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Light-Powered Dissipative Assembly of Diazocine Coordination Cages

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 L_4] is able to encapsulate a bis-sulfonate as long as it is kept assembled, requiring continuous irradiation at 385 nm. In the absence of UV light, thermal relaxation results in back-switching and guest release. Assembly and properties of the system were characterized by a combination of NMR, ion mobility ESI-MS, singlecrystal X-ray diffraction, and UV-vis absorption studies.

INTRODUCTION

The coordination-driven assembly of functional building blocks into supramolecular structures allows creating advanced nanosystems such as allosteric receptors, regulated catalysts, molecular machines, and stimuli-responsive materials. In many cases, the function of such systems requires nanosized cavities able to host guest molecules.¹⁻⁴ Response to external stimuli can then be employed to trigger structural rearrangements, affecting the host–guest binding affinity, thus establishing control over guest uptake and release.^{5–12} Among various stimuli (e.g., pH,^{13–15} temperature,¹⁶ solvent,¹⁷ electro-chemical,^{18,19} or chemical input^{20,21}), light is a highly advantageous reagent, as it can be precisely administered with temporal and spatial resolution, is available from cheap LED sources, and is essentially waste-free. The light-triggered modification of structure and function of (supra)molecular systems can be achieved using dedicated photoswitches such as azobenzenes,^{22,23} dithienylethenes,^{24,25} other hindered al-kenes,^{26,27} indigoids,²⁸ and spiropiranes,^{29,30} just to name a few. At the same time, light can be used as a fuel to drive systems away from thermal equilibrium, generating dissipative self-assembled systems.^{31,32}

Although the commonly known photoswitches have been studied intensively before, reports on their implementation into discrete metallosupramolecular self-assemblies, especially as an integral part of the ligand backbone, remain rather scarce. For example, Wezenberg and Feringa incorporated their overcrowded alkene motor to trigger structural reorganization in Pd₂L₄ cages,³³ Liu and co-workers reported a Pd₂L₄ species that undergoes disassembly/reassembly upon isomerization of azobenzene moieties,³⁴ while the group of Hardie exploited the same photochromic unit to report a structural switch in an Ir₃L₂ assembly.³⁵ Besides these examples, the so far most intensely studied photoswitchable unit in ligand backbones is the dithienylethene (DTE) moiety. Our group previously reported a series of systems based on Pd^{II} cations and DTE ligands to control structural rearrangements and to tune guest selectivity, uptake, and release in a fully reversible fashion in homo- and heteroleptic cages.^{8,10,36,37} Similar systems have been applied to control the topology of supramolecular gels³⁸ and to tune the emission properties of Eu₂L₄ helicates³⁹ or have been implemented in Pt-based metallacycles to trigger structural rearrangements or photochromic properties.^{25,40}

Recently a new class of azo group-based photoswitches, namely, diazocines, has emerged as promising candidates for creating molecular switches and stimuli-responsive materials.^{41,42} At first glance, the bridged diazocines seem similar to the archetypical azobenzenes; however, they are thermody-

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namically most stable in their cis-isomeric form. The metastable trans-isomer is formed upon irradiation at 385 nm, possessing a thermal lifetime of a few hours at room temperature. As compared to azobenzenes, diazocines are more rigid with a smaller number of conformational degrees of freedom and therefore allow a more controlled photochemically induced movement.⁴³ Herein, we report the implementation of a diazocine moiety into the backbone of a bananashaped bis-pyridyl ligand under full preservation of its photoswitching properties. Self-assembly with Pd^{II} cations leads to the formation of Pd_nL_{2n} supramolecular assemblies. Interestingly, when the diazocine is used in the stable cisisomeric form, structural strain seems to hamper formation of a defined structure as exclusive product. The diazocine in the metastable trans-isomeric form, however, neatly assembles to lantern-shaped cage $[Pd_2(trans-L)_4]$ as a single species. Formation of this species by metallosupramolecular assembly seems energetically favored compared to the cis-analogue, but it exists only as a transient assembly, whose population has to be kept up by continuous irradiation with UV light. Interestingly, the $[Pd_2(trans-L)_4]$ species is able to host a bis-sulfonate guest, while the structural change to the cisanalogue leads to guest release. Hence, the system resides in a state dominated by the prevalence of the $[G@Pd_2trans-L_4]$ host-guest complex via a dissipative self-assembly process, only as long as it is powered with light of 385 nm wavelength. Removal of the stimulus leads to thermal relaxation of the backbone, formation of $[Pd_n cis-L_{2n}]$, and guest release.

