

ORIGINAL ARTICLE

Pulmonary complications after T-cell-depleted allogeneic stem cell transplantation: low incidence and strong association with acute graft-versus-host disease

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Lung injury limits the success of allogeneic stem cell transplantation (SCT). The overall incidence varies from 30 to 50% and non-infectious causes occur in one-third to one-half of these. We reviewed pulmonary complications in 369 consecutive patients who received a partially T-cell-depleted myeloablative allogeneic hematopoietic SCT at our institution between 1993 and 2003. All patients were treated uniformly with cyclophosphamide followed by total body irradiation. Control subjects were matched on sex, underlying diagnosis, age, type of transplantation and cytomegalovirus (CMV)-serostatus. Sixty-one patients (16.5%) developed pulmonary complications. Twenty-one patients (5.7%) developed infectious pneumonia. Forty patients developed non-infectious complications which were further subclassified as bronchiolitis obliterans (3.5%), bronchiolitis obliterans-organizing pneumonia (0.5%), diffuse alveolar hemorrhage (0.8%), idiopathic pneumonia syndrome (5.5%) or mixed etiology (0.5%). Acute graft-versus-host disease (GVHD) \geq grade II was significantly more common in pulmonary patients than in the controls (36/61 versus 22/61 patients, $P = 0.02$). There was no significant difference in the incidence of chronic GVHD ($P = 0.09$). CMV reactivation was significantly more frequent in patients with lung injury ($P = 0.02$). Median survival was 41 weeks for the pulmonary patients and 350 weeks for the controls ($P = 0.001$). Altogether, the incidence of pulmonary complications is low after T-cell-depleted SCT and is associated with acute GVHD and CMV reactivation.

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Introduction

Pulmonary complications significantly contribute to treatment-related morbidity and mortality after allogeneic stem cell transplantation (SCT). Whereas infectious diseases of the lung predominated in previous years, the increased use of prophylactic antibiotics has shifted the spectrum towards non-infectious causes. Traditionally, pulmonary toxicities were observed to range from 30 to 50%,^{1–3} but more recent studies report a diverse and generally lower incidence.^{4–14}

Unfortunately, these trials did not apply a uniform definition of non-infectious pulmonary complications. The best-characterized early onset lung injury after SCT is diffuse alveolar hemorrhage (DAH), whereas bronchiolitis obliterans (BO) and bronchiolitis obliterans-organizing pneumonia (BOOP) occur later in the post transplant period. The most common complication is the idiopathic pneumonia syndrome (IPS), which is defined as evidence of widespread alveolar injury in the absence of lower respiratory tract infection¹⁵ and may develop in the early but more commonly in a later setting. Additional, less frequently encountered forms of pulmonary toxicity are the periengraftment respiratory distress syndrome and delayed pulmonary toxicity syndrome.

Among the variables analyzed for their possible influence on the development of pulmonary complications, graft-versus-host disease (GVHD) emerges as a consistent risk factor,^{9,16,17} suggesting a role for inflammatory mediators and alloreactive donor lymphocytes. However, experimental data have not yet been able to show a mechanistic relationship.¹⁸ Moreover, the association with GVHD varies among the different types of lung injury. Whereas BO and BOOP occur almost exclusively in patients with chronic GVHD,^{11,19,20} GVHD is among the many risk factors but not a prerequisite for the development of IPS.²¹ The pathogenesis of DAH is unclear but it is usually diagnosed early post transplant and GVHD has not been identified as a critical factor.²²

In the current study, we characterize the spectrum and incidence of pulmonary toxicities in a large cohort of patients uniformly treated with cyclophosphamide and total body irradiation (TBI) followed by a partially T-cell-depleted SCT and we analyze the relationship between this lung injury and GVHD.

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Patients and methods

Study group

Pulmonary complications were reviewed in a computerized database of 369 consecutive patients who received either allogeneic bone marrow (allo-BMT) or peripheral blood SCTs (allo-PBSCTs) between January 1993 and July 2003 at our institution. Control subjects were selected from the same database. Controls were matched to each pulmonary case on sex, underlying diagnosis, age, type of transplantation (sib or matched-unrelated donor (MUD)), BMT or PBSCT and cytomegalovirus (CMV)-serostatus to the best possible extent. Patients who underwent previous allogeneic or autologous SCT were excluded from the analysis.

