Transposon insertions regulate genome-wide allelespecific expression and underpin flower colour variations in apple (*Malus* spp.)

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Summary

Allele-specific expression (ASE) can lead to phenotypic diversity and evolution. However, the mechanisms regulating ASE are not well understood, particularly in woody perennial plants. In this study, we investigated ASE genes in the apple cultivar 'Royal Gala' (RG). A high quality chromosome-level genome was assembled using a homozygous tetra-haploid RG plant, derived from anther cultures. Using RNA-sequencing (RNA-seq) data from RG flower and fruit tissues, we identified 2091 ASE genes. Compared with the haploid genome of 'Golden Delicious' (GD), a parent of RG, we distinguished the genomic sequences between the two alleles of 817 ASE genes, and further identified allele-specific presence of a transposable element (TE) in the upstream region of 354 ASE genes. These included MYB110a that encodes a transcription factor regulating anthocyanin biosynthesis. Interestingly, another ASE gene, MYB10 also showed an allele-specific TE insertion and was identified using genome data of other apple cultivars. The presence of the TE insertion in both MYB genes was positively associated with ASE and anthocyanin accumulation in apple petals through analysis of 231 apple accessions, and thus underpins apple flower colour evolution. Our study demonstrated the importance of TEs in regulating ASE on a genome-wide scale and presents a novel method for rapid identification of ASE genes and their regulatory elements in plants.

Introduction

Allele-specific expression (ASE) refers to the preferential expression of a parental allele in the hybrid due to variations in regulatory sequences from the parental genomes (Gaur et al., 2013). ASE may cause phenotypic variation depending on the function of the genes. ASE has been studied in annual plants, including maize (Guo et al., 2004, 2006; Springer and Stupar, 2007; Waters et al., 2017), Arabidopsis (Shi et al., 2012; Todesco et al., 2010; Zhang and Borevitz, 2009), rice, and barley (von Korff et al., 2009). RNA-seq technologies enable the detection of single-nucleotide polymorphisms (SNPs) in the coding sequence (CDS), which can be used to distinguish parental alleles and identify genes showing ASE in heterozygotes (Guo et al., 2008). For this strategy to work, the reference genome has to be assembled from a homozygous line or assembled using long sequence reads for reliable phasing of the SNPs into the correct haplotypes. A recent study in rice has identified more than 3000 ASE genes by comparing RNA-sequencing data of a rice hybrid to its parental reference genomes, assembled using homozygous lines (Shao et al., 2019). Such methodology has not yet been reported for woody perennial plants because most early reference genomes of these plants were assembled using heterozygous plant materials.

A high quality reference genome is an essential tool for advanced genomic and functional genomic studies in any species (Lin et al., 2014; Peace et al., 2019; Song et al., 2019; Wu et al., 2018). Achieving high quality reference genome assemblies has been challenging for species with a large genome size, substantial amounts of repetitive DNA sequences, and high heterozygosity. Several technologies are used to overcome these assembly challenges. Long-read sequencing technology such as PacBio[™] and Oxford Nanopore Technologies are used to overcome the problem of long repetitive DNA fragments (Raymond et al., 2018; Zhuang et al., 2019). Chromosome Conformation Capture (Hi-C) technology, in combination with genetic maps (Daccord et al., 2017; Raymond et al., 2018; Zhang et al., 2018, 2019), can be used to assemble contigs to chromosome scale. Haploid plant materials have been used to overcome the problems caused by high rates of genetic heterozygosity of perennially woody plant species (Daccord et al., 2017; Linsmith et al., 2019; Raymond et al., 2018; Xu et al., 2013; Zhang et al., 2019).

Transposable element (TE) insertion in and around genes may cause ASE. The insertion may abolish or reduce gene expression by disrupting the gene's normal structure. For example, in apple a TE insertion in the introns of *MdPl* gene abolishes gene expression and confers parthenocarpic fruit development (Yao *et al.*, 2001), and TE insertion in the *microRNA172p* gene reduces gene expression and increases fruit size (Yao *et al.*, 2015). TE insertion may also activate or enhance gene expression as TEs contain many different types of cis-regulatory elements that may provide new regulatory units to activate gene expression in response to biotic and abiotic stimulus (Galindo-Gonzalez *et al.*, 2017). Similarly, TE insertion in the promoters of apple and orange *MYB* genes enhance gene expression to confer red fruit skin (Zhang *et al.*, 2019) and red fruit flesh (Butelli *et al.*, 2012), respectively.

Apple (*Malus* × *domestica*) is an important fruit crop worldwide. The first draft reference genome of apple was developed using the heterozygous apple cultivar 'Golden Delicious' (GD) (Velasco *et al.*, 2010), and has made significant contributions to many apple genomics and functional genomics studies that could accelerate apple breeding programmes (Peace *et al.*, 2019). Recently, two new reference genomes have been assembled to chromosome level for apple, using a homozygous double-haploid plant derived from diploid 'Golden Delicious' (GDDH13; Daccord *et al.*, 2017) and a homozygous triple-haploid plant derived from the cultivar 'Hanfu' (HFTH1) (Zhang *et al.*, 2019).

'Royal Gala' (RG) is a desirable apple cultivar that originated in New Zealand and is ranked second in the US Apple Association's 2016 list of most popular apples. It is the breeding parent of many well-liked and commercially successful novel cultivars such as 'Scifresh' (commercialised as Jazz[™]), Scilate (Envy[™]), Sweetie[™], and Kanzi[™]. RG is a sport (bud mutation) of 'Gala' that is a hybrid of GD and 'Kidd's Orange Red' (KOR). Although a descendent of GD, RG displays extraordinarily different phenotypic traits from its GD parent in fruit skin colour, fruit texture, and storage life. To facilitate an investigation of the molecular genetics contributing to these elite desirable fruit traits in RG, we constructed a reference genome to pseudo-chromosome level using a tetrahaploid plant derived from anther cultures of RG. Together with RNA-seg data from diploid RG, we identified ASE genes. By taking advantage of GD being a parent of RG, the genomic sequences of the GD parental allele of the ASE genes were extracted from the GDDH13 reference genome. By comparing the sequences of the two alleles, we identified TEs that are potentially regulating ASE and driving phenotypic changes in apple.

Results

Assembly and annotation of 'Royal Gala' haploid genome

The plant used for genome sequence assembly, 'Royal Gala' tetrahaploid 1 (RGTH1), was regenerated from anther cultures of $M. \times domestica$ 'Royal Gala'. The plant displayed altered phenotypes with shorter internode length and smaller leaves than RG (Figure S1a,b). Flow-cytometry analysis showed that the plant is a tetraploid (Figure S1c,d). By mapping RGTH1 and diploid RG genome sequencing data to the double haploid GDDH13 v1.0 genome, about 1.5 million SNPs were detected across the 17 chromosomes. The average homozygous SNP rate is >77% in RGTH1 but <16% in RG (Figure S1e). The extremely high level of homozygous SNPs confirmed RGTH1's haploid status. About 50 Giga base pairs (GB) of data from each of the pairedend (PE) libraries (with insert size 180 bp, 400 bp, and 600 bp) and 23 GB from a PacBio library were generated from RGTH1 material, reaching a sequencing depth of $210 \times$ from Illumina short reads and $38 \times$ from PacBio long reads. About 212 million pairs of Illumina data were generated from the Hi-C library. The final assembly contains 17 chromosomes (with length from 29.3 MB to 54.1 MB) and one Chr0 containing un-anchored contigs (134.4 MB) (Table 1).