RESULTS AND DISCUSSION

Photoswitchable bis-pyridyl ligand (Z)-3,8-bis(pyridin-3-ylethynyl)-11,12-dihydrodibenzo[*c*,*g*]-[1,2]diazocine (L) was synthesized by Sonogashira coupling between (Z)-3,8-diiodo-11,12-dihydrodibenzo [c,g] [1,2] diazocine⁴² and 3-ethynylpyridine (Supporting Information). The ligand forms as a thermodynamically stable cis-isomer (cis-L). Irradiation at 385 nm rapidly generates the trans-isomer (trans-L), while irradiation at 530 nm or thermal relaxation reverses the process (Figure 1a). The photochemical properties were investigated by ¹H NMR and UV-vis absorption spectroscopies (Figure 1b-d). Upon irradiation of a dimethylformamide (DMF) or acetonitrile solution of cis-L, a new set of signals appeared in the NMR spectrum (Figure $1b_{i,ii}$), assigned to formation of trans-L. The conversion is not quantitative, and the photostationary state (PSS) was determined as a 62% trans/cis-form ratio by NMR signal integration. The coexistence of the two isomers is confirmed also by DOSY measurements, where the two species have clearly distinguishable diffusion coefficients measured as $D_{cis-L} = 4.35 \times 10^{-10}$ and $D_{trans-L} = 6.22 \times 10^{-10}$ (Figure 1b_{iii}). Thermal relaxation from *trans-L* to *cis-L* was monitored by ¹H NMR, resulting in a half-lifetime of 7.2 h at 298 K (Figure 1d). The thermal relaxation process (or irradiation with 530 nm) results also in a color change, from red to pale yellow in solution. In the UV-vis spectrum, cis-L is characterized by an absorption maximum at 399 nm (Figure 1c). Upon irradiation with a 385 nm LED, a new absorption band at 483 nm appears, characteristic for *trans*-L (Figure 1c). Upon irradiation with a 530 nm LED, the cis-isomer is fully restored within 5 min. Thermal back-switching of metastable trans-L was investigated also with absorption spectroscopy (Figure 1c, SI), and rate constants at different temperatures were used to calculate the activation parameters for the process (Figure S33).



Figure 1. (a) Photoswitching between the two L isomers; (b) ¹H NMR (500 MHz, DMF- d_7 , 298 K) of (i) *cis*-L and (ii) the mixture of *cis*-L and *trans*-L after irradiation at 385 nm for 10 min, (iii) DOSY of the two ligand isomers; (c) absorption spectra showing the thermal relaxation from *trans*-L (red line) to *cis*-L (beige line) obtained every 30 min (DMF, 1.12 mM, 298 K); (d) kinetics of *trans*-L isomerization, monitored by ¹H NMR.

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2

4 6 8

Time (h)

600

400

500

Wavelength (nm)

Next, we investigated the formation of Pd-based assemblies starting with the stable isomer cis-L. Reaction of the ligand with $[Pd(CH_3CN)_4](BF_4)_2$ in a 2:1 stoichiometry in DMF as a solvent was investigated by NMR and ESI-MS. Upon addition of Pd^{II}, the ¹H NMR signals of *cis*-L are significantly broadened, complicating the assignment, while clearly downfield shifted, as typically observed for pyridyl ligands upon Pd coordination (Figure $2b_{i,ii}$). From geometric considerations, the expected species would be a Pd_2L_4 cage; however, the NMR spectra suggest a more complex scenario, with the presence of multiple species obeying the formula $[Pd_n cis-L_{2n}]$ (hereafter named "cis-Cage"). High-resolution ESI-MS analysis supports this hypothesis, showing the formation of species with stoichiometry Pd_2L_4 at m/z = 647.47 ($[Pd_2cis-L_4+BF_4]^{3+}$) and Pd_3L_6 at 748.15 ($[Pd_3cis-L_6+2BF_4]^{4+}$), while the peaks at 463.10 and 1013.21 consist of an overlap of Pd₂L₄ and Pd₃L₆ species (Figure 2c). Trapped ion mobility spectra (tims) for the peaks corresponding to the empty cages show two collisional cross section (CCS) values, clearly indicating the difference in size between the two species (Figure S38). Formation of a mixture of species with different nuclearity is

