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# The use of next generation sequencing in the diagnosis and typing of respiratory infections



Fiona Thorburn<sup>a,\*</sup>, Susan Bennett<sup>b</sup>, Sejal Modha<sup>a</sup>, David Murdoch<sup>c</sup>, Rory Gunson<sup>b</sup>, Pablo R. Murcia<sup>a</sup>

<sup>a</sup> MRC-University of Glasgow Centre for Virus Research, Garscube Campus, 464 Bearsden Road, Glasgow, G61 1QH, UK

<sup>b</sup> West Of Scotland Specialist Virology Centre, Glasgow Royal Infirmary, Alexandra Parade, Glasgow, G31 2ER, UK

<sup>c</sup> Department of Pathology, University of Otago, Christchurch, New Zealand

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## ABSTRACT

**Background:** Molecular assays are the gold standard methods used to diagnose viral respiratory pathogens. Pitfalls associated with this technique include limits to the number of targeted pathogens, the requirement for continuous monitoring to ensure sensitivity/specificity is maintained and the need to evolve to include emerging pathogens. Introducing target independent next generation sequencing (NGS) could resolve these issues and revolutionise respiratory viral diagnostics.

**Objectives:** To compare the sensitivity and specificity of target independent NGS against the current standard diagnostic test.

**Study design:** Diagnostic RT-PCR of clinical samples was carried out in parallel with target independent NGS. NGS sequences were analyzed to determine the proportion with viral origin and consensus sequences were used to establish viral genotypes and serotypes where applicable.

**Results:** 89 nasopharyngeal swabs were tested. A viral pathogen was detected in 43% of samples by NGS and 54% by RT-PCR. All NGS viral detections were confirmed by RT-PCR.

**Conclusions:** Target independent NGS can detect viral pathogens in clinical samples. Where viruses were detected by RT-PCR alone the Ct value was higher than those detected by both assays, suggesting an NGS detection cut-off – Ct = 32. The sensitivity and specificity of NGS compared with RT-PCR was 78% and 80% respectively. This is lower than current diagnostic assays but NGS provided full genome sequences in some cases, allowing determination of viral subtype and serotype. Sequencing technology is improving rapidly and it is likely that within a short period of time sequencing depth will increase in-turn improving test sensitivity.

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## 1. Background

Virus specific molecular assays such as real-time PCR (RT-PCR) are now considered the gold standard in the diagnosis of viral respiratory tract infections. They are rapid, relatively inexpensive and offer increased sensitivity and specificity over prior techniques

**Abbreviations:** RT-PCR, real-time polymerase chain reaction; NGS, next generation sequencing; NPS, nasopharyngeal swab; VTM, viral transport medium; HRV, human rhinovirus; IFA, influenza A; IFB, influenza B; RSV, respiratory syncytial virus; ADV, adenovirus; hMPV, human metapneumovirus; PIV-1-4, parainfluenza virus 1-4; HCoV, human coronavirus; WoSSVC, West of Scotland Specialist Virology Center; HEV, human enterovirus; Ct, cycle threshold; BLAST, basic local alignment search tool; TRT, turn-around time.

\* Corresponding author.

E-mail address: [Fiona.thorburn@hotmail.com](mailto:Fiona.thorburn@hotmail.com) (F. Thorburn).

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such as virus culture and direct immunofluorescence. Assays can be developed quickly to detect novel/emerging pathogens and can be combined to identify multiple microbiological pathogens in a single test. Yet there is a limit to the number of targets, usually up to four, which can be included in an in-house test before compromising test sensitivity. As a result, diagnostic laboratories must develop a panel of multiplex tests in order to detect the whole range of pathogens. Also, as for all PCR based assays, detection is based on targeting conserved regions of the pathogen genome and mutations can lead to reduced sensitivity or false negative results. Furthermore, only the targeted pathogens included in the assay will be identified, therefore atypical or emerging pathogens will generally evade detection by PCR. Although commercial PCR based tests [1] are available that overcome some of the pitfalls associated with in-house tests, they remain PCR based technologies and as a result suffer from the same sequence based pitfalls outlined above.

Introducing NGS into a diagnostic setting may revolutionize the investigation of respiratory infections. Combining sequence independent amplification with NGS will potentially detect viral and non-viral pathogens within a clinical specimen without actively targeting them, while simultaneously analyzing the genetic sequence. NGS is established in virus discovery, whole genome studies and metagenome studies [2–4] thus the simultaneous detection of multiple different pathogens with this technique is possible. However the efficacy and feasibility of employing such techniques in a diagnostic setting requires further study.

