



# Optical Applications of CuInSe<sub>2</sub> Colloidal Quantum Dots

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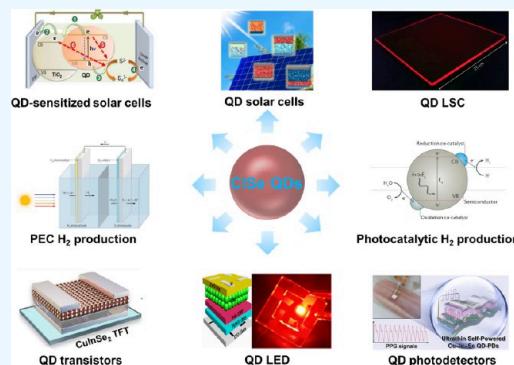
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**ABSTRACT:** The distinctive chemical, physical, electrical, and optical properties of semiconductor quantum dots (QDs) make them a highly fascinating nanomaterial that has been extensively studied. The CuInSe<sub>2</sub> (CIS) QDs demonstrate great potential as a nontoxic alternative to CdSe and PbSe QDs for realizing high-performance solution-processed semiconductor devices. The CIS QDs show strong light absorption and bright emission across the visible and infrared spectrum and have been designed to exhibit optical gain. The special characteristics of these properties are of great significance in the fields of solar energy conversion, display, and electronic devices. Here, we present a comprehensive overview of the potential applications of colloidal CIS QDs in various fields, with a particular focus on solar energy conversion (such as QD solar cells, QD-sensitized solar cells, and QD luminescence solar concentrators), solar-to-hydrogen production (such as photocatalytic and photoelectrochemical H<sub>2</sub> production), and QD electronics (such as QD transistors, QD light-emitting diodes, and QD photodetectors). Furthermore, we offer our insights into the current challenges and future opportunities associated with CIS QDs for further research.



## 1. INTRODUCTION

The electronic and optical properties of bulk semiconductors are usually regulated via crystal structure, composition, and impurities. Nevertheless, the electron energy level transitions from quasi-continuous to discrete and confinement arises when the size of the semiconductor reaches a specific value known as the exciton Bohr radius. The quantum-confined semiconductors commonly exhibit size-dependent electronic and optical properties, which bring about extra degrees of tunability compared with bulk semiconductors. The quantum-confined materials can be classified into zero-dimensional (0D), one-dimensional (1D), and two-dimensional (2D), including quantum dots (QDs), quantum wires/rods, and quantum wells, respectively.<sup>1–3</sup>

The QD is a semiconductor nanostructure that binds excitons in three spatial directions. The QD is a significant low-dimensional semiconductor material, characterized by its dimensions in all three directions being no more than twice the excitonic Bohr radius of the corresponding semiconductor. Typically, QDs exhibit a spherical or quasi-spherical shape, with diameters ranging from 2 to 20 nm. In QDs, holes and electrons exhibit a discrete (quantized) and analogous density of states (DOS).<sup>4–6</sup> The bandgap of QDs increases due to the quantum confinement, leading to a blue shift in both absorption and emission spectra.<sup>7</sup> The excited electron exhibits a strong interaction with the remaining hole. The spin-exchange coupling

and Coulomb attraction synergistically generate highly localized excitons (electron–hole pairs). The close proximity of charge carriers in QDs leads to heightened many-body phenomena that impact their optical and electronic properties.<sup>8</sup>

Importantly, colloidal quantum dots (CQDs) that are usually synthesized via wet-chemical approaches are solution-processed nanocrystals with diameters below 20 nm. Since the first report in the 1980s,<sup>9</sup> numbers of semiconductor CQDs have been developed, such as groups II–VI,<sup>10</sup> III–V,<sup>11</sup> I–III–VI,<sup>12</sup> and I–II–III–VI.<sup>13</sup> The CuInSe<sub>2</sub> (CIS) CQDs among the I–III–VI QDs have garnered increasing attention due to its substantial exciton Bohr radius of ca. 10.6 nm, which facilitates the regulation of the energy bandgaps across a broad spectrum of light absorption ranging from ultraviolet to near-infrared regions.<sup>14–16</sup> Furthermore, the band structure related to composition and its special defect tolerance facilitate convenient tailoring of band alignment according to the practical applications.<sup>17–19</sup> The use of CIS QDs as a nontoxic alternative

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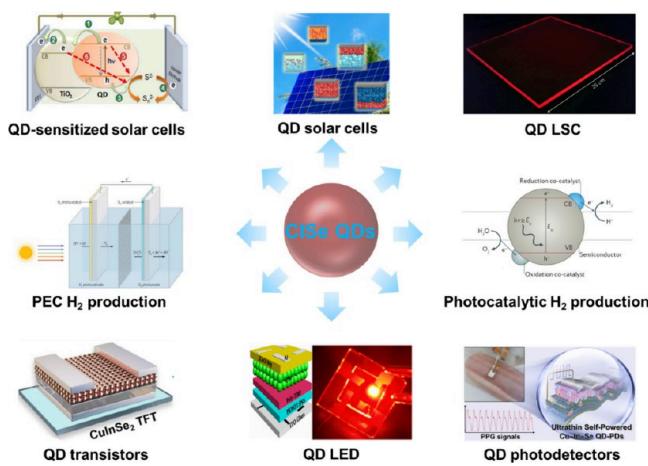
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to CdSe and PbSe QDs has gained widespread popularity in the field of high-performance solution-processed semiconductor devices.<sup>17,20–22</sup>

The use of CIS CQDs offers opportunities for the production of high-efficiency and flexible devices through large-area, low-temperature, solution-based approaches that replace costly high-temperature, high-vacuum device fabrication processes.<sup>23–25</sup> In this review, we examine the utilization of CIS CQDs in the development of solar energy conversion, displays, and electronic devices. We focus on their applications in QD solar cells, QD-sensitized solar cells (QDSSCs), QD luminescence solar concentrators (LSCs), photocatalytic and photoelectrochemical (PEC) H<sub>2</sub> production, QD transistors, QD light-emitting diodes (LEDs), and QD photodetectors (Figure 1). Furthermore, we explore the emerging opportunities for CIS CQDs and discuss the challenges toward practical applications.



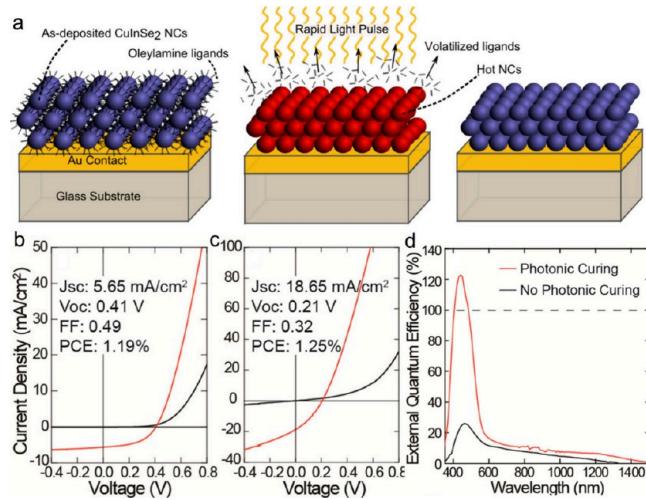
**Figure 1.** Graphical illustration of some optical applications of CIS QDs.<sup>26–33</sup> Some of the subgraphics are reprinted in part with permission from the following: ref 26, copyright 2015 American Chemical Society; ref 27, copyright 2013 Taylor & Francis; ref 28, copyright 2023 American Chemical Society; ref 29, copyright 2018 The Optical Society; ref 30, copyright 2021 American Chemical Society; ref 31, copyright 2016 American Association for the Advancement of Science; ref 32, copyright 2017 Springer Nature; ref 33, copyright 2017 Springer Nature.

