

FEATURED ARTICLE

Brain imaging measurements of fibrillar amyloid- β burden, paired helical filament tau burden, and atrophy in cognitively unimpaired persons with two, one, and no copies of the APOE ϵ 4 allele

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Abstract

Introduction: We previously characterized associations between brain imaging measurements of amyloid- β ($A\beta$) plaque burden and apolipoprotein E (*APOE*) ϵ 4 gene dose in a small number of cognitively unimpaired late-middle-aged *APOE* ϵ 4 homozygotes (HMs), heterozygotes (HTs), and noncarriers (NCs). We now characterize cross-sectional $A\beta$ plaque, tau tangle, and cortical atrophy (neurodegeneration) measurements, classifications, and associations with age in a larger number of unimpaired HMs, HTs, and NCs over a wider age range.

Methods: We analyzed ¹¹C Pittsburgh compound B ($A\beta$) positron emission tomography (PET), flortaucipir (tau) PET, and volumetric magnetic resonance imaging data from 164 study participants of age 47–86 years, including 26 *APOE* ϵ 4 HMs, 48 HTs, and 90 NCs matched for age and sex.

Results: $A\beta$ PET measurements rose, plateaued at the respective ages of 68 and 76, and then declined with age in unimpaired HM and HT groups. Compared with NCs, these two groups began to have significantly higher $A\beta$ PET measurements at ages 62 and 70, respectively, and no longer had significantly higher measurements by ages 71 and 78, respectively. They began to have significantly higher entorhinal cortex tau PET measurements at ages 66 and 70, respectively, and no longer had significantly higher measurements by ages 74 and 78, respectively. Brain atrophy measurements tended to decline slowly with age in all three genetic groups. Their elevated tau PET

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measurements were attributable to those with positive $A\beta$ PET scans. 41.0%, 18.0%, and 5.0% of the 47- to 70-year-old HMs, HTs, and NCs and 25.0%, 79.0%, and 38.0% of the 71- to 86-year-old HMs, HTs, and NCs had positive $A\beta$ PET scans, and the long-term recall memory scores are significantly higher in the older HMs than in HT and NC groups, suggesting resistance to $A\beta$ deposition in those HMs who remained unimpaired at older ages.

Conclusions: This study provides information about $A\beta$ plaque burden, tau tangle burden, and neurodegeneration in cognitively unimpaired persons at three levels of genetic risk for AD. Unimpaired $APOE \epsilon 4$ HMs can be studied before their 70s to evaluate the understanding of factors, processes, and interventions involved in the *predisposition* to and prevention of AD, and after their 70s, to discover factors, processes, and interventions involved in the *resilience or resistance* to and prevention of AD.

KEYWORDS

$APOE$, Amyloid, Tau, Neurodegeneration, PET, MRI, Biomarkers, Alzheimer's, Prevention

Alzheimer's disease (AD) is the most common form of dementia at older ages.^{1,2} Neuropathologically, AD is characterized by neuritic plaques, composed of fibrillar amyloid- β ($A\beta$); neurofibrillary tangles, composed of paired-helical filament (PHF) tau; and synaptic and neuronal loss.³⁻⁵

The apolipoprotein E ($APOE \epsilon 4$) allele is the major susceptibility gene for late-onset AD.^{6,7} $APOE \epsilon 4$ gene dose (i.e., the number of $\epsilon 4$ alleles in a person's $APOE$ genotype) is associated with a higher risk and earlier age at onset of AD biomarker changes, cognitive decline, and dementia.⁸⁻¹³ For more than two decades (starting before the advent of $A\beta$ and tau positron emission tomography [PET] methods), we (E.M.R. and R.J.C.) and our Arizona $APOE$ Cohort study colleagues have been characterizing brain imaging and cognitive measurements from an $\epsilon 4$ homozygote (HM)-enriched, longitudinally assessed cohort of initially 47- to 68-year-old persons with two, one, and no copies of the $APOE \epsilon 4$ allele, representing three levels of genetic risk for the disease.^{10,14-20} Meantime, our Mayo Clinic Rochester colleagues (R.C.P., C.R.J., and D.K.) have been characterizing brain imaging and cognitive measures in the Mayo Clinic Study of Aging from a population-based, longitudinally assessed cohort, including but not limited to cognitively unimpaired persons, who have had $A\beta$ PET, tau PET, FDG PET, and magnetic resonance imaging (MRI) scans over a wider age range.²¹⁻²³

We previously characterized brain imaging measurements of amyloid- β ($A\beta$) plaque burden in 28 cognitively unimpaired late-middle-aged (57-72 years) $APOE \epsilon 4$ HMs, heterozygotes (HTs), and noncarriers (NCs)¹⁰ from the Arizona $APOE$ Cohort. In this study, we analyzed brain imaging measurements of $A\beta$ plaque burden (A), tau tangle burden (T), and cortical atrophy/neurodegeneration (N) in 164 unimpaired HMs, HTs, and NCs from the Arizona $APOE$ and Mayo Clinic Study of Aging Rochester cohorts over a wider age range (47-86 years).

First, we characterized associations between $A\beta$ and tau PET measurements with age in the HMs, HTs, and NCs, and we characterized

the ages at which those measurements in the HM and HT groups began to be distinguished from NCs, plateaued, and were no longer distinguished from NCs. Second, we compared $A\beta$ PET, tau PET, cortical atrophy, long-term recall memory measurements, and A/T(N) classifications²³ in the overall HM, HT, and NC groups, and we explored the possibility that observed entorhinal tau elevations in HM and HT groups were attributable to those with a positive $A\beta$ PET scan. Finally, we conducted a *post hoc* analysis of biomarker measurements and classifications in 47-70 and 71-86 year-old subgroups, corresponding roughly to the respective ages at which $A\beta$ PET measurements rose and declined. The subgroup analysis was intended to provide a foundation for using AD biomarkers as endophenotypes to help clarify mechanisms by which to-be-discovered resilience or resistance factors that permit certain HM and HT groups to remain cognitively unimpaired at older ages.²⁴⁻²⁷

1 | METHODS

1.1 | Study participants

We analyzed cross-sectional ¹¹C Pittsburgh compound B (PiB) PET, flortaucipir (FTP) PET, and T1-weighted MRI scans; Mini-Mental State Examination (MMSE) scores; and auditory verbal learning test (AVLT) long-term delayed recall scores from 164 cognitively unimpaired volunteers aged 47-86 years, including 26 $APOE \epsilon 4$ HMs, 48 HTs, and 90 NCs (Table 1). Participants included 15, 26, and 43 HMs, HTs, and NCs, 48-85 years old, from the Arizona $APOE$ Cohort and 11, 22, and 47 HMs, HTs, and NCs, 47-86 years old, from the Mayo Clinic Study of Aging cohort, selected to optimize matching to the Arizona $APOE$ Cohort for age, sex, and education. Studies were approved by the Institutional Review Boards of Banner Alzheimer's Institute and Mayo

Clinic Rochester, and the research participants provided informed consent.

