

RESEARCH ARTICLE

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Characteristics of Australian cohort study participants who do and do not take up an additional invitation to join a long-term biobank: The 45 and Up Study

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Abstract

Background: Large-scale population biobanks are critical for future research integrating epidemiology, genetic, biomarker and other factors. Little is known about the factors influencing participation in biobanks. This study compares the characteristics of biobank participants with those of non-participants, among members of an existing cohort study.

Methods: Individuals aged 45 and over participating in The 45 and Up Study and living ≤ 20 km from central Wagga Wagga, New South Wales (NSW), Australia (rural/regional area) or ≤ 10 km from central Parramatta, NSW (urban area) ($n=2340$) were invited to join a biobank, giving a blood sample and having additional measures taken, including height, weight, waist circumference, heart rate and blood pressure.

Results: The overall uptake of the invitation to participate was 33% (762/2340). The response rate was 41% (410/1002) among participants resident in the regional area, and 26% (352/1338) among those resident in the urban area. Characteristics associated with significantly decreased participation were being aged 80 and over versus being aged 45–64 (participation rate ratio: RR = 0.45, 95%CI 0.34-0.60), not being born in Australia versus being born in Australia (0.69, 0.59-0.81), having versus not having a major disability (0.54, 0.38-0.76), having full-time caregiving responsibilities versus not being a full-time carer (0.62, 0.42-0.93) and being a current smoker versus never having smoked (0.66, 0.50-0.89). Factors associated with increased participation were being in part-time work versus not being in paid work (1.24, 1.07-1.44) and having an annual household income of $\geq \$50,000$ versus $< \$20,000$ (1.50, 1.26-1.80).

Conclusions: A range of socio-economic, health and lifestyle factors are associated with biobank participation among members of an existing cohort study, with factors relating to health-seeking behaviours and access difficulties or time limitations being particularly important. If more widespread participation in biobanking is desired, particularly to ensure sufficient numbers among those most affected by these issues, specific efforts may be required to increase participation in certain groups such as migrants, the elderly, and those in poor health. Whilst caution should be exercised when generalising estimates of absolute prevalence from biobanks, estimates for many internal comparisons are likely to remain valid.

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Background

In recent years, researchers have sought to integrate genetic and other biomarker data with epidemiological data by obtaining biospecimens from participants in large-scale cohort studies. However, participation rates in biobank studies vary considerably [1-8] and are generally lower than for non-biomarker oriented research [2]. The limited evidence available suggests that older individuals, ethnic minorities, and those without a family history of illness are less likely to donate to biobanks than other potential participants [3,5,6]. Despite its implications for prevalence estimates and other aspects of biobank methodology, how participation relates to broader demographic, health and lifestyle factors is not known. This study investigates the relation of demographic, lifestyle and other personal characteristics, to participation in a biobank among members of an existing cohort study.

Methods

Overview

The 45 and Up Study is a large scale study of healthy ageing involving men and women aged 45 years and over from the general population of New South Wales (NSW), Australia and is described in detail elsewhere [9]. Briefly, from February 2006 to April 2009, men and women from the general population of NSW were sampled through the Medicare Australia database and joined the study by completing a postal questionnaire. They gave written informed consent for follow-up through repeated contact and linkage to population health databases. In keeping with many contemporary cohort studies with extensive linkage, the response rate for the 45 and Up Study as a whole is estimated to be 18% [9], with younger individuals, those living in major cities and men being underrepresented, compared to the general population [10]. Compared to a more representative population sample, cohort members were on average wealthier, less likely to smoke and more likely to have good, very good or excellent self-reported health [10].

The specific study described in this paper is known as the "Link-Up Study" and was conducted among a subset of 2340 individuals already taking part in The 45 and Up Study. Its primary aim was to compare response rates to an invitation to participate in a biobank according to different types of recruitment site, fasting status and reminder letter at an urban and regional location, using a randomised design. The results of the primary randomised analyses are reported elsewhere [11]; this paper focuses on the comparison of characteristics of those who did and did not take up the invitation to participate in the biobank.

