



# Quality of Life and Utility Values for Cost-Effectiveness Modeling in Japanese Patients with Type 2 Diabetes

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## ABSTRACT

**Introduction:** Reliable quality of life (QoL) measures and utility values are needed for patients with type 2 diabetes mellitus (T2DM) with a variety of comorbid conditions to help facilitate cost-effectiveness modeling. This study aimed to evaluate the Diabetes Treatment-Related Quality of Life (DTR-QOL) and EuroQol 5-dimension 5-level (EQ-5D-5L) questionnaires in patients with T2DM with and without diabetes complications and comorbidities in Japan.

**Methods:** This was an observational survey study involving 1000 patients with T2DM, at least 20 years old, receiving treatment at Nara University Hospital or Takamura Internal Medicine Clinic in Japan. Patients completed the DTR-QOL and EQ-5D-5L questionnaires

and clinicians completed an accompanying case report form. The DTR-QOL and EQ-5D-5L are scored on a scale of 0–100 and 0–1, with 100 and 1 representing the best possible scores, respectively.

**Results:** Out of 1000 recruited patients, 978 were included in the final analysis. Patients reported an average EQ-5D-5L value of  $0.92 \pm 0.11$ . Utility values corresponded to the degree of severity of health conditions while few differences were observed when stratified by the HbA1c 7% threshold, age, or BMI level, nor did the values correspond to the degree of clinical risk factors. Patients reported an average total DTR-QOL score of  $79.26 \pm 13.26$ . The DTR-QOL was sensitive to detect differences in patients with T2DM with a variety of complications and comorbidities, risk factors, and treatments.

**Conclusion:** This is the largest study to report QOL values for patients with diabetes in Japan and the first to include a variety of comorbid diabetic conditions. These findings may be useful for cost-effectiveness modeling of patients with T2DM in Japan.

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**Keywords:** Cost-effectiveness analysis;  
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## Key Summary Points

### Why carry out this study?

Reliable quality of life (QoL) measures and utility values are needed for patients with type 2 diabetes mellitus (T2DM) with a variety of comorbid conditions to help facilitate cost-effectiveness modeling.

This study aimed to evaluate the Diabetes Treatment-Related Quality of Life (DTR-QOL) and EuroQol 5-dimension 5-level (EQ-5D-5L) questionnaires in patients with T2DM with and without comorbidities in Japan.

### What was learned from the study?

Patients reported an average EQ-5D-5L value of  $0.92 \pm 0.11$ . Utility values corresponded to the degree of severity of health conditions while little differences were observed when stratified by the HbA1c 7% threshold, age, or BMI level, nor did the values correspond to the degree of clinical risk factors. Patients reported an average total DTR-QOL score of  $79.26 \pm 13.26$ .

The DTR-QOL was sensitive to detect differences in patients with T2DM with a variety of complications, risk factors, and treatments. These findings may be useful for cost-effectiveness modeling of patients with T2DM in Japan.

## DIGITAL FEATURES

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## INTRODUCTION

Type 2 diabetes mellitus (T2DM) is an endocrine disease, which occurs when a patient's blood glucose level is too high and it is estimated to be prevalent in more than 10 million people in Japan [1]. Chronic in nature, T2DM is a disease that requires regular drug therapies along with continuous diet and exercise therapy. Without appropriate treatment and care, diabetes causes serious complications and comorbidities, such as neuropathy, retinopathy, or kidney diseases and further progresses to advanced conditions such as coronary heart disease, stroke, foot amputation, or kidney failure [2]. It is also known that patients with diabetes have a greater risk of depression than those without diabetes [3]. Complications and comorbidities can also have profound effects on patients' ability to manage their self-care which ultimately affects treatment adherence and effectiveness outcomes. Such complications and comorbidities can significantly influence patients' perception of health, greatly restrict one's daily activities, and reduce quality of life (QoL). With a wide variety of comorbid conditions linked with T2DM, it is imperative to investigate the impact of each condition on patients' QoL accordingly.

Generation of QoL values is also important from a cost-effectiveness analysis (CEA) perspective. In Japan, up until April 2019, cost-effectiveness was not a key evaluation factor for reimbursement or pricing decisions. However, this changed after the Japanese Ministry of Health, Labour and Welfare (MHLW) implemented a CEA-based health technology assessment (HTA) for pharmaceuticals and medical devices [4]. Now there is a growing need for local utility data to populate cost-effectiveness models.