APOE $\epsilon 4$ HMs, HTs (all with the *APOE* $\epsilon 3/\epsilon 4$ genotype), and NCs (with the $\epsilon 3/\epsilon 3$ or $\epsilon 3/\epsilon 2$ genotype) from the Arizona *APOE* Cohort were 47–68 years old (entry-age criteria), had a reported first-degree family history of dementia, MMSE scores of 28–30, and no diagnoses of neurological or mental illnesses at time of enrollment. *APOE* genotyping was performed as described previously.^{14,29,30} They have been followed up every two years with medical histories, neurological examinations, a battery of clinical ratings and neuropsychological tests, and a growing number of PET and MRI scans (initially with FDG-PET and added *A β* and tau-PET as these became available), genetic assessments, and cerebrospinal fluid and blood samples. At time of enrollment, each *APOE* $\epsilon 4$ HM was matched to one HT and two NCs for age, sex, and educational level.¹¹ At the time of their PiB and FTP PET scans, they remained cognitively unimpaired and were 66 ± 8 (46–85 years old). Participants were unimpaired at the time of their cognitive assessment and only included in this analysis if they did not meet criteria for mild cognitive impairment (MCI) or dementia.

Participants from the Mayo Clinic Study of Aging cohort (Rochester) underwent equivalent clinical ratings and neuropsychological tests,^{21,23,31} and included cognitively unimpaired *APOE* $\epsilon 4$ HMs, HTs with $\epsilon 3/\epsilon 4$ genotype, and NCs with $\epsilon 3/\epsilon 3$ or $\epsilon 2/\epsilon 3$ genotype. Inclusion of data from these participants made it possible to optimize matching according to age, sex, and education for the present study. They were 60 ± 7 (47–68) years old at the time of their reported PiB, FTP PET, and MRI scans.

1.2 | Brain imaging

The performance sites' PiB PET, FTP PET, and volumetric T1-weighted MRI acquisition methods and scanners and study's image processing platforms, cerebral and reference regions of interest, and image analysis methods are described in Supplementary Material 1. Briefly, the Statistical Parametric Mapping 12 (SPM12) platform was used to preprocess all images, and the Mayo Clinic Adult Lifespan Template (MCALT) and its modified Automated Anatomic Labeling atlas were used to normalize coregistered MRI scans and extract PET data from regions of interest. FreeSurfer 6 was used to extract MRI measurements of cortical thickness and bilateral hippocampal-to-total intracranial volume ratios. Composite cortical-to-cerebellar crus PiB standard uptake value ratios (SUVRs), composite cortical tau, entorhinal cortex (ERC), and inferior temporal cortex (ITC)-to-cerebellar crus FTP SUVRs, and hippocampal volume ratios were used to compare *A β* plaque, tau tangle, and regional brain volumes in each genetic group. ERC FTP SUVRs were used to compare tau tangle measurements and age associations in the three groups because of its sensitivity to detect tau burden in preclinical AD and its association with memory-related cognitive testing in unimpaired participants.^{5,32–34}

Cortical-to-cerebellar crus PiB SUVRs, cortical tau-to-cerebellar crus FTP SUVRs, and cortical gray matter thickness measurements were used to classify each image as positive or negative, as

RESEARCH IN CONTEXT

1. Systematic review: The authors reviewed the literature using traditional (e.g., PubMed) sources.
2. Interpretation: This study provides information about *A β* -PET, tau-PET, and volumetric MRI measurements, their associations with age, and amyloid/tau/ (neurodegeneration) classifications in a relatively large number of apolipoprotein E (*APOE*) $\epsilon 4$ homozygotes (HMs), heterozygotes (HTs), and noncarriers (NCs) and over a relatively large middle-to-older age range. The highlighted findings complement published neuropathological studies in *APOE* $\epsilon 4$ HMs, heterozygotes, and noncarriers, prior brain imaging and cognitive findings from the Arizona *APOE* Cohort, and brain imaging and biomarker studies in cognitively unimpaired research participants, most of which include fewer HMs, fewer biomarkers, and a narrower age range.
3. Future directions: Additional studies are needed to detect and track the biomarker and cognitive changes associated with *APOE* $\epsilon 4$ gene dose at different ages, clarify the prognostic value of measurements in each of these groups, further inform the study of risk factors and prevention therapies in unimpaired HMs at younger ages, and advance the discovery of factors, mechanisms, and interventions that promote resistance or resilience to AD in HMs who remain unimpaired at older ages.

previously described,²⁸ and based on the proposed A/T(N) framework.³⁵ *A β* positivity was defined using PiB SUVRs ≥ 1.42 threshold, which correspond to at least moderately frequent neuritic plaques. Tau and neurodegeneration positivity were defined using cortical tau SUVR and cortical thickness thresholds >1.23 and ≤ 2.67 mm, respectively;²⁸ these thresholds were based on their ability to distinguish cognitively impaired patients with a positive *A β* PET scan from cognitively unimpaired young adults.^{23,28}

1.3 | Statistical analysis

The three genetic groups were compared in terms of their age, sex, educational level, MMSE scores, AVLT long-term memory recall scores, brain imaging measurements and classifications, and associations with age. Statistical analyses were performed using SPSS version 23.0 (IBM-SPSS Inc., Chicago, IL). Analysis of covariance with pairwise Fisher's least significance difference comparisons or χ^2 tests were used to compare participant characteristics, clinical ratings, neuropsychological test scores, brain imaging measurements, and the proportions of participants with positive *A β* , tau, and neurodegeneration images in each genetic group. Analyses of covariance included adjustment for

TABLE 1 Participant characteristics in cognitively unimpaired APOE ε4 HMs, HTs, and NCs at ages 47–86, 47–70, and 71–86

