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# Endo-lysosomal dysregulations and lateonset Alzheimer's disease: impact of genetic risk factors

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### **Abstract**

Increasing evidence supports that cellular dysregulations in the degradative routes contribute to the initiation and progression of neurodegenerative diseases, including Alzheimer's disease. Autophagy and endolysosomal homeostasis need to be maintained throughout life as they are major cellular mechanisms involved in both the production of toxic amyloid peptides and the clearance of misfolded or aggregated proteins. As such, alterations in endolysosomal and autophagic flux, as a measure of degradation activity in these routes or compartments, may directly impact as well on disease-related mechanisms such as amyloid- $\beta$  clearance through the blood-brain-barrier and the interneuronal spreading of amyloid- $\beta$  and/or Tau seeds, affecting synaptic function, plasticity and metabolism. The emerging of several genetic risk factors for late-onset Alzheimer's disease that are functionally related to endocytic transport regulation, including cholesterol metabolism and clearance, supports the notion that in particular the autophagy/lysosomal flux might become more vulnerable during ageing thereby contributing to disease onset. In this review we discuss our current knowledge of the risk genes APOE4, BIN1, CD2AP, PICALM, PLD3 and TREM2 and their impact on endolysosomal (dys)regulations in the light of late-onset Alzheimer's disease pathology.

**Keywords:** Autophagy, Endolysosomal flux, LOAD risk factors, Alzheimer's disease

#### **Background**

Alzheimer's disease (AD) is a progressive neurodegenerative disorder. Patients present with memory dysfunctions and increasing cognitive impairments as disease progresses. There still exists an unmet medical need for effective treatment options, making the prognosis on the patient's quality of life to be poor after diagnosis. The success rate of AD-clinical trials performed up to 2014 only attained  $\sim 1\%$ , with even a smaller amount of treatments being effectively FDA-approved [1]. Most of our knowledge of the AD pathology has been obtained from patients with early-onset AD (EOAD, < 65 years), though this group merely makes up for a few percent of the AD cases. AD within this group is generally caused by highly penetrant mutations in a limited set of genes, *PSEN1*, *PSEN2*, and *APP*; with heritability ranging between 92 and 100% [2]. On the contrary, late-onset AD

(LOAD), in which patients develop clinical signs after the age of 65, is generally not linked to one specific neuropathological disease cascade or gene mutation. LOAD is likely caused by the co-occurrence of multiple low penetrance risk factor variants in one's genome in combination with environmental factors; only becoming harmful due to the build-up of cellular stress that is caused by cellular dysfunctions or non-optimal mechanisms linked to these variants. As such, LOAD risk factors refer to those genes (and gene products) of which genetic variation within the population causes some individuals to have a higher predisposition towards the development of LOAD as others.

Both EOAD and LOAD are characterized by the progressive build-up of amyloid  $\beta$  (A $\beta$ ) plaques and Tau-composed neurofibrillary tangles in and around neurons. These hall-marks appear relatively late in disease, stirring the debate whether they are a consequence of earlier pathogenic processes. The intracellular accumulation of A $\beta$  peptides, the primary constituents of amyloid plaques, actually precedes plaque formation. Aggregation-prone A $\beta$ 42 already accretes in multivesicular bodies (MVBs) in neurons at

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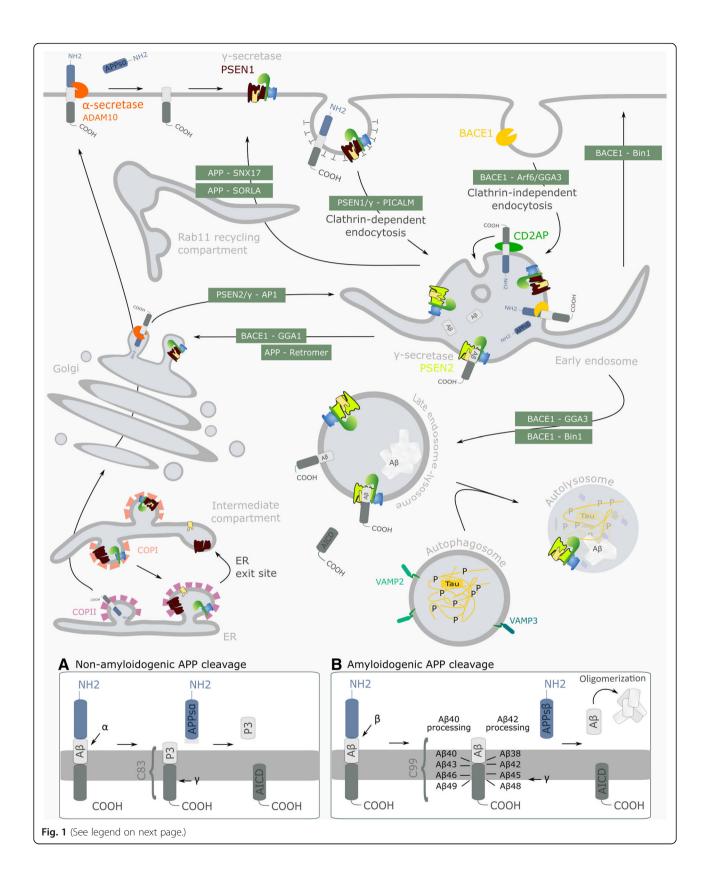
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early preclinical stages [3]; an accumulation that is observed along other endolysosomal abnormalities. These include, but are not limited to, increased Rab GTPase levels that promote more endocytic pathway activity [4], a defective formation of transcytotic Rab11-containing vesicles [5], and lysosomal dysfunctions [6-8]. The endolysosomal abnormalities correlate therefore better with AD-associated early synaptic dysfunctions and cognitive decline as do extracellular plaques [3, 9]. A direct link between Aβ and the cellular endolysosomal trafficking route is found in the processing of A $\beta$  from the amyloid precursor protein (APP) in the associated compartments (Fig. 1). Herein, APP is cleaved sequentially by BACE-1 (β-secretase) and y-secretase, a tetrameric complex that in humans includes anterior pharynx-defective 1 (APH-1A/1B), presenilin enhancer 2 (PEN2), nicastrin (NCT) and catalytically active presenilin 1 or 2 (PSEN1/2) [13]. Thus, four major y-secretase complex variants co-exist within cells and tissues [14]. The distinct subcellular localization of secretases has a direct impact on APP processing (Fig. 1). Given the optimal activity of BACE-1 to be in an acidic pH, the so-called amyloidogenic processing is thought to occur mainly in endosomal compartments from where the produced Aβ can be recycled and secreted [11, 15]. Alternatively, APP can be shedded by α-secretase (ADAM10), localized at the cell-surface but also in the trans Golgi network [10], that prohibits the production of Aβ. Part of APP is routed to late endosomes and lysosomes for alternative degradation or for the generation of in particular longer Aβ, creating an intracellular pool of pathology-relevant Aβ [16]. The importance of these intracellular build-ups as incentives for extracellular aggregation is substantiated by the fact that the intracellular A $\beta$  pools re-emerge first after immunotherapy-induced depletion of both extracellular plaques and deposits within the cell [17]. Of note, the intracellular AB pool is majorly generated through PSEN2/γ-secretases as these complexes reside in late endosomal/lysosomal compartments ([18], Fig. 1). This preferential localization is mediated through a unique aminoterminal sorting motif in PSEN2, which is absent in PSEN1, that binds the AP-1 adaptor complex in a phosphorylation-dependent manner for sorting of these complexes between the trans-Golgi network and late endosomes/lysosomes [18]. Interestingly, some FAD-PSEN1 mutations shift the localization of γ-secretase from a broad distribution at the cell surface and endosomes to late endosomes/lysosomes, increasing the intracellular Aβ pool and implying distinct mechanisms of FAD-associated mutations in PSEN1 [18]. In most cases, FAD-associated mutations in APP and the PSEN genes produce more of the longer AB peptides, underscoring that it is not an increase of total AB but rather a change in the profile of Aβ that is critically associated with disease onset and progression [19].

To date, (genome-wide) association studies identified 27 AD susceptibility loci: ABCA7, ACE2, ADAM10, ADAMTS1, APOE, BIN1, CASS4, CELF1, CLU, CR1, EPHA1, FERMT2, CD2APDSG2, HLA-DRB1, HLA-DRB5, INPP5D, IQCK, MAPT, MEF2C, MS4A6A-MS4A4E, NME8, PICALM, PLD3, PTK2BSLC24H4-RIN3, SORL1, TREM2 and ZCWPW1 [20-23]. Though, MEF2C and NME8 did not reach genome-wide significance in every study so far [23]. With new evaluation methodologies and strategies being developed, additional high confidence aspirant genes are being identified including CHRD, CLCN2, CPAMD8, GRID2IP, GRN, HDLBP, HLA-DRA, MAS1L, MS4A3, NLRP9, RABEP1, SCIMP and WDR76 [24-26]. When grouping these LOAD risk factors into cellular mechanisms it becomes clear that many processes can be directly or indirectly linked to subcellular trafficking routes, in particular related to endolysosomal functioning (Fig. 2). For instance APOE4, ABCA7 and INPP5D are linked to cholesterol transport, while TREM2 and CLU are functionally linked to the clearance of non-native proteins by immune cells. On the other hand, BIN1, CD2AP, PICALM, RIN3, and SORL1 all appear to have functions in endocytic transport regulation, whereas GRN and PLD3 are directed to lysosomes [20-23]. As such, one could argue that a compromised lysosomal homeostasis could be a common denominator in both EOAD and LOAD (Fig. 3). For instance, production and concentration of intracellular toxic Aβ42 species in acidic organelles, as occurs in FAD, could promote AB aggregation to higher molecular weight species capable of seeding amyloid fibrils and potentially damaging organellar membranes [27, 28]. Reversely, LOAD associated risk variants may affect the performance and fidelity of endolysosomal transport regulation, impacting on trafficking and localization of APP and/or its secretases as well as hampering the clearance of APP proteolytic fragments and Tau aggregates through a lower flux in lysosomal/ autophagic degradation. In the same processes, FAD-mutations are then intrinsic factors while LOAD risk genes could be called extrinsic factors, affecting the risk of developing AD (Fig. 3).

