

Sustained CD4⁺ T cell-driven lymphopenia without a compensatory IL-7/IL-15 response among high-grade glioma patients treated with radiation and temozolomide

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Abbreviations: GBM, glioblastoma multiforme; NK, natural killer; RT, radiation therapy; PBL, peripheral blood lymphocyte; TGFβ1, transforming growth factor β1; TLC, total lymphocyte counts; TMZ, temozolomide; Treg, regulatory T cell

Prolonged lymphopenia correlating with decreased survival commonly occurs among glioma patients undergoing radiation therapy (RT) and temozolomide (TMZ) treatment. To better understand the pathophysiology of this phenomenon, we prospectively monitored serum cytokine levels and lymphocyte subsets in 15 high-grade glioma patients undergoing combined radiation and TMZ (referred to as RT/TMZ) treatment. Sufficient data for analysis were acquired from 11 of the patients initially enrolled. Lymphocyte phenotyping data were obtained using cytofluorometric analysis and serum cytokine levels were measured using the a multiplex bead-based assays. Total lymphocyte counts (TLCs) were > 1000 cells per μL peripheral blood in 10/11 patients at baseline, but dropped significantly after treatment. Specifically, after RT/TMZ therapy, the TLCs were found to be < 500 cells/μL in 2/11 patients, 500–1000 cells/μL in 7/11 patients, and > 1000 cells/μL in the remaining 2 patients. Among residual mononuclear blood cells, we observed a proportional drop in B and CD4⁺ T cells but not in CD8⁺ T lymphocytes. Natural killer cells remained to near-to-baseline levels and there was a transient and slight (insignificant) increase in regulatory T cells (Tregs). The circulating levels of IL-7 and IL-15 remained low despite marked drops in both the total and CD4⁺ T lymphocyte counts. Thus, patients with malignant glioma undergoing RT/TMZ treatment exhibit a marked decline in TLCs, affecting both CD4⁺ T cells and B lymphocytes, in the absence of a compensatory increase in interleukin-7 levels. The failure to mount an appropriate homeostatic cytokine response may be responsible for the prolonged lymphopenia frequently observed in these patients.

Introduction

Glioblastoma multiforme (GBM) is the most common primary brain tumor and is responsible for approximately 70 000 deaths worldwide each year.¹ The current standard of care for GBM patients includes maximal debulking surgery followed by 6 wk of concurrent temozolomide (TMZ) and radiation therapy (RT) plus 6 mo of maintenance therapy with TMZ as a single therapeutic agent.² Patients also frequently require the management of symptomatic cerebral edema with corticosteroids. This comprehensive GBM treatment course is unfortunately associated with significant hematologic toxicity, including

thrombocytopenia and lymphopenia. Lymphopenia is common and can be severe, with up to 45% of GBM patients developing grade III-IV lymphopenia 2 mo after completing this multimodal therapy. Treatment-induced lymphopenia generally persist for at least one year after combined RT/TMZ therapy, and prior evidence suggests that lymphopenia may be detected as long as 10 y after focal external-beam RT.^{3,4}

Diminished circulating lymphocytes adversely affect glioma patients with important clinical consequences. Lymphopenic patients are indeed susceptible to opportunistic pathogens such as *Pneumocystis carinii*, although the incidence of the associated-complications (e.g., pneumonia) has decreased with the

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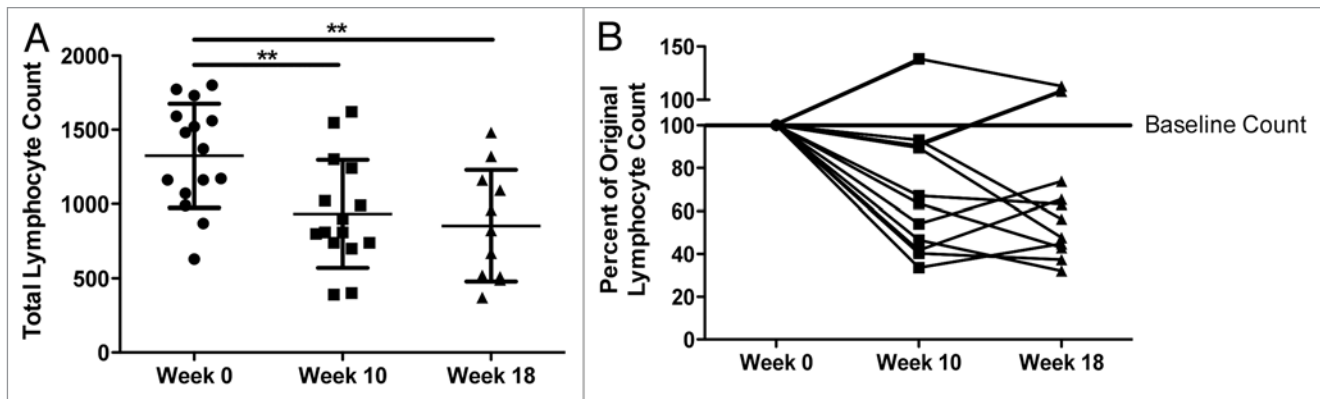