Procedure

All 45 and Up Study participants who resided ≤ 20 km from central Wagga Wagga, NSW, Australia (a rural/regional area) or ≤ 10 km from central Parramatta, NSW (an urban area) were invited to participate in this sub-study. Eligible 45 and Up Study cohort members were sent a postal invitation to take part in the Link-Up Study, which included a covering letter from the 45 and Up Study, a participant information leaflet and a brief questionnaire. The consent form was on the reverse of the questionnaire. Participants gave consent for the collection, long-term storage and use of their blood sample for unspecified health research, including genetic research. Participants gave their consent on the understanding that they would not receive any direct results from tests on their blood sample. In addition, participants agreed to their questionnaire answers and biomarker data being combined with existing data from the 45 and Up Study, including the baseline questionnaire and linkage to various population health databases.

Self-administered questionnaire

Participants were instructed to complete the questionnaire prior to attending their designated collection site and return it when they attended to give a blood sample. The questionnaire was one A4 page, and repeated selected questions from The 45 and Up Study baseline questionnaire. Questions included the participant's date of birth, self reported height and weight, self-rated health, health events in the last 3 years, and the Medical Outcomes Score-Physical Functioning scale (MOS-PF). The data from this questionnaire were not used further in these analyses.

Biospecimen collection

Participants were randomised to donate biospecimens at either a dedicated clinic established specifically for the purposes of the Link-Up Study, or to attend at an existing local pathology centre (see [11] for details of this aspect of the study). The phlebotomists at each site took a 30ml blood sample from participants and measured height, weight, waist circumference, blood pressure and heart rate.

45 and Up Study baseline questionnaire

Information from the 45 and Up Study baseline questionnaire was used as the source of data to compare the characteristics of participants and non-participants in the Link-Up biobank and included: age; sex; education; country of origin (born in Australia/not born in Australia); whether a participant was caring for a sick or disabled family member or friend; self-report of assistance required with day-to-day tasks due to illness or disability; smoking status; body mass index; and physical limitations. Physical limitations were measured using the MOS-PF, which asks

participants whether they are limited in their ability to perform vigorous and moderate physical activities and tasks such as: lifting shopping; climbing stairs; walking; bending, kneeling or stooping; and bathing or dressing [12]. This score was categorised into 4 groups: 100 (no physical limitations reported), 90–99, 60–89, and 0–59. Body mass index was calculated as the reported weight in kilograms divided by the reported height in metres, squared, and was classified according to World Health Organization criteria into underweight ($<18.5\text{kgm}^{-2}$), normal weight ($18.5\text{--}24.9\text{kgm}^{-2}$), overweight ($25.0\text{--}29.9\text{kgm}^{-2}$) and obese ($\geq 30.0\text{kgm}^{-2}$) [13].

Outcome measures

The primary outcome measure for this study was participation in the Link-Up Study, through attendance at a biospecimen collection site to provide a blood sample and giving written consent to participate in the biobank, including use of blood samples for genetic and other research. The proportion of invitees who went on to participate in this way in the Link-Up Study is termed the “response rate”.

Statistical methods

Response rate was analysed using generalised linear model with a binomial distribution and a log link function (binomial regression) (proc genmod in SAS, v9.2 Cary NC, USA) with response (yes or no) as the outcome. Associations with exposure variables were expressed as a participation rate ratio. This model was used to examine which individual characteristics were associated with differences in response rates. This model was not initially stratified by area. Model fit was assessed using the deviance to compare the fitted model with the full or saturated model that provided a perfect fit to the data. Wald 95% confidence intervals were calculated for rate ratio estimates, and the overall effect of a variable was assessed with a likelihood ratio test. Heterogeneity of the effect of personal variables on response rate between areas was assessed by sequentially adding an interaction term of area with each variable, and the effect assessed by a likelihood ratio test of the interaction.

Ethics statement

Ethical approval for the study was provided by the University of New South Wales, Human Research Ethics Committee, the Australian National University Human Research Ethics Committee, and the University of Western Sydney Human Research Ethics Committee.

Results

Overall response to the invitation to participate

The overall uptake of the invitation to participate in the Link-Up Study was 33% (762/2340). The response rate

was 41% (410/1002) among participants resident in the regional area (Wagga Wagga) and 26% (352/1338) among those resident in the urban area (Parramatta) ($p < 0.0001$).

