

CD4-blockade can induce protection from peanut-induced anaphylaxis

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Luis Graca, Instituto de Medicina Molecular, University of Lisbon, Avenida Professor Egas Moniz, 1649-025 Lisbon, Portugal. e-mail: Igraca@fm.ul.pt Monoclonal antibodies (mAb) have been shown effective in inducing immune tolerance in a range of animal models of autoimmunity, allergy, and transplantation. We investigated whether CD4-blockade, effective in inducing transplantation tolerance, could prevent systemic immune responses leading to anaphylaxis. We found that treatment with a nondepleting anti-CD4 mAb could prevent peanut-induced anaphylaxis following subsequent systemic exposure to crude peanut extract (CPE). Furthermore, the effect of CD4-blockade did not interfere with overall immune competence, as anti-CD4 treated mice remained fully competent to respond to unrelated antigens. Protection from anaphylaxis correlated with increased frequency of Foxp3⁺ regulatory T cells (Treg), and was abrogated following Treg depletion. Taken together our data suggest that activation of T cells by CPE in presence of CD4-blockade leads to Treg expansion that can prevent peanut-induced anaphylaxis.

Keywords: immune tolerance, anaphylaxis, peanut, regulatory T cells, Foxp3, anti-CD4 monoclonal antibody

INTRODUCTION

Anaphylaxis is an acute, life-threatening, allergic reaction where a physiologic process that normally acts in a local and limited manner to protect against infection occurs massively and systemically. Peanut allergy is a major cause of food-induced anaphylaxis, affecting around 1% of the population, with increasing prevalence worldwide (Kanny et al., 2001; Sampson, 2004). To date there is no cure for peanut allergy, and unlike many other food allergies, it persists through adulthood. Currently, avoidance is the only treatment advised. There is therefore, a clear need for safe and effective tolerance-inducing therapies for patients who might be exposed to anaphylactic reactions.

Monoclonal antibodies (mAb) that target T cell co-receptor and co-stimulatory molecules have been reported effective in inducing tolerance to non-self antigens. Waldmann and coworkers have shown non-lytic CD4 antibodies (with an isotype that does not directly deplete target cells) can induce long-term transplantation tolerance in mice (Graca et al., 2003; Kendal and Waldmann, 2010). The resulting tolerance state is mediated by Foxp3⁺ regulatory T cells (Treg), although other mechanisms may also operate (Graca et al., 2002, 2004; Lin et al., 2002). It was reported that a non-depleting anti-CD4 mAb was effective in preventing allergic airways disease in mice sensitized with ovalbumin (OVA; Li et al., 1999a). We have recently extended these data, showing that tolerance can be induced in mice to a clinically relevant aeroallergen – house dust mite (HDM). In this case, tolerant mice were protected from airways hyperreactivity (AHR), eosinophilia, goblet cell hyperplasia, and production of antigen-specific IgG1 and IgE (Agua-Doce and Graca, 2011). These data contrasts with the disappointing results from a clinical trial with a depleting anti-CD4 mAb (keliximab; Kon et al., 1998). In this trial the depleting nature of the mAb precluded the use of a dose sufficient to achieve effective CD4-blockade, as it led to immune suppression.

Surprisingly, the same non-depleting anti-CD4 mAb we successfully used to induce tolerance to HDM (or OVA) was reported to be less effective when tolerance was induced to systemically delivered human factor VIII in a mouse model of hemophilia (Salooja et al., 2002). Therefore, we decided to explore to which extent CD4-blockade is able to prevent a systemic allergic response: anaphylaxis.

We took advantage of a well established model of peanutinduced anaphylaxis, where the antigen crude peanut extract (CPE) is delivered through i.p. injection, allowing the precise control of the dose and time of exposure (Pons et al., 2004). C3H/HeJ mice have high susceptibility to peanut-induced anaphylaxis, being able to produce high peanut-specific antibody titers. Moreover, upon challenge through the i.p. route, these mice develop manifestations of anaphylactic shock, including a sharp drop of body temperature, which facilitates the quantification of clinical manifestations, and resemble anaphylactic reactions in human subjects (Li et al., 2000; Berin et al., 2006).

