



# Clinico-Pathological Significance of Tumor Infiltrating Immune Cells in Oral Squamous Cell Carcinoma—Hope or Hype?

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### **ABSTRACT**

**Background:** To correlate between immunohistochemical expression of tumor-infiltrating lymphocytes (TILs), tumor-associated macrophages (TAMs), and natural killer (NK) cells with the AJCC 8th edition TNM staging system and other disease-modifying clinico-pathological variables.

**Methods:** The representative histology sections of tumor invasive margin (IM) and tumor core (TC) were selected according to the International Immuno-Oncology Biomarker Working Group and were subjected to immunohistochemistry with antibodies for TILs (CD3, CD8, FOXP3), NK Cells (CD57), TAMs (CD68, CD163) and pan-leukocyte marker (CD45). Histo-immuno-density-intensity (HIDI) scoring was calculated as a product of the proportion and intensity of staining. Ordinal-ordinal and continuous-ordinal variables were correlated using Kendall's tau-b ( $\tau$ b), and binary-ordinal variables were correlated using Rank-Biserial (rrb) statistics.

**Results:** A total of 111 patients were included in the study. None of the clinical and pathological parameters showed a strong correlation with any of the immune infiltrates including TNM staging.

**Conclusion:** We hypothesize an independent activity of tumor immunology in the disease prognosis.

Trial Registration: CTRI/2020/07/026335

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# 1 | Introduction

Over the past two decades, human malignancies have increasingly been recognized as heterogeneously complex tissues rather than merely collections of relatively homogeneous malignant cells [1, 2]. Cells involved in immune response as well as native cells present in the background interstitium are increasingly documented to be functionally important for the disease manifestation. Recent understanding of the involvement of inflammatory cells in disease progression and prognostication has revealed the significance of immunocharacterization of human malignancies [3, 4]. The effect of immune cell infiltration on carcinogenesis varies and is based on the class of immune cells and their spatial differential distribution within the tumor microenvironment (TME), making it a viable proposition for prognostic biomarker study. To quantify the effect of tumor-infiltrating immune cells in disease prognostication, numerous scoring systems have been proposed and validated on varied study populations; few extrapolated from different sites, and the rest indigenously developed. An international consortium has been commenced to validate and promote the "TNM-Immunoscore" for colorectal cancers in routine clinical settings [5, 6]. Similar work is in progress for breast cancers and non-small cell lung cancers as well [7, 8]. Studies evaluating tumor-infiltrating immune cells in head and neck squamous cell carcinoma (HNSCC) have been limited by small cohort sizes, the limited panel of immune infiltrates, retrospective approaches, the inclusion of heterogeneous populations, and discrepant findings. Several factors like the inclusion of multiple head and neck subsites, HPV/p16 status, lack of technical and statistical standardization, and non-adherence to the reporting guidelines might contribute to these differences and hamper direct comparison of the studies reported in the literature [9-11].

The present study is designed to evaluate the full spectrum of tumor immune infiltrates on a relatively homogeneous population of oral squamous cell carcinoma (OSCC). The objective was to correlate the differential expression of tumor-infiltrating lymphocytes (TILs), tumor-associated macrophages (TAMs), and natural killer (NK) cells through a semiquantitative scoring with the TNM classification and other proven disease-modifying clinical and pathological variables.

# 2 | Materials and Methods

### 2.1 | Study Design and Participants

In this prospective observational study, all patients with biopsy-proven OSCC of stage I-IV, Eastern Cooperative Oncology Group Performance Status (ECOG PS) 0-2, who underwent curative surgical excision at a tertiary-level healthcare academic institute from July 2020 to December 2021 were included. Patients who operated for residual or recurrent disease, presence of synchronous malignancy, and previous administration of systemic therapy and/or radiotherapy were excluded. The study was in accordance with the guidelines set by the Declaration of Helsinki, and the International Council for Harmonization—Good Clinical Practice. Prior approval was obtained from the Institutional Ethics Committee (AIIMS/IEC/20/409). The

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Informed consent was obtained from each study participant before enrolment, and treatment was delivered in compliance with the standard guidelines. The tumors were staged according to the American Joint Committee on Cancer/Union for International Cancer Control (AJCC/UICC) 8th edition TNM classification system [12]. Recommendations by the National Cancer Institute—European Organization for Research and Treatment of Cancer (NCI-EORTC) Working Group on Cancer Diagnostics (REMARK) were followed for reporting [13].

# 2.2 | Histopathology and Immunohistochemistry

After submission of the specimen, the histology sections of the primary specimen representative of tumor invasive margin (IM) and tumor core (TC) were selected (magnification 200–400x) after initial screening under microscope at low power field (100x) by a single oncopathologist (AS) according to the recommendations by the International Immuno-Oncology Biomarker Working Group (IIOB-WG) [14]. Respective formalin-fixed paraffin-embedded (FFPE) blocks were acquired from the departmental tissue repository. Fresh tissue sections of  $4\,\mu m$  thickness were obtained on AutoFrost Adhesion Microscope Slides (Cancer Diagnostics Inc., NC, USA). All the steps of IHC were performed strictly as per instructions given in the manufacturer-provided information booklets. IHC for positive control/ negative controls was performed on lymph node sections prior to each batch.