## 2. Objectives

Here we present a pilot study that compares current diagnostic techniques, namely RT-PCR with NGS in the detection of RNA viruses in respiratory samples from individuals symptomatic of a respiratory illness.

## 3. Study design

### 3.1. Samples

Eighty nine nasopharyngeal swabs (NPS) were collected from adults with upper respiratory tract infections between May 2010 and October 2011. Samples were collected as part of the VIDARIS trial, a random subset of which were used in this study. It should be noted that over half of the participants in this trial were vaccinated against influenza. Ethical approval was provided by the Upper South B Regional Ethics Committee. All participants provided written informed consent [5]. Swabs were stored in viral transport media (VTM) at  $-80^{\circ}\text{C}$  until testing. The VTM was thawed at  $37^{\circ}\text{C}$  and centrifuged at  $1500 \times g$  for 10 min to remove debris. Total nucleic acids were extracted from 200  $\mu\text{l}$  of the supernatant (Mag-Jet Viral DNA and RNA kit, Thermo Scientific) and eluted in 100  $\mu\text{l}$  of water.

### 3.2. Next generation sequencing method

A 20  $\mu\text{l}$  aliquot of the extract was treated with DNase 4U (Turbo DNase 2U/ $\mu\text{l}$ , Life technologies) for 30 min at  $37^{\circ}\text{C}$ . RNA was purified from the reaction using RNAClean XP beads (Agencourt), eluted in 15  $\mu\text{l}$  of water and reverse transcription carried out using Maxima Minus H (ThermoFisher) at  $50^{\circ}\text{C}$  for 60 min with 0.2  $\mu\text{M}$  primer FR26RV-N (5' GCC GGA GCT CTG CAG ATA TCN NNN NN 3'). Second strand cDNA was synthesised (NEBNext mRNA 2nd Strand Synthesis, New England Biolabs) and the reaction purified with Ampure XP beads (Agencourt). Sequence-independent single primer amplification (SISPA) was carried out with the Advantage 2 PCR kit (Clontech) and 0.2  $\mu\text{M}$  primer FR20RV (5' GCC GGA GCT CTG CAG ATA TC 3'). The PCR product was purified with Ampure XP beads (Agencourt) and quantified (Qubit HS DNA, Life Technologies). 1 ng of cDNA was used to prepare barcoded sequencing libraries with the Nextera XT DNA Sample Prep kit (Illumina) and indices from the Nextera XT Index Kit as per the manufacturer's instructions. Up to 24 sample libraries were pooled per sequencing run and 151 bp paired-end reads were generated on the Illumina MiSeq.

### 3.3. Bioinformatic analysis

Sequencing adapters and low quality sequencing reads were removed (Trim Galore!, Babraham Bioinformatics) and low-complexity reads filtered out (PrinSeq [6]). High quality paired-end sequences were retained for downstream analyses. These sequences were mapped to a database containing a human genome and cDNA references, to remove host sequences.

Unmapped sequences were entered into the Metasop pipeline [7] which employs multiple de novo assemblers with  $k$ -mer optimisation to assemble contigs. The contigs from the most effective assembly were then taxonomically classified using the Basic Local Alignment Search Tool (BLAST) against the GenBank nucleotide and non-redundant databases (cut off  $E$  value 0.001). Identical sequences between samples were removed using BedTools and unique sequences were retained for further analysis. Sequenced reads were then mapped back to the top taxonomic hit for each sample and visualized using Tablet [8], to quantify viral reads within each sample and generate a consensus sequence. Where appropriate, greater than 90% of reference genome coverage, the consensus sequences were aligned with known reference sequences and phylogenetic analysis carried out using MEGA6 [9]. Taxonomic hits were compared with the results of the diagnostic qRT-PCR (Table 1).

### 3.4. Diagnostic in-house RT-PCR methods

40  $\mu\text{l}$  of the nucleic acid extract was then screened for human rhinovirus (HRV), influenza A/B (IFA/IFB), respiratory syncytial virus (RSV), adenovirus (ADV), human metapneumovirus (hMPV), parainfluenzavirus 1–4 (PIV 1–4), coronaviruses (HCoV) HKU1, NL63, OC43 and 229E and Mycoplasma pneumonia using the routine diagnostic qRT-PCR at the West of Scotland Specialist Virology Centre (WoSSVC) as previously described [10].

