#### **ORIGINAL ARTICLE**



# Heat-shock protein 90a is a potential prognostic and predictive biomarker in hepatocellular carcinoma: a large-scale and multicenter study

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#### Abstract

**Background** Although the diagnostic value of plasma heat-shock protein  $90\alpha$  (HSP90 $\alpha$ ) in hepatocellular carcinoma (HCC) has been previously reported, the causal effect of the plasma HSP90 $\alpha$  levels on HCC prognosis remains largely unclear. To this extent, we sought to assess whether the plasma HSP90 $\alpha$  acts as a prognostic factor for HCC patients.

**Methods** A total of 2150 HCC patients were included in this retrospective study between August 2016 and July 2021. Plasma HSP90 $\alpha$  levels were tested within a week before treatment and their association with prognosis was assessed.

**Results** An optimal cutoff value of 143.5 for the HSP90 $\alpha$  based on the overall survival (OS) was determined using the X-tile software. HCC patients with HSP90 $\alpha$  < 143.5 ng/mL (low HSP90 $\alpha$ ) before and after propensity score matching (PSM) indicated longer median OS (mOS) relative to those with HSP90 $\alpha \ge 143.5$  ng/mL (high HSP90 $\alpha$ ) (37.0 vs. 9.0 months, p < 0.001; 19.2 vs. 9.6 months, p < 0.001; respectively). In addition, the high HSP90 $\alpha$  plasma level is an independent poor prognostic factor for OS in HCC patients. In our subgroup analysis, including the supportive care group, surgery group, transarterial chemoembolization (TACE) group, adjuvant TACE group, an immune checkpoint inhibitor (ICI) plus targeted therapy group, and TACE plus ICI group, the high HSP90 $\alpha$  group demonstrated better OS compared to the low HSP90 $\alpha$  group. Moreover, in the supportive care, TACE, ICI plus targeted therapy, TACE plus ICI groups, and high HSP90 $\alpha$  levels were also an independent poor prognostic factors for OS.

**Conclusions** Our study confirmed that the plasma  $HSP90\alpha$  level can be used as a prognostic biomarker for HCC.

**Keywords** Heat-shock protein  $90\alpha \cdot$  Hepatocellular carcinoma  $\cdot$  Biomarker  $\cdot$  Overall survival  $\cdot$  Primary liver cancer  $\cdot$  Transarterial chemoembolization  $\cdot$  Immune checkpoint inhibitor  $\cdot$  Targeted therapy  $\cdot$  Prognostic factor  $\cdot$  Predictive biomarker

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## Introduction

Hepatocellular carcinoma (HCC) is one of the most common malignant tumors worldwide and a common cause of cancer death [1]. The median overall survival (mOS) of HCC patients without effective treatment is only 4 months [2]. Nowadays, a plethora of therapeutic approaches has been investigated in HCC. Recently, the combination of the anti-program death ligand 1(PD-L1) antibody, atezolizumab plus the anti-VEGF bevacizumab was approved by the Food and Drug Administration (FDA) and recommended by the National Comprehensive Cancer Network (NCCN) guidelines as the first-line treatment for advanced HCC, which could extend OS to 19.2 months [3, 4]. Yusheng et al. reported that the median progression-free survival (mPFS) of advanced HCC patients receiving transarterial chemoembolization (TACE) plus camrelizumab was 9 months [5]. In addition, in a randomized controlled study of operable HCC, patients who received adjuvant TACE had a higher three-year OS rate compared to patients who underwent surgery alone (85.2% vs. 77.4%; p=0.04) [6]. Although the survival of HCC has been greatly prolonged, predicting treatment efficacy and response remains a challenging bottleneck.

In clinical practice, alpha-fetoprotein (AFP) is the most commonly used diagnostic and prognostic marker for HCC [7]. However, its reduced sensitivity of 52.1–62.5% underlies numerous limitations [8, 9]. Furthermore, AFP-negative tumors account for up to 30–40% of pathologically diagnosed HCC patients, which significantly hinders the application of AFP in the diagnosis and prognosis of HCC [10–12]. Therefore, there is an urgent need to identify new prognostic and predictive biomarkers to improve the management of HCC patients.