De novo repeat detection discovered a library of 1773 repeat fragments that can be classified to 35 groups including 527 LTR/ Gypsy, 253 LTR/Copia, 65 DNA/MULE-MuDR, 19 LINE/RTE-BovB, and others. Using this library, 59.18% of the genome bases were masked, including 295 MB (38.57%) of long-terminal repeats (LTRs) and 64 MB (8.33%) of DNA transposons. The second round of repeat masking was based on known repeats in Viridiplantae, and a further 2.27% of the genome was masked.

With hints from RNA-Seq mapping and RG EST alignments, a total of 55 201 protein coding genes were originally predicted. Among them, 72.2% annotated with potential function through blast against Uniprot and Pfam. Gene models lacking homologous counterpart in previous *Malus/Pyrus* reference genomes were discarded, consequently reducing the number of genes to 42 788.

Comparative genomics

Orthologue analysis of amino acid sequences in RGTH1 ('Royal Gala'), GDDH13 ('Golden Delicious'), (Daccord *et al.*, 2017), *Pyrus communis* (European pear) (Chagne *et al.*, 2014), and *P. bretschneiderii* (Asian pear) (Wu *et al.*, 2013) revealed that the majority of protein-encoding genes belong to 12 379 orthologue groups that can be found in all the four assemblies. There are 759, 1135, 1082, and 1888 groups having orthologue genes in the other three assemblies but absent from 'Royal Gala', 'Golden Delicious', European, or Asian pear, respectively (Figure 1). There is a good proportion of genes (2993 clusters with 3468 and 3061 genes in RG and GD) present in both apple cultivars while absent from both pear species, indicating a closer genetic relatedness between the two apples than with pear. However, the RG-only orthologues group is large (1879 groups of 4618 genes)

 Table 1
 'Royal Gala' tetra-haploid 1 (RGTH1) genome assembly

	De novo assembly	Scaffolded with Hi-C data
# of Sequences Assembled	17 891	18
Assembled size (MB)	763	765
Gap	0.01%	0.24%
N50 (Base)	107 211	39 475 602
The shortest sequence (Base)	1026	29 264 432
The longest sequence (Base)	1 615 689	134 429 464 (Chr0)
BUSCO completeness	C:95.2%, F:1.5%, M:3.3%, <i>n</i> :1440	C:96.7%, F:0.8%, M:2.5%, <i>n</i> :1440

BUSCO notations: C for completely assembled genes.

F, fragmentally assembled; M, missing; *n*, number of conserved genes for genome completeness checking.

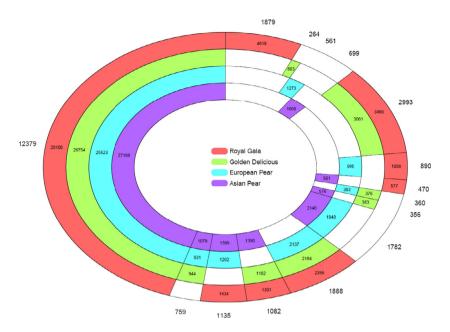


Figure 1 Orthologue analysis of protein coding genes predicted in two apple and two pear reference genomes. Protein coding genes predicted in a 'Royal Gala' tetra-haploid (red, the outer ring) were compared with those in a 'Golden Delicious' double-haploid (green), European Pear (*Pyrus communis*, blue), and Asian Pear (*Pyrus bretschneideri*, purple). The number outside the outer ring was the number of orthologue groups. The number in the coloured section illustrated the number of genes for that particular species in the orthologue groups. A white colour section indicated that there was no orthologue gene for that sample. There were 12 379 orthologue groups with homologous genes found in all the four samples, consisting of 28 108 genes in 'Royal Gala', 26 754 in 'Golden Delicious', 25 523 in European pear, and 27 189 genes in Asian pear. There were 1879 groups of 4618 paralogue genes that were present in 'Royal Gala' only. This portion was larger than 264, 561, and 699 paralogue groups in 'Golden Delicious', European, and Asian pears, respectively. There were 2993 and 1782 orthologue groups detected specifically in *Malus* or *Pyrus* alone, but missing from the other.

compared with the GD group (264 groups of 803 genes) and the European (561 groups of 1273 genes) and Asian (699 groups of 1668 genes) pears groups. RG showed the presence of a much higher proportion of singletons (12 241 singletons). Further validation of these RG paralogues and singletons using RNASeq data resulted in removal of 12 413 genes from the gene predictions, with the number of RGTH1 genes (42 788) becoming on a par with the number of the GDDH13 genes (42 140) (Daccord *et al.*, 2017).

Genome-wide identification of allele-specific expression in apple

Diploid heterozygous RG genomic DNA was sequenced and a total of 109 million pairs of reads were obtained (sequencing depth \sim 30×). Variant calling, using the RGTH1 genome as reference, identified 2.7 million SNPs and 339,288 INDELs. In total, 28 474 of the 42 788 predicted RGTH1 genes contained SNPs and/or INDELs in their CDS.

RNA-seq data were generated from diploid RG for four tissues: balloon stage flowers, open flowers, and flesh of bagged and non-bagged mature fruit, with three replicates for each tissue type. Mapping them to the RGTH1 genome, between 19 371 and 25 639 genes reached an expression level >2 Fragments Per Kilobase of transcript per Million mapped reads (FPKM) in at least one tissue (Table S1). Genes containing SNPs and/or INDELs in CDS, having an expression level >2 FPKM, and with an allele-differential expression rate (ADER) >0.75 or < 0.25, were considered as ASE genes. For each tissue type, 851 to 923 ASE genes were identified (Table S1). As ASE genes were commonly

present in two, three, or four tissue types, a total of 2091 unique ASE genes were identified (Figure 2a, Table S2). The proportions of the common ASE genes when comparing two data sets were between 31% and 66% (Table S3). The highest proportion (66%) was between bagged and non-bagged fruit flesh tissues, which was anticipated as they were the same type of tissues, but treated with different light conditions (dark, bagged vs light, non-bagged), during the later stages of fruit development. Other pairwise comparisons had lower numbers of common ASE genes as they were different tissues at very different developmental stages, and hence a greater variation in gene expression pattern was expected.

Mapping DNA sequence reads to the RGTH1 reference illustrated consistency of heterozygous SNPs (Figure 3). However, mapping RNA reads showed two types of expression: absolute (Figure 3a, no SNPs or Figure 3b, homozygous SNPs), or preferential ASE (Figure 3c,d), where the majority of reads support one allele.