The slides were placed in the incubator at 70°C followed by two washes of Tinto Deparaffinator (Bio SB, CA, USA). Tissue rehydration was achieved with graded ethyl alcohol (100%, 90% and 70%, successively) to water. Epitope retrieval was performed using an Immuno/DNA Retriever with EDTA at pH7.5 (Bio SB, CA, USA) and Multi Epitope Retrieval System (PathnSitu Biotechnologies, CA, USA). Once tissues were cooled down to room temperature, slides were kept in the moist chambers designed for IHC. Tissues on charged slides were outlined with a reagent-repellent pen and were exposed to PolyDetector Peroxidase Block (Bio SB, CA, USA) for 10 min. After washing with ImmunoDNAWasher (Bio SB, CA, USA), prediluted primary antibodies (Bio SB, CA, USA) for TILs (CD3 rabbit monoclonal antibody i.e., RMab, CD8 mouse monoclonal antibody i.e., Mmab and FOXP3 RMab), NK Cells (CD57MMab), TAMs (CD68MMab, CD163 RMab) and pan-leukocyte marker (CD45 MMab) were applied for 60 min followed by a wash with ImmunoDNAWasher. MedaView One-step Polymer-AP Anti-Mouse&Rabbit secondary antibody (Medaysis, CA, USA) was applied for the next 20 min, again followed by a wash with ImmunoDNAWasher.

Lastly, DAB chromogen (dilution  $50\,\mu\text{L/mL}$  with substrate) was applied for 5 min in each tissue section. The slides were washed in distilled water again and counterstained with Hematoxylin for 2 min. Once excess Hematoxylin was washed under running tap water, tissue dehydration was performed in graded ethyl

alcohol series for 2 min (70%, 90%, 100%, and 100%), followed by immersion in Xylene 2 times, 2 min each and mounting of the coverslip.

# 2.3 | Quantification of IHC

Images of IHC slides were captured using a microscope (Olympus BX53) camera (ProgRes capture SPEEDXT CORE 5) based ProgRes capture pro 2.8.8 Jenoptik optical system at 200X magnification. The invasive margin was defined as a 1 mm wide zone centered on the border of the malignant cells with the host tissue, and the tumor core is defined as the central tumor tissue surrounded by this zone as defined by the IIOB-WG [14]. The area selected for capturing the images was based on the area showing the deepest invasive margin and the corresponding tumor core areas, out of which the best areas were selected solely at the discretion of the concerned oncopathologist (AS). Semiquantitative histo-immuno-density-intensity (HIDI) score was calculated as a product of the proportion of area stained and intensity of staining as follows: (0) no stain; (1) 0%-10%, mild intensity; (2) 11%-75%, intermediate intensity; (3) 76%-100%, strong intensity; and ranged from 0 to 9 (weakest to strongest). The scoring was performed separately by two oncopathologists (AS and VN). Any discordance was discussed between the coauthors and resolved by consensus involving a third oncopathologist (NC).

# 2.4 | Data Definition and Categorization

The variables were categorized as mentioned: depth of invasion [12] (DOI) as  $\leq 5$ ,  $>5\,\mathrm{mm}/\leq 10\,\mathrm{mm}$ , and  $>10\,\mathrm{mm}$ ; extranodal extension [12] (ENE) as absent, ENEmi ( $<2\,\mathrm{mm}$ ), and ENEma ( $>2\,\mathrm{mm}$ ); and worst pattern of invasion (WPOI) as WPOI 1–4 and WPOI 5. Lymphovascular invasion (LVI) and perineural invasion (PNI) were classified as absent and present. The lymph node ratio (LNR) is defined as the number of positive nodes divided by lymph nodal yield. The total number of positive lymph nodes and lymph node ratio (LNR) were further categorized into three subgroups according to a previously published study (Table 1) [15].

# 2.5 | Statistical Analyses

The statistical analysis was performed using IBM SPSS statistics version 26 for Windows. Bivariate correlations were performed between individual HIDI score (ordinal) and the nominal (subsite), ordinal (cT, cN, pT, and pN classifications, clinical and pathological stages, histological grade, DOI, ENE), binary (LVI, PNI, WPOI, level-IV/V metastases, contralateral nodal metastases) and continuous (total positive lymph nodes, and LNR) variables. Pearson's chi-square statistic was used for measuring associations between subsite and HIDI score. Ordinal-ordinal and continuous-ordinal variables were correlated using Kendall's tau-b (tb), and binary-ordinal variables were correlated using Rank-Biserial ( $\rm r_{rb}$ ) statistics. The association between subsites and HIDI score was determined using Pearson's chi-square statistic. A P-value less than 0.05 was considered statistically significant.

## 3 | Results

One hundred and fifty-six (n=156) patients with OSCC matched the inclusion criteria. Forty-five (n=45) patients were excluded because of neoadjuvant chemotherapy i.e., NACT (n=18), residual or recurrent disease with or without prior radiotherapy and/or systemic therapy (n=16), final histopathology suggestive of non-SCC primary including verrucous carcinoma (n=6), denial or unfit for surgery (n=4), and synchronous breast malignancy (n=1). One hundred and eleven (n=111) patients were included in the study (Figure 1).