## 2. OPTICAL APPLICATIONS OF CIS CQDS

**2.1. QD Solar Cells.** QD solar cells have attracted extensive interests as low-cost solution-processable alternative photovoltaic devices before the creation and rise of perovskite solar cells.<sup>34–36</sup> Compared with traditional thin-film-based perovskite solar cells, QD solar cells possess unique advantages. They are processable and compatible with greener solvents (e.g., octane, hexane, toluene, etc.).<sup>37–39</sup> Moreover, the compatibility of QDs with greener solvents is more important for large-scale industrial fabrication compared to perovskites.<sup>40</sup> Additionally, the low-cost solution process is one of the most attractive particularities of QD solar cells as a substitute to silicon photovoltaics.<sup>12,41</sup> With constant development, QD solar cells have reached 18.1% efficiency in 2020.<sup>34</sup>

The lead chalcogenide family (PbS and PbSe) had dominated the QD photovoltaic technology until the emergence of I–III–VI CQDs.<sup>24,42,43</sup> The CIS CQDs incorporate several key features of binary metal chalcogenide QDs, including surface passivation by ligands and quantum confinement, while also

possessing exceptional absorption properties similar to bulk CIS.<sup>16</sup> CIS is a crucial material for photovoltaic devices, exhibiting the highest efficiency of over 20% specifically in thin film solar cells.<sup>44,45</sup> However, the power conversion efficiency (PCE) of photovoltaic devices fabricated from ink-deposited CIS CQDs is just over 3%, astricken by poor charge transport.<sup>42,46,47</sup> Typically, ink-deposited CQDs are sintered into polycrystalline films by annealing under selenium vapor at high temperature (>500 °C) to achieve much higher efficiencies.<sup>48,49</sup> Korgel and coauthors reported a nanocrystal film processing technique—photonic curing—to replace high-temperature selenization to improve charge transport in the QD films (Figure 2a).<sup>43</sup> The photovoltaic devices were fabricated by

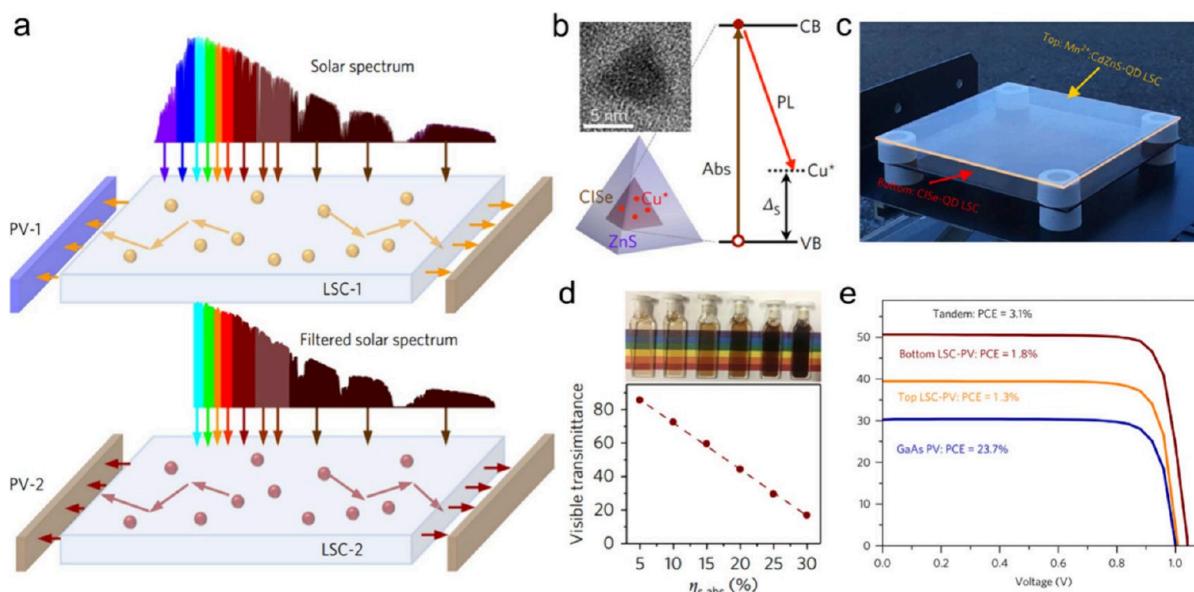


**Figure 2.** (a) Schematic illustration the removing OLA from the CIS nanocrystal film via photonic curing. (b,c) Current–voltage curves of devices made with the CIS CQDs before and after photonic curing with 2.2 J/cm<sup>2</sup> pulse fluence. (d) EQE measurements for CIS QD devices under white light bias (50 mW/cm<sup>2</sup>) before (black curve) and after (red curve) photonic curing with 2.2 J/cm<sup>2</sup> pulse fluence.<sup>43</sup> Reproduced with permission from ref 43. Copyright 2013 American Chemical Society.

spray deposition of CIS CQD toluene dispersions on Au-coated glass substrates. Then, the QD films were cured with a PulseForge 3300 tool in a closed chamber with a quartz window using pulsed light from a xenon flash lamp.

Although the CIS QD films are cured with a 2.2 J/cm<sup>2</sup> pulse fluence, the photovoltaic devices fabricated with these cured QDs exhibited unsatisfactory performance. As shown in Figure 2b,c, the PCE of the photovoltaic devices fabricated with cured QDs is 1.25%, which is similar to that of the devices fabricated with CQDs without photocuring (1.19%). However, the devices exhibit a noticeable decrease in the open-circuit voltage and a significant enhancement in the short-circuit current after undergoing photonic curing. External quantum efficiency (EQE) characterizations revealed that the predominant increase in short-circuit current occurred within the short wavelength range (<600 nm). Due to the extraction of multiexcitons, the peak EQE of the devices fabricated with cured QDs reached 123%, which is much higher than that of the CIS QD solar cells without photonic curing.

**2.2. QD Luminescent Solar Concentrators.** The utilization of solar power generation presents an efficient solution to addressing the energy crisis. The primary strategies for cost reduction in solar electricity involve enhancing the



**Figure 3.** (a) Schematic illustration of the solar-spectrum splitting in a tandem LSC. (b) HRTEM image of an individual CIS/ZnS QD and schematic illustration of electronic states and optical transitions according to light absorption (brown arrow) and PL emission (red arrow). (c) Photograph of a tandem LSC (ca.  $232 \text{ cm}^2$ ) with a  $M^{2+}$  doped  $Cd_xZn_{1-x}S$  QD top layer and a CISe QD bottom layer. (d) Solution samples of CIS/ZnS QDs with different concentrations and the corresponding visible transmittances. (e) Current density vs. voltage ( $J$ - $V$ ) characteristics.<sup>53</sup> Reproduced with permission from ref 53. Copyright 2018 Springer Nature.

efficiencies of solar cells and optimizing manufacturing and installation processes to minimize expenses. Utilizing cheap, large-area solar radiation collectors based on the LSCs technology presents a promising opportunity to lower the price of solar energy.<sup>50–52</sup> LSCs are constructed with a slab of an transparent material containing highly emissive fluorophores, such as CQDs (Figure 3a).<sup>53</sup> These LSCs can be integrated with Si-solar cells in edge as well as used as semitransparent “solar windows”.<sup>54,55</sup> The fluorophores absorb the solar photons and subsequently emit at longer wavelengths, which are then waveguided to the Si-solar cells located at the edges to generate electricity. Moreover, the LSC technology can be utilized for the fabrication of novel devices, such as lightweight optical antennas designed for space applications and spectral reshapers intended for photobiology and photochemistry applications.<sup>56,57</sup>