	(a) Ages 47–86				(b) Ages 47–70				(c) Ages 71–86			
	HMs, n = 26	HTs, n = 48	NCs, n = 91	P	HMs, n = 22	HTs, n = 34	NCs, n = 74	P	HMs, n = 4	HTs, n = 14	NCs, n = 16	P
Age (range)	62 ± 9 (48–86)	64 ± 9 (47–82)	63 ± 8 (50–85)	.54	59 ± 7 (48–70)	60 ± 6 (47–69)	61 ± 5 (50–70)	.51	77 ± 7 (71–86)	76 ± 3 (72–82)	75 ± 4 (71–85)	.88
Female (%)	73%	75%	74%	.97	77%	85%	75%	.45	50%	50%	69%	.54
Education	15.5 ± 2.6	15.3 ± 2.4	15.6 ± 2.0	.72	16.0 ± 1.8	14.6 ± 2.3	15.3 ± 1.9	.04 [†]	12.8 ± 4.6	16.8 ± 2.1	16.9 ± 2.2	.02 [§]
MMSE	29.5 ± 0.8	29.4 ± 0.9	29.4 ± 0.8	.77	29.5 ± 0.6	29.4 ± 0.9	29.4 ± 0.8	.70	30.1 ± 1.4	29.4 ± 0.9	29.4 ± 1.0	.58
Long-term recall memory [#]	10.1 ± 3.0	9.4 ± 3.4	9.5 ± 3.5 ^{**}	.67	9.6 ± 2.7	10.4 ± 3.0	9.9 ± 3.4 ^{**}	.59	12.4 ± 4.3	7.1 ± 3.0	8.0 ± 4.0	.04
Aβ positive ^{††}	39%	35%	11%	.001 [*]	41%	18%	5%	2e ⁻⁴ [‡]	25%	79%	38%	.04 [¶]
Tau positive ^{††}	19%	27%	20%	.59	14%	12%	18%	.72	50%	64%	31%	.19
Neurodegeneration positive ^{††}	8%	21%	14%	.31	5%	12%	8%	.63	25%	43%	44%	.78

NOTE. ANCOVA 2-tailed tests were used to compare continuous variables (MMSE and AVLT long-term recall memory scores adjusted for performance site, age, sex, and education), and χ^2 test for categorical variables (sex, Aβ, tau, and neurodegeneration positivity) in (a) 47- to 86-year-old, (b) 47- to 70-year-old, and (c) 71- to 86-year-old age range. Mean ± SD, and χ^2 P values are listed.

Abbreviations: Aβ, amyloid β; ANCOVA, analysis of covariance; APOE, apolipoprotein E; AVLT, Auditory Verbal Learning Test; HM, homozygote; HT, heterozygote; NC, noncarrier.

*HM & HT > NC ($P \leq .001$)

†HM > HT

‡HM > HT & NC ($P \leq .06$)

§HM < HT & NC

||HM > HT & NC

¶HT > HM & NC ($P < .05$)

#Auditory Verbal Learning Test (AVLT) long-term recall memory scores.

**One NC did not have a score.

††Aβ, tau, and neurodegeneration-positive images were defined using cortical PiB SUVRs ≥ 1.42 , cortical flortaucipir SUVRs > 1.23 , and cortical thicknesses ≤ 2.67 mm, as previously described.²⁸

age, performance site, sex, and educational level. Linear trends were used to assess associations with APOE ε4 gene dose and refer to P values between .05 and .10 as “nonsignificant trends.”

1.3.1 | Associations with age

Nonparametric local regression (LOESS) curves and 95% confidence intervals³⁶ were used to characterize a) age associations with cortical PiB SUVRs, ERC, ITC, and cortical tau FTP SUVRs, hippocampal gray matter volumes and cortical thickness in each genetic group; and b) ages at which SUVRs in the HM and HT groups began to be significantly higher than in the NCs, plateaued, and were no longer significantly higher than in the NCs (Fig. 1; Supplementary Figs. 1–2). ERC FTP SUVRs were the prioritized tau PET measurements for the reasons noted above. LOESS fitting was performed using the R software (version 3.4.1; www.r-project.org).

1.3.2 | Measurements and classifications in the overall, younger, and older age ranges

Participant characteristics, MMSE and AVLT long-term memory recall scores, brain imaging measurements, Aβ, tau, and neurodegeneration

positivity percentages, and A/T(N) classifications were compared in the overall 47- to 86-year-old age range. As noted previously, these variables were assessed *post hoc* in the younger 47- to 70-year-old and older 71- to 86-year-old age ranges, corresponding roughly to the rise and fall of Aβ PET measurements and dementia onset^{24–26,37} in the HM group.

2 | RESULTS

2.1 | Participant characteristics

As shown in Table 1, APOE ε4 HM, HT, and NC groups did not differ significantly in age, sex, or MMSE scores in the overall, younger, and older age ranges. Although they did not differ significantly in educational level or AVLT long-term recall memory scores in the overall age range, HMs had significantly higher educational levels than HT groups in the younger age range and significantly lower educational levels but higher recall memory scores than HT and NC groups in the older age range ($P < .05$, adjusted for age, sex, performance site, education, Aβ positivity, and ERC tau PET measurements, uncorrected for multiple comparisons).

There were only four HMs in the unimpaired older group, which could be due to our exclusion of participants who had already become

