



# Adjunctive intra-coronary imaging for the assessment of coronary artery disease

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## Abstract

Atherosclerotic coronary artery disease remains a leading cause of worldwide morbidity and mortality. Invasive angiography currently remains the gold standard method of diagnosing and treating coronary disease; however, more sophisticated adjunctive interventional technologies have been developed to combat the inter and intra-observer variability frequently encountered in the assessment of lesion severity. Intravascular imaging now plays a key role in optimising percutaneous coronary interventions and provides invaluable information as part of the interventional cardiologist's diagnostic arsenal. The principles, technical aspects and uses of two modalities of intracoronary imaging, intravascular ultrasound and optical coherence tomography, are discussed. We additionally provide examples of cases where the adjunctive intracoronary imaging was superior to angiography alone in successfully identifying and treating acute coronary syndromes.

## Keywords

Acute coronary syndromes, etiology, cardiology, risk factors, atherosclerosis, cardiology, coronary imaging: angiography / ultrasound / Doppler / CC, diagnostic testing, cardiology

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## Introduction

Cardiovascular disease remains the principal non-communicable cause of death worldwide.<sup>1</sup> The predominant underlying aetiology is coronary artery disease due to the process of atherosclerosis within medium and large-sized vessels. It results in connective tissue proliferation and lipid accumulation with the vascular intima leading to plaque formation and subsequent plaque thrombosis, resulting from either denuded endothelium or deep plaque rupture.<sup>2</sup>

There have been major advances in the diagnosis and treatment of coronary artery disease over the past several decades with invasive angiography remaining the gold standard for diagnosis. However, since its inception over four decades ago, numerous adjunctive techniques have been developed to improve its diagnostic accuracy.<sup>3</sup> In general, angiography overestimates lumen dimensions.<sup>4</sup> Its limitations include the fact that it only provides a two-dimensional view of a three-dimensional structure and, consequently, is poor at accurately estimating plaque volume, morphology or lesion severity. Considerable remodelling of the vessel wall and lumen shape occurs in the natural history of the atherosclerotic process and this cannot be

accounted for when assessing coronary artery stenoses with angiography only, thereby introducing errors.<sup>5,6</sup> In addition, there is also considerable intra- and inter-observer variability in interpretation of stenosis severity during left heart catheterisation.<sup>7</sup>

Recent advances in the invasive management of coronary artery disease including the use of rotational atherectomy, intracoronary brachytherapy and the introduction of bioabsorbable coronary stents, demand precision and more accurate assessment of the culprit lesion and surrounding vessel than can be met by simple diagnostic angiography alone. Even though success rates and long-term outcomes from

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percutaneous coronary procedures have significantly improved with time, the procedure is not without its inherent risks such as re-stenosis and stent thrombosis.<sup>8</sup>

Several adjunctive technologies that are currently in use in the cardiac catheterisation laboratory evaluate either the physiological significance (determining pressure wire indices to calculate fractional flow reserve) or anatomically visualise a coronary atherosclerotic lesion. The latter strategy employs invasive imaging techniques such as intravascular ultrasound (IVUS) or optical coherence tomography (OCT). These newer technologies are of particular value in assessing intermediate coronary artery lesions (lesion with a stenosis diameter of between 40% and 70%), left main stem disease, bifurcation disease, ostial lesions and coronary bypass conduit disease.

The aim of this review is to provide an overview of the principles and current uses of IVUS and OCT. We additionally provide examples of cases where the adjunctive intracoronary imaging was superior to angiography alone in successfully identifying and treating acute coronary syndromes.

## Intravascular ultrasound

Intravascular ultrasound (IVUS) is a catheter-based imaging technique, developed over 20 years ago by Yock, Hodgson and colleagues in 1989.<sup>9,10</sup> It uses reflected sound waves to provide a cross-sectional tomographic view of the vessel lumen and the entire wall, including the full thickness of any present plaque *in vivo*.<sup>11</sup>