We confirmed C3H/HeJ mice can be sensitized with CPE, producing high titers of CPE-specific Th2-driven antibodies. We found that CD4-blockade, during the sensitization, prevented the generation of peanut-specific immunoglobulins, even following subsequent sensitization with CPE-alum, rendering the mice protected from anaphylaxis. The protective effect is abrogated following depletion of Treg cells. Importantly, CD4-blockade does not lead to immune deficiency, as mice remain competent to respond to different antigens.

Abbreviations: AHR, airway hyperreactivity; Alum, aluminum hydroxide; CPE, crude peanut extract; HDM, house dust mite; i.p., intra peritoneal; mAb, monoclonal antibody; OVA, ovalbumin.

MATERIALS AND METHODS

EXPERIMENTAL ANIMALS

C3H/HeJ mice were bred and maintained under specific pathogenfree facilities. Animals were sex-matched and used at 6–10 weeks of age. All experiments involving animals were approved by Direccao Geral Veterinaria (approval 018831). Sensitization was achieved by administration of 0.5 mg CPE in 2 mg aluminum hydroxide (alum, Alu-gel-S, Serva, Heidelberg, Germany) i.p. at days 1, 7, and 21. Mice were subsequently challenged with 10 mg CPE in PBS i.p.

CLINICAL ASSESSMENT OF ANAPHYLAXIS

Mice were assessed during 45 min following CPE challenge. Body temperature was measured at the indicated times with a rectally inserted thermal probe. The clinical score was evaluated as described elsewhere (Li et al., 2000): 0 - no manifestations; 1 - Scratching/rubbing around the nose and head; <math>2 - puffiness around eyes and mouth, reduced activity, diarrhea, pilar erecti; 3 - wheezing, labored respiration, cyanosis around mouth and tail; 4 - no activity after prodding, or tremor and convulsion; 5 - death. Scoring was performed blinded by two independent researchers.

CPE PREPARATION

Peanut flour was extensive defatted with diethyl ether, and the dried defatted peanut flour was extracted in ice-cold $10 \times$ PBS overnight at 4°C, centrifuged at 30,000 g for 60', and filter-sterilized. Protein concentration was measured with the BCA method and aliquots were stored at -20°C.

MONOCLONAL ANTIBODIES

Non-depleting anti-CD4 (YTS177), the isotype control (YKIX302), and anti-CD25 (PC61) mAbs were produced in our laboratory using Integra CL1000 flasks (IBS, Chur, Switzerland), purified by 50% ammonium sulfate precipitation, dialyzed against PBS, and purity checked by native and SDS gel electrophoresis. The hybridomas were generously provided by Professor Herman Waldmann (Oxford, UK).

IN VITRO CULTURES

Splenocytes (1×10^6) were cultured for 3 days in 96 well plates, with complete culture medium (RPMI-1640 with Glutamax, supplemented with 10% FBS, 1% hepes, 1% penicillin/streptomycin, 1% sodium pyruvate, 0.1% β-mercaptoethanol; Invitrogen), with addition of 20 µg OVA or CPE. At day 3, cells were centrifuged and supernatants recovered and kept at -80° C until cytokine quantification.

ELISA

The serum IgE and CPE- or OVA-specific IgG1 was measured in microtiter plates coated with 50 μ g/ml CPE or OVA. IgE was quantified with an Opteia kit (BD Pharmingen) and IgG1 with a kit from Southern Biotech. Quantification of cytokines in cellculture supernatants was performed using IL-10 and IL-13 kits (Peprotech, London, UK), and IL-5 Opteia kits (BD Pharmingen). All assays were performed according to the manufacturer's instructions.

FLOW CYTOMETRY

Single cell suspensions were analyzed with the following fluorochrome-labeled mAb: CD3 Percp–Cy5.5 (145–2C11), CD4 PE (GK1.5), CD8 APC–Cy7 (53–6.7), CD25 Pe–Cy7 (PC61.5), and Foxp3 (FJK165; eBiosciences). Samples were run in a FACS Canto and analyzed with FlowJo.

STATISTICAL ANALYSIS

Statistical significance was determined using the two-tailed nonparametric Mann–Whitney *U* test and *P* values < 0.05 were deemed significant (*P < 0.05; ** P < 0.01; *** P < 0.001).