Figure 1. Concurrent RT/TMZ effects on total peripheral lymphocyte count over time. (A and B) Total lymphocytes were counted in the peripheral blood of Grade III and IV glioma patients prior to (baseline) and following 6 wk of radiation therapy (RT) and temozolomide (TMZ) treatment, at the indicated time points. (A) Absolute total lymphocyte counts (TLCs) at baseline, week 10 (4 wk after completing RT/TMZ), and week 18 (12 wk after completing RT/TMZ). (B) Percent changes in TLC, plotted individually for each patient in the study.

Table 1. Patient demographics

Age	Median	Range
	63 y	32 – 74 y
Sex	Male	Female
	8 (73%)	3 (27%)
Diagnosis	Grade III Glioma	Grade IV Glioma (GBM)
	8 (73%)	3 (27%)

standard use of antibiotic prophylaxis based on trimethoprim-sulfamethoxazole.⁵ Importantly, treatment-related lymphopenia has been linked to poor disease-specific survival in a recently published prospective analysis of GBM patients receiving RT/TMZ treatment.³ However, the exact mechanisms underlying the association between lymphopenia and disease-specific survival in these particular patients remain unknown. Considering that T lymphocytes are essential effector cells in the anticancer immune response, it is possible that the depletion of circulating lymphocyte populations impairs pre-existent antitumor immunity and this reduction is at least partly responsible for the inferior survival observed in lymphopenic patients.⁶

Overall, very little is known about the physiological response to lymphopenia in patients with solid tumors. Interleukin (IL)-7 and IL-15 have been identified as key cytokines in the compensatory reaction to declining lymphocytes.⁷ The levels of IL-7, a T-cell growth and anti-apoptotic factor, increase in response to HIV- and chemotherapy-induced lymphopenia.⁷ In fact, IL-7 is crucial for the generation and homeostasis of T cells, such that humans with congenital defects in the IL-7 receptor exhibit severe combined immune deficiency and are unable to produce T lymphocytes, whereas the B and natural killer (NK) cells are maintained.⁷ In two Phase I clinical trials enrolling patients with advanced cancer (mostly melanoma and sarcoma), recombinant human IL-7 successfully increased the size of both CD4⁺ and CD8⁺ T-cell populations.^{8,9} IL-15 is

another T-cell growth factor that appears to selectively stimulate CD8⁺ T cells, at least in mice.¹⁰

In this study, we compared the circulating levels of IL-7 and IL-15 with quantitative changes in various lymphocyte subpopulations in malignant glioma patients subjected to RT/TMZ treatment. We found that RT/TMZ results in significant lymphopenia unaccompanied by an appropriate compensatory cytokine response, likely driving prolonged disruptions in lymphocyte homeostasis. These findings may have important ramifications for clinical immunotherapeutic strategies, including approaches to prevent or ameliorate treatment-induced lymphopenia in cancer patients.

Results

Patient demographics, baseline, and treatment characteristics

Fifteen patients with World Health Organization (WHO) Grade III–IV gliomas were initially enrolled in this study. Eleven of these patients completed at least 2 out of 3 scheduled study evaluations and are included in this report. Table 1 presents basic demographic and clinical information about this patient cohort. In summary, median age was 63 y (range, 32–74 y), 8 patients were male and 3 were female, and 8 had Grade IV glioblastoma, whereas 3 had Grade III glioma. The median baseline TLC in the peripheral blood was 1320 cells/ μ L and the individual baseline TLC (in all patients except one) was typically > 800 cells/ μ L. Similarly, the median baseline CD4⁺ T lymphocyte count was 714 cells/ μ L, and all patients had baseline CD4⁺ T-cell counts > 200 cells/ μ L. All patients completed concurrent RT/TMZ-based therapy and received between 1 and 6 cycles of adjuvant TMZ.