In this review, we will discuss particularly our current knowledge on the role of LOAD risk factor proteins in endolysosomal transport mechanisms and how this might relate to mechanisms of disease onset and/or progression. Of focus are 'bridging integrator 1' (BIN1), 'CD2 associated protein' (CD2AP), 'phosphatidylinositol binding clathrin assembly protein' (PICALM), and 'phospholipase D family member 3' (PLD3). We also include the recent advances on 'apolipoprotein E' (ApoE) and 'triggering receptor expressed on myeloid cells 2' (TREM2) with emphasis on their role in the endolysosomal system. The reader is directed to Gratuze et al., for



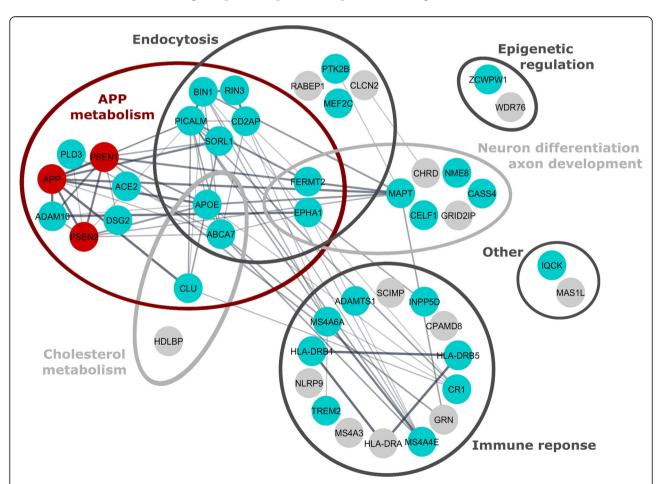
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**Fig. 1** Spatial regulation of non-amyloidogenic and amyloidogenic processing of APP. Dysregulated amyloid precursor protein (APP) trafficking and processing underlies the most prominent hallmark of disease in AD affected areas, represented by an abundance of Aβ soluble oligomers, fibrils and plaques. Non-amyloidogenic processing starts with APP shedding by ADAM10, mainly at the cell surface but as well in the trans-Golgi network [10]. Hereafter, the remaining carboxyterminal fragments (CTFs), C83, are processed by γ-secretase to produce harmless p3 peptides and an intracellular domain (inset **a**). In the amyloidogenic pathway (inset **b** for details), which occurs more dominantly in neurons, APP is cleaved first by BACE1 preferentially in endosomes. This endosomal encountering is spatially regulated as BACE1 and APP are found to follow distinct internalization itineraries, the former mediated through ARF6 and GGA3 [11]. C99 is further processed by γ-secretase in recycling and degradative endosomes. It is first cut at position 48 or 49 of the Aβ sequence (ε-cleavage), followed by a carboxypeptidase-like trimming every three amino acids. This results in the production of different Aβ peptides of which the longer ones, like Aβ42 and Aβ43, are more aggregation-prone. γ-Secretase assembly is thought to occur stepwise during ER-Golgi recycling [12]. Assembled PSEN1 complexes (PSEN1/γ) are active at the cell surface and in sorting/recycling endosomes, while PSEN2 complexes (PSEN2/γ) reside in late endosomes/lysosomes due to an aminoterminal sorting motif that selectively binds AP-1. PICALM may mediate internalization of PSEN1/γ, while CD2AP in neurons sorts APP into intraluminal vesicles of MVBs for degradation in lysosomes, instead of through secretase-mediated processing pathways. BIN1 intervenes in recycling BACE1 to the cell surface as well as to lysosomes. BACE1 and APP sorting between distinct compartments involves several other sorting proteins and adaptor complexes of which some are depicted in this figure: s

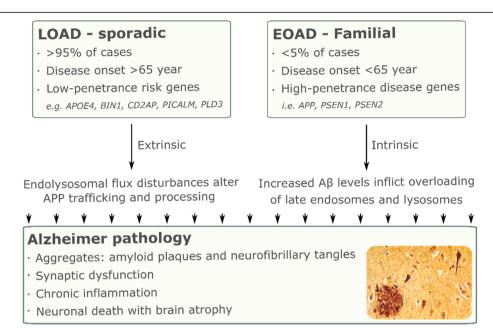
a comprehensive review on the role of TREM2 and microglia in the AD pathogenesis [29].

Before going into detail on these LOAD risk factors, it is to be noted that even though there is not always a direct connection with cell- or region-specific expression

patterns of (risk factor) proteins and the pathological dysfunctions attributed to them, knowing about endogenous levels, subcellular localisations and disease-linked alterations is key to a better understanding of the protein's biological functions in health and disease.



**Fig. 2** Alzheimer disease risk factors and associated cellular mechanisms. Schematic representation of the biological processes linked to the different proteins being linked to familial AD (red dots) and to an increased risk to develop LOAD (cyan dots). Still to be validated LOAD risk factors are indicated as grey dots. Edges denote protein-protein associations. The figure was generated in Cytoscape with the "String enrichment" plugin and completed with recent interactions from Pubmed articles



**Fig. 3** Intrinsic and extrinsic factors dysregulating the endolysosomal flux promote progression to EOAD and LOAD, respectively. APP proteolysis is dysregulated in AD, as shown for instance for familial/early onset AD-associated mutations in *PSEN* genes. FAD-PSEN2 and some -PSEN1 mutations favor a late-endosomal and lysosomal localization of the respective γ-secretase complexes, driving an increased processing of APP into  $A\beta$  peptides. Other PSEN1 and PSEN2 FAD mutations shift the intrinsic cleavage functions of the complex, generating more  $A\beta$ 42 rather than  $A\beta$ 40. LOAD risk factors, on the other hand, entail the not-optimal working of different cellular mechanisms, e.g. the endolysosomal flux, making dysregulations to be build-up until they also start to impact other mechanisms. Hence, an elevation in the intracellular  $A\beta$  pools can originate from two factors: intrinsic ones (mutations linked with APP processing enzymes) and extrinsic ones (variants linked to a dysfunction or lower flux in the endolysosomal system, e.g. trapping γ-secretases in endosomes). While the intrinsic mutations drive FAD/EOAD, the extrinsic variants cause an increased risk of developing LOAD. Both ultimately lead to the characteristic AD clinical phenotype and pathology

Additional file 1: Table S1 provides a comprehensive overview on our current knowledge, albeit with the caveat that not all information is human- or nervous system-derived. Moreover, to date, LOAD-risk factors expression and protein levels have been studied globally in LOAD groups or general AD cohorts. It is, however, likely that within a given LOAD risk gene, different single-nucleotide polymorphisms (SNPs) could induce different expression patterns and/or function alterations (Additional file 1: Table S2, Additional file 2: Figure S1). In support of this idea, inducible pluripotent stem cell-derived endothelium from PICALM carriers of the protective rs3851179 SNP shows a ~1,7-fold higher PICALM level than those carrying a risk SNP. Homozygous endocytes with the protective PICALM allele also display a twofold higher Aβ40 transcytosis [30]. In addition, the rs10792832 SNP in PICALM has a downregulating effect on the 72.2 kDa variant while leaving the 65.8 kDa form unaltered [31]. SNP-induced transcript alterations are also observed for PLD3 where the synonymous A442A variant (LOAD risk: p = 3.78E-7, odds ratio of 2.12) disrupts a splice enhancer binding site, lowering the full length PLD3 mRNA levels in human brain tissue [21]. Hence, caution is needed when interpreting general LOAD cohorts without knowledge

of the SNPs in the used population. Aside from SNPs inducing non-sense mutations or splice variations, LOAD risk factor protein expression can be affected by the (LO) AD pathology itself. As an example, PLD3 transcription is altered through AD-linked epigenetic disturbances, highlighting the potential implication of such regulations in the control of LOAD risk factor expression [32]. Hence, even patients without a LOAD risk SNP could be affected by the associated neuronal endolysosomal stress.

