

## **Consensus disease definitions for neurologic immune-related adverse events of immune checkpoint inhibitors**

Amanda C Guidon <sup>(i)</sup>, <sup>1,2</sup> Leeann B Burton, <sup>1,2</sup> Bart K Chwalisz, <sup>1,3,4</sup> James Hillis, <sup>1,3</sup> Teilo H Schaller, <sup>5</sup> Anthony A Amato, <sup>1,6</sup> Allison Betof Warner <sup>(i)</sup>, <sup>7</sup> Priscilla K Brastianos, <sup>1,8</sup> Tracey A Cho, <sup>9</sup> Stacey L Clardy, <sup>10</sup> Justine V Cohen, <sup>11</sup> Jorg Dietrich, <sup>1,12</sup> Michael Dougan <sup>(i)</sup>, <sup>1,13</sup> Christopher T Doughty, <sup>1,6</sup> Divyanshu Dubey, <sup>14</sup> Jeffrey M Gelfand, <sup>15</sup> Jeffrey T Guptill, <sup>16,17</sup> Douglas B Johnson, <sup>18</sup> Vern C Juel, <sup>17</sup> Robert Kadish, <sup>10</sup> Noah Kolb, <sup>19</sup> Nicole R LeBoeuf, <sup>1,20</sup> Jenny Linnoila, <sup>1,3</sup> Andrew L Mammen, <sup>21</sup> Maria Martinez-Lage, <sup>1,22</sup> Meghan J Mooradian <sup>(i)</sup>, <sup>1,23</sup> Jarushka Naidoo <sup>(i)</sup>, <sup>24,25</sup> Tomas G Neilan, <sup>1,26</sup> David A Reardon, <sup>1,27</sup> Krista M Rubin, <sup>1,23</sup> Bianca D Santomasso, <sup>28</sup> Ryan J Sullivan <sup>(i)</sup>, <sup>1,23</sup> Nancy Wang, <sup>1,12</sup> Karin Woodman, <sup>29</sup> Leyre Zubiri <sup>(i)</sup>, <sup>1,23</sup> William C Louv, <sup>5</sup> Kerry L Reynolds<sup>1,23</sup>

#### ABSTRACT

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BKC and JH contributed equally.

ACG and LBB are joint first authors.

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For numbered affiliations see end of article.

#### **Correspondence to**

Dr Amanda C Guidon; AGUIDON@PARTNERS.ORG

Dr Kerry L Reynolds; kreynolds7@partners.org

Expanding the US Food and Drug Administration-approved indications for immune checkpoint inhibitors in patients with cancer has resulted in therapeutic success and immune-related adverse events (irAEs). Neurologic irAEs (irAE-Ns) have an incidence of 1%-12% and a high fatality rate relative to other irAEs. Lack of standardized disease definitions and accurate phenotyping leads to syndrome misclassification and impedes development of evidencebased treatments and translational research. The objective of this study was to develop consensus guidance for an approach to irAE-Ns including disease definitions and severity grading. A working group of four neurologists drafted irAE-N consensus guidance and definitions, which were reviewed by the multidisciplinary Neuro irAE Disease Definition Panel including oncologists and irAE experts. A modified Delphi consensus process was used. with two rounds of anonymous ratings by panelists and two meetings to discuss areas of controversy. Panelists rated content for usability, appropriateness and accuracy on 9-point scales in electronic surveys and provided free text comments. Aggregated survey responses were incorporated into revised definitions. Consensus was based on numeric ratings using the RAND/University of California Los Angeles (UCLA) Appropriateness Method with prespecified definitions. 27 panelists from 15 academic medical centers voted on a total of 53 rating scales (6 general guidance, 24 central and 18 peripheral nervous system disease definition components, 3 severity criteria and 2 clinical trial adjudication statements); of these, 77% (41/53) received first round consensus. After revisions, all items received second round consensus. Consensus definitions were achieved for seven core disorders: irMeningitis, irEncephalitis, irDemyelinating disease, irVasculitis, irNeuropathy, irNeuromuscular junction disorders and irMyopathy. For each disorder, six descriptors of diagnostic components are used: disease subtype, diagnostic certainty, severity, autoantibody