In order to derive utility values, generic instruments, such as the EuroQol five-dimension questionnaire (EQ-5D), are widely used. The EQ-5D is a preferred measure for MHLW and other HTA organizations in the world, as it allows comparison of utilities across various indications [5, 6]. Despite its benefits, previous research has shown that for some conditions,

important aspects of a disease or symptoms may not be captured solely through the five dimensions of the EQ-5D [7]. As the content of the utility measure has a significant impact on the accurate measurement of treatment effects [8, 9], capturing unique patient experiences in combination with the generic measures is generally endorsed by regulatory authorities.

For the diabetes indication, there are several Japanese studies reporting utility values [10–12]; however, the data is not segmented by various demographic characteristics nor detailed sequelae of diabetes and, to date, there are no findings utilizing the EQ-5D five-level version (EQ-5D-5L) in Japan. Diabetes-specific instruments to measure QoL have also been developed [13]. One validated QoL instrument for Japanese patients with diabetes is the Diabetes Therapy-Related QoL (DTR-QOL) questionnaire. It was developed to measure the impact of treatment on patient QoL, including patients' comfort and satisfaction [14].

This study aimed to collect utility values associated with various comorbidities and complications of patients with T2DM utilizing EQ-5D-5L, a general QoL measure, and DTR-QOL, a disease-specific measure.

## METHODS

This was an observational survey study involving 1000 patients diagnosed with T2DM who were 20 years old or older, and receiving diabetes care at Nara University Hospital or Takamura Internal Medicine Clinic. Patients with any serious or unstable psychiatric disorders (such as drug addiction), disorders that prevented physical participation in the study, or those with learning and/or cognitive difficulties that prevent him/her reading and understanding questionnaires (e.g., dementia) were excluded from this study.

Patients completed the paper-based DTR-QOL and EQ-5D-5L questionnaires and recorded self-reported hypoglycemic events, neuropathic symptoms, and patient characteristics. Clinicians completed a brief case report form on medical history for each patient.

The general utility measure used in this study was the Japanese validated version of the EQ-5D-5L. The EQ-5D-5L measures the patient's overall health state in a descriptive system of health-related QoL states consisting of five dimensions (mobility, self-care, usual activities, pain/discomfort, and anxiety/depression) each of which can take one of five responses ranging from no problems to extreme problems [15]. The EQ-5D-5L results were converted to health utility scores using the Japan tariff [16]. The EQ-5D-5L is scored on a scale of negative 1 to 1, with 1 representing perfect health, 0 representing death, and  $-1$  representing worse than death. In addition, a visual analogue scale (VAS) rated current health state between 0 and 100 [16].

The disease-specific measure selected for this study was the DTR-QOL questionnaire. DTR-QOL consists of 29 questions, developed and validated to assess QoL and daily life impact of patients with diabetes in Japan [14]. This tool has been used in previous studies to measure QoL of patients with diabetes and was utilized for the first time in the IDegLira DUAL II Japan phase 3 trial in Japanese patients with T2DM, with results reported in 2019 [17, 18]. DTR-QOL consists of four domains (usual activities, anxiety and dissatisfaction, hypoglycemia, and satisfaction) and 29 questions, each of which can take one of seven responses. The DTR-QOL is scored on a scale of 0–100, with 100 representing the best possible score.

Patient characteristics, DTR-QOL, and EQ-5D-5L values were reported descriptively. Mean, median, and standard deviation were reported for continuous variables and number/percentage for categorical variables. The comparison of psychometric properties of the instruments was not a focus of this study. The main focus was rather to derive utility values and QoL scores to inform researchers.

As an exploratory objective, significance testing of patient characteristics, complications, and comorbid conditions was conducted with one-way analysis of variance (ANOVA) tests and a stepwise linear regression model was constructed to understand the factors influencing EQ-5D-5L and DTR-QOL values. In order to control for a potentially inflated type error I due

to multiple testing, a Bonferroni correction was applied which yielded a corrected significance threshold of  $p \leq 0.001$ . All data analyses were performed using statistics software SAS version 9.4.

This study was approved by the Nara University institutional review board committee (ID #1665) and an independent ethics committee (Network Japan; ID #17NNP0030) prior to participant recruitment. It was performed in accordance with the Helsinki Declaration of 1964 and its later amendments. All participants provided informed consent to participate in the study.

## RESULTS

Out of 1000 recruited patients, 21 respondents provided incomplete data and one did not meet the eligibility criteria. In total 978 patients completed both the EQ-5D-5L and DTR-QOL and were included in the final analysis. Sixty-two percent of patients had at least one comorbid condition, with neuropathic disorder being the most commonly reported comorbidity. Stage 1 nephropathy was also frequently

observed within this population; however, as this is commonly defined as a pre-nephropathy condition, it was not counted as a comorbid condition in this study [19]. The female population accounted for 42.4% of the total population and the mean age was  $62.6 \pm 11.30$  with the highest proportion of patients from the 60–69 years old age group (Table 1).

