

Prevalence of Lumbosacral Transitional Vertebral Anomalies Among Healthy Volunteers and Patients with Hip Pathology

Association with Spinopelvic Characteristics

Jeroen C.F. Verhaegen, MD, Nuno Alves Batista, MD, Isabel Horton, BASc, Kawan Rakhra, MD, FRCPC, Paul E. Beaulé, MD, FRCSC, Jozef Michielsen, MD, PhD, Alexandra Stratton, MD, MSc, FRCSC, and George Grammatopoulos, MBBS, DPhil(Oxon), MRSC, FRCS

Investigation performed at The Ottawa Hospital, Ottawa, Ontario, Canada

Background: A lumbosacral transitional vertebra (LSTV) has been reported to be prevalent among patients with hip dysplasia. The aims of this study were to determine the (1) prevalence of an LSTV in young patients presenting with hip pain and a group of asymptomatic volunteers, (2) effect of an LSTV on spinopelvic characteristics, and (3) presence of low back pain among patients with an LSTV.

Methods: This cross-sectional study included 102 patients with hip pathology and 51 asymptomatic volunteers (mean age, 33.9 ± 7.3 years; mean body mass index, 26.0 ± 5.0 kg/m²; 57.5% female). Participants underwent radiographic assessment of the lumbar spine and pelvis in standing and deep-seated positions. LSTV occurrence was classified according to the Castellvi system. Spinopelvic characteristics included lumbar lordosis (including segmental lumbar angles), pelvic tilt, and hip flexion (pelvic-femoral angle). Differences between standing and deep-seated values were calculated. Low back pain was assessed using the Oswestry Disability Index.

Results: The prevalence of LSTV type \ge II was 8.5%, with no difference between patients and volunteers (p = 0.386). Individuals with an LSTV had a greater standing L1-L5 angle (mean, 51.6° ± 11.7° versus 38.9° ± 9.3°; p < 0.001). The overall spinal flexion (change in L1-S1 angle between the standing and deep-seated positions) in individuals with an LSTV was similar to that in individuals without an LSTV; restricted L5-S1 mobility was compensated for at L1-L2 (10.2° ± 5.8° in those with versus 8.4° ± 4.1° in those without an LSTV; p = 0.070). No significant difference in the presence of low back pain was found (p = 0.250).

Conclusions: An LSTV was found in 8.5% of young adults, with no difference between patients with hip pathology and controls. Individuals with an LSTV have greater standing lumbar lordosis, with altered mechanics at the cephalad adjacent level, which may predispose these individuals to degenerative changes at this level.

Level of Evidence: Prognostic Level II. See Instructions for Authors for a complete description of levels of evidence.

A lumbosacral transitional vertebra (LSTV) is a congenital anatomical abnormality in which the transverse process of the fifth lumbar vertebral body engages the sacral ala, resulting in a pseudoarticulation or even a fused segment¹ (Fig. 1). Reported LSTV prevalences vary greatly (3% to 40%) among studies reporting on populations with various characteristics²⁻⁵. The presence of an LSTV might affect sagittal balance, which is the

result of an optimal lordotic positioning of the vertebrae above a correctly orientated pelvis⁶. Anatomical factors that reduce motion in the lumbosacral junction can cause increased degeneration of the cephalad adjacent segment as the body attempts to maintain sagittal balance⁴, but may also lead to abnormal sagittal characteristics of the pelvis in relation to the femur (its distal adjacent segment).

Disclosure: The Disclosure of Potential Conflicts of Interest forms are provided with the online version of the article (<u>http://links.lww.com/</u>JBJS0A/A480).

Copyright © 2023 The Authors. Published by The Journal of Bone and Joint Surgery, Incorporated. All rights reserved. This is an open-access article distributed under the terms of the <u>Creative Commons Attribution-Non Commercial-No Derivatives License 4.0</u> (CCBY-NC-ND), where it is permissible to download and share the work provided it is properly cited. The work cannot be changed in any way or used commercially without permission from the journal.

openaccess.jbjs.org





Three-dimensional CT reconstruction of a pelvis with a Castellvi IIIA lumbosacral transitional vertebra. The fifth lumbar vertebral body engages with the sacrum in a fused segment on the right side, while there is a normal transverse process on the left.

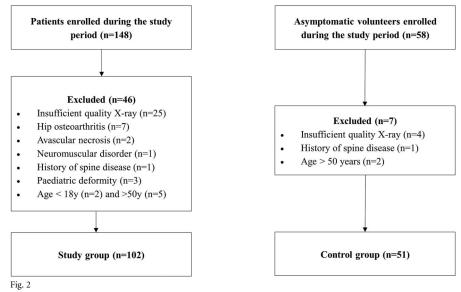
A high LSTV prevalence in patients with hip dysplasia has been reported (40%), and it has been hypothesized that such anomalies are more prevalent in young adults presenting with hip pain⁷. Spinopelvic characteristics have been associated with the pathomechanics of hip pathologies, including both dysplasia and impingement, and have also been implicated as a risk factor for the development of osteoarthritis. To date, only a few studies have reported on the influence of LSTVs on static spinopelvic characteristics⁸, and data on the influence of LSTVs on dynamic characteristics are lacking. Furthermore, whether LSTVs are more prevalent among patients with hip pathology compared with asymptomatic healthy volunteers is unknown. Patients with hip pathology present an ideal cohort for the study of dynamic spinopelvic characteristics, as it has been shown that reduced femoroacetabular flexion due to hip pathology leads to increased lumbar flexion and uncouples compensatory spinal mechanisms^{9,10}.

The aims of this study were to determine the (1) LSTV prevalence in young patients presenting with hip pain in comparison with a group of asymptomatic volunteers, (2) effect of LSTVs on static and dynamic spinopelvic characteristics, and (3) presence of low back pain (LBP) among young adult patients with hip pain with an LSTV.