Mean age at presentation was 47.7 ( $\pm 11.3$ ) years with male predominance (91%). The majority of them were exposed to tobacco; more to smokeless (72.9%) than smoking forms (49.5%). Buccal mucosa and retromolar trigone were the most common primary subsites (55%) followed by the oral tongue (26.1%). The majority of them presented at a locally advanced stage (cT3/4—79.3%; cN2/3—52.2%; stage III/IV—85.6%). The basic characteristics of the study cohort are highlighted in Table 1.

CD3<sup>+</sup> and CD8<sup>+</sup> TILs and CD168<sup>+</sup> TAMs have shown a strong tumoral infiltration. On the contrary, poor immune infiltration was demonstrated by FOXP3<sup>+</sup> TILs and CD57<sup>+</sup> NK cells across the study cohort. The distribution of HIDI scores across various tumor immune infiltrates is given in Table 2.

Individual HIDI score was higher in the invasive margin that tumor core across all immune infiltrates. A strong positive correlation was found between IM and TC compartments for each of the immune infiltrates except CD45<sup>+</sup> leukocytes. However, spatial distribution and HIDI score did not correlate strongly between two different infiltrating immune cells (Table 3). The density and distribution of immune infiltrates in the TME are shown in Figure 2.

None of the clinical and pathological parameters showed a strong correlation with any of the immune infiltrates including T/N classifications and AJCC/UICC staging system for OSCC. The strongest correlation noticed in the study cohort was between ENE and CD57-TC score ( $\tau$ b=0.266; p=0.03) followed by cN classification and CD68-TC score ( $\tau$ b=0.212; p=0.006). Pathological bone invasion demonstrated a weak-to-moderate negative correlation with the pan-leukocyte marker CD45 in the invasive margin only ( $r_{rb}$ = 0.351; p=0.002). There were no significant associations between subsites and HIDI score too. The correlation statistics are briefed in Table 4 and detailed in Table S1.

We conducted a post hoc analysis to examine whether the addition of intensity of staining to density has altered the correlations when compared to density-only scoring as proposed by the IIOB-WG. The density-only scoring was according to the present study methodology (range 0-3) and showed minimal variation from the HIDI score (Table S2). The second post hoc analysis was performed by clubbing the HIDI score range from 0-9 to 1-3 (1=HIDI 0-3, absent or minimal immune infiltration; 2=HIDI 4-6, moderate immune infiltration; 3=HIDI 7-9; high immune infiltration); which also turned out to be non-contributory for the major clinical and pathological variables (Table S3).

**TABLE 1** | Basic characteristics of the study participants (n = 111).

| Characteristics                  | Number (%) | Characteristics        | Number (%)       |
|----------------------------------|------------|------------------------|------------------|
| Age at presentation              |            | Depth of inva          | sion             |
| ≤40 years                        | 32 (28.8%) | ≤5 mm                  | 16 (19.1%)       |
| 41-60 years                      | 63 (56.8%) | $>$ 5 mm, $\leq$ 10 mm | 21 (21.4%)       |
| >60 years                        | 16 (14.4%) | >10 mm                 | 74 (56.9%)       |
| Sex                              |            | Worst pattern of       | invasion         |
| Male                             | 101 (91%)  | 1–4                    | 88 (79.3%)       |
| Female                           | 10 (9%)    | 5                      | 23 (20.7%)       |
| Smokeless tobacco                |            | Bone invasi            | on               |
| Current                          | 36 (32.4%) | Present                | 25 (22.5%)       |
| Former                           | 45 (40.5%) | Absent                 | 52 (46.8%)       |
| Never                            | 30 (27%)   | Not applicable         | 34 (30.6%)       |
| Smoking                          |            | Lymphovascular         | invasion         |
| Current                          | 28 (25.2%) | Present                | 15 (13.5%)       |
| Former                           | 27 (24.3%) | Absent                 | 96 (86.5%)       |
| Never                            | 56 (50.5%) |                        |                  |
| Alcohol                          |            | Perineural inv         | rasion           |
| Current                          | 24 (21.6%) | Present                | 12 (10.8%)       |
| Former                           | 18 (16.2%) | Absent                 | 99 (89.2%)       |
| Never                            | 69 (62.2%) |                        |                  |
| ECOG PS <sup>a</sup>             |            | Total lymph node       | -positive        |
| 0                                | 14 (12.6%) | 0                      | 53 (47.7%)       |
| 1                                | 92 (82.9%) | 1–2                    | 30 (27%)         |
| 2                                | 5 (4.5%)   | 3–4                    | 16 (14.4%)       |
|                                  |            | ≥5                     | 12 (10.8%)       |
| Charlson comorbidity index       |            | Lymph node             | ratio            |
| 2                                | 58 (52.3%) | 0                      | 53 (47.7%)       |
| 3                                | 31 (27.9%) | < 0.1                  | 49 (44.1%)       |
| 4                                | 16 (14.4%) | 0.1-0.4                | 8 (7.2%)         |
| ≥5                               | 6 (5.4%)   | >0.4                   | 1 (0.9%)         |
| Subsite                          |            | Extranodal ext         | ension           |
| Buccal mucosa & RMT <sup>b</sup> | 61 (55%)   | ENEma                  | 11 (9.9%)        |
| Tongue & FOM <sup>c</sup>        | 29 (26.1%) | ENEmi                  | 7 (6.3%)         |
| Lower alveolus                   | 10 (9%)    | Absent                 | 40 (36%)         |
| Upper alveolus & hard palate     | 8 (7.2%)   | Not applicable (pN0)   | 53 (47.7%)       |
| Mucosal lip                      | 3 (2.7%)   |                        |                  |
| cT classification <sup>d</sup>   |            | pT classificat         | ion <sup>d</sup> |
| T1                               | 5 (4.5%)   | T1                     | 9 (8.1%)         |
| T2                               | 18 (16.2%) | T2                     | 20 (18%)         |