association, exacerbation of pre-existing disease or de novo presentation, and presence or absence of concurrent irAE(s). These disease definitions standardize irAE-N classification. Diagnostic certainty is not always directly linked to certainty to treat as an irAE-N (ie, one might treat events in the probable or possible category). Given consensus on accuracy and usability from a representative panel group, we anticipate that the definitions will be used broadly across clinical and research settings.

#### INTRODUCTION

Immune checkpoint inhibitors (ICIs) have revolutionized management in a variety of tumor types and the number of patients being treated with these agents is rising dramatically.<sup>1</sup> Since 2011, seven agents (ipilimumab, pembrolizumab, nivolumab, cemiplimab, atezolizumab, durvalumab, avelumab) targeting immune checkpoints have been approved by the US Food and Drug Administration for more than 60 indications.<sup>2–5</sup> It is estimated that now over 233,000 patients with cancer are now eligible for treatment with an ICI annually in the USA alone.<sup>6</sup> ICIs are being used not only as single agent therapy, or in combination with one another, but increasingly with chemotherapy, targeted therapy, or radiation.78

Side effects, termed immune-related adverse events (irAEs), limit the utilization and therapeutic potential of ICIs. The spectrum of irAEs and neurologic irAEs (irAE-Ns), sometimes called nirAE or nAE in the literature, has been reviewed.<sup>9–12</sup> Incidence of irAE-Ns in patients treated with immunotherapy is

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estimated at approximately 1%-12%, with the peripheral nervous system (PNS) affected twice as commonly as the central nervous system (CNS).<sup>10 13–15</sup> IrAE-Ns, along with irMyocarditis, have higher fatality rates than other irAEs.<sup>16</sup> Oncologic societies developed initial consensus guidance statements regarding irAEs.<sup>17-20</sup> However, a lack of standardized disease definitions causes syndrome misclassification, impedes further clinical and research progress,<sup>21</sup> and can have significant downstream consequences in the management of patients.<sup>19</sup> Additionally, the Common Terminology Criteria for Adverse Events (CTCAE) are a set of criteria that were developed to classify adverse events associated with chemotherapy and were not designed to accurately capture irAEs. CTCAE grade and irAE severity do not always correlate, underscoring the urgent need for refined CTCAE criteria, tailored for immunotherapy.<sup>22</sup>

Here we present consensus disease definitions for diagnosis and severity grading of irAE-Ns. Guidance statements were developed for an approach to irAE-Ns along with disease-specific definitions for CNS and PNS irAE-Ns.

#### **METHODS**

A working group of neurologists (LBB, BKC, ACG, JH) drafted irAE-N guidance statements, disease definitions, and severity criteria, which were then reviewed by a panel of neurologists, oncologists, neuro-oncologists and irAE subspecialists (AAA through LZ). A modified Delphi consensus process was used, with two rounds of anonymous ratings by panelists and two virtual meetings to discuss controversial areas. Panelists rated the content for usability, appropriateness, and accuracy on 9-point scales and provided free text comments in an electronic survey. The working group aggregated survey responses and incorporated free text comments into revised definitions. Consensus based on numeric ratings was determined using the RAND/UCLA Appropriateness Method.<sup>23</sup> Briefly, group medians were categorized into ranges (1-3 not usable, 4-6 uncertain, 7-9 usable). Agreement was defined as <1/3 of ratings outside the 3-point range containing the median. Consensus was reached when the median rating fell in the 7-9 range with agreement. Items that reached consensus in round 1 and did not undergo substantial revisions were not re-rated. The Delphi process was exempted by the Massachusetts General Brigham Institutional Review Board (Protocol #2020P003032).