It is now widely used as an adjunct to conventional coronary angiography and percutaneous coronary intervention procedures. IVUS enables the operator to directly visualise the full 360° circumference and take accurate measurements by direct planimetry of the vessel lumen and atherosclerotic plaque. By doing so, it has been shown to detect atherosclerotic abnormalities in angiographically normal arteries. This is of relevance because previous studies have suggested that apparently minimal to moderate plaque lesions on angiography may be most likely to rupture and cause acute coronary syndromes.<sup>12–14</sup> The literature, however, reports a number of conflicting theories regarding the severity of culprit lesions, particularly in the context of ST-segment elevation myocardial infarction prior to the index presentation.<sup>15–17</sup> Invasive angiography alone is insensitive to plaque size and atherosclerotic burden, and it is now recognised that IVUS allows accurate depiction of positive remodelling, whereby luminal area has been maintained despite heavy plaque burden, which may itself increase the risk for acute coronary presentations.<sup>17</sup>

When used to assess the atherosclerotic burden, IVUS has a higher resolution than non-invasive methods such as multi-detector computed tomography or cardiac magnetic resonance imaging.<sup>18</sup> Complex vessel areas such as angiographically foreshortened segments, ostial disease, diffusely diseased areas, bifurcation stenosis and long lesions can be more accurately assessed. Therefore, IVUS is not only used during elective percutaneous procedures but also in the setting of acute coronary syndromes.<sup>19,20</sup>

IVUS is able to assess vessel wall composition and identify any abnormalities such as inadequate stent apposition or expansion, as well as the presence of dissections following PCI, thus guiding the operator to optimise intervention.<sup>21–23</sup> The distribution and structure of the atheromatous plaque are important factors affecting the success of PCI, brachytherapy as well as atherectomy<sup>24</sup> and by facilitating more precise plaque assessment, IVUS has become increasingly invaluable to the interventional cardiologist.<sup>25,26</sup>

In the era of drug eluting stents, IVUS-guided PCI has been shown to reduce major adverse cardiovascular events (MACE) when compared with angiographically guided PCI.<sup>27</sup> This IVUS-guided PCI associated MACE reduction has also been found with implantation of newer generation stents. In their study, Hong demonstrated at 1-year follow-up among patients requiring long coronary stents, IVUS-guided everolimus-eluting stent implantation was associated with a composite reduction in MACE, although this was largely driven by a reduction in target lesion revascularisation.<sup>28</sup> This was further supported by a recent meta-analysis corroborating the reduction in ischaemia-driven target lesion revascularisation, as well as lower cardiovascular mortality and stent thrombosis rates with an IVUS-guided approach.<sup>29</sup>

The procedure itself is technically simple to perform and is associated with a low complication risk. A multi-centre survey of over 2000 IVUS cases showed only an association with (but not necessarily the direct cause of) minor acute clinical risks. The most common of these was spasm in 2.9% of all the IVUS studies. Other acute procedural and major complications (including vessel occlusion, dissection and thrombosis resulting in myocardial infarction, CABG or worse) occurred in 0.3% and 0.1% of patients, respectively.<sup>11</sup>

The device consists of a miniaturised ultrasound transducer (either a mechanically rotated single transducer system device or multi-element electronic array system), mounted on the tip of a catheter and attached to a console, which reconstructs the image. The transducer is oriented so that the ultrasonic beam produced

is aimed parallel to the long axis of the catheter.<sup>19</sup> Typically high ultrasound frequencies around 20–50 MHz are used to achieve greater radial resolution at the expense of penetration depth.

Heparin and intracoronary nitroglycerin are administered to reduce the risk of coronary vasospasm prior to target coronary artery sub-selective cannulation. The IVUS catheter is then advanced into the target vessel and the transducer positioned beyond the target segment. A motorised drive unit with an automatic pull-back system progressively withdraws the transducer at a constant speed, usually at 0.5 mm/s, although this can be done manually. IVUS measurements are made at every 1 mm distance of pullback. Three layers of the coronary arterial wall are identified on gray-scale IVUS: the intima layer, the muscular media layer, which appears as a dark band, and the adventitia layer. Calcified plaque appears brightly echo-reflective and creates a dense shadow, as well as reverberation markers appearing radially at spaced intervals from the calcified segment.<sup>30</sup> Fibrotic tissue gives a bright, but less intense appearance and fatty plaque tissue is less bright than the adventitia layer. Using electronic callipers and computerised planimetry, quantitative measurements are made at the narrowest cross-section to determine the minimal luminal area (MLA) and diameter, and at a reference 'normal' segment (within 10 mm proximal or distal to the culprit lesion). This allows calculation of percentage stenosis, plaque area and plaque burden.