RESULTS

CPE-INDUCED AN ANAPHYLACTIC RESPONSE IN SENSITIZED C3H/HEJ MICE

C3H/HeJ mice have been widely used as an experimental murine model of allergic diseases, being prone for the development of Th2-like immune responses, namely peanut-induced anaphylaxis (Li et al., 2000; Morafo et al., 2003). We found that upon i.p. sensitization with CPE-alum, an anaphylactic response was observed following challenge with CPE administered i.p. (Figure 1A). Female mice appeared to display greater disease severity, however, both males and females developed clinical manifestations of anaphylaxis, reaching a state where they did not respond to external stimuli (score 4). In addition, these mice displayed a sharp drop in body temperature, during the initial 45' following challenge with CPE (Figures 1B,C). Serum concentration of Th2driven immunoglobulins, such as IgE and CPE-specific IgG1, were markedly increased in CPE-sensitized mice, compared to nonexposed controls (Figures 1D,E). These data are similar to prior reports (Li et al., 2000; Pons et al., 2004), supporting the use of C3H/HeJ mice as an adequate model for the study of anaphylaxis.

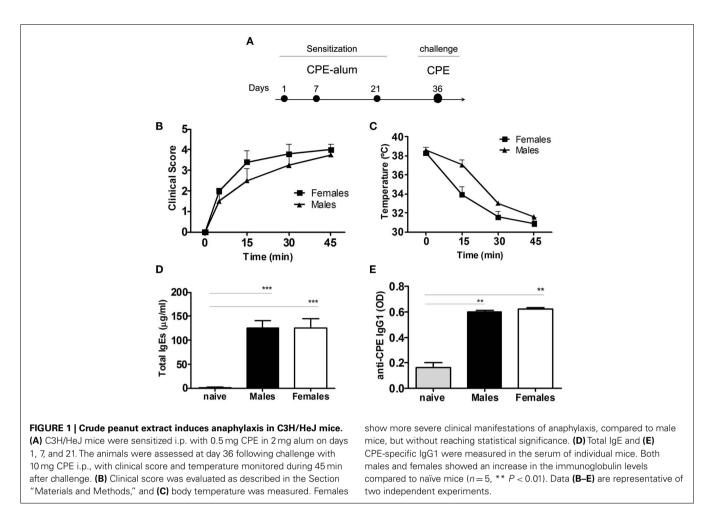
NON-DEPLETING ANTI-CD4 mAb PREVENTED CPE-INDUCED ANAPHYLAXIS

We have recently shown non-depleting anti-CD4 mAb can prevent allergic airways disease in mice in an antigen-specific way (Agua-Doce and Graca, 2011). To assess if this monoclonal antibody was equally efficient in the prevention of a systemic Th2driven response, we investigated whether anti-CD4 treatment could prevent anaphylaxis in C3H/HeJ mice.

We found anti-CD4 treatment at the time of sensitization was effective in preventing the development of anaphylactic manifestations observed during the 45 min following challenge with CPE (**Figures 2A,B**). Anti-CD4 treated mice also maintained their body temperature, unlike control animals where the temperature dropped rapidly (**Figure 2C**). The production of IgE and CPE-specific IgG1 was also impaired in mice treated with anti-CD4 (**Figures 2D,E**).

ANTI-CD4 DID NOT PREVENT ANAPHYLAXIS IN PRE-SENSITIZED MICE WITH HIGH IGE

We next assessed the impact of anti-CD4 treatment in presensitized mice. Note that the exposure to CPE at days 36–58 (**Figure 3A**), probably due to the use of alum, only induced mild clinical manifestations of disease even in the absence of anti-CD4



(not shown). Furthermore, in spite of such mild clinical manifestations of anaphylaxis, all mice strongly developed anaphylaxis when exposed to CPE without alum at day 73 (**Figures 3B,C**).

We found that mice sensitized with CPE in advance of anti-CD4 treatment were not protected from the development of clinical manifestations of anaphylaxis, namely reduction of body temperature (**Figures 3B,C**). Mice treated with anti-CD4 had increased levels of total IgE and CPE-specific IgG1, similar to CPE-sensitized controls (**Figures 3D,E**). These results suggest that in pre-sensitized individuals pre-existing IgE, produced at the time of initial sensitization, will drive clinical manifestations of anaphylaxis in a T-cell independent manner.

