

Ultrafast Charge Relocation Dynamics in Enol–Keto Tautomerization Monitored with a Local Soft-X-ray Probe

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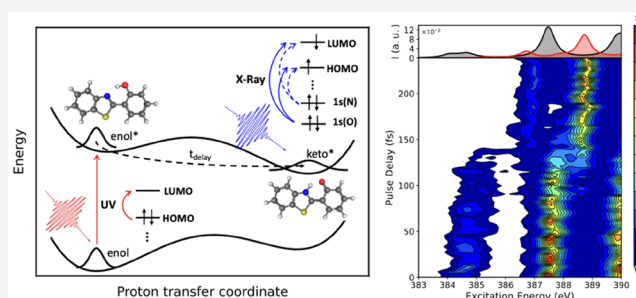
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ABSTRACT: Proton-coupled electron transfer (PCET) is the underlying mechanism governing important reactions ranging from water splitting in photosynthesis to oxygen reduction in hydrogen fuel cells. The interplay of proton and electronic charge distribution motions can vary from sequential to concerted schemes, with elementary steps occurring on ultrafast time scales. We demonstrate with a simulation study that femtosecond soft-X-ray spectroscopy provides key insights into the PCET mechanism of a photoinduced intramolecular enol* \rightarrow keto* tautomerization reaction. A full quantum treatment of the electronic and nuclear dynamics of 2-(2'-hydroxyphenyl)benzothiazole upon electronic excitation reveals how spectral signatures of local excitations from core to frontier orbitals display the distinctly different stages of charge relocation for the H atom, donating, and accepting sites. Our findings indicate that ultraviolet/X-ray pump-probe spectroscopy provides a unique way to probe ultrafast electronic structure rearrangements in photoinduced chemical reactions essential to understanding the mechanism of PCET.



dynamics during photoinduced intramolecular proton transfer in 2-(2'-hydroxyphenyl)benzothiazole (HBT), shown in Figure 1, as described by simulations of quantum dynamics, including a full quantum treatment of all nuclear degrees of freedom.

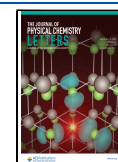
At the molecular level, proton transfer involves electronic density changes directly at the proton donor and acceptor sites and beyond the donating and accepting groups, including polarization of the molecule and surrounding solvent environment. The effect of the proton on the local electronic structure of functional groups involved in proton exchange—occurring on the femtosecond time scale before, during, and after the elementary proton translocation—is still rather unexplored from an experimental point of view. *Ab initio* molecular dynamics simulations have provided insights into proton transport in bulk solvents through the von Grothuss mechanism^{32–36} and acid dissociation dynamics.^{37–40} In general, proton transfer and electron transfer are thermodynamically coupled, yet kinetics might determine the overall relaxation and time scales of electron and proton rearrangements. So, fundamental questions remain to be addressed,

Understanding the dynamics of electronic rearrangements associated with proton transfer reactions remains a subject of great fundamental interest. Charge relocation is governed by the underlying microscopic mechanism generally known as proton-coupled electron transfer (PCET),^{1–6} where the time scales for motions of electronic degrees of freedom (electronic charge distributions) and those of nuclear degrees of freedom (of the transferring proton and of hydrogen bond deformation modes) may be different or identical. PCET will then involve sequential or concerted reaction pathways, respectively. Examples in which PCET plays a key role include water splitting by photosystem II, nitrogen fixation, and oxygen reduction in biocatalysis and in hydrogen fuel cell technologies.^{7–19} Significant molecular rearrangements induced by photoinduced proton transfer along preexisting hydrogen bonds have been reported for many years, going back at least to the pioneering work of Weller on derivatives of salicylic acid monitored by ultraviolet–visible (UV–vis) spectroscopy.^{20,21} Since then, numerous studies have focused on understanding the process of photoinduced proton transfer in a variety of molecular systems, including hydroxyflavones, salicylaldehydes, 2-(2'-hydroxyphenyl)benzothiazole derivatives, and related molecules.^{22–27} Nevertheless, probing the electronic rearrangements at the molecular level is a topic of intense research effort (see, for instance, refs 28 and 29 for recent advances in ultrafast X-ray scattering, ref 30 for soft-X-ray spectroscopy, and ref 31 for ultrafast electron diffraction). Here, we explore the capabilities of soft-X-ray ultrafast spectroscopy, as applied to the characterization of electronic

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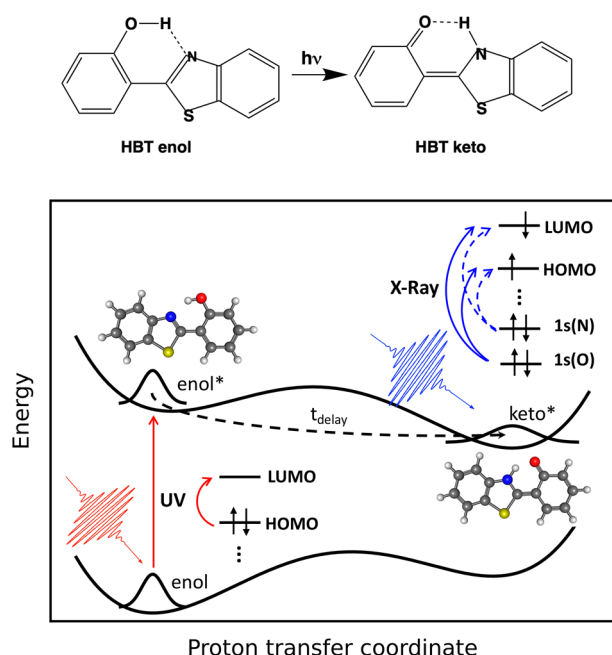