Patients' perceived health conditions were queried using a self-developed case report form (CRF). Less than 20% of the total population perceived their health conditions as "poor" or "very poor". Similar trends were observed in the distribution of each clinical condition, where the majority of the population reported between "fair" to "excellent" health (Table 2).

As an EQ-5D-5L score of 0 and 1, respectively, denotes "death" and "perfect health", the overall mean of the EQ-5D-5L score in this study was close to perfect health ( $0.92 \pm 0.11$ ) despite 62% having T2DM with one or more comorbid conditions (Table 3). Patients without any comorbid conditions had an average utility value of  $0.95 \pm 0.09$ , while patients with a comorbid condition had a utility of  $0.91 \pm 0.12$ . The VAS score for all patients was  $76.5 \pm 15.1$ , where 0 and 100 each representing the worst possible condition and the best possible condition. Despite the large sample, the distribution of responses to the EQ-5D-5L and the VAS score were highly skewed towards better health; 60.33% of patients had a perfect EQ-5D-5L score (1.0) (Figs. 1, 2).

The DTR-QOL and EQ-5D-5L were able to differentiate across patient-reported health conditions (Table 3). By types of comorbid condition, EQ-5D-5L scores corresponded consistently to the severity of health conditions, where less severe groups reported higher scores. The lowest utilities were reported for gangrene of the foot ( $n = 6$ ; 0.61) and blindness ( $n = 1$ ; 0.10). Utility value for severe hypoglycemia was reported in two patients with an average score of 0.89 (Table 3). In terms of treatment mode of administration, the EQ-5D-5L utility values were higher for oral treatment patients compared to the injectable user group (0.94 vs 0.87). Few differences were observed in utility values when stratified by the HbA1c 7% threshold, age,

**Table 1** Patient demographics

	Number	Percentage
Gender		
Male	563	57.6
Female	415	42.4
Age (years old)		
20–39	24	2.45
40–49	117	11.96
50–59	215	21.98
60–69	328	33.54
70–79	264	26.99
80+	30	3.07
BMI kg/m <sup>2</sup> (mean $\pm$ SD)	25.35 $\pm$ 4.18	
HbA1c level % (mean $\pm$ SD)	7.10 $\pm$ 0.85	

**Table 2** Patients' perceived health condition and DTR-QOL and EQ-5D-5L utility scores

	Number (%)	DTR-QOL total Mean	EQ-5D Mean
Frequency of hypoglycemia in the past month			
0	851 (87.01)	80.64 ( $\pm$ 13.15)	0.93 ( $\pm$ 0.11)*
1–4	119 (12.7)	70.14 ( $\pm$ 15.04)	0.89 ( $\pm$ 0.12)
5–9	6 (0.61)	71.92 ( $\pm$ 14.87)	0.92 ( $\pm$ 0.09)
10+	2 (0.20)	59.11 ( $\pm$ 10.45)	0.78 ( $\pm$ 0.00)
Frequency of severe hypoglycemia within 1 year			
Missing	1 (0.10)	89.66	1.00
0	966 (98.77)	79.37 ( $\pm$ 13.84)	0.93 ( $\pm$ 0.11)
1–3	11 (1.12)	68.79 ( $\pm$ 10.97)	0.81 ( $\pm$ 0.18)
Visual acuity—right eye			
Excellent	314 (32.11)	81.56 ( $\pm$ 13.11)*	0.94 ( $\pm$ 0.09)*
Good	453 (46.32)	79.40 ( $\pm$ 13.82)	0.93 ( $\pm$ 0.11)
Fair	165 (16.87)	76.25 ( $\pm$ 13.92)	0.89 ( $\pm$ 0.14)
Poor	35 (3.58)	73.50 ( $\pm$ 16.02)	0.87 ( $\pm$ 0.13)
Very poor	11 (1.12)	71.65 ( $\pm$ 13.61)	0.83 ( $\pm$ 0.16)
Visual acuity—left eye			
Excellent	315 (32.21)	81.71 ( $\pm$ 13.27)*	0.94 ( $\pm$ 0.09)*
Good	442 (45.19)	79.42 ( $\pm$ 13.38)	0.93 ( $\pm$ 0.10)
Fair	179 (18.30)	75.95 ( $\pm$ 14.78)	0.89 ( $\pm$ 0.14)
Poor	31 (3.17)	72.11 ( $\pm$ 15.18)	0.87 ( $\pm$ 0.14)
Very poor	11 (1.12)	77.07 ( $\pm$ 13.68)	0.85 ( $\pm$ 0.15)
Visual acuity—both eyes			
Excellent	319 (32.62)	82.12 ( $\pm$ 12.87)*	0.94 ( $\pm$ 0.09)*
Good	485 (49.59)	78.81 ( $\pm$ 13.85)	0.93 ( $\pm$ 0.11)
Fair	150 (15.34)	76.46 ( $\pm$ 13.51)	0.89 ( $\pm$ 0.13)
Poor	21 (2.15)	66.13 ( $\pm$ 18.79)	0.77 ( $\pm$ 0.17)
Very poor	3 (0.31)	80.46 ( $\pm$ 9.43)	0.85 ( $\pm$ 0.17)
Numbness of the toes or feet			
None	800 (5.42)	80.30 ( $\pm$ 13.34)*	0.94 ( $\pm$ 0.09)*
One or both sides	178 (12.78)	74.59 ( $\pm$ 15.13)	0.83 ( $\pm$ 0.14)
Throbbing, burning, or stabbing pain on the toes or the sole			
None	897 (3.58)	79.88 ( $\pm$ 13.53)*	0.93 ( $\pm$ 0.10)*