Transposable elements play important roles in regulating allele-specific expression

Transposable elements (TEs) are reported to affect gene expression (Dubin *et al.*, 2018; Galindo-Gonzalez *et al.*, 2017; Sahebi *et al.*, 2018). In total, 8614 genes were clearly identified with one allele from the GDDH13 haploid genome and the other allele from the RGTH1 genome. Among them, 817 were present in the 2091 ASE genes. Their corresponding gene IDs in both genomes are listed in Table S4. Comparing their 5 kb up-stream sequences from GDDH13 and RGTH1, 455 of the 817 (55.7%) ASE genes

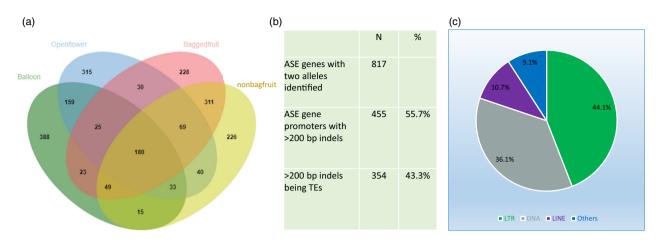


Figure 2 Numbers of Allele-specific expression (ASE) genes identified in four different apple tissue types and affected by TE insertion. (a) Venn diagram showing the numbers of ASE genes identified in four different apple tissue libraries. (b) Comparing the 5 kb upstream sequences of two alleles of the 817 ASE genes identified 455 with INDELs >200 bp, of which 354 were TE sequences. (c) The 354 TE sequences were classified into four types.

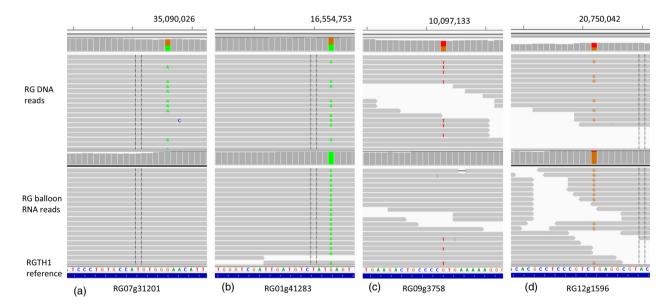


Figure 3 Snapshots of Integrated Genome Viewer (IGV) view of four genes with allele-specific expression. 'Royal Gala' (RG) DNA and balloon flower RNA sequence reads were mapped to RGTH1 reference genome to generate bam files that were viewed using IGV (v2.3.88). Each view shows a SNP site of an allele-specific expressed gene. The number on the top of the images shows the chromosomal location of the SNP in the RGTH reference genome. (a) RG07g31201 specifically expressed the reference allele. (b) RG01g41283 specifically expressed the alternate allele. (c) RG09g3758 preferentially expressed the reference allele.

had INDELs longer than 200 nucleotides in one of the haplotypes. Of these INDELs, 354 were TE sequences (Figure 2b), classified as long terminal repeats, (LTR 44.1%), long interspersed nuclear elements (LINE 10.7%), DNA type (36.1%), or other types (9.1%) (Figure 2c).

Examples of TE-association with ASE are shown in Figures 4 and S2. MD11G1291200 and RG11g10167 are two alternative alleles of a gene encoding an E3 ligase. A GYPSY-like TE insertion in the promoter of MD11G1291200 was positively associated with ASE in RG balloon flower tissues (Figure 4). MD05G1033800 and RG05g54774 are alternative alleles encoding a tryptophan aminotransferase. A rolling-circle Helitron type TE (1230 bases long) insertion in the upstream of RG05g54774 was negatively associated with gene expression (Figure S2).

Transposable element insertions in *MYB10* and *MYB10a* upstream regions underpin apple flower petal colour variations at balloon stage

The flower petal colours of cultivated apples [GD, RG, 'Fuji' (FJ), 'Longfeng' (LF)] are red and pink at balloon stage (Figure 5a, c-e) and become light pink or white at full bloom stage (Figure 5b). Petals of the wild apple species *M. baccata*, may be pink (Figure 5f) or white at balloon stage (Figure 5g-i). The balloon stage white petals are white at full bloom stage (Figure 5j). MYB10 and MYB110a are transcription factors that positively regulate the expression of anthocyanin biosynthesis genes in apple (Chagne *et al.*, 2013; Espley *et al.*, 2009). The expression levels of *MYB10* and *MYB110a*, as quantified by RNA-seq



Figure 4 A transposable element identified in the upstream sequences of an allele may cause the allele-specific expression. (a) an alignment of MD11G1291200 and RG11g10167 genomic DNA and RG11g10167 CDS sequences showed a GYPSY-like TE insertion in the upstream sequences of MD11G1291200 and various SNPs and INDELs. (b) detailed sequence alignment around the five SNPs detected in the CDS region. (c) snapshots of IGV views cover the five SNPs sites in the CDS region. 'Royal Gala' (RG) DNA and balloon flower RNA sequence reads were mapped to the RGTH1 reference genome. The five SNPs in (b) were identical to the five SNPs in **c**, indicating that MD11G1291200 was the alternate allele of RG11g10167 in diploid 'Royal Gala'. The allele frequency was approximate 50% for DNA-seq reads (upper part) but highly biased to the alternate allele for RNA-seq reads (lower part), indicating preferential expression of the alternate allele. The number on the top of the images indicates the chromosomal position.

analysis, were high in red petals of GD and RG, but barely detectable in white petals of both *M. baccata* accessions SDZ16-3 and SDZ (Figure 5k). In the pink petals of FJ and LF, and the *M. baccata* accession SDZ16-10, only *MYB10* was expressed at a high level (Figure 5k). It is likely that specific genetic variants in *MYB10* and *MYB110a* gene underpin apple petal coloration.

RG15g42342 (encoding MYB110a) was detected as ASE in balloon flowers (Table S2) and showed preferential expression of the alternative allele in balloon flowers of diploid RG (Figure 6a). Two of the three SNPs in the CDS region were homozygous in

RNA mapping (the two blue bars in Figure 6a) and one is highly biased to the non-reference allele (the green bar on the left in Figure 6a), indicating the alternative allele at this locus was specifically expressed in RG petals, while the expression of the reference allele was almost undetectable. MD17G1261000 (*MYB110a* in the GDDH13 reference genome) was identified as the alternative allele of RG15g42342 (Table S4). The alignment between CDS sequences of the two alleles also showed three SNPs (Figure S3a) that were same as the three SNPs detected above by mapping RG sequence reads to RGTH1 reference

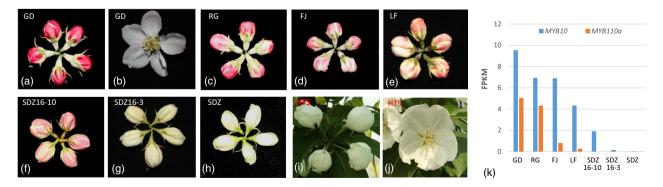


Figure 5 Apple petal colour is associated with MYB10 and MYB110a expression. (a–e) flowers of four *Malus x domestica* cultivars, 'Golden Delicious' (GD), 'Royal Gala' (RG), 'Fuji' (FJ), and 'Longfeng' (FL) were photographed at balloon (a, c–e) and full bloom (b) stages. (f–j) flowers of four accessions of *M. baccata* (SDZ16-10, SDZ16-3, SDZ, and HT5) were photographed at balloon (f–i) and full bloom (j) stage. (k) shows the mRNA expression levels (FPKM values) of *MYB10* and *MYB10a* in petals at the balloon stage as determines using RNA-seq analysis.