## 4. Results

### 4.1. Next generation sequencing

The average number of sequences generated per sample was  $\sim 660,640$  (range 30,872–1,278,122) after quality trimming and filtering. Viral contigs were found in 53/89 samples but following removal of duplicate reads this was reduced to 46/89. In a subset of samples ( $n=8$ ), there were fewer than 10 unique viral reads detected by the NGS assay alone. Due to the low number of reads we deemed these to be negative by NGS. The viral sequences detected in the remaining 38 samples belonged to the Picornaviridae, Coronaviridae, Paramyxoviridae and Orthomyxoviridae (Table 1). No mixed infections were detected by NGS.

Picornaviruses were most frequently detected ( $n=21$ ) and classified as HRV in 20/21 and enterovirus (HEV) in 1/21 cases. These could be subdivided into 3 rhinovirus species, A (11/21), B (4/21), C (5/21) and HEV D. Picornavirus sequences generated by NGS showed high similarity at the nucleotide level to reference genomes available in the NCBI database, allowing us to assign a serotype in all but one cases (Table 1). The extent of reference genome coverage and phylogenetic similarity to reference sequences are shown in Figs. 1 and 2, respectively. Numerous HRV serotypes and an HEV-D68 were detected. Human coronaviruses (HCoV) were detected in nine samples and were found to belong to the following types: HCoV 229E (4/9), HCoV NL63 (3/9) and HCoV OC43 (2/9). Paramyxoviruses were detected in seven samples. These included hMPV-B (2/7), PIV-3 (2/7), RSV-A (2/7) and RSV-B (1/7). An Orthomyxovirus was detected in one sample and typed as Influenza A H3N2.

All viruses identified by NGS were confirmed by qRT-PCR. However, in eleven cases, virus was identified by RT-PCR only. This included the following viruses, ADV (1/11), PIV-2 (1/11), hMPV (1/11), RSV (2/11), HCoV (3/11) and HRV (3/11). One sample was found by RT-PCR to contain a mixture of both ADV and HRV. The NGS method failed to detect the ADV in this sample. Where NGS confirmed the findings of the RT-PCR assay the Ct values were sig-

**Table 1**  
A summary of sequenced reads, real-time PCR and NGS data, including RT-PCR results for all positive samples and the accession number of top taxonomic hits from BLAST along with reference assembly details.