**Table 2** continued

	Number (%)	DTR-QOL totalMean	EQ-5DMean
One or both sides	81 (4.70)	72.41 ( $\pm$ 15.56)	0.84 ( $\pm$ 0.16)
Hypersensitivity in the feet			
None	901 (3.27)	79.72 ( $\pm$ 13.49)*	0.93 ( $\pm$ 0.11)*
One or both sides	77 (4.70)	73.94 ( $\pm$ 16.73)	0.82 ( $\pm$ 0.15)
Current health status			
Excellent	18 (1.84)	88.75 ( $\pm$ 11.18)*	0.95 ( $\pm$ 0.07)*
Very good	190 (19.43)	87.2 ( $\pm$ 11.36)	0.97 ( $\pm$ 0.06)
Fair	635 (64.93)	78.87 ( $\pm$ 12.62)	0.94 ( $\pm$ 0.09)
Poor	130 (13.29)	69.34 ( $\pm$ 14.64)	0.8 ( $\pm$ 0.15)
Very poor	5 (0.51)	51.03 ( $\pm$ 21.12)	0.62 ( $\pm$ 0.05)

\* $p \leq 0.001$ ;  $p$  values signify differences between having the condition or not, or across response categories (when categorical)

or BMI level, nor did the values correspond to the degree of clinical risk factors (Fig. 3)

In order to better interpret the results, several exploratory regression models were constructed. Factors most strongly associated with EQ-5D-5L scores included neuropathy, diabetic foot with gangrene, and peripheral arterial disease. The average DTR-QOL score was  $79.26 \pm 13.85$  out of a total score of 100 (Table 3). Similar to the EQ-5D-5L utility findings, gangrene of the foot (61.66) and blindness (57.14) had the lowest scores.

Values of the DTR-QOL total score demonstrated the largest differences with therapy type, where patients on diet and exercise had an average score of 83.21 compared to a score of 70.45 for the injectable therapy group (Fig. 4). Statistically significant differences were observed in the DTR-QOL total score utility values when stratified by the HbA1c 7% threshold, type of therapy, age, or BMI level, whereas this was only the case for the EQ-5D-5L values for BMI and type of therapy (Figs. 3, 4). This confirms the sensitivity of the DTR-QOL questionnaire to detect differences in key elements of diabetes therapy.

## DISCUSSION

This study examined the patient characteristics, utility values for various comorbid conditions using EQ-5D-5L, and disease-specific patient-reported outcomes using DTR-QOL for patients with type 2 diabetes who are currently undergoing treatment in Japan.

Our findings reported an average utility value close to a perfect score (0.92) within a range of 0.32–1.00 with 60.33% reporting perfect health (1.00), despite almost two-thirds of patients having one or more comorbid condition or complication. A previous study in Japan found similarly high scores for patients with diabetes even across different measures such as EQ-5D-3L and EQ-5D-5L [20]. Compared to existing literature outside of Japan, the utility values were much higher [21]. For example, in a UK study published in 2002, the overall score for those without any comorbid condition was 0.785, and 0.505 for those with an amputation, while our study reported a score of 0.780 for foot amputation patients [22]. In previous literature from Japan among patients with T2DM assessed with EQ-5D-3L, the overall utility score