RT/TMZ decreases total lymphocyte counts, affecting CD4⁺ but not CD8⁺ T cells

As shown in Figure 1, RT/TMZ resulted in a significant decrease in TLCs, with a median TLC drop from 1320 cells/ μ L to 800 cells/ μ L ($P = 0.002$) by week 10, 4 wk after completion of bimodal radiation and TMZ therapy. Of note, TLCs

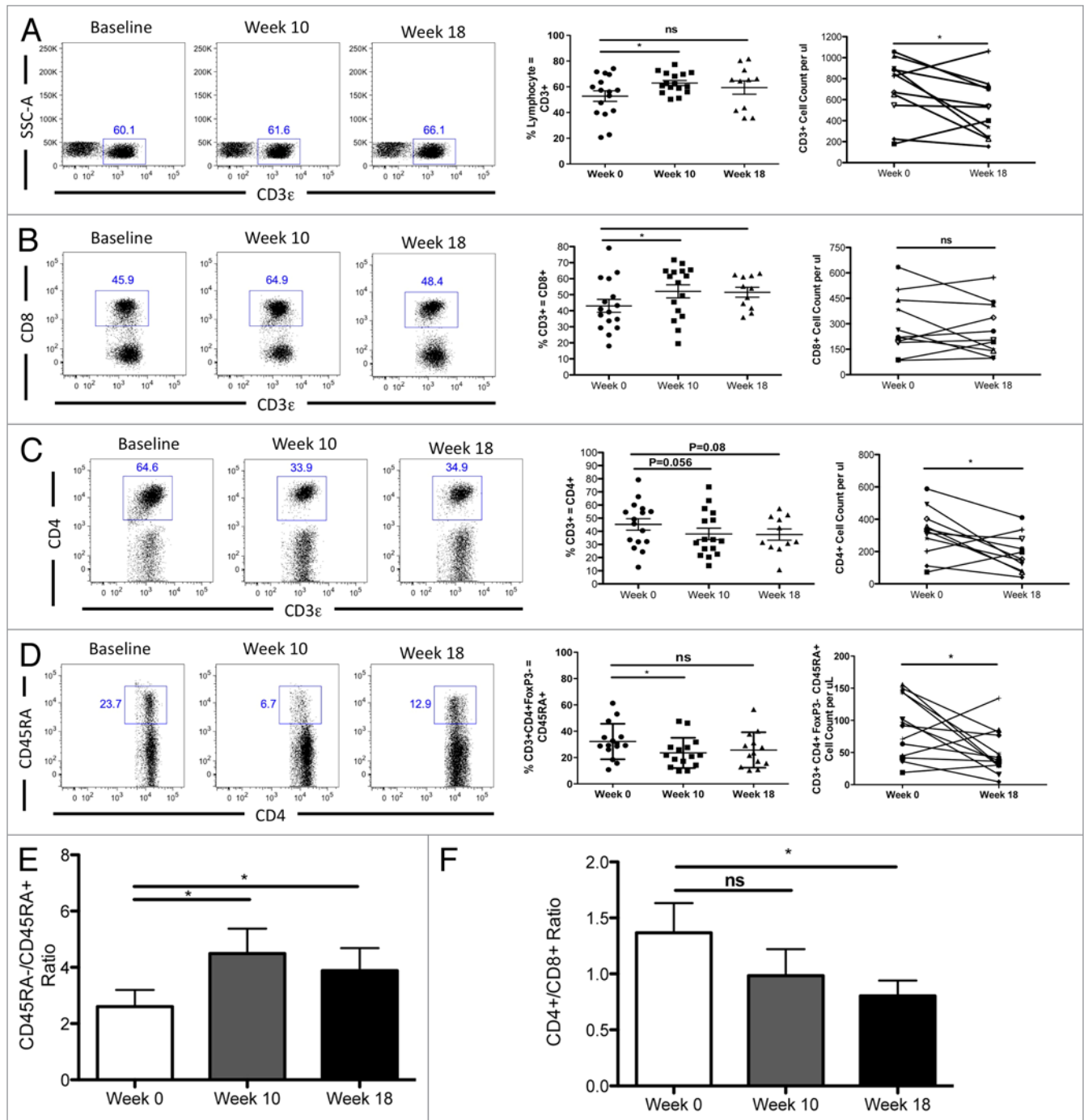


Figure 2. Concurrent RT/TMZ adversely affects total T-cell counts by selectively depleting CD4 ϵ T-cell populations. (A–F) The peripheral blood lymphocytes of Grade III and IV glioma patients were immunophenotyped with fluorophore-conjugated antibodies specific for the indicated marker prior to (baseline) and following 6 wk of radiation therapy (RT) and temozolomide (TMZ) treatment. Post-treatment time points analyzed were week 10 (4 wk after completing RT/TMZ) and week 18 (12 wk after completing RT/TMZ). Flow cytometry was used to calculate percentages of lymphocytes with the indicated marker profile. Absolute numbers were determined by calculating cell count per blood volume. (A–D) CD3 ϵ + cells (A), CD3 ϵ +CD8 ϵ + cells (B), CD3 ϵ +CD4 ϵ + cells (C), CD4 ϵ +CD45RA ϵ + cells (D). Left panel: representative flow cytometry results. Middle panel: change in mean (and range) % lymphocytes with indicated marker profile. Right panel: change in absolute cell counts. (E–F) Plot of ratio of cells with indicated marker profile: CD45RA ϵ -/CD45RA ϵ + T-cell ratio (E), CD4 ϵ /CD8 ϵ T-cell ratio (F). Statistical analyses were performed using paired samples t-test; * $P < 0.05$.