Materials and Methods

Study Design

This was a prospective, cross-sectional, case-cohort study I from a single, tertiary referral, academic center for the treatment of hip and spinal pathology. The study was conducted at The Ottawa Hospital in Canada and approved by the institutional review board. Patients who presented to our hip preservation specialty clinic with hip pain secondary to a labral tear between June 2020 and December 2021 were recruited. These patients were compared with a control group of asymptomatic volunteers (Oxford hip score of \geq 45 on a scale of 0 [worst] to 48 [best]) consisting of the hospital's health-care workers and patients presenting to the fracture clinic with upper-limb injuries. The exclusion criteria were an age of <18 or ≥ 50 years, signs of hip osteoarthritis (Tönnis grade of ≥ 2), pediatric deformity (hip dislocation or previous femoral or acetabular osteotomy), osteonecrosis, history of a neuromuscular disorder, spinal pathology (history of spinal surgery or Oswestry Disability Index (ODI) of >15 on a scale of 0 [none] to 50 [maximal disability]), or radiographs of insufficient quality. These exclusion criteria were applied because they have been shown to influence spinopelvic characteristics¹⁰⁻¹². An a priori



Flowchart illustrating the inclusion process for the study.

openaccess.jbjs.org

| | Whole Cohort (N = 153) | Patients (N = 102) | Asymptomatic Volunteers (N = 51) | P Value |
|---|------------------------|------------------------------------|-------------------------------------|---------|
| Age* (yr) | 33.9 ± 7.3 (18.5-48.3) | $34.8 \pm 7.5 (18.5\text{-}48.3)$ | 32.1 ± 6.6 (23.1-47.2) | 0.023† |
| Sex (no. [%]) | | | | 0.001‡ |
| Male | 65 (42.5) | 34 (33.3) | 31 (60.8) | |
| Female | 88 (57.5) | 68 (66.7) | 20 (39.2) | |
| BMI* (kg/m²) | 26.0 ± 5.0 (18.7-44.4) | $26.2\pm5.3(18.7\text{-}44.4)$ | $24.4 \pm 1.9 \ (22.1 26.5)$ | 0.568† |
| Smoking (no. [%]) | 20 (13.1) | 20 (19.6) | 0 (0.0) | <0.001 |
| History of pregnancy (no. [% of women]) | 56 (63.6) | 48 (70.6) | 8 (40.0) | 0.010‡ |

*The values are given as the mean and standard deviation, with the range in parentheses. †Mann-Whitney U test. †Chi-square test.

sample size calculation based on the reported difference in LSTV prevalence documented between young adults with hip pathology $(40\%)^7$ and controls $(15\%)^5$ was performed in IBM SPSS (version 27). This indicated that a minimum of 49 patients per group would be necessary to achieve sufficient power (with $1 - \beta = 0.80$, $\alpha = 0.05$). To increase the power, we included a 2:1 ratio of patients to volunteers. The inclusion process is outlined in Figure 2. All participants signed an informed consent form. After applying the exclusion criteria, 102 patients and 51 volunteers were included in the study. Sixty-five (42.5%) were male and 88 (57.5%) were female. The mean age (and standard deviation) was 33.9 ± 7.3 years (range, 18.5 to 48.3 years), and the mean body mass index (BMI) was 26.0 ± 5.0 kg/m² (range, 18.7 to 44.4 kg/m²) (Table I).

Radiographic Assessment

Patient Positioning

Patients and volunteers underwent radiographic assessment that included standing and supine anteroposterior radiographs of the pelvis and lateral views of the lumbar spine, pelvis, and femur in standing and "deep-seated" positions. In the deep-seated position, the subject sits on a height-adjustable chair with their femora parallel to the floor and their trunk leaning as far forward as is comfortable without abducting or rotating the femora^{10,13,14}. This position was chosen because it is associated with maximal sagittal flexion of the kinetic chain, is the position at greatest risk for impingement on a torn acetabular

labrum¹⁵, and has been shown to better identify spinal compensatory mechanisms^{13,16,17}.

Radiographic Assessment of Spinal and Other Parameters

The presence of an LSTV was evaluated on anteroposterior pelvic radiographs. Traditional radiographs have well-documented utility in diagnosing and classifying LSTVs^{4,5,7,18}. The Castellvi classification system was used to classify LSTVs on the basis of the degree of either unilateral or bilateral articulation between the transverse processes of L5 and the sacrum¹⁹ (Table II, Fig. 3). Because a type-I LSTV involves neither an articulation nor a fusion of the transverse process to the sacrum, only types II, III, and IV were considered abnormal, in line with previous literature⁸.

Leg-length discrepancy (LLD) was measured on an anteroposterior pelvic radiograph²⁰. The following measurements were made on the lateral radiographs of the lumbar spine, pelvis, and proximal femur in the standing and deep-seated positions: lumbar lordosis (LL), sacral slope (SS), pelvic incidence (PI), pelvic tilt (PT), and pelvic-femoral angle (PFA)^{69,13,14,21}, as well as segmental measurements at L1-L2, L2-L3, L3-L4, L4-L5, and L5-S1¹⁰. Spinopelvic motions were calculated as the difference between the standing and deep-seated positions for LL, SS, PI, PT, and PFA¹⁰. (For example, Δ LL would be LL_{standing} – LL_{deep-seated}.) The sagittal flexion arc (SFA), representing the motion performed by the entire kinetic chain, was calculated as the sum of Δ LL and Δ PFA¹⁰.