10 12 14 16



Figure 2. (a) Assembly of *cis*-Ring, *cis*-Cage (+ higher assemblies), and *trans*-Cage and structural interconversion via light or thermal relaxation; (b) ¹H NMR spectra (500 MHz, DMF- d_7 , 298 K) of (i) *cis*-L + [Pd(CH₃CN)₄](BF₄)₂ (2:1 mol ratio), (ii) a mixture of *cis*-L and *trans*-L after 385 nm UV irradiation, (iii) formation of *trans*-Cage, (iv) DOSY spectra for *trans*-Cage; (c) ESI mass spectra of *cis*-Cage (+ higher assemblies; top) and *trans*-Cage (bottom).

not uncommon in metallosupramolecular assembly;^{44–48} however, the broad NMR spectra suggest the additional formation of larger oligomers, probably undergoing rapid ligand exchange, that are not detectable by ESI-MS. As an explanation for observing such a mixture of ill-defined assembly products, we assumed the accumulation of strain in the assemblies, resulting from a nonideal geometry of the *cis*-isomeric ligand that hampers formation of stable cage- or ring-like three-dimensional structures. In an attempt to release strain from the system, we combined *cis*-L with the metal precursor $[PdCl_2(CH_3CN)_2]$ in a 1:1 ratio, indeed resulting in the clean formation of a $[Pd_2Cl_4cis-L_2]$ (*cis*-Ring) metallocycle (Figure 2a). Single crystals of the compound were obtained from a DMF/CH₂Cl₂ mixture and subjected to single-crystal

X-ray diffraction, delivering a structure in the $P\overline{1}$ space group with half a molecule in the asymmetric unit (Figure 3b). In this



Figure 3. Crystal structures and molecular dimensions of (a) *cis*-Ring, (b) *trans*-Cage, and (c) DFT-optimized model for $[Pd_2cis$ -L₄]. Short distances on the left side measured between diazocine C_{ipso} atoms; distances on the right, between opposite ethylene bridge carbon atoms.

structure, the two bis-pyridyl ligands, and consequently the coordinated Cl anions, were found to adopt a *trans*-arrangement, hence leaving more space and conformational freedom for the diazocine-based ligands than in the corresponding $[Pd_2cis-L_4]$ assembly, which is conformationally more constrained owing to its tricyclic topology.

On the other hand, self-assembly of Pd^{II} ions with the meta stable trans-L in a 1:2 ratio unambiguously results in formation of [Pd₂trans-L₄] (trans-Cage), as shown by NMR, ESI-MS, and X-ray analysis. ¹H NMR spectra show rather sharp signals compared to the ones obtained for the cis-system. By addition of an internal standard (1,3,5-tris-tert-butylbenzene), we estimated the conversion into trans-Cage to be about 65% (Figure S14) with respect to the entire ligand content by ${}^{1}H$ NMR integration. In line with the values determined for the PSS-governed equilibrium of ligand isomers, this speaks for a quantitative conversion of trans-L into trans-Cage. Species of the *cis*-Cage mixture are visible as very broad signals below the new set assigned to the trans-Cage. Overlap with these broad signals prevented a precise determination of the PSS of the assembly mixture. In trans-Cage, the diagnostic protons H_a and H_b from the pyridine donor groups are downfield shifted from 8.86 to 9.88 ppm and from 8.66 to 9.66 ppm, respectively, confirming coordination to Pd^{II} (Figure $2b_{iii}$). DOSY analysis corroborates the presence of a single component with $r_{\rm H} = 12.4$ Å (Figure $2b_{vi}$), a value matching with the formation of a $[Pd_2L_4]$ topology. The $[Pd_2L_4]$ stoichiometry was further supported by ESI-MS analysis. A comparison with the data obtained for the assembly products