did not rebound upon completion of radiation treatment, considering that at week 18, 12 wk after the termination of RT, median TLCs remained inferior than pretreatment TLCs, at

900 cells/ μ l ($P = 0.001$). As shown in Figure 1B, these data correspond to an approximately 40% drop in baseline TLCs ($P = 0.0003$ for week 0 vs. week 10 and $P = 0.001$ for week 0 vs. week

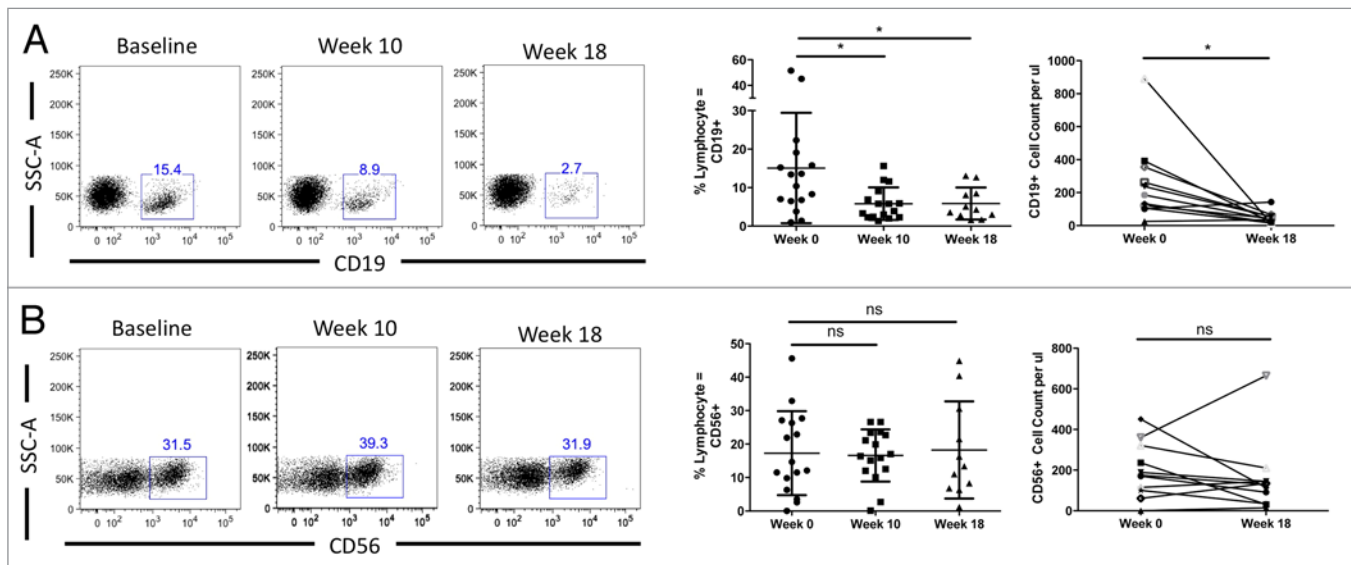


Figure 3. Concurrent RT/TMZ depletes B cells but not NK cells. **(A and B)** The peripheral blood lymphocytes of Grade III and IV glioma patients were immunophenotyped with fluorophore-conjugated antibodies specific for the indicated markers prior to (baseline) and following 6 wk of radiation therapy (RT) and temozolomide (TMZ) treatment. Post-treatment time points analyzed were week 10 (4 wk after completing RT/TMZ) and week 18 (12 wk after completing RT/TMZ). Flow cytometry was used to calculate the percentage of (CD19⁺) B lymphocytes **(A)** and (CD56⁺) natural killer (NK) cells **(B)**. Absolute numbers were determined by calculating cell count per blood volume. Left panel: representative flow cytometry results. Middle panel: change in mean (and range) % lymphocytes with indicated marker profile. Right panel: change in absolute cell count. Statistical analyses were performed using paired samples t-test; * $P < 0.05$.