# LOAD risk factors in endolysosomal/autophagic functions

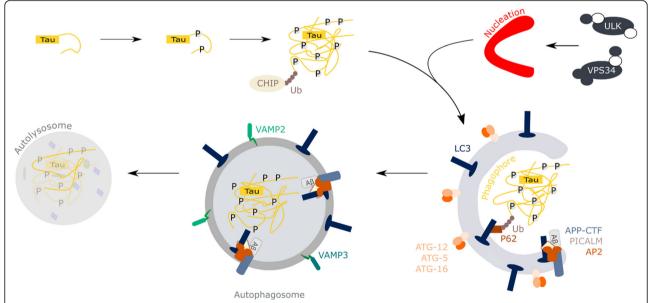
### BIN<sub>1</sub>

BIN1 is a cytoplasmic, vesicle-mediated transport adaptor protein that interacts with for instance Tau, dynamin and clathrin. The major fraction of BIN1 transcripts encodes low molecular weight ( $\sim 65-75\,\mathrm{kDa}$ ) isoforms, which are primarily associated with mature oligodendrocytes. Neurons express full length, exon 7-containing BIN1 (90 kDa), but at a  $\sim 4$ -fold lower level; a ratio which is further tilted in favour of the shorter variants when assessing AD brains [33, 34]. On the subcellular level, BIN1 proteins are broadly distributed across endosomal structures, including early to late endosomes, lysosomes and recycling

endosomes [35, 36]. When not localized to synaptic terminals [37], BIN1 can be detected at axonal initial segments and at nodes of Ranvier [38], as well as along axonal Tau neurofilaments [33, 34]. BIN1 is indeed involved in polarized (axonal) endolysosomal transport and amyloidogenic processing herein. In primary hippocampal neurons, BIN1 controls the generation of AB through the regulation of APP and BACE1 endocytic trafficking [39]. BIN1 is predominantly active in early axonal endosomes where it enables tubule scission and subsequent BACE1 recycling to the plasma membrane [39]. In addition, BIN1 is involved in BACE1- and APP-trafficking to the lysosomes in an ubiquitin-independent and -dependent mechanism, respectively [39, 40]. However, this role could not be recapitulated in vivo; mice bearing a single BIN1 allele deletion show no alterations in endosomal sorting of BACE1 and, hence, no impact on the C-terminal fragment levels of APP that result from BACE1 cleavage (Fig. 1, [41]). While these results require further investigation, BIN1 has also been found implicated in the formation of Tau tangles.

Under healthy conditions, an excess of Tau can be degraded by either the proteasome or the autophagy

pathway (Fig. 4), depending on its structure and post-translational modifications. One of the early problems in AD is that the autophagy process, which is most suited to degrade bulk amounts of old and dysfunctional proteins and organelles, is impaired. These defects precede the build-up of neurofibrillary tangles [42]. More specifically, in the case of LOAD, the dysfunctions can be rooted in risk factor proteins impeding endosomal trafficking (as BIN1 [9]), reducing the degradative potential of lysosomes (like PLD3 [43]), abrogating the initiation of autophagy (like PICALM [44, 45]) or generating physical damage to the vesicles (like APOE4 [46-48]). BIN1 does not only directly bind Tau [34, 49], its protein levels inversely correlate with Tau pathology propagation in an in vitro model of BIN1 knockout rat hippocampal neurons in co-culture with Tau(P301L)-HEK293 cells [37]. The underlying mechanism is found in BIN1 negatively regulating the endocytic flux through Rab5 activation and through its clathrin-interacting CLAP domain. In case of lower BIN1 levels, accumulated Tau promotes new intracellular aggregates by disrupting endomembranes and escaping into the cytosol [37]. The presence of enlarged



**Fig. 4** Role of PICALM in autophagy and the degradation of tau fibrils. The catabolic autophagy cascade engulfs cytoplasmic content such as dysfunctional organelles, aggregated proteins or peptides for degradation in lysosomes. Autophagy can be initiated through different upstream (metabolic) signals that converge into the activation of two protein complexes, the ULK complex (ATG13, ATG101, FIP200 and ULK1) and the VPS34 complex (Atg6, Atg14, VPS15 and VPS34). One of the first steps is the formation of the phagophore that recruits ubiquitinated cargo through receptor proteins like p62 as well as LC3. After closure, a double-membrane autophagosome is formed that next can fuse with lysosomes to form autophagolysosomes: this fusion provides the acidic environment and hydrolases to degrade engulfed cellular material. In (LO)AD, Tau gets hyperphosphorylated, which promotes its aggregation into fibrils that are targeted by the cell for autophagic breakdown. The pathway requires Tau to be ubiquitinated by the CHIP (carboxyl terminus of the Hsc70-interacting protein)-Hsc70 complex. Under normal circumstances autophagy can keep pace with the breakdown of Tau aggregates. When this process gets impaired, as occurs in the AD pathology, Tau aggregates cannot be cleared and start to build up, resulting in the formation of neurofibrillary tangles in the end. PICALM dysregulation in LOAD could affect its normal functioning; the interaction and endocytosis of SNARE proteins by PICALM, e.g. VAMP2, VAMP3 and VAMP8, regulates the autophagy process and, hence, removal of Tau. Moreover, through PICALM's interaction with the complex adaptor protein 2 (AP2), it cross-links LC3 to the APP C-terminal fragment that makes the fragment to be taken up and broken down in the autolysosome

Rab5-positive endosomes and a hampering of endosomal trafficking will act as an incentive for further cellular debilitating events, including pathogenic amyloid processing and disease propagation [9]. Of note, in the context of AD, it was shown that disease propagation is at least partially based on exo- and endocytosis of seeding oligomeric species of protein Tau and A $\beta$  between neighboring neurons. One of the major routes is through release of exosomes, that equal intraluminal vesicles of multivesicular bodies, and that have been shown to sequester and spread pathogenic protein seeds [50, 51]. Hence, distorted endosomal functioning could affect both normal neuronal communication through neurotransmission as well as actively promote disease spreading.

As mentioned previously, BIN1 binds actin, stabilizing Tau-actin bundles and promoting actin polymerization [52]. In this function, BIN1 can also form dynamic plasma membrane microdomains in mammalian adult ventricular cardiomyocytes. These microfolds containing cytoplasmic BIN1 can be released to generate cell-cell communication through microvesicles. BIN1 enables such a process by recruitment of the ESCRT-III subunit 'charged multivesicular body protein 4B' to its BAR domain [53]. Being detected in cardiomyocytes, a similar mechanism is still to be identified in brain-derived cells. However, these BIN1-containing T-tubule domains are not best known for microvesicle generation, but rather for being a calcium signalling apparatus. Interestingly, BIN1 was already found to bind calmodulin in mouse brain lysates [54]. Hence, a role for cerebral BIN1 in both microvesicle formation and/or calcium homeostasis is not to be ruled out. The latter would be of interest regarding the calcium hypothesis of AD, in which calcium alterations may contribute to lysosomal dysfunctions as well as synaptic deficits [55]. Central to the AD pathology lies such a dysfunctional synaptic transmission with a widespread presynaptic cholinergic denervation as a consequence [56]. The connection with the LOAD risk factor BIN1 is found in its binding to dynamin in a 0.5-1:1 ratio. Through the ensuing GTP-dependent membrane fission event, the BIN1-dynamin interaction is able to regulate sizes of synaptic vesicles [57, 58]. Hence, when distorted, endosomal-localised BIN1 could affect not only endocytosis-linked processing of APP, but also the degradation of Tau through autophagy, Tau's spreading in exosomes and generation of synaptic vesicles.

#### CD2AP

CD2AP is a scaffolding molecule functioning in synapse formation through interactions with other adaptors like endophilin, as well as in endocytosis and vesicle trafficking. The 71 kDa protein is strongly expressed in both neurons and blood vessel epithelia (blood brain barrier) [59, 60]. Data on level fluctuations in LOAD patients are

awaited. Accordingly to its functions, CD2AP can be found at F-actin<sup>+</sup>/tubulin<sup>-</sup> neurite tips, branch points and swellings [59]. Importantly, CD2AP also co-distributes with neuronal Rab5 (an early endosomal GTPase) but with a higher preference towards dendrites compared to axons, associating its function more pronouncedly with dendritic endolysosomal flux [39, 59]. When depleted from CD2AP, neurons show an abrogated delivery of endosomal content towards lysosomes in the cell body, including APP. Indeed, CD2AP appears to contribute to the sorting of APP from the limiting endosomal membrane to the lumen for subsequent lysosomal targeting [39]. Hence, knockdown of CD2AP results in endosomal accumulation of APP, promoting its amyloidogenic processing. Neuroblastoma N2a-APP<sub>695</sub> cells wherein CD2AP is stably knocked down as well as CD2AP<sup>-/-</sup> primary mouse cortical neurons secrete significantly less Aβ40 [39, 61]. Knocking out CD2AP in PS1xAPP<sub>695</sub> mice also reduces the Aβ42/Aβ40 ratio of secreted fibrils by 1.3-fold, but no effect is detected on absolute A $\beta$ 40 and A $\beta$ 42 levels. As the decrease in the ratio occurs before plaque deposition, it is hypothesized that lowered CD2AP levels also impact y-secretase distribution and/or affect the trafficking of APP to the cell surface [61]. Of note, apart from APP and secretases, CD2AP impacts epidermal growth factor receptor endocytosis and trafficking [62, 63], besides binding and affecting other proteins of de endocytotic machinery (Additional file 1: Table S3.).