Heat-shock protein 90 (HSP90) is a highly conserved molecular chaperone through species and evolution. Interestingly, HSP90 has been reported to be secreted by a variety of cancer cell types [13, 14]. Previous studies had demonstrated that the HSP90 expression was associated with tumor proliferation and metastasis [15-17]. HSP90 $\alpha$  is a subtype of HSP90, which has become a remarkable focus of current research due to its role in the regulation of signal transduction [18]. In a large multicenter study with 1,647 enrollments for the diagnosis of HCC, HSP90α displayed 92.7% and 91.3% diagnostic sensitivity and specificity, respectively [19]. Nevertheless, despite these promising results, there is still a shortfall of clinical studies, with large sample sizes, to determine the relationship between HSP90 $\alpha$  level and HCC prognosis. Therefore, we initiated this multicenter study to assess whether plasma HSP90a could be used as a prognostic factor in HCC patients.

## **Materials and methods**

#### Patients

A total of 2150 HCC patients were initially enrolled at three Chinese tertiary hospitals between August 2016 and July 2021. The inclusion criteria were as follows: (a) pathologically or clinically diagnosed HCC; (b) no prior anti-tumor therapy; (c) presence of measurable lesions according to Response Evaluation Criteria in Solid Tumors, version 1.1 (RECIST 1.1); and (d) plasma HSP90 $\alpha$  test completed within a week before treatment. Patients with other malignant tumors or incomplete clinical data were excluded. The Ethics Committee of The Affiliated Hospital of Southwest Medical University approved this study with the affiliated approval number KY2020254. Due to the retrospective nature of the study, informed consent was waived.

#### **Data collection**

We retrospectively reviewed and recorded clinical data through individual patients' files. Demographic information included sex and age. HCC etiology factors of interest included alcohol, hepatitis B virus (HBV), hepatitis C virus (HCV), and nonalcoholic fatty liver disease (NAFLD). The patient's liver function was evaluated using the Child-Pugh score and albumin-bilirubin (ALBI). Laboratory data included the HSP90a plasma levels, AFP, alkaline phosphatase (ALP), alanine aminotransferase (ALT), lactate dehydrogenase (LDH), total bilirubin, albumin, leukocyte and platelet count, and creatinine. Tumor burden was interpreted by radiologists by computed tomography (CT) and magnetic resonance imaging (MRI), which included the maximum tumor diameter, number of tumors, portal vein tumor thrombus (PVTT), lymph node metastasis, and extrahepatic metastasis. The Barcelona Clinic Liver Cancer (BCLC) staging system was used to determine the tumor stage. All HCC treatments, hypertension, and diabetes were documented based on the patient's medical record. OS was defined as the time from the start of the first treatment until death or the last follow-up.

#### **Statistical analysis**

For statistical analysis, the Chi-square  $(\chi^2)$  test and McNemar analysis were used to analyze categorical variables. Mann–Whitney U and Wilcoxon matched-pairs signed-rank tests were used to analyze continuous variables. An optimal cutoff value of the HSP90 $\alpha$  levels based on OS was determined using X-tile software (Yale University, New Haven, CT). A time-dependent receiver operating characteristic (ROC) curve was used to assess the ability of HSP90 $\alpha$  to predict efficacy. The relationship between the HSP90 $\alpha$  level and baseline characteristics was assessed by using a univariate and multivariate logistic regression model. Propensity score matching (PSM) was performed to determine the high and low HSP90 $\alpha$  level groups with a similar baseline. Subsequently, the mOS was estimated and compared using Kaplan-Meier statistics and log-rank test, respectively. After identifying Factors affecting OS (p < 0.05) via univariate Cox analysis, we introduced them into multivariate models to determine independent prognostic factors for OS. All statistical analyses were carried out in SPSS (version 26.0) and R 3.3.2 software. Two-sided p < 0.05 were considered statistically significant.

#### Results

#### **Patient characteristics**

A total of 2150 HCC patients were included in our retrospective study. The median HSP90 $\alpha$  plasma concentration was 100.4 ng/mL (IQR 56.5–203.5). The percentages of male, child A, AFP<200 ng/mL, and multiple tumors were 80.3%, 72.8%, 55.1%, and 72.9%, respectively. Most of the patients were presented with BCLC stage C (52.9%) and ALBI grade 2 (60.9%). In addition, the percentages of patients with only supportive care were 21.3%. Table 1 summarizes the baseline characteristics of all enrolled patients.