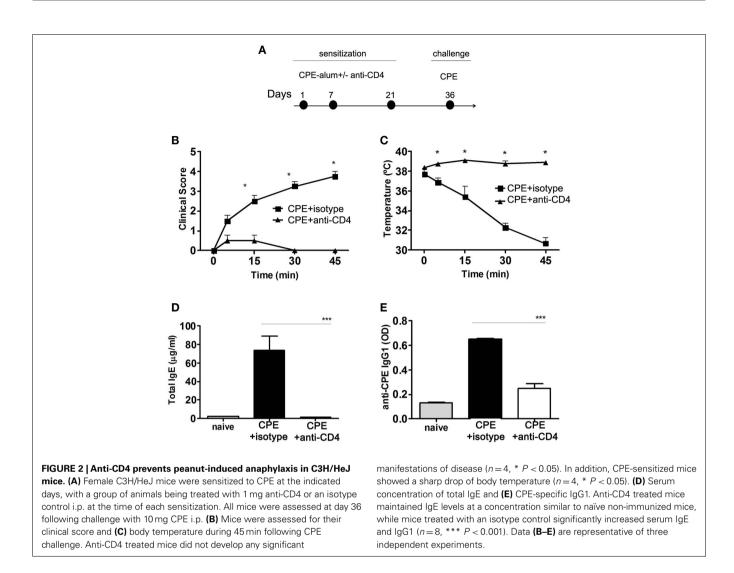
NON-DEPLETING ANTI-CD4 MONOCLONAL ANTIBODY-INDUCED PROTECTION FROM SUBSEQUENT EXPOSURE TO CPE

Non-depleting anti-CD4 mAb was shown to induce long-term tolerance in transplantation (Waldmann and Cobbold, 2001). We also found long-term protection to HDM could be induced with this mAb in mice (Agua-Doce and Graca, 2011). Therefore, we evaluated whether treatment with non-depleting anti-CD4 at the time of CPE sensitization could protect mice from peanut-induced anaphylaxis following a subsequent sensitization. As a consequence, 2 weeks after treatment, mice were re-sensitized with CPE-alum as shown in **Figure 4A**. The 2 weeks is sufficient to allow for clearance of the mAb below therapeutic levels, as we found by treating mice with anti-CD4 2 weeks in advance of providing the antigen (in previous experiments using OVA as the antigen, data not shown).

Manifestations of anaphylaxis were evident after challenge in CPE-sensitized mice, whereas mice treated with anti-CD4 were protected from severe clinical manifestations (**Figure 4B**). In addition, serum titers of IgE and CPE-specific IgG1 remained low in mice treated with anti-CD4 (**Figure 4C**). Injection of alum alone (in the absence of antigen and anti-CD4) did not prevent subsequent response elicited following sensitization (not shown).

We next compared the production of Th2-type cytokines by T cells from anti-CD4 treated mice. We cultured spleen T cells for 3 days in presence of CPE stimulation, and quantified the cytokines in cultures supernatants. T cells from mice treated with anti-CD4 mAb, unlike splenocytes from control CPE-sensitized mice, did not produce detectable levels of IL-5 and IL-13 (**Figure 4D**). Several reports have implicated IL-10 in protection from allergy (Akdis et al., 1998, 2004). However, production of IL-10 following *in vitro* stimulation with CPE was also reduced in cells from anti-CD4 treated mice (**Figure 4D**). In fact, IL-10 production was higher in cells from animals sensitized with CPE in the absence of anti-CD4 treatment.

Several studies in transplantation have shown that long-term tolerance induced with CD4-blockade is associated with $Foxp3^+$



Treg expansion (Cobbold et al., 2004; Graca et al., 2005; Oliveira et al., 2011). We found that although the anti-CD4 mAb has a non-depleting isotype, and does not directly lyse CD4⁺ T cells (**Figure A1** in Appendix), the absolute number of CD4⁺ T cells in the spleen of anti-CD4 treated mice were lower than in controls (**Figure 4E**). However, the frequency of Foxp3⁺ Treg cells within the T cell population was significantly increased in anti-CD4 treated mice (**Figure 4E**).

