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# **Realistic high‑resolution lateral cephalometric radiography generated by progressive growing generative adversarial network and quality evaluations**

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**Realistic image generation is valuable in dental medicine, but still challenging for generative adversarial networks (GANs), which require large amounts of data to overcome the training instability. Thus, we generated lateral cephalogram X-ray images using a deep-learning-based progressive growing GAN (PGGAN). The quality of generated images was evaluated by three methods. First, signal-to-noise ratios of real/synthesized images, evaluated at the posterior arch region of the frst cervical vertebra, showed no statistically signifcant diference (t-test, p= 0.211). Second, the results of an image Turing test, conducted by non-orthodontists and orthodontists for 100 randomly chosen images, indicated that they had difculty in distinguishing whether the image was real or synthesized. Third, cephalometric tracing with 42 landmark points detection, performed on real and synthesized images by two expert orthodontists, showed consistency with mean diference of 2.08± 1.02 mm. Furthermore, convolutional neural network-based classifcation tasks were used to classify skeletal patterns using a real dataset with class imbalance and a dataset balanced with synthesized images. The classifcation accuracy for the latter case was increased by 1.5%/3.3% at internal/external test**  sets, respectively. Thus, the cephalometric images generated by PGGAN are sufficiently realistic and **have potential to application in various felds of dental medicine.**

At present, many clinicians use cephalometric analyses to better understand the underlying basis of a malocclusion<sup>1[,2](#page-8-1)</sup>. A cephalometric analysis consists of identifying landmarks that represent important facialstructure points and evaluating the distances or angles between the landmarks and the lines that compose the landmarks $^{3-5}$ . This enables a quantification of the relationship between the facial bone structure and the teeth. However, because of the over-simplifed and omitted measurement details in malocclusion research, clinical applications for diagnosing all types of malocclusion are inadequate<sup>6</sup>. Hence, in addition to comparing individual measurements with a normal image, many clinicians also evaluate anatomical patterns, including soft tissue<sup>[7](#page-8-5)</sup>.

Recently, owing to progress in the computer-vision feld and the rapid development of deep learning, many studies have investigated the automated diagnosis of cephalometric X-ray images using deep learning. Yu et al.<sup>8</sup> classifed patients' structural patterns using deep learning, without using cephalometric tracing information. They achieved a mean accuracy of over 90% for skeletal pattern (i.e., Class I, Class II, Class III) classification. However, they trained the model with a dataset that excluded ambiguous skeletal classes. These unclear patientselection criteria could make the model inapplicable in actual clinical settings. Lee et al.<sup>9</sup> proposed a method to classify patients for successful treatment by orthodontics or maxillofacial surgery. However, because information regarding the patients' skeletal features was absent, their method is difcult to apply in an actual clinical setting.

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The aforementioned issues are a result of insufficient data to enable deep-learning models to learn sufficient anatomical structures to discriminate pattern diferences, and also the signifcantly low amount of data for the abnormal skeletal patterns used to learn various occlusion patterns<sup>10</sup>. Augmenting images using geometric transforms or intensity variations are advances that can be applied to solve the mentioned issues<sup>11,12</sup>. However, geometric and intensity augmentations (e.g., translation, rotation, scaling, and fltering) do not improve performance because these types of transforms do not signifcantly change their intrinsic image properties.

To address this issue, generative adversarial networks (GANs[\)13](#page-9-5) have been widely used to synthesize infnitely unique images using unsupervised methods<sup>[2](#page-8-1),[14](#page-9-6)</sup>. Few studies have applied GANs to generate realistic images in the clinical region. Frid-Adar et al.<sup>[2](#page-8-1)</sup> synthesized computed tomography (CT) images around liver lesions using a GAN. They performed a convolutional neural network (CNN) classification between a dataset that was classically augmented using geometric or intensity transformations and a dataset that was synthetically augmented using a GAN. The classification performance using synthetic augmentation was 5% better in terms of sensitivity and specificity. This study shows that using synthetic images for data augmentation can overcome the smalldataset problem.