#### HSP90α levels and overall survival before and after PSM

An optimal cutoff value of 143.5 ng/mL for the HSP90 $\alpha$ based on OS was determined using X-tile software (Yale University, New Haven, CT). Patients were sub-grouped into high HSP90 $\alpha$  (HSP90 $\alpha \ge 143.5$  ng/mL) and low HSP90 $\alpha$ (HSP90 $\alpha$  < 143.5 ng/mL) groups. Before PSM, no significant differences were noted between the two groups in terms of the HBV and HCV infection status. However, in the high HSP90 $\alpha$  group the patients had older median age, more aggressive baseline BCLC stage, reduced liver function, and elevated tumor burden (p < 0.05), compared with the low HSP90 $\alpha$  group (Table 1). In this study, the median follow-up was 24.4 months in all patients, 23.7 months in the high HSP90 $\alpha$  group, and 24.7 months in the low HSP90a group. The mOS of this HCC patient cohort was 21.9 (95% CI 19.4-24.4) months (Fig. 1A). Patients in the high HSP90a group showed shorter mOS than patients in the low HSP90 $\alpha$  group (9.0 vs. 37.0 months, HR = 2.663 (95%) CI 2.357–3.009), *p* < 0.001; Fig. 1B).

After PSM, no significant differences were noted between the two groups for any covariate (Supplementary Table 1). The mOS of this HCC patient cohort was 15.3 (95% CI 13.1–17.5) months (Fig. 1C). The high HSP90 $\alpha$  group showed a shorter mOS than the low HSP90 $\alpha$  group (9.6 vs. 19.2 months, HR = 1.529 (95% CI 1.296–1.804), p < 0.001; Fig. 1D).

#### Factors associated with the OS

By utilizing univariate and multivariate analyses, we confirmed the HSP90 $\alpha \ge 143.5$  ng/mL (p < 0.001), AFP  $\ge 400$  ng/mL (p = 0.043), child B plus C (p = 0.013), ALP  $\ge 125$  U/L (p < 0.001), tumor number  $\ge 2$  (p = 0.010), no any anti-tumor tumors (p < 0.001), and more advanced BCLC staging (p = 0.006), and these were independent

risk prognostic factors for OS (Table 2). After PSM, HSP90 $\alpha \ge 143.5$  ng/mL remained a negative independent prognostic marker for OS (Supplementary Table 2). In addition, the time-dependent ROC curves based on the HSP90 $\alpha$ level demonstrated that the area under the curve (AUC) values for predicting OS at 1, 2, and 3 years was 0.718, 0.685, and 0.691, respectively (Fig. 2).

#### Subgroup analysis of different treatment modalities

The patients were divided into different subgroups according to various treatments. The specific subgroups are as follows: supportive care group (n=457), surgery group (n=275), TACE group (n=780), adjuvant TACE group (n=107), immune checkpoint inhibitor (ICI) plus targeted therapy group (n=93), and TACE plus ICI group (n=74). Following this subgrouping, we were willing to elucidate the relationship between HSP90 $\alpha$  levels and baseline characteristics in different subgroups, demonstrating that patients with high HSP90 $\alpha$  plasma levels were significantly associated with worse tumor burden and more aggressive BCLC staging (Supplementary Tables 3–8).

More importantly, in all the six subgroups, patients within the low HSP90 $\alpha$  groups consistently demonstrated improved OS compared to the high HSP90 $\alpha$  groups (Fig. 3). In univariate and multivariate Cox regression analyses of supportive care, TACE, ICI plus targeted therapy, and TACE plus ICI groups, high HSP90 $\alpha \ge 143.5$  ng/mL was an independent poor prognostic factor for OS (p=0.006, p<0.001, p=0.047, p=0.027, respectively) (Supplementary Tables 9, 11, 13, 14). Notably, in the surgery and adjuvant TACE group, the HSP90 $\alpha$  plasma level was not a significant prognostic factor for OS (Supplementary Tables 10, 12).

# Relationship between HSP90α level and baseline characteristics

Through logistic regression analyses, we confirmed that the age, Child–Pugh class, ALBI grade, AFP, ALP, platelet, ALT, leukocyte, tumor diameter, and PVTT were independent influencing factors for the HSP90 $\alpha$  expression (Supplementary Table 15). Moreover, to evaluate the significance of the HSP90 $\alpha$  levels in a clinical setting, we further explored the relationship between HSP90 $\alpha$  levels and baseline characteristics. The results revealed that the HSP90 $\alpha$  level was not related to HBV infection. However, higher HSP90 $\alpha$  was associated with older age  $\geq 65$  (p = 0.001), increased AFP  $\geq$  400 ng/mL (p < 0.001), male gender (p < 0.001), multiple HCC tumors (p < 0.001), more aggressive Child grade (p < 0.001) and ALBI score (p < 0.001), larger tumor diameter (p < 0.001), and more aggressive BCLC staging (p < 0.001) (Fig. 4).