The spinopelvic balance of each patient was measured as the difference between PI and LL in the standing position and

| TABLE II C | TABLE II Castellvi Classification ¹⁹ | | | |
|------------|---|--|--|--|
| Туре | Description | Anatomical Features | | |
| 1 | Dysplastic transverse process(es) | Unilateral (A) or bilateral (B) enlarged transverse process(es) (>19 mm) | | |
| Ш | Incomplete lumbarization or sacralization | Enlarged transverse process(es) with unilateral (A) or bilateral (B) pseudar- throsis with the sacral ala | | |
| Ш | Complete lumbarization or sacralization | Enlarged transverse process(es) with unilateral (A) or bilateral (B) complete fusion with the sacral ala | | |
| IV | Mixed | Type II on one side and III on the other | | |

openaccess.jbjs.org

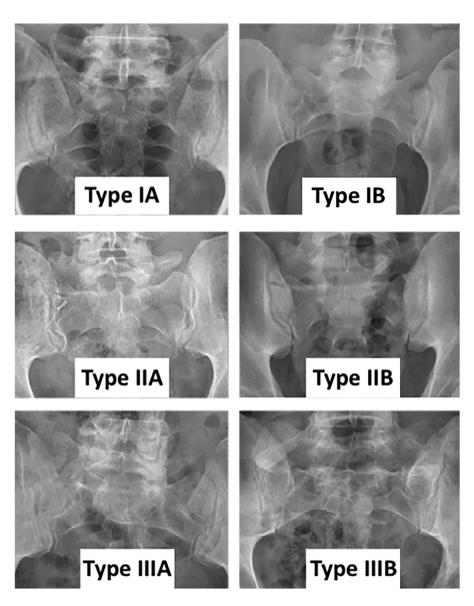


Fig. 3

Closeups of anteroposterior pelvic radiographs focusing on the lumbosacral junction show examples of each type of lumbosacral transitional vertebra according to the Castellvi classification. In the type-IA example, an enlarged transverse process is visible on the left. In type IB, bilateral enlarged transverse processes are visible. In type IIA, the enlarged transverse process forms a pseudarthrosis with the sacrum on the right. In type IIB, the enlarged transverse processes form pseudarthroses with the sacrum bilaterally. In type IIIA, the transverse process is fused with the sacrum on the right. In type IIB, the enlarged transverse processes are fused with the sacrum bilaterally.

was classified as flatback (PI – LL, >10°), normal (PI – LL, -10° to 10°), or hyperlordotic (PI – LL, $<-10^{\circ}$)^{12,22-24}.

The hip user index quantifies the percentage of sagittal femoroacetabular flexion (Δ PFA) relative to the overall SFA when moving from a standing to a deep-seated position:

Hip user index =
$$\frac{\Delta PFA}{SFA} \times 100$$

A high hip user index means that the hip contributes more to sagittal motion, whereas a low hip user index means that the motion takes place primarily in the lumbar spine^{10,17}. Patients with a hip user index of $\geq 80\%$ were defined as hip users¹⁷.

The radiographic measurements were made by a fellowshiptrained spine surgeon (N.A.B.). Measurements were repeated 2 weeks later for 30 randomly selected data sets (20%) in a blinded fashion by 2 reviewers (J.C.F.V. and N.A.B.). Interobserver and intraobserver reliability were calculated by means of the intraclass correlation coefficient, using a 2-way mixed model, and showed excellent agreement (Table III).

| | | Radiographic M Parameters * | leasureme | ents of |
|----------------------------|-------|--------------------------------|-----------|---------------------------|
| | | erobserver eliability | | raobserver Reliability |
| | ICC | 95% CI | ICC | 95% CI |
| LL _{standing} | 0.938 | 0.750-0.985 | 0.940 | 0.758-0.985 |
| LL _{deep-seated} | 0.986 | 0.931-0.997 | 0.969 | 0.876-0.992 |
| SS _{standing} | 0.965 | 0.861-0.991 | 0.964 | 0.855-0.991 |
| $SS_{deep-seated}$ | 0.935 | 0.739-0.984 | 0.990 | 0.960-0.998 |
| PT _{standing} | 0.992 | 0.967-0.998 | 0.980 | 0.921-0.995 |
| PT _{deep-seated} | 0.829 | 0.671-0.980 | 0.985 | 0.938-0.996 |
| PFA standing | 0.930 | 0.720-0.983 | 0.927 | 0.706-0.982 |
| $PFA_{deep\text{-}seated}$ | 0.939 | 0.752-0.985 | 0.939 | 0.754-0.985 |
| *ICC - intrac | | elation coefficie | nt and (| l – confidence |

*ICC = intraclass correlation coefficient, and CI = confidence interval.

Clinical Assessment

The presence of LBP was assessed using the ODI²⁵. Patients were categorized as without LBP if the ODI was <15 (indicating no [ODI of 0 to 4] or mild [ODI of 5 to 14] disability) and with LBP if the ODI was \geq 15 (moderate [ODI of 15 to 24] or severe [ODI of 25 to 34] disability or disabled [ODI of 35 to 50]).

Other patient-reported outcome measures (PROMs) included the International Hip Outcome Tool-12 (iHOT-12)²⁶; the Patient-Reported Outcomes Measurement Information System (PROMIS)²⁷, which allows assessment of mental health and physical activity status; and the EuroQol-5 Dimensions (EQ-5D) questionnaire²⁸.

Statistical Analysis

Because the data were not normally distributed according to the Kolmogorov-Smirnov test, nonparametric tests were used. The Mann-Whitney U test was used to compare spinopelvic measurements and continuous demographic variables, and the chi-square test was used to compare categorical demographic variables. Statistical analysis was performed using IBM SPSS (version 27). A value of <0.05 was considered significant.

Source of Funding

This study was funded by a Physicians Services Incorporated (PSI) Resident Research Grant.

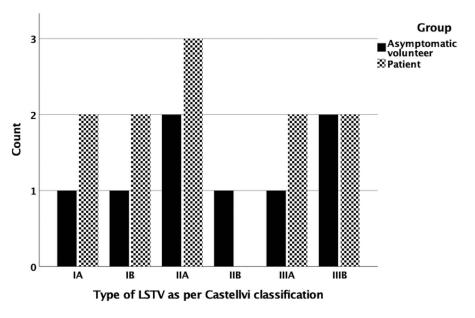
Results

LSTV Prevalence

The LSTV prevalence in the whole cohort was 12.4% (19 of 153). The most common type was IIA (Fig. 4). The prevalence of LSTV type \geq II was 8.5% (13 of 153). The term LSTV in the subsequent text will refer specifically to type \geq II LSTV. There was no difference in prevalence between the patients with hip pain (11 of 102; 10.8%) and asymptomatic volunteers (8 of 51; 15.7%) (p = 0.386).