#### RESULTS

The Delphi panel consisted of 30 members who accepted invitations to participate, from American academic medical centers in the northeast (20), mid-west (2), south/southeast (4) and west (3), as well as one international oncologist. Of the 30 participants, 27 completed the round 1 survey and 24 completed the round 2 survey. The 27-member panel included neurologists (12), oncologists (8), neuro-oncologists (3) and irAE subspecialists (4). The panel first identified the following unmet needs for irAE-N disease definitions (% of panel members identifying the issue): (1) identifying subclinical or mild disease (85%); (2) recognizing the spectrum of presentations (96%); (3) differentiating irAE-Ns from alternative etiologies (89%); (4) grading irAE-N severity (100%); (5) classification of patients for cohort studies (85%); (6) classification of irAE-N phenotype for translational research (85%); and (7) adjudication of irAE-Ns in clinical trials (96%). Consensus guidance statements and disease definitions were developed with the goal of fulfilling unmet needs in these areas.

The first round of the Delphi included a total of 53 rating scales (6 general guidance statements, 18 PNS disease definition components, 24 CNS disease definition components, 3 severity criteria, and 2 clinical trial adjudication statements); of these, 41 (77%) received first-round consensus. Round 2 included ratings of 24 revised components and two new general guidance statements; 26 out of 26 (100%) received consensus in round 2. Medians and ranges from the Delphi process are presented with the consensus guidance statements and disease definition components in the tables.

#### **CONSENSUS STATEMENTS**

### Approach to irAE-N diagnosis and general guidance statements

The workflow outlined in figure 1 first broadly classifies irAE-Ns into one of four central or three PNS core syndromes. Each irAE-N diagnosis consists of the following components: (1) core syndrome and syndrome subtype, (2) level of diagnostic certainty, (3) severity grading (reflecting the maximum severity of the irAE-N), (4) exacerbation of prior condition or de novo presentation, (5) antibody association, and (6) concurrent neurologic or non-neurologic irAEs (figure 1). These descriptions are intended to be dynamic: as the diagnostic workup evolves and a patient undergoes further evaluation, including by a neurologist when appropriate, the diagnosis may be updated or made more specific. For example, a central irAE-N may initially be classified by the type of CNS disorder (meningitis, encephalitis, vasculitis, or demyelinating disease) and then the specific subtype (ie, limbic encephalitis or optic neuritis) (median consensus score 8, range 3–9).

### Attribution of neurologic syndrome to checkpoint inhibitor therapy

Several features increase the likelihood that neurologic signs or symptoms starting after ICI administration represent an irAE-N. Diagnosis of irAE-Ns requires that other potential etiologies have been reasonably excluded by a workup tailored to each patient.

#### Timing

Most irAE-Ns occur early in treatment, usually within 6 months of starting or switching ICIs, although irAE-Ns may occur anytime while on treatment or after completion.<sup>24</sup>

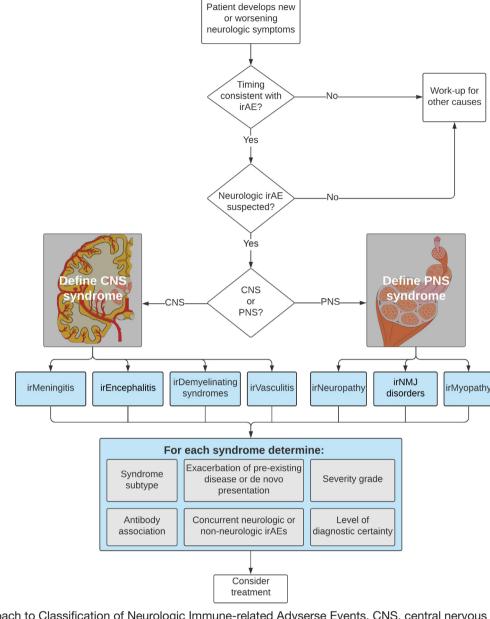


Figure 1 Approach to Classification of Neurologic Immune-related Advserse Events. CNS, central nervous system; irAEs, immune-related adverse events; PNS, peripheral nervous system.