Sandfort, et al.<sup>14</sup> used CycleGAN<sup>15</sup> to generate non-contrast CT images from contrast CT images. They augmented the dataset by combining contrast CT and synthesized non-contrast CT images. Then, they assessed the segmentation performance on organs, e.g., kidney, liver, and spleen. The average performance showed a Dice score of 0.747, which is considerably higher than that of using a dataset containing only contrast CT images (Dice score of 0.101). Consequently, synthesizing images using GANs has potential for various applications, such as data augmentation of various disease cases to increase CNN performance, diagnostic assistance, treatment planning, and physician training.

In this study, lateral cephalometric images were trained to synthesize realistic images. Among the various types of GANs available, a progressive-growing GAN (PGGAN)<sup>16</sup> was chosen. Validations were performed to evaluate quality and utility. For the quality evaluation, signal-to-noise ratio (SNR) calculation on the posterior region of the frst cervical vertebra, image Turing test, and landmark tracing were performed. In terms of utility, CNN-based classifcation task was performed to validate whether the class balanced dataset by adding synthesized images could be used for increasing performance in a real cephalometric dataset with an intrinsic class imbalance issue.

### **Methods**

**Training‑data collection.** A total of 19,152 cephalometric images of patients who received orthodontic treatment between 2009 and 2019 were obtained (institutional review board (IRB) No: P01-202011-21-032) from the Kooalldam Dental Hospital in Korea. From this total, 3319 poor quality images that were used for testing equipment were excluded. Finally, 15 833 images were used for the PGGAN training. The mean age of the patients was 25.7±7.2 years ranging from 19 to 76 years and 35% were male.

Tis retrospective study was conducted according to the principles of the Declaration of Helsinki and was performed in accordance with current scientific guidelines. The study protocol was approved by the IRB of the Korea National Institute for Bioethics Policy, Seoul, Korea. Informed consent was acquired from all the patients and from 13 readers who participated in the image Turing test.

**PGGAN training.** PGGAN is a variant of GAN architecture with a different training method. The traditional GAN has two networks, a generator and a discriminator. Tese two networks act in an adversarial manner: the generator produces a synthesized image and the discriminator indicates whether this image is real or not. The distinctive characteristic of PGGAN training is that the generator generates images progressively. Both GAN and PPGAN progressively grow starting from a low resolution (4×4 pixels) to a high resolution  $(1024 \times 1024$  $(1024 \times 1024$  $(1024 \times 1024$  pixels) by adding layers to the network, as shown in Fig. 1. This method enables a stable training by learning from easier images with coarse structure to difficult ones with fine details. PGGAN was chosen for cephalometric image generation because this model performed better in reconstructing global structures and fine details with a high-resolution quality among other GAN variant models $17-19$  $17-19$ .

The 15 833 lateral cephalograms were used to train the PGGAN in an unsupervised manner. The input images were resized from 1880 × 2360 to 1024 × 1024 pixels without considering the aspect ratio. Two Titan-RTX 24-GB graphics processing units (GPUs) were used, the learning rate was set to 0.001, and other parameters were fxed as default. Consequently, synthesized images were produced, and metric evaluation, image Turing test, landmark tracing, and augmentation efficacy test were performed to validate the model. Here, synthetic cephalometric X-ray images generated by GAN would have a distribution similar to that of a real dataset including gender, age distribution, imaging parameters, and X-ray machines, as GAN is known to train the distribution of the training dataset. As an example, comparisons between real and synthesized images with skeletal pattern are shown in Fig. [2.](#page-3-0) Here, the PGGAN was used from a public website (Tensorfow-gpu 1.6.0, Python 3.4.0).