Sample	Total Reads	% mapping to human reference	PCR	Ct	Taxonomy	Accession	% reads mapping	% genome covered	Nucleotide mismatch
1C2	1107488	81.67	HRV	26.75	Enterovirus D-68	AB601885	1.46	14.9	1.4
2A3	1110727	88.23	HCoV 229E	18.14	HCoV 229E	JX503060	9.63	99.8	0.6
2A4	311141	98.39	HCoV 229E	20.00	HCoV 229E	JX503060	0.16	55.3	0.6
2C3	470840	93.93	HCoV 229E	25.83	HCoV 229E	JX503060	0.48	20.0	0.7
2D2	743683	94.07	HCoV 229E	19.74	HCoV 229E	JX503060	2.50	73.9	0.6
1D3	801021	96.66	HCoV NL63	27.17	HCoV NL63	KF530112	0.38	11.7	0.5
1E7	426736	92.10	HCoV NL63	24.36	HCoV NL63	JQ765569	0.97	23.3	0.5
1F8	644660	93.24	HCoV NL63	25.22	HCoV NL63	JQ765567	0.03	3.7	0.4
1H1	608153	94.92	HCoV OC43	17.21	HCoV OC43	KF530099	3.48	99.9	1.6
2D5	992040	93.04	HCoV OC43	14.63	HCoV OC43	JN129835	2.70	97.6	0.7
1G6	81749	37.68	hMPV	26.84	hMPV-B	KJ627397	1.41	18.5	2.0
2A9	626886	84.12	hMPV	25.68	hMPV-B	KF530171	6.35	48.4	3.1
1C7	538412	69.88	HRV	24.93	HRV-A1	JN837694	4.76	87.4	1.2
1D1	1010455	59.82	HRV	20.68	HRV-A1	JN837694	32.03	100	1.9
1D4	1089553	23.19	HRV	19.81	HRV-A1	JN837694	74.67	99.6	2.2
1I5	728425	92.82	HRV	33.66	HRV-A1	JN837694	0.01	39.0	5.6
1H3	413108	83.13	HRV	20.44	HRV-A2	X02316	6.65	96.0	8.1
2C1	816200	91.89	HRV	20.24	HRV-A21	JN837693	5.32	97.9	2.5
1B1	546282	20.17	HRV	18.73	HRV-A49	JN798589	75.48	100	2.5
2B7	596971	95.94	HRV	17.24	HRV-A60	JN798590	1.82	95.3	3.7
2D6	1016390	82.29	HRV	23.07	HRV-A8	FJ445113	6.23	97.0	8.1
1G1	265505	78.73	HRV	28.49	HRV-A90	FJ445167	0.02	2.6	10.8
1C3	1278307	65.56	HRV	28.15	HRV-A97	FJ445172	0.31	20.9	8.7
1I8	1132106	99.02	HRV	27.85	HRV-B27	JF285309	0.01	6.7	3.7
1I4	641357	98.15	HRV	26.01	HRV-B3	JF285331	0.64	97.2	2.4
1H7	657627	56.80	HRV	19.39	HRV-B4	JN798573	39.08	99.7	1.4
1B2	638257	93.07	HRV	26.78	HRV-B92	FJ445169	2.53	56.4	7.5
1I6	1063930	57.27	HRV	29.11	HRV-C (UTR)	JX129433	2.92	N/A	2.3
2A6	1056405	83.90	HRV	23.12	HRV-C11	EU840952	0.29	27.2	8.9
1E1	758165	61.76	HRV	20.1	HRV-C15	GU219984	33.93	100	2.0
1E8	887501	96.50	HRV	25.09	HRV-C15	GU219984	0.06	29.7	2.5
1I2	710612	60.67	HRV	19.80	HRV-C17	JN815240	33.29	99.1	3.8
1B8	818055	96.78	Influenza A	22.34	Influenza A H3N2	KJ942712	0.03	30.6–60.3	various
1B5	696929	50.67	PIV-3	27.57	PIV-3	KJ672618	5.62	5.0	0.5
1G2	309778	78.95	PIV-3	28.09	PIV-3	KJ672606	0.04	2.8	0.6
1E3	700028	93.78	RSV	20.84	RSVA	KJ627329	3.71	91.0	0.7
1F1	322516	85.54	RSV	25.08	RSVA	KF826849	3.79	54.6	0.5
2B4	612887	55.78	RSV	19.32	RSVB	JX576741	40.52	99.3	2.0
1G1	265505	78.73	Adeno	35.1	N/A				
2A2	745105	98.00	HCoV 229E	32.35	N/A				
2C4	759226	98.06	HCoV 229E	33.84	N/A				
2D3	765913	89.42	HCoV OC43	24.05	N/A				
1C9	837310	47.23	hMPV	35.51	N/A				
1B7	537522	62.21	HRV	28.94	N/A				
1F7	236596	70.59	HRV	28.03	N/A				
2B9	569654	76.13	HRV	33.31	N/A				
2D4	1034235	96.30	PIV-2	36.07	N/A				
1E5	714509	96.87	RSV	30.01	N/A				
2B6	854593	77.09	RSV	33.96	N/A				

nificantly lower than the group where a virus was detected by the RT-PCR assay alone ( $p < 0.0001$ , unpaired  $t$ -test), shown in Fig. 3. No samples contained viral pathogens detected by NGS only.

Further examination of the relationship between the numbers of viral sequenced reads and the threshold cycle (Ct) value provided by the RT-PCR assay is shown in Fig. 4. The log of the percentage of sequenced reads correlates with the Ct value indicating that a higher viral load is associated with a greater proportion of viral reads.

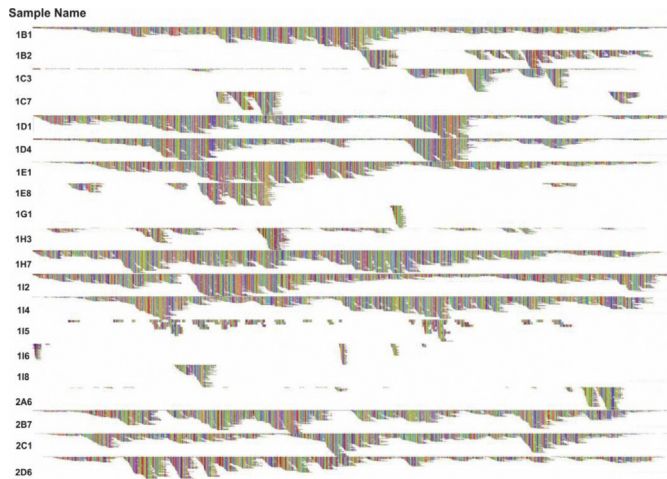
Despite sample treatment to enrich for viral RNA, a substantial number of bacterial transcript sequences were generated, however partial genome amplification of bacteria only allows classification to the level of order/family (data not shown).