Virtual histology IVUS (VH-IVUS) is an iteration of the standard gray-scale version. It uses an automated algorithm to process the reflected ultrasound backscatter signal, further distinguishing plaque components such as fibrous tissue, fibrofatty tissue, necrotic lipid core and dense calcium.<sup>31</sup> Atherosclerotic plaque rupture is now accepted as the main cause of acute coronary events. These vulnerable plaques are characterised by a thin fibrous cap (less than 65 µm), large lipid pool with increased macrophage activity and play a key role in the atherosclerotic process. Detection of these vulnerable thin caps, as well as additional features of plaque instability such as cholesterol crystals and neovascularisation, are well below the axial resolution of VH-IVUS.<sup>32</sup> In order to address these concerns, modified criteria have been implemented for VH-IVUS identification of vulnerable thin-capped fibroatheroma (TCFA); a focal necrotic core (>10% of total plaque area) without overlying fibrous tissue in the presence of percent atheroma volume >40%.<sup>33</sup> A number of prospective studies have now shown associations between VH-IVUS derived high risk plaque morphology, such as the presence of VH-IVUS TCFA, and future MACE

confirming the biological and to some extent, prognostic importance of VH-IVUS in identifying plaque composition.<sup>34–36</sup> Indeed, plaque modification with statin therapy has recently been evaluated with VH-IVUS by Park et al. In their prospective single-centre study, rosuvastatin was associated with a reduction in proportionate necrotic core volume, total plaque volume and VH-IVUS defined TCFA at 1-year follow-up in non-culprit lesions. Further studies are warranted to assess whether the plaque modification observed translates to outcome improvement.<sup>37</sup>

Although an accurate anatomical assessment can be made, IVUS does not provide physiological information on which revascularisation decisions frequently depend.

Fractional flow reserve (FFR) is currently the invasive modality of choice in assessing the haemodynamic significance of stenoses. A haemodynamically insignificant lesion assessed by FFR correlates well with an insignificant lesion detected by IVUS. However, predicting abnormal FFR with IVUS assessment proves more challenging due to various factors other than percent area stenosis influencing the functional effects of a lesion.<sup>38</sup> Previous studies have demonstrated moderate correlation at best between MLA cut-off values and FFR confirmed ischaemic lesions.<sup>38,39</sup> An international, prospective, multi-centre registry concurred with this premise and identified an optimal threshold for correlation with an ischaemic FFR (<0.8) of MLA below 3.07 mm<sup>2</sup> in non-left-main stem lesions (64% sensitivity, 64.9% specificity). FFR correlated with plaque burden but not VH-IVUS-derived plaque composition. The authors conceded that despite moderate correlation and a high ischaemic negative predictive value for lesions with MLA >3.07mm<sup>2</sup>, accuracy could be improved when using a reference vessel-specific analysis. This led to the conclusion that IVUS has a limited role in the functional assessment of intermediate stenosis to accurately identify ischaemia-inducible lesions and ascertain the indication for revascularization, but remains a valuable and established tool to guide percutaneous coronary intervention.<sup>40</sup>

### Optical coherence tomography

Optical coherence tomography (OCT) is a more novel modality in comparison to IVUS. It was first developed for cross-sectional retinal imaging in the 1990s but over the last two decades its application has rapidly expanded to other fields and is now extensively used in interventional cardiology.<sup>41</sup> It provides the highest resolution of all currently used invasive imaging modalities (5 to 20 µm) resulting in high quality cross-sectional tomographic images of the coronary architecture.<sup>42</sup>

Ultrasound is replaced by infra-red light emitted from a rotating fibre-optic system. This is then reflected or back-scattered from internal structures within tissue and analysed by interferometric techniques. The OCT image is produced from the backscattered light from the vessel wall and the echo time-delay signal. It provides a detailed image of around ten times greater resolution than that achieved with IVUS due to the shorter wavelength of the imaging light (~1300 nm) compared with ultrasound.<sup>43</sup> This is at the expense of a reduced tissue penetration depth of 1–3 mm (compared with 4 to 8 mm with IVUS) with the exception of calcified lesions.<sup>44</sup>