Transplantation procedure

Patients were treated according to clinical protocols approved by the local investigation review board after informed consent was obtained. For all patients, the conditioning myeloablative regimen consisted of cyclophosphamide (60 mg/kg/day for 2 days) followed by TBI (600 cGy/day for 2 days) with partial shielding of the lungs (total lung dose 850 cGy). The graft was partially T-cell-depleted²³ consisting of $1-2 \times 10^5$ T cells/kg and was infused after the second TBI fraction (day 0). CD34 dose was only measured for PBSCT and the median dose was 1.2×10^6 /kg. Antithymocyte globulin (Thymoglobulin, Sangstat, Amstelveen, The Netherlands) was given to MUD patients before cyclophosphamide was infused, at a total dose of 20 mg/kg until April 1999 and 8 mg/kg thereafter.

Post transplant immunosuppression consisted of cyclosporine, which was discontinued within 3 months after transplantation when no active GVHD was present. GVHD was diagnosed according to the Seattle criteria²⁴ and treated with 1–2 mg/kg/day prednisolone and resumption of full dose cyclosporine if applicable. Donor lymphocyte infusions were administered in case of residual disease or relapse at a dose of $0.01-1.0 \times 10^8$ T cells/kg to eight patients with pulmonary disease and to 20 controls.

Infection prevention consisted of ciprofloxacin, fluconazole plus amphotericin B until granulocyte counts exceeded 500 cells/ μ l. Cephalothin was given for 10 days from day +3. Furthermore, co-trimoxazole and (val)acyclovir were given orally from day +1 until 12 months post transplantation or longer in the case of active GVHD, in a dose of 480 and 500 mg b.i.d., respectively. CMV-seropositive patient/donor combinations were monitored twice a week during the first 120 days post transplant. Until April 2001, CMV monitoring was based on pp65 antigenemia. Since then CMV viral load was determined by a CMV DNA PCR technique. Pre-emptive treatment with ganciclovir was started when tests became positive.

Pulmonary complications

The established etiologies of the pulmonary disease were reviewed by two of the authors (CH and LFV) on the basis of clinical, radiological, microbiologic and histological

findings. Pneumonia was classified as infectious based on the pathogen reported. According to distinguishing features described previously,²¹ non-infectious complications were defined as BO (absence of fever and pulmonary infiltrates, presence of airway obstruction), BOOP (fever, patchy pulmonary consolidation and typical histology), DAH (diffuse pulmonary infiltrates and progressively bloodier aliquots of lavage return and/or $\geq 20\%$ hemosiderin-laden macrophages during bronchoscopy) and IPS (evidence of widespread alveolar injury in the absence of lower respiratory tract infection¹⁵). Uncomplicated upper airway infections or exacerbations of chronic obstructive pulmonary disease were excluded from analysis.

Baseline pulmonary function tests were not obtained in all patients. Post transplant pulmonary function tests were performed in patients presenting with pulmonary complaints. Imaging studies (CT-scan and/or X-ray of the chest) as well as cultures and viral screening of sputum and blood were performed on all patients presenting with fever, cough, dyspnea and/or pulmonary infiltrates post transplant. From October 1997 to March 2001, routine nasopharyngeal and throat swabs were analyzed by PCR for the detection of respiratory viruses (adenovirus, parainfluenza virus, RS virus, influenza virus, rhinovirus, coronavirus, enterovirus), as described previously.^{25,26}

Treatment was initiated with broad-spectrum antibiotics given intravenously in case of neutropenia, and amphotericin was added if the patient remained febrile. Fiber-optic bronchoscopy with sampling of bronchoalveolar lavage (BAL) fluid was performed whenever possible if patients did not respond to empirical antibiotic therapy. Histological biopsies were taken according to the clinical situation and the condition of the patient.

Statistical methods

Differences between the groups were compared by logistic regression, accounting for the matching used in the control selection. This model included the variables of interest as well as the covariates considered *a priori* to be potential confounders (sex, underlying diagnosis, age, type of transplantation and CMV-serostatus). The prevalence of chronic GVHD could be calculated for patients surviving >100 days. The difference between patients with infectious and non-infectious causes of lung injury was compared by the Pearson χ^2 test. Overall survival was estimated by Cox proportional hazard analysis. Odds ratios (ORs) and their 95% confidence intervals (CIs) were calculated. For all tests, a two-sided *P*-value of ≤ 0.05 was considered statistically significant. Calculations were performed using SPSS/PC + 12.0 (SPSS Inc., Chicago, IL, USA).

Results

Characterization of patients and pulmonary complications
Of the 369 patients reviewed in our study, 61 (16.5%) developed pulmonary complications after allogeneic SCT. Patient and transplantation characteristics of this group and their control subjects are shown in Table 1. A minority of the pulmonary diseases was represented by infectious

causes (21 patients). In four cases, the clinical course was fully compatible with infectious pneumonia but the pathogen could not be determined. Forty patients developed non-infectious complications. These were further subclassified as BO (13 patients), BOOP (two patients), DAH (three patients) or IPS (20 patients). Bronchoscopy with BAL was performed in 71% of patients classified as IPS, yielding negative culture results. A mixed etiology was established in two patients (Table 2). The pulmonary complications were diagnosed at a median of 22 weeks after transplantation (range 2–263 weeks). The median time of onset did not differ between patients with infectious problems and those with IPS (19 and 18 weeks, respectively), whereas BO occurred at a later stage (median 28 weeks).