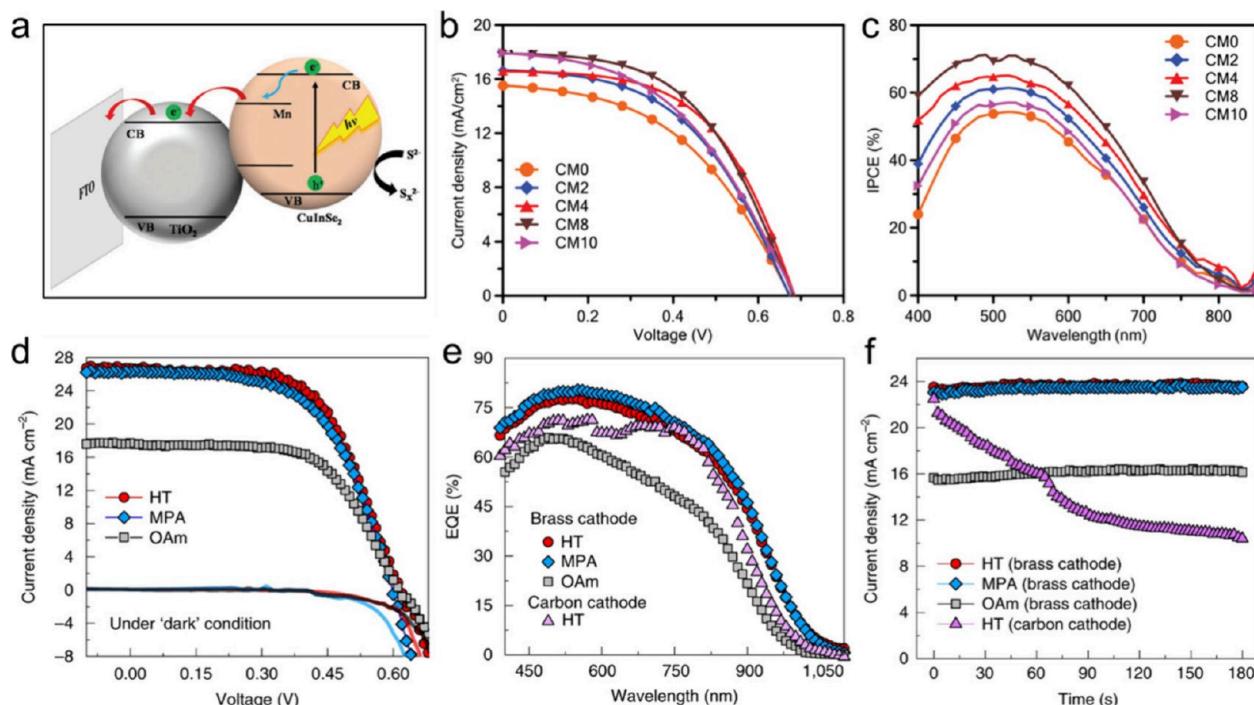
Since the initial proposal of LSCs as cost-effective alternatives to silicon solar cells in 1976,<sup>58</sup> an increasing number of researchers have been focusing their attention on LSCs and specifically studying dye molecules.<sup>59,60</sup> However, the general dyes have limited solar spectral coverage and exhibit low PL efficiencies at the near-infrared wavelengths—which are most suitable for coupling to silicon photovoltaics—as well as significant reabsorption losses. Due to the unrivaled tunability of emission spectra in the visible and NIR regions associated with high PL quantum yields and high photostability, CQDs represent a fascinating emerging category of LSC emitters.<sup>61–63</sup> In addition, the CQDs with tunable bandgaps are also well suited for fabrication of multilayered LSCs, where each layer is specifically constructed to absorb the different parts of the solar spectrum (Figure 3a).

Klimov and coauthors fabricated a large-area and high-efficiency tandem LSC, where one layer absorbs the visible light and another absorbs the NIR.<sup>53</sup> CIS cores with a size of  $3.1 \pm 0.5 \text{ nm}$  were synthesized and subsequently coated with a thick ZnS layer to fabricate the top LSC layer. This was done due to the strong absorbance in the solar spectrum and high PL quantum

yield (65–75%) (Figure 3b). The obtained large-area CIS/ZnS LSC ( $15.24 \times 15.24 \text{ cm}^2$ ) appears dark to an observer, which has a total sunlight absorptance of 28% (Figure 3c) and a corresponding visible transmittance of 23% (Figure 3d). Then, the  $M^{2+}$  doped  $Cd_xZn_{1-x}S$  CQDs with absorption in the ultraviolet region and a high PL quantum yield (78%) were utilized to fabricate the top LSC layer (Figure 3b). As shown in Figure 3e, the PCEs of the top and bottom layers are 1.3% and 1.8%, respectively. The tandem QD LSC shows a high optical quantum efficiency of 6.4% under sunlight illumination and PCE of 3.1%.

**2.3. QD-Sensitized Solar Cells.** As a cost-effective alternative to film photovoltaics, semiconductor QDSSCs have drawn significant attention recently and exhibit great potentials for the next generation of photovoltaics.<sup>64–66</sup> QDSSCs derived from dye-sensitized solar cells were first reported by O'Regan and Gratzel in 1991.<sup>67</sup> CQDs have been utilized to replace organic dyes as the photosensitizer due to their electrical and optical properties, such as size-dependent bandgaps, higher stability toward water and oxygen, larger extinction coefficient, and multiple exciton generation with single-photon absorption.<sup>27,68</sup> Due to the multiple exciton generation, the theoretical PCE of QDSSCs reaches up to 42%, which surpasses the efficiency of 31% for semiconductor solar cells.<sup>69</sup>

For large-scale applications, the integration of QDSSC technologies has the benefits of useful device construction and solution-processable production owing to their considerably simple device manufacturing approaches and suitable structure.<sup>71,72</sup> Since the report of the first certified QDSSCs in 2013, the PCE of QDSSCs has increased dramatically to >13% in recent years.<sup>73–75</sup> Similar to the initial certified QDSSCs, the champion QDSSCs utilize the less-toxic I–III–VI<sub>2</sub> CQDs with a high absorption coefficient and near optimal band gap energy (1.0–1.5 eV).<sup>76–78</sup> Chang and coauthors used aqueous synthesized Mn-doped CIS CQDs as the photosensitizer in QDSSCs (Figure 4a), exhibiting Mn concentration dependent



**Figure 4.** (a) Schematic showing the mechanism of electron injection in Mn-doped CIS QDSSCs. (b,c)  $J$ – $V$  characteristics and IPCE spectra of Mn-doped CIS CQDs.<sup>70</sup> Reproduced with permission from ref 70. Copyright 2019 Royal Society of Chemistry. (d,e)  $J$ – $V$  characteristics and corresponding EQE of the brass-cathode-based solar cells made of CQDs with HT, MPA, and OAm ligands. (f) Temporal evolution of the current density of the brass- and mesoporous-carbon-based solar cells investigated under AM 1.5 G illumination, with the bias set to  $V_{max}$ .  $V_{max} = 0.56$ , 0.38, and 0.39 V for the QDs capped with OAm, MPA, and HT, respectively.<sup>19</sup> Reproduced with permission from ref 19. Copyright 2020 Springer Nature.