CD2AP interacts with the curvature-promoting protein endophilin in HeLa, HEK293T cells, MDCK (NBL-2) kidney cells and drosophila cells [63–65]. In the latter, the interaction has been found essential for the PSEN-mediated processing of the APP-like protein, the Drosophila orthologue of mammalian APP [64, 65]. In human cells, this interaction implicates CD2AP in clathrin-induced endocytosis of synaptic vesicle membranes [63]. Aside from the reuptake of synaptic vesicles, CD2AP is involved in the formation and loading of exothrough binding the ESCRT component ALG-2-interacting protein X (ALIX) [62]). CD2AP depletion causes the exosomal cargo protein GPRC5B to amass in the vicinity of the cell surface instead of in perinuclear punctate structures, resulting in exosomal GPRC5B levels being reduced by half in HEK293 cells [66]. Whether these defects would affect Tau pathology and how has not been reported. One study detected CD2AP to be a susceptibility locus for cerebrospinal fluid Tau biomarkers [67], though the exact mechanism remains to be determined.

#### **PICALM**

PICALM functions as a clathrin-assembly protein, which recruits the adaptor complex 2 (AP2) to clathrin-coated

pits to control membrane cycling. As for CD2AP, endothelial cells prominently express PICALM aside from neurons [68]. Transcript and protein levels have been shown to be both up- and downregulated in LOAD, depending on the single-nucleotide polymorphism (SNP) variant studied (Additional file 1: Table S2, [30]). Eventual loss of PICALM caused by risk SNPs could be exacerbated by an abnormal cleavage of PICALM by calpain and caspase-3, creating 25 and 50 kDa fragments as assessed in human brain extracts and neuroblastoma cells [69]. In line with its functions, PICALM localizes to clathrin-coated pits or vesicles within HeLa cells [70, 71], COS-1 cells [71], and the CA1 hippocampal region/ stratum radiatum of rats [72, 73]. Here, and in contrast to CD2AP's more polarized localization, PICALM is equally spread across synaptic junctions [73, 74]. The interaction with clathrin-coated vesicles is expected to be transient with ~40% of PICALM proteins to be found in related compartments such as early and sorting endosomes and tubulovesicular Golgi structures near dendritic branch points [71, 72]. PICALM is recruited to the cell surface during clathrin-coated pit formation and altering its expression affects local clathrin distribution [31, 70, 71]. For instance, following knockdown, clathrin-coated structures form larger, wide-necked pits. Mini coats appear as well, generating a heterogeneous population in which only 22% of the structures falls in the normal 100-150 nm range [70]. Despite these effects, PICALM generally does not appear to affect the general clathrin-mediated endocytosis uptake of cargo, but only that of specific proteins [75-77], such as vesicle-associated membrane proteins (VAMPs; i.e. VAMP-2, VAMP-3 and VAMP-8), as detected in HeLa and neuroblastoma cell lysates [75, 78]. Through direct interaction, PICALM ensures VAMP internalization and their localization in endosomal compartments where they are required for mediating fusion events [75]. Of the different risk factors, PICALM is most intensively studied with respect to APP proteolysis. For example, the NCT subunit of the y-secretase complex appears to compete with VAMP8 for binding to PICALM. This is of importance as the NCT-PICALM interaction enables the internalization of y-secretase at the cell surface, impacting on the endosomal maturation of y-secretase [76, 78]. As a consequence, non-amyloidogenic processing is promoted in neuroglioma cells in which PICALM is downregulated, as demonstrated with a 60% decreased soluble APPβ over soluble APPα ratio. More moderate effects are also observed for APP protein levels that reach only 73% of control levels in these cells [31]. A decreased amyloidogenic processing in PICALM downregulated cells is also supported in in vivo models. Heterozygous PICALM+/- mice of 5 months old have a 20% reduced Aβ42/total Aβ ratio [78]. When crossed

with mice overexpressing human APP, harbouring the Swedish and Austrian FAD mutation, their piriform cortex shows a lower  $A\beta$  plaque burden at 15 months, resulting in less astrogliosis, but with no effects on Tau levels [76]. A similar correlate between decreased PICALM expression and plaque load is observed in hippocampi of a related transgenic APP/PS1 model [79].

However, the effects of PICALM expression on APP processing are not yet clarified as also inverse effects have been documented. For instance, lentiviral overexpression of PICALM in primary rat cortical neurons dose-dependently rescues neurons from the toxicity of extracellular soluble AB oligomers, as assayed by the retention of both ATP content and MAP2+ count [80]. In addition, APPsw/0xPICALM+/- mice of 9 months of age have hippocampal and cortical AB loads that are 4-fold higher compared to APP<sup>sw/0</sup>xPICALM<sup>+/+</sup> mice [30]. This negative correlation between APP pathology and PICALM would be in accordance with PICALM enabling LC3-II to bind to APP-CTFs for autophagic degradation through its interaction with AP2 ([81], Fig. 4). Hence, it is most likely that the overall observed effect can be attributed to the combination of all the mechanisms that are represented by the model system and the specific conditions used. Factors such as expression levels that are influenced by the impact of splice variants and SNPs may affect as well PICALM's cellular distribution, association with binding partners and as such, its overall fidelity in endocytic transport regulation. In support, depending on the cell model used, PICALM knockdown also differentially affects transferrin endocytosis [31, 70, 77, 79].

As can be inferred from the above, PICALM contributes in the normal process of autophagy (Fig. 4). In HeLa cells, PICALM co-localizes with ATG16L1 on autophagic precursors [45], whereas in frontal cortices of patients with different neurodegenerative diseases, including AD, PICALM levels inversely correlate with those of the autophagic markers LC3-II and Beclin-1 [44]. Hence, when PICALM levels drop below the normal range, this leads to autophagic dysfunctions. The dysregulations already occur in the early steps of the process, with lower numbers of ATG5/ATG12/ ATG16L1-positive phagophore precursors being present [45]. Moreover, the ATG12 vesicles that are present, are of a smaller size due to less homo- (VAMP2) and heterotypic fusion (VAMP3) of VAMP proteins. As such, VAMP8-regulated autophagosome-lysosome fusion was also found defective in the absence of PICALM [45]. This PICALM depletion-induced autophagic dysfunction can functionally cross intersect with AD-Tau pathology [74] (Fig. 4). Alternative splicing of PICALM is positively correlated with Tau tangle burden (Braak stage) and is primarily glial in nature [82, 83]. PICALM co-localizes

with phosphorylated-Tau (3R and 4R), which exists in an inverse relationship with the levels of the autophagic markers LC3-II and Beclin-1. Of note, this correlation is not only found in homogenates of frontal cortices of AD patients, but of other neurodegenerative diseases as well, e.g. frontotemporal lobar degeneration, Pick Disease and progressive supranuclear palsy [44]. In accordance, knockdown of PICALM in HeLa cells results in a build-up of both Tau and phosphorylated Tau in addition to autophagic substrates such as p62 and mutant hunting-tin [45]. Together, such an inverse link with Tauopathies could be obtained through a PICALM depletion-induced autophagic dysfunction [44].

#### PLD3

PLD3 is an atypical member of the phospholipase family as its canonical lipase domain (HXKXXXXD motif) has a conserved Asp to Glu substitution, prohibiting the production of phosphatidic acid from phosphatidylcholine [84, 85]. Two transcript variants exist, one of 2200 bp, which is ubiquitously expressed, and one of 1700 bp that is highly expressed in neurons. PLD3 expression is about halved in AD brains and its cellular depletion is further linked to an increased presence in senile plaques [21, 32, 86]. Being lysosomal localized, PLD3 can be found mainly in the soma and proximal neurites of cortical and hippocampal-pyramidal neurons as well as in dentate gyrus granule cells of human non-AD and AD brains [86]. It is a glycosylated type 2 transmembrane protein that during transport through the secretory pathway becomes proteolysed by cysteine proteases, releasing a carboxy-terminal luminal domain fragment, likely the active protein, that finally becomes sorted to lysosomes [87–89]. PLD3, like its closest homologue PLD4, functions as a lysosome-resident exonuclease alike spleen phosphodiesterase, with ssDNA as a substrate [43]. As such, both dendritic cells and macrophages of PLD3-deficient mice do show exaggerated toll-like receptor 9 (TLR9) inflammatory responses, having ssDNA being a ligand of TLR9 [43]. Data on whether PLD3 risk variants would also lead to substrate accumulation and general abrogation of lysosomal functioning as well as whether neurons would encounter similar problems are currently not known.