Two main technologies exist to create OCT images: the time-domain (TD-OCT) or frequency domain (Fourier or FD-OCT) technique. The latter is preferred due to faster image acquisition rates and a better signal-to-noise ratio. It uses a fixed mirror with a variable frequency light source allowing simultaneous detection of reflections.<sup>45</sup> The older TD-OCT uses a moving mirror as its reference arm and a broadband light source. Because of its slow acquisition speed, it is necessary to occlude the target proximal coronary artery. An over-the-wire low-pressure occlusion balloon catheter with distal flush ports is used to infuse saline or Ringer's Lactate at a rate of approximately 0.5 mL/s for a maximum of 30 s in total in order to selectively displace blood during the image acquisition. Any amount of residual red blood cells will otherwise cause significant signal attenuation. Unfortunately, this action increases the risk of coronary damage and myocardial ischaemia. In the newer FD-OCT system, the higher acquisition frame rate and accelerated pull-back speed permits the use of a single, high rate injection of a bolus of contrast to produce a blood-free environment, thereby eliminating the need for balloon occlusion<sup>46,47</sup> and its potential ischaemic complications.

TCFA are the most rupture-prone lesions and, consequently, remain a predictor of major adverse cardiovascular events.<sup>48,49</sup> Studies to quantify fibrous cap thickness have shown that the incidence of TCFA is highest in acute myocardial infarction, intermediate in acute coronary syndromes and lowest in chronic stable angina. OCT with its superior resolution capacity is the only current imaging tool than can accurately visualise and quantify the thin fibrous cap.<sup>50</sup> Correlating with histopathology, the sensitivity and specificity of OCT are 92% and 94% respectively for identifying lipid-rich plaque, 95% and 100% for fibro-calcific plaque and 87% and 97% respectively for fibrous plaque.<sup>42</sup>

OCT is also able to quantify the activated macrophage content beneath the plaque fibrous cap. Macrophages with their high lipid content produce strong optical signals detectable by OCT, which is relevant as increased macrophage density is thought to

contribute to the instability of vulnerable plaques.<sup>51–53</sup> Due to its limited tissue penetration, however, OCT is unable to assess in depth total plaque volume or vascular remodelling. Current OCT systems are not suitable to reliably assess tissue at depths beyond 2 mm.<sup>54</sup> In contrast to ultrasound, however, light penetrates calcium and OCT is able to depict calcific nodules with well-defined boundaries with high sensitivity and specificity.<sup>55</sup> OCT has also demonstrated superiority in assessing culprit lesions, particularly identifying thrombus, plaque erosion and rupture when compared to IVUS or angiography.<sup>56</sup> Earlier papers have also alluded to OCT outperforming IVUS in detecting spontaneous and PCI-induced dissection, tissue prolapse and incomplete stent apposition, all of which have been implicated in acute as well as late stent thrombosis.<sup>57,58</sup> In addition, limited outcome data exists to show OCT-guided PCI as superior to angiography alone.<sup>59</sup> This unrivalled endovascular resolution has allowed assessment of the vascular response at individual stent strut level following device deployment. Hence, numerous in vivo OCT studies have exploited its capability to study factors related to the prognosis of stent-implanted lesions, including neointimal hyperplasia, stent strut coverage, stent malapposition and in-stent neoatherosclerosis.<sup>60–62</sup> With the emergence of bioabsorbable vascular scaffolds (BVS) and polymer-free drug eluting stents, OCT has also been the primary imaging modality to follow-up recruited cohorts assessing stent strut resorption/ coverage, as well as optimisation at the time of implantation.<sup>63,64</sup>

Both IVUS and OCT therefore provide useful and differential information to diagnose, plan and evaluate PCI. When enhanced visualisation of plaque characteristics and microstructure are required such as detection of intimal tears, thrombus, stent malapposition and intimal hyperplasia, OCT provides a more superior modality given its greater spatial resolution. Despite limitations in resolution, IVUS provides valuable information regarding plaque area, volume, morphology and vessel size. Unlike OCT, ostial disease can be imaged adequately as blood expulsion with contrast from the field of view is not necessary. Its superior depth of penetration also allows greater intracoronary visualisation of larger diameter and ectatic vessels.

A summary of the main differences between FD-OCT and IVUS are provided in Table 1, and the tissue characteristics with OCT appearance are outlined in Table 2.