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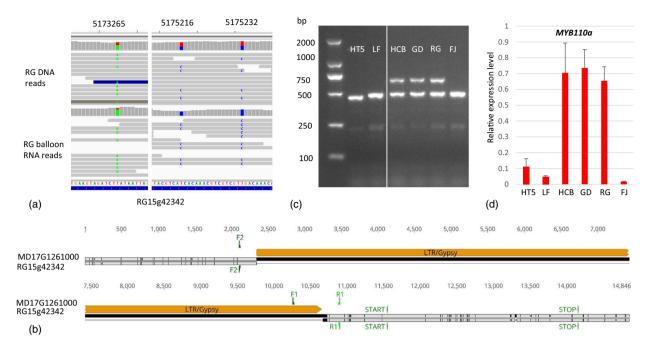


Figure 6 A LTR-TE in MYB110a is associated with its allele-specific expression in apple petals. (a) snapshots of IGV views show RG DNA and balloon flower RNA sequence reads mapped to the RGTH1 reference genome. Three SNPs in *MYB110a* (RG15g42342) CDS showed specific expression of the alternate allele. The numbers on the top of the image indicate the chromosomal position of the SNPs. (b) comparison of sequences between two *MYB110a* alleles from RGTH1 and GDDH13 identified a 8.5 kb LTR/Gypsy TE specifically present in the GD reference allele (MD17G1261000). F1, F2, F3, F4, R1, R2, and R3 indicate the location of PCR primers. (c) PCR result was generated using primers MYB110aF1/F2/R1 and DNA of six apple accessions. F1/R1 generated a 656 bp fragment from the allele containing the TE and F2/R1 generated a 485 bp fragment from the allele without the TE. (d) qRT-PCR analysis showed relative expression level of *MYB110a* in the six different apple accessions.

(Figure 6a). These SNPs changed two amino acids (Figure S3b). The same SNP pattern confirmed that MD17G1261000 is the alternative allele of RG15g42342. Most importantly, these two alleles differed by the specific presence of an LTR/Gypsy TE in the upstream region of the MD17G1261000 allele (Figure 6b), which made us postulate that the LTR/Gypsy TE is influencing *MYB110a* expression in apple petals to underpin apple flower colour variation.

To test the above hypothesis, we first determined the allele type and expression level of *MYB110a* in a wild apple (*M. baccata* HT5) with white flowers, and in five cultivars either with pink flowers (LF and FJ) or red flowers ['Hongcuibao' (HCB), GD, and RG]. Three primers were used in PCR analysis to amplify two DNA fragments of 656 bp and 485 bp, representing the TE-containing allele and non-TE allele, respectively. HT5, LF, and FJ were homozygous for the non-TE allele, as only the 485 bp DNA fragment was amplified. HCB, GD, and RG contained both alleles, as both DNA fragments were amplified (Figure 6c). RT-PCR analysis showed weak expression of *MYB110a* in the HT5, LF, and FJ, in contrast to the strong expression in HCB, GD, and RG (Figure 6d). This result indicated the TE-containing allele of *MYB110a* is associated with high expression level of *MYB110a*, supporting the result of the ASE analysis.

We further analysed the allele type of 200 apple cultivars and 31 wild apple accessions using this marker (Table S5, Figure S4a). A variation of the non-TE allele was identified as a smaller band (332 bp) (Figure S4a) that was fully sequenced. Comparing the DNA sequences of the large and small fragments indicated that this variation was probably a deletion due to homologous recombination between two inverted repeat DNA sequences in the region (Figure S4b). The frequencies for TE and non-TE alleles were 25.5% and 74.5% in apple cultivars, respectively, and 22.6% and 77.4% in wild apple accessions, respectively (Table S6). None of the eight white flower accessions showed the presence of the TE allele (Table S5) and in addition, a number of red/pink accessions also showed the absence of the TE allele. The red/pink colour of these accessions is due to expression of *MYB10* as described below.

MYB10 is encoded by the MD09G1278600 and RG09G4950 genes in the GDDH13 and RGTH1 reference genomes, respectively. ASE analysis could not be performed by using diploid RG plant material because there were no SNPs in the CDS between the two haplotypes. In GD, MYB10 was heterozygous and showed a key difference between the two alleles with the presence/absence of a 500 bp TE in their upstream region (Figure 7a). The two alleles were expressed at a similar level in petals based on two SNPs in the 5' UTR (Figure 7b). Therefore, the 500 bp TE was unlikely to have affected MYB10 gene expression in flower petals. In LF, MYB10 was heterozygous and showed a key difference between the two alleles with presence/ absence of a 674 bp TRIM-type (terminal-repeat retrotransposons in miniature) TE in their upstream sequence (Figure 7a). The absence of the 674 bp TRIM-type TE was homologous in M. baccata HT5 (Figure 7a). In LF petals, the MYB10 expressed allele was identical to the GD reference allele (Figure 7c) containing the TRIM-type TE, whereas the expression of the alternative allele without the TRIM-type TE was not detected.

To confirm the presence/absence of the 674 bp-TRIM-type TE in different *MYB10* alleles, PCR analysis was performed using primers flanking the position of the TRIM-type TE insertion (8833F and 9935R, Figure 7a, Table S7). A DNA fragment of 1103 bp representing the allele containing the 674 bp-TE was amplified