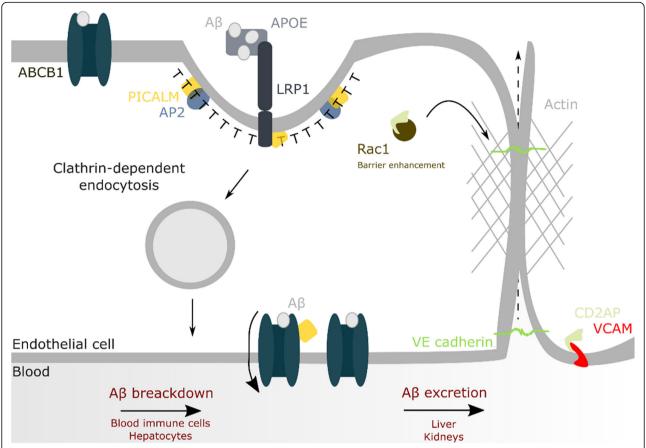
On the other hand, PLD3 has already been linked to endosome-to-Golgi retrieval [87, 90]. When knocked out in SH-SY5Y and HeLa cells, the formation and stability of tubular structures positive for sorting nexin-1 and MICALL1 are about 40 and 75% lowered, respectively. The levels of APP processing regulator sortilin-1 are further reduced, leading to less sortilin-1 association with APP and, as a consequence, more processing of APP to A $\beta$  [87]. A 50% knockdown in N2a-APP<sub>695</sub> cells leads to almost a doubling of extracellular A $\beta$ 42 and A $\beta$ 40 levels,

which can be rescued by overexpressing *PLD3* [21]. In contrast however, an in vivo study on 3 months old PLD3 $^{-/-}$  mice crossed with the App $^{NL-G-F/NL-G-F}$  knockin model did not detect increased Aβ40 and Aβ42 levels in cortices and hippocampal tissue. Interestingly and reflecting the functional contribution of PLD3 in lysosomal homeostasis, primary and secondary lysosomes had increased densities and dimensions and an almost five times higher prevalence of intra-lysosomal lipid droplets [91]. Hence, it might not be excluded that compensatory mechanisms in vivo could be concealing the impact on APP pathology at early time points in the disease progression.

Thus far we have discussed the implications of LOAD risk factors PICALM, BIN1, CD2AP and PLD3 with respect to their postulated roles in endocytic and lysosomal transport regulation in particular to what is known in neurons and in the brain. However, due to their ubiquitous expression and general functioning in keeping the endolysosomal flux directly or indirectly in check, their altered expression in LOAD cases may have a broader physiological impact relevant for AD neuropathogenesis of which some aspects are discussed hereafter.

# Blood-brain barrier integrity and amyloid transcytosis

Being a physical barrier between the brain and the peripheral system, the blood-brain barrier (BBB) maintains different transcytosis and paracellular transport mechanisms. One of these pathways enables the clearance of excessive neurotoxic Aβ through peripheral mechanisms ([92], Fig. 5). Although cerebral cells can take up some Aβ for clearance, the periphery is well equipped to remove Aβ from the system, with peripherally-produced or brain-exported Aβ generating no aberrant pathology. If not broken down by blood immune cells and hepatocytes, AB can be excreted through the liver or kidneys [93]. Hence, an abrogated export of neurotoxic Aβ from the brain, would contribute to an increased risk in developing AD. PICALM and CD2AP have been shown to be directly or indirectly involved in the process of AB BBB transcytosis. The importance of the proper functioning of this pathway is supported by patients harboring SNPs in either of these risk factors to not only present with an increased risk for LOAD, but for vascular dementia as well [94]. Primary endothelial cells of the frontal cortex of AD patients indeed show a ~50% reduced basolateral-to-apical transcytosis of Aβ. The same cohort had ~ 34% lowered *PICALM* expression as compared to controls, suggesting a potential critical role for PICALM in this mechanism [30]. This function would be in accordance with (i) the binding of PICALM to the ABC transporter P-glycoprotein and the low density lipoprotein receptor related protein-1 [30, 95], (ii) its expression



**Fig. 5** Involvement of LOAD risk factors at the BBB. Transport of  $A\beta$  through endothelial transcytosis is PICALM-dependent and a major pathway for clearing  $A\beta$  from the brain.  $A\beta$ -bound ApoE and free  $A\beta$  bind the low density lipoprotein receptor-related protein 1 (LRP1) that associates with PICALM during transcytosis. CD2AP contributes in the upkeep of BBB integrity through a process hypothesized to involve its binding-partner Rac1. Endothelial CD2AP further interacts with VCAM (CD106) and ICAM-1 (CD54), affecting immune cell extravasation

being primarily linked to CD31/vWF-positive microvessels [96], and (iii) results from heterozygous knockout models (as PICALM knockout is embryonically lethal) that show an AB40 and -42 efflux across the BBB that is reduced by 41 and 61%, respectively [30]. In support for its critical role in the BBB, endothelial-specific rescue of PICALM expression almost re-normalizes the Aβ load as well as A $\beta$ 40 and A $\beta$ 42 levels [30]. In contrast to a transcytosis mechanism that gets abrogated, the flow from the interstitial fluid to the cerebrospinal fluid is unaffected by PICALM levels [30]. The latter may be an explanation as to why this route is used as a compensatory rescue one when the PICALM+/- mice are further crossed with APPsw/0 mice, leading to ~2.4/2.5-fold increased interstitial fluid  $A\beta_{40}/_{42}$  levels at the age of 3 months [30].

The BBB of AD patients does not only display dysfunctional transcytosis, its integrity also gets compromised. The incidence of cerebral microbleeds is about thrice as high in AD patients than in elderlies with a normal cognition [97]. Moreover, brain infarct events are not just significant

modifiers of the degree of AD clinical impairments. A multifactorial data-driven analysis showed LOAD patients to exhibit ~ 80% more intra-brain vascular dysregulation as compared to alteration degrees in other mechanisms, including AB processing and metabolism [98, 99]. Interestingly, LOAD risk factors have also been connected to BBB integrity. CD2AP-/- mice generally show a renal failurelinked lethality. However, when solved by nephrin-driven CD2AP expression, it is possible to detect an increased BBB permeability, which is not associated with proteinuria. In accordance, these mice exhibit an increased susceptibility to seizures [100]. Nonetheless, a barrier failure may not be limited to the BBB. CD2AP is indeed not only expressed in neurons and blood vessel epithelia, but also in lymphocytes, the liver and kidneys [59, 60, 101]; organs known to be important for Aβ peptides degradation and excretion. Hence, aside from compromising the BBB integrity, CD2AP dysregulations could also impact the removal of amyloid peptides through peripheral systems. Reductions in CD2AP levels have already been shown to affect the orientation and positioning of glomerulus cells of the kidneys in vivo [102].

The latter can, at least in part, be attributed to a CD2AP link to Rac1 and its role in cell-cell contacts (Fig. 5): CD2AP is responsible for mediating the interaction between Rac1 and ICAM-1 (intercellular adhesion molecule-1, an integrin binding protein), and possibly VCAM. When impaired due to CD2AP loss, ICAM-1 clusters into complexes, leading to a 5-fold increase in transcellular migration and a reduced lateral migration distance of neutrophils on human umbilical vein endothelial cells [103, 104]. If recreated at the BBB, this would entail an increased influx of inflammatory cells in the brain, potentially contributing to the inflammatory profile of (LO)AD.

Although BIN1 has not yet been implicated in BBB integrity, intestinal barrier tightening has been observed in a mouse model of colonic inflammation treated with a BIN1 monoclonal antibody [105]. Overall, and besides their role in affecting APP processing through endolysosomal regulation, possible dysregulations of paracellular transport mechanisms caused by LOAD risk SNPs should not be ignored, in particular when viewing BBB-crossing pathways as interesting targets to deliver compounds to the central nervous system [106]. If a considerable share of the known LOAD risk factors is indeed acting on BBB functions, this would represent an additional obstacle to get medication into patient's brains.

# Neuronal outgrowth, connectivity and plasticity

CD2AP is a positive regulator of collateral sprouting, directly impacting neurite length and complexity, and supporting plasticity [59]. In cerebellar granule neurons, it signals through tropomyosin receptor kinase A (TrkA) and p85, and enables outgrowth signals to cross long distances by promoting TrkA incorporation in RAB5<sup>+</sup> signalling endosomes [59]. Furthermore, PICALM depletion reduces both the number and outgrowth of dendrites in young neurons [72, 107]. Though this phenotype could be attributed in part to a loss of the polarized distribution of VAMP2, VAMP2 mis-sorting on itself is not able to generate the PICALM knockdown neurite growth phenotype [72]. Interestingly, overexpression of PICALM in embryonic rat hippocampal neurons promotes the generation of ~ 20% more axons and not at the expense of neurites [72]. Of note, these data demonstrate that LOAD-associated SNPs could modify outgrowth and plasticity mechanisms through affecting the expression and thus normal functioning of the proteins, and which could also underlie a worsened synaptic function and transmission in the neuronal network of AD patients.

# Cell signalling involved in cell cycle regulation and apoptosis

Like most tissues, the nervous system contains stem cells which, through amplification and differentiation, are capable of replacing damaged and/or aged cells. Interestingly, oligomeric  $A\beta_{42}$  has been found to possess neurogenic characteristics, a property not shared by monomeric and fibrillary  $A\beta_{42}$ . Hence, it could be hypothesized that neurogenesis is promoted in the early stages of the disease, while being downregulated in later stages, even further hampering brain homeostasis [108]. In support, while adult hippocampal neurogenesis is abundant in neurologically healthy subjects, it decreases abruptly in AD patients [109]. Though the impact of LOAD risk factors on this matter needs to be studied much more in detail, using (human) neuronal models, other systems already allude to especially CD2AP impacting cell renewal and cell death mechanisms.