To be considered an irAE-N, symptoms must begin within 12 months of the **last** ICI infusion. In general, new neurologic autoimmunity presenting more than 12 months after the last ICI infusion is unlikely to be an irAE. IrAE-Ns occurring between 6 and 12 months after completing an ICI are possible but less common. Diagnosis and evidence of relatedness in these later onset irAEs may require a higher 'burden of proof' in the individual patient. This area warrants additional research (median 8, range 2–9).

#### Pre-existing neurologic disorders

A careful history, baseline neurologic examination, and ancillary data help confirm or exclude pre-ICI neurologic disease. Most patients with history of mild symptoms or stable, well-established neurologic disorders do not require an additional evaluation by a neurologist when starting an ICI. However, patients with known immune-mediated neurologic disorders or patients with systemic autoimmune conditions with neurologic involvement may benefit from evaluation prior to initiating ICI therapy or soon afterward. Such evaluation is especially important for neuro-immunologic conditions of a relapsing or fluctuating nature, such as myasthenia gravis (MG) or multiple sclerosis, where ascertainment of clinical and/or radiologic disease activity provides a baseline. Additional considerations in this patient group may include risk/benefit discussions, modification of baseline immunomodulation prior to starting an ICI, and assistance with interpretation of changes in neurologic status after starting ICI treatment (median 9, range 5–9).

#### Consideration of concurrent irAEs and overlap syndromes

Patients frequently have irAEs affecting multiple organ systems or multifocal involvement of the nervous system. A concurrent, non-neurologic irAE may increase the likelihood that neurologic symptoms represent an irAE-N. A neurologic irAE also prompts consideration of other irAEs when known patterns of overlapping disease exist (ie, a diagnosis of myopathy or MG prompts evaluation for myocarditis, or diagnosis of meningitis prompts consideration of encephalitis). Sometimes it may be artificial to separate overlapping syndromes, particularly when they cause similar clinical manifestations and/or have similar management. When multiple syndromes occur, neurologic irAEs are listed separately with as much specificity regarding diagnosis, level of certainty, and severity as possible for each irAE-N, understanding that it may be difficult to ascertain which irAE-N accounts for maximum severity (median 9, range 7-9).

#### Improvement with holding drug and/or initiating corticosteroids

While improvement on holding ICI therapy and/or initiating corticosteroids or other immunomodulatory therapy is non-specific, it is expected in most patients with irAE-Ns and further supports the diagnosis of an irAE-N. Some irAE-Ns, however, may be treatment refractory/resistant or result in chronic disease or irreversible neurologic deficits. Lack of improvement with treatment typically prompts broadening of the workup for alternate etiologies but does not exclude the possibility of an irAE-N that is treatment-resistant or irreversible (median 9, range 6–9).

#### Non-specific symptoms or undefined events

Symptoms such as headache, confusion, fatigue and, in some cases, tremor are too non-specific to assign an irAE-N syndrome and may be related to other irAEs (eg, headache as a manifestation of an immune-related endocrinopathy) or due to other etiologies in patients with cancer, including cancer progression. Caution is advised when attributing these symptoms to an ICI. These symptoms, however, may trigger additional workup for irAEs along with other etiologies including toxic/metabolic disorders. Symptoms that are persistent and/or severe, even if unexplained, may lead to treatment delays or discontinuation of ICI therapy, but in isolation should not be classified as a neurologic irAE. If evaluation of symptoms leads to diagnosis of a neurologic irAE (eg, presentation with headache leads to a diagnosis of irMeningitis), an appropriate core syndrome and syndrome subtype is then assigned. If there are presentations that do not fit into a category outlined, or causal association with ICI is unknown (eg, posterior reversible encephalopathy syndrome), a general description of the syndrome is recommended (median 8, range 5-9).