18). To understand which particular lymphocyte subsets were most affected by RT/TMZ-based therapy, we analyzed the composition of CD4⁺ and CD8⁺ T-cell subsets in the blood of treated patients over time by flow cytometry (Fig. 2). Interestingly, the percentage of CD3⁺ lymphocytes did not drop appreciably over the study period (Fig. 2A; $P = 0.17$), suggesting that both CD4⁺ and CD8⁺ subsets might be relatively unaffected. However, further analyses showed this assumption to be incorrect. On a percentage basis, CD8⁺ T-cell levels remained relatively constant, or even increased to slight extents (Fig. 2B). However, we observed a proportional decrease in the percentage of CD3⁺CD4⁺ T cells of approximately 50%, for example from 65% to 35% in the representative patient shown (Fig. 2C, dot plots on left), with similar trends in the mean value (Fig. 2C, middle panel). These data demonstrate the selective inhibitory effect of radiation/TMZ therapy on the CD4⁺ T-cell compartment as compared with its CD8⁺ counterparts. These trends were reflected in absolute CD4⁺ and CD8⁺ T-cell counts as well, with a significant decrease in the total number of CD4⁺ ($P = 0.02$) but not CD8⁺ ($P = 0.45$) CD3⁺ T cells (Figs. 2B and C, far right panels). Similar results were obtained with CD3⁺CD4⁺FOXP3⁺CD45RA⁺ cells (Fig. 2D) and reflected by the increased CD45RA⁺/CD45RA⁻ cell ratio (Fig. 2E). These changes were also reflected in the CD4⁺/CD8⁺ T-cell ratio, which was found to be significantly decreased at 18 wk ($P = 0.02$), 3 mo after the completion of radiation therapy (Fig. 2F).

RT/TMZ decreases circulating B cells

Considering the profound drop in CD4⁺ T-cell counts noted in GBM patients subjected to RT/TMZ treatment, we next examined the levels of circulating B cells and NK cells. As shown

in Figure 3A, CD19⁺ B cells were also markedly affected by RT/TMZ, decreasing over time on both a percentage and absolute basis. Surprisingly, circulating (CD56⁺) NK cells appeared to be relatively resistant to treatment, with both percentages and absolute cell numbers insignificantly affected over the observation period (Fig. 3B). Taken together with the data above, these observations suggest that the RT/TMZ treatment has an inhibitory effect on the levels of specific lymphocyte subsets.

RT/TMZ causes a transient increase in circulating regulatory T cells

In light of the well-documented role of regulatory T cells (Tregs) in the inhibition of adaptive immune responses to cancer,^{6,11-13} we also investigated whether RT/TMZ affects the percentage or absolute amount of these cells in the peripheral blood of GBM patients. For the purposes of this analysis, we defined Tregs as CD4⁺ (and CD3⁺) T cells that co-express both forkhead box P3 (FOXP3) and CD25. As shown in Figure 4A, on a percentage basis, circulating Tregs increased slightly at week 10, but returned to near-to-baseline levels by week 18. On an absolute basis, changes in Treg levels were variable, with some patients exhibiting a moderate increase and others a decrease in the numbers of circulating Tregs at the 18-wk time point (Fig. 4A, far right panel). Finally, we also tested whether the percentage of Tregs with a naïve phenotype (CD45RA⁺) was affected by treatment. As shown in Figures 4B and C, the balance of circulating Tregs with a naïve phenotype was not significantly altered by the combinatorial RT/TMZ treatment. Taken together, these data paint an intriguing picture of RT and TMZ mediating a profound decrease in TLCs that is primarily due to declines in CD4⁺ T lymphocytes and CD19⁺ B cells.