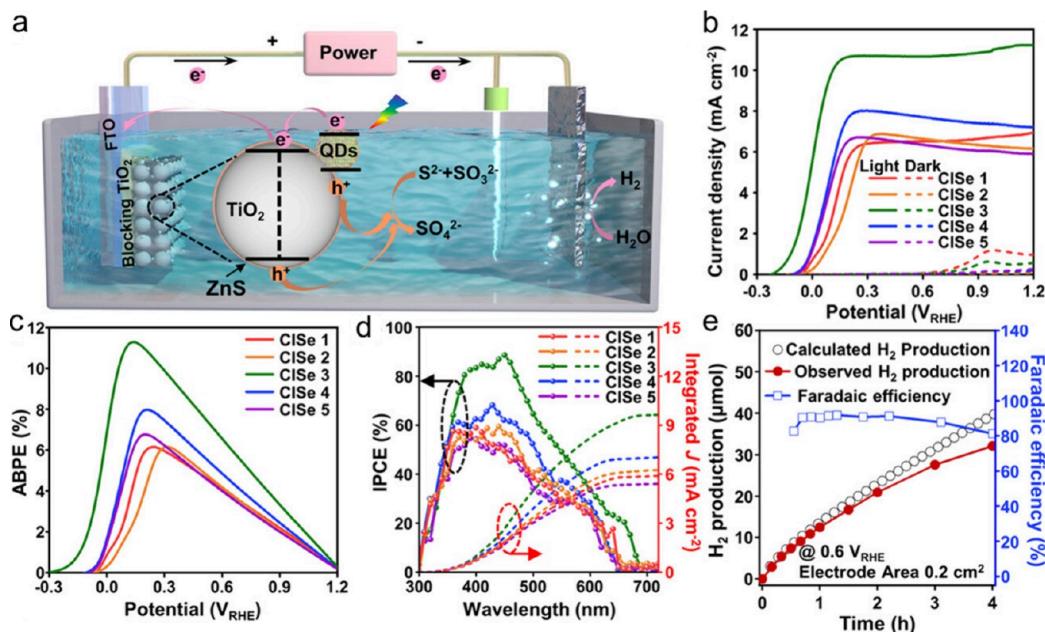
performances.<sup>70</sup> The short-circuit current increased with the incorporation concentration of Mn into CIS QDs (Figure 4b), which is attributed to the effective injection of excited electrons from CIS QDs into TiO<sub>2</sub> and the resulting higher lifetime of electrons, probably via the formed Mn midgap in the CIS band structure (Figure 4a). The Mn-doped CIS QDSSCs show a maximum PCE of 6.28% (Figure 4c) due to the combination of higher light-absorption efficiency, slower carrier recombination, and a longer lifetime of electrons.

In addition, surface treatments and linking strategies are beneficial for the formation of strong coupling of QDs to the TiO<sub>2</sub> electrode which can usefully eliminate surface-related intragap defects and accelerate the electron migration rate, thereby achieving excellent PV performance. Du and coauthors studied the carrier dynamics in TiO<sub>2</sub>-electrode-coupled Zn-doped CIS QDs with “linking” and “nonlinking” surface ligands and correlated the spectroscopic observations with the photovoltaic performance of the QDs. They regulated the defect-related relaxation rate and QD-to-TiO<sub>2</sub> electrode electron transfer rate through the use of surface ligands with diverse lengths and binding forces. Moreover, these ligands do not introduce any electrostatic or steric limitations, but rather facilitate the formation of a compact layer of QDs on the surface of the photoelectrode. They obtained a high short-circuit current of  $>26$  mA cm<sup>-2</sup> (Figure 4d), which is similar to that of the best reported QDSSCs.<sup>76</sup> The developed QDSSCs show a high reproducible PCE of 9–10% and a high EQE of  $\sim 85\%$  (Figure 4e). The excellent performances are attributed to the peculiar photoconversion mechanism involving two types of intragap states: native Cu<sup>+</sup> hole-trapping defects and surface-located electron traps, which can mediate QD interactions with the electrolyte and the TiO<sub>2</sub> electrode, respectively. Moreover,

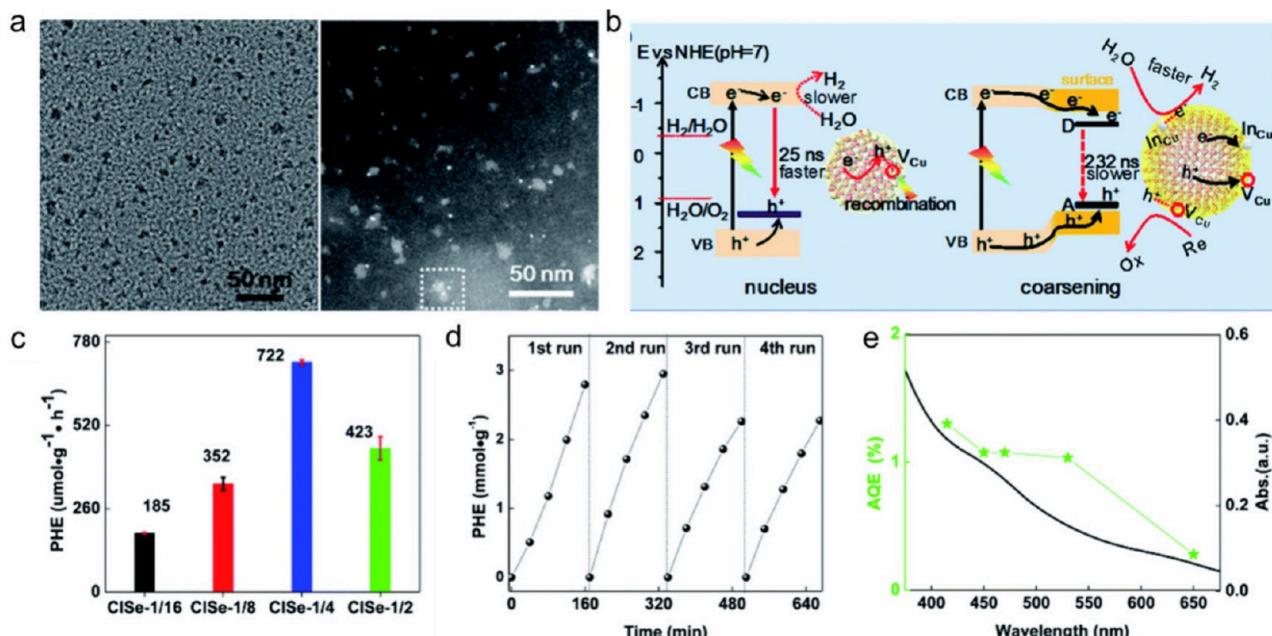
the stability of solar cells utilizing a brass electrode is significantly enhanced, in comparison to devices employing a carbon cathode. The performance of the system remains stable without any noticeable degradation during 3 min long stability tests (Figure 4f). The findings suggest that the degradation of the electrolyte in this particular case is effectively suppressed. This is achieved through chemical transformations involving copper species, which mediate the reduction of polysulfides on the cathode side of the device and consequently prevent the formation of sulfur radicals.

**2.4. PEC H<sub>2</sub> Production.** Hydrogen energy serves as a widely used green secondary energy. However, it is noteworthy that there is almost no existence of hydrogen on Earth. Generally, the production of H<sub>2</sub> is achieved through the decomposition of hydrogen-containing substances, such as water. PEC H<sub>2</sub> production is a simple, cost-effective, sustainable, and environmentally benign method to realize solar-to-hydrogen conversion.<sup>79–82</sup> Semiconductor QDs are deemed to be promising materials for PEC H<sub>2</sub> production due to their unique electronic and optical properties, such as high optical absorption coefficient, tunable band gap and band structure, and potential multiple exciton generation.<sup>77,83</sup> Furthermore, the utilization of QDs to sensitize metal-oxide semiconductors is one of the most promising methods for effective PEC hydrogen generation.<sup>84–88</sup> To date, the QDs mainly investigated for PEC H<sub>2</sub> production contain toxic elements such as Cd or Pb, limiting the applications in practice owing to the associated environmental issues.<sup>85,89</sup>

Heavy-metal-free I–III–VI CQDs are emerging as promising alternative materials and have been extensively utilized for PEC H<sub>2</sub> production due to their low toxicity, wide absorption region, and high light extinction coefficient.<sup>91–95</sup> Many efforts have



**Figure 5.** (a) Schematic illustration of the PEC  $\text{H}_2$  production using a CIS QDs/ $\text{TiO}_2$  photoanode. (b–d)  $J$ – $V$  curves, ABPE curves, and IPCE spectra of CIS QDs/ $\text{TiO}_2$  photoanodes. (e)  $\text{H}_2$  evolution over time measured at  $0.6 \text{ V}$  vs RHE, calculated Faradaic efficiency, and calculated theoretical  $\text{H}_2$  yield of the CIS 3/ $\text{TiO}_2$  photoanode.<sup>90</sup> Reproduced with permission from ref 90. Copyright 2023 Wiley.