Response rate according to demographic, lifestyle and other factors

Table 1 shows the response rate to the invitation to take part in the Link-Up Study among people already participating in the 45 and Up Study, according to a range of factors. No significant variation in response rate was observed according to sex, distance from collection site, time since joining the baseline 45 and Up Study and body mass index. Individuals aged 80 and over were significantly less likely to take part than younger individuals, as were those who were not born in Australia, compared to Australian-born individuals. People with a higher annual household income and those in part-time work were significantly more likely than others to take part. People who were caring full-time for a sick or disabled family member or friend were significantly less likely to take part in the Link-Up Study than people who did not have such caring responsibilities. Individuals needing help with day-to-day tasks because of a long-term disability or illness and those with a severe functional limitation due to their physical health were also significantly less likely to participate, compared to those without such disability. Compared to people who had never smoked, current smokers were less likely to take part. In the regional area, people with a tertiary education were significantly more likely to participate than those without a tertiary education; an attenuated relationship was observed in the urban area ($p(\text{interaction}) = 0.049$). None of the relationships with the other factors varied significantly between the urban and regional areas (data not shown).

Discussion

Among individuals already taking part in a cohort study, people who participated in an biobank, through donating a blood sample and having physical measurements taken, were, on average, significantly younger, wealthier, more educated, less disabled and more likely to be born in Australia, compared to cohort members who did not participate. Those in part-time work were more likely to participate, and smokers and those with caregiving responsibilities were less likely to participate than other cohort members. No significant variation in response rate was observed according to sex, distance from collection site, time since joining the baseline 45 and Up Study and body mass index.

Research has previously been undertaken to investigate whether individuals would be willing to participate in biobanking, using hypothetical scenarios [14–17]. Past

Table 1 Response rate to the invitation to take part in the Link-Up Study, according to demographic, lifestyle and other factors

Characteristic	Total	Participated	Rate Ratio (crude) of participation (95% CI)
	N	N (%)	
Distance of residence from collection centre			
<5km	1170	387 (33%)	1.00
≥5km	1170	375 (32%)	0.97 (0.87 - 1.09)
Time since joining The 45 and Up Study			
Less than 1 year	1128	381 (34%)	1.00
1 - <2 years	855	278 (33%)	0.98 (0.86 - 1.11)
2 years or more	357	103 (29%)	0.87 (0.73 - 1.04)
Age			
45-64 yrs	1464	499 (34%)	1.00
65-79 yrs	611	223 (36%)	1.07 (0.95 - 1.21)
≥80 yrs	265	40 (15%)	0.45 (0.34 - 0.60)
Sex			
Male	1107	348 (31%)	1.00
Female	1233	414 (34%)	0.94 (0.84 - 1.06)
Educational qualifications			
Wagga Wagga			
Not Tertiary Educated	765	287 (38%)	1.00
Tertiary Educated	221	118 (53%)	1.37 (1.18 - 1.60)
Parramatta			
Not Tertiary Educated	971	244 (25%)	1.00
Tertiary Educated	336	102 (31%)	1.20 (0.99 - 1.46)
Country of origin			
Born in Australia	1696	608 (36%)	1.00
Not born in Australia	644	154 (23%)	0.69 (0.59 - 0.81)
Annual household pre-tax income			
<\$20,000	444	106 (24%)	1.00
\$20,000-\$49,999	535	174 (33%)	1.37 (1.12 - 1.68)
≥\$50,000	1249	455 (36%)	1.50 (1.26 - 1.80)
Employment status			
Not in paid work	1021	309 (30%)	1.00
Part time paid work	433	160 (37%)	1.24 (1.07 - 1.44)
Full time paid work	837	282 (34%)	1.10 (0.97 - 1.25)
Carer status			
Not a full-time carer	2242	743 (33%)	1.00
Full-time carer	94	19 (20%)	0.62 (0.42 - 0.93)
Disability			
No major disability	2092	714 (31%)	1.00
Major disability	137	26 (18%)	0.54 (0.38 - 0.76)