**Table 3** DTR-QOL and EQ-5D-5L utility scores by condition

	<i>n</i> (%)	Usual activities Mean	Anxiety and dissatisfaction Mean	Hypoglycemia Mean	Satisfaction Mean	DTR-QOL total Mean	EQ-5D Mean
Total population	978 (100.0)	81.07 (± 17.46)	69.49 (± 21.57)	83.72 (± 22.25)	63.42 (± 21.05)	79.26 (± 13.85)	0.92 (± 0.11)
Any comorbid conditions							
Yes	606 (62.00)	79.11 (± 18.14)	66.87 (± 22.25)	81.59 (± 23.27)	62.61 (± 20.56)	77.54 (± 14.24)	0.91 (± 0.12)
No	372 (38.00)	84.25 (± 15.82)	73.76 (± 19.71)	87.20 (± 20.03)	64.74 (± 21.79)	82.06 (± 12.72)	0.95 (± 0.09)
Neuropathic disorder	361 (36.91)	78.78 (± 18.90)	66.82 (± 22.55)	80.79 (± 23.79)	63.35 (± 20.29)	77.40 (± 14.76)	0.89 (± 0.14)*
Retinopathy							
Simple	152 (15.54)	79.43 (± 17.53)	66.04 (± 21.57)	80.46 (± 25.21)	61.79 (± 19.93)	77.24 (± 13.67)*	0.93 (± 0.11)*
Pre-proliferation	19 (1.94)	76.18 (± 16.93)	53.18 (± 18.97)	74.12 (± 26.56)	55.92 (± 15.98)	71.51 (± 11.67)	0.93 (± 0.09)
Proliferation	73 (7.46)	68.67 (± 19.2)	58.93 (± 21.87)	72.83 (± 25.78)	58.56 (± 18.37)	70.14 (± 14.49)	0.85 (± 0.13)
Blindness	1 (0.10)	61.54	31.25	37.50	62.50	57.14	0.60
History of photocoagulation	107 (10.94)	73.29 (± 18.67)	59.6 (± 22.55)	76.29 (± 26.02)	63.31 (± 20.49)	72.56 (± 14.04)*	0.87 (± 0.12)*
History of vitreous surgery	31 (3.17)	70.60 (± 18.35)	56.65 (± 22.14)	71.77 (± 26.78)	58.6 (± 19.72)	70.22 (± 15.08)*	0.84 (± 0.12)*
Nephropathy							
Stage 1	625 (63.91)	82.61 (± 16.35)	71.29 (± 20.99)	85.19 (± 21.04)	64.32 (± 21.37)	80.56 (± 13.44)*	0.94 (± 0.10)*
Stage 2	201 (20.55)	80.55 (± 17.55)	66.95 (± 23.28)	84.39 (± 21.37)	61.75 (± 20.82)	78.34 (± 14.02)	0.93 (± 0.11)
Stage 3	64 (6.54)	75.14 (± 19.89)	66.08 (± 22.25)	78.12 (± 24.35)	61.98 (± 20.62)	75.35 (± 14.72)	0.90 (± 0.13)
Stage 4	21 (2.15)	66.18 (± 19.72)	56.65 (± 21.13)	64.29 (± 24.56)	59.92 (± 19.43)	67.79 (± 14.95)	0.83 (± 0.15)
Dialysis	18 (1.84)	73.79 (± 19.48)	65.63 (± 19.34)	70.14 (± 33.68)	63.89 (± 14.85)	74.00 (± 12.74)	0.78 (± 0.18)
Stroke	19 (1.94)	75.44 (± 16.68)	65.57 (± 21.48)	78.95 (± 23.47)	61.62 (± 15.81)	75.40 (± 12.97)	0.84 (± 0.15)
Coronary artery disease	46 (4.70)	74.78 (± 18.83)	63.5 (± 21.41)	76.99 (± 26.42)	57.25 (± 20.17)	73.90 (± 13.38)	0.90 (± 0.13)

Table 3 continued

	<i>n</i> (%)	Usual activities Mean	Anxiety and dissatisfaction Mean	Hypoglycemia Mean	Satisfaction Mean	DTR-QOL total Mean	EQ-5D Mean
Acute heart failure	6 (0.61)	69.87 (± 20.10)	62.15 (± 22.91)	59.72 (± 39.50)	61.81 (± 14.77)	70.20 (± 17.97)	0.85 (± 0.10)
Foot amputation	3 (0.31)	60.26 (± 15.60)	63.19 (± 16.18)	75.00 (± 30.05)	62.50 (± 39.75)	68.64 (± 14.3)	0.78 (± 0.19)
Diabetic foot with gangrene	6 (0.61)	55.56 (± 18.98)	55.56 (± 20.36)	59.72 (± 19.31)	49.31 (± 19.62)	61.66 (± 14.05)	0.66 (± 0.23)*
Peripheral arterial disease	8 (0.82)	58.81 (± 23.07)	55.73 (± 18.96)	67.19 (± 28.82)	67.71 (± 16.48)	66.01 (± 13.45)	0.75 (± 0.24)*
Severe hypoglycemia	2 (0.20)	91.03 (± 12.69)	63.54 (± 16.2)	58.33 (± 58.93)	52.08 (± 2.95)	77.34 (± 15.33)	0.89 (± 0.16)