**Signal‑to‑noise ratio measurements.** SNRs were measured for 100 images (50 real and 50 synthesized) to evaluate whether the contrast of anatomically distinct features in cephalometric radiography show consistency between real and synthesized images. Here, 50 real images were randomly selected from the PGGAN training set and 50 synthesized images were randomly generated by the trained PGGAN. The distinct feature was chosen at the posterior arch of the frst cervical vertebra because it is clearly defned to all images. For the noise estimation, tissue regions at the posterior direction of the posterior arch of the frst cervical vertebra were chosen to avoid interference with other bone regions. The signal and noise regions of the 100 images were manually segmented by M. Kim. An example of segmented image is shown in Supplementary Appendix Fig. S2. For each image, the signal was calculated by taking the mean of the posterior arch of the first cervical vertebra region. The

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<span id="page-2-0"></span>**Figure 1.** Conceptual architecture of PGGAN for training. PGGAN synthesizes images from a low resolution  $(4 \times 4$  pixels) to a high resolution  $(1024 \times 1024$  pixels) by adding layers to the network.

noise was calculated by taking one standard deviation (SD) of  $10 \times 10$  pixels with center at a manually segmented region. Finally, the SNR was estimated by taking the ratio of the signal and the noise. We conducted a t-test of SNRs between the real and synthesized images to evaluate the statistical diferences. Here, the R statistical environment, version 3.5.3, was used for the statistical analysis, with a significance level of  $p < 0.05$ .

**Image Turing test.** For the image Turing test, we prepared the 100 images used for SNR measurement. The image Turing test was conducted with 13 readers by displaying images one-by-one through a dedicated webbased interface. Two of the readers were dental students, four were dental residents, and seven were dental specialists. The dental residents consisted of two non-orthodontic and two orthodontic residents. The dental specialists consisted of two non-orthodontic specialists, two orthodontists with 10 years of clinical experience, and three with 20 years of clinical experience. We divided the readers into two groups, non-orthodontists (Group 1) and orthodontists (Group 2), and compared their results.

To reduce the environmental variability during the image Turing test, the images were displayed in the same order and earlier answers were prohibited. Readers were informed that there were 50 real and 50 synthesized test images. In addition, none of the readers had experienced synthesized images before the test. All readers successfully finished the test. The sensitivity, specificity, and accuracy were derived for evaluation after the test. Here, we define a real image as positive and a synthesized image as negative. The inter-reader agreement of the image Turing test was evaluated using the Fleiss Kappa<sup>20</sup>.

**Cephalometric tracing on synthesized images.** Cephalometric tracing by identifying landmarks is important step for orthodontic diagnosis and treatment planning. To use synthesized image on augmentation purposes for improving deep learning models and other clinical situations, landmarks containing clinical information should be identifed accurately. To verify the position recognition rate of landmarks, a total of 42 landmarks were traced by two orthodontists (J. Park and S. E. Jang) on the 50 synthesized images used for SNR measurement. The orthodontists knew that the cephalometric images were synthesized. They traced the landmarks according to their anatomical defnitions. A cephalometric image with the landmark positions is shown in Supplementary Appendix Fig. S1 and their names are shown in Supplementary Appendix Table S1. We compared each point of traced landmark differences between the two readers. Then, the average difference was calculated and diferent landmark points were discussed.

**Efcacy of generated images as augmentation for class imbalanced dataset.** To verify the utility of the synthesized images, a CNN-based classification task was performed. The task consisted of classifying skeletal patterns (i.e., Class I, Class II, and Class III) with and without adding synthesized images for balancing



<span id="page-3-0"></span>**Figure 2.** Examples of real and synthesized images for each skeletal pattern.

the intrinsically imbalanced dataset. Our hypothesis was that if synthesized images contained clinically important information, the augmentation could increase classifcation performance.

The dataset was obtained from the Department of Orthodontics in 10 multi-centers in Korea. The distribution of skeletal patterns is 601 for Class I, 490 for Class II, and 553 for Class III, which has not a signifcant class imbalance. The skeletal patterns were classified on the A point-Nasion-B point angle. The dataset was divided for training and internal test with ratio of 9:1. In addition, 181 skeleton patterns from eight medical centers in Korea were prepared as an external test set.