of *cis*-L with Pd^{II} cations clearly showed exclusive formation of $[Pd_2 trans-L_4]$ and no species with higher nuclearity (Figure 2c). Ultimate confirmation for the formation of a dinuclear cage was provided by single-crystal X-ray crystallography. Single crystals of [Pd₂trans-L₄] were obtained by vapor diffusion of diethyl ether into a DMF solution of the cage at 4 °C in the absence of light (Figure 3a). Low temperature and dark conditions were essential for successful crystal growth and measurement in order to isolate the compound with all diazocine photoswitches residing in the metastable transisomeric state. $[Pd_2 trans-L_4]$ crystallizes in a triclinic $P\overline{1}$ space group, revealing a C_2 -symmetry with respect to the relative conformation of the eight-membered rings. The Pd-Pd distance was measured as 17.0 Å, significantly longer than that found in the *cis*-Ring (10.9 Å), the latter showing a more folded conformation. To the best of our knowledge, only two examples of crystal structures for a trans-diazocine have been reported so far,^{49,50} none of them carrying any substituents.

As no X-ray structure could be obtained for the $[Pd_2cis-L_4]$ species, a gas-phase structure for this cage photoisomer was determined by density functional theory (DFT) geometry optimization (for details see the Supporting Information). When comparing the calculated $[Pd_2cis-L_4]$ cis-Cage structure with the X-ray result of cis-Ring, it caught our attention that the cis-diazocine moiety is significantly stretched in the former structure (C_{ipso} - C_{ipso} distance = 6.4 Å; Figure 3c) as compared to the latter (5.6 Å; corresponding distance in the DFT geometry-optimized ring: 5.7 Å; X-ray of free cis-diazocine: 5.9 $Å^{41}$), and a similar observation was made when comparing the Pd-Pd distances (Figure 3b and c). Apparently, the assembly of cis-L to [Pd₂cis-L₄] would force the diazocines to stretch to an unfavorable extent. This energetic disadvantage drives the system towards the formation of an ill-defined mixture of species with different nuclearities showing highly dynamic ligand exchange.

Furthermore, a plausible explanation for the clean formation of a $[Pd_2 trans-L_4]$ species, in contrast to the *cis*-Cage situation, could be obtained by comparing energies derived from DFT calculations. Therefore, the strain energies of the structures of [Pd₂cis-L₄], trans-Cage, and cis-Ring were compared by computing energies for ligand and coordination site fragments in their assembly-derived and relaxed conformations, respectively. Results of the calculations show that formation of the tentative [Pd₂cis-L₄] (Figure 3c) species suffers from significant strain compared to the sterically less congested and conformationally more flexible cis-Ring, as well as compared to the trans-Cage (see the Supporting Information for details). These results support that formation of the experimentally observed trans-Cage is favored, even if it persists only in a transient state under continuous irradiation with UV light to prevent thermal back-transformation.

Interestingly, the photoswitching properties of the ligand were maintained in the cage structure. Upon irradiation of the *cis*-Cage mixture with a 385 nm LED for 10 min, the sample converts into the *trans*-Cage. Again, the process is reversible, and irradiation at $\lambda = 530$ nm or thermal relaxation restore the *cis*-Cage mixture, as clearly shown by ¹H NMR analysis (Figures 2b, S13). UV-vis analysis results of the cage species are similar to those of the ligands, with an absorption band centered at 395 nm for *cis*-Cage and an increasing band at 483 nm for *trans*-Cage upon irradiation at $\lambda = 385$ nm (Figure 4a). The photoswitching is reversible and shows no significant fatigue over numerous cycles (Figure 4b). The thermal