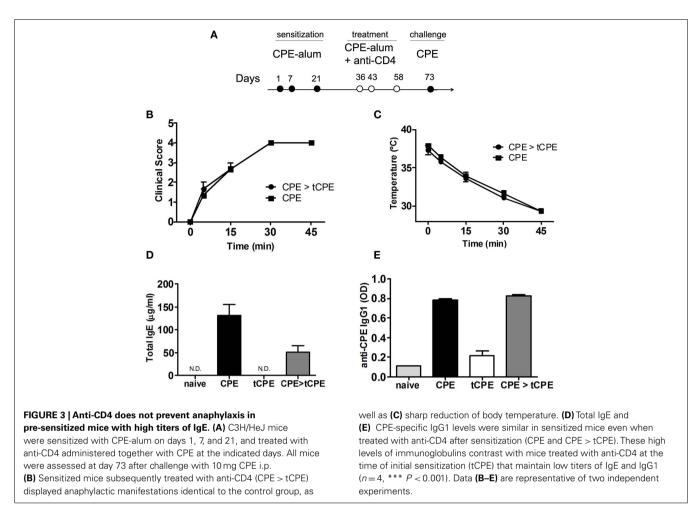
To further confirm the participation of Treg cells in the protection induced following anti-CD4 treatment, we evaluated the efficacy of CD4-blockade in CD25-depleted mice. We found that mice depleted of CD25 T cells at the time of CD4-blockade were not protected from peanut-induced anaphylaxis, induced following subsequent immunization with CPE-alum as described in **Figure 4A**. In fact, CD25-depleted mice exhibited high levels of total IgE, similar to what was observed in mice not treated with anti-CD4 (**Figure 4F**). These data suggest Foxp3⁺ Treg cells participate in protection from peanut-induced anaphylaxis induced following CD4-blockade. In addition, we compared the effect of CD25 depletion when applied before or after tolerance induction with anti-CD4. We found

that treatment with anti-CD25 in advance of tolerance induction was not as effective in abrogating tolerance induction as when CD25 depletion was performed after anti-CD4 treatment (**Figure 4G**). These data suggest the participation of adaptive Treg cells, induced at the time of anti-CD4 treatment, in tolerance induction.

ANTI-CD4 TREATMENT INDUCED ANTIGEN-SPECIFIC PROTECTION

We finally assessed whether anti-CD4 treatment was affecting the global immunocompetence, by studying the ability of mAb-treated mice to respond to different antigens. Therefore, following treatment of C3H/HeJ mice with CPE in presence of anti-CD4, some mice were re-sensitized with the same (CPE) or a different (OVA) antigen (**Figure 5A**). Mice treated with anti-CD4 remained fully competent to respond to sensitization with OVA-alum, developing a Th2-immune response leading to production of high IgE titers (**Figure 5B**). In fact, the levels of IgE were comparable to what was observed in CPE-sensitized control mice.

Conversely, when we treated mice with anti-CD4 together with OVA, we prevented production of IgE following subsequent sensitization with OVA (**Figure 5C**).



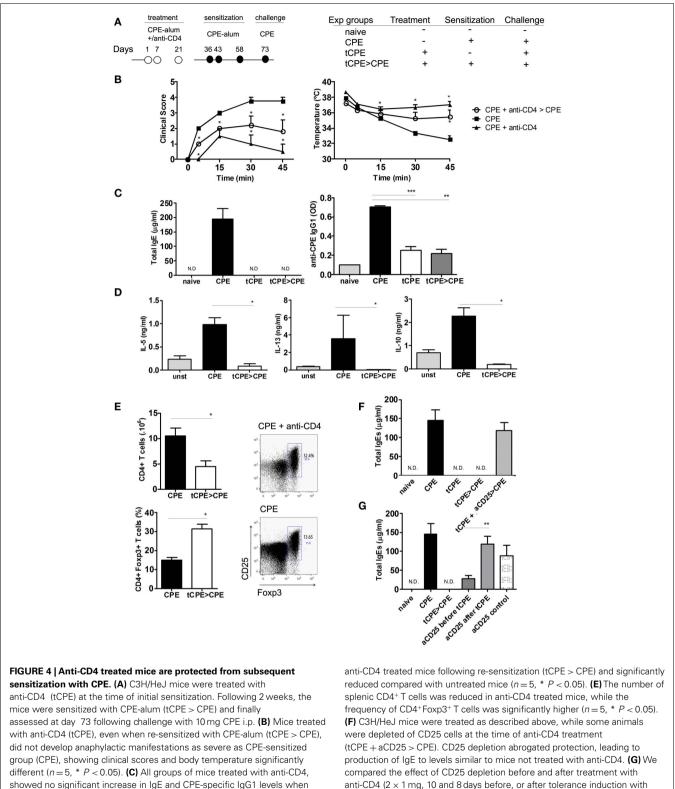
Taken together these data show anti-CD4 treatment induces unresponsiveness to the antigens administered at the time of CD4-blockade, without preventing subsequent immune responses to different antigens. Therefore, anti-CD4 treated mice remain immune competent.