Figure 1. Schematic representation of pump–probe ultraviolet (UV)/X-ray spectroscopy to probe the HBT enol–keto tautomerization following photoexcitation (top). UV photoexcitation of the HOMO \rightarrow LUMO transition initiates an ultrafast intramolecular proton transfer along the O–H \cdots N hydrogen bond. A delayed soft K-edge X-ray pulse induces O/N 1s core \rightarrow HOMO/LUMO transitions that probe transient electronic features of HBT.

including the following. Is a proton or a hydrogen atom transferred after photoexcitation? Is proton transfer driven by photoinduced electronic rearrangements? What electronic degrees of freedom are most critical for proton transfer, those of the donating or accepting groups? Moreover, what nuclear motions are critical for hydrogen bonding⁴¹ and therefore essential for charge transfer events? Here, we address these fundamental questions in the study of electronic rearrangements due to enol–keto tautomerization in HBT after $S_0 \rightarrow S_1$ photoexcitation, which are dominated by the highest-occupied to lowest-occupied molecular orbital (HOMO \rightarrow LUMO) transition that triggers intramolecular proton transfer along the intramolecular O–H \cdots N hydrogen bond (Figure 1).

The photoinduced enol–keto conversion of HBT occurs on the ultrafast time scale, as monitored by UV–vis^{42–44} and UV–infrared pump–probe spectroscopy.^{45–49} Ultrafast spectroscopy has demonstrated that the molecular vibrations of HBT change in character when the C–O–H \cdots N chemical bonds of the HBT-enol* state convert into the C=O \cdots H–N configuration of the HBT-keto* state (the asterisk denotes the photoexcited state).^{45–47,49} Furthermore, it was shown that low-frequency hydrogen bond modes are actively involved in the excited-state proton transfer reaction.^{43,50} In fact, a particular form of PCET has been suggested to be an appropriate description because electronic structural rearrangements are concomitant with the proton transfer event.^{49,51–53}

A combined study including polarization-resolved UV–infrared pump–probe spectroscopy and time-dependent density functional theory (TDDFT) has shown that only a small amount of net positive charge is transferred to the benzothiazole ring when *cis*-enol* converts into the *cis*-keto* tautomer.⁴⁹ In fact, the benzothiazole side of HBT acquires a

charge difference of $\sim 0.34e$ (i.e., only 34% of a full proton transfer) upon excited-state enol–keto tautomerization, which suggests significant electronic rearrangements through the molecular framework that mostly neutralize the proton translocation. However, direct spectroscopic evidence of the underlying electronic rearrangements remains lacking.

Soft-X-ray spectroscopy provides unprecedented capabilities for probing the electronic structure of molecules undergoing photoinduced transformations with ultrafast and atomic (submolecular) resolution.^{54–68} In recent years, major advances in steady-state and time-resolved soft-X-ray spectroscopy^{69–76} include the development of liquid jet technologies for sample delivery⁷⁷ at dedicated end stations of large-scale facilities based on storage rings⁷⁸ and linear accelerators.⁷⁹ In addition, laser-based systems using extreme high-order harmonic generation of soft-X-ray pulses have become available.⁸⁰ Here, we analyze simulations of time-resolved X-ray absorption spectroscopy (TRXAS) to assess whether ultrafast soft-X-ray spectroscopy could provide a characterization of intramolecular PCET reactions.

Time-resolved XAS is particularly suitable for exploring PCET in HBT because it induces transitions of core electrons from 1s atomic orbitals localized on specific atoms involved in proton transfer to low-lying molecular orbitals (i.e., HOMO and LUMO) that are largely unaffected by PCET. Therefore, X-ray absorption provides access to changes in electronic states localized in proton donor and acceptor groups, which allows for ultrafast pump–probe schemes that can probe the evolution of the chemical reaction with atomic resolution.^{68,81}

We focus on X-ray absorption spectroscopy using the K-edge absorption bands of nitrogen (N) and oxygen (O) to probe the transient electronic structure of HBT during the photoinduced enol–keto tautomerization induced by UV $S_0 \rightarrow S_1$ excitation. We follow the spectral signatures of O, while probing changes of its electronic environment, and N as it is protonated during formation of the keto product. We analyze the 1s \rightarrow HOMO/LUMO transitions that can be probed by ultrafast X-ray absorption spectroscopy. We follow the detailed evolution of the electronic excited state coupled to nuclear dynamics, including bond-breaking and bond-forming events described by a full quantum treatment of all nuclear degrees of freedom.