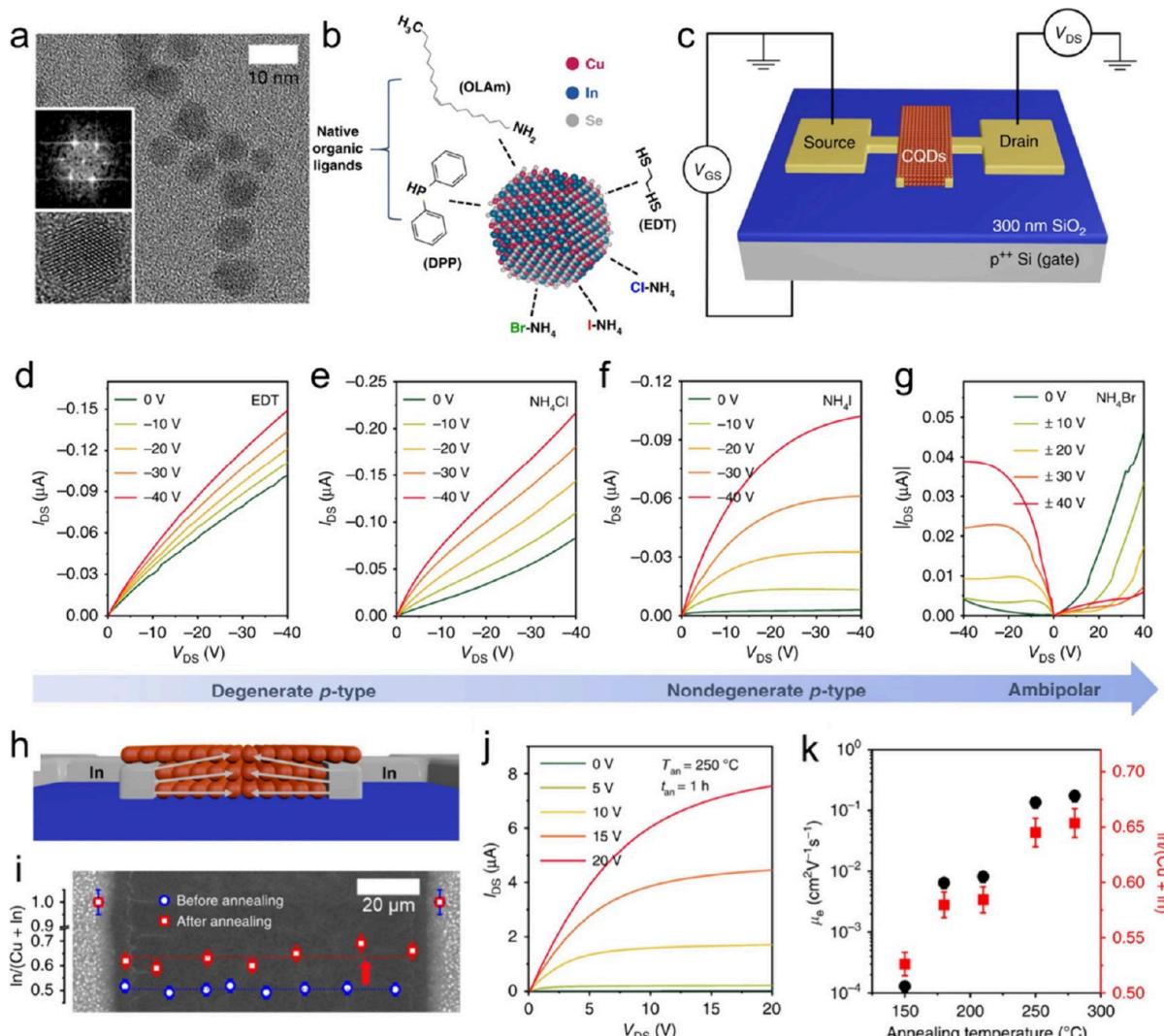


**Figure 6.** (a) TEM and high-angle annular dark field (HAADF) images of CIS CQDs. (b) Schematic illustration of the photocatalytic  $\text{H}_2$  production mechanism. (c) Photocatalytic  $\text{H}_2$  evolution performances of CIS CQDs. (d) Cycling stability test of CISe-1/4 for photocatalytic  $\text{H}_2$  production. (e) UV–vis absorption spectra and AQE of CISe-1/4 CQDs.<sup>108</sup> Reproduced with permission from ref 108. Copyright 2021 Royal Society of Chemistry.

been made in the fabrication process of QD photoanodes (morphology control, metal doping, core–shell structure, etc.) to develop the applicability of I–III–VI CQDs for PEC and explore the material design principles for efficient PEC  $\text{H}_2$  generation.<sup>96–98</sup> Note that defect engineering is also an efficient method to modulate the electrical and photophysical properties of semiconductor materials.<sup>99–101</sup> Furthermore, this method is particularly useful for multinary semiconductors, as they have different types of point defects.

Yang and coauthors reported the defect engineering of CIS CQDs for PEC  $\text{H}_2$  production by tuning the reactivity of metal

precursors during the QD synthesis process.<sup>90</sup> CIS CQDs with different In/Cu ratios maintaining their shape and size have been synthesized and employed to sensitize mesoporous  $\text{TiO}_2$  films for the fabrication of QD-sensitized photoanodes (Figure 5a). The CIS CQDs with an In/Cu ratio of 1.55 (CIS 3 QDs) possessed the longest carrier lifetime and highest carrier concentration among all the obtained CQDs. Thus, the photoanode fabricated by CIS 3 CQDs generated a champion photocurrent density of  $10.7 \text{ mA cm}^{-2}$  at  $0.6 \text{ V}$  vs RHE and a high applied bias photon-to-current efficiency (ABPE) (Figure 5b,c). Besides, the photoanode fabricated by CIS 3 CQDs