| Variable                               | Total                    | HSP90α < 143.5 ng/mL     | HSP90α≥143.5 ng/mL         | р       |
|--|--------------------------|--------------------------|----------------------------|---------|
| Patients                               | 2150                     | 1370                     | 780                        |         |
| Male sex                               | 1726 (80.3)              | 1073 (78.3)              | 653 (83.7)                 | 0.002   |
| Age≥65 years                           | 569 (26.5)               | 399 (29.1)               | 170 (21.8)                 | < 0.001 |
| Etiology                               |                          |                          |                            |         |
| HBV                                    | 1183 (55.0)              | 754 (55.0)               | 429 (55.0)                 | 0.987   |
| HCV                                    | 45 (2.1)                 | 31 (2.3)                 | 14 (1.8)                   | 0.466   |
| Alcohol                                | 885 (41.2)               | 540 (39.4)               | 345 (44.2)                 | 0.029   |
| NAFLD                                  | 30 (1.4)                 | 19 (1.4)                 | 11 (1.4)                   | 0.965   |
| Other                                  | 38 (1.8)                 | 24 (1.8)                 | 14 (1.8)                   | 0.942   |
| Diabetes mellitus                      | 206 (9.6)                | 145 (10.6)               | 61 (7.8)                   | 0.036   |
| Hypertension                           | 329 (15.3)               | 227 (16.6)               | 102 (13.1)                 | 0.031   |
| Child–Pugh class                       |                          |                          |                            | < 0.001 |
| A                                      | 1565 (72.8)              | 1100 (80.3)              | 465 (59.6)                 |         |
| В                                      | 553 (25.7)               | 258 (18.8)               | 295 (37.8)                 |         |
| С                                      | 32 (1.5)                 | 12 (0.9)                 | 20 (2.6)                   |         |
| ALBI grade                             |                          |                          |                            | < 0.001 |
| 1                                      | 644 (30.0)               | 508 (37.1)               | 136 (17.4)                 |         |
| 2                                      | 1310 (60.9)              | 776 (56.6)               | 534 (68.5)                 |         |
| 3                                      | 196 (9.1)                | 86 (6.3)                 | 110 (14.1)                 |         |
| HSP90α, median (IOR, ng/mL)            | 100.4(56.5-203.5)        | 66.0 (45.8–95.5)         | 251.5 (189.9–336.5)        |         |
| Creatinine, median (IOR, mg/dL)        | 64.0 (54.5–73.6)         | 64.9 (55.0–74.1)         | 62.2(53.0-72.3)            | 0.010   |
| Serum AFP. ng/mL                       |                          |                          | 0212 (0010 / 210)          | < 0.001 |
| < 200                                  | 1184 (55.1)              | 887 (64.7)               | 297 (38.1)                 | (01001  |
| > 200 < 400                            | 139 (6 5)                | 91 (6 6)                 | 48 (6 2)                   |         |
| >400                                   | 827 (38 5)               | 392 (28 6)               | 435 (55.8)                 |         |
| ALP levels $> 125 \text{ U/L}$         | 1164(541)                | 568 (41 5)               | 596 (76 4)                 | < 0.001 |
| Platelet count $\geq 100 \times 109/I$ | 1570 (73.0)              | 944 (68 9)               | 626 (80.3)                 | < 0.001 |
| $\Delta I T levels > 40 II/I$          | 1116 (51.9)              | 613 (44 7)               | 503 (64 5)                 | < 0.001 |
| $Leukocyte > 4 \times 10^9 / I$        | 1701 (83 3)              | 1087 (79.3)              | 704 (90.3)                 | < 0.001 |
| BCI C stage                            | 1791 (05.5)              | 1007 (77.3)              | /04 (90.5)                 | < 0.001 |
|  | 486 (22.6)               | 425 (31.0)               | 61 (7.8)                   | < 0.001 |
| B                                      | 400 (22.0)               | 384 (28 0)               | 110(14.1)                  |         |
| D<br>C                                 | 1138(52.0)               | 549 (40.1)               | 580 (75 5)                 |         |
| D                                      | 32(15)                   | 12 (0.0)                 | 20 (2.6)                   |         |
| Number of tumors $> 2$                 | 1568(72.9)               | 920(67.2)                | 648 (83.1)                 | < 0.001 |
| Tumor diameter $am$                    | 1508 (72.9)              | 920 (07.2)               | 040 (05.1)                 | < 0.001 |
|  | 227 (15.2)               | 286(20.0)                | (1) (5, 2)                 | < 0.001 |
| >3 <5                                  | 327 (13.2)<br>467 (21.7) | 280 (20.9)               | 41(5.5)                    |         |
| $\geq 5, < 5$                          | 407(21.7)<br>820(28.1)   | 510 (27.7)               | 301 (28 6)                 |         |
| ≥3, <10                                | 620 (36.1)<br>526 (24.0) | 519 (57.9)<br>195 (12.5) | 301 (38.0)<br>251 (45)     |         |
| ≥10<br>DVTT                            | 330 (24.9)<br>717 (22.2) | 185 (13.5)               | 331 (43)                   | < 0.001 |
| PVII                                   | /1/(33.3)                | 284 (20.7)               | 433 (33.3)                 | < 0.001 |
| Lymph node metastasis                  | 822 (38.2)               | 380 (28.2)               | 436 (55.9)                 | < 0.001 |
| Extrahepatic metastases                | 414 (19.3)               | 196 (14.3)               | 218 (27.9)                 | < 0.001 |
| Lung                                   | 259 (12.0)               | 106 (7.7)                | 153 (19.6)                 |         |
| Bone                                   | 107 (5.0)                | 58 (4.2)                 | 49 (6.3)                   |         |
| Other                                  | 166 (7.7)                | 90 (6.6)                 | /6 (9./)                   |         |
| Treatments                             |                          |                          | <b>225</b> ( <b>2</b> 0.0) |         |
| Supportive care                        | 457 (21.3)               | 232 (16.9)               | 225 (28.8)                 | < 0.001 |
| Liver resection                        | 489 (22.7)               | 405 (29.6)               | 84 (10.8)                  | < 0.001 |
| Radiotherapy                           | 52 (2.4)                 | 29 (2.1)                 | 23 (2.9)                   | 0.227   |