Monitoring changes in the K-edge bands of O and N could capture the evolution of the electronic structure at the proton donor and acceptor sites. A rigorous interpretation of the nuclear and electronic rearrangements responsible for changes in the XAS spectra can be provided by simulations of quantum dynamics as described by the tensor-train split-operator Fourier transform (TT-SOFT) method.⁸² TT-SOFT is a rigorous method for simulations of quantum dynamics that exploits matrix product-state representations analogous to those employed by recently developed methods for simulating vibrational and fluorescence spectroscopy^{83–85} and other methods for simulations of quantum dynamics and global optimization.^{86,87} In TT-SOFT, the initial nuclear wave function evolves according to the Schrödinger equation, and therefore, nuclear quantum effects, such as zero-point energy, delocalization, and interference effects, are naturally incorporated into the simulations. Whereas MCTDH⁸⁸ tensor network quantum dynamics relies on the hierarchical Tucker format and MCTDH equations of motion, TT-SOFT employs tensor trains and split-operator Fourier transform dynamics that facilitate simulation of highly multidimensional chemical

systems and avoid ill-conditioned matrices (see also ref 89). Here, we demonstrate for the first time the capabilities of TT-SOFT as applied to simulations of UV/XAS pump–probe spectroscopy. We discuss how the UV and soft-X-ray pulse characteristics determine the outcome of an experiment, as could be implemented in state-of-the-art facilities that have become available in recent years.

Figure 2 shows the evolution of the proton transfer coordinate Q_{PT} associated with the enol–keto tautomerization,

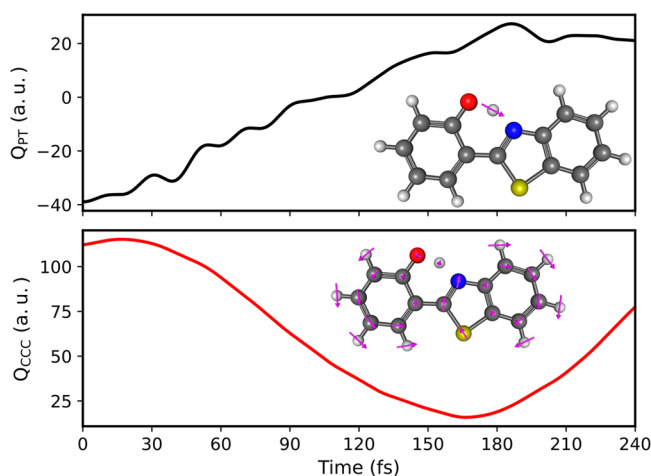


Figure 2. Dynamical evolution of the proton transfer (top) and CCC internal in-plane bending (bottom) large-amplitude modes after photoexcitation of HBT. Insets show the normal mode displacements associated with each coordinate.

as it converts $\text{OH}\cdots\text{N}$ to $\text{O}\cdots\text{HN}$, as described by wave packet propagation on adiabatic *ab initio* full-dimensional potential energy surfaces computed at the TDDFT level of theory (see [Computational Methods](#) and the [Supporting Information](#) for additional computational details). Q_{PT} represents the (mass-weighted) normal mode displacement associated with the imaginary frequency at the transition-state (TS) configuration, for which $Q_{\text{PT}} = 0$ (see the inset of [Figure 2](#)), and allows one to distinguish enol-like ($Q_{\text{PT}} < 0$) from keto-like ($Q_{\text{PT}} > 0$) configurations. HBT starts in the enol* form, where the expectation value of the proton transfer coordinate is negative, and converts into the keto* form ($Q_{\text{PT}} \geq 0$) within 200 fs, in agreement with previous results suggesting excited-state intramolecular proton transfer (ESIPT).^{49,90–92} The expected value of the proton transfer coordinate remains in the range of values corresponding to the keto* isomer for the remainder of the examined dynamics, consistent with previous findings suggesting equilibration within 400–600 fs of photoexcitation of the system.⁹¹ [Figure 2](#) also shows the time-dependent expectation value of the normal mode displacement associated with the CCC internal in-plane bending mode that modulates the N–O distance between the proton donor and acceptor moieties (see the inset of [Figure 2](#)), with an oscillation period of ~ 250 fs, comparable to the time scale for proton transfer. The time-dependent population of the keto isomer is also consistent with the ultrafast time scale for keto* formation within 50 fs of photoexcitation of the system (see the [Supporting Information](#)).