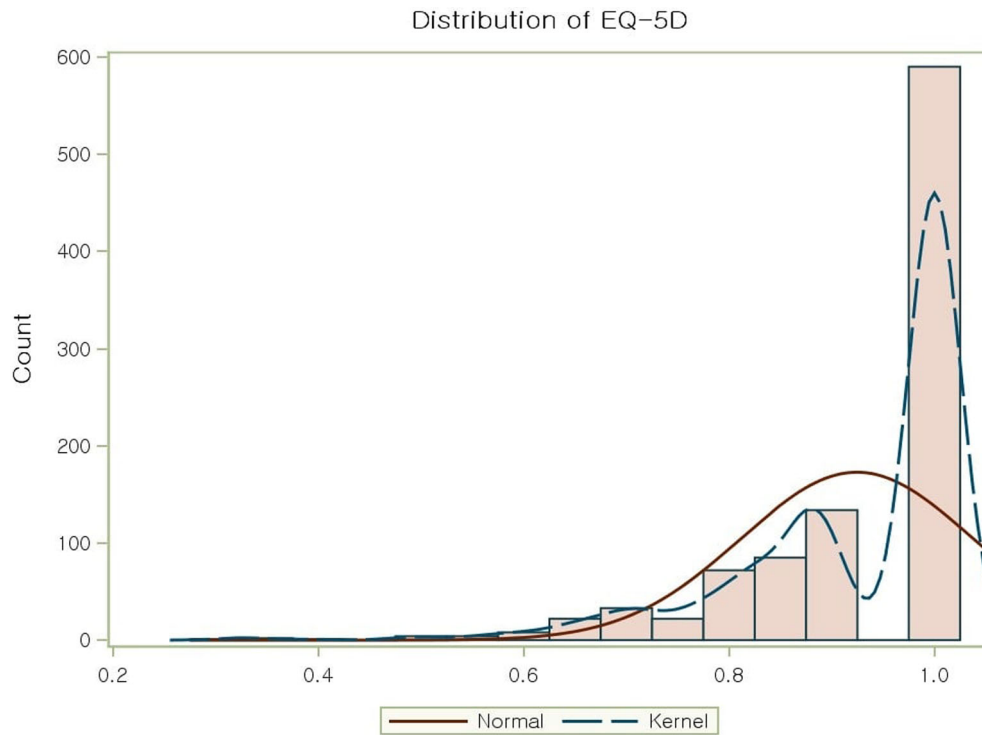
\* $p \leq 0.001$ ;  $p$  values signify differences between having the condition or not, or across response categories (when categorical)

ranged between 0.846 and 0.930. The majority of these patients did not report any problems on the questionnaire. There was also a similar difference between EQ-5D scores and EQ-VAS scores in comparison to the difference in the present study (0.12 vs 0.14) [11]. These findings illustrate that Japan utility values are relatively higher than studies in other settings. This may imply that Japanese patients tend to perceive their health in a better condition compared to the perceptions of patients in other countries.