| Total       | HSP90α < 143.5 ng/mL   | HSP90 $\alpha \ge 143.5$ ng/mL   | р  |  |  |  |  |  |
|-------------|--|--|--|--|--|--|--|--|
| 1065 (49.5) | 648 (47.3)   | 417 (53.5)   | 0.006  |  |  |  |  |  |
| 141 (6.6)   | 128 (9.3)  | 13 (1.7)   | < 0.001  |  |  |  |  |  |
| 208 (9.7)   | 109 (8.0)  | 99 (12.7)  | < 0.001  |  |  |  |  |  |
| 163 (7.6)   | 92 (6.7)   | 71 (9.1)   | 0.044  |  |  |  |  |  |
| 142 (6.6)   | 108 (7.9)  | 34 (4.4)   | 0.002  |  |  |  |  |  |
|             | Total<br>1065 (49.5)<br>141 (6.6)<br>208 (9.7)<br>163 (7.6)<br>142 (6.6) | Total HSP90α < 143.5 ng/mL   1065 (49.5) 648 (47.3)   141 (6.6) 128 (9.3)   208 (9.7) 109 (8.0)   163 (7.6) 92 (6.7)   142 (6.6) 108 (7.9) | TotalHSP90 $\alpha$ < 143.5 ng/mLHSP90 $\alpha$ ≥ 143.5 ng/mL1065 (49.5)648 (47.3)417 (53.5)141 (6.6)128 (9.3)13 (1.7)208 (9.7)109 (8.0)99 (12.7)163 (7.6)92 (6.7)71 (9.1)142 (6.6)108 (7.9)34 (4.4) |  |  |  |  |  |

*HBV* hepatitis B virus, *HCV* hepatitis C virus, *NAFLD* nonalcoholic fatty liver disease, *ALBI* albumin–bilirubin, *HSP90a* heat-shock protein 90 $\alpha$ , *AFP* alpha-fetoprotein, *ALP* alkaline phosphatase, *ALT* alanine aminotransferase, *BCLC* Barcelona Clinic Liver Cancer, *PVTT* portal vein tumor thrombus, *TACE* transcatheter arterial chemoembolization, RFA radiofrequency ablation, *ICI* immune checkpoint inhibitor



Fig. 1 Kaplan–Meier plots: overall survival in all patients **A** stratified based on the HSP90 $\alpha$  levels **B** before propensity score matching. Overall survival in matched patients **C** stratified based on the HSP90 $\alpha$  levels **D** after propensity score matching. *HSP90\alpha* heat-shock protein 90 $\alpha$ 

#### Discussion

HCC is cancer with an aggressive clinical course and high morbidity. Prognostic markers are widely used in clinical practice and have high clinical value as efficient treatment determinants [20]. HSP90 $\alpha$  has been previously reported to have a high diagnostic value in patients with HCC [18,

19]. Our novel large-scale, the multicenter study provided robust data on the suitability of the HSP90 $\alpha$  plasma level as a prognostic biomarker for HCC. Our results suggested that patients with HSP90 $\alpha$  < 143.5 ng/mL had longer mOS compared to patients with HSP90 $\alpha \ge$  143.5 ng/mL: (p < 0.001), implicating that HSP90 $\alpha \ge$  143.5 ng/mL is an independent poor prognostic factor for OS.