The reported quantum dynamics simulations are in good agreement with earlier studies, which supports the accuracy of our TT-SOFT simulations. UV–vis pump–probe measure-

ments have demonstrated that the enol* \rightarrow keto* reaction dynamics of HBT is strongly governed by low-frequency Raman-active modes that are impulsively excited by the electronic enol \rightarrow enol* transition.^{43,44,92–94} In particular, the in-plane 255 cm^{-1} mode plays a key role, as it modulates the O–H \cdots N hydrogen bond distance and, thus, the reaction coordinate along which the H atom is transferred (see [Figure 2](#)). The observed time scale for the enol* \rightarrow keto* reaction dynamics has been ascribed to nuclear motions that in particular comprise this low-frequency mode, which compels photoexcited HBT to proceed on the excited-state potential energy surface with a major excursion along this nuclear coordinate. A further refinement to this picture can be made, given that additional pump–probe signal modulations have been ascribed to low-frequency modes at 113, 289, and 528 cm^{-1} . As our TT-SOFT method estimates the propagation dynamics of HBT in a full quantum treatment, our results can show the extent to which these low-frequency Raman-active modes play a key role in the wave packet dynamics in the enol* \rightarrow keto* reaction dynamics and how these modes influence the femtosecond UV/XAS spectroscopic observables during the chemical reaction.

Panels a and b of [Figure 3](#) compare the simulated steady-state X-ray absorption near-edge structure (XANES) spectra of HBT in the S_0 and S_1 electronic states, which correspond to the K-edge of nitrogen ([Figure 3a](#)) and oxygen ([Figure 3b](#)), respectively. The S_0 spectrum of N is characterized by an intense pre-edge peak centered at 387.9 eV and a broad band at $\sim 390.6\text{ eV}$. Analysis of the natural transition orbitals (NTOs) shows the lower-energy peak corresponds mainly to the $1s(\text{N}) \rightarrow \text{LUMO}$ transition (with small contributions from higher π^* orbitals), whereas the higher-energy band is dominated by the $1s$ core excitation to a delocalized π^* orbital centered on the thiazole ring ([Figure 3a](#), inset). The O XANES spectrum, shown in [Figure 3b](#), is characterized by two main peaks located at 520.2 and 521.7 eV that arise from $1s$ core excitations from the $1s(\text{O})$ orbital to the LUMO and a delocalized π^* orbital centered on the hydroxyphenyl ring, respectively. Notice that given the delocalized, extended, and π character of the valence molecular orbitals, which involve contributions from both O and N atoms, the lowest-energy transitions in both N and O spectra correspond to transitions to the same final state (i.e., $1s \rightarrow \text{LUMO}$), whereas higher-energy transitions reach excited states that are localized on different rings as determined by differences in the cross sections of the $1s$ core excitations to the respective final states. We note that contributions from double excitations are neglected by the single-reference MOM/TDDFT method used in this work and are expected to contribute to the high-energy region of the spectra so they would require more advanced electronic structure calculation methods. The calculated ground-state XANES spectra compare favorably in terms of spectral features and peak positions with the O and N K-edge spectra of related compounds with a similar local bonding environment, including 10-hydroxybenzo[*h*]quinoline,⁹⁵ 2-mercaptopyridine,⁹⁶ uracil,⁶⁵ and malonaldehyde.⁹⁷

Changes in electronic character induced by the $S_0 \rightarrow S_1$ UV photoabsorption can be identified in the XANES spectra. Panels a and b of [Figure 3](#) show the spectra corresponding to $1s$ core transitions immediately after UV photoexcitation of the molecule to the S_1 excited state in the enol configuration (red lines). New pre-edge features dominated by the $1s$ core \rightarrow