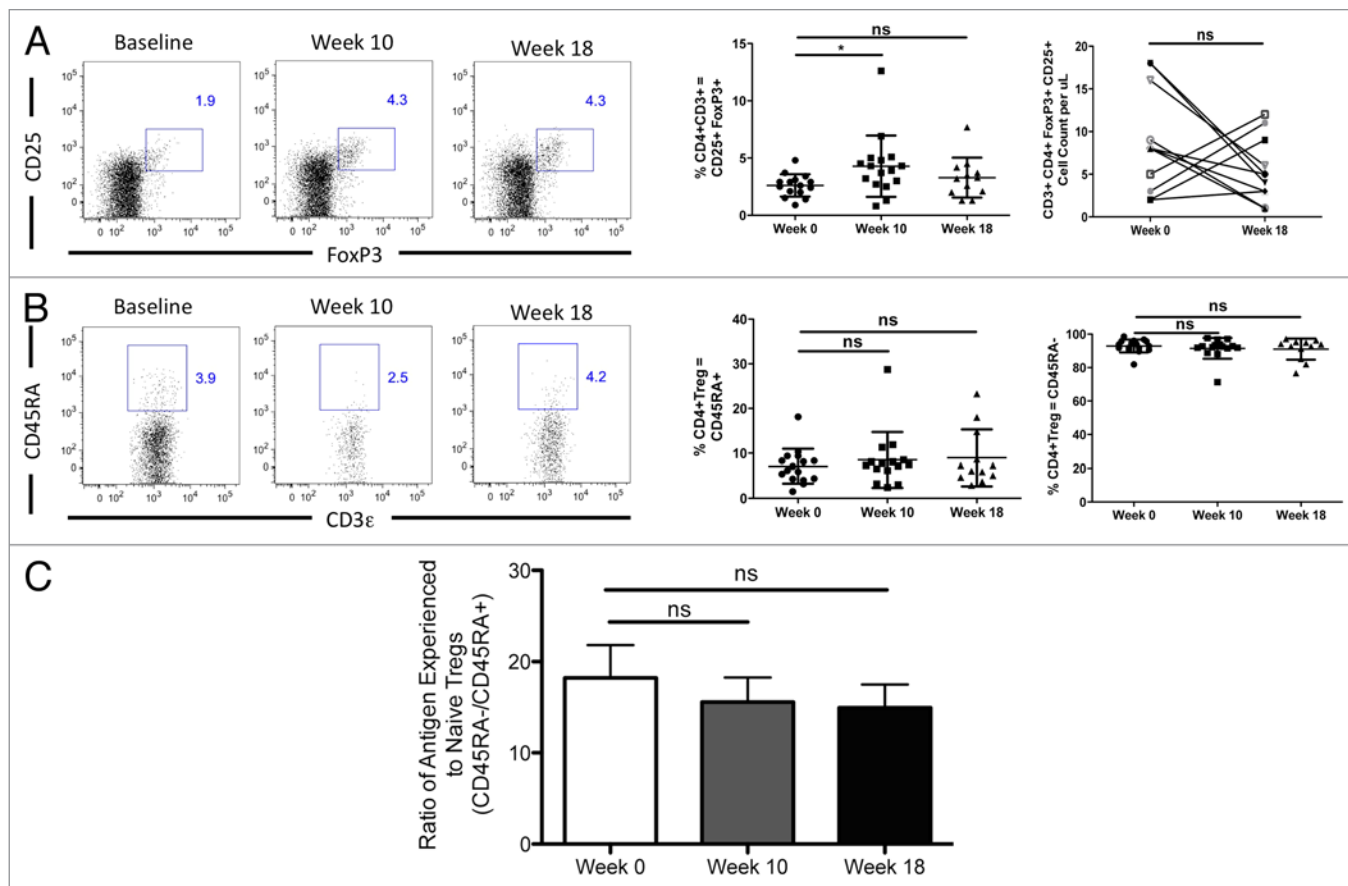


Figure 4. Concurrent RT/TMZ treatment transiently expands Tregs despite overall depletion of CD4⁺ T cell counts. (A–C) The peripheral blood lymphocytes of Grade III and IV glioma patients were immunophenotyped with fluorophore-conjugated antibodies specific for the indicated markers prior to (baseline) and following 6 wk of radiation therapy (RT) and temozolomide (TMZ) treatment. Post-treatment time points analyzed were week 10 (4 wk after completing RT/TMZ) and week 18 (12 wk after completing RT/TMZ). Flow cytometry was used to calculate the percentage of T lymphocytes with the indicated profiles. Absolute numbers were determined by calculating cell count per blood volume. (A and B) CD4⁺/CD3⁺/FOXP3⁺ cells (A) and CD4⁺/CD45RA⁺ cells (B). Left panel: representative flow cytometry results. Middle panel: change in mean (and range) % lymphocytes with indicated marker profile. Right panel: change in absolute cell count. (C) Ratio of antigen-experienced (CD45RA⁺) to antigen-naïve (CD45RA⁻) T cells. Statistical analyses were performed using paired samples t-test; **P* < 0.05.