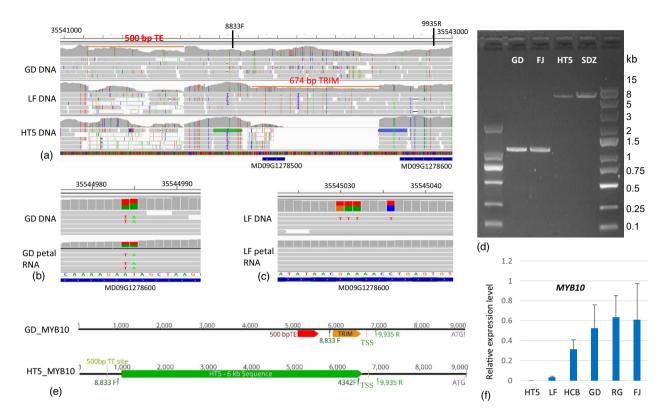


Figure 7 A TRIM TE insertion in *MYB10* promoter was associated with *MYB10* expression in apple petals. (a) A snapshot of IGV view, DNA sequence reads of two apple cultivars 'Golden Delicious' (GD) and 'Longfeng' (LF) and a wild accession *Malus baccata* Haitang 5 (HT5) were mapped to the GDDH13 reference genome. Upstream of *MYB10* gene (MD09G1278600), a deletion of a 500 bp TE was detected as heterozygous in the GD diploid genome and homozygous in LF and HT5. A TRIM TE (674 bp) deletion was detected in LF and HT5 as heterozygous and homozygous, respectively, but not deleted in GD. 8833F and 9935R: location of two PCR primers used (b) DNA and petal RNA sequence reads of GD were mapped to the GDDH13 reference genome. No allele-specific expression of *MYB10* was detected. (c) DNA and petal RNA sequence reads of LF were mapped to the GDDH13 reference genome. Specific expression of the *MYB10* reference allele (MD09G1278600) was detected. The numbers on the top of the images indicate the chromosomal positions. (d) PCR amplification using two primers flanking the TRIM-TE insertion site detected a 1100 bp fragment for GD and LF, and a 6.5 kb fragment for HT5 and SDZ. (e) a diagram shows *MYB10* gene structure for the GD reference allele and an alternative allele detected from HT5. A 6 kb DNA sequence in the HT5 allele was replaced by the TRIM TE in the GD reference allele. TSS: transcription start site, ATG: translation start codon, 8833F, 4342F, and 9935R: location of three PCR primers used. (f) qRT-PCR analysis showed relative expression levels of *MYB10* in six different apple accessions.

from cultivars producing red petals, such as GD and FJ (Figure 7d). Although a 429 bp fragment was expected for the allele lacking the TRIM-type TE, a 6.5 kb fragment was amplified from white petal wild apple accessions, such as HT5 and SDZ (Figure 7d). The 6.5 kb PCR DNA fragment was fully sequenced revealing the expected 429 bp sequence and an additional 6 kb of sequence that showed no homology to any sequence from the RGTH1 or GDDH13 reference genome. Alignment of the sequences showed a direct replacement between the TRIM-type TE and the 6 kb DNA fragment (Figure 7e). This result indicates that the TE insertion caused a deletion, and is consistent with the previously reported result that TE insertion can mediate genome deletion (Han *et al.*, 2005).

We further verified the allele types of *MYB10* in 31 wild accessions and 200 cultivars using PCR analysis with primers 8833F, 4342F, and 9935R. The frequencies for TE and non-TE alleles were 98.5% and 1.5% in apple cultivars, respectively, and 43.5% and 56.4% in wild apple accessions, respectively (Figure S5, Tables S5, S6). All eight white flower accessions showed the absence of the TE allele (Table S5). RT-PCR analysis showed that the *MYB10* expression level in flower petals was not detectable for HT5, low for LF, and high for the four red flower

accessions (HCB, GD, GD, and FJ) (Fig. 7f). These expression patterns corresponded to the absence, heterozygosity, and homozygosity of the TRIM-TE-containing *MYB10* allele. These analyses collectively showed that the presence of the TRIM TE in the *MYB10* upstream region is positively associated with *MYB10* expression in flower petals and red petal colour.

The results of both *MYB10* and *MYB110a* allele type analyses showed that all 200 apple cultivars and 23 of 31 accessions showing pink/red petals contained a mix of *MYB10* and *MYB110a* alleles with at least one allele containing the critical TE insertion to activate gene expression, whereas the white petal accessions contained no alleles with the TE insertion (Table S5). Of the 200 apple cultivars analysed (Table S5), 13 cultivars (including 'Golden Delicious', 'Dorsett Golden', 'Grimes Golden', and 'Granny Smith', and others) have pink/red petals but non-red fruit skin, indicating these TEs are specifically responsible for red petal colour but not for red fruit skin colour.

TE-activated gene expression was confirmed by *Agrobacterium*-mediated transformation. Apple petal segments were transformed with a GUS reporter gene under the regulation of CaMV35S promoter (positive control), no promoter (negative control), or one of the four promoters to be tested, which were (i)

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GD MYB110a promoter with the LTR-TE, (ii) RG MYB110a promoter without the LTR-TE, (iii) GD MYB10 promoter with the TRIM TE, and (iv) HT5 MYB10 promoter without the TRIM TE (Figure 8a). At 6 days after transformation, GUS expression in petal segments was strong for the CaMV35S control promoter and the two promoters (GD MYB110a and GD MYB10) containing the TE sequences. In contrast, GUS expression was undetectable for the no-promoter control and very weak for the two promoters (RG MYB110a and HT5 MYB10) without the TE sequences (Figure 8b). This result shows that the TEs identified in MYB110a and MYB10 promoters can greatly enhance gene expression in apple petal tissues.

Discussion

The RGTH1 reference genome assembled in this study is a valuable addition to the three published *Malus* genomes, *M.* × *domestica* 'Golden Delicious' (Velasco *et al.*, 2010), GDDH13 (Daccord *et al.*, 2017), and HFTH1 (Zhang *et al.*, 2019), for apple genomics research. Here, we demonstrate its usefulness for variant detection and genome-wide ASE gene identification. Given the parental relationship of GD to RG, both reference genomes can be used in combination to study the allelic variants associated with or directly affecting a wide range of traits. The efficient analysis methodology developed in this study could be applied to other perennial species with highly heterozygous genomes.

Although genome-wide identification of ASE genes was reported in annual plant species (Shao et al., 2019), the method is currently not widely applicable to highly heterozygous tree species because tree reference genomes were mostly assembled with diploid plants and short DNA sequence reads, and would encounter problems in haplotype phasing. Haplotype-phased reference genomes were recently assembled using long sequence reads from heterozygous materials (Colle et al., 2019; Usai et al., 2020). In this study, we have used a haploid reference genome RGTH1 to overcome haplotype phasing problems during ASE identification. We further used a parental haploid reference genome GDDH13 to identify the alternative allele of ASE genes. By comparing the sequences of both alleles, allele-specific structural variants (SVs) were identified, in particular the presence of TEs in the upstream region of the CDS. These genetic variants potentially have impact on ASE. This approach can be utilized in other plant species, in particular those species that already have a reference genome developed from haploid plant material, such as citrus (Wang et al., 2017) or from diploid plant material but with completely phased two haploid genomes based on long sequence reads like grapevine (Minio et al., 2019).