Table 2Univariate andmultivariate Cox regressionanalysis of overall survivalbefore PSM

|   | Univariable Cox regression |              | Multivariable Cox regression |       |             |         |
|---|----------------------------|--------------|------------------------------|-------|-------------|---------|
|   | HR                         | 95% CI       | р                            | HR    | 95% CI      | р       |
| Sex (male/female)                           | 1.189                      | 1.015-1.393  | 0.032                        | 1.113 | 0.947-1.309 | 0.194   |
| Age ( $\geq 65/<65$ years)                  | 0.979                      | 0.852-1.124  | 0.763                        |       |             |         |
| HBV (positive/negative)                     | 0.944                      | 0.836-1.066  | 0.354                        |       |             |         |
| HCV (positive/negative)                     | 0.714                      | 0.442-1.154  | 0.169                        |       |             |         |
| Alcoholism (positive/negative)              | 1.092                      | 0.966-1.234  | 0.160                        |       |             |         |
| NAFLD (positive/negative)                   | 0.859                      | 0.486-1.518  | 0.602                        |       |             |         |
| Diabetes mellitus (positive/negative)       | 0.961                      | 0.779–1.186  | 0.712                        |       |             |         |
| Hypertension (positive/negative)            | 0.888                      | 0.745-1.060  | 0.188                        |       |             |         |
| Child–Pugh class $(B + C/A)$                | 2.059                      | 1.812-2.340  | < 0.001                      | 1.211 | 1.041-1.409 | 0.013   |
| ALBI grade $(2+3/1)$                        | 1.594                      | 1.387-1.831  | < 0.001                      | 1.069 | 0.915-1.250 | 0.400   |
| HSP90α (≥143.5/<143.5 ng/mL)                | 2.663                      | 2.357-3.009  | < 0.001                      | 1.637 | 1.418-1.889 | < 0.001 |
| AFP ( $\geq 400 / < 400 \text{ ng/mL}$ )    | 1.520                      | 1.346-1.716  | < 0.001                      | 1.142 | 1.004-1.298 | 0.043   |
| ALP ( $\geq 125 / < 125 \text{ U/L}$ )      | 2.345                      | 2.063-2.666  | < 0.001                      | 1.431 | 1.237-1.656 | < 0.001 |
| Platelet (< $100,000 \ge 100,000 / \mu L$ ) | 1.092                      | 0.952-1.252  | 0.211                        |       |             |         |
| $ALT (\geq 40 / < 40 \text{ U/L})$          | 1.338                      | 1.184-1.512  | < 0.001                      | 0.953 | 0.836-1.085 | 0.464   |
| Leukocyte (<4000/≥4000/µL)                  | 1.161                      | 0.984-1.370  | 0.077                        |       |             |         |
| BCLC stage                                  |                            |              | < 0.001                      |       |             | 0.006   |
| 0/A   | 1.000                      |              |                              | 1.000 |             |         |
| В   | 1.733                      | 1.388-2.162  | < 0.001                      | 1.254 | 0.950-1.656 | 0.111   |
| С   | 3.712                      | 3.070-4.488  | < 0.001                      | 1.667 | 1.239-2.243 | 0.001   |
| D   | 6.656                      | 4.332-10.227 | < 0.001                      | 1.305 | 0.796-2.139 | 0.291   |
| Number of tumor $(\geq 2/<2)$               | 2.030                      | 1.736-2.374  | < 0.001                      | 1.300 | 1.065-1.586 | 0.010   |
| Tumor diameter ( $\geq 5/<5$ cm)            | 1.735                      | 1.519-1.981  | < 0.001                      | 1.074 | 0.926-1.247 | 0.345   |
| PVTT (positive/negative)                    | 2.177                      | 1.924-2.464  | < 0.001                      | 1.097 | 0.929-1.295 | 0.277   |
| Lymph node metastasis (yes/no)              | 2.232                      | 1.975-2.523  | < 0.001                      | 1.041 | 0.878-1.234 | 0.642   |
| Extrahepatic metastases (yes/no)            | 1.947                      | 1.691-2.241  | < 0.001                      | 1.092 | 0.932-1.278 | 0.276   |
| Anti-tumor therapy (no/yes)                 | 2.818                      | 2.469-3.216  | < 0.001                      | 2.139 | 1.851-2.472 | < 0.001 |