Clinical course and outcome

Table 3 shows the prevalence and severity of acute and chronic GVHD in the group of pulmonary patients and the

controls. As a consequence of the T-cell-depleted allografting, the numbers of grades III and IV GVHD were very small. Overall, the incidence of acute GVHD \geq grade II was significantly higher among patients with pulmonary complications ($P=0.02$). In this group, no difference was seen between patients with infectious and non-infectious causes of lung injury ($P=0.15$). The prevalence of chronic GVHD did not differ significantly between the groups, although there was a tendency towards a higher overall incidence in pulmonary patients ($P=0.09$ for limited and extensive chronic GVHD). The ORs for acute and chronic GVHD were 2.5 (95% CI, 1.2–5.3) and 2.0 (95% CI, 0.89–4.7), respectively. None of the other variables assessed in the logistic regression model could be identified as correlated factors. However, CMV reactivation was significantly more frequent among patients with pulmonary complications ($P=0.02$, OR 3.4, 95% CI, 1.2–9.5).

In 19 patients, pulmonary complications occurred during the period when all viral upper and lower respiratory tract infections were monitored. Viral airway infections were detected in 12 patients but the upper respiratory tract infection preceded subsequent lung injury (BO) in only one patient.

Table 1 Characteristics of pulmonary patients and controls

Characteristics	Pulmonary patients	Control subjects
Total no. of patients	61	61
Male	41	41
Female	20	20
Age (years)		
Median	44	44
Range	18–57	21–55
Underlying diagnosis		
Acute myeloid leukemia	8	9
Acute lymphoid leukemia	7	7
Chronic myeloid leukemia	14	14
Other ^a	32	31
Type of transplantation		
Allo-BMT	42	44
MUD-BMT	5	2
Allo-PBSCT	12	14
MUD-PBSCT	2	1
CMV serostatus		
Positive	34	34
Negative	27	27
CMV reactivation		
Yes	17	6
No	44	55
History of pulmonary disease		
No	53	57
Asthma	5	3
COPD	2	0
Tuberculosis	1	1
Smoking		
No	33	30
Yes/quit for <1 year	16	13
Unknown	12	18

Abbreviations: BMT = bone marrow transplantation; CMV = cytomegalovirus; COPD = chronic obstructive pulmonary disease; MUD = matched-unrelated donor; PBSCT = peripheral blood stem cell transplantation.

^aIncluding non-Hodgkin's lymphoma, multiple myeloma and myelodysplastic syndrome.

Table 2 Characterization of pulmonary complications

Pulmonary complications	Patients no.	%
Infectious	21	5.7
Bacterial	6	1.6
Viral	3	0.8
Aspergillus	8	2.2
No pathogen established	4	1.1
Non-infectious	40	10.8
IPS	20	5.5
BO	13	3.5
BOOP	2	0.5
DAH	3	0.8
Mixed	2	0.5

Abbreviations: BO = bronchiolitis obliterans; BOOP = bronchiolitis obliterans-organizing pneumonia; DAH = diffuse alveolar hemorrhage; IPS = idiopathic pneumonia syndrome.

Table 3 Prevalence and severity of graft-versus-host disease

	Pulmonary patients		Control subjects	
	n = 61	%	n = 61	%
Acute GVHD				
Grade 0	11	18	7	11
Grade I	14	23	32	53
Grade II	33	54	20	33
Grade III–IV	3	5	2	3
Chronic GVHD				
No	22	36	35	57
Limited	16	26	13	21
Extensive	10	17	7	12
Not applicable ^a	13	21	6	10

Abbreviation: GVHD = graft-versus-host disease.

^aPatients who did not survive > 100 days.

Overall, 14 pulmonary patients were alive at the time of analysis. Forty-seven patients died, mainly (42 patients) owing to pulmonary complications. Of the control subjects, 32 were alive and 29 died. Median survival was 41 weeks (range 4–583 weeks) for the pulmonary patients and 350 weeks (range 8–582 weeks) for the control subjects ($P=0.001$), after a median follow-up of 41 and 175 weeks, respectively. Survival was similar in patients with infectious causes and those with IPS (median 34 weeks, range 4–484 and 6–585 weeks, respectively), whereas patients with BO had a better outcome (median 55 weeks, range 28–410 weeks).