#### Autoantibodies

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Some irAEs are associated with well-characterized neuralspecific antibodies. These antibodies may be known prior

to ICI administration or detected during evaluation of an irAE-N. When naming an irAE-N in a patient with a known antibody, we recommend including the antibody as part of the diagnosis (eg, 'definite immune-related myasthenia gravis with acetylcholine receptor antibodies' or 'probable immune-related myelitis with CRMP5 antibody'). Patients may additionally have low titer abnormal antibodies after ICI therapy. As many of these antibodies may be unrelated and are non-specific (eg, N-type VGCC antibody, GAD antibody), a patient's syndrome should be referenced back to known antibody-associated syndromes before establishing a diagnosis. Recommendations for antibody evaluation and their assessment of association in irAE-N is an evolving area with extensive published literature.<sup>25 26</sup> References to antibodies in the diagnostic evaluation and criteria have intentionally been kept general and to the discretion of the clinician evaluating the patient, except for key selected antibody recommendations which are syndrome specific (eg, acetylcholine receptor (AChR) antibodies, Hu or aquaporin-4 antibodies) (median 8, range 2–9).

#### Paraneoplastic syndromes

Patients may have paraneoplastic syndromes exacerbated or triggered by ICI therapy.<sup>27 28</sup> The distinction between a process driven by an underlying cancer and an irAE can have significant treatment implications, although many aspects of diagnosis and management overlap. Similar to the approach to autoantibodies, disease definitions have been constructed to include criteria that ensure a relationship. A patient may therefore initially have a 'possible' or 'probable' diagnosis before it becomes 'definite' and these patients typically benefit from multidisciplinary collaboration (median 9, range 5–9).

#### IrAE-N consensus disease definitions

For each irAE-N syndrome, we achieved consensus on syndrome subtypes, possible presenting symptoms and examination findings, diagnostic workup, and diagnostic criteria. Some tests included in the workup are not part of the diagnostic criteria but can provide clinically useful information in the management of these patients (eg, bedside pulmonary function testing in a patient with MG).

#### IrAE-Ns affecting the CNS

For purposes of this discussion, the CNS is defined to include the meninges and the parenchyma of the brain, spinal cord and optic nerves. Meningitis is a syndrome of headache, fever and neck stiffness; focal neurological deficits or seizures should be taken as evidence of parenchymal CNS involvement.<sup>18 29–33</sup> The parenchyma can be affected by inflammation of the gray and/or white matter (encephalitis), demyelination or vasculitis (which can lead to CNS ischemia).<sup>18 27 31–43</sup>An attempt should be made to attribute neurological symptoms that localize to the CNS to one of these major categories, recognizing

that this may, in some cases, be difficult for non-specific symptoms (as discussed above).

The evaluation of CNS disease relies primarily on a combination of head and spinal cord imaging and cerebrospinal fluid (CSF) analysis. Head imaging (CT or MRI) should be performed prior to lumbar puncture (LP) to exclude mass lesions that may cause herniation and because LP can cause pachymeningeal enhancement.

MRI has superior sensitivity to detect many abnormalities compared with CT. MRI of the brain with contrast is recommended to evaluate for leptomeningeal and/ or pachymeningeal enhancement, and for evidence of CNS metastasis, encephalitis, vasculitis, and demyelination. Head CT evaluates for cerebral edema, strokes and hemorrhages but is unlikely to demonstrate leptomeningeal or pachymeningeal inflammation. If MRI brain is not possible, then head CT is preferably performed with contrast. A head CT rather than an MRI may also be warranted in more acute presentations given the rapidity with which it can be obtained.

In all cases, it is important to rule out infectious disease as well as CNS involvement by the primary malignancy. In certain situations, serologic tests can identify antibodies associated with autoimmune encephalitis or demyelinating disease. Antinuclear antibody and extractable nuclear antigen positivity may suggest an autoimmune tendency but are of unclear pathogenic significance in isolation. Serum evaluation for specific infectious agents should be dictated by local prevalence, seasonal incidence, travel history and other patient risk factors such as intravenous drug use or high-risk sexual exposure. If concern about undiagnosed infectious disease persists, additional testing including metagenomic next-generation sequencing can be considered, typically with guidance from an infectious disease expert. Given its invasive nature, brain biopsy is not commonly pursued but may very rarely be needed to rule out alternative etiologies.