## 5. Discussion

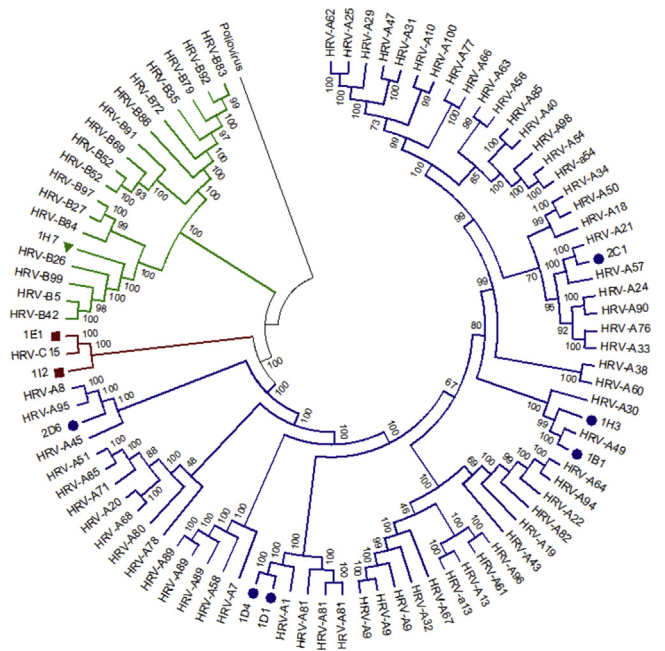
As a pilot study designed to assess the utility of NGS as a method for the detection of respiratory RNA viruses, we compared

an in-house NGS method with an established in-house RT-PCR test using 89 respiratory samples. A viral pathogen was detected by the NGS method in 38 samples with the RT-PCR confirming these and detecting a further 11 viruses. Overall, based on these results, the NGS assay had a sensitivity of 77.55% (95% CI 63.37–88.21%) and specificity of 80.49% (95% CI 65.13–91.15%) or 100% (95% CI 91.31–100%) if considering samples with fewer than 10 unique reads are negative, compared to RT-PCR. It is possible that the sensitivity of NGS detection could vary between virus groups however the number of detections in this cohort was not powered to assess each individually.

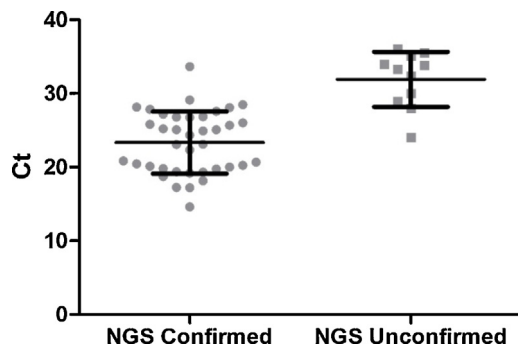
The 11 NGS negative/RT-PCR positive samples contained virus at lower Cts compared to those positive by both assays, suggesting the current NGS method has a cut off in the region of Ct 32, approximately 1–2 logs less sensitive than the qRT-PCR method (data not shown). This finding is similar to that outlined by Prachayangprecha et al. At present, this level of sensitivity is not appropriate for diagnostic services to replace qRT-PCR. Increasing the depth of



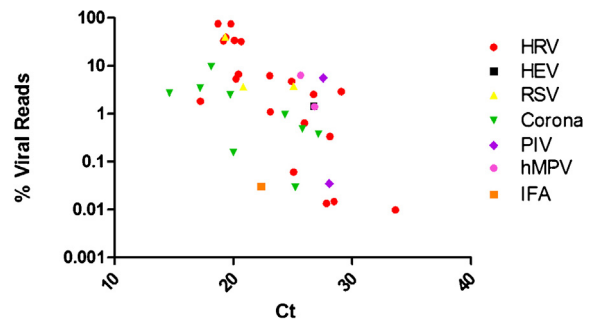
**Fig. 1.** HRV genome coverage – a graphic visualization of the reference genome coverage following Bowtie2 alignment of sequencing reads to the top BLAST hit for each HRV positive sample.



**Fig. 2.** Neighbor-joining (NJ) phylogenetic tree of full-genome reference sequences and a subset of the NGS consensus sequences with full or near-full reference genome coverage. The branch annotations represent the bootstrap values (percentage of 1000 samples trees). Sequences generated in this study are shown as circles and squares.