Influence of LSTVs on Static Spinopelvic Characteristics

In the standing position, individuals with an LSTV had a greater L1-L5 angle (mean, $51.6^{\circ} \pm 11.7^{\circ}$ versus $38.9^{\circ} \pm 9.3^{\circ}$; p < 0.001) compared with those without LSTV. LL was also greater at all segments in individuals with an LSTV (Table IV), while the L5-S1 angle was significantly smaller ($14.0^{\circ} \pm 6.9^{\circ}$ versus $22.7^{\circ} \pm 6.3^{\circ}$; p < 0.001). SS was greater in individuals with an LSTV as well ($43.8^{\circ} \pm 8.4^{\circ}$ versus $39.0^{\circ} \pm 7.6^{\circ}$; p = 0.036) (Table V).





Distribution of lumbosacral transitional vertebrae by type among patients and asymptomatic volunteers.

openaccess.jbjs.org

6

| | Whole Cohort $(N = 153)$ | Individuals without LSTV (N = 140) | Individuals with LSTV (N = 13) | P Value† |
|--|----------------------------------|---------------------------------------|----------------------------------|----------|
| LL _{standing} (deg) | | | | |
| L1-L2 | $\textbf{3.4} \pm \textbf{3.8}$ | 3.1 ± 3.6 | 7.5 ± 4.4 | <0.001‡ |
| L2-L3 | 8.0 ± 4.1 | 7.7 ± 3.7 | $\textbf{11.1} \pm \textbf{6.4}$ | 0.027‡ |
| L3-L4 | 11.5 ± 3.3 | 11.4 ± 3.3 | 12.7 ± 3.1 | 0.194 |
| L4-L5 | 17.1 ± 4.8 | 16.8 ± 4.7 | 20.3 ± 5.1 | 0.015† |
| L5-S1 | 22.0 ± 6.8 | 22.7 ± 6.3 | 14.0 ± 6.9 | <0.001‡ |
| L1-L5 _{standing} (deg) | 40.0 ± 10.1 | 38.9 ± 9.3 | 51.6 ± 11.7 | <0.001‡ |
| L1-S1 _{standing} (deg) | 61.9 ± 10.5 | 61.6 ± 10.5 | 65.6 ± 9.9 | 0.126 |
| LL _{deep-seated} (deg) | | | | |
| L1-L2 | -5.1 ± 3.3 | -5.3 ± 3.2 | -2.8 ± 3.6 | 0.019‡ |
| L2-L3 | -3.2 ± 3.3 | -3.4 ± 3.2 | -1.3 ± 3.5 | 0.030‡ |
| L3-L4 | -1.3 ± 3.0 | -1.5 ± 3.0 | 0.8 ± 3.0 | 0.012‡ |
| L4-L5 | 1.2 ± 3.6 | 0.9 ± 3.2 | 4.4 ± 5.4 | 0.019† |
| L5-S1 | 10.3 ± 4.6 | 10.4 ± 4.7 | 8.9 ± 3.7 | 0.240 |
| L1-L5 _{deep-seated} (deg) | -8.3 ± 9.2 | -9.2 ± 8.5 | $\textbf{1.1} \pm \textbf{11.7}$ | <0.001‡ |
| L1-S1 _{deep-seated} (deg) | $\textbf{1.9} \pm \textbf{10.5}$ | 1.2 ± 9.9 | 10.0 ± 13.2 | 0.012‡ |
| $\Delta LL_{standing/deep-seated}$ (deg) | | | | |
| ∆L1-L2 | 8.5 ± 4.2 | $\textbf{8.4} \pm \textbf{4.1}$ | 10.2 ± 5.8 | 0.070 |
| ∆L2-L3 | 11.2 ± 3.8 | 11.0 ± 3.7 | 12.4 ± 4.2 | 0.363 |
| ∆L3-L4 | 12.8 ± 3.1 | 12.9 ± 2.9 | 12.0 ± 4.1 | 0.480 |
| ΔL4-L5 | 15.8 ± 4.4 | 15.8 ± 4.1 | 15.9 ± 7.3 | 0.434 |
| ∆L5-S1 | $\textbf{11.7} \pm \textbf{7.0}$ | 12.3 ± 6.5 | 5.1 ± 8.3 | 0.002‡ |
| Δ L1-L5 _{standing/deep-seated} (deg) | 48.3 ± 8.9 | $\textbf{48.1} \pm \textbf{8.4}$ | 50.5 ± 13.8 | 0.248 |
| Δ L1-S1 _{standing/deep-seated} (deg) | 60.0 ± 10.2 | 60.4 ± 9.5 | 55.6 ± 16.1 | 0.351 |

*The values are given as the mean and standard deviation. \dagger Mann-Whitney U test comparing spinopelvic characteristics between individuals without and with LSTV. \ddagger Significant (p < 0.05).

Influence of LSTVs on Dynamic Spinopelvic Characteristics There were no differences between individuals with and without LSTV in hip flexion (Δ PFA: 99.3° ± 14.0° versus 99.9° ± 15.3°; p = 0.950), pelvic motion (Δ PT: 7.7° ± 12.1° versus 11.1° ± 14.5°; p = 0.484), or spinal flexion (Δ L1-S1: 55.6° ± 16.1° versus 60.4° ± 9.5°; p = 0.351) when moving from the standing to the deepseated position (Table V).

Although overall spinal flexion (Δ L1-S1) was similar between individuals with and without LSTV, there was significantly less lumbar flexion in the L5-S1 segment in individuals with an LSTV ($5.1^{\circ} \pm 8.3^{\circ}$ versus $12.3^{\circ} \pm 6.5^{\circ}$; p = 0.002). This restriction in mobility was somewhat compensated for at the L1-L2 level by a greater segmental angle ($10.2^{\circ} \pm 5.8^{\circ}$ versus $8.4^{\circ} \pm 4.1^{\circ}$; p = 0.070) (Table IV).