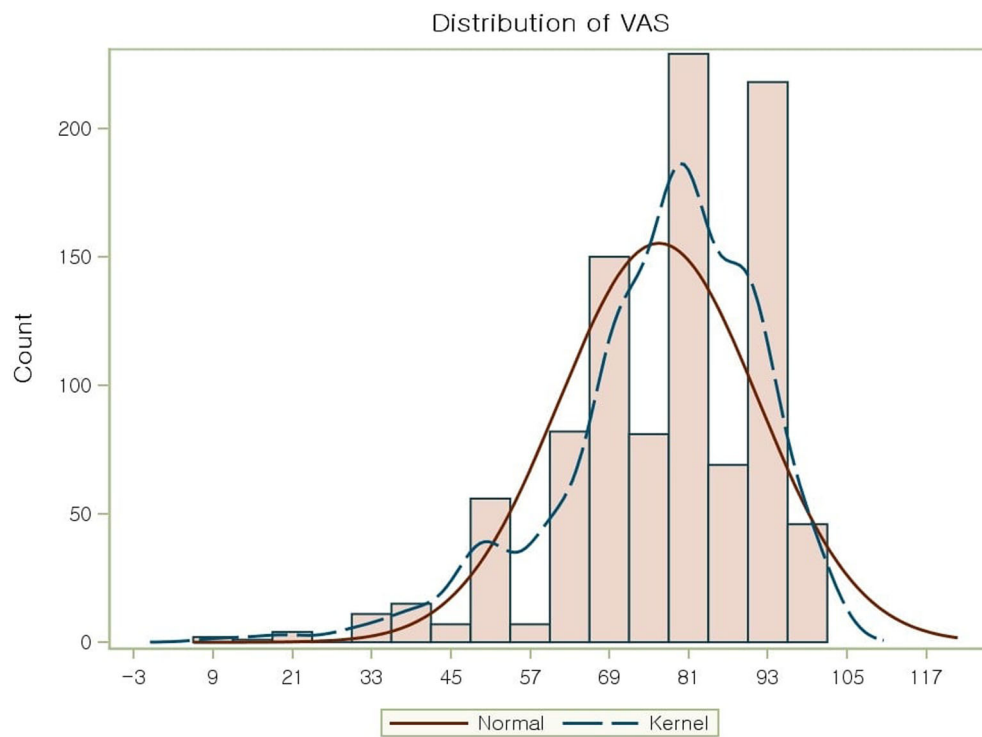
Alternatively, the generic and broad nature of the five items of the EQ-5D-5L may lack sensitivity in the Japanese context for the concerns most salient to the T2DM indication in comparison to DTR-QOL, which was developed specifically to address patients with T2DM. The average DTR-QOL score was sensitive to detect differences in patients with T2DM with a variety of complications, risk factors, and treatment, in part because of the items related to T2DM-specific treatment and related anxieties. This finding re-emphasizes the importance of utilizing a disease-specific measure in addition to a generic measure, especially for evidence generation related to disease-specific regulatory submission. Studies have also shown that the utility values and DTR-QOL scores corresponded to the degree of severity. For example, patients with stage 1 nephropathy reported a higher utility score than stage 4 patients, and patients with foot amputation had a lower score than those without one [23]. This finding is an important reference amid the emerging needs for local utility data in preparation for CEA submissions.

In contrast to the DTR-QOL questionnaire, the EQ-5D-5L measure was only able to demonstrate statistical significance for type of therapy and BMI level, as presented in Figs. 3 and 4. For example, the lower age group tended to have a lower QoL value by 0.1 points, while those with an HbA1C level under 7% had a higher utility value by 0.1 points than the 7% or more group. However, the DTR-QOL total scores stratified by HbA1c level, type of therapy, age, and BMI level were all found to be statistically different—indicating sensitivity to detect differences in QoL with these factors.