(Continues)

**TABLE 1** | (Continued)

| Characteristics                | Number (%) | Characteristics | Number (%)            |
|--------------------------------|------------|-----------------|-----------------------|
| T3                             | 22 (19.8%) | Т3              | 30 (27%)              |
| T4                             | 66 (59.5%) | T4              | 52 (47.8%)            |
| cN classification <sup>d</sup> |            | pN classifi     | cation <sup>d</sup>   |
| N0                             | 34 (30.6%) | N0              | 53 (47.7%)            |
| N1                             | 19 (17.1%) | N1              | 13 (11.7%)            |
| N2                             | 41 (36.9%) | N2              | 29 (26.1%)            |
| N3 <sup>e</sup>                | 17 (15.3%) | N3              | 16 (14.4%)            |
| Clinical stage <sup>d</sup>    |            | Pathologica     | al stage <sup>d</sup> |
| I                              | 5 (4.5%)   | I               | 6 (5.4%)              |
| II                             | 11 (9.9%)  | II              | 16 (14.4%)            |
| III                            | 8 (7.2%)   | III             | 18 (16.2%)            |
| IV                             | 87 (78.4%) | IV              | 71 (64%)              |
| Histological grade             |            | Level IV/V m    | netastases            |
| Well-differentiated            | 58 (52.3%) | Present         | 5 (4.5%)              |
| Moderately differentiated      | 52 (46.8%) | Absent          | 41 (36.9%)            |
| Poorly differentiated          | 1 (0.9%)   | Not applicable  | 65 (58.6%)            |

<sup>&</sup>lt;sup>a</sup>ECOG PS, eastern cooperative oncology group performance status.

# 4 | Discussion

At present, the prognostication of OSCC is solely based on the AJCC/UICC staging system [12]. However, tumors of the same stage do not behave similarly with respect to aggressiveness and response to therapy owing to their heterogeneity. In recent times, TME has certainly established itself as one of the prime areas of research. More so with the incorporation of immunotherapy in the cancer treatment guidelines. The effect of immune infiltration on carcinogenesis is varied and based on the class of immune cells and their spatial differential distribution within TME, making it a viable proposition for prognostic biomarker study. CD8+ TILs are the most studied and validated among the whole spectrum of immune infiltrates [10, 11, 16–19]. Two recent systematic reviews and meta-analyses analyzed TILs, TAMs, and NK cells altogether in the prognostication of OSCC [10, 11]. Even after having majorly identical study designs and results, both studies cited a lack of reliability of the included studies, reporting irregularities and importantly, a minor effect on survival. CD8+ cytotoxic TILs and CD57+ NK cells are "antitumorigenic", whereas FOXP3+ T-regulatory cells and CD163+ M2 macrophages are "pro-tumorigenic" [20]. High tumoral infiltration of CD8+ TILs and CD57+ NK cells has demonstrated a better clinical outcome. On the contrary, high infiltration of CD163+ M2 macrophages was found to be associated with worse outcomes [10, 11]. Multiple studies have shown that a high CD8+ expression was associated with negative regional metastasis [16, 21, 22]. Moreover, patients with abundant CD57<sup>+</sup> NK cells showed no regional metastasis and early clinical stage [21].

Our study differs from these findings as it failed to establish any strong correlation with any of the studied clinical and pathological prognostic variables. In a study by Troiano et al. [23] no association was found between CD68+ TAM expression and lymph node metastasis, tumor stage, and histological grade. Similar results were obtained for the association of CD163+ TAMs with lymph node metastasis and tumor stage. Kumar et al. [24] showed that a higher density of CD163+ M2 macrophages in the tumor microenvironment is associated with advanced T-stage, increased rates of nodal positivity, and the presence of LVI. The same group of authors also concluded that there is no significant association between CD163 density and histological grade. The possible explanation of poor infiltration by FOXP3+ TILs and CD57<sup>+</sup> NK cells may be due to unexplained interplay between advanced stage primaries (64% of pathological stage-IV tumors) and chemokine gradients, organization of extra-cellular matrix and collagen structure which are known barriers to immune infiltration [25]. We additionally studied CD45+ pan-leukocytic tumor infiltration which was also majorly non-contributory. The probable protective effect of leukocytic infiltration on bone invasion was based on a subset analysis (n = 77) and must be interpreted cautiously. Our study supports the findings of Troiano and colleagues, whereas majorly disagree with the other. All these studies have drawn their statistical conclusions based on 2×2 contingency tables. We chose Kendall's Tau and Rankbiserial correlations to additionally measure the direction and strength of the associations between variables. Though few of the immune markers attained statistical significance, none of the associations were strong enough to draw a valid conclusion

<sup>&</sup>lt;sup>b</sup>RMT, retromolar trigone.

cFOM, floor of mouth.