Clinical Assessment

Among the patients with hip symptoms, 36.8% (35) of the 95 without LSTV and 57.1% (4) of the 7 with an LSTV had LBP (p = 0.250). The mean ODI of those with an LSTV was higher, but not significantly so, compared with those with-

out LSTV (17.3 \pm 10.0 versus 12.0 \pm 10.3; p = 0.234). Similarly, other PROM scores were not significantly different between patients with and without LSTV (Table VI). The ODI was not correlated with the iHOT-12 (p = 0.691). Patients with LBP did not have higher rates of smoking (p = 0.740) or of a history of pregnancy (p = 0.224).

Discussion

A n LSTV reduces motion at the lumbosacral junction, as the fifth lumbar transverse process is fused or has formed a pseudoarticulation with the sacrum. Some studies have suggested a higher prevalence among young patients with hip pain⁷. In the present cross-sectional study, we found an LSTV prevalence of 8.5%, with no significant difference between young patients presenting with hip pain (10.8%) and asymptomatic volunteers (15.7%). LSTVs have been associated with LBP and inferior outcomes after hip arthroscopy, although the pathophysiologic mechanism is unclear because of the paucity of studies investigating the influence of LSTVs on dynamic spinopelvic characteristics^{4,5,29,30}. Furthermore, it has been suggested that LSTVs

openaccess.jbjs.org

7

| | Whole Cohort $(N = 153)$ | Individuals without LSTV (N = 140) | Individuals with LSTV (N = 13) | P Value† |
|--|----------------------------------|---------------------------------------|-----------------------------------|----------|
| L1-S1 _{standing} (deg) | 61.9 ± 10.5 | 61.6 ± 10.5 | 65.6 ± 9.9 | 0.126 |
| L1-S1 _{deep-seated} (deg) | 1.9 ± 10.5 | 1.2 ± 9.9 | 10.0 ± 13.2 | 0.012‡ |
| Δ L1-S1 _{standing/deep-seated} (deg) | 60.0 ± 10.2 | 60.4 ± 9.5 | 55.6 ± 16.1 | 0.351 |
| SS _{standing} (deg) | 39.4 ± 7.8 | 39.0 ± 7.6 | 43.8 ± 8.4 | 0.036‡ |
| SS _{deep-seated} (deg) | 50.8 ± 15.0 | 50.5 ± 15.4 | 54.2 ± 10.2 | 0.351 |
| $\Delta SS_{standing/deep-seated}$ (deg) | -11.4 ± 14.2 | -11.4 ± 14.2 | -10.4 ± 14.4 | 0.896 |
| PT _{standing} (deg) | 12.8 ± 6.6 | 12.8 ± 6.4 | 13.7 ± 9.3 | 0.801 |
| PT _{deep-seated} (deg) | $\textbf{2.1} \pm \textbf{15.5}$ | 1.7 ± 15.3 | $\textbf{6.0} \pm \textbf{17.1}$ | 0.511 |
| $\Delta PT_{standing/deep-seated}$ (deg) | 10.8 ± 14.3 | 11.1 ± 14.5 | 7.7 ± 12.1 | 0.484 |
| PFA _{standing} (deg) | 192.8 ± 6.9 | 192.6 ± 6.6 | 195.0 ± 9.9 | 0.332 |
| PFA _{deep-seated} (deg) | 93.0 ± 15.4 | 92.7 ± 15.0 | 95.7 ± 19.2 | 0.852 |
| $\Delta PFA_{standing/deep-seated}$ (deg) | 99.9 ± 15.2 | 99.9 ± 15.3 | 99.3 ± 14.0 | 0.950 |
| SFA (deg) | 159.8 ± 17.1 | 160.2 ± 17.0 | 154.8 ± 18.0 | 0.414 |
| PI _{standing} (deg) | 52.3 ± 10.7 | 51.8 ± 10.0 | 57.8 ± 15.2 | 0.086 |
| PI-LL mismatch (deg) | -9.6 ± 10.2 | -9.8 ± 9.7 | -7.7 ± 15.1 | 0.507 |
| Hip user index (%) | 62.3 ± 6.1 | 62.1 ± 5.7 | 64.4 ± 9.3 | 0.815 |
| Leg-length difference (mm) | 2.9 ± 3.8 | 2.9 ± 3.9 | 2.6 ± 2.4 | 0.727 |

*The values are given as the mean and standard deviation. †Mann-Whitney U test comparing spinopelvic characteristics between individuals without and with LSTV. †Significant (p <0.05).

can also influence the development of hip symptoms in young adults with hip dysplasia⁷ or femoroacetabular impingement (FAI)¹ by altering spinopelvic dynamics. To our knowledge, our study is the first to investigate how LSTVs influence static and dynamic spinopelvic characteristics. Individuals with an LSTV had a higher standing LL in the cephalad segments (between L1 and L5). Compensation for the stiff L5-S1 segment occurred mostly in the L1-L2 segment. These findings suggest that the higher rate of degenerative changes previously seen in the segment cephalad to an LSTV^{31,32} is most likely due to increased loading on the lumbar spine in the standing position, as no significantly increased motion was seen at the lower lumbar levels in our analysis of dynamic spinopelvic parameters.