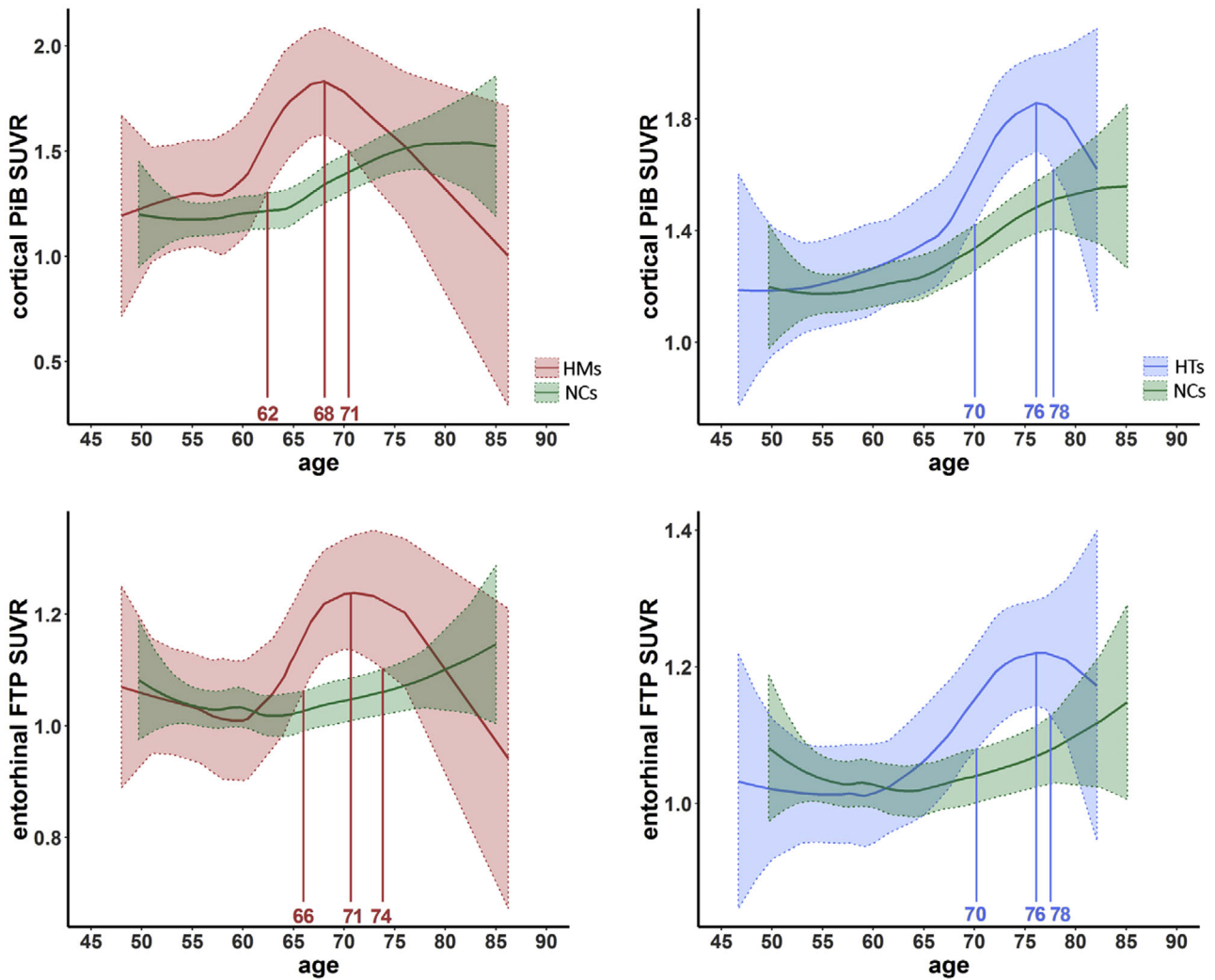


FIGURE 1 Relationships between $A\beta$ plaque and tau tangle PET measurements and age in $APOE \epsilon 4$ HMs, HTs, and NCs. LOESS-fitted curves and 95% confidence intervals for cortical PiB SUVRs and ERC FTP SUVRs in HMs versus NCs and HTs versus NCs. Ages at which these measurements in the HMs and HTs became significantly greater than in NCs, plateaued in HMs and HTs, and were no longer significantly greater than in the NCs are shown in top and bottom panels. Abbreviations: $A\beta$, amyloid β ; HM, homozygote; HT, heterozygote; NC, noncarrier; ERC, entorhinal; FTP, flortaucipir; SUVR, standard uptake value ratio.

impaired and a historical emphasis on the enrollment of younger participants in the Arizona $APOE$ Cohort, some of whom have not reached older ages. Supplementary Table 1 illustrates the potential impact of differential survivor bias on our findings: 24.0%, 9.5%, and 3.7% of initially enrolled late-middle-aged cognitively unimpaired HM, HT, and NC groups in the Arizona $APOE$ Cohort who subsequently progressed to MCI due to possible AD, respectively ($P < .001$), met MCI criteria at the respective ages of 69, 75, and 77 ($P < .001$), and were excluded from this analysis.

2.2 | Associations with age

Associations between respective $A\beta$ plaque, tau tangle, and brain atrophy measurements with age, in the $APOE \epsilon 4$ HM, HT, and NC groups are shown using LOESS curves and 95% confidence intervals in Fig. 1 and

Supplementary Figures 1 and 2 (wider 95% confidence intervals at the youngest and oldest ages are at least partly due to fewer participants at those ages). In general, $A\beta$ and tau PET measurements rose, plateaued, and declined with age in the HM and HT groups and rose more slowly in the NC group, whereas brain atrophy measurements tended to decline slowly with age in all three genetic groups.

Ages at which $A\beta$ and tau PET measurements in the HM and HT groups began to be significantly different from NCs ("rise"), plateaued, and were no longer significantly different from NCs ("decline") and are also shown in Table 2. In HMs, cortical $A\beta$ PET measurements began to rise at age 62, plateaued at age 68, and declined by age 71. ERC tau PET measurements began to rise at age 66, plateaued at age 71, and declined by age 74. ITC and cortical tau PET measurements plateaued at age 76 but were not significantly different from NCs at any age. HTs generally demonstrated a similar pattern, but with most rises, plateaus, and declines occurring about 4–8 years later. Their cortical $A\beta$ and ERC

TABLE 2 Ages at brain imaging AD biomarker onset, plateau, and decline in cognitively unimpaired APOE ϵ 4 HMs and HTs compared with NCs

Biomarker Measurement	HMs, n = 26			HTs, n = 48		
	Onset age	Plateau age	Decline age	Onset age	Plateau age	Decline age
<i>Aβ</i>						
Cortical PiB	62	68	71	70	76	78
Tau						
Entorhinal FTP	66	71	74	70	76	78
Inferior temporal FTP	-	76	-	70	74	77
Cortical tau	-	76	-	71	74	77
Atrophy						
Hippocampal volume	-	-	-	-	-	-
Cortical thickness	-	-	-	-	-	-

NOTE. Onset is defined by the age at which measurements began to be significantly higher than those in the NC group. Decline is defined by the age at which measurements were not longer significantly higher than in the NC groups. Estimated ages at onset, plateau and decline are likely to be influenced by differential survivor bias (i.e., the exclusion of carriers who became cognitive impaired at younger ages) and sample size (e.g., the impact of sample size on statistical significance).

Abbreviations: *A β* , amyloid β ; APOE, apolipoprotein E; FTP, flortaucipir; HM, homozygote; HT, heterozygote; NC, noncarrier.

tau PET measurements began to rise at age 70, plateaued at age 76, and declined by age 78. ITC and cortical tau PET measurements began to rise at the respective ages of 70 and 71, plateaued at age 74, and declined by age 77.

2.3 | Brain imaging biomarker findings

2.3.1 | Classifications

Table 1 shows the percentages of cognitively unimpaired HM, HT, and NC groups in the overall (47–86), younger (47–70), and older (71–86 year-old) age range who were found to be *A β* , tau, and neurodegeneration-positive using their *A β* PET, tau PET, and MRI scans with the previously described criteria.²⁸

2.3.2 | *A β* positivity

As shown in Table 1 and Fig. 2 (top), 39%, 35%, and 11% of HM, HT, and NC groups in the overall age range, 41%, 18%, and 5% in the younger age range, and 25%, 79%, and 38% of those in the older age range had positive *A β* PET scans, respectively. The percentage of participants with a positive *A β* PET scan was significantly associated with APOE ϵ 4 gene dose (HM > HT > NC) in the overall and younger age ranges (linear trend, $P < .01$), but not in the older age range.

2.3.3 | Tau and neurodegeneration positivity

As shown in Table 1, the percentage of tau-positive and cortical gray matter atrophy/neurodegeneration-positive participants was not significantly different in HM, HT, and NC groups or associated with APOE ϵ 4 gene dose in the overall, younger, and older age range.