*PSM* propensity score matching, *HBV* hepatitis B virus, *HCV* hepatitis C virus, *NAFLD* nonalcoholic fatty liver disease, *ALBI* albumin–bilirubin, *HSP90* $\alpha$  heat-shock protein 90 $\alpha$ , *AFP* alpha-fetoprotein, *ALP* alkaline phosphatase, *ALT* alanine aminotransferase, *BCLC* Barcelona Clinic Liver Cancer, *PVTT* portal vein tumor thrombus

AFP is the most widely used biomarker in HCC to date. Nevertheless, AFP-negative tumors account for about 30% of cases of HCC, with several studies revealing AFP's inability to evaluate this subset of HCC tumors [10, 11, 21]. Therefore, to eradicate this clinical decision gap, new prognostic markers are urgently needed. HSP90 $\alpha$  is a master regulator and molecular chaperone regulating key cell signaling networks [22]. The secretion of HSP90 $\alpha$  in normal cells promotes tissue repair under stress, while the secretion in tumor cells can promote cancer cell proliferation and metastatic potential [17]. Previous studies have confirmed increased expression of HSP90 $\alpha$  levels in several tumor types, including HCC [23, 24]. Furthermore, clinically, HSP90 $\alpha$  can be used as a diagnostic biomarker for HCC, lung cancer, breast cancer, and gastric cancer [19, 24–26]. Despite its strong diagnostic value, few studies have elucidated the prognostic value of HSP90 $\alpha$  in human cancer. Li et al. reported that lung cancer patients with high HSP90 $\alpha$  levels had poorer OS and PFS compared to low HSP90 $\alpha$  patients [27]. In addition, a study by Fu et al. found that HSP90 $\alpha$  positively correlated with tumor volume after surgery or interventional therapy (p < 0.05) [19]. However, the author did not explore the relationship between HSP90 $\alpha$  levels with baseline characteristics and patient outcomes. Our study demonstrated that patients with high HSP90 $\alpha$  levels had shorter OS and HSP90 $\alpha$  was an independent factor for OS in HCC.

Although the protein kinase inhibitor, sorafenib had been used in HCC for many years, its efficacy as monotherapy is still poor, with mOS of only 6.5 months [28]. In recent years, the plethora of studies and drug development advancements



Fig. 2 Time-dependent receiver operating characteristic curves of HSP90 $\alpha$  for overall survival in hepatocellular carcinoma patients. *HSP90\alpha* heat-shock protein 90 $\alpha$ , *AUC* area under the curve

of ICIs have expanded our therapeutic arsenal for cancer. The combination of ICIs and targeted drugs has significantly improved the clinical outcomes of HCC patients [29-31]. In the same direction, the combination of TACE plus camrelizumab increased the PFS of advanced HCC patients to 9 months [5]. Nevertheless, predicting the efficacy of HCC patients receiving ICIs remains a clinical challenge with a definite positive outcome in the quality of patient care. In our subgroup analysis (supportive care group, surgery group, TACE group, adjuvant TACE group, ICI plus targeted therapy group, and TACE plus ICI group), all the low HSP90 $\alpha$ expressing patient groups demonstrated better OS than the high HSP90 $\alpha$  ones. In the multivariate Cox analysis of the supportive care group, TACE group, TACE plus ICI group, and ICI plus targeted therapy group, the HSP90 $\alpha \ge 143.5$  ng/ mL cutoff was also an independent poor prognostic factor for OS. More importantly, in contrast to other more invasive diagnostic techniques, the liquid biopsy technique for the determination of plasma HSP90 $\alpha$  levels is characterized by low invasiveness and high convenience. It is a promising, simple, and effective biomarker for assessing survival in HCC patients and discerning the patients who may benefit from specific treatment modalities. Furthermore, our study confirms that HSP90 $\alpha$  is associated with prognosis; thus, the follow-up interval should be reduced for HCC patients with a high HSP90 $\alpha$  expression. This approach can better predict disease progression and guide in deciding the next treatment strategy. In conclusion, assessing the HSP90 $\alpha$  plasma levels is a robust approach to evaluating the treatment efficacy and response of HCC patients.

In our current study, we further explored the relationship between plasma HSP90a levels and baseline clinical characteristics. Strikingly, high HSP90a plasma levels were associated with multiple tumors co-occurrence, worse child grade and ALBI score, larger tumor diameter, and more aggressive BCLC staging. These results further implicate HSP90 $\alpha$  as a prognostic factor in HCC. In accordance with our results, recent studies have also demonstrated that high HSP90a levels correlate with a more aggressive clinical stage [18, 19, 24]. Furthermore, our data showed that patients with AFP  $\geq$  400 ng/mL had higher HSP90 $\alpha$  levels compared to patients with AFP < 400 ng/mL. Notably, a study by Xu et al. showed that the HSP90 $\alpha$  level detected by immunohistochemistry in HCC tissues did not associate with serum AFP levels [32]. Nevertheless, we interpret these differences based on the fact that HSP90a plasma level determination is a more sensitive method compared to tissue expression via immunohistochemistry.