Synthesized images were also prepared for balancing the number of images in the real dataset. Tus, 3000 synthesized images were randomly generated using trained PGGAN. Then, their skeletal patterns were classified using the model developed in this study using only real data set. The number of synthesized images classified in each class were 1550, 765, and 685, for Classes I, II, and III, respectively. To overcome classifcation error

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	Group 1	Group 2	Overall
Total			
Accuracy (%)	$49.2 \pm 6.4$	$67.1 \pm 15.7$	$58.8 \pm 15.2$
Sensitivity (%)	$67.6 \pm 11.0$	$75.4 \pm 13.5$	$71.8 \pm 13.0$
Specificity (%)	$31.1 \pm 19.2$	$58.9 \pm 29.9$	$46.1 \pm 29.0$

<span id="page-4-0"></span>**Table 1.** Average assessment results for readers in each group.



<span id="page-4-1"></span>**Figure 3.** Human performance in the image Turing test.

and increase classifcation accuracy, synthesized images among the 3000 were chosen with likelihood criteria of model output. With the likelihood of 0.9 criteria, 940, 435, and 483 images were collected for Classes I, II, and III, respectively. Among them, 299, 410, and 347 images were added to Classes I, II, and III, respectively, to balance the class imbalance in the real dataset. Finally, 740 images in each class were set up for model training.

For the training, vanilla DenseNet-169<sup>[21](#page-9-12)</sup> was used. Training was performed using two datasets: the real dataset with intrinsic class imbalance and a class balanced dataset with addition of synthesized images. For the model validation, both internal test and external tests were performed and their sensitivity, specifcity, and accuracy were derived. Here, pytorch version 3.6 and tensorfow version 2.3 were used for the development.

The study protocol was reviewed and approved by the IRB of SNUDH (ERI20022), Korea National Institute for Bioethics Policy for KADH (P01-202010-21-020), Ajou University Hospital Human Research Protection Center (AJIRB-MED-MDB-19-039), AMC (2019-0927), CNUDH (CNUDH-2019-004), CSUDH (CUDHIRB 1901 005), EUMC (EUMC 2019-04-017-003), KHUDH (D19-007-003), KNUDH (KNUDH-2019-03-02-00), and WKUDH (WKDIRB201903-01).

#### **Results**

The SNRs for the real and synthesized images were  $23.54 \pm 10.80$  and  $26.46 \pm 12.13$ , respectively, and the t-test showed no statistically significant difference  $(p=0.211)$  between the SNR values.

The results of the image Turing test are shown in Table [1](#page-4-0), with mean accuracy, sensitivity, and specificity of the readers. The results of each reader are presented in Supplementary Appendix Table S2. The sensitivities of Groups 1 and 2, which were  $67.6 \pm 11.0$  and  $75.4 \pm 13.5$ , respectively, were not significantly different. In contrast, the specificity of Group 1 (31.1 $\pm$ 19.2) was considerably lower than that of Group 2 (58.9 $\pm$ 29.9). As a result, the mean accuracy of Group 1 was lower than that of Group 2. The sensitivity *versus* specificity for each reader can be visualized in Fig. [3.](#page-4-1) The mean Fleiss Kappa was 0.023 for Group 1 and 0.109 for Group 2, which indicates that the classifcation inter-rater agreement was poor for both groups.

The landmark-position differences between the two orthodontists are shown in Table [2](#page-5-0). The average difference was  $2.08 \pm 1.02$  mm. The landmark position with the largest difference was the occlusal plane point  $(5.95 \pm 2.42 \text{ mm})$ .