A knockout of CD2AP in human epithelial cells increases the prevalence of disordered (isotropic) actin structures, leading to dysfunctional cell migration and wound healing characteristics [110]. These results are in line with those of CD2AP<sup>-/-</sup> HeLa cells that display late defects in cytokinesis, leading to multinucleation being observed [111]. CD2AP actually binds anillin, a protein well known for its role in regulating cytoskeletal dynamics, e.g. as during cytokinesis [111]. Since CD2AP is also attributed with a role in cell differentiation [60], it can be hypothesized that CD2AP-associated risk SNPs oppose cell renewal, putting pressure on homeostatic balance preservation. In support of this idea, downstream of CD2AP, loss in TGF-β signalling results in elevated pro-apoptotic p38-signalling and less anti-apoptotic PI3K/AKT and ERK1/2 pathway activity [112]. An effect can also be expected from the direct interaction between CD2AP and p85, the regulatory subunit of PI3K [64]. As both studies have been performed in glomerular podocytes, the confirmation of this cascade in neuronal cells is still awaited.

BIN1 in turn binds to E3 ubiquitin-protein ligase Itchy homolog (ITCH), which regulates the protein stability of p63 and, as such, both the cell cycle and the apoptosis process [113, 114]. PLD3 is a potential senescence marker, appearing in membranes of p16<sup>+</sup> or p21<sup>+</sup> human bladder cancer EJ cells in the senescent stage as assessed in a mass spectrometry screen [115]. A PLD3 knockout in NIH/3T3 and primary mouse fibroblasts promoted resistance to oxidative stress, leading to 10% more cell survival [116]. Given that PLD3 risk SNPs lower full length mRNA levels in LOAD patients, it is to be investigated how this would work and to which variants these apparent opposing effects could be attributed [21]. Lastly, PICALM was detected to play a role in the cellular iron metabolism [117, 118]. PICALM knockout mice do not only display signs of anaemia as cell autonomous erythroid defects and lower haemoglobin levels, they present with a dysregulated haematopoiesis as well, resulting in a defective spleen B cell population and fewer bone marrow cells [117]. The iron deficiency phenotype is accompanied by lower

intracellular labile iron pools, limiting cellular proliferation in general [118].

# Neuronal support provided by oligodendroglia

Oligodendroglia are the key iron-containing cells in the brain and, when damaged, may also contribute to detrimental amyloid aggregation through the release of these iron pools [119]. Interestingly, oligodendroglia are the main BIN1 expressing cells of the central nervous system with their BIN1 levels being four times as high compared to neurons [33]. This difference in expression pattern gets even more pronounced during AD progression when oligodendrocytes start to upregulate BIN1 levels while those in neurons get downregulated [33, 34, 120]. Though, it is to be noted that this decrease could be a reflection of the neuronal segment to die off when AD progresses. In addition, it remains to be elucidated whether the BIN1 upregulation would contribute to the protective functioning of oligodendroglia towards neurons, preserving neuronal homeostasis. Sustained high activation grades could also tip the balance into a pathological state, similar as to the one of astroglial scar formation; a general mechanism induced to cope with nearly any type of damage of the brain that flips into a detrimental feature itself. In this regard, mutations in BIN1 are not restricted to AD with some also being associated to neuromuscular phenotypes [33, 121].

While oligodendroglia have not been of primary focus in the (LO) AD research field, evidence is growing that they contribute to disease progression and the neuronal damage associated with it: (i) neurofibrillary tangle formation in AD is linked to myelination patterns, (ii) hub proteins - such as CNP, PLP1 and MYRF - of the myelin-oligodendrocyte network are strongly dysregulated in AD in vitro and in vivo [122, 123], and (iii) PSEN1 mutations cause oligodendroglia to die at an expedited rate, with their calcium homeostasis being dysregulated and the cells being more vulnerable for the excitatory glutamate and amyloid peptides characteristic to AD [124]. Moreover, oligodendroglia not only support neuronal functioning through generation of neurotrophins and stabilizing neuronal connections, neurons themselves signal through glutaminergic synaptic junctions that are formed with the processes of a subset of oligodendrocytes, which possess calcium permeable AMPA receptors [125]. Hence, either beneficial or detrimental, it is likely that BIN1 dysregulations in one cell type could impact others, based on its associated functions. However, the impact of LOAD BIN1 variants has not been studied in this context so far.

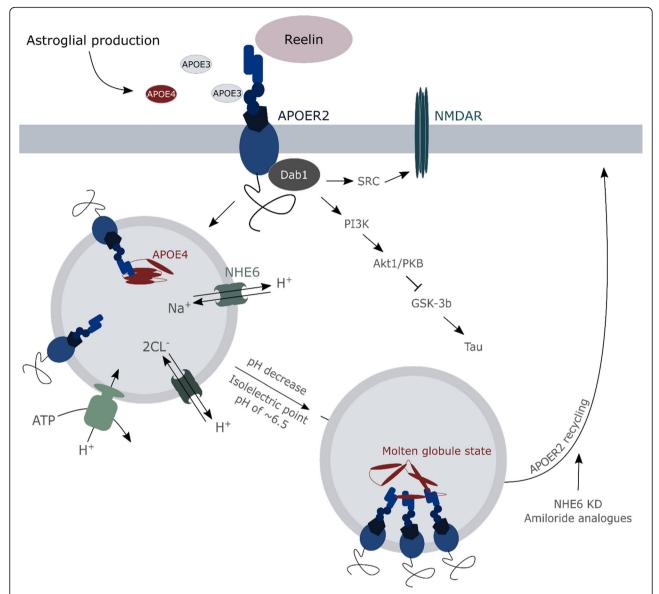
# Alterations of endosomal functions related to ApoE and Trem2

### ApoE beyond cholesterol metabolism implications

ApoE is the best known and, besides ageing, the first discovered risk factor for LOAD [126]. Three ApoE alleles exist, differing only at two residues from one another

[ApoE2 (Cys112, Cys158), ApoE3 (Cys112, Arg158), and ApoE4 (Arg112, Arg158)]. Most people are carriers of ApoE2 or ApoE3 alleles, 7 and 79% of the population, respectively. Considerable regional differences are noted for the E4 allele frequency: globally, about 14% of people are estimated to carry at least one ApoE4 allele, with ~ 2% being homozygous carriers (ALZGENE). While the ApoE2 allele reduces the chance to develop LOAD, one copy of the ApoE4 variant elevates the risk for AD up to three-fold, while ApoE4 homozygosity increases this further to a 12 times higher risk [127]. These are population-based values, which may deviate from an individual-level risk one has regarding the cumulative effect of SNPs in a person's genome [128, 129]. Notwithstanding, the ApoE2 allele keeps getting ascribed with a beneficial/protective effect that may in part be attributed to a recently discovered impact of glial-secreted ApoE on the activation of surface ApoE receptors [130]. The subsequent differential downstream induction of the DLK-MKK7-ERK1/2-MAP kinase pathway causes APP to be expressed in a ApoE4 > ApoE3 > ApoE2 potency rank order [130].

Aside from having an impact on APP expression, ApoE was found to play an important role in APP metabolism as it is involved in the uptake of extracellular A $\beta$ , through binding the A $\beta_{12-28}$  region to facilitate its clearance from the interstitial space [131]. ApoE2 and ApoE3 are more efficient in performing this function than ApoE4. As a result, double transgenic ApoE4x-APPSW/PS1dE9 mice show two-fold higher extracellular Aβ loads as do ApoE2 or ApoE3 carriers [131]. The most critical step for correct ApoE functioning in this process appears to be the seeding stage. Amyloid deposition kinetics indeed follow an S-curve in which the nucleation of deposits takes some time (nucleation phase), leading to the generation of small aggregates (seeding phase) on which further deposits can be quickly added (rapid growth phase). In this last phase, the expression of ApoE4 in humanized ApoE mice did not significantly affect the plaques anymore in comparison to those of ApoE3 allele-expressing mice [132]. The underlying reason can (in part) be found in the critical concentration for Aβ deposition into insoluble plaques to be reached faster in APP<sub>SWE</sub>/PS1 $\Delta$ E9 mice carrying the ApoE4 allele [132]. In addition, Prasad and Rao described a new way of ApoE4 impacting the internalization of Aβ in an astroglia model of human ApoE isoform-expressing  $ApoE^{-/-}$  mice [133]. The presence of the ApoE4 variant in astrocytes co-occurs with elevated nuclear levels of the histone deacetylase 4. This increase entails epigenetic alterations being carried out, negatively affecting the expression of the Na<sup>+</sup>/H<sup>+</sup> exchanger NHE6 that regulates the endosomal pH (Fig. 6). Consequently, endosomes become hyper-acidified resulting in a failure of