<sup>&</sup>lt;sup>d</sup>Staging as per AJCC/UICC TNM 8th edition.

eNo cN3a as per AJCC/UICC 8th edition TNM classification.

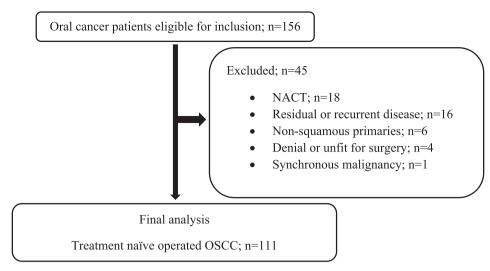


FIGURE 1 | A flow diagram of the study.

**TABLE 2** | Distribution of HIDI score in the study cohort (n = 111).

| Immune cells               | Median value (IQR) | ]  | Immune cells        | Median value (IQR)  |
|----------------------------|--------------------|----|---------------------|---------------------|
| CD3 <sup>+</sup> TILs      |                    |    | CD68                | + TAMs              |
| IM                         | 9 (3)              | IM |                     | 4 (8)               |
| TC                         | 6 (7)              | TC |                     | 4 (5)               |
| CD8 <sup>+</sup> TILs      |                    |    | CD163               | 3 <sup>+</sup> TAMs |
| IM                         | 6 (5)              | IM |                     | 6 (8)               |
| TC                         | 3 (4)              | TC |                     | 3 (8)               |
| FOXP3 <sup>+</sup> TILs    |                    |    | CD45 <sup>+</sup> I | Leukocytes          |
| IM                         | 1 (4)              | IM |                     | 9 (3)               |
| TC                         | 1 (3)              | TC |                     | 4 (5)               |
| CD57 <sup>+</sup> NK cells |                    |    |                     |                     |
| IM                         | 0 (1)              |    |                     |                     |
| TC                         | 0 (1)              |    |                     |                     |

(Table 2). The available literature also varied significantly in methodology and study reporting, hampering a direct comparison with the findings of the present study. We did not consider CD4<sup>+</sup> Memory T-lymphocytes as this subpopulation of TILs has an indirect influence on host protection by regulating the recruitment and activation of CD8<sup>+</sup> cytotoxic TILs [26].

The present study, to the best of our knowledge, is the only prospective study evaluating a comprehensive panel of tumor immune infiltrates in OSCC. Our study findings adhered to 16 out of 20 REMARK guidelines. We also partially adhered to the IIOB-WG recommendations. In fact, we believe, the incorporation of intensity to density scoring may add valuable functional information [27–29]. The proposed semiquantitative HIDI score is feasible, and reproducible, and does not require tedious manual counting of cells or specialized software support for whole slide image analysis. It also avoids the determination of high and low tumor infiltrates based on mean and median values used in most of the quantitative studies, which will always be dynamic

and vary between population and subsites [16–18, 29, 30]. The strong positive correlation between IM and TC compartments in our study can be interpreted in two ways. Firstly, the pattern of infiltration in the tumor core can be predicted by studying the invasive margin or vice versa. Secondly, adequate information may be generated just by studying a single compartment reducing the cost and time of analysis.

The present study failed to demonstrate any clinically significant correlation between immune infiltrates and other established clinical and pathological prognosticators in OSCC including the present AJCC/UICC staging system. Our study additionally addressed contemporary pathological variables like lymph node ratio (LNR) and lower neck metastases (level-IV/V), but it lacks a description of a few emerging evidence like tumor budding and WPOI-4. We also did not assess stromal TILs separately in the TC compartment due to the variability introduced by stromal desmoplasia which may act as a confounder and may not be generalized to other subsites in the head and neck, particularly