2.3.4 | A/T(N) classifications

Table 3 shows the percentage of cognitively unimpaired participants with each of the eight proposed A/T(N) research framework classifications. Although none of the HMs in any age range were A+T+(N)+, 12%, 10%, and 2% of HM, HT, and NC groups in the overall age range and 9%, 3%, and 0% in the younger age range met A/T(N) criteria for AD (i.e., A+T+ irrespective of their N classification), and 27%, 13%, and 6% (overall age range) and 32%, 12%, and 3% (younger age range) met criteria for “AD pathologic change” (i.e., A+T-(N)-), respectively. Thus, the percentage of participants who met A/T(N) criteria for AD and AD pathologic change were each associated with APOE ϵ 4 gene dose (HM > HT > NC) in the overall and younger age ranges (linear trend, $P < .05$), but not in the older age range. Conversely, the percentage of A-T-(N)- participants was lower in HMs than in NCs, inversely associated with APOE ϵ 4 gene dose (HM < HT < NC) in the younger age range (linear trend, $P < .05$), and significantly lower in older than younger participants (attributable to HTs and NCs, $P < .01$, but did not reach significance for HMs).

2.3.5 | Measurements

Table 4 shows PiB PET measurements of cortical *A β* plaque burden, FTP PET measurements of the ERC, ITC, and cortical tau/tangle burden, and MRI measurements of cortical gray matter and hippocampal atrophy in HMs, HTs, and NCs from the overall, younger, and older age ranges.

2.3.6 | PiB PET measurements of *A β* plaque burden

As shown in Table 4 and Fig. 2 (bottom), HM and HT groups had significantly higher cortical PiB SUVrs than NCs in the overall age range.

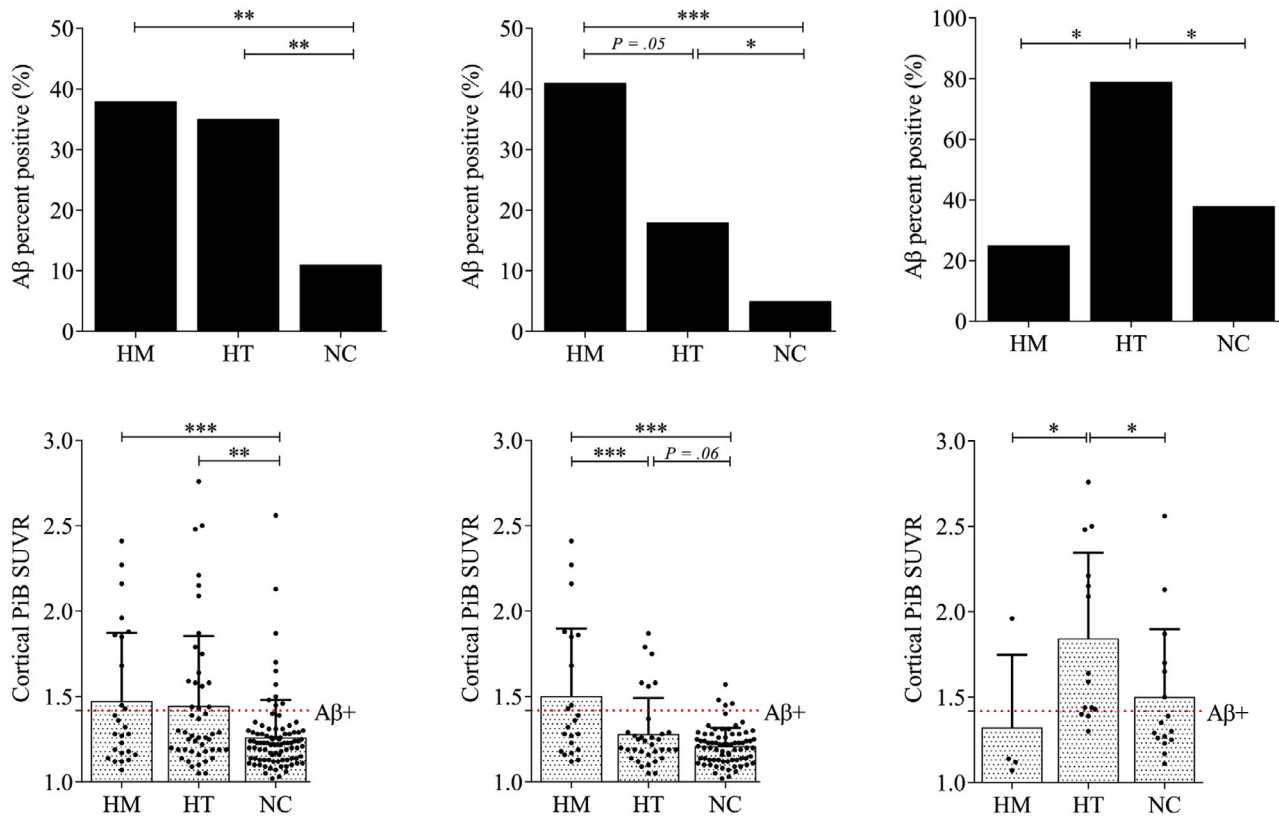


FIGURE 2 Relationships between $A\beta$ plaque classification, measurements, and $APOE \epsilon 4$ gene dose in cognitively unimpaired participants age 47–86, 47–70, and 71–86 years. χ^2 tests were used to compare the proportions of $A\beta$ positivity (top), and ANCOVA 2-tailed tests (adjusted for age, performance site, sex, and education) were used to compare cortical PiB SUVRs (bottom) in $APOE \epsilon 4$ HMs, HTs, and NCs. *, **, *** is $P < .05$, .01, and .001, respectively, for post hoc pairwise differences with Fisher's LSD. Means \pm SD for cortical PiB SUVRs are shown ($A\beta$ positivity threshold ≥ 1.42 is indicated with the red dotted line). Abbreviations: $A\beta$, amyloid β ; ANCOVA, analysis of covariance; $APOE$, apolipoprotein E; HM, homozygote; HT, heterozygote; NC, noncarrier; SUVR, standard uptake value ratio.

PiB SUVRs were significantly higher in HM than in HT and NC, slightly higher in HT than in NC groups ($P = .06$), and were associated with $APOE \epsilon 4$ gene dose (linear trend, $P < .05$) in the younger age range. There were significantly higher cortical PiB SUVRs in HT than HM (who had relatively low SUVRs) and NC groups in the older age range.