RT/TMZ-associated lymphopenia is not accompanied by homeostatic increases in IL-7 or IL-15

The homeostatic maintenance of circulating CD4⁺ and CD8⁺ T cells within a relatively tight window is primarily achieved by the lymphocyte-regulatory cytokines IL-7 and IL-15.¹⁴ Thus, we next evaluated the serum levels of these cytokines, expecting a compensatory increase in IL-7 levels as total lymphocyte and CD4⁺ T-cell counts decreased over time.^{15,16} As shown in **Figure 5A** and **Table 2**, this was not the case. Mean baseline IL-7 levels in the GBM patients enrolled in our study was 2.59 pg/mL, not significantly different from normal levels reported in several series.^{14,17} As the TLCs and the levels of CD4⁺ T cells dropped (Figs. One and 2), a compensatory increase in the concentration of IL-7 was not observed at either the 10-wk or 18-wk time point following RT/TMZ treatment (**Fig. 5A**). A similar trend was noted for IL-15, although IL-15 levels increased slightly (but not significantly) at the 18-wk time point (**Figs. S1 and S2**). Considering that IL-7 levels have previously been shown to inversely correlate with CD4⁺ T-cell counts, we also tested for

such a reciprocal relationship. As shown in **Figure 5B**, no correlation between serum IL-7 levels and circulating CD4⁺ T-cell counts was observed among patients at 10 and 18 weeks after RT/TMZ treatment. Similar results were obtained when IL-7 levels and TLCs were studied. Taken together, these findings suggest that lymphopenia in RT/TMZ-treated patients might be mediated, at least in part, by their failure to mount a compensatory IL-7 response to decreased levels of T and B lymphocytes.

Discussion

Here, we describe the results of a prospective study correlating changes in the circulating levels of total lymphocytes and specific lymphocyte subtypes with the serum levels of immunomodulatory cytokines in glioma patients receiving focal external-beam RT. These malignant glioma patients, who also received TMZ in combination with RT, developed lymphopenia but failed to mount a compensatory increase in IL-7 and IL-15 levels, despite the significant decline in total and CD4⁺ lymphocyte counts. This observation has potential significance for the management of GBM patients, in whom treatment-induced lymphopenia has

Table 2. Median (range) of observed serum levels of IL-7 and IL-15 at baseline and at 10 and 18 wk after starting RT. All units are pg/mL

	Baseline	10-wk	18-wk
IL-7	2.59 (undetectable – 5.64)	2.31 (undetectable – 104.04)	3.23 (undetectable – 12.03)
IL-15	4.89 (undetectable – 83.98)	2.87 (undetectable – 36.6)	10.09 (undetectable – 49.9)

studies of HIV-infected patients as well as in two Phase I clinical trials enrolling patients with heavily treated solid malignancies.^{8,9,35} Like IL-7, IL-15 stimulates lymphocyte proliferation and survival, although it appears to act specifically on CD8⁺ lymphocytes and NK cells and is currently unavailable for human use.³⁶

To our knowledge, this study is the first to report that IL-7 and IL-15 levels do not increase upon RT/TMZ treatment-related lymphopenia in glioblastoma patients. RT and TMZ are both toxic to circulating lymphocytes, and as the patients in this study received both treatments, it is difficult to discern from this particular cohort whether the effects of either RT or TMZ are primarily responsible. However, as lymphopenia is observed in patients who receive RT regardless of whether or not concurrent lymphotoxic chemotherapy or corticosteroids are given, it is likely that RT is primarily responsible for the consequent lymphopenic state. Further research in patients treated with other RT regimens is needed in order to determine whether similar effects on circulating lymphocytes and associated cytokines are observed in the absence of concurrent TMZ.

Our findings might reflect either an inherent defect in cytokine production among GBM patients or, alternatively, a treatment-induced disruption of lymphocyte regulation by IL-7 and IL-15. However, an inherent defect in IL-7 and IL-15 production among glioblastoma patients is unlikely, given the normal baseline TLCs observed in these patients before treatment. It has recently been demonstrated in murine models that TMZ-induced lymphopenia stimulates a brisk rise in IL-7 levels,³⁷ further suggesting that TMZ is unlikely to account for our observations. Overall, however, the pathophysiology underlying RT/TMZ-induced lymphopenia and how this relates to immunomodulatory cytokines is presently unclear. It is possible that radiation affects the normal homeostatic relationship between serum IL-7 levels and lymphocyte counts, although the precise mechanisms by which this may occur remain obscure. It has been shown that IL-7 is produced throughout the body by stromal cells of the lymphatic endothelial lining, at least in mice.³⁸ Conceptually, it is possible that RT could damage the lymphatic endothelium, hence interfering with systemic IL-7 production. Another hypothetical scenario would involve an RT-induced release of transforming growth factor β 1 (TGF β 1), a known negative regulator of IL-7 production that could systemically suppress the expected increase in IL-7 driven by lymphopenia.^{39,40} Unfortunately, TGF β 1 could not be assayed in our specimens due to sampling limitations. We plan further studies in a similar cohort of brain cancer patients to attempt to replicate these data and determine whether TGF β 1 plays a role in the suppression of IL-7 production following RT/TMZ treatment.