**Fig. 6** Vesicular acidification by NHE6 impacts ApoE4 stability. The APOE receptor 2 (APOER2) binds the different ApoE isoforms, which are subsequently internalized into endosomes. Passing through the cellular transport axis, endosomes start to acidify through a continuous electrogenic proton influx, pumped into to lumen by V-ATPases. To ascertain that proton accretion is bound within limits, channels can exchange these protons for 2 Cl<sup>-</sup> or Na<sup>+</sup>, performed by i.a. the Na<sup>+</sup>/H<sup>+</sup> exchanger NHE6. Under healthy conditions, the acidification enables the APOER2 to be dislodged from APOE, which then relocates to the cell surface again to contribute in N-methyl-D-aspartate receptor (NMDAR) activation. Fluctuations of pH are not only important for a proper receptor recycling, but also for a correct folding of ApoE. The isoelectric point of ApoE4 is higher as the ones of ApoE2 and E3, making its isoelectric point to be already reached in endosomes in contrast to the other variants. Hence, ApoE4 will adapt a molten globule state in sorting endosomes-lysosomes, which not only promotes APOER2 clustering (hindering recycling to the plasma membrane) but also displays a high affinity for phospholipids. These are most likely oxidatively damaged, impacting the mechanical characteristics of the membrane and, as such, their capacity to withstand disruptions e.g. induced by Aβ. The therapeutic potential of targeting this pH-linked instability of ApoE4 has been positively assessed, reducing the levels of the Na<sup>+</sup>/H<sup>+</sup> exchanger NHE6 and using sodium channel blocking amiloride analogs. Aside from ApoE isoforms, the APOER2 interacts with the extracellular matrix serine protease – Reelin – in a competitive fashion. Upon activation by Reelin, a signaling cascade is induced which abrogates tau hyperphosphorylation and promotes the influx of Ca<sup>2+</sup> through the NMDAR

the A $\beta$  receptor low-density lipoprotein receptor-related protein 1 (LRP1) to be recycled to the plasma membrane, thereby negatively impacting A $\beta$  clearance [133]. Such aberrations could also be underlying the reduced LRP8 receptor recycling by the ApoE4 allele, causing

synaptic dysfunctions by reducing LRP8-Reelin interactions that are required for downstream NMDA receptor activation and Ca<sup>2+</sup> influxes [134]. Both LRP8 and NMDA receptor recycling and their plasma membrane levels can be restored in primary mouse cortical neurons

by using amiloride analogues (e.g. EMD87580) and by the genetic downregulation of NHE6, pinpointing altered vesicular acidity to be a main driving factor of ApoE4 trafficking dysregulations ([46], Fig. 6).

However, it is to be noted that ApoE allele variance was not found to impact LRP1 recycling in an in vitro assay on primary rat cortical neurons [46] nor in human ApoE-targeted replacement mice [135]. Thus depending on the model used, additional mechanisms could influence the effect of ApoE biology on receptor recycling. In the study of Zhao et al., ApoE4 was detected to show a higher affinity for insulin receptors than ApoE3, prolonging the stay of the receptors in endosomes and, hence, their recycling to the plasma membrane [135]. By contrast, in the model of Xian et al, no effect of ApoE variants was seen on endocytic cell surface receptors that do not directly interact with ApoE itself, e.g. the insulin and transferrin receptors [46]. Irrespective of some discrepancies between studies, aforementioned results point to receptor trafficking being one part of a larger series of vesicular dysfunctions linked to ApoE4. For instance, one ApoE4 allele was found to affect the expression of other endosomal transport regulators (e.g. Rab5b, Rab7 and Snx3) and to result in a higher amount of Rab5a positive early endosomes and lysosomes [136]. ApoE4 carriers exhibit higher levels of the phosphoinositol phosphatase synaptojanin 1 as well [137], which is involved in the maturation of autophagosomes and endolysosomal trafficking in general [138]. Hence, the more pronounced autophagic dysfunction in AD-related ApoE4 carriers could also be attributed to ApoE4 dysregulating the autophagy mechanism.

ApoE4 and dysregulated lysosomal acidification are, in general, firmly connected. RNA-seq data from ApoE3/4 mouse entorhinal cortices reveal an upregulation of the expression of up to nine subunits of the endolysosomal V-ATPase (proton pump) as compared to ApoE3/3-linked levels [136]. Moreover, ApoE4 has already been described with different deleterious effects when localized in an acidic environment [47, 48, 139]. Structural lability of ApoE4, due to a higher isoelectric point than the other ApoE isoforms, makes it adopt a molten-globule state in acidic environments that is prone to promote receptor clustering ([46, 140, 141], Fig. 6). ApoE4 reactively binds phospholipids and destabilizes the membrane, causing disruptions with lysosomal leakage as a consequence [47, 48]. Because of its reduced solubility, ApoE4 is more susceptible to accumulate in lysosomes when cellular lipid peroxidation levels are high and in the presence of an iron overload [142], which are characteristics of AD-linked ferroptotic cell death. These effects could be reinforced by astroglial secreted ApoE (both ApoE3 and ApoE4) enhancing the lysosomal pathway [48].

ApoE4 may further impact another clearance pathway of Aβ, namely transcytosis through the BBB. It was

shown that pericytes of the BBB are able to clear  $A\beta42$  aggregates in a LRP1/ApoE isoform-specific interaction, with the mechanism only working with astrocyte-derived lipidated ApoE3 but not with ApoE4 [143]. It has not yet been checked whether ApoE2 would be even more efficient in the process as is ApoE3. Aside from an increased concentration-based likelihood to form oligomers, it is not to be overlooked that ApoE drives  $A\beta$  seeding through their binding of pyroglutamylated  $A\beta$  fibrils [144].

# TREM2 beyond inflammation suppression

TREM2 is a type I transmembrane glycoprotein of the immunoglobulin-lectin-like superfamily primarily expressed on immune cells. As such, TREM2 has been classified as an inflammation-linked risk factor for LOAD. The most common SNP rs75932628-T (R47H) has an odds ratio of  $\sim 3$ and is associated with a loss of TREM2 functioning [145]. Other variants of TREM2 include Q33X, R52H, R62H, R62C, T66 M, D87N, T96K, R136W, E151K, H157Y, and L211P ([146], Additional file 2: Figure S1). TREM2 elicits (chronic) inflammatory responses in dendritic cells, macrophages and microglia. In HEK293T cells, this inflammatory dysregulation is closely connected to TREM2-linked autophagic functions and with its continuous shuttling to and from the plasma membrane, where TREM2 is shed by ADAM10 [147]. Soluble TREM2 interacts with Aβ42 oligomers and although this interaction is not affected by TREM2 LOAD risk variants, TREM2 SNPs negatively affect Aβ internalization, possibly through affected ApoE interaction [148]. Human induced pluripotent stem cell-derived monocytes and transdifferentiated microglialike cells with lowered TREM2 expression or TREM2 loss-of-function show a similar impaired clearance of amyloid plaques in an ex vivo amyloid plaque clearance assay, comprising sections of mouse brains (6-month-old APP/ PS1<sup>+/-</sup>) [149]. This could not only be linked to a reduced Aβ uptake, but with degradation as well. TREM2 can be detected in early and late endosomes [150]. Interestingly, recombinant TREM2 binds to ApoE [151], supporting that TREM2 affects endo-lysosomal functioning reminiscent of ApoE. The TREM2-APOE pathway is actually a major promotor of the microglial phenotypic switch to an amoeboid-phagocytic phenotype in which the tolerogenic characteristics of microglia are subdued [152]. The TREM2-APOE cascade involves miR-155 activation with downstream effectors as Mef2a and PU.1. When activation is lost due to TREM2 deficiency, microglia are stuck in the homeostatic phenotype status, making them unable to respond appropriately towards apoptotic neurons under stress [152].

Dysregulations of TREM2 in AD can also be connected to the mTOR pathway. Anomalous mTOR

signalling results in less activation of mTORC1 and mTORC2 effectors. In turn, decreased downstream signalling increases the formation of autophagic vesicles, a morphological characteristic observed in AD patients with TREM2 risk variants and transgenic animals with lowered TREM2 levels [153]. Related to this, decreased levels of the autophagy-specific PI3K complex proteins, beclin1 and Vps34, disrupt retromer-mediated recycling of TREM2 [154]. Interestingly in this regard, brains of general AD post-mortem cohorts also exhibit significantly lowered levels of this complex [154]. Hence, TREM2-linked autophagic dysregulations could be occurring in patients without TREM2 risk SNPs as well.