DISCUSSION

Our data show CD4-blockade is effective in inducing antigenspecific tolerance to CPE, thus preventing subsequent sensitization with the same antigens. This conclusion contrasts with the apparent difficulty to induce tolerance in mice to systemically delivered human factor VIII or factor IX using the same mAb (Salooja et al., 2002). We are currently investigating the mechanisms that may explain the reason distinct proteins are tolerated with different ability.

Anti-CD4 is a non-depleting monoclonal antibody which has been extensively studied in several animal models of immune pathology. In transplantation and autoimmune arthritis, nondepleting anti-CD4 is effective in preventing transplant rejection and inducing long-term tolerance in mice (Waldmann and Cobbold, 2001; Duarte et al., 2010). Experiments with TCR-transgenic mice have shown the tolerant state is maintained by Foxp3⁺ Treg cells that can be induced from Foxp3⁻ precursors (Cobbold et al., 2004; Oliveira et al., 2011). Such T cells can actively

maintain tolerance by recruiting non-tolerant cells into the regulatory pool - a phenomenon termed infectious tolerance (Qin et al., 1993; Graca et al., 2000; Waldmann et al., 2006). In fact, mice transplanted under the cover of non-depleting anti-CD4 mAb do not become tolerant (i.e., they reject the transplant) when treated with anti-CD25 (Cobbold et al., 2006). We followed the same approach to show mice treated with anti-CD25 remained competent to produce CPE-specific antibodies, suggesting a similar mechanism of action. We cannot exclude that part of the effect of CD4-blockade is due to activation-induced cell death of T cells specific for the antigen present at the time of tolerance induction - a mechanism well described in transplantation tolerance induced with co-stimulation blockade (Li et al., 1999b; Wells et al., 1999). In any case, Treg cells appear to play the key role in our experiments, something suggested not only by the Treg-depletion experiment, but also by the marked increase in the frequency of Foxp3⁺ T cells in anti-CD4 treated mice. In addition we found that Treg depletion in advance of anti-CD4 treatment did not have a major impact on tolerance induction. On the contrary, CD25 depletion after anti-CD4 treatment abrogated tolerance induction. Although these data are not conclusive, as we cannot follow the fate of antigen-specific cells, they suggest that induced Treg cells are probably key participants in tolerance induction. Such tolerance mechanism is also distinct



*** P < 0.001). (D) Cytokines were measured in the supernatants of CPE-stimulated spleen cells. IL-5, IL-13, and IL-10 were almost undetectable in

compared to naïve mice. The Th2-driven immunoglobulins were significantly

increased in CPE-sensitized mice (n = 5, * P < 0.05, ** P < 0.001,

anti-CD4 (2 \times 1 mg, 10 and 8 days before, or after tolerance induction with CPE+ anti-CD4). Control mice were CD25-depleted before challenge with CPE, in the absence of treatment with anti-CD4 (n = 5, * P < 0.05, ** P < 0.001, *** P < 0.001). Data (B-F) are representative of two independent experiments.

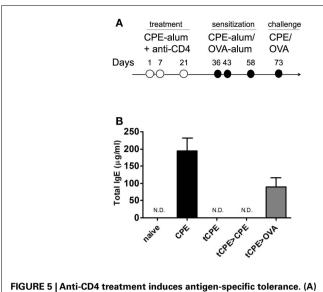
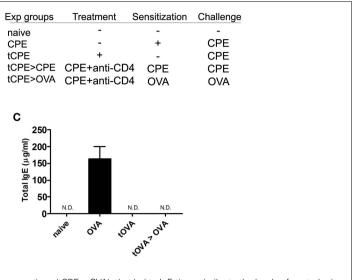


FIGURE 5 [ART-CD4 treatment induces antigen-specific tolerance. (A) C3H/HeJ mice were sensitized with CPE or OVA, and treated with anti-CD4 as described. The animals were assessed at day 73. (B) Mice treated with anti-CD4 mAb (tCPE) even following subsequent sensitization with the same antigens (tCPE > CPE) maintained IgE titers significantly lower than control animals (CPE; *P < 0.05). However, anti-CD4 treatment did not prevent the production of IgE following subsequent sensitization with OVA, a different

from other immune tolerance induction protocols that rely on the production of IL-10 or shifting the response toward Th1 (Ebner et al., 1997; Francis et al., 2003; Akdis et al., 2004; Valenta et al., 2004).