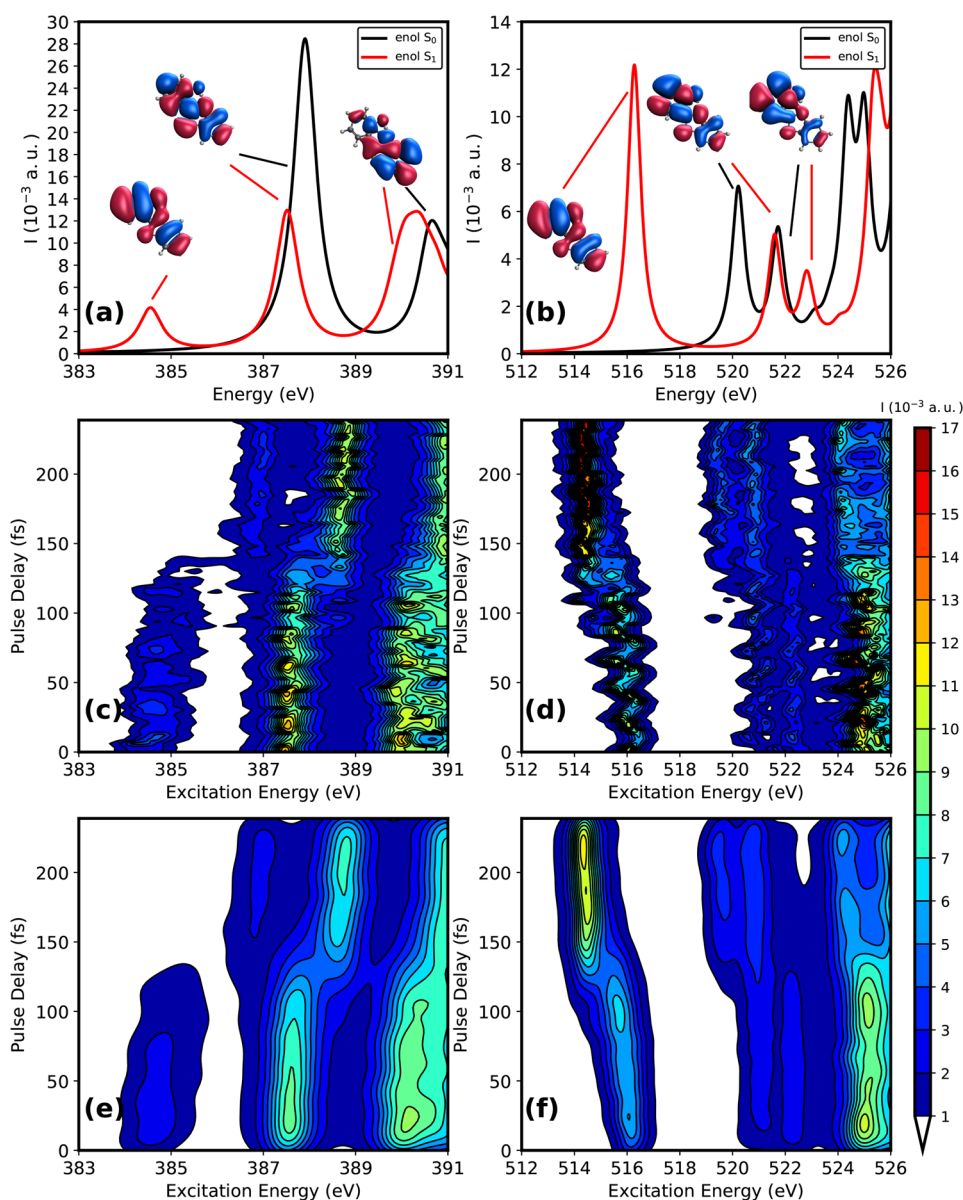


Figure 3. (a) Nitrogen K-edge and (b) oxygen K-edge XANES spectra for HBT in the enol tautomer in the S_0 ground state (black line) and the subsequent photoexcitation to the Franck–Condon region of the enol* S_1 excited state (red line). Stick spectra were convoluted with Lorentzian functions with a 500 meV full width at half-maximum (fwhm). The inset shows the dominant NTOs of the 1s core excitation (isovalue of 0.02). (c) Nitrogen K-edge and (d) oxygen K-edge TRXAS following photoexcitation. Instrumentally convoluted (e) nitrogen K-edge and (f) oxygen K-edge TRXAS. A Gaussian instrumental response function with effective temporal and spectral resolution fwhms of 20 fs and 0.5 eV, respectively, was used in accordance with experimentally available X-ray free electron lasers and tabletop HHG setups.

HOMO transition are observed at 384.6 and 516.3 eV for the N and O K-edge, respectively. That well-resolved single peak feature arises from the vacancy of the HOMO created by the pump (see Figure 1) and provides a distinct spectroscopic fingerprint of the electronic excited state. We remark that, because both N and O atomic orbitals contribute to the formation of the delocalized HOMO orbital, the N and O pre-edge transitions reach the same final state, corresponding to $1s \rightarrow$ HOMO transitions arising from the $1s(\text{N})$ and $1s(\text{O})$ orbitals, respectively. The spectral shifts between these pre-edge peaks, therefore, correspond to the relative energy between $1s$ N and O orbitals (*vide infra*) because the final state is the same for the N and O pre-edge features. Rearrangements of the electronic density induced by the $S_0 \rightarrow S_1$ photoexcitation also change the peak positions and

intensities of the remaining XANES bands. Note that the N $1s$ core \rightarrow LUMO transition is red-shifted by 0.4 eV, whereas an ~ 1 eV blue-shift is observed for the O atom $1s$ core \rightarrow LUMO transition. These results suggest that the $1s$ core \rightarrow HOMO/LUMO transitions of N and O provide valuable probes of the electronic excited state of the enol* tautomer.

Panels c and d of Figure 3 show transient X-ray absorption spectra computed after photoexcitation of the enol HBT tautomer, which provides evidence of the ability of TRXAS to probe the electronic structural changes during the photo-induced proton transfer dynamics. The transient spectra are computed from the full quantum mechanical wave packet corresponding to an initial vibrational ground state that is instantaneously excited to the S_1 electronic ground state (see Computational Methods and the Supporting Information).