ASE analysis has several advantages over differential gene expression (DGE) analysis. The latter has been widely used to identify genes differentially expressed between different tissues of the same genotype, between the same tissues of different genotypes, or the same tissue across time courses (Klumb *et al.*, 2020; Nishitani *et al.*, 2020; Wang *et al.*, 2020; Zerpa-Catanho

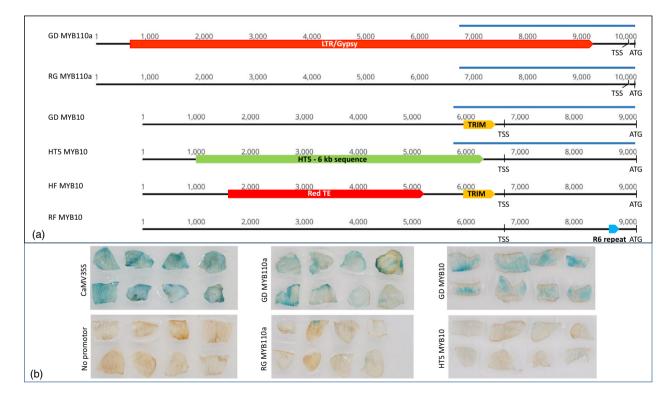


Figure 8 Important elements in *MYB110a* and *MYB10* promoters and their effects on promoter activity. (a) Diagrams show the promoter region upstream the start codon ATG of *MYB110a* and *MYB10* genes from apple cultivars 'Golden Delicious' (GD) and 'Royal Gala' (RG), 'Hanfu' (HF), 'Red Field' (RF), and a wild accession *Malus baccata* Haitang 5 (HT5). The transcription start sites (TSS) are shown. The LTR/Gypsy and TRIM transposable elements were identified in this study (Figures 6 and 7). The RedTE in HT5 MYB10 and R6 repeats in RF MYB10 were identified previously (Espley *et al.*, 2009; Zhang *et al.*, 2019). The four blue bars indicate the promoter fragments to be analysed in (b). (b) Apple petal segments were co-cultivated with *A. tumefaciens* cells containing a GUS reporter gene under the control of CaMV 35S promoter, no promoter, or one of the four promoter fragments indicated in (a), and histochemically stained for GUS activity (blue colour) 6 days after the co-cultivation.

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et al., 2019). However, DGE does not address allele specificity. Furthermore, its analysis accuracy is adversely affected by factors such as environmental condition variations, RNA extraction and library preparation discrepancies, sequencing batch effects, and the uniformity of biological materials. In contrast, ASE analysis investigates allele expression specificity within the same sample from the same dataset under the identical genetic background and environmental conditions, thus leading to a more robust way of identifying potential causative variants.

TEs are known to regulate gene expression and contribute significantly to plant evolution (Dubin *et al.*, 2018; Feschotte *et al.*, 2002; Galindo-Gonzalez *et al.*, 2017). In perennial fruit species, several agriculturally important traits are shown to be regulated by TE insertion, such as seedless fruit (Yao *et al.*, 2001), fruit size (Yao *et al.*, 2015), red fruit skin in apple (Zhang *et al.*, 2019), red fruit flesh (Butelli *et al.*, 2012), somatic embryogenesis in citrus (Shimada *et al.*, 2018), fruit flesh growth (Fernandez *et al.*, 2013), and inflorescence structure in grape (Fernandez *et al.*, 2010). Here, we identified 354 TE insertions (Figure 2b) that potentially regulate gene expression by using genome-wide ASE analysis and comparing the sequences of two alleles extracted from two high quality reference genomes of related cultivars. This approach will accelerate our understanding the role of TEs in plant evolution, and crop domestication and improvement.

MYB10 and MYB110a are two key genes regulating anthocyanin biosynthesis in apple. Activation of the expression of either one of them using transgenic approaches can activate anthocyanin accumulation in plant tissues (Chagne et al., 2013; Espley et al., 2007). In apple germplasm, a minisatellite sequence (termed R6 repeat) upstream of the ORF of MYB10 (Figure 8a) is shown to activate MYB10 expression in most apple tissue types and enhance anthocyanin accumulation in leaves, flowers, and fruit (Espley et al., 2009). A MYB110a allele is expressed in fruit and associated with 'type 2' flesh colour, although the causative variant is unknown (Chagne et al., 2013). In many apple cultivars, the insertion of an LTR/Gypsy TE (termed Red-TE) in the promoter region of MYB10 (Figure 8a) is known to be associated with MYB10 expression and anthocyanin accumulation in mature fruit skin (Zhang et al., 2019). However, the alleles of these two genes associated with apple flower petal colour development were unknown.

In this study, we identified the specific allele of MYB10 and MYB110a underpinning the variation of apple petal colour. All apple cultivars produce pink to red flowers, in particular at the balloon stage of flower development, owing to the accumulation of anthocyanins. A few accessions of wild apple species produce white flowers from the balloon stage (Figure 5q-i). Our research showed that the cultivated and wild apples may be divided into four classes based on the expression of MYB10 and MYB110a in flower petals: (i) both MYB10 and MYB110a are expressed; (ii) only MYB10 is expressed; (iii) only MYB110a is expressed; and (iv) neither MYB10 nor MYB110a is expressed (Table S5). The expression of both genes is associated with the presence of a TE in their upstream regions (Figure 8). The wild apple accessions producing white flowers do not express MYB10 or MYB110a because they do not have the allele containing the TE insertion. The frequency of MYB10 allele with the TE insertion was higher in the domesticated apples than in the wild accessions (Table S6), indicating that flower colour was a trait under selection during apple domestication and improvement.

The identification of these new TEs in *MYB10* and *MYB110a* alleles has further demonstrated the importance of TEs in

controlling gene expression and trait evolution in plants. Out of the four variants identified to control anthocyanin accumulation in apple (summarised in Figure 8a), three are TEs (Zhang et al., 2019) and one is a minisatellite (Espley et al., 2009). The minisatellite in MYB10 named the R6 repeat provides binding sites for MYB10 to auto-regulate its own expression and it confers red colour of many plant organs include leaves, flowers, and fruit (flesh and skin) Two different TE-insertional alleles of MYB10 are responsible for anthocyanin accumulation in fruit skin (Zhang et al., 2019) and flower petal (Figure 7), respectively. In addition, a TE-insertional allele of MYB110a is responsible specifically for petal anthocyanin accumulation (Figure 6). The findings in this study and previous report (Zhang et al., 2019) show that anthocyanin accumulation in apple petals and fruit skins are regulated by different TE insertions in MYB gene promoters, which explains why yellow and green skin apples can produce red flowers.

This study established a high quality haploid apple reference genome and used it together with transcriptome data for genome-wide identification of ASE genes. Allelic variants, in particular TEs potentially underpinning ASE, were identified by comparing two closely related haploid reference genomes. TEs in two *MYB* genes were detected and further associated with ASE underpinning apple flower colour variation. The reference genome assembled in this study will significantly contribute to future genomic studies and molecular breeding of apple. The novel method developed in this study for rapid identification of ASE genes and their regulatory elements is applicable to other perennial species with highly heterozygous genomes, and will accelerate our understanding the role of TEs in plant evolution, and crop domestication and improvement.