Figure 4. (a) Cage solution color change after irradiation (pale yellow: *cis*-Cage (+ higher assemblies), red: *trans*-Cage); (b) fatigue experiment of structural interconversion upon irradiation with 385 and 530 nm in an alternating sequence; absorbance values measured at $\lambda = 483$ nm; (c) time-dependent UV-vis spectra showing thermal relaxation from the *trans*-Cage to *cis*-Cage mixture; (d) UV-vis monitoring of the system reaching the PSS and maintaining the out-of-equilibrium state (*trans*-Cage) with constant irradiation at 385 nm; turning off the light leads to thermal decay.

relaxation follows a first-order kinetics, and rate constant k and half-lifetime $t_{1/2}$ of the *trans*-Cage were determined (Supporting Information). The half-life for the supramolecular cage ($t_{1/2} = 6.1$ h) at 298 K is comparable, while slightly shorter, to that of *trans*-L ($t_{1/2} = 7.2$ h) at the same temperature. Temperature-dependent kinetic studies were carried out for both cage and ligand to determine the activation parameters using the Eyring–Polanyi equation, as reported in Table 1. The results clearly show how the ligand's photoswitching properties are maintained upon formation of the supramolecular cage.

Table 1. Activation Energies for Trans to Cis Isomerization

	ΔS [‡] (J/mol K)	ΔH^{\ddagger} (kJ/mol)	ΔG^{\ddagger} (kJ/mol) at 298 K
trans-C to cis-C	30.7	97.3	88.1
trans-L to cis-L	24.2	96.2	89.0

As detailed above, *trans-Cage* is accessible only when energy, in the form of light, is provided to the system. To prove this, we monitored the UV-vis spectrum of the system under constant irradiation at a fixed temperature, using a home-built setup. Irradiation of the *cis*-Cage at λ = 385 nm was performed with a 90° incidence angle with respect to the direction of the source and detector of the spectrophotometer, equipped with a thermostat to keep the sample at 288 K. The diagnostic absorption bands at 395 and 483 nm reveal that upon irradiation, the cis-Cage system rapidly converts into trans-Cage, and upon reaching the PSS, the ratio of cis- and transisomers is kept constant while the light stimulus is continuously applied. Upon removal of the light source, the transient state of the system dominated by the trans-Cage immediately starts transforming into the cis-Cage system by thermal relaxation (Figure 4c,d).

Finally, we investigated the host-guest properties of both cis- and trans-systems with bis-anionic guest 2,6-naphthalene bissulfonate (G) (Figure 5a). NMR titration of the cis-Cage



Figure 5. (a) Guest uptake of *trans*-Cage and release by isomerization to *cis*-Cage; (b) ¹H NMR (500 MHz, DMF- d_7 , 298 K) stepwise addition of guest G to *trans*-Cage (mole ratio of host:guest = (i) 1:0.2, (ii) 1:0.4, (iii) 1:0.6, (iv) 1:0.8, and (v) 1:1.0) followed by photoswitching (vii); (c) ESI-MS of G@*trans*-Cage; (d) ion mobility spectra for *cis*-Cage, *cis*-Cage+G, *trans*-Cage, and G@*trans*-Cage and light-induced reversibility of the process. The red box highlights signals for inside binding; the black box, signals for outside binding.

mixture with G resulted in even further broadening of the signals, accompanied by decreasing intensity and onset of precipitation after the addition of 0.3 equiv of guest, indicative of an outside association mode, creating a salt of low solubility (Figure S35).^{51,52} On the contrary, trans-Cage is clearly able to bind the guest molecule in its cavity, in accordance with previously reported hosts for G showing a comparable cavity size.⁵² According to ¹H NMR spectroscopy, stepwise addition of G into the cage solution results in a gradual downfield shift of the inward-pointing protons He and Ha, evidencing encapsulation (Figure 5b). Unfortunately, it was not possible to determine the association constant due to an onset of precipitation after the addition of 1 guest equivalent. The host-guest behavior was investigated also by trapped ion mobility spectrometry coupled to ESI-TOF-MS (timsTOF). Here, it was possible to detect signals indicative of an interaction of G with both cis- and trans-Cage (Figures 5c, S31). In the case of the cis-isomer, however, the main species are the "empty" assembly $[Pd_2cis-L_4]^{4+}$ at m/z = 463.10, as well as compounds with stoichiometry $[Pd_3cis-L_5G]^{4+}$ at m/z =