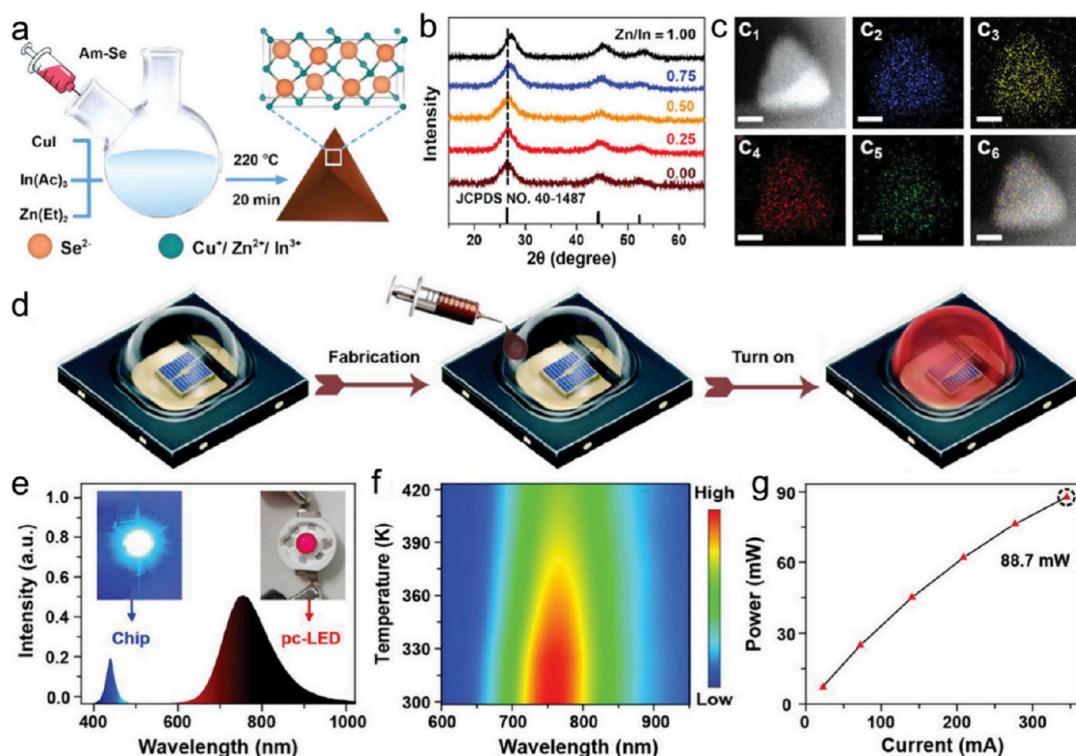
**Figure 7.** (a) TEM and HRTEM images of CIS CQDs. (b) Schematic illustration of a CIS CQD with different types of capping ligands. (c) Schematic illustration of a *p*-channel CQD-field-effect transistor (FET). (c–g) Output characteristics of Au-contact *p*-channel FETs fabricated by colloidal CIS QDs capped with EDT, NH<sub>4</sub>Cl, NH<sub>4</sub>I, and NH<sub>4</sub>Br, respectively. (h,i) Schematic illustration and a top-view SEM image of an In-contact *n*-channel CIS CQD FET. (j) Output characteristics of In-contact *n*-channel CIS CQD FETs. (k) Annealing temperature dependent In/(In + Cu) ratio (red squares) and electron mobility (black circles) of an In-contact *n*-channel CIS CQD FET.<sup>18</sup> Reproduced with permission from ref 18. Copyright 2020 Springer Nature.

exhibited the highest IPCE values over the entire spectral range (Figure 5d). This excellent performance is attributed to the higher electron collection and injection efficiencies compared with other samples.<sup>102</sup> They also investigated the actual H<sub>2</sub> generation performances of photoanodes fabricated by CIS 3 CQDs at 0.6 V vs RHE for 4 h (Figure 5e). The Faradaic efficiency was above 90%, illustrating that most of the measured current during the PEC reaction is used for hydrogen production (Figure 5e). The defect engineering of CIS CQDs can effectively boost the PEC H<sub>2</sub> generation property of CIS QD-sensitized photoanodes.

**2.5. Photocatalytic H<sub>2</sub> Production.** Photocatalytic water splitting to hydrogen production is an ideal approach for solar-to-hydrogen conversion without byproducts and pollution. I–III–VI CQDs have been widely used for photocatalytic H<sub>2</sub> production due to their Cd-free composition, composition-dependent band structure, multiple photon absorption, size-related photoelectric properties, and unique defect tolerance.<sup>103–105</sup> CIS among these I–III–VI CQDs has garnered

more attention for photocatalytic H<sub>2</sub> production due to its large exciton Bohr radius (approximately 10.6 nm), which facilitates the regulation of the bandgaps from ultraviolet to the near-infrared region via size.<sup>106,107</sup> More importantly, the defect tolerance and composition-dependent bandgap of CIS CQDs offer an opportunity to enhance the photocatalytic H<sub>2</sub> evolution property through defect engineering.

Yuan and coauthors developed a ligand-assisted two-step aqueous method to synthesize defect CIS CQDs for the first time (Figure 6a).<sup>108</sup> The copper vacancy defects and the substitution of indium at copper site defects obviously changed the absorption properties of the CIS CQDs. The obtained CIS CQDs can effectively catalyze the photocatalytic water splitting process to produce H<sub>2</sub> (Figure 6b). The CIS CQDs exhibited a Cu/In ratio dependent photocatalytic H<sub>2</sub> production property with a champion evolution rate of 722 μmol g<sup>-1</sup> h<sup>-1</sup>, which is about 23 times higher than that (31 μmol g<sup>-1</sup> h<sup>-1</sup>) of the initially synthesized CIS nucleus (Figure 6c). Furthermore, the hydrogen generation rate exhibited no significant decline and



**Figure 8.** (a) Schematic graph showing the colloidal synthesis of  $Zn^{2+}$  doped CIS (CIS-Zn) CQDs. (b) XRD patterns of CIS CQDs with different doping concentrations of  $Zn^{2+}$ . (c) EDS-mapping of CIS-Zn CQDs. (d) Schematic illustration of the fabrication of pc-LEDs using CIS-Zn@ZnSe CQDs. (e) Electroluminescence performances of the fabricated pc-LED at an actuation current of 120 mA. (f) Temperature-dependent PL emission properties ( $\lambda_{ex} = 365$  nm) of the fabricated pc-LED at temperatures from 298 to 423 K. (g) Output power of the fabricated pc-LED at different actuation currents.<sup>23</sup> Reproduced with permission from ref 23. Copyright 2023 Wiley.

consistently maintained above 80% of the initial cycle for CIS-1/4 QDs after four consecutive cycles (Figure 6d). The most efficient CIS CQDs showed a wavelength-dependent AQE of  $H_2$  production with a consistent tendency in the absorption spectrum (Figure 6e) with a maximum AQE of 1.3%.

