had at least one FGF ligand aberration. FGF or FGFR aberrations were most frequent in breast cancer (p = 0.0003), with 32.1% (of 81 breast cancer patients) harboring an alteration either FGFR (14.8%) or FGF in (19.8%). The most common FGF/ FGFR abnormality in breast cancer was FGF3/FGF4/FGF19 co-amplification, which occurred in 15 patients (18.5%),



Figure 3. Breakdown of FGF/FGFR aberrations in 391 patients. Frequency chart for 6 main diagnostic categories, showing% of patients in each diagnostic category with FGF or FGFR aberrations and the relative frequencies of amplifications, mutations and fusions. For example, of 81 breast cancer patients, 12 had FGFR aberrations (14.8%) and 16 had FGF aberrations (19.8%).



Figure 4. Chromosomal localizations of FGF/FGFR and other correlated genes. Chromosomal localizations of all FGF ligand and FGF receptor genes found in the patient population, along with the 7 genes (CCND1, CCND2, RICTOR, ZNF703, RPTOR, AKT2, and CDK8) that were found to have abnormalities which were independently associated with FGF/FGFR aberrations in multivariate analysis. Several of these genes, such as CCND1, FGF3, FGF4, and FGF19 co-localize on the same amplicon.

followed by FGFR1 amplification seen in 8 patients (9.9%).

There was no difference in median overall survival, median time from diagnosis to metastasis, or median PFS for first line therapy between FGF/FGFR-aberrant and FGF/FGFR-wildtype patients (Table S2). Similarly, we did not observe any association between FGF or FGFR status and overall survival or time to metastasis in any histology subtype, including breast cancer. Previous studies have shown that FGFR amplifications were associated with increased tumor invasiveness and worsened patient outcomes in several cancer types.¹⁹⁻²⁴ It is possible that no similar associations were seen in our study due to any one or more of several factors: (i) the low number of death events in our population so far (among 56 FGF/FGFR=-aberrant patients, there were 10 death events at the time of analysis); (ii) the fact that our study examined not only FGFR amplifications but also mutations and rearrangements, as well as ligand amplifications; and (iii) the small number of patients in each subgroup.

FGFR1 has previously been found to be aberrant in a variety of cancer types; 8–10% of breast cancers show FGFR1 amplification.²⁵ As mentioned, in our study, 8 of 81 (9.9%) of breast cancers displayed FGFR1 amplification. Additionally, FGFR1 amplification was significantly associated with estrogen receptor (ER) status: 8 of 52 ER-positive tumors were FGFR1 amplified vs. 0 of 29 ER-negative tumors (p = 0.046). Previous studies found FGFR2 to be associated with ER-positive breast cancer, however we found no correlation between estrogen receptor status and FGFR2, FGFR3 or FGFR4 alterations.²⁵ FGFR2 aberrations were found in only 3 of 52 ER-positive (6%) breast cancers.

We found 4 FGFR3 aberrations (out of 391 patients, 1.0%), including 2 amplifications, one R399C mutation, and one FGFR3-TACC3 fusion in a glioblastoma sample. Transforming fusions of FGFR3 and TACC3 have previously been reported in the literature, with the fusion protein inducing chromosomal segregation defects and aneuploidy in astrocytes.²⁷ Of interest, FGFR3 aberrations are reported in about 29% of primary uro-thelial tumors, and in the germline form are associated with dwarfism.^{28,29} Our study cohort, however, had only one patient with a urothelial tumor.

A large number of co-existing aberrations were observed for FGF/FGFR-aberrant tumors. In univariate analysis, aberrations in 15 genes were significantly associated with FGF/FGFR aberration (**Table S1**). A multiple logistic regression method employing a forward selection model identified 6 aberrations that were independently associated with FGF/FGFR status: CCND1/2, RICTOR, ZNF703, RPTOR, AKT2 and CDK8 (all P < 0.02). RPTOR, AKT2 and CDK8 do not co-localize with any FGF or FGFR genes. CCND1 co-localizes with FGF3, FGF4 and FGF19 at chromosome location 11q13. Twenty-two patients

Table 2. Multivariate analysis of patient characteristics (N = 391) associated with FGF/FGFR aberrations

Characteristics [*]	Odds ratio	95% CI	P-value
Gender (female)	1.125	0.376 to 3.367	0.833
Breast primary	2.045	0.632 to 6.623	0.233
Liver metastasis	1.838	0.697 to 4.854	0.219
Molecular alterations:			
CCND1/2	50.000	18.89 to 142.86	<0.0001
ARID1A	4.0323	0.997 to 16.393	0.051
RICTOR	41.667	7.143 to 250.00	<0.0001
ZNF703	83.333	8.264 to 1000.0	0.0002
RPTOR	31.250	1.969 to 500.0	0.015
AKT2	76.923	6.135 to 1000.0	0.001
CDK8	45.455	2.740 to 1000.0	0.008

*Characteristics with *P*-value less than 0.1 in univariate analysis in Tables 1 and 2 have been included; molecular alterations have been selected according to a forward selection model using a stepwise probability of 0.05 for both entry and removal. A multiple logistic regression model was used to determine *P*-values.