The classification performances of the model trained using only the class imbalanced real dataset and that using the dataset balanced by the addition of synthesized images were tabulated in Table [3](#page-6-0). In the internal dataset, overall accuracies were 83.4 and 84.9%, respectively. In the external dataset, they were 82.9 and 86.2%,



<span id="page-5-0"></span>**Table 2.** Inter-reader identifed-landmark position diference for 42 landmarks.

respectively. The accuracy increased by 1.5 and 3.3% for internal and external datasets, respectively. Figure [4](#page-6-1) shows the confusion matrices for both the internal and external test sets.

# **Discussion and conclusions**

We generated highly realistic cephalometric X-ray images using a PGGAN model. The image Turing test showed that the specifcity of Group 1 was signifcantly lower than its sensitivity. Tis indicates that the non-orthodontists' group could not discriminate the synthesized images, whereas for the orthodontists' group, it was relatively easy to fnd artifacts in the synthesized images. In addition, the sensitivities of Groups 1 and 2 were not considerably diferent. Tis result indicates that non-orthodontists and orthodontists had similar difculties to discriminate the image as real.



<span id="page-6-0"></span>**Table 3.** Accuracies of the classifcation model for internal and external test sets. Training using only the real dataset is indicated by *Real images* and training using the real dataset with generated images is indicated by+*Generated images.*



<span id="page-6-1"></span>**Figure 4.** Confusion matrices of classifcation task for internal (**a,b**) and external (**c,d**) datasets. Lef: performance of trained model using only the real dataset. Right: performance of trained model using the real dataset and synthesized images.

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<span id="page-7-0"></span>**Figure 5.** Regions of interest for the most prominent diference features. (**a**) Overlapped teeth region (red color) and radiopaque line (blue color). (**b**) Occlusal-plane point. (**c**) Cortical line of the mandibular. (**d**) Ramal planes.

The most prominent difference between the real and synthesized cephalometric images was in the teeth region. In the synthesized images, the teeth frequently overlapped each other; thus, their anatomical structure could not be clearly distinguished (see red box in Fig. [5a](#page-7-0)). In addition, the radiopaque line at the cortical bone was artifcial in most of the synthesized images (see blue box in Fig. [5a](#page-7-0)). Group 2 was familiar with cephalometric images, thus they could easily use these features to identify the synthesized images. Group 1 had difculties distinguishing between the real and synthesized images.

Most of the landmark points identifed by the orthodontists had no signifcant diferences between them. Because the landmark positions are identifed by the relative positions of anatomical structures, the diferences were evaluated by dividing them into the horizontal and vertical axes of the Cartesian plane. Using this metric, 29 landmark points out of 42 showed less than a 2 mm diference in the Cartesian plane. For point A at the maxilla and point B at the mandible regions, the diferences in the horizontal direction were smaller than those in the vertical direction. These points were mainly used to evaluate the anterior-posterior relationship. In contrast, the anterior and posterior nasal spine points, which are important for identifying the palatal plane, had smaller diferences in the vertical direction than in the horizontal direction. Tis indicates that the diference is not random but occurs systematically depending on the positional defnitions of the landmarks.

The landmark with the largest difference between the orthodontists' definitions was the occlusal-plane point (see red box in Fig. [5](#page-7-0)). The difference in the point's horizontal direction was  $5.87 \pm 2.43$  mm and that in the vertical direction was  $0.76 \pm 0.54$  mm. This point is located at the center of the occlusal plane, which is defined by the position of the frst premolar and thus can be identifed along the horizontal direction. In the synthesized image, although the structure of the frst premolar was unclear and had artifacts, the occlusal plane point was not afected in the vertical direction. Consequently, the occlusal plane point had large horizontal diferences between the orthodontists' defnitions; however, this artifact did not afect the slope of the occlusal plane.

Furthermore, the synthesized cortical lines (see red box in Fig. [5c](#page-7-0)) of the mandibular and ramal planes (see red box in Fig. [5](#page-7-0)d) were straight, not curved. This increased the differences of the corpus left in the horizontal direction and the ramus down in the vertical direction, where the errors at the mandibular plane and ramal plane were relatively decreased. Among the landmarks on soft tissue, the soft-tissue pogonion showed the largest difference between the orthodontists' defnitions. Tis is because the shape of the chin was fat in the synthesized image and the tissue contrast was too dark to identify the landmark. However, this diference is comparable with the inter-examiner error of Hwang et al. $^{22}$ .