2.3.7 | FTP PET measurements of tau/tangle burden

As shown in Table 4 and Fig. 3, ERC FTP SUVRs were significantly higher in HM and HT than NC groups in the overall age range; they were significantly higher in HM than HT (trend) and NC groups and associated with $APOE \epsilon 4$ gene dose in the younger age range (linear trend, $P < .05$); and they were significantly higher in HT than NC groups in the older age range. As shown in Fig. 3 and Supplementary Table 2, ERC FTP SUVRs were significantly higher in those with a positive $A\beta$ PET scan and elevations in the HM and HT groups were attributable to those carriers with a positive $A\beta$ PET scan.

As shown in Table 4 and Supplementary Tables 2, 3a and 3b, HT groups had significantly higher ITC and cortical tau SUVRs than NCs and were attributable to those HTs with a positive $A\beta$ PET scan in the overall age range ($P < .05$). They were not significantly different

in HM, HT, and NC groups or associated with $APOE \epsilon 4$ gene dose in the younger age range. They were significantly higher in HT than NC groups in the older age range, but not solely attributable to the older HT group with a positive $A\beta$ PET scan.

2.3.8 | MRI measurements of brain atrophy/neurodegeneration

As shown in Table 4, MRI measurements of cortical thickness and hippocampal gray matter volumes were not significantly different in the unimpaired HM, HT, and NC groups or associated with $APOE \epsilon 4$ gene dose in the overall, younger, or older age ranges and were significantly lower in older than younger participants, irrespective of $APOE \epsilon 4$ gene dose ($P \leq .01$, adjusted for site, sex, education, and $APOE$ status).

3 | DISCUSSION

This study provides information about $A\beta$ PET, tau PET, and volumetric MRI measurements, their associations with age, and A/T(N) classifications in a relatively large number of $APOE \epsilon 4$ HMs, HTs, and NCs,

TABLE 3 Classification of amyloid- β load (A), neurofibrillary tau burden (T), and neurodegeneration (N) in cognitively unimpaired APOE ϵ 4 HMs, HTs, and NCs

	(a) Ages 47–86				(b) Ages 47–70				(c) Ages 71–86			
	HMs, n = 26, %	HTs, n = 48, %	NCs, n = 90, %	P	HMs, n = 22, %	HTs, n = 34, %	NCs, n = 74, %	P	HMs, n = 4, %	HTs, n = 14, %	NCs, n = 16, %	P
A+T+(N)+	0	10	1	.01*	0	0	1	.68	0	36	0	.02 [¶]
A+T+(N)–	12	10	2	.06 [†]	9	3	0	.04*	25	29	13	.54
A+T–(N)–	27	13	6	.01 [‡]	32	12	3	3e ^{-4§}	0	14	19	.64
A+T–(N)+	0	2	2	.75	0	3	1	.67	0	0	6	.56
A–T+(N)+	0	0	2	.44	0	0	1	.69	0	0	6	.56
A–T+(N)–	8	6	14	.30	5	9	15	.36	25	0	13	.23
A–T–(N)+	8	8	9	.98	5	9	4	.57	25	7	31	.26
A–T–(N)–	46	50	63	.16	50	65	74	.09	25	14	13	.82

NOTE. Proportions were compared with a χ^2 test. (a) In the overall 47- to 86-year-old age range, higher proportion of HTs were A+T+(N)+ compared with NCs. A+T+(N)– nonsignificant trend, indicated significantly higher proportions of HMs and HTs compared with NCs. Post hoc pairwise comparisons of the nonsignificant trend in the A+T+(N)– indicated significantly higher proportions of HMs and HTs compared with NCs. Higher proportion of HMs were A+T–(N)– compared with NCs but not compared with HTs or between HTs and NCs. (b) In the younger 47- to 70-year-old age range, higher proportion of HMs were A+T+(N)– and A+T–(N)– compared with NCs, proportion of A+T–(N)– HMs were also slightly higher than in HTs and slightly higher in HTs than in NCs (nonsignificant). In the A–T–(N)–, differences in the proportions did not reach significance but the linear trend did (Mantel-Haenszel, $P = .03$), indicating an inverse association with APOE ϵ 4 gene dose (HM < HT < NC); post hoc pairwise comparisons were also significant with lower proportions of A–T–(N)– HMs compared with NCs but not with HTs or between HTs and NCs. (c) In the older 71- to 86-year-old age range, higher proportion of HTs were A+T+(N)+ compared with NCs but did not reach significance compared with HMs. χ^2 P values and percent listed.

Abbreviations: A β , amyloid β ; APOE, apolipoprotein E; HM, homozygote; HT, heterozygote; NC, noncarrier.

*HT > NC

[†]HM & HT > NC

[‡]HM > NC ($P < .05$)

[§]HM > HT & NC

^{||}HM < NC ($P \leq .06$)

[¶]HT > NC ($P \leq .01$)

TABLE 4 Brain imaging measurements of A β plaque burden, tau/tangle burden, and atrophy/neurodegeneration in cognitively unimpaired APOE ϵ 4 HMs, HTs, and NCs

	(a) Ages 47–86				(b) Ages 47–70				(c) Ages 71–86			
	HMs, n = 26	HTs, n = 48	NCs, n = 90	P	HMs, n = 22	HTs, n = 34	NCs, n = 74	P	HMs, n = 4	HTs, n = 14	NCs, n = 16	P
Cortical PiB SUVR	1.50 \pm .06	1.43 \pm .04	1.26 \pm .03	1e ^{-4*}	1.51 \pm .04	1.28 \pm .03	1.21 \pm .02	1e ^{-8§}	1.09 \pm .28	1.95 \pm .12	1.47 \pm .11	.01 [#]
ERC FTP SUVR	1.12 \pm .02	1.12 \pm .02	1.05 \pm .02	.02*	1.10 \pm .02	1.04 \pm .02	1.03 \pm .01	.04 [¶]	1.07 \pm 1.0	1.23 \pm .04	1.07 \pm .04	.03 [†]
ITC FTP SUVR	1.19 \pm .02	1.21 \pm .02	1.18 \pm .02	.10 [†]	1.17 \pm .02	1.17 \pm .02	1.17 \pm .01	.99	1.21 \pm .09	1.35 \pm .04	1.22 \pm .04	.07 [†]
Cortical tau FTP SUVR	1.17 \pm .02	1.20 \pm .01	1.16 \pm .02	.10 [‡]	1.15 \pm .02	1.14 \pm .01	1.14 \pm .01	.89	1.19 \pm .08	1.32 \pm .04	1.20 \pm .04	.05 [‡]
Cortical thickness	2.78 \pm .02	2.76 \pm .02	2.79 \pm .01	.21	2.80 \pm .02	2.78 \pm .02	2.80 \pm .01	.47	2.68 \pm .08	2.70 \pm .04	2.74 \pm .04	.67
Hippocampal volume	0.53 \pm .01	0.53 \pm .01	0.54 \pm .01	.40	0.54 \pm .01	.54 \pm .01	0.55 \pm .01	.93	0.48 \pm .04	0.49 \pm .01	0.51 \pm .02	.65

NOTE. ANCOVA 2-tailed tests, adjusted for age, performance site, sex, and education were used to compare brain imaging measurements in (a) 47–86, (b) 47–70, and (c) 71–86 year-old age ranges. A β positivity was used as an interaction term in the overall 47–86 age range [APOE ϵ 4 group * A β -status (A β + / A β -)] for tau PET and MRI measures. Mean SUVR \pm SE, and significant ($P < .05$) and nonsignificant trends (P values between .05 and .10) with pairwise differences using Fisher's LSD, are listed.