**TABLE 3** | Correlation of HIDI scores across all tumor-infiltrating immune cells (n=111; KendalI's tau-b statistics).

| Immune          | CD3 IM               | CD3 TC                | CD57 IM  | CD57 TC              | CD45<br>IM             | CD45<br>TC         | FOXP3 IM             | FOXP3 TC             | CD68 IM              | CD68 TC              | CD163 IM              | CD163 TC             | CD8 IM               | CD8 TC                  |
|-----------------|----------------------|-----------------------|--|----------------------|------------------------|--------------------|----------------------|----------------------|----------------------|----------------------|-----------------------|----------------------|----------------------|-------------------------|
| CD3 IM          |                      | 0.532;<br>p < 0.00001 | 0.141; p = 0.1   | 0.169; $p = 0.05$    | 0.12; $p = 0.17$       | 0.055; $p = 0.5$   | 0.044; $p = 0.6$     | 0.07; p = 0.4        | 0.129; $p = 0.11$    | 0.138; $p = 0.09$    | 0.112; $p = 0.18$     | 0.2; p = 0.01        | 0.33;<br>p < 0.001   | 0.329; <i>p</i> < 0.001 |
| CD3 TC          | 0.532; $p < 0.00001$ | I                     | 0.123; $p = 0.12$  | 0.138; $p = 0.09$    | 0.077; $p = 0.34$      | 0.115; $p = 0.13$  | 0.076; $p = 0.32$    | 0.092; $p = 0.24$    | 0.162; $p = 0.03$    | 0.188; $p = 0.01$    | 0.017; $p = 0.82$     | 0.085; $p = 0.27$    | 0.275; $p < 0.001$   | 0.389; $p < 0.001$      |
| CD57 IM         | 0.141; $p = 0.1$     | 0.123; $p = 0.12$     | I  | $0.86; \\ p < 0.001$ | 0.038; $p = 0.65$      | 0.02; $p = 0.8$    | -0.097; $p = 0.23$   | -0.094; $p = 0.25$   | 0.155; $p = 0.05$    | 0.17; $p = 0.03$     | 0.158; $p = 0.05$     | 0.156; $p = 0.05$    | 0.153; $p = 0.06$    | 0.02; p = 0.8           |
| CD57 TC         | 0.169; $p = 0.05$    | 0.138; $p=0.09$       | 0.86; $p < 0.00001$  | I                    | 0.06; $p = 0.48$       | 0.057; $p = 0.47$  | -0.134; $p = 0.1$    | -0.137; $p = 0.09$   | 0.165, $p = 0.04$    | 0.174; $p = 0.03$    | 0.126; $p = 0.13$     | 0.153; $p = 0.06$    | 0.169; $p = 0.04$    | 0.066; $p = 0.4$        |
| CD45 IM         | 0.12; $p = 0.17$     | 0.077; $p=0.34$       | 0.038; $p=0.65$  | 0.06; $p = 0.48$     |                        | 0.465; $p < 0.001$ | 0.284; $p < 0.001$   | 0.286; $p < 0.001$   | 0.098; $p = 0.22$    | 0.116; $p = 0.15$    | -0.29; $p < 0.001$    | -0.218; $p = 0.007$  | 0.116; $p = 0.16$    | 0.135; $p = 0.09$       |
| CD45 TC         | 0.055; $p = 0.5$     | 0.115; $p = 0.13$     | 0.02; p = 0.8  | 0.057; $p=0.47$      | 0.465; $p < 0.001$     | I                  | 0.144; $p = 0.6$     | 0.186; $p = 0.01$    | 0.124; $p = 0.1$     | 0.151; $p = 0.04$    | -0.07; $p = 0.36$     | -0.015; $p = 0.85$   | 0.124; $p = 0.1$     | 0.083; $p = 0.26$       |
| FOXP3<br>IM     | 0.044; $p = 0.6$     | 0.076; $p = 0.32$     | -0.097; $p=0.23$   | -0.134; $p = 0.1$    | 0.284; $p < 0.001$     | 0.144; $p = 0.6$   | I                    | 0.904; $p < 0.00001$ | -0.042; $p = 0.58$   | -0.005; $p = 0.95$   | -0.064; $p = 0.41$    | -0.074; $p = 0.34$   | 0.069; $p = 0.38$    | 0.098; $p = 0.2$        |
| FOXP3<br>TC     | 0.07; p = 0.4        | 0.092; $p = 0.24$     | -0.094; $p=0.25$   | -0.137; $p = 0.09$   | 0.286; $p < 0.001$     | 0.186; $p = 0.01$  | 0.904; $p < 0.00001$ | I                    | -0.049; $p = 0.53$   | -0.009; $p = 0.9$    | -0.046; $p = 0.56$    | -0.062; $p = 0.43$   | 0.102; $p = 0.2$     | 0.124; $p = 0.11$       |
| CD68 IM         | 0.129; $p = 0.11$    | 0.162; $p = 0.03$     | 0.155; $p = 0.05$  | 0.165, $p = 0.04$    | 0.098; $p=0.22$        | 0.124; $p = 0.1$   | -0.042; $p = 0.58$   | -0.049; $p = 0.53$   | I                    | 0.916; $p < 0.00001$ | -0.103; $p = 0.18$    | -0.045; $p = 0.55$   | 0.1; p = 0.19        | 0.167; $p = 0.03$       |
| CD68 TC         | 0.138; $p = 0.09$    | 0.188; $p = 0.01$     | 0.17; p = 0.03   | 0.174; $p = 0.03$    | 0.116; $p = 0.15$      | 0.151; $p = 0.04$  | -0.005; $p = 0.95$   | -0.009; $p = 0.9$    | 0.916; $p < 0.00001$ | I                    | -0.082; $p = 0.29$    | -0.025; $p=0.74$     | 0.077; $p = 0.31$    | 0.159; $p = 0.03$       |
| CD163 IM        | 0.112; $p = 0.18$    | 0.017; $p = 0.82$     | 0.158; $p=0.05$  | 0.126; $p=0.13$      | -0.29; $p < 0.001$     | -0.07; $p = 0.36$  | -0.064; $p = 0.41$   | -0.046; $p = 0.56$   | -0.103; $p = 0.18$   | -0.082; $p = 0.29$   | I                     | 0.852; $p < 0.00001$ | -0.026; $p = 0.75$   | -0.086; $p = 0.26$      |
| CD163 TC        | 0.2; p = 0.01        | 0.085; $p=0.27$       | 0.156; $p=0.05$  | 0.153; $p = 0.06$    | -0.218; $p = 0.007$    | -0.015; $p = 0.85$ | -0.074; $p = 0.34$   | -0.062; $p = 0.43$   | -0.045; $p = 0.55$   | -0.025; $p = 0.74$   | 0.852;<br>p < 0.00001 | l                    | 0.003; $p = 0.97$    | -0.011; $p = 0.89$      |
| CD8 IM          | 0.33; $p < 0.001$    | 0.275; $p < 0.001$    | 0.153; $p = 0.06$  | 0.169; $p = 0.04$    | 0.116; $p = 0.16$      | 0.124; $p = 0.1$   | 0.069; $p = 0.38$    | 0.102; $p = 0.2$     | 0.1; p = 0.19        | 0.077; $p = 0.31$    | -0.026; $p = 0.75$    | 0.003; $p = 0.97$    | l                    | 0.602; $p < 0.00001$    |
| CD8 TC          | 0.329; $p < 0.001$   | 0.389; $p < 0.001$    | 0.02; p = 0.8  | 0.066; $p = 0.4$     | 0.135; $p = 0.09$      | 0.083; $p = 0.26$  | 0.098; $p = 0.2$     | 0.124; $p = 0.11$    | 0.167; $p = 0.03$    | 0.159; $p = 0.03$    | -0.086; $p = 0.26$    | -0.011; $p = 0.89$   | 0.602; $p < 0.00001$ | I                       |
| Note: Significa | ant correlations (   | moderately stror      | Note: Significant correlations (moderately strong upwards) are highlighted in <b>bold</b> ; p-values are adjusted to Bonferroni correction ( $p < 0.00027$ statistically significant). | nighlighted in       | ι <b>bold</b> ; p-valι | nes are adjust     | ed to Bonferron      | i correction (p <    | 0.00027 statistic    | ally significant)    |                       |                      |                      |                         |