#### **Conclusions**

In the past decade, genetic meta-analyses provided us with a growing list of risk loci that has led to the identification of risk genes (albeit, for many risk loci the actual gene variant still needs to be identified). In contrast to EOAD risk genes, these risk variants are more commonly found in the population but confer very little overall risk and thus less predictive value regarding the chance of developing AD. However, they allow the identification of enriched pathways that guide molecular cell biologists and biochemists to a better understanding of the cellular dysregulations that initiate a pathological AD cascade. As such it will be of interest to identify those risk factors active in the same pathway or mechanism, and possibly pinpoint a converging point at which different upstream dysregulations tip the balance towards disease [9]. Risk factors active in cholesterol, immunity and endolysosomal mechanisms remain of major interest as these can modulate both AB production and clearance events, the latter through activated microglia, the BBB and/or peripheral clearance systems. With further genome analyses being carried out, new LOAD risk factors are expected to be identified, which could further converge on similar affected regulatory pathways. Indeed, a recent study identified new genome-wide loci that suggest or confirm associations with ADAM10, ADAM10TS1 and ACE, demonstrating that genetic variants affecting APP metabolism are also associated with LOAD and not only with EOAD [23]. These genetic analyses will need to be merged into a larger integrative approach comprising the analysis of expression- and epigenetic profiles. As such, the transcription factor PU.1 of the risk factor SPI1/CELF1 locus was detected as the gene within this locus with the highest association with AD age of onset and to have cis-regulatory, epigeneticregulated elements in genes as CD33, MS4A4A, and TREM2, explaining the strong link between SPI1/PU.1, myeloid cells and AD [155]. Moreover, the study detected expression quantitative trait loci (eQTLs) evaluations of age of onset-defined survival to show less associations if whole brain lysates where used instead of using data from only myeloid cells. Not surprisingly as they make up only a small fraction of the total brain population of cells [155]. These results are in line with the general trend of studying only one specific cell type up to single cells.

Looking at the different functions of risk factors, it may be clear that several are (in)directly linked to the proper functioning of the blood-brain barrier and in particular in transcytotic events. If these mechanisms gradually fail, it will directly affect the efficiency of Aβ clearance to the blood stream. Hence, LOAD patients with such SNPs could present with even more cerebrovascular dysregulations as patients in the general AD cohort [156]. However, the reverse is also true as an optimally working BBB is essential for efficient drug delivery strategies, e.g. currently focused on bifunctional antibodies directed against the transferrin receptor [157]. Thus, the involved pathways should be rigorously tested in a LOAD risk gene background for a drug delivery agent to become successful. This may also hold true for nanomedicines for nose-to-brain delivery [158]. Although the olfactory neuroepithelium is the only region of the central nervous system that is not shielded by the blood-brain barrier, drug-nanocarriers need to reach the brain through intracellular axonal transport across olfactory and trigeminal nerves or uptake in the cerebrospinal fluid; mechanisms that also demand an intact functioning endolysosomal transport system.

Though many risk genes and the proteins they encode function in conserved cellular mechanisms, their expression patterns differ between the different cell types in the brain. For instance, the more pronounced expression of risk factors like TREM2 and ApoE4 have channelled much attention to disease associated microglia (DAMs). It will be a major next task for geneticists to correlate genome-wide associations of risk loci with single cell analyses to identify cell populations that are particularly enriched for the identified risk variants as this will instruct life scientists on what cell types to prioritize when studying the role of these associated risk genes/proteins. A more difficult factor to analyse is when risk variants start to impede on these enriched pathways, leading to AD pathology. As an example, DAMs surrounding plaques could be considered a relatively late event. Thus studying the impact of risk variants like TREM2 or ApoE4 in vivo at the stage of DAMs might not provide us full insights of their contribution to early, preclinical stages of AD pathology, i.e. before plaques start to occur. Further to this, a human-specific context is a critical aspect in deciphering AD relevant molecular mechanisms as evidenced by a human/mouse brain chimeric model [159]. When human pluripotent stem cell-derived cortical neuronal progenitor cells were transplanted in an APP<sub>swe</sub>xPSEN1<sub>L166P</sub> transgene model, they became integrated into the mouse brain, getting exposed to a high burden of A<sub>B</sub>. This resulted in the human specific pathological features including neurodegeneration, cell death and tau pathology that lack in otherwise classical murine AD models [159]. This transplant model can now be exploited to systematically evaluate the effects of LOAD risk variants, not limited to human neurons but also other cell types such as microglia. Still, many functional aspects of risk gene variants will continue to be studied in cellular models. However, also here, a transition should be made from the classical (non) neuronal APP/PSEN1 overexpressing cells to patient-derived human neurons, including gene-corrected isogenic controls. In order to cross compare data from different labs, one should put efforts in standardizing differentiation protocols as well as set up functional criteria that should be minimally explored.

Several risk variants described in this review function along spatially distinct steps of for instance endolysosomal regulation; nevertheless they all contribute to the risk of developing AD, indicating that they converge on similar or identical pathways or organellar functions. Thus, besides exploring how variants affect the intrinsic functions of the associated risk gene/protein, their impact on subcellular signalling, metabolomic pathways - including in particular lipid alterations - should not be ignored. One strategy to explore this is to exploit omics profiling of intracellular organelles in a disease context [160]. Plasma membrane omics could provide mechanistic insights in underlying altered endosomal transport regulation, as demonstrated in PSEN deficient cells [161], but also provide novel therapeutic targets as currently about two thirds of known drugs target cell surface localized proteins. Secondly, given the emerging role of lysosomal dysfunction in many neurodegenerative diseases, knowing their biomolecular composition could provide valuable insights on the overall effect that risk variants have on this degradative hub of the cell/neuron. This has been recently validated in Nieman-Pick disease type C1 deficient cells using magnetically isolated lysosomes [162]. These strategies can even be extended to metabolome profiling of lysosomes [163], which bears great promise for applications in the context of neurodegeneration.

While socioeconomic, cultural and environmental factors can differently contribute to the risk for AD, it is also unlikely in most if not all cases that a single LOAD risk SNP may be sufficient to drive progression to AD pathology. Such an oligogenic basis of disease has already been described in patients presenting with amyotrophic lateral sclerosis [164, 165]. In addition, the loss of VPS13C functioning in early-onset forms of Parkinson disease was recently detected to aggravate PINK1/

Parkin-dependent mitophagy [166]. Regarding AD, Bonvicini et al., found that 34% of LOAD patients carries 2 or more rare/common risk variants, a percentage that is increased to 69% in the EOAD group [167]. Hence, one could argue that in future genetic analyses, more cases will emerge with combined risk variants. As such, it could also be interesting to look at risk factors' synergistic effects to elucidate LOAD-driving pathways. Finding the true interaction potential of different risk factor SNPs could be applicable across the whole AD spectrum. Epidemiology studies already indicate that correlations exist between a patient's genetic risk score and endophenotypes [129], making it interesting to start generating subgroups that share phenotypic features and biochemical or cellular pathways. Such information is critical for precision medicine which provides a basis for later personalized medicine.

In conclusion, the plethora of LOAD risk loci and variants underscore the multifactorial nature of AD onset and progression involving the different cell types in the brain and periphery. Deciphering the spatial and temporal functions and defects will however be inevitable to identify the vulnerable pathways and networks that become primarily under pressure in the ageing brain, and that, when traversing a critical threshold, initiate an irreversible and progressive neurodegenerative cascade towards a pathological AD state.

### **Additional files**

Additional file 1: Table S1. Overview of studies on BIN1, CD2AP, PICALM and PLD3 expression levels. The table summarizes the transcript and protein levels of the LOAD risk genes, as detected in in vitro and in vivo studies, as well as the subcellular localization of the associated protein when studied. N.d.; not determined. Table S.2 LOAD-associated SNPs. Overview of the different SNPs within BIN1, CD2AP, PICALM and PLD3 that are linked to LOAD development. If studied, the associated clinical effects are listed. Table S3. Summary of the known protein interactors of BIN1, CD2AP, PICALM and PLD3. The table further includes the model(s) in which the interaction was studied, as well as the validation method(s), involved region within the proteins, and observed effects downstream of binding. (DOCX 191 kb)

Additional file 2: Figure S1. Schematic representation of BIN1, CD2AP, PICALM, PLD3 and TREM2 structures and the relative positions of known SNPs. Abbreviations: ANTH, AP180 N-terminal homology; BAR, binamphiphysin-rvs; CATS, family with sequence similarity 64 member A; CBD, clathrin-binding domain; ENTH, epsin N-terminal homology; FHL2, four and a half LIM domains 2; PDE1, phosphodiesterase type 1; SH3, SRC homology 3; VAMP8, vesicle-associated membrane protein 8. (TIF 1027 kb)

### Abbreviations

AD: Alzheimer's disease; ALIX: ALG-2-interacting protein X; ApoE: Apolipoprotein E; APOER2: ApoE receptor 2; APP: Amyloid precursor protein; Aβ: Amyloid-β; BBB: Blood-brain barrier, BIN): Bridging integrator 1; CD2AP: CD2 associated protein; DAMs: Disease-associated microglia; EOAD: Early-onset AD; FAD: Familial AD; ITCH: E3 ubiquitin-protein ligase Itchy homolog; LOAD: Late-onset AD; LRP: Lipoprotein receptor-related protein; NCT: Nicastrin; NMDAR: N-methyl-D-aspartate receptor; PICALM: Phosphatidylinositol binding clathrin assembly protein; PLD3: Phospholipase D family member 3; PSEN: Presenilin; RABEP1: Rab GTPase-binding effector protein 1; SNP: Single nucleotide polymorphism;

TREM2: Triggering receptor expressed on myeloid cells 2; TrkA: Tropomyosin receptor kinase A; VAMP: Vesicle-associated membrane protein

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#### Availability of data and materials

Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

#### Authors' contributions

ZVA compiled literature surveys and made tables and figures; ZVA, MB and WA contributed to the writing of the manuscript. All authors read and approved the final manuscript.

#### Ethics approval and consent to participate

Not applicable.

#### Consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

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