LSTV prevalences ranging from 3% to 40% have been reported in the general population²⁻⁵. Reported prevalences have generally been higher in studies of patients with LBP than in community-based studies⁴⁻⁵. Luo et al. found an LSTV prevalence of 13.9% in a population of patients undergoing hip arthroscopy, but included no asymptomatic volunteers or patients with hip dysplasia¹. In a recent study, Sun et al. found a high LSTV prevalence (39% to 43%) among patients with dysplasia⁷, and they reported Castellvi type IIIB to be the most common type among these patients, suggesting an increased LSTV type in patients with severe dysplasia⁷. In the present study, the LSTV prevalence was higher among the asymptomatic volunteers (15.7%) than the patients with hip pain (10.8%), although this difference was not

| | All Patients (N = 70) | Patients without LSTV ($N = 64$) | Patients with LSTV ($N = 6$) | P Value* |
|------------------------|-----------------------|------------------------------------|----------------------------------|----------|
| ODI | 12.5 ± 10.3 | 12.0 ± 10.3 | 17.3 ± 10.0 | 0.234 |
| iHOT-12 | 34.9 ± 18.9 | 35.2 ± 19.4 | 31.5 ± 13.6 | 0.890 |
| PROMIS global | 30.9 ± 6.9 | 31.3 ± 7.1 | 27.5 ± 4.4 | 0.165 |
| PROMIS mental health | 12.5 ± 3.1 | 12.7 ± 3.1 | 10.8 ± 3.0 | 0.256 |
| PROMIS physical health | 12.1 ± 3.0 | 12.2 ± 3.1 | $\textbf{11.0} \pm \textbf{0.1}$ | 0.273 |
| EQ-5D | 0.615 ± 0.101 | 0.622 ± 0.103 | 0.549 ± 0.028 | 0.091 |

*Mann-Whitney U test comparing patient-reported outcome measures between individuals without and with an LSTV.

openaccess.jbjs.org

8

significant. Spinopelvic characteristics play an important role in the development of hip symptoms^{10,33,34} and in the outcome of hip surgery^{22,30,35}. It has been hypothesized that conditions such as LSTV can reduce motion at the lumbosacral junction and help explain why some individuals with dysplasia or FAI morphology become symptomatic^{1,7}. The reduced motion due to an LSTV did not affect changes in PT and hip flexion, and compensation primarily took place in the most cephalad lumbar segments.

Some authors have suggested an association between LBP and the presence of an LSTV^{4,5,29}. Although we found no difference in the prevalence of LBP between individuals with and without LSTV, several PROMs, including the ODI, PROMIS mental and physical health, and EQ-5D, were worse among patients with an LSTV. The lack of significance may be attributed to the small number of patients with an LSTV (lack of adequate power) or the young age of the cohort, who may be more likely to develop symptoms during aging. The pathophysiologic mechanism of LBP in patients with an LSTV has been attributed to increased motion at the segment cephalad to the LSTV, based on magnetic resonance imaging (MRI) findings of more advanced disc degeneration and extraforaminal stenosis cephalad to the LSTV^{4,31,32,36}, although studies on dynamic spinopelvic characteristics are lacking. We believe that our study is the first to compare static and dynamic spinopelvic characteristics in patients with and without an LSTV. In the standing position, the PT was similar between patients with and without an LSTV, illustrating similarly adequate sagittal balance. However, individuals with an LSTV demonstrated increased LL between L1 and L5 and increased SS compared with individuals without an LSTV; the latter was predominantly due to a reduced lordosis angle at the L5-S1 segment. The reduced lordosis between L5 and S1 due to the LSTV was compensated for by an increase in lordosis between L1 and L5. The increased lordosis was more prominent throughout all levels in the static standing assessments. This increased lordosis may predispose these individuals to facet degeneration and degenerative spondylolisthesis³⁷. Overall spinal flexion (Δ L1-S1) between the standing and deep-seated positions did not differ significantly between individuals with and without an LSTV, but the L5-S1 segment was significantly less mobile in individuals with an LSTV. Although one would expect that the decreased motion at the LSTV segment is compensated for at the adjacent cephalad segments, the compensatory motion was most pronounced at the L1-L2 level. Such ability of the upper lumbar segments to increase their motion when the sagittal movement arc is reduced has also been described in patients with hip osteoarthritis¹⁰. The static and dynamic findings in the present study suggest that the degenerative changes of the adjacent lumbar segment seen in patients with an LSTV are more likely the consequence of increased lordosis in the standing position, rather than of the compensatory motion within these segments.

This study has some limitations. First, MRI, which has a higher reliability than standard radiographs, would have been a superior method for the detection and classification of LSTVs³⁸. The standard for identification of an LSTV on radiographs is the Ferguson view, an anteroposterior radiograph centered at the sacrum with 30° of cranial angulation^{18,39}. Although 3-dimensional computed tomography (CT) imaging was available

for some patients as part of their diagnostic work-up, the presence of an LSTV in the majority of patients was established on the basis of anteroposterior pelvic radiographs. Other studies have also used anteroposterior pelvic radiographs^{5,29}, or kidneyurinary bladder (KUB) radiographs⁴, to study the prevalence of LSTVs. Second, because MRI was not available and the radiographs did not include the whole spine, it is possible that abnormalities existed higher in the spine, or that some patients had early degenerative changes of cartilage or intervertebral discs that could influence lumbar and spinopelvic characteristics as well as LBP. Third, the number of patients with an LSTV was small and we only included young patients with hip pathology. Therefore, while an LSTV may influence the occurrence of LBP, our data did not allow us to establish a causative relationship between differences in spinopelvic characteristics and the presence of LBP in patients with an LSTV. Furthermore, longitudinal follow-up was lacking, so LBP could still develop in these patients in the future. Fourth, the small size of the LSTV subgroups did not allow a comparative statistical analysis among individual subgroups. Finally, our patient cohort included young adults with symptomatic labral pathology due to a large variety of causes, creating heterogeneity. Patients presented with labral pathology due to various degrees of dysplasia, types of FAI, and/ or rotational abnormalities of the femur and/or acetabulum. Each of these morphotypes influences spinopelvic characteristics in its own way. The numbers of LSTVs in these subgroups were too small to allow assessment of the influence of an LSTV on spinopelvic characteristics within these separate morphotypes.

In conclusion, LSTVs were found in 8.5% of young adults, with no significant difference between symptomatic patients and controls. Individuals with an LSTV had greater standing LL, with altered mechanics at the cephalad level, which may predispose these individuals to degenerative changes at that level. There was no influence of LSTV presence on pelvic and hip motion, and compensatory mechanisms were present in the remaining lumbar motion segments to maintain normal range of motion.