The nitrogen K-edge TRXAS (Figure 3c) provides clear fingerprints of nuclear dynamics in the S_1 excited state. A significant blue-shift (1–2 eV) is observed for the 1s core \rightarrow HOMO/LUMO transitions of HBT in the enol* conformation upon comparison of the 0 fs delay time to the keto* conformation at 200 fs and beyond. The disappearance of peaks at 384.5 and 387.5 eV and the concomitant appearance of the peaks at 387 and 389 eV provide spectral signatures of the enol* \rightarrow keto* transformation, predicted to occur in 100–150 fs, in excellent agreement with theory and earlier experiments.^{49,90–92} Note that the time scale of the photoisomerization coincides with the minimum amplitude of the CCC bending mode (Figure 2), which is consistent with the key role of the low-frequency reaction coordinate in regulation of the proton transfer dynamics. The TRXAS spectra also show that the peak positions and intensities oscillate with a period of approximately 20–24 fs, which suggests a tuning mode with a frequency of 1400–1700 cm^{-1} , within the frequency range of O–H and N–H bending modes. Resonance Raman spectra of HBT show that modes involved in aromatic ring C–C and C=C deformation and the O–H bending mode overlap in that spectral range, with intensities corresponding to pronounced displacements along those modes induced by the electronic excitation.⁹⁴ Therefore, both of those modes are excited by the UV pump pulse and modulate the transient absorption spectrum, as shown in Figure 3, which provides a detailed characterization of the photoisomerization process by UV/XAS pump–probe spectroscopy. Similar features are observed in the oxygen K-edge TRXAS spectrum (Figure 3d). Both the 1s core \rightarrow HOMO and 1s core \rightarrow LUMO transition frequencies exhibit a 1–1.5 eV shift on the time scale of 100–150 fs, which provides spectroscopic evidence of the ultrafast isomerization process with subpicosecond resolution. These observations provide key insights into the dynamics of electronic structural rearrangements during the enol* \rightarrow keto* tautomerization process.

We remark that these results are in agreement with recent observations from a classical *ab initio* simulation of the PCET process in the related 10-hydroxybenzo[*h*]quinoline (HBQ) molecule,⁹⁵ where clear X-ray spectral signatures of coupled electronic and atomic motions were observed at the oxygen and nitrogen K-edge. Whereas HBQ presents a rigid framework that delimits changes in the O–N distance, in HBT the O–N fluctuations are crucial in modulating the proton transfer process (*vide supra*). Interestingly, for HBQ, the 1s-to-HOMO transition is visible for only the O K-edge, whereas HBT presents the pre-edge features in both N and O spectral regions. In this regard, HBT provides two independent spectroscopic probes that can be experimentally used to track excited-state dynamics, paving the way for future experiments at X-ray free electron laser facilities.

Figure 4 shows that the frontier orbitals remain largely unaffected by the enol–keto tautomerization. Furthermore, Figure 4 compares the time evolution of the HOMO and LUMO energies to the energies of 1s atomic orbitals of N and O during the isomerization dynamics. The time-dependent orbital energies provide a fundamental understanding of the dynamical features of TRXAS probing the enol* \rightarrow keto* isomerization. In effect, because the pre-edge transitions correspond to 1s core \rightarrow HOMO/LUMO transitions, spectral shifts for those transitions report directly on changes in the frontier orbital energies relative to the 1s core atomic orbital energies, which in turn are modulated by the changes in

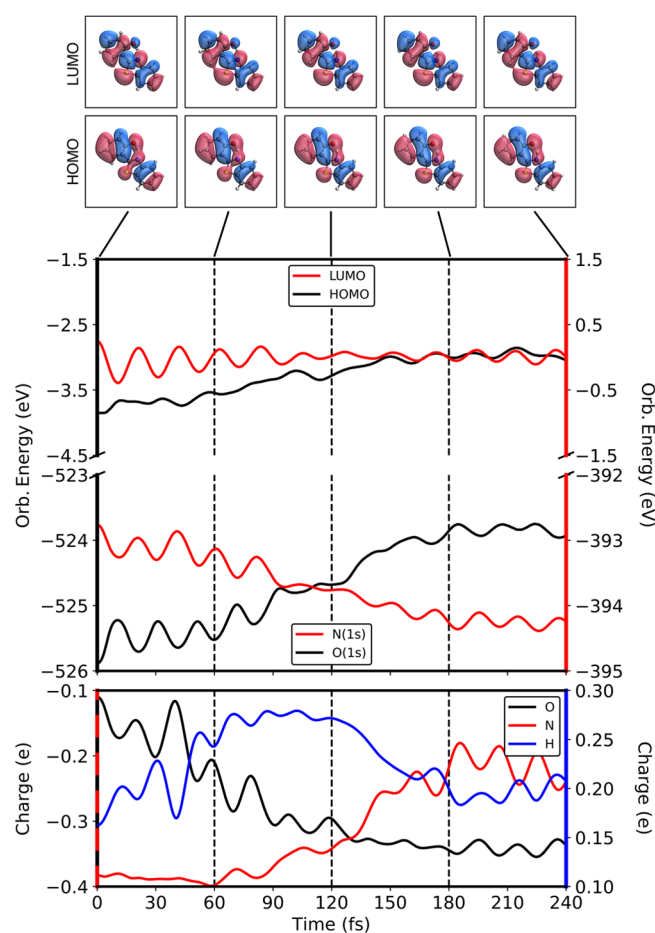


Figure 4. Time evolution of the HOMO/LUMO and core 1s orbital energy of O and N atoms (top). Time evolution of the Mulliken charges on the O, N, and transferring H atoms (bottom). Note the different scales of the data (as indicated by the colored border of the axis). Insets at the top show the HOMO and LUMO frontier orbitals, which indicate subtle changes during the enol* \rightarrow keto* tautomerization reaction (isovalue of 0.02).

electrostatic potential during the tautomerization process. Remarkably, the 1s core orbitals exhibit a significant energy shift, whereas changes in the frontier orbital energies are much smaller. Therefore, the resulting shifts report on localized changes in the electronic structure and electrostatic potential of the chromophore with submolecular (atomic) spatial resolution.