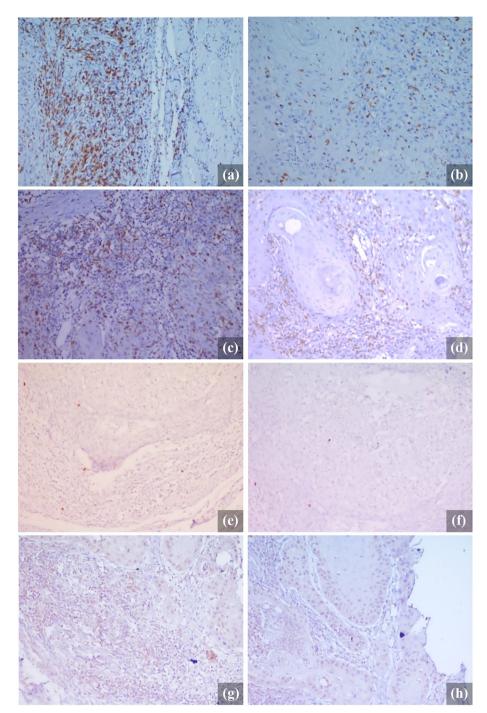


FIGURE 2 | The representative immunohistochemistry images show the spatial distribution and density of various tumor immune infiltrates in the study population. (a) CD3<sup>+</sup> TILs in tumor invasive margin (CD3-IM); (b) CD3<sup>+</sup> TILs in tumor core (CD3-TC); (c) CD8-IM; (d) CD8-TC; (e) CD57-IM; (f) CD57-TC; (g) CD68-IM; (h) CD68-TC; (i) CD163-IM; (j) CD163-TC; (k) FOXP3-IM; (l) FOXP3-TC; (m) CD45-IM; (n) CD45-TC. [Color figure can be viewed at wileyonlinelibrary.com]

oropharynx and lymph nodes [14]. The present study lacks survival statistics too, which are required to validate our findings. A multivariate analysis along with an attempt to stratify the HIDI score based on survival statistics is planned in subsequent publications in due course.