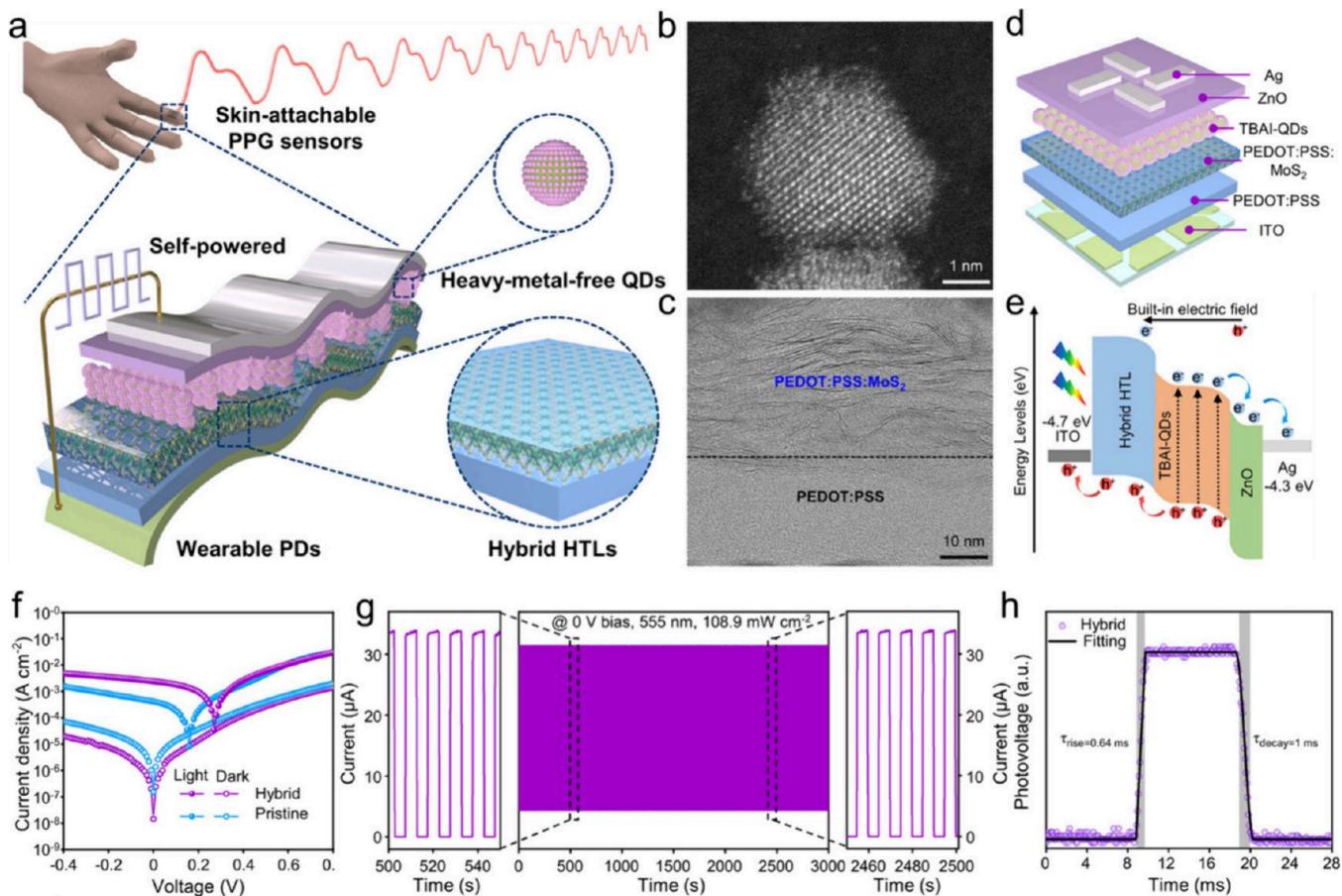
**2.6. QD Transistors.** Thin-film transistors (TFTs) and the corresponding integrated circuits have attracted significant attention because they are the fundamental building blocks for fabricating next-generation electronic devices.<sup>109,110</sup> The fabrication of devices on flexible substrates using the widely employed single-crystal and polycrystalline silicon TFT technology is challenging due to the requirement for high process temperatures.<sup>111</sup> In addition, scale-up production of industrial silicon ineluctably leads to high cost and energy consumption.<sup>112</sup> In the last few decades, many semiconductor materials have been studied for replacing silicon to fabricate next-generation electronic devices, such as carbon materials, metal oxides, transition metal chalcogenides, and organic materials.<sup>113–116</sup> The emerging technology of CQD electronics offers an opportunity for combining the advantages of well-understood inorganic semiconductors with solution processability.

CQDs are considered as some of the most promising alternatives for silicon due to their high chemical and environmental stability and shape-, size-, and composition-controlled electronic properties.<sup>117–119</sup> The CQDs are usually synthesized through a colloidal approach, enabling low-cost fabrication of thin-film electronic devices via easily scalable solution-based methods.<sup>17,30,120</sup> However, the basic and applied research conducted on CQDs is primarily focused on Pb and Cd chalcogenides, which contain highly toxic components that

greatly limit their potential applications.<sup>121–123</sup> I–III–VI<sub>2</sub> CQDs are the possible nontoxic alternatives for Pb and Cd chalcogenide QDs and have been widely used for fabricating electronic devices in the fields of transistors, LEDs, and solar energy conversion.<sup>124–126</sup> As an outstanding alternative, CIS CQDs with desirable carrier type, concentration, and mobility can be easily obtained via regulating their size, shape, and composition.<sup>127</sup> In recent years, CIS CQDs have been extensively utilized to fabricate solution-processed TFTs.<sup>30,128,129</sup>

Yuan and coauthors fabricated both *n*- and *p*-channel transistors and the corresponding integrated circuits using CIS CQDs.<sup>18</sup> First, they synthesized highly crystalline CIS CQDs with organic capping ligands by a single-pot colloidal synthesis method (Figure 7a,b). Then, they fabricated *p*-channel FETs with gold drain and source electrodes (Figure 7c) in order to study the hole transport properties of CIS CQD films. Because the synthesized CIS CQDs are overcoated with a shell of organic ligands, the CIS CQD films show a low hole mobility of  $2.6 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , which is attributed to the wide interdot spacing constrained by the long organic ligands. In order to improve the carrier mobilities in CIS CQD films, the original long organic ligands are generally replaced with shorter species, such as 1,2-ethanedithiol (EDT) and halide ligands (e.g., NH<sub>4</sub>Cl, NH<sub>4</sub>Br and NH<sub>4</sub>I).<sup>130–132</sup> The hole mobility increased to ca.  $1.3 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  (Figure 7d) when the CIS CQD films were treated with EDT. Similarly, the carrier mobility of CIS CQD films increased by a factor of ca. 10 when they were treated with halide ligands (Figure 7e–g).

Subsequently, they fabricated *n*-channel CIS CQD FETs with indium source and drain contact devices by thermal annealing to



**Figure 9.** (a) Schematic illustration of ultrathin CIS QD photodetectors. (b,c) STEM image of CIS CQDs and cross-sectional TEM image of the hybrid PEDOT/PSS/MoS<sub>2</sub> hole transport layer. (d,e) Schematic and energy level diagrams of the CIS QD photodetectors. (f)  $J$ – $V$  measurements of the photodetectors with pristine and hybrid hole transport layers under illumination (555 nm,  $91.7 \text{ mW cm}^{-2}$ ) and in the dark. (g) Time-dependent photoresponse of the hybrid photodetectors at 0 V bias under illumination (555 nm,  $108.9 \text{ mW cm}^{-2}$ ). (h) Rise and decay times of the hybrid photodetectors.<sup>28</sup> Reproduced with permission from ref 28. Copyright 2023 American Chemical Society.

study the electron transport property of CIS CQD films (Figure 7h,i). The annealed In-contact FET fabricated by NH<sub>4</sub>I-treated CIS CQDs exhibited an excellent *n*-type property with an electron mobility of ca.  $0.14 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  (Figure 7j). Interestingly, the electron mobility (black circles) rapidly increased with the annealing temperature, which was related to the increase of the In ratio in the CQDs (Figure 7k). Importantly, the integrated logic circuits made of *p*- and *n*-channel CIS CQD FETs show low switching voltages compatible with standard complementary metal-oxide-semiconductor devices.

**2.7. QD LEDs.** Semiconductor QDs serve as a class of widely known light-emitting nanocrystals, which have been used as efficient lighting materials for decades.<sup>133–135</sup> Although the common CdSe CQDs have serious environmental issues, such as toxicity, they are widely used lighting materials in commercial LEDs.<sup>136–138</sup> Many efforts have been made to find environmentally benign QDs to replace Cd-based QDs for commercial applications. I–III–VI ternary CQDs are promising alternatives for lighting applications due to their green and environmental friendliness, high emission intensity, and tunable PL emission ranges from the visible to NIR window.<sup>126,139–141</sup> Furthermore, strong and stable luminescence is important for practical applications.