**Fig. 1** Distribution of EQ-5D-5L scores ( $n = 978$ )



**Fig. 2** Distribution of VAS scores ( $n = 978$ )

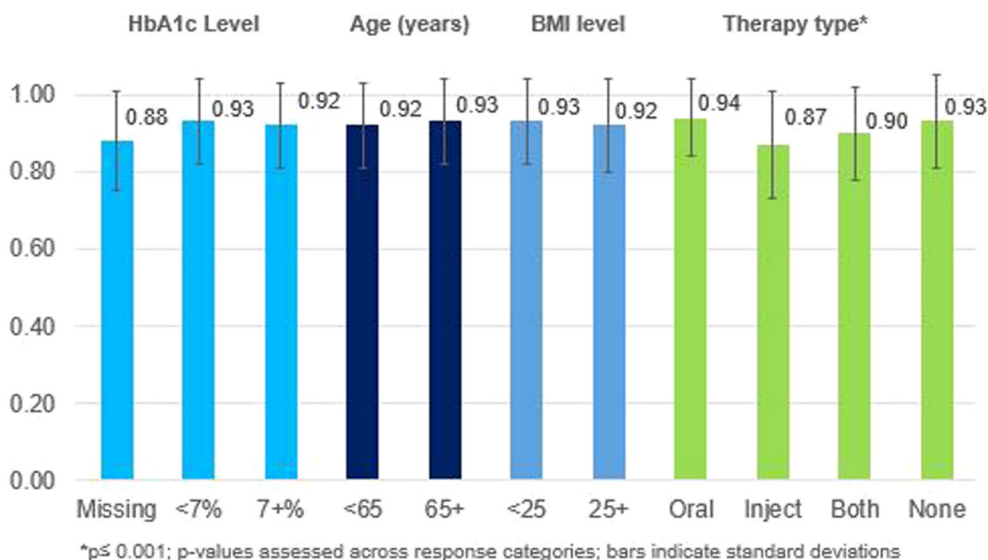


Fig. 3 EQ-5D-5L utility mean scores for T2DM risk factors and treatment type

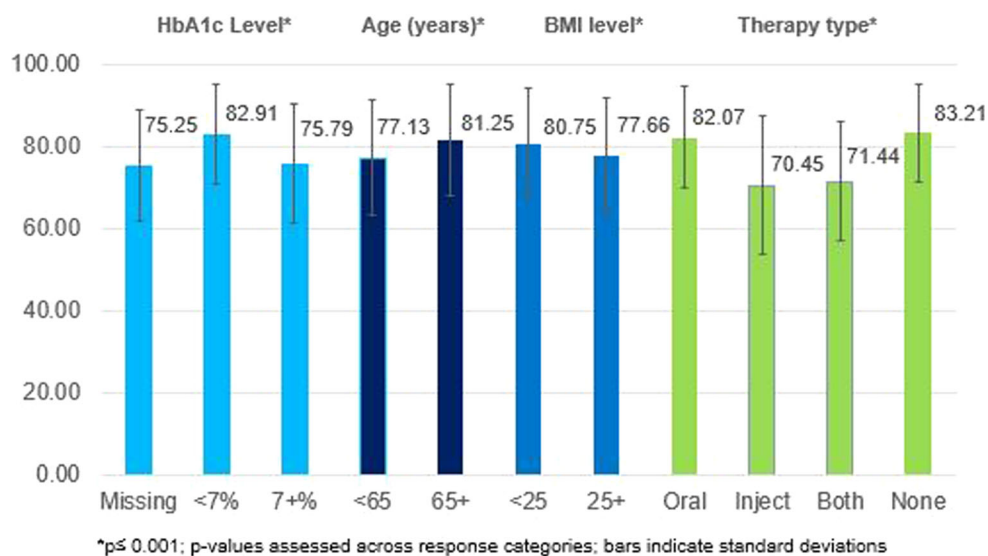


Fig. 4 DTR-QOL utility mean scores for T2DM risk factors and treatment type

Monitoring glycaemic control and body weight or BMI is fundamental in the treatment guidelines by the Japan Diabetes Society [24]. The DTR-QOL is able to detect differences in these factors as well as treatment type which are a focus of day-to-day patient impact and can subsequently affect longer-term complications. The DTR-QOL is also able to detect differences in microvascular complications, similar to the EQ-5D-5L. The EQ-5D-5L is a more generic QoL

measure, and therefore may be better suited for evaluating the maintenance of QoL compared to patients without diabetes, the final objective of treatment. Therefore, these measures appear to complement each other, with the DTR-QOL covering the fundamental factors associated with diabetes and its treatment through detecting the impact of microvascular and atherosclerotic complications.

The EQ-5D-5L has better coverage of these complications and overall QoL for comparison to other patient populations. A large prospective observational study of patients with diabetes in 17 countries found considerable heterogeneity in self-rated health in different geographies across several QoL-related domains. Japanese patients were found to have the lowest levels of patient engagement and empowerment among all countries studied [25]. Patients with low engagement, defined as low awareness of diabetes-related pathologies and the need to take preventative measures, may not express concerns about their conditions which could negatively impact sensitivity of some QoL scales to detect differences among Japanese patients with different complications and comorbidities. Our exploratory analysis results suggested that neuropathy, diabetic foot with gangrene, and peripheral arterial disease were most strongly associated with the levels of utility values. Further investigation of factors that are associated with utility value levels would benefit development of cost-effectiveness models.

A limitation of this study was that the population investigated appears to be relatively healthy, limiting our ability to assess the impact of more severe complications. Extensive testing with inferential statistics was outside the scope of this study. Psychometric analysis and comparison of the measures used in this study would be valuable, requiring further investigation. Another limitation was that this study did not account for timing of complications as patients may have adjusted or acclimated to their severe consequences which would be reflected in the relatively small decrement in utility. Also, for certain conditions such as severe hypoglycemia, it was difficult to generalize the utility score because of the small sample size ( $n = 2$ ). So even in a study of this size, critical utility values for CEAs within diabetes could not all be identified.

## CONCLUSIONS

This is the first study to our knowledge to report QoL values in a variety of comorbid diabetic conditions in Japan by utilizing the EQ-5D-5L

and DTR-QOL questionnaires on a large sample of patients. Comparison of the results identifies disease-specific characteristics that a generic QoL measure cannot fully capture. Values derived from the EQ-5D-5L can be utilized by researchers involved in CEA as a benchmark as most the values associated with complications and comorbidities were not available until now. Practicing clinicians can utilize the EQ-5D-5L to compare their patients to those without diabetes to glean insights into T2DM impairments or utilize the DTR-QOL to monitor the day-to-day impact from treatments which can subsequently affect longer-term outcomes. In addition to gaining insights into the patients' perspective, these findings may also be useful for cost-effectiveness modeling of patients with T2DM in Japan to meet the newly developed HTA requirements.

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**Data Availability.** The datasets generated during and/or analyzed during the current study are not publicly available due to the wording of the informed consent form (ICF).

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