We find that the enol–keto tautomerization stabilizes the N 1s orbital and destabilizes the O 1s core level, in excellent correlation with the observed changes in the N and O K-edge TRXAS peaks, which become blue- and red-shifted, respectively (Figure 3c,d). In addition, Figure 4 shows that changes in the energy levels of the HOMO and LUMO frontier orbitals, which play a key role in the excited-state ultrafast tautomerization, are rather subtle. Similar findings have been reported for 2-(2'-hydroxyphenyl)benzotriazole^{98,99} and methylsalicylate.¹⁰⁰

The time-dependent Mulliken charges, displayed in Figure 4, indicate that changes in the core orbital energies are correlated with the rearrangement of electronic charges induced by the enol* \rightarrow keto* tautomerization. The O negative charge increases (in magnitude) during the tautomerization process, whereas the N atom becomes more neutral. The increase in

electronic density around the O atom destabilizes the 1s orbital, which leads to a smaller energy gap between the 1s core orbital and the delocalized frontier orbitals. The opposite is observed for the N atom. As such, the blue- and red-shifts of the N and O K-edge TRXAS peaks, shown in panels c and d of Figure 3, can be traced back to changes in the electronic charge distribution and electrostatic potential induced by the tautomerization reaction.

Figure 4 shows transient changes in the charge on the transferring H as the OH bond is broken and the NH bond is formed. H becomes most positive halfway through the transfer process (at ~ 120 fs) before the N–H bond is formed. That intermediate stage exhibits a strong attenuation of the pre-edge spectral peak. The N 1s core \rightarrow HOMO TRXAS signal recovers only after the N–H bond is formed, which demonstrates the sensitivity of the TRXAS peak intensities to electronic reorganization dynamics, in addition to the previously discussed energy shifts. Therefore, we find that the evolution of the TRXAS spectra can probe the detailed dynamics of intramolecular proton-coupled electron transfer,⁴⁹ including changes in the electronic environment of the proton donor and proton acceptor functional groups within 200 fs. We remark that the total charge on the benzothiazole and hydroxyphenyl ring along the isomerization process follows trends similar to those of the charge on O and N, respectively (see the Supporting Information).

Figures 3 and 4 show the sensitivity of the nitrogen and oxygen K-edge XAS bands to the dynamics of intramolecular electronic rearrangements due to the tautomerization of HBT, assuming infinite instrumental resolution. In practice, on the basis of recent major advances in steady-state and time-resolved soft-X-ray spectroscopy both at X-ray free electron laser facilities and with tabletop HHG setups,^{69–73,75,76,78–80} we anticipate spectrometer devices might offer an effective temporal resolution of 20 fs and spectral resolutions of 0.5 eV. In panels e and f of Figure 3, we present the convoluted TRXAS spectra assuming a Gaussian instrumental response profile. Clearly, with that limited resolution, the fastest nuclear coherences of fingerprint vibrational modes would be averaged out. Nevertheless, the essential, dominant contribution of the hydrogen bond modulation mode that governs the time scale of the enol* \rightarrow keto* conversion at ~ 120 fs would remain clearly discernible. Hence, we anticipate that experiments that probe transient electronic structural dynamics of PCET can provide unique insights beyond the capabilities of conventional ultrafast spectroscopic methods.

In conclusion, we have investigated the ability of time-resolved X-ray absorption spectroscopy at both the nitrogen and oxygen K-edge to resolve the ultrafast enol-to-keto isomerization photophysics in HBT upon photoexcitation. We find that TRXAS can resolve the long-standing question of whether the photoinduced HBT tautomerization proceeds via excited-state PCET or intramolecular hydrogen transfer. The reported simulations show that UV pump–soft-X-ray probe spectroscopy can probe the nitrogen and oxygen K-edge bands to provide a detailed characterization of the ultrafast dynamics of electronic structural rearrangements during the photoinduced enol* \rightarrow keto* tautomerization of HBT. The reported results show that the main driving force of the reaction results from electronic structural rearrangements initiated by UV photoexcitation. The electronic excitation triggers relaxation of nuclear coordinates through a sequence of stages, starting with displacement of the CCC internal bending bond and bringing

together the proton donor (OH) and proton acceptor (N) functional groups. The resulting displacement polarizes the O–H bond by strengthening the OH \cdots N hydrogen bond, which increases the positive atomic charge of H and the negative atomic charge of O and partially reduces the negative atomic charge of N by delocalization through conjugated double bonds within 120 fs of HBT photoexcitation (Figure 4). The proton is then transferred and forms a covalent bond with N. The resulting changes in electronic density change the bond order of proton donor/acceptor groups and cause the evolution of the hydroxyphenyl and benzothiazole rings into resonance structures corresponding to the keto isomer.