## Case studies

### *IVUS-guided pci following acute coronary syndrome of an angiographically unobstructed coronary artery*

A 67-year-old Asian female, with a background of primary percutaneous coronary intervention (PPCI) to the



**Table 1.** Major differences between OCT and IVUS.

	OCT	IVUS
Tissue penetration	1–2 mm	6–10 mm
Technology	Near infra-red	Ultrasound
Imaging speed (pull back)	20 mm/s	1 mm/s
Resolution: Axial	15 $\mu$ m	100–200 $\mu$ m
Transverse	20–40 $\mu$ m	200–300 $\mu$ m
Catheter size	3.2Fr	3.5Fr
Blood removal with contrast	Yes	No

OCT: optical coherence tomography; IVUS: intravascular ultrasound.

left anterior descending artery (LAD) in 2012, was admitted to our unit with cardiac chest pain, elevated troponin I titres and anterior T-wave inversion on electrocardiography (ECG). An echocardiogram confirmed anterior regional wall motion abnormality in the context of mild left ventricular impairment. Following appropriate initiation of medical therapy for non-ST-elevation myocardial infarction (NSTEMI), coronary angiography was performed demonstrating a right dominant unobstructed coronary circulation and a patent stent in the proximal LAD (Figure 1(a) and (b)). In view of her presentation, we undertook IVUS assessment of the LAD demonstrating a grossly under-expanded stent as well as severe eccentric fibro calcific disease in the ostium (Figure 1(c) and (d)). The stent was post dilated and following predilatation of the ostium, a new drug eluting stent was deployed. The patient's recovery was uneventful and she was discharged home.

### *OCT-guided pci following acute coronary syndrome of an angiographically unobstructed coronary artery*

A 67-year-old hypertensive male presented to our unit with a 24-hour history of intermittent episodes of chest pain at rest. Routine investigations demonstrated T-wave inversion on the ECG, and elevated troponin I level. Coronary angiography demonstrated a right dominant unobstructed coronary circulation (Figure 2(a) and (b)). OCT assessment of the LAD showed a long segment of fibrotic disease with a minimal luminal area of 1.98 mm<sup>2</sup> at the level of the first diagonal (Figure 2(c) and (d)). Using an average for reference vessel area of 7.29 mm<sup>2</sup>, this equated to a cross-sectional area stenosis of 73%. The lesion was stented with two drug-eluting stents. He was discharged home the following day and remains well at follow-up.

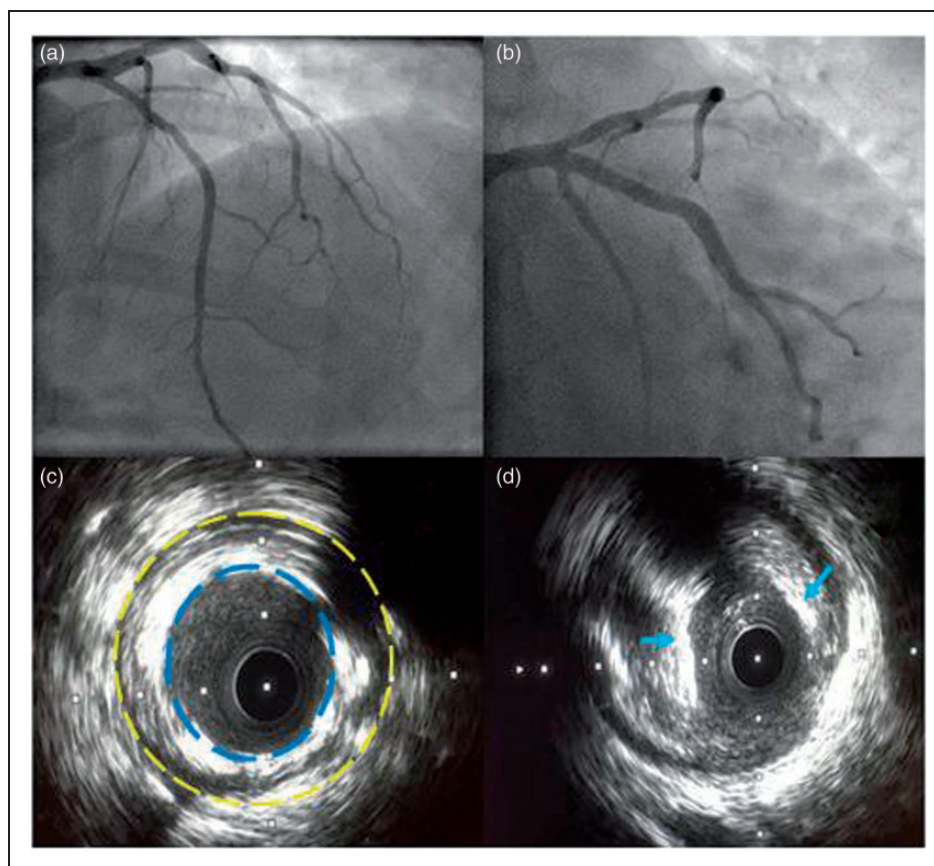
**Table 2.** Tissue characteristics observed with OCT.