CIS with a narrow bandgap of 1.04 eV and a large exciton Bohr radius of ca. 10.6 nm is predicted to be a promising

luminescent emitter in the red and near-infrared light region.<sup>15,127,142</sup> Allen and coauthor successfully synthesized CIS CQDs with a remarkable photoluminescence quantum yield (PLQY) of 25%.<sup>143</sup> Nose and coauthors have obtained stoichiometric CIS CQDs with PLQYs of 5%, later improving the PLQYs to 16% through coating a ZnSe shell on CIS QDs.<sup>144</sup> Subsequently, several researchers have successfully improved the PLQYs through shell engineering. Cassette and coauthors synthesized CIS/ZnS core/shell CQDs with PLQYs up to 50%.<sup>145</sup> Park and coauthors produced In-rich CIS CQDs with PLQYs of 20–30%, improving the PL efficiency up to 60% via ZnS-shell protection.<sup>146</sup> The excellent NIR PL emission property of CIS CQDs makes them an ideal choice as a luminescent material for portable mini- or microphosphor-converted LEDs (pc-LEDs).

Chen and coauthors reported the design of broad-band NIR CIS CQDs for micro pc-LED applications. High-quality Zn<sup>2+</sup> doped CIS CQDs were prepared through a hot-injection method (Figure 8a).<sup>23</sup> The PL emission peak was manipulated from 855 to 1150 nm by adjusting the Cu/In ratios. Moreover, the Zn dopant has a nearly negligible influence on the crystal structure of CIS CQDs (Figure 8b) and is homogeneously distributed throughout the CISe-Zn CQD (Figure 8c). Furthermore, the PLQY of CISe-Zn CQD could be improved from 28.6% to 92.8% through coating a ZnSe shell. Subsequently, they fabricated a NIR pc-LED using CISe-Zn@

ZnSe CQDs as phosphors combined with a commercial blue chip (Figure 8d). The fabricated NIR pc-LED shows a broad NIR emission in the region of 600–1000 nm (CISe-Zn@ZnSe CQDs) and a blue emission at 450 nm (chip) (Figure 8e). Importantly, the fabricated NIR pc-LED exhibited good thermal stability at temperatures from 298 to 423 K (Figure 8f). The output power increased with the actuation current and a high NIR output power of 88.7 mW could be achieved at an actuation current of 350 mA (Figure 8g), which is much higher than those of the reported Cd/Pb-free QD-based NIR pc-LEDs.<sup>147–149</sup>

**2.8. QD Photodetectors.** Photodetectors which can convert different photons into electrical signals are widely utilized in optical communications, light cameras, and medicine.<sup>150–152</sup> Till now, many semiconductor materials have been used as photoactive layers in photodetectors, such as silicon, QDs, transition metal chalcogenides, and conjugated polymers.<sup>153–156</sup> However, they always have a high cost and require a complicated fabrication process.<sup>157</sup> Solution-processed CQDs with quantum size effect tuning are compatible with a series of substrates, enabling a direct integration with commercial microelectronics, microfluidics, organic circuits, and optical circuitry.<sup>5,158–160</sup> Many solution-processed CQD ultrasensitive photodetectors have been demonstrated in both the visible and infrared region, but these devices are usually fabricated by using toxic Cd/Pb-based QDs.<sup>161,162</sup> The exploration of environmentally friendly QDs to achieve high detectivity in photodetectors is still required.

Recently, heavy-metal-free CIS nanomaterials with different shapes have attracted attention as a key material for application in ecofriendly photodetectors owing to their direct bandgap transient characteristics, stable phase structure, wide absorption range covering the ultraviolet to near-infrared region, and nontoxicity.<sup>25,163–165</sup> Li and coauthors used heavy-metal-free CIS CQDs to fabricate ultrathin self-powered photodetectors, which enable high-performance wearable photoplethysmography-based health monitoring systems (Figure 9a).<sup>28</sup> CIS CQDs capped with I<sup>−</sup> were used to fabricate ultrathin light absorption layers (~40 nm); mixtures of p-type colloidal MoS<sub>2</sub> nanosheets and poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate) (PEDOT:PSS) were employed as hole transport layers (HTLs), and n-type ZnO nanoparticles were used as electron transport layers (ETLs) (Figure 9b,c).

Ultrathin CIS QD photodetectors are fabricated in a structure with ITO/HTL (60 nm)/TBAI-QDs (40 nm)/ZnO (23 nm)/Ag (90 nm) (Figure 9d). The energy band of CIS QDs is situated between those of the ZnO and HTL, driving photogenerated holes and electrons across the interface to reach the ITO and Ag electrodes, respectively (Figure 9e). Figure 9f shows the *J*–*V* curves of pristine photodetectors fabricated with pure PEDOT:PSS and hybrid photodetectors fabricated with hybrid HTLs, which were recorded under 555 nm illumination (91.7 mW cm<sup>−2</sup>) and in the dark. The dark-current and photocurrent densities of the hybrid photodetectors are more than 10-fold lower and 5-fold higher than those of the pristine photodetectors at 0 V bias, respectively. These excellent performances are attributed to the rapid charge extraction realized by hybrid HTLs and restrained charge injection via a strong potential barrier coming from enhanced photovoltage. The hybrid photodetectors exhibited a reversible and stable *I*<sub>light</sub>/*I*<sub>dark</sub> ratio of ~8.6 × 10<sup>4</sup> under periodic switching at 555 nm without degradation after 3000 s of continuous operation, illustrating the excellent photostability (Figure 9g). In addition, both the rise and decay times of the hybrid photodetectors are

shorter than those of the pristine photodetectors (Figure 9h). Moreover, the CIS QD photodetectors can be integrated into skin-attachable photoplethysmography sensors for accurate real-time healthcare monitoring.

### 3. SUMMARY AND PERSPECTIVES

Recently, there has been significant progress in the development of CIS CQDs due to their strong light absorption and bright emission in both visible and infrared regions, large Stokes shift, and relatively lower toxicity compared to conventional CdX and PbX (X = S, Se, and Te) QDs. The composition-dependent bandgap and special defect tolerance of CIS CQDs enable convenient customization of band alignment for various applications, making them highly suitable for solar energy conversion, displays, and electronic devices. This review presents a comprehensive overview of recent optical applications involving CIS CQDs, including QD solar cells, QDSSCs, and QD LSCs. Additionally, it discusses the utilization of these materials in photocatalytic and photoelectrochemical H<sub>2</sub> production, QD transistors, QD LEDs, and QD photodetectors. Although significant progress has been achieved in the optical applications of CIS CQDs, several unresolved challenges necessitate further investigations into their device applications. Herein, we provide insights into current challenges and offer suggestions for future advancements.

- (1) The large-scale synthesis of CIS CQDs is fundamental for commercialization. Achieving gram-scale synthesis of CIS CQDs without compromising quality remains a significant challenge, and there is an urgent need to design reactions beyond the laboratory scale. Additionally, the lack of precise control over size and composition hinders the investigation of their size- and composition-dependent optical properties.
- (2) The future device applications based on CIS CQDs are typically fabricated using printing techniques. Therefore, it is essential to modulate the surface of CIS CQDs with suitable ligands, enabling their effective dispersion in various solvents. Consequently, ligands consisting of short-chain organic compounds that exhibit stability at high temperatures are highly preferred.
- (3) Although significant progress has been made in CIS QD-based devices, their performance still falls short of practical applications. The understanding of the working mechanism and the design of more device structures are essential for advancing the functionality of the devices. Taking the QD photodetectors for example, the performances can be enhanced by optimizing the design of better electron and hole transport layers.

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## ABBREVIATIONS

QDs, quantum dots; CIS, CuInSe<sub>2</sub>; DOS, density of state; CQDs, colloidal quantum dots; PEC, photoelectrochemical; QDSSCs, quantum dot sensitized solar cells; LSCs, luminescence solar concentrators; LEDs, light-emitting diodes; EQE, external quantum efficiency; PCE, power conversion efficiency; AQE, apparent quantum efficiency; HAADF, high-angle annular dark field; TFTs, thin-film transistors; PLQYs, photoluminescence quantum yields; PL, photoluminescence; NIR, near-infrared; HTLs, hole transport layers; ETLs, electron transport layers

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