Experimental procedures

Plant materials

The plant material used in genome sequencing, RGTH1, was derived from anther cultures of *Malus* \times *domestica* RG following previously described methods (Hofer, 2004, 2005). It was micropropagated in tissue culture then planted in a research orchard of the Pomology Research Institute, Liaoning Province, China.

DNA extraction and sequencing

Shoots were collected from the putative tetra-haploid RG plant and were sent to Macrogen, South Korea, for library construction and sequencing. Total cellular DNA was extracted using the Ezna HP Plant DNA Mini kit (OMEGA Bio-teK, Norcross, GA , USA). Three paired-end (PE) Illumina libraries were constructed with insert sizes of 180 bp, 400 bp, and 600 bp, respectively and sequenced in multiples lanes on the HiSeq2000 platform. Twenty-two SMRT Cells of long read genomic data were created from the same material at the same institute on a PacBio RSII machine. A genomic DNA sample was sent to PhaseGenomics, USA, for Hi-C library construction and sequencing. Total cellular DNA was also extracted from leaves of diploid RG and sequenced on the Illumina platform in paired-end mode with insert size 250 bp, read length 101 bp, and sequencing depth reached to 30×.

Haploid verification

Flow-cytometry analysis of nuclei isolated from leaf tissues of RG to determine their DNA content was performed according to a previously described protocol (Dolezel *et al.*, 2007).

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To digitally verify the haplotype, two sequencing data sets (PE180 and PE400) from RGTH1 and one data set from a normal diploid 'Royal Gala' PE250 (read length 101 bp, fragment size 250 bp, sequencing depth ~30×) were mapped to the double-haploid 'Golden Delicious' (GDDH13) genome (Daccord *et al.*, 2017) using bowtie2 (version 2.2.5) (Langmead and Salzberg, 2012) with options '--*minins 450 --maxins 750 --sensitive mode*'. SNPs were called using the samtools/BCFtools suite (Li *et al.*, 2009). Detected SNPs were summarized with VCFtools (Danecek *et al.*, 2011). Homozygous SNP rates were compared between RGTH1 and diploid RG samples.

Assembly and annotation of 'Royal Gala' haploid genome

A hybrid approach for the genome assembly was followed using the MaSuRCA (version 3.2.4) assembler (Zimin *et al.*, 2013) where the PE Illumina short read data and PacBio data were used as input. Thereafter, the resulting assembly was run through the Proximo Hi-C scaffolding pipeline for chromosome assignment and scaffold ordering and orientation on the contigs using the Hi-C data (PhaseGenomics). The completeness of the genome was checked at each stage with BUSCO (version 3.02) where lineage was set to 'embryophyta_odb9' (Simao *et al.*, 2015).

De novo repeats were detected from RGTH1 genome with RepeatModeler (version 1.0.11) (Smit and Hubley, 2008). The genome assembly was masked twice using RepeatMasker (version 4.0.5; Smit *et al.*, 2013), first with the *de novo* repeat library constructed, followed by the Viridiplantae repeats option.

RNASeg reads from 15 libraries of 90 GB sequence data were mapped to the RGTH1 genome using STAR (version 2.5.3a) (Dobin et al., 2013) to build evidence for gene prediction. These libraries were derived from leaf, flower, and fruit tissues of RG. The resulting multiple alignment bam files were merged with bamtools (version 2.4.0). In addition, 'Royal Gala' expressed sequence tags (EST) (Newcomb et al., 2006) were aligned to RGTH1 using blatSuite (version 36) (Kent, 2002) to generate further evidence. ESTs with a minimum 90% identity and minimum intron length 30 were kept as additional hints using pslcdnafilter from KentUtils and blat2hints.pl from the augustus scripts. Thereafter, the merged bam alignment from RNASeg data and EST based evidence files were used for genome annotation using BRAKER2 (version 2.1.0) pipeline (Hoff et al., 2019). BUSCO (version 3.0.2) was then run to check gene space completeness using the 'embryophyta_odb9' lineage.

Predicted gene sequences were blasted to PFam and UniProt databases using Blast+ suite (version 2.6.0; Camacho *et al.*, 2009). The results were used to functionally annotate genes using trinotate (version 3.0.2; Bryant *et al.*, 2017). In addition, KEGG annotations were carried out using the KAAS pipeline (version sa2_140224; Moriya *et al.*, 2007).

Comparative genomics

Orthologue analyses of protein coding genes were performed with orthomcl (version 2.0.9; Li *et al.*, 2003), between RGTH1, GDDH13 (Daccord *et al.*, 2017), PCPHybridGeneSet2 (*Pyrus communis*) (Chagne *et al.*, 2014), and Asian pear (*Pyrus bretschneiderii*) (Wu *et al.*, 2013). The RGTH1 singletons and paralogues identified from the analyses were further validated using RNASeq data support, and those lacking RNASeq support were removed from the predicted gene set.

RNA extraction and sequencing

For ASE analysis, whole flowers were collected at two developmental stages (unopened balloon and open flower), and flesh samples were collected from bagged and non-bagged mature fruit of Malus × domestica 'Royal Gala'. The fruit was bagged with light-impenetrable double-layer paper bags at 38 DAFB (days after full blown). In addition, flower petals were collected at the unopened balloon stage from four apple cultivars ('Royal Gala', 'Golden Delicious', 'Fuji', and 'Longfeng') and three accessions of M. baccata (SDZ, SDZ16-3, and SDZ16-10), to verify MYB10 and MYB110a expression. From these tissue samples, RNA was isolated using the Spectrum Plant Total RNA kit (Sigma-Aldrich, Auckland, New Zealand) according to the manufacturer's instructions. Five micrograms of total RNA were used to construct strand-specific poly-A RNA libraries as previously described (Haile et al., 2017). Libraries were sequenced using the HiSeg2000 sequencing system (Illumina) to produce paired-end reads. Reads were aligned to apple reference genomes GDDH13 (Daccord et al., 2017) and RGTH1 using BWA (version 0.7.15; Li et al., 2009).

Small variants detection and allele-specific expression test

To differentiate ASE, we carried out SNP and INDEL detection using genomic and transcriptomic sequence data. Diploid RG genomic sequences were mapped to RGTH1 reference genome using BWA. RNA-Seq data from four types of tissues of diploid RG were mapped to RGTH1 using STAR (version 2.6.1d; Dobin *et al.*, 2013). Afterwards, the same workflow was applied for detection of variants from genomic and transcriptomic alignments. PCR duplications were marked using Picard-tools (version 2.10.1; Wysoker *et al.*, 2013). SNPs and INDELs were called using GATK (version 3.8.0; Mushtaq and Al-Ars, 2015). SNPs detected were filtered with VCFTools (version 0.1.14). The remaining SNPs were annotated with snpEff (Cingolani *et al.*, 2012).

Genes with SNPs in their CDS and having an expression level higher than 2 FKPM in each tissue were considered a potential ASE. In addition, if their allele differential expression ratio (ADER), defined as read counts of the reference allele divided by total read counts, was >0.75 or <0.25, they were defined as ASEs in that RNA-Seq library.