Abbreviations: A β , amyloid β ; ANCOVA, analysis of covariance; APOE, apolipoprotein E; ERC, entorhinal cortex; FTP, flortaucipir; HM, homozygote; HT, heterozygote; ITC, inferior temporal cortex; NC, noncarrier; SUVR, standard uptake value ratio.

*HM & HT > NC

[†]HT > NC

[‡]HT > NC ($P < .05$)

[§]HM > HT > NC

[¶]HM > HT & NC ($P \leq .06$)

[#]HT > HM & NC

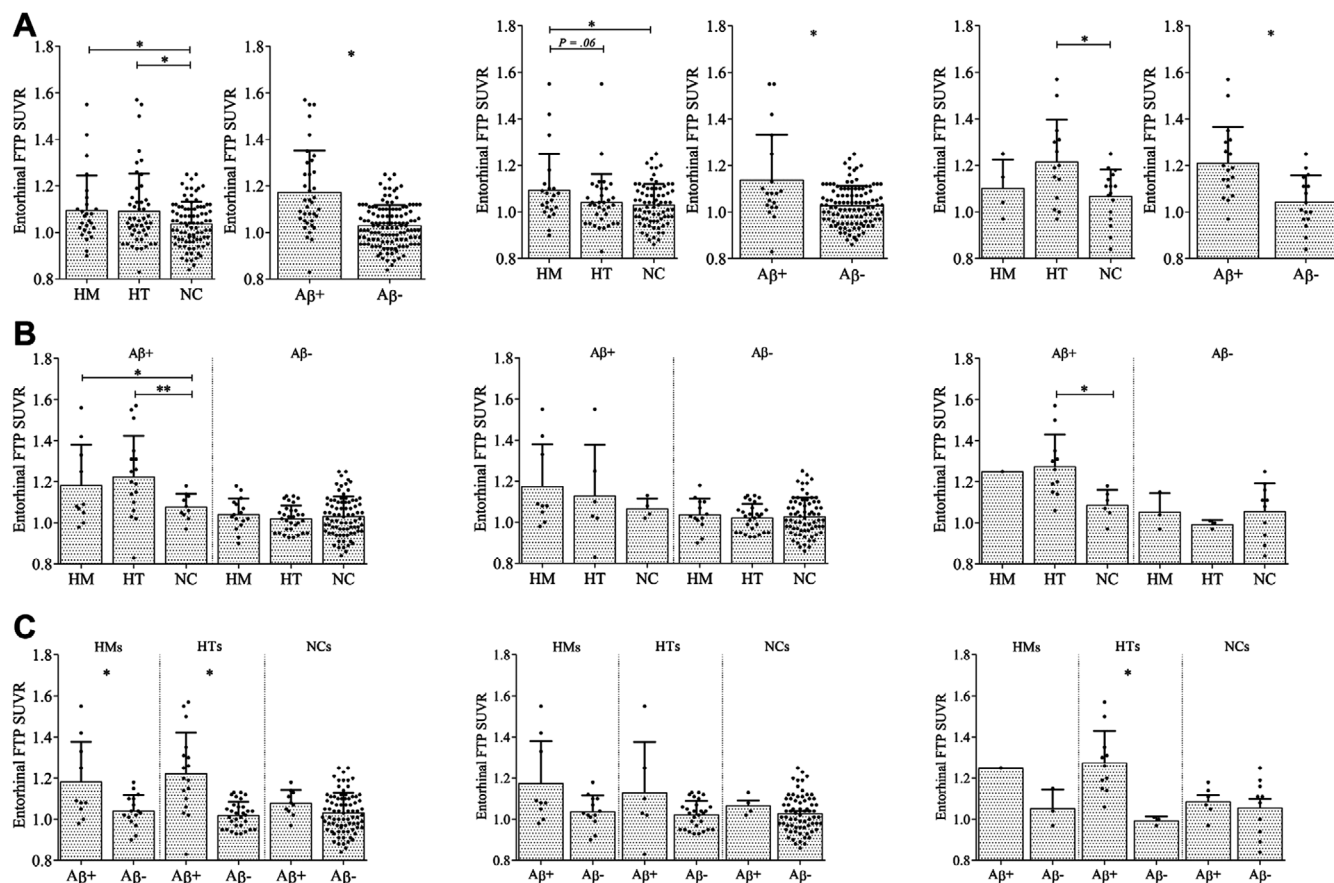


FIGURE 3 Relationships between entorhinal tau deposition, $A\beta$ positivity, and $APOE \epsilon 4$ gene dose in cognitively impaired participants at age 47–86, 47–70, and 71–86 years. ANCOVA 2-tailed tests (adjusted for age, performance site, sex, and education) were used to compare (a) entorhinal FTP SUVRs in $APOE \epsilon 4$ HMs, HTs and NCs, and in $A\beta$ -positive ($A\beta+$) vs $A\beta$ -negative ($A\beta-$) participants. (b-c) $A\beta$ positivity was used as an interaction term in the overall 47–86 age range [$APOE \epsilon 4$ group* $A\beta$ status ($A\beta+/A\beta-$)] and ran separately in the $A\beta+$ and $A\beta-$ 47- to 70-year-old and 71- to 86-year-old subgroups. * is $P < .05$ for post hoc pairwise differences with Fisher's LSD and means \pm SD are shown. Abbreviations: $A\beta$, amyloid β ; ANCOVA, analysis of covariance; $APOE$, apolipoprotein E; HM, homozygote; HT, heterozygote; NC, noncarrier; FTP, flortaucipir; SUVR, standard uptake value ratio.

and over a relatively large middle-to-older age range. $A\beta$ and ERC tau PET measurements rose, plateaued, and declined with age in the unimpaired HM and HT groups and did so earlier in HMs than HTs. ERC tau PET measurements were significantly greater in the HM and HT groups, and these elevations were attributable to those carriers with a positive $A\beta$ PET scans. Together, our findings suggest that cognitively unimpaired HMs can be studied before their 70s to evaluate biomarker changes, risk factors, pathophysiological changes, and interventions involved in the *predisposition* to and potential prevention of AD. Although our study included only four unimpaired HMs aged over 70 years, our findings suggest that HMs who remain cognitively unimpaired after their 70s could be used to evaluate biomarker changes, risk factors, pathophysiological changes, and interventions involved in the *resilience or resistance* to and prevention of AD.