Jeroen C.F. Verhaegen, MD^{1,2} Nuno Alves Batista, MD¹ Isabel Horton, BASc¹ Kawan Rakhra, MD, FRCPC³ Paul E. Beaulé, MD, FRCSC¹ Jozef Michielsen, MD, PhD² Alexandra Stratton, MD, MSc, FRCSC¹ George Grammatopoulos, MBBS, DPhil(Oxon), MRSC, FRCS¹

¹Department of Orthopaedic Surgery, The Ottawa Hospital, Ottawa, Ontario, Canada

²University Hospital Antwerp, Edegem, Belgium

³Department of Radiology, The Ottawa Hospital, Ottawa, Ontario, Canada

Email for corresponding author: ggrammatopoulos@toh.ca

openaccess.jbjs.org

References

1. Luo R, Barsoum D, Ashraf H, Cheng J, Hurwitz NR, Goldsmith CY, Moley PJ. Prevalence of Lumbosacral Transitional Vertebrae in Patients With Symptomatic Femoroacetabular Impingement Requiring Hip Arthroscopy. Arthroscopy. 2021 Jan; 37(1):149-55.

2. Apazidis A, Ricart PA, Diefenbach CM, Spivak JM. The prevalence of transitional vertebrae in the lumbar spine. Spine J. 2011 Sep;11(9):858-62.

 Konin GP, Walz DM. Lumbosacral transitional vertebrae: classification, imaging findings, and clinical relevance. AJNR Am J Neuroradiol. 2010 Nov;31(10):1778-86.
Sekharappa V, Amritanand R, Krishnan V, David KS. Lumbosacral transition

vertebra: prevalence and its significance. Asian Spine J. 2014 Feb;8(1):51-8.

5. Tang M, Yang XF, Yang SW, Han P, Ma YM, Yu H, Zhu B. Lumbosacral transitional vertebra in a population-based study of 5860 individuals: prevalence and relationship to low back pain. Eur J Radiol. 2014 Sep;83(9):1679-82.

6. Legaye J, Duval-Beaupère G, Hecquet J, Marty C. Pelvic incidence: a fundamental pelvic parameter for three-dimensional regulation of spinal sagittal curves. Eur Spine J. 1998;7(2):99-103.

7. Sun J, Chhabra A, Thakur U, Vazquez L, Xi Y, Wells J. The association of lumbosacral transitional vertebral anomalies with acetabular dysplasia in adult patients with hip-spine syndrome : a cross-sectional evaluation of a prospective hip registry cohort. Bone Joint J. 2021 Aug;103-B(8):1351-7.

8. Benlidayi IC, Coskun NC, Basaran S. Does Lumbosacral Transitional Vertebra Have Any Influence on Sacral Tilt? Spine (Phila Pa 1976). 2015 Nov;40(22):E1176-9.

9. Innmann MM, Merle C, Gotterbarm T, Ewerbeck V, Beaulé PE, Grammatopoulos G. Can spinopelvic mobility be predicted in patients awaiting total hip arthroplasty? A prospective, diagnostic study of patients with end-stage hip osteoarthritis. Bone Joint J. 2019 Aug;101-B(8):902-9.

10. Innmann MM, Merle C, Phan P, Beaulé PE, Grammatopoulos G. Differences in Spinopelvic Characteristics Between Hip Osteoarthritis Patients and Controls. J Arthroplasty. 2021 Aug;36(8):2808-16.

11. Verhaegen JCF, Innmann M, Alves Batista N, Dion CA, Horton I, Pierrepont J, Merle C, Grammatopoulos G. Defining "Normal" Static and Dynamic Spinopelvic Characteristics: A Cross-Sectional Study. JB JS Open Access. 2022 Jul 5;7(3):e22.00007.

12. DelSole EM, Vigdorchik JM, Schwarzkopf R, Errico TJ, Buckland AJ. Total Hip Arthroplasty in the Spinal Deformity Population: Does Degree of Sagittal Deformity Affect Rates of Safe Zone Placement, Instability, or Revision? J Arthroplasty. 2017 Jun;32(6):1910-7.

13. Esposito CI, Miller TT, Kim HJ, Barlow BT, Wright TM, Padgett DE, Jerabek SA, Mayman DJ. Does Degenerative Lumbar Spine Disease Influence Femoroacetabular Flexion in Patients Undergoing Total Hip Arthroplasty? Clin Orthop Relat Res. 2016 Aug;474(8):1788-97.

14. Stefl M, Lundergan W, Heckmann N, McKnight B, Ike H, Murgai R, Dorr LD. Spinopelvic mobility and acetabular component position for total hip arthroplasty. Bone Joint J. 2017 Jan;99-B(1)(Supple A):37-45.

15. Ganz R, Leunig M, Leunig Ganz K, Harris WH. The etiology of osteoarthritis of the hip: an integrated mechanical concept. Clin Orthop Relat Res. 2008 Feb;466(2):264-72.

16. Pierrepont J, Hawdon G, Miles BP, Connor BO, Baré J, Walter LR, Marel E, Solomon M, McMahon S, Shimmin AJ. Variation in functional pelvic tilt in patients undergoing total hip arthroplasty. Bone Joint J. 2017 Feb;99-B(2):184-91.

17. Innmann MM, Merle C, Phan P, Beaulé PE, Grammatopoulos G. How Can Patients With Mobile Hips and Stiff Lumbar Spines Be Identified Prior to Total Hip Arthroplasty? A Prospective, Diagnostic Cohort Study. J Arthroplasty. 2020 Jun; 35(6S):S255-61.

18. McGrath K, Schmidt E, Rabah N, Abubakr M, Steinmetz M. Clinical assessment and management of Bertolotti Syndrome: a review of the literature. Spine J. 2021 Aug;21(8):1286-96.

19. Castellvi AE, Goldstein LA, Chan DP. Lumbosacral transitional vertebrae and their relationship with lumbar extradural defects. Spine (Phila Pa 1976). 1984 Jul-Aug;9(5):493-5.

20. Woolson ST, Hartford JM, Sawyer A. Results of a method of leg-length equalization for patients undergoing primary total hip replacement. J Arthroplasty. 1999 Feb;14(2):159-64.