664.36, $[Pd_4cis-L_6G_2]^{4+}$ at m/z = 865.11, and $[Pd_4cis-L_7G_2]^{4+}$ at m/z = 967.90, where presumably the guest replaces one or more ligands (or ligand arms) in coordinated positions in order to reduce strain. Species $[Pd_2cis-L_4+G]^{2+}$, corresponding to a typical 1:1 host: guest complex stoichiometry at m/z =1070.19, was only detected as a minor component (Figure \$36). On the contrary, the mass spectrum of the *trans*-isomeric host in the presence of **G** features the signal at m/z = 1070.19assigned to $[G @Pd_2 trans-L_4]^{2+}$ as the predominant species (Figure 5c). In order to further investigate whether the guest binds inside or interacts with the outside of the cages, we performed an ion mobility analysis focusing on the 2+ peaks assigned to the $[Pd_2L_4+2BF_4]^{2+}$ and $[Pd_2L_4+G]^{2+}$ species for both the cis- and trans-isomers. Addition of G to the trans-Cage shows two CCSs, corresponding to larger objects (494.1 and 504.4 $Å^2$) as compared to the "empty" host (487.4 $Å^2$) and assigned to inside and outside guest binding modes, respectively (Figure 5d). Analysis of the small MS peak at m/z = 1070.19for $[Pd_2cis-L_4+G]^{2+}$ shows only one CCS value of 501.7 Å², comparable to the *outside* guest binding mode observed for trans-Cage, hence further supporting that the guest cannot enter the cavity of [Pd₂cis-L₄] but rather associates nonspecifically to the cage's exterior (Figure 5d).

Furthermore, guest binding and release was found to be reversible upon application of the light stimulus. Irradiation (λ = 385 nm) of the system to the metastable *trans*-Cage leads to guest binding, while reversing the photoswitching (λ = 530 nm or Δ) leads to guest release, as determined by stepwise irradiation combined with ion mobility experiments (Figure 5d, Figure S40). Noteworthy, formation of [G@Pd₂*trans*-L₄]²⁺ represents an example of dissipative self-assembly, since this state is existing only under continuous irradiation. Removal of the light stimulus fueling maintenance of this complex leads to thermal relaxation of the diazocine moiety to the *cis*-form, disassembly of the host, and consequently guest release.

CONCLUSIONS

In conclusion, we reported the first coordination-driven cage embedding a diazocine photoswitch.⁵³ The photophysical properties of the diazocine moiety are maintained in the supramolecular assembly, and the system can reversibly switch between a cis- and trans-Cage system. The thermodynamically more stable ligand isomer cis-L leads to an ill-defined mixture of $[Pd_n cis-L_{2n}]_n$ (n = 2, 3, ...) compounds as a consequence of an unfavorable ligand conformation. Strain in this system can be released when assembling a ring topology, as shown by the crystal structure of a [Pd₂Cl₄cis-L₂] ring and DFT calculations. Metastable *trans*-L self-assembles with Pd^{II} to a $[Pd_2L_4]$ cage as exclusive product, as confirmed by NMR, MS, and singlecrystal X-ray results. However, [Pd₂trans-L₄] is maintained only under continuous irradiation with UV light, and removal of the stimulus results in thermal relaxation to re-form the cis-Cage system. Only the metastable trans-Cage is able to bind a guest molecule. The host-guest system is thus formed via dissipative self-assembly and exists only under constant input of energy in the form of light. Upon reversal of ligand isomerization, by irradiation at 530 nm or thermal backswitching, trans-Cage disassembles and releases the guest. The herein introduced building blocks and principles offer potential for controlling functions in molecular machinery, generating stimuli-responsive complex systems and models for autopoietic processes.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.1c12011.

Synthetic procedures, NMR, MS, UV–vis spectroscopic data, SCXRD results, and DFT calculation data (PDF)

Accession Codes

CCDC 2117884–2117885 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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The manuscript was written through contributions of all authors.

Notes

The authors declare no competing financial interest.

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