In the cognitively unimpaired $APOE \epsilon 4$ HM group, cortical $A\beta$ PET measurements rose with age, were significantly different from those in NC group by age 62, plateaued at age 68, declined, and were no longer significantly different from NCs by age 71. ERC tau PET measurements

rose with age, were significantly different from those in the NC groups by age 66, plateaued at age 71, declined, and were no longer significantly different from NCs by age 74. The HT group demonstrated a similar pattern of $A\beta$ and ERC tau PET increases, plateaus, and declines occurring about 4–8 years later. We reason that the decline in prevalence among HM and HT groups is not due to decline in levels of protein deposition in the brains of individuals over time, but rather it is a drop in the group mean due to selective survival of resilient low amyloid and low tau individuals at older ages.

We hypothesize that subsequent biomarker declines are attributable to resistance factors that permit HMs and HTs to remain cognitively unimpaired at older ages.²⁷ Although retrospective case-control studies indicate that $APOE \epsilon 4$ gene dose is associated with very high AD dementia odds ratios, prospective cohort studies support the possibility that there are a greater number of $APOE \epsilon 4$ HMs and HTs who remain cognitively unimpaired at older ages—individuals who could help clarify the factors that account for resilience or resistance to the clinical onset of AD. Although studies have provided information

about when the risk of AD dementia begins to rise, plateau, and decline in HM and HT groups, our study provides information about the ages at which brain imaging biomarkers of $A\beta$ plaque and tau/tangle deposition begins to rise, plateau, and decline.

We hypothesize that cognitively unimpaired HM and HT groups could be studied before their 70s, when their biomarker changes are associated with three levels of genetic risk, for the following purposes: 1) to clarify the impact of genetic and nongenetic risk factors and their interaction with *APOE* $\epsilon 4$ gene dose, on AD biomarkers (or “endophenotypes”), as we have done in the past using a more limited number of biomarker measurements^{10,19,38} and 2) to evaluate promising prevention therapies before AD is extensive, including those who have or have not yet have biomarker evidence of amyloid burden, as we are doing in the Alzheimer's Prevention Initiative Generation Program.^{39–41}

We hypothesize that cognitively unimpaired HM and HT groups who remain unimpaired at older ages could be used to investigate the impact of putative protective factors and clarify differential effects of these protective factors on $A\beta$ or downstream neuroinflammatory, tau, or neurodegeneration biomarkers. This information could help provide new targets at which to aim promising prevention therapies.

In addition to the associations of biomarker changes with age in unimpaired HMs, HTs, and NCs, our study provides other insights about biomarker changes and classifications in these groups. For instance, it suggests that ERC tau PET elevations in HM and HT groups are attributable to those with a positive $A\beta$ PET scan. Although one cannot draw strong conclusions about the causal connection between $A\beta$ and tau PET measurements in these at risk groups, this finding does support the possibility that treatments that prevent the initial accumulation of neuritic plaques might reduce the development of downstream neuropathological changes and ensuing cognitive decline—a possibility that is now being explored in the subset of cognitively unimpaired 60- to 75-year-old HMs that are being evaluated using an anti-amyloid immunotherapy in the Alzheimer's Prevention Initiative Generation Program.

In our effort to classify the three genetic groups based on criteria for a “positive” or “negative” $A\beta$ PET, tau PET, and volumetric MRI findings, we found a surprisingly low percentage of HMs who were neurodegeneration positive, and we did not see a strong association between tau PET measurements and *APOE* $\epsilon 4$ gene dose in ITC and cortical regions that have been suggested to help distinguish between AD cases and controls. These “negative” findings could be attributable to several factors, such as a relatively brief interval between neurodegeneration positivity and clinical progression, exclusion of those who had already progressed, resilience or resistance factors in the small number of those HMs who remained unimpaired at older ages, and/or cortical tau and cortical atrophy thresholds used to define positivity. Additional image analysis techniques and thresholds may be needed to define tau and neurodegeneration positivity in the preclinical stages of AD. These thresholds could then be evaluated in terms of their prognostic value, their diagnostic value (including their correspondence to post-mortem neuropathology), and their predictive value (i.e., their ability to inform the differential response to treatment). We do note, however, that there is an *APOE* $\epsilon 4$ gene dose effect (i.e., HM > HT > NC,

linear trend) when we compare the proportions of A+T+ (irrespective of N) or A+T–N– HM, HT, and NC groups in the overall and younger age ranges.

Finally, recent studies report biomarker effects on memory performance, particularly with ERC tau deposition in cognitively unimpaired participants^{33,34} however, *APOE* $\epsilon 4$ effects on memory performance in the present study are not as clear and will likely require more than one memory measurement and a larger sample to properly assess. Despite some of these limitations, we note significantly higher long-term recall memory scores in the older HMs than in HTs and NC groups (Table 1), suggesting that resilience or resistance to cognitive decline in the small older HM group may not be solely attributable to education-related cognitive reserve. We also see lower MMSE and long-term recall scores in $A\beta$ -positive participants relative to $A\beta$ negative for the overall and younger age ranges (Supplementary Table 2).

Strengths of this study include the relatively large number of cognitively unimpaired *APOE* $\epsilon 4$ HMs and age-, sex-, and education-matched HTs and NCs with PiB PET, FTP PET, and volumetric MRI, and the nearly 40-year age range, which permitted us to characterize associations with age, some information from the longitudinal Arizona *APOE* Cohort to help inform the impact of differential survivor bias on our findings, and the opportunity afforded by differential survivor bias to help in the study of resilience or resistance at older ages. Limitations include the size of the *APOE* $\epsilon 4$ HM group, particularly at older ages, the differential survivor bias described previously (including likely affected age estimates and the absence of $A\beta$ PET, tau PET, and MRI data from participants after their clinical progression), differences between the participants and measurements included in the two cohorts, and the absence of longitudinal data to go beyond the study of age associations to the characterization of trajectories.

4 | CONCLUSIONS

This study provides information about $A\beta$ plaque burden, tau-tangle burden, and neurodegeneration in cognitively unimpaired persons at three levels of genetic risk for AD. We suggest that unimpaired *APOE* $\epsilon 4$ HMs can be studied before their 70s to clarify the biomarker changes, risk factors, pathophysiological processes, and interventions involved in the *predisposition* to and prevention of AD and after their 70s to clarify the biomarker factors, risk modifiers, pathophysiological processes, therapeutic targets, and interventions involved in the *resilience or resistance* to and prevention of AD.

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SUPPORTING INFORMATION

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