Table 1 Response rate to the invitation to take part in the Link-Up Study, according to demographic, lifestyle and other factors (Continued)

Physical functional limitations			
None	719	242 (34%)	1.00
Mild	591	245 (41%)	1.22 (1.06 - 1.39)
Moderate	450	152 (34%)	1.00 (0.85 - 1.18)
Severe	352	72 (20%)	0.61 (0.49 - 0.77)
Smoking status			
Never smoker	1425	497 (35%)	1.00
Current smoker	159	37 (23%)	0.66 (0.50 - 0.89)
Ex-smoker	736	225 (31%)	0.88 (0.78 - 1.00)
Body mass index			
Underweight	38	9 (24%)	0.95 (0.80 - 1.12)
Normal weight	702	227 (32%)	1.00
Overweight	838	292 (35%)	1.08 (0.94 - 1.24)
Obese	516	158 (31%)	0.73 (0.41 - 1.31)

Note: Numbers do not always add up to total due to missing values.

studies indicate that individuals may be reluctant to donate because of lack of personal relevance, concerns that their sample will be misused, and general distrust of biobanks or researchers [1,18]. However, data comparing the characteristics of individuals who actually do or do not participate in biobanks are limited. Consistent with results from this study, previous studies have found that ethnic minorities and older people are less likely than others to donate biospecimens for research [19-21]. We were unable to identify any studies that evaluated directly the influence of health and lifestyle characteristics on biobank participation; the factors we identified are similar to those that influence participation in research in general (e.g. “the healthy cohort effect”) [22-24]. Overall, our findings suggest that individuals less engaged in health-seeking behaviours (e.g. smokers) were less likely to participate, as well as those potentially affected by access difficulties or time limitations, such as those with disabilities or carer responsibilities, or the elderly.

Participation rates and factors influencing participation in biobanks vary from study to study, and according to context [1-4,8]. Even with the immense resources of the UK Biobank, a response rate of <10% was achieved. It has been suggested that those donating to biobanks should be representative of the general public [3]. Although this is generally seen as important for reliable estimates of point prevalence, experience to date indicates that this is unlikely to be practical on a large scale. Furthermore, participants do not need to be representative of the general population to make internal comparisons of relative risk within the cohort (i.e. to quantify associations between exposures and outcomes),

which is generally the central purpose of large scale, long term biobanks. Such estimates of relative risk remain valid, even when the cohort is from a relatively select group [10,25]. Examples of highly selected, yet valid, internal comparisons include estimates of the relative risks of lung cancer for smokers versus non smokers, among British Doctors [26], and consistent estimates of breast cancer risk in users versus non users of the oral contraceptive pill, in a variety of cohort studies [27]. For this study, this means that although the absolute response rates to the invitation may not necessarily be generalisable to other studies, internal comparisons, including characteristics of responders versus non-responders, are likely to be both valid and generalisable [10,25]. More importantly, the implication for biobanks generally are that response rates and the characteristics of responders and non-responders may influence the generalisability of prevalence estimates from biobanks, but are less likely to be a problem for internal comparisons.

Another important consideration for long term biobanks is the need to include sufficient numbers of participants from specific population groups of interest to allow appropriately powered analyses. Our results indicate that certain groups are less likely to participate and strategies to enhance participation in these groups may be necessary to ensure appropriate heterogeneity in the biobank population. Such strategies could include targeted community-based recruitment of the elderly and migrant groups and home-based recruitment methods.

Conclusions

People participating in research studies involving biobanking differ from those who do not take part in a number of ways, and are generally in better health. In keeping with cohort studies in general, this means that caution should be exercised in generalising from estimates of absolute prevalence from biobank studies, however for many internal comparisons, estimates are likely to remain valid.

Abbreviations

CI: Confidence interval; MOS-PF: Medical Outcomes Score-Physical Functioning.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

Study conception and design: EB, LJ. Study coordination and data collection: NH, EB. Biostatistical supervision and conduct of analyses: KR. Interpretation of analyses: EB, LJ, KR. Literature review: TM, NH. Drafting of manuscript: EB, NH, TM. Revision of manuscript for important intellectual content: NH, KR, TM, LJ. All authors read and approved the final manuscript.

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