Tissue characteristics	OCT characteristics
Fibrous	Homogenous High reflectivity Low attenuation
Lipid	Diffuse edges High reflectivity High attenuation
Calcific	Sharp well-defined edges Low reflectivity (compared to IVUS) Low attenuation
Red thrombus	Mass protruding into vessel lumen Medium reflectivity High attenuation
White thrombus	Luminal protrusion Medium reflectivity Low attenuation
Stents: Metallic	High reflectivity High attenuation
Bioresorbable	Low reflectivity (if residual polymer present) Low attenuation

OCT: optical coherence tomography.

## Future perspectives

IVUS technology is continually evolving. Radiofrequency back-scatter analysis converts radio-frequency signals from IVUS into colour-coded regions depending on the plaque composition thereby providing a virtual histology of the atherosclerotic lesion,<sup>65</sup> which is now widely utilised. VH-IVUS has recently been shown to reliably identify TCFA when compared with OCT analysis of autopsied human hearts.<sup>66</sup> Mechanical strain assessment with IVUS elastography measures the mechanical strain property of the vessel wall with studies demonstrating different mean strain values between different plaque components. Histological vulnerable plaque correlates with an elevated strain value with adjacent low strain values.<sup>67</sup> More recently, a hypothesis generating study analysing plaque structural stress has also demonstrated a positive correlation with higher-risk underlying plaque subtypes, representing a potential future application to aid with predicting plaque rupture.<sup>68</sup> Hybrid imaging combining IVUS technology with near infra-red spectroscopy (NIRS) accurately characterises lipid-rich plaques within coronary arteries. Despite data reporting NIRS derived lipid core burden index being no different between acute coronary and stable angina patients,<sup>69</sup> ST elevation MI patients have been identified by NIRS as having large lipid-rich plaques at the culprit



**Figure 1.** (a) Postero-anterior (PA) cranial view of the left coronary circulation demonstrating mild proximal and mid LAD disease; (b) PA caudal view demonstrating mild ostial LAD disease with a patent proximal stent; (c) IVUS revealing a grossly under-expanded proximal portion of the LAD stent. (The dashed yellow line delineates the border of the coronary vessel wall. The blue dashed line demonstrates the inner most stent struts malapposed from the vessel wall.); (d) IVUS of severe eccentric fibrocalcific ostial LAD disease. (Note the blue arrows indicating areas of calcification.).

site.<sup>70</sup> Ongoing prospective studies will investigate whether this combined dual probe catheter-based approach translates to an accurate prediction of MACE.

In the realm of OCT, research studies assessing stent edge and strut detection capabilities, qualitative and quantitative strut-level analysis, improved tissue characterisation with texture analysis and polarisation (making use of random scattering found in some tissues and reproducible birefringence found in highly organized collagen-rich tissues) are either in progress or are being proposed. An important area of continual research assesses the impact and efficacy of proposed anti-platelet and anti-atherosclerotic therapy in the management of coronary artery disease.