**Fig. 3.** Threshold cycle (Ct) values respiratory of samples positive by RT-PCR and either confirmed or unconfirmed by the NGS assay. The bars indicate the mean and one standard deviation. An unpaired *t*-test demonstrates a significant difference between the two groups ( $p < 0.0001$ ).



**Fig. 4.** The percentage of sequenced reads, after quality trimming, mapping to the taxonomic reference genome determined by BLAST. Linear regression of data,  $R^2$  value = 0.27 ( $p = 0.0009$ ). This is suggestive of a log relationship between the proportion of reads mapping and the Ct value.

sequencing could improve the sensitivity of NGS, either by reducing the multiplexing of samples (i.e., reducing the number of samples processed per sequencing run) or using an alternative platform with greater capacity such as the Illumina NextSeq or HiSeq. Reducing the contamination of host DNA through host DNA depletion holds the greatest promise [11] to increase the depth of viral and microbial DNA as we found up to 99% of reads obtained per sample were derived from the host.

One of the 11 pathogens not detected by the NGS method was adenovirus. It is unclear whether this was due to the sensitivity cut off discussed above (Ct of 35.1) or due to the initial DNase step outlined in the method. In future, if this is to be adapted for the detection of DNA viruses and other non viral causes of respiratory infection then other ways of enrichment will need to be sought. Perhaps target enrichment via hybridization, but again this may encounter the issue of missing novel or changed viruses.

Although the NGS method failed to detect all the positive samples, it did offer several advantages over the RT-PCR method. For example, the NGS provided more detailed typing information for the detected viruses including subtype data for RSV and hMPV as well as serotype data for the HRV and HEV.

Such information is highly informative. As well as enabling real-time diagnostic data to be produced, NGS could simultaneously provide resistance testing (e.g., H275Y mutation of influenza A, conferring oseltamivir resistance) and typing data, as demonstrated here and previously [12,13]. This could be used to inform public health of circulating strains and could allow rapid detection of the emergence of novel subtypes (e.g., EV68 and ADV 14) or highlight potential outbreaks. In future, it may also detect viral polymorphisms associated with disease severity [14,15].

Similar to other studies we also found a correlation between NGS sequence reads and RT-PCR cycle thresholds [16,17]. This was particularly strong for rhinoviruses – mainly because these represented the majority of the pathogens detected. This suggests that sequence reads might be a suitable proxy for viral/target copies. This would enable laboratories/clinicians to interpret the clinical relevance of results [18]. Such data can also be used to infer prognosis or treatment response.

Viral co-infection detection by NGS could not be assessed in this cohort as only a single co-infection episode was detected by RT-PCR. The population under study were normally healthy adults who did not require health care interventions. There is little information on co-infections in such individuals but evidence suggests that viral co-infections occur more commonly in hospitalised individuals [19]. The detection of influenza was also lower than may have been expected which probably relates to the level vaccina-

tion amongst the cohort. Further studies including children and unvaccinated individuals would be of use.

The NGS approach did not detect positive results that were missed by the RT-PCR. Although this panel was small, our data supports other studies which have concluded that current respiratory panels are appropriate for the detection of the main causes of viral respiratory disease.

A major barrier to the introduction of NGS as a routine diagnostic test is its cost and turnaround time. The current cost of sequencing is prohibitive as a diagnostic test when compared to RT-PCR but this has reduced in recent years, a trend which will probably continue in the future. Although not designed to detect bacteria the current method still detected bacterial genomes in nearly all samples, this finding shows that syndromic testing is a possibility. If viral, bacterial and fungal diagnostic tests can be combined into a single assay this would benefit the cost effectiveness of developing NGS as a diagnostic tool.

The turnaround-time of the above process was in the region of seven days, including preliminary data analysis whereas the turnaround time for RT-PCR methods is usually just a few hours. As a result, NGS is unlikely to become a routine diagnostic test in the near term. However there are many steps that could be accelerated with automation. The development of kit based library preparation methods has also resulted in a condensed process, reducing hands-on time. Technical advances that allow a greater depth of sequencing could obviate the need for enrichment processes. Furthermore third generation sequencers, such as the PacBio RS II, offer the potential for even more rapid sequencing. Taken together, these advances are likely to improve the TRT of NGS significantly.

### Competing interests

None declared.

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### Ethical approval

The study was approved by the Upper South B Regional Ethics Committee, New Zealand (URB/09/10/050) and all participants provided written informed consent.

### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.jcv.2015.06.082>

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