21. Heckmann N, McKnight B, Stefl M, Trasolini NA, Ike H, Dorr LD. Late Dislocation Following Total Hip Arthroplasty: Spinopelvic Imbalance as a Causative Factor. J Bone Joint Surg Am. 2018 Nov 7;100(21):1845-53. **22.** Rivière C, Lazennec JY, Van Der Straeten C, Auvinet E, Cobb J, Muirhead-Allwood S. The influence of spine-hip relations on total hip replacement: A systematic review. Orthop Traumatol Surg Res. 2017 Jun;103(4):559-68.

23. Schwab FJ, Blondel B, Bess S, Hostin R, Shaffrey CI, Smith JS, Boachie-Adjei O, Burton DC, Akbarnia BA, Mundis GM, Ames CP, Kebaish K, Hart RA, Farcy JP, Lafage V; International Spine Study Group (ISSG). Radiographical spinopelvic parameters and disability in the setting of adult spinal deformity: a prospective multicenter analysis. Spine (Phila Pa 1976). 2013 Jun 1;38(13):E803-12.

24. Vigdorchik JM, Sharma AK, Buckland AJ, Elbuluk AM, Eftekhary N, Mayman DJ, Carroll KM, Jerabek SA. 2021 Otto Aufranc Award: A simple Hip-Spine Classification for total hip arthroplasty : validation and a large multicentre series. Bone Joint J. 2021 Jul;103-B(7)(Supple B):17-24.

25. Fairbank JC, Pynsent PB. The Oswestry Disability Index. Spine (Phila Pa 1976). 2000 Nov 15;25(22):2940-52, discussion :2952.

26. Griffin DR, Parsons N, Mohtadi NG, Safran MR; Multicenter Arthroscopy of the Hip Outcomes Research Network. A short version of the International Hip Outcome Tool (iHOT-12) for use in routine clinical practice. Arthroscopy. 2012 May;28(5):611-6, quiz 616-8.

27. Cella D, Riley W, Stone A, Rothrock N, Reeve B, Yount S, Amtmann D, Bode R, Buysse D, Choi S, Cook K, Devellis R, DeWalt D, Fries JF, Gershon R, Hahn EA, Lai JS, Pilkonis P, Revicki D, Rose M, Weinfurt K, Hays R; PROMIS Cooperative Group. The Patient-Reported Outcomes Measurement Information System (PROMIS) developed and tested its first wave of adult self-reported health outcome item banks: 2005-2008. J Clin Epidemiol. 2010 Nov;63(11):1179-94.

28. EuroQol Group. EuroQol—a new facility for the measurement of health-related quality of life. Health Policy. 1990 Dec;16(3):199-208.

29. Nardo L, Alizai H, Virayavanich W, Liu F, Hernandez A, Lynch JA, Nevitt MC, McCulloch CE, Lane NE, Link TM. Lumbosacral transitional vertebrae: association with low back pain. Radiology. 2012 Nov;265(2):497-503.

30. Heaps BM, Feingold JD, Swartwout E, Turcan S, Greditzer HG 4th, Kelly BT, Ranawat AS. Lumbosacral Transitional Vertebrae Predict Inferior Patient-Reported Outcomes After Hip Arthroscopy. Am J Sports Med. 2020 Nov;48(13):3272-9.

31. Aihara T, Takahashi K, Ogasawara A, Itadera E, Ono Y, Moriya H. Intervertebral disc degeneration associated with lumbosacral transitional vertebrae: a clinical and anatomical study. J Bone Joint Surg Br. 2005 May;87(5):687-91.

32. Luoma K, Vehmas T, Raininko R, Luukkonen R, Riihimäki H. Lumbosacral transitional vertebra: relation to disc degeneration and low back pain. Spine (Phila Pa 1976). 2004 Jan 15;29(2):200-5.

33. Grammatopoulos G, Speirs AD, Ng KCG, Riviere C, Rakhra KS, Lamontagne M, Beaule PE. Acetabular and spino-pelvic morphologies are different in subjects with symptomatic cam femoro-acetabular impingement. J Orthop Res. 2018 Jul;36(7): 1840-8.

34. Okuzu Y, Goto K, Okutani Y, Kuroda Y, Kawai T, Matsuda S. Hip-Spine Syndrome: Acetabular Anteversion Angle Is Associated with Anterior Pelvic Tilt and Lumbar Hyperlordosis in Patients with Acetabular Dysplasia: A Retrospective Study. JB JS Open Access. 2019 Jan 29;4(1):e0025.

35. Innmann MM, Reichel F, Schaper B, Merle C, Beaulé PE, Grammatopoulos G. How Does Spinopelvic Mobility and Sagittal Functional Cup Orientation Affect Patient-Reported Outcome 1 Year after THA?-A Prospective Diagnostic Cohort Study. J Arthroplasty. 2021 Jul;36(7):2335-42.

36. de Bruin F, Ter Horst S, Bloem JL, van den Berg R, de Hooge M, van Gaalen F, Dagfinrud H, van Oosterhout M, Landewé R, van der Heijde D, Reijnierse M. Prevalence and clinical significance of lumbosacral transitional vertebra (LSTV) in a young back pain population with suspected axial spondyloarthritis: results of the SPondyloArthritis Caught Early (SPACE) cohort. Skeletal Radiol. 2017 May;46(5):633-9.

37. Roussouly P, Pinheiro-Franco JL. Biomechanical analysis of the spino-pelvic organization and adaptation in pathology. Eur Spine J. 2011 Sep;20(Suppl 5)(Suppl 5):609-18.

38. Farshad-Amacker NA, Lurie B, Herzog RJ, Farshad M. Interreader and intermodality reliability of standard anteroposterior radiograph and magnetic resonance imaging in detection and classification of lumbosacral transitional vertebra. Spine J. 2014 Aug 1;14(8):1470-5.

39. Jancuska JM, Spivak JM, Bendo JA. A Review of Symptomatic Lumbosacral Transitional Vertebrae: Bertolotti's Syndrome. Int J Spine Surg. 2015 Jul 29;9:42.