However, the present study unfolds multiple hypotheses which may guide future research in this regard. Firstly, whether the tumor immune infiltrates have an independent effect on survival is supported by the published literature [10–11, 16–19, 23–24, 29–31]. Secondly, whether high versus low immune infiltration has any significant prognostic difference. We noticed very low tumor infiltration by CD57 $^+$  NK cells in our cohort. Whereas the existing evidence suggests high infiltration of CD57 $^+$  NK cells improves overall survival [10, 11, 16]. Thirdly, CD3 $^+$  and CD8 $^+$  TILs may be the target of choice for immunotherapy with the highest tumoral infiltration as demonstrated in the study. Fourthly, whether the incorporation of immune infiltrates in the

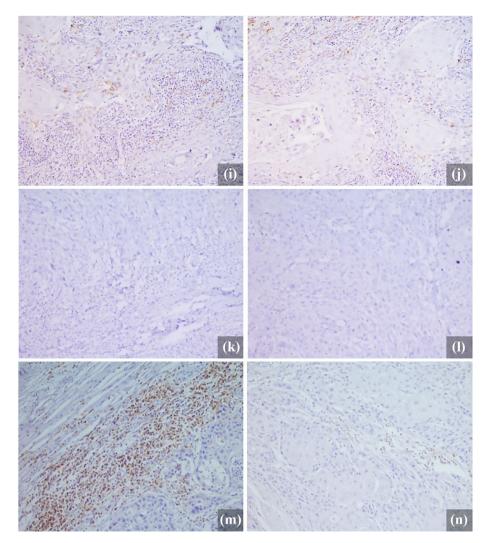


FIGURE 2 | (Continued)

**TABLE 4** | Significant correlation statistics of tumor immune infiltrates with clinical and pathological variables (n = 111).

| Correlation statistics   | Primary characteristics | Immune cells                             | Complementary characteristics | Correlation statistics                              |
|--|-------------------------|--|-------------------------------|---|
| $\tau b^{a} = -0.153; p = 0.04$<br>$\tau b = -0.147; p = 0.05$   | Age                     | CD57 IM<br>CD57 TC                       | N.A.                          | N.A. <sup>c</sup>                                   |
| $\tau b = 0.206; p = 0.01$<br>$\tau b = -0.193; p = 0.022$   | cT classification       | CD45 TC<br>CD57 IM                       | pT classification             | N.S. <sup>d</sup> $\tau b = -0.172; p = 0.038$      |
| $\tau b = 0.174; p = 0.036$<br>$\tau b = 0.167; p = 0.031$<br>$\tau b = 0.196; p = 0.012$<br>$\tau b = 0.212; p = 0.006$ | cN classification       | CD45 IM<br>CD45 TC<br>CD68 IM<br>CD68 TC | pN classification             | N.S.<br>N.S.<br>N.S.<br>$\tau b = 0.158; p = 0.043$ |
| $\tau b = 0.181; p = 0.027$<br>$\tau b = 0.169; p = 0.038$   | Clinical stage          | CD68 IM<br>CD68 TC                       | Pathological stage            | N.S.<br>N.S.  |
| $r_{rb}^{\ b} = -0.351; p = 0.002$   | Bony invasion           | CD45 IM                                  | N.A.                          | N.A.  |
| $r_{rb} = -0.21; p = 0.027$  | LVI                     | CD57 TC                                  | N.A.                          | N.A.  |
| $\tau b = 0.266; p = 0.029$  | ENE                     | CD57 TC                                  | N.A.                          | N.A.  |

<sup>&</sup>lt;sup>a</sup>rt, Kendall's tau-b statistic. <sup>b</sup>r<sub>rb</sub>, Rank-Biserial statistic. <sup>c</sup>N.A., not applicable. <sup>d</sup>N.S., not significant.

existing TNM classification (iTNM) improves the predictive accuracy of the present staging system in OSCC requires further research [32]. Similar additional measures are routinely practiced for oropharyngeal (p16/HPV) [12, 33, 34], breast (ER/PR/HER2Neu) [35, 36], and colorectal (MSI) [37–39] cancers and carry tremendous prognostic values. The inclusion of the entire spectrum of TILs, TAMs, and NK cells is suggested to determine their true prognostic potential. As none of the methodologies are validated on a large scale to date, compliance with REMARK and IIOB-WG recommendations is strictly encouraged.

### **Author Contributions**

R.K.S., A.S.: conceptualization, data curation, formal analysis, methodology, project administration, resources, software, supervision, visualization, roles/writing – original draft, and writing – review and editing. V.N.G.: data curation, methodology; formal analysis, visualization, roles/writing – review and editing. D.R.P.: conceptualization, formal analysis, methodology, supervision, roles/writing – review and editing. K.S.M.: conceptualization, data curation, formal analysis, methodology, project administration, resources, software, supervision, visualization, roles/writing – original draft, and writing – review and editing. T.A., P.K., A.P., V.S.K., R.K.: data curation, methodology, visualization, roles/writing – review and editing. N.C.: data curation, methodology, software, roles/writing – review and editing.

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### **Ethics Statement**

The study protocol was reviewed and approved by the institutional ethics committee (ECR/736/Inst/UK/2015/RR-18), approval no. AIIMS/IEC/20/409 dated June 20, 2020.

### Consent

Written informed consents were obtained from each study participant.

### **Conflicts of Interest**

The authors declare no conflicts of interest.

# **Data Availability Statement**

The data that support the findings of this study are available from the corresponding author, [K.S.M.], upon reasonable request.

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# **Supporting Information**

Additional supporting information can be found online in the Supporting Information section.