When we treated with anti-CD4 mAb mice previously sensitized with CPE, we could not prevent manifestations of anaphylaxis given the presence of high IgE titers. It remains, however, to be shown whether therapeutic neutralization of IgE, could allow tolerance to be imposed in a way preventing further production of IgE. In fact, our results with a mouse model of allergic airways disease show that tolerance can be achieved in pre-sensitized mice, even following one episode of airways inflammation (Agua-Doce and Graca, 2011). Taken together, these findings suggest IgE is not preventing tolerance from being achieved within the T cell compartment, but high levels of IgE are sufficient to lead to acute manifestations of disease. In addition, memory cells have been recognized as a major barrier for therapeutic induction of tolerance (Adams et al., 2003). In any case, immune tolerance can be induced in some experimental models with anti-CD4, in presence of memory T cells (Agua-Doce and Graca, 2011). Concerning tolerance induction to CPE, we cannot conclude whether, besides high IgE levels, the presence of memory T cells in pre-sensitized mice constitute a barrier to tolerance induction.

Interestingly, we found sensitized mice only developed mild manifestations of anaphylaxis when injected with CPE-alum, while CPE alone triggered a severe anaphylactic response with a sharp drop in core body temperature. However, when we



antigen (tCPE > OVA), that led to IgE titers similar to the levels of control mice (CPE). **(C)** Equivalent experiment to **(B)** where OVA was used as the antigen to sensitize C3H/HeJ mice. OVA sensitization led to high titers of IgE (OVA). Exposure to OVA in presence of CD4-blockade (tOVA) prevented IgE production even following subsequent sensitization with OVA (tOVA > OVA) (n = 5, * P < 0.05). Data **(B,C)** are representative of two independent experiments.

injected alum alone, or alum together with a different antigen (OVA), we could not observe a protective effect toward subsequent sensitization with CPE.

To find an efficient and specific therapy for peanut-induced anaphylaxis has been a major challenge (Burks et al., 2008). Once the diagnosis is established, the course of action is restricted to strict elimination of the causative allergen from the patient's diet, and it was estimated that about 50% of the affected individuals develop an accidental reaction over a 2-year period (Sicherer et al., 2003). Distinct approaches to induce tolerance to food allergens have been or are being pursued (Canonica and Passalacqua, 2003; Clark et al., 2009; Jones et al., 2009; Blumchen et al., 2010; Vickery et al., 2011). It is however yet unclear whether the evidence will support clinical adoption of any of those tolerogenic strategies.

We believe our data provide evidence that targeting CD4 T cells may provide a way to achieve or facilitate tolerance induction to systemic antigens, such as the ones that may trigger anaphylaxis.

ACKNOWLEDGMENTS

We are grateful to A. Wesley Burks (Duke University) for helpful advice and for providing samples of CPE to validate the CPE we have produced in-house. We thank Herman Waldmann (University of Oxford) for hybridomas of anti-CD4 and anti-CD25. Funded by Fundacao para a Ciencia e Tecnologia Portugal – PTDC/SAU-MII/64279/2006. The authors have no competing financial interests.

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

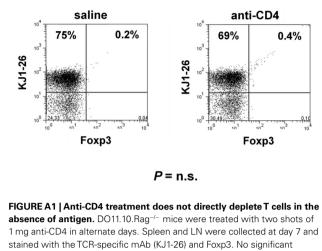
Received: 24 June 2011; paper pending published: 12 July 2011; accepted: 04 October 2011; published online: 19 October 2011.

Citation: Duarte J, Caridade M and Graca L (2011) CD4-blockade can induce protection from peanut-induced anaphylaxis. Front. Immun. 2:56. doi: 10.3389/fimmu.2011.00056

This article was submitted to Frontiers in Immunological Tolerance, a specialty of Frontiers in Immunology.

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APPENDIX



difference was observed in the frequency or number of T cells from mAb-treated animals.