(5.6%) had co-amplification of FGF3/FGF4/FGF19 and CCND1 (P < 0.0001). Similarly, CCND2 co-localizes with FGF6 and FGF23 at location 12p13. CCND2 was co-amplified with FGF6 and FGF23 in 5 patients (1.3%, P < 0.0001). These findings are consistent with a recently published study demonstrating association of FGF/FGFR alterations with cyclin gene amplifications.³⁰ RICTOR co-localizes with FGF10 at chromosome location 5p13. Of three patients with FGF10 amplifications, all also had RICTOR amplifications. ZNF703 co-localizes with FGFR1 at chromosome location 8p12. Of 9 patients with ZNF703 amplifications in our population, 7 had FGFR1 amplifications (P < 0.0001).

A significantly higher median number of aberrations were found in FGF/FGFR-aberrant tumors vs. wild-type (8 vs. 3, P < 0.0001). A multivariate analysis showed that FGF/FGFR aberrations were independently associated with an increased median number of total alterations (P < 0.0001), while metastasis at time of diagnosis or biopsy was not. We performed another multivariate analysis and found that co-alterations of FGF3/4/19 and CCND1 also associated with a higher median number of alterations (p = 0.0004). This indicates that the co-localization of FGF3/4/19 and CCND1 explains, at least in part, the association between FGF/FGFR alterations and a higher number of alterations in total (**Tables S3 and S4**). These results may be of importance, as a larger total number of aberrations is of prognostic value in several tumor types, with more aberrations predicting a shorter progression-free survival.^{31,32}

Our study has several limitations. For many histologies, there were only a small number of patients available for analysis. Several alterations occurred in only a few tumors. Furthermore the study evaluated patients with diverse cancers, though it is possible that this aspect could also suggest generalizability of the observations across tumor types. Finally, the study was retrospective and associations with outcome of specific therapy may require a more robust prospective analysis.

In conclusion, abnormalities in both FGF and FGFR are frequent across diverse cancers, with 14% of our patients harboring these aberrations, making aberrations in FGF/FGFR the third most common to occur in our patient population (behind TP53 and KRAS anomalies). Most abnormalities in FGF or FGFR are due to amplifications, although mutations and rearrangements can also be discerned in FGFR (but not in FGF genes), at least in our population. FGF and FGFR co-amplified with multiple other genes that affect key oncogenic pathways, often because they reside on the same amplicon. The latter suggests that patients that harbor FGF or FGFR abnormalities may require tailored combination therapy, which also targets the protein products of those genes that are frequently co-amplified. Common aberrations that coexisted with FGFR/FGF alterations included components of the cyclin and PI3K/Akt/mTOR axis. Therefore combinations that could be explored include FGFR inhibitors such as lenvatinib, pazopanib, or ponatinib with cyclin dependent kinase (CDK) inhibitors such as palbociclib, or with mTOR inhibitors such as temsirolimus or everolimus (all approved drugs). Alternatively, combinations of experimental drugs that target these pathways could also be explored.

Materials and Methods

Patients

Using next generation sequencing, we analyzed the molecular profile of patients with diverse malignancies (all advanced cancers) that were evaluated at the UC San Diego Moores Cancer Center between October 2012 and May 2014. We retrospectively analyzed patient's molecular profile results, demographic data, as well as clinical characteristics such as response to therapy, time to metastasis, overall survival and progression-free survival. The study was carried out in accordance with the guidelines of the UCSD Institutional Review Board.

Tissue samples and genetic analysis

Tissue samples obtained during diagnostic and therapeutic procedures were used to characterize molecular aberrations. Histologies were confirmed at UC San Diego Moores Cancer Center. Formalin-fixed paraffin-embedded samples were used for next generation sequencing at Foundation Medicine (Cambridge, Massachusetts, http://foundationone.com/). The Foundation One test sequences the full coding regions of 236 cancer-related genes, with 47 introns from 19 genes that are frequently altered in cancers. (Nine patients were tested with a prior version of the test comprising 182 genes). The average depth of coverage is greater than 250×.

The Foundation One test detects substitutions, insertions and deletions, copy number alterations (amplifications) and rearrangements. Amplifications were considered present if there was a >8-fold change in copy number. The gene panel used tested the following FGF/FGFR genes: 3, 4, 6, 7, 10, 12, 14, 19, 23, and FGFR1, 2, 3, and 4 (http://www.foundationone.com).

Endpoints and statistical methods

Patient demographics and characteristics were summarized using descriptive statistics. To analyze the association between categorical

variables, Fisher's exact test was used. Time to metastasis was measured from the date of diagnosis to the first reported date of metastasis or last follow up date. Overall survival (OS) was defined as time from diagnosis to last follow up date or death. Progression-free survival (PFS) was defined as the time interval between the initiation of therapy and the first date of disease progression (or the end of therapy, whichever occurred first), or last follow up date. The Kaplan-Meier method was used to estimate the time to metastasis, PFS and OS. The log-rank test and Cox regression methods were used to compare subgroups of patients; all *P*-values were 2-sided. Any variables with *P*-value <0.1 in univariate analyses were included for multivariate analysis. As appropriate, a forward selection model using a stepwise probability of 0.05 for entry and removal was used to further narrow characteristics included for multivariate analysis

(Table 2). *P*-values less than 0.05 were considered significant. Statistical analyses were carried out by MS using SPSS version 22.0 (Chicago, IL, USA) and packages written in the R programming language (http://www.R-project.org/). Gene chromosome localization information was found using the GeneCards database (http:// www.genecards.org/).

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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