Identification of DNA elements potentially causing Allele-specific expression

Taking advantage of GD being a parent of RG, we designed a protocol (Figure S6) to extract the GD alleles that are inherited by diploid RG but not present in the RGTH1, from the GDDH13 reference genome. We aligned coding sequences (CDS) from GDDH13 to the RGTH1 genome using minimap2 (version 2.2.17; Li, 2018). The alignments were further converted to bam format and variants were called using GATK (DePristo *et al.*, 2011). Comparing SNPs called from diploid RG data and GDDH13 CDS, we could identify the GD alleles inherited by RG. The genomics and transcriptomics alignments, gene structures of ASE genes, SNPs, and repeats were visualized using IGV(version 2.3.88; Robinson *et al.*, 2011).

Upstream sequences (5 kb from the start codon) of ASE genes were extracted from GDDH13 and RGTH1 genomes for each allele. The two alleles were aligned to each other to detect INDELs longer than 200 nucleotides. The INDELs were compared with

RGTH1 *de novo* repeat library and the repeat type was classified accordingly.

PCR amplification of DNA upstream *MYB110a* and *MYB10*

Genomic DNA for use as PCR templates was extracted from young leaf tissues of apple cultivars and wild accessions using DNeasy Plant Mini Kit (Qiagen, Germantown, MD, USA) according to the manufacturer's instructions. PCR reactions were carried out using a mix of three primers for each gene. For MYB110a, primers MYB110aF1 and MYB110aR1 are located in the sequences flanking the TE insertion site and primer MYB110aF2 is located in the TE sequences (Figure 6). Primers MYB110aF1 and MYB110aR1 can generate a 485 bp DNA fragment from the non-TE allele while MYB110aF2 and MYB110aR1 can generate a 656 bp DNA fragment from the TE-containing allele. Similarly, three primers (8833F, 4342F, and 9935R) were designed to distinguish the two alleles of MYB10, and their location are shown in Figure 7. Primers 4342F and 9935R can generate a 451 bp DNA fragment from the non-TE allele while 8833F and 9935R can generate an 1103 bp DNA fragment from the allele containing the 674 bp TE. The primer sequences are listed in Table S7.

RT-PCR analysis of MYB10 and MYB110a expression

Total RNA was extracted from apple flowers at balloon stage using the SpectrumTM Plant Total RNA Kit (Sigma-Aldrich) according to the manufacturer's instructions. Reverse transcription and DNase I treatment was conducted using the Quantitect[®] Reverse Transcription Kit (Qiagen, Germantown, MD, USA). Relative expression of *MYB10* and *MYB110a* was measured using the Roche LightCycler[®] 480 system (version 1.5) (Roche, Auckland, New Zealand) and analysed using the LightCycler software according to the methods previously reported (Lin-Wang *et al.*, 2010). Apple genes encoding actin and elongation factor 1 α (*EF1* α) were used as references. Primer sequences are listed in Table S7. The results are shown as relative expression level to the reference genes as an average value from nine PCR reactions of three biological replicates and three technical replicates.

Transient transformation of apple petal tissues

To analyse promoter activity, four promoter fragments were amplified using PCR primers listed in Table S7 and fused to the GUS reporter gene in pBI101 vector (Jefferson et al., 1987) using the MonClone[™] Hi-Fusion Cloning Mix V2 kit (Monad, China, Shanghai) after the vector was linearized with HindIII and BamHI. The promoter fragments of MdMYB110a were amplified with primers MYB110aF3/R3 from GD (3030 bp) and with primers MYB110aF4/R3 from RG (3183 bp). Promoter fragments of MYB10 were amplified with primers MYB10-8833F/8246R from GD (3111 bp) and with primers MYB10-5011F/8246R from HT5 (2996 bp). These four promoter-GUS constructs, as well as pBI101 containing a promoterless-GUS and pBI121 containing CaMV35S-GUS (Jefferson et al., 1987) were transferred separately in to A. tumefaciens GV3101 cells (http://www.weidibio. com) for use in plant transformation. For transformation, whole flowers of Malus baccata HT5 were collected at the balloon stage and sterilized with 0.5% NaClO for 12 min followed by three washes with sterilized distilled water. After sterilization, petal segments generated by cutting each petal into 3 pieces were cocultivated with the GV3101 cells harbouring each of the promoter constructs for two days on a medium (MS medium supplemented with 5 mg/L 6-BA, 1 mg/L of NAA, and 1 mg/L of TDZ) as previously described (Yao *et al.*, 2015). Thirty two petal segments were used for each construct in an experiment which was repeated three times. After the co-cultivation, petal segments were transferred to a selection medium (the co-cultivation medium supplemented with 100 mg/L of Kanamycin and 250 mg/L of cefotaxime) for four days before they were histochemically stained with 5-bromo-4-chloro-3-indolyl b-D-glucuronide (X-Gluc) for 24 h at 37°C, The stained petal segments were photographed after a treatment with 75% ethanol to remove any underlying pigment in the petal tissues and allowing easier visualization of the GUS expression.

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Conflict of interest

The authors declare no conflict of interests.

Author contributions

J-LY, YT, PC, and CHD conceived and designed the study. AT, JG, CHD, and J-LY performed bioinformatics analyses. TD, MW, and XA performed the molecular biology experiments. YT, CA-D, HIS, RJS, and LL contributed to the RNA-seq data. YT, DW, YG, KW, HZ, RZ, ZZ, ZY, LZ, CZ, and PC contributed plant samples and phenotyping data. J-LY and CHD wrote the manuscript. AG and DC revised the manuscript. All authors read and approved the final manuscript.

Data availability statement

The RGTH1 reference genome sequence has been deposited in the NCBI database under BioProject accession PRJNA666203.

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Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Figure S1 Characters of RGTH1 plant used for full genome sequence and assembly.

Figure S2 A TE identified in the promoter of a tryptophan aminotransferase gene was negatively associated with the allelespecific expression.

Figure S3 Alignments of DNA and amino acid sequences of the two alleles of *MYB110a*.

Figure S4 PCR and DNA sequence analysis of apple accessions to show the allele types of *MYB110a* upstream region.

Figure S5 PCR analysis of apple cultivars and accessions to show the allele types of *MYB10* promoter.

Figure S6 Procedures for identifying GDDH13 gene models representing the 2nd alleles corresponding to the alleles of RGTH1 reference genome.

 Table S1 Number of ASE genes identified in four different apple tissues.

 Table S2
 List of ASE genes identified from four apple tissue types.

Table S4 Two different alleles for the same gene identified from the RGTH1 and GDDH13 reference genomes.

Table S5 PCR analysis of MYB10 and MYB110a promoter.

 Table S6
 Summary of MYB10 and MYB110a promoter PCR analyses.

Table S7 Primers used for PCR and qRT-PCR.