Although these preliminary results require replication and validation in larger prospective cohorts, they are sufficient

to suggest that the efficacy of immunotherapy following RT might be tempered by disruptions in lymphocyte homeostasis. We have identified in the immunomodulatory cytokine IL-7 a potential therapeutic agent to counterbalance this phenomenon.

If the prolonged lymphopenia induced by RT is indeed mediated by a loss in the innate ability to produce IL-7, the exogenous administration of IL-7 should increase lymphocyte counts in these patients. Thus, given the established correlation between treatment-induced lymphopenia and disease outcome in patients harboring various solid tumors, secondary IL-7 replacement is a promising strategy to boost the immune system, either alone or in conjunction with other immunostimulatory agents (such as CTLA4-targeting agents inhibitors).

Materials and Methods

Study patients and treatment regimen

This prospective clinical study was designed to assay lymphocyte subpopulations and serum cytokine levels among patients at the Johns Hopkins Sidney Kimmel Comprehensive Cancer Center receiving RT/TMZ treatment for high-grade (WHO Grade III–IV) gliomas. The study was approved by the institutional review board at Johns Hopkins. All patients provided informed consent for treatment and for participation in this study, according to institutional standards and the Declaration of Helsinki.

Patients received intensity-modulated RT of a total dose of 59.4 Gray (Gy) divided into 30 1.8-Gy fractions (in patients with Grade III tumors) or 60 Gy in 30 2-Gy fractions (in patients with GBM) over 6 wk. TMZ was given according to the Stupp regimen at 75 mg/m²/day during RT.⁴¹ Trimethoprim-sulfamethoxazole was administered to all patients as prophylaxis against *Pneumocystis* infections.

Serum and peripheral blood lymphocyte samples

Baseline sera and peripheral blood lymphocyte (PBL) samples were obtained after the histologic diagnosis of either Grade III glioma or Grade IV glioblastoma but prior to the initiation of chemotherapy and RT. A second set of samples was obtained 10 wk after the initiation therapy (4 wk after the completion of concurrent RT/TMZ) and a third set at 18 wk after starting therapy (12 wk after completing RT/TMZ). Sera were aliquoted and stored at –80 °C for later analyses in parallel. PBLs were prepared by density centrifugation with Lymphoprep (Axis–Shield PoC AS) according to the manufacturer’s instruction. A hemocytometer was used to count the lymphocytes and determine TLCs per blood volume. Cells were resuspended at 10 × 10⁶ cells/mL in freezing medium (90% heat-inactivated fetal bovine serum + 10% dimethylsulfoxide) and cryopreserved until assayed.

Flow cytometry

Multi-parameter cytofluorometric analysis was performed to phenotype PBLs. The following conjugated monoclonal

antibodies were purchased from BD Biosciences: peridinin chlorophyll protein complex–cyanine (PerCP-5–5)–anti-CD3, fluorescein isothiocyanate (FITC)–anti-CD4, allophycocyanin–cyanine (APC-Cy7)–anti-CD8, phycoerythrin–cyanine (PE-Cy7)–anti-CD25, PE–anti-FOXP3, PE–anti-CD45RO, FITC–anti-CD45RA, allophycocyanin (APC)–anti-CD45RA, and FITC–anti-Ki67. Cryopreserved cells were resuspended in a staining buffer supplied by the manufacturer (BD Biosciences, San Jose, CA) and stained for 20 min at 4 °C. The expression of FOXP3 was detected by intracellular staining of cells pre-stained with other markers and cell permeabilization was performed according to the manufacturer's instructions (BD Biosciences). Samples were run on a FACSCanto flow cytometer (Becton Dickinson) with at least 5×10^4 events acquired per parameter. Sequential gating was used to discriminate lymphocyte subsets, as previously described.⁴² Flow cytometry data were analyzed using the FloJo software package (Treestar).

Cytokine analysis

The Bioplex 200 (Bio-Rad) platform was used to determine the absolute concentration (in pg/mL) of IL-7 and IL-15 using

banked sera samples. A multiplexed bead-based immunoassay was performed in duplicate for each serum sample following the manufacturer's protocols and using the supplied cytokine standards.

Statistical analysis

Statistical analyses were performed using Graphpad Prism (GraphPad Software) using the paired samples t-test. *P* values < 0.05 were considered statistically significant.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Acknowledgments

These data were presented in abstract form at the 17th Annual Scientific Meeting of the Society for Neuro-Oncology, Washington, DC, November 2012.

Supplemental Materials

Supplemental materials may be found here:

<http://www.landesbioscience.com/journals/oncoimmunology/article/27357/>

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