We have shown that the TT-SOFT dynamics simulates the nuclear motion, including quantum delocalization, of the transferring proton through the low-barrier hydrogen bond of the S_1 state, while the MOM/TDDFT method resolves the electronic transitions of TRXAS. Therefore, the combination of TT-SOFT and MOM/TDDFT methods has allowed us to track both electronic and nuclear rearrangements fully quantum mechanically and resolve the PCET nature of the reaction mechanism. The methodology is quite general and applicable to a wide range of molecules beyond HBT. Chemical systems amenable to TT-SOFT simulations are widespread, including other reactive coordinate systems with quantum baths and hydrogen bonding in DNA base pairs, water molecules, and Zundel cations. Therefore, we anticipate that TT-SOFT/MOM simulations of pump–probe spectra can provide valuable interpretations of UV pump–X-ray probe spectra of chemical processes in which analogous dynamics of electronic and nuclear rearrangements determine the time scale and nature of reaction mechanisms as well as the outcome of photoinduced reactions.

PCET for HBT is not fully concerted, but rather sequential, with charge relocations occurring on distinctly different times for the oxygen-donating atom, the inner proton, and the nitrogen-accepting atom. Initially, during the first 120 fs of the PCET process, when the O \cdots N distance is shortened due to the internal bending motion between the hydroxyphenyl and benzothiazole units and the H atom has transferred halfway, electronegative charge density at the oxygen atom increases while that of the inner H atom decreases (making it more positive). In the next 120 fs of the PCET process, the O \cdots N distance is lengthened again, the H atom electronegative density increases, and that of the nitrogen atom decreases. These results show the interplay among charge relocation, hydrogen bond modulation motions, and proton motion. These results are expected to be highly relevant for other PCET processes, and ultrafast soft-X-ray spectroscopy will be a highly valuable tool for discerning the different steps in PCET.

COMPUTATIONAL METHODS

Full-dimensional *ab initio* ground- and excited-state potential energy surfaces were parametrized at the (TD)-DFT level of theory employing the ω B97XD functional¹⁰¹ and cc-pvdz basis set,¹⁰² as implemented in Gaussian 16.¹⁰³ Solvent effects were included implicitly through the PCM polarizable continuum model,^{104–108} using dichloromethane to mimic PCET of HBT dissolved in nonpolar solvents, which can be properly described with an implicit solvent model. Explicit modeling of the solvent would be necessary for simulations in protic polar solvents, which can be involved in hydrogen bonds with the O and N atoms. We expect that the main findings of our study should remain qualitatively insensitive to the type of

solvent provided they are nonpolar and have similarly low dielectric constants. To reduce the computational cost associated with the PES parametrization, we employed a reaction surface approach^{109–111} in which two reactive coordinates (namely, the proton transfer and CCC bending coordinate) are explicitly parametrized, whereas the other 67 degrees of freedom are treated as harmonic. Additional information regarding the parametrization can be found in the [Supporting Information](#).

The dynamics of HBT following photoexcitation were described in terms of wave packet propagation on the adiabatic full-dimensional potentials with the TT-SOFT method.⁸² Nonadiabatic effects (conical intersections and non-Born–Oppenheimer effects) were neglected. For the ultrafast femtosecond short time scales involved in the PT process studied here, and given the picosecond time scales involved in the excited-state lifetime,¹¹² we expect this approximation to be valid for short periods.¹¹³ Future work will focus on the inclusion of nonadiabatic effects and their effect on transient X-ray spectroscopy. Tensor-train manipulations are performed with Oseledet's TT-Toolbox for the fast interpolation procedure¹¹⁴ via the cross approximation.¹¹⁵ We have made the codes available in the public domain.⁸⁹

The X-ray transition energies and dipole strengths for HBT in the excited state were obtained following our previous work⁶⁸ by a combined maximum overlap method (MOM)^{116,117} and TDDFT¹¹⁸ approach as implemented in the Q-Chem package.¹¹⁹ The MOM strategy was used to obtain the S_1 electronic wave function, which was subsequently used as a reference state to perform TDDFT core excitations. All calculations were performed using the ω B97XD functional¹⁰¹ and cc-pvdz basis set,¹⁰² with dichloromethane employed as the implicit solvent through PCM.^{104–108} Transient X-ray spectral line shapes are modeled as an incoherent superposition of core excitations weighted by the magnitude of the nuclear wavepacket at a given time instant according to Monte Carlo sampling. The spectral sticks were broadened with a Lorentzian envelope with full width at half-maximum of 0.5 eV to approximate the core–hole lifetime broadening of each atom and to take into account experimental resolution.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcllett.2c02037>.

Additional details about the methods and results, including the development of the potential energy surface, dynamics, and time-resolved UV pump–X-ray probe spectra; analysis of the fully quantum treatment dynamics; and evaluation of potential and spectrum approximations (PDF)

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Notes

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■ DEDICATION

This work is dedicated to Thomas Elsaesser for his contributions to ultrafast science in general and to ultrafast photoinduced intramolecular proton transfer processes in particular for more than four decades.

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