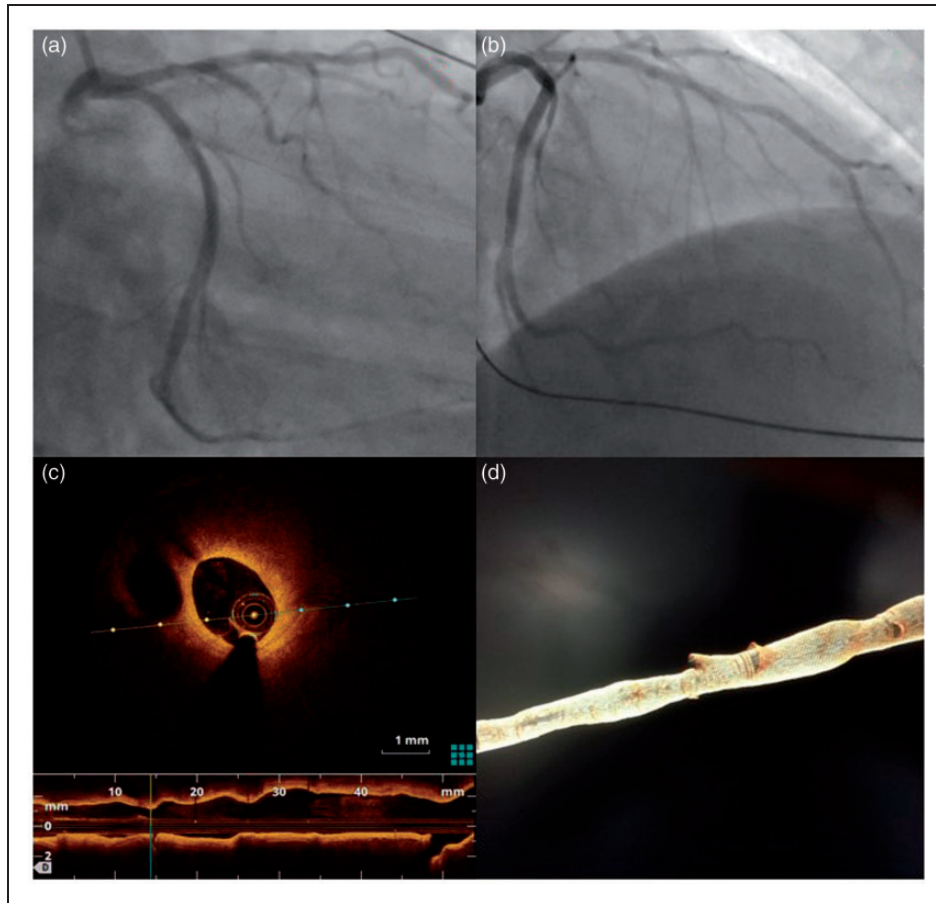
There are several randomised open-labelled studies in progress which aim to address the current dearth of clinically applicable OCT outcome trial data. The FORZA study will compare the economic and clinical impact of OCT guidance versus FFR in

the assessment of angiographically intermediate coronary lesions.<sup>71</sup>

The DOCTORS study will evaluate the impact of changes in procedural strategy resulting from the use of OCT after angioplasty and stent implantation of a lesion responsible for non-ST-elevation acute coronary syndrome.<sup>72</sup>

Novel stent technologies such as BVS rely heavily upon adequate intracoronary imaging guidance. Co-registration has demonstrated superiority of OCT over VH-IVUS in optimising PCI with BVS to reduce rates of scaffold thrombosis.<sup>73,74</sup> The use of OCT in BVS optimisation has also been used in more complex coronary anatomy such as chronic total occlusions.<sup>75</sup>

Future developments include ultra-high resolution or micro-OCT, which will provide even higher quality images with spatial resolutions ten times that of FD-OCT, permitting sub-cellular analysis of atherosclerosis, which may be used for individualised or targeted therapy in the prevention of coronary artery disease.



**Figure 2.** (a) PA caudal view of left coronary circulation revealing no obstructive coronary disease; (b) Right anterior oblique view demonstrating mild proximal and mid LAD disease; (c) OCT of LAD revealing significant disease proximal to mid vessel, with minimal luminal area at the first diagonal bifurcation; (d) three-dimensional OCT reconstruction of LAD.

## Conclusion

Our cases have emphasised the value of intracoronary imaging in cases where no clear culprit lesion is identifiable on the unaided angiographic images. As demonstrated, adjunctive imaging is now recommended to ascertain the aetiology of stent failure where inadequate stent apposition or stent under-expansion could propagate stent thrombosis or re-stenosis. Their roles in research are well documented, including assessing the stability of vulnerable plaques by analysing its elastic and acoustic features with elastography and radiofrequency analysis, qualitative and quantitative stent strut analysis, hybrid imaging technologies and studying the efficacy of new anti-atherosclerotic therapies. Further research is warranted to ascertain if prognostic benefit can be gained with future clinical applications both in the cardiac catheter lab and wider cardiology practice.

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## Ethical approval

None.

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