Moreover, synthesized images were evaluated for classifcation task. Synthesized images were added to a real dataset for balancing the number of images in each class. The classification performance was increased for both internal and external test sets compared with the performance of the trained model using only the class imbalanced real dataset. Tis indicates that synthesized images have clinical information of skeletal pattern. In this study, the smallest number of images was used for balancing the real dataset. The accuracy could be further increased if more synthesized images were added.

The succession of the downstream task indicates important meaning from a following point of view. In the medical feld, various occlusal patterns and imbalanced dataset between normal and abnormal datasets caused misclassification for deep learning based artificial intelligence system. Therefore, GAN based augmentation technique as shown in this work to accurately classify the various kinds of normal structure should be needed. Otherwise, anomaly detection technique also has been studied to overcome the extreme imbalanced dataset between normal and abnormal <sup>23</sup>. This technique trains only normal dataset using GAN under the assumption that the abnormality does not generated. Afer training, if one inserts an abnormal image to the GAN, it generates normal images excluding abnormalities. The abnormality is then automatically detected by subtracting generated image from inserted abnormal image. Thus, the GAN based anomaly detection in cephalometric images will also be an important feld and our work verifed the GAN performance in advance.

Tis study has several limitations. First, because the image Turing test was conducted using limited-resolution images, it should be repeated by synthesizing full high-resolution images (i.e. 1955 × 2360 pixels), which are commonly used in the clinical feld. Second, although many cephalometric images were used for this study, the data distribution was not known in terms of anatomic variation, which results in limitations of synthesizing diverse variations. In addition, the aspect ratio was not considered when resizing the cephalometric image to 1024×1024 pixels for GAN training. Because relative position and angle between the landmarks are important for orthodontic diagnosis, some portions of clinical information could be reduced. Future studies should consider the aspect ratio or cropping of clinically important regions for GAN generation. Finally, comparisons between GANs such as PGGAN, StyleGAN1, StyleGAN2 should be the further performed, as they can be useful for choosing the best model for clinical application.

Although the PGGAN synthesized images show some artifacts such as in the teeth region, we concluded that the generated images can be used for augmentation of datasets in deep learning and to analyze the positional relations between the set of teeth, basal bone, and skull base through landmark tracing. Although cephalometric images contain complex features such as tooth, tissue, cervical vertebra, and devices, the generated images were highly realistic, as verifed through various evaluation methods presented in this study. Tose evaluations indicate it was difcult to distinguish between real and synthesized images. Furthermore, classifcation results of skeletal patterns indicated that the synthesized images contain clinical information to improve the classifcation accuracy and thus have potential to be applicable to various deep learning studies. In future studies, we expect to improve the artifacts in the cephalometric images by training the GAN with more datasets that contains diverse ranges of anatomic features.

#### **Data availability**

The datasets are not publicly available because of restrictions in the data-sharing agreements with the data sources. Ethics approval for using the de-identifed slides in this study will be allowed upon request to the corresponding authors.

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# **Author contributions**

M.Kim (Mingyu Kim) contributed to data acquisition, analysis, interpretation of data, and draf and critical revision of the manuscript. S.K. and M.Kim (Minjee Kim) contributed to the classifcation task, interpretation of results, and critical revision of the manuscript. H.-J.B. contributed to data acquisition, cleansing, processing and analysis, and critical revision of the manuscript. J.-W.P. contributed to conception, design, data acquisition, analysis, interpretation, draf, and critical revision of the manuscript. N.K. contributed to conception, design, and critical revision of the manuscript. All authors gave fnal approval and agreed to be accountable for all aspects of the work.

# **Competing interests**

The authors declare no competing interests.

# **Additional information**

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