Discussion

Our data confirm that partial T-cell depletion results in a low incidence of GVHD and pulmonary complications, in contrast to results of recent studies without T-cell depletion.^{5,6,8,11,12} In our study, pulmonary complications were significantly associated with acute GVHD \geq grade II and CMV reactivation. The increased risk of pulmonary problems that we found among patients with chronic GVHD was not statistically significant. This is probably due to the use of partially T-cell-depleted grafts, resulting in a much lower incidence of chronic GVHD than reported in two studies which did demonstrate a relationship between chronic GVHD and late non-infectious pulmonary complications.^{11,12} Moreover, in a subset of patients presenting between 1997 and 2001, we could not identify viral respiratory tract infections as a risk factor for progression to lung injury.

The suggestion that non-infectious pulmonary complications after SCT are associated with GVHD initially came from the observation that their incidence was lower after autologous than after allogeneic SCT.^{27,28} In line with these findings, T-cell depletion lowered the incidence of both GVHD and lung injury.^{8,29} The association between chronic GVHD and BO is well accepted, mainly on the basis of consistent epidemiological data.^{20,30–33} However, experimental and epidemiological data on the role of GVHD in the development of IPS are more contradictory, underlining the lack of a clear mechanistic basis. Epithelial cell apoptosis, the classical GVHD histopathology finding, is not a consistent finding in IPS.^{34,35} However, as Cooke *et al.*³⁶ pointed out, epithelial cell apoptosis is not a requirement of GVHD pathology, and lung biopsies may be difficult to interpret because of nonspecific changes occurring, for example, during mechanical ventilation and suboptimal biopsy specimens owing to the risks associated with the procedure.

Experimental models have identified a role for alloreactive T cells as well as inflammatory mediators and antigen-presenting cells in the pathogenesis of IPS, as recently reviewed.¹⁸ More specifically, expression of the chemokine ligand RANTES by donor T cells contributes to leukocyte recruitment to the lung.³⁷ Interestingly, it has been observed in a murine model that T-cell depletion of the allograft significantly reduced but did not eliminate pulmonary toxicity.³⁶ Mature donor T cells were significantly increased in the BAL fluid of mice that received

allogeneic T cells as compared to syngeneic controls even in the absence of GVHD, giving weight to the theory that host reactive donor T cells play a central role rather than GVHD *per se*. In addition, in an allogeneic study using a low T-cell dose ($0.2\text{--}1.0 \times 10^5/\text{kg}$), 6.4% of patients died of IPS, while none of them developed significant GVHD.¹³

Yet novel insights come from the growing experience with non-myeloablative SCT. Recently, it was shown in a group of 53 patients that the rate of non-infectious pulmonary toxicity was low after non-myeloablative conditioning without irradiation: infectious pneumonia occurred in nine patients, two patients developed IPS and no cases of DAH or BO were encountered.¹⁰ The overall incidences of acute GVHD grade I/II, grade III/IV and extensive chronic GVHD were 19, 19 and 11%, respectively. Another report demonstrated that reduced-intensity conditioning decreased the incidence of BO, whereas chronic GVHD was not a risk factor.³⁸ Moreover, non-myeloablative patients experienced a significantly lower rate of lung function decline after SCT than myeloablative patients.³⁹ Both the intensity of the conditioning regimen (conventional versus non-myeloablative) and the occurrence of severe acute GVHD (grades III–IV) were prognostic for the development of IPS in a multiple regression analysis.⁷ In our large cohort, patients with pulmonary complications and controls were comparable with respect to well-known risk factors for lung injury, and acute GVHD \geq grade II was significantly related with pulmonary toxicity. There was no difference in the incidence of acute GVHD between patients with infectious and those with non-infectious pulmonary complications. We could not identify viral respiratory tract infections as a risk factor for progression to lung injury. Interestingly, we noted an association between pulmonary complications and CMV reactivation, which is not among previously reported risk factors. Therefore, the role of CMV reactivation and the effect of prophylaxis or pre-emptive therapy on the development of pulmonary complications deserve further evaluation.

This study has several limitations. Owing to the retrospective nature of this study, we might have missed a single patient with pulmonary problems, in spite of our detailed computerized database and extensive chart review. Moreover, we cannot rule out any undetermined relevant differences between the cases and controls for which we did not match. Nevertheless, our focus was to assess the influence of GVHD on the development of pulmonary diseases. These data illustrate that the incidence of pulmonary complications is low after partial T-cell-depleted SCT and demonstrate a clear association with acute GVHD. Still, improvement of the poor outcome of pulmonary complications is of utmost importance.

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