In addition, our study determined that the high ALP level before and after PSM is an independent negative prognostic factor for OS. Past studies had confirmed that patients with a high ALP expression had a shorter OS than those with a low ALP expression [33–35].

To our knowledge, this is the first comprehensive study with a large sample size to elucidate the association between plasma HSP90a levels and prognosis in HCC patients. As far as the cutoff value is concerned, the value of 143.5 ng/mL was determined as the optimal value by the X-tile software. Subsequently, in our subgroup analysis, we also confirmed that this cutoff value can also be applied as a prognostic and predictive value in different treatment groups. These data have robust clinical significance implicating that the HSP90 $\alpha$  plasma level is an important factor to evaluate the therapeutic response of HCC patients in various therapeutic interventions. Despite the advantages of our study, there are still some limitations. First, selection bias cannot be eliminated due to the nature of retrospective studies. Nevertheless, the large sample of our cohort significantly increased the power and robustness of our study. Second, although our study confirmed that the HSP90 $\alpha$  level can predict the response of HCC patients to immunotherapy, our results may be affected by the underlying heterogeneity of different ICIs. Future studies with larger cohort samples and classes of ICI should be designed to safely assess these interesting preliminary findings.



**Fig. 3** Kaplan–Meier plots for overall survival in the supportive care group (**A**), surgery group (**B**), transcatheter arterial chemoembolization (TACE) group (**C**), adjuvant TACE group (**D**), immune checkpoint inhibitor (ICI) plus targeted therapy group (**E**), and TACE plus ICI group (**F**)

# Conclusions

In conclusion, our study confirmed that the plasma HSP90 $\alpha$  level can be used as a prognostic and predictive biomarker for HCC. Patients with HSP90 $\alpha$  < 143.5 ng/mL had longer

mOS compared to those with HSP90 $\alpha \ge 143.5$  ng/mL. More importantly, HSP90 $\alpha \ge 143.5$  ng/mL cutoff level was an independent poor prognostic factor for OS in HCC patients. Future prospective studies are required to expand



Fig. 4 Relationship between the HSP90 $\alpha$  levels and baseline characteristics. The HSP90 $\alpha$  level was not related to the status of HBV infection (C). Higher HSP90 $\alpha$  was associated with age  $\geq$ 65 years (A), male gender (B), worse Child–Pugh grade (D), ALBI score (E),

later BCLC staging (**F**), multiple tumors (**G**), upregulated AFP (**H**), and larger tumor diameter (**I**). *HSP90a* heat-shock protein 90a, *HBV* hepatitis B virus, *ALBI* albumin–bilirubin, *BCLC* Barcelona clinic liver cancer, *AFP* alpha-fetoprotein

our knowledge on the causal relationship between HSP90 $\alpha$  levels and the prognosis of HCC.

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**Data availability** All data generated or analyzed during this study are included in this article and its supplementary material files. Further inquiries can be directed to the corresponding author (Lanpaoxian-sheng @126.com).

#### Declarations

**Conflict of interest** Ke Su, Yanlin Liu, Pan Wang, Kun He, Fei Wang, Hao Chi, Mingyue Rao, Xueting Li, Lianbin Wen, Yanqiong Song, Jianwen Zhang, Tao Gu, Ke Xu, Qi Li, Jiali Chen, Zhenying Wu, Han Li, Weihong Huang, Lan Chen, Jian Tong, Hongyan Li, Xunjie Feng, Siyu Chen, Binbin Yang, Hongping Jin, Yue Yang, Hanlin Liu, Chao Yang, Ming Wu, Fangyu Xiong, Keyi Peng, Lechuan Zhu, Yaoyang Xu, Xue Tang, Zunyuan Tan, Xiaotong Luo, Hanyue Zheng, Yuxin Zhang, Lu Guo, Yunwei Han declare no conflict of interest regarding the content of this paper.

Animal research (ethics) This research did not involve animal experiments.

**Consent to participate (ethics)** This retrospective study was approved by the Ethics Committee of The Affiliated Hospital of Southwest Medical University (approval number KY2020254) and complied with the standards of the Declaration of Helsinki. Written informed consent was waived because of the retrospective study.

#### Plant reproducibility None.

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