#### irMeningitis

Subtypes, evaluation and definitions of irMeningitis syndromes are presented in table 1. The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

In addition to the diagnostic tests described above, LP with CSF studies evaluates for inflammation (expect pleocytosis, elevated protein) and excludes other causes of meningeal disease (expect negative cytology and flow cytometry, negative Gram stain and culture, negative infectious studies). Specific CSF studies are recommended for universally common infectious agents such as herpes simplex virus (HSV) and cryptococcal disease. Further CSF and/or serum infectious studies may be determined by local epidemiology, seasonal incidence, travel history and patient-specific risk factors. Blood cultures evaluate for septic meningitis.

#### Possible

As previously discussed, MRI is generally preferred to head CT except in very urgent circumstances.

#### Uncommon

Meningeal biopsy is needed only in exceptional circumstances (eg, chronic pachymeningitis or continued concern of leptomeningeal carcinomatosis despite unrevealing CSF studies). Sometimes, CSF cytokine profiles are not widely available but can sometimes differentiate between infectious and aseptic meningitis, and etiologies such as CNS lymphoma.

#### irEncephalitis

Subtypes, evaluation and definitions of irEncephalitis syndromes are presented in table 2. The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

In addition to brain MRI, spinal cord MRI with dedicated short tau inversion recovery (STIR) and pre-contrast and post-contrast T1 sequences may be obtained to evaluate for inflammatory, demyelinating, ischemic, or metastatic lesions. LP with CSF studies evaluates for evidence of inflammation (expect lymphocytic pleocytosis, elevated protein, CSF-restricted oligoclonal bands) and excludes other causes of encephalitis (expect negative cytology and flow cytometry, negative Gram stain and culture, negative viral and other infectious studies). Of note, HSV and varicella-zoster virus (VZV) encephalitis should either be ruled out prior to initiation of steroids or other immune suppression or be concurrently treated with antivirals while test results are pending. They can typically be excluded with commonly available tests (HSV and VZV PCR), although under certain circumstances advanced testing such as determination of a VZV CSF to serum antibody index may be appropriate.

Electroencephalogram (EEG) may reveal (subclinical) seizures or status epilepticus as a complication of encephalitis or as a cause of persistently depressed sensorium, although they are not specific to irEncephalitis. Screening metabolic tests are appropriate to assess for alternative etiologies or exacerbating factors. Serum and/or CSF autoimmune antibody evaluations assess for specific malignancy-associated neurologic syndromes.

#### Possible

For specific encephalomyelitic syndromes, additional antibody evaluation is considered, for example, autoimmune encephalitis panel, GQ1b antibodies (rhombencephalitis, Bickerstaff encephalitis), celiac antibody panel (ataxia). TPO and thyroglobulin antibodies are of limited utility, especially given their seroprevalence in healthy individuals, although they may be thought to reveal an autoimmune tendency. Brain biopsy may be obtained in very rare circumstances following multispecialty collaboration to rule out infectious and/or neoplastic causes when not possible by other methods. Brain PET (positron

Uktypes:         Describe symptoms:         Supportive scamination findings:           redian 6, range 5-9)         (Median 8, range 7-9)         (Median 8, range 7-9)           Redian 6, range 7-9)         (Median 8, range 7-9)         (Median 8, range 7-9)           Retrieves         1         Headacter (including drange in considered part of encessed for the considered part of encessed intercession and considered part and interces and considered part of encessed intercession and considered part and intercestoresponding encore part and interces and interces ar	Table 1 irMeningitis			
eptic meningers in controlle deadache) in control deadache deadache in control deadache) in control deadac	Subtypes: (Median 8, range 5–9)	<b>Possible symptoms:</b> (Median 8, range 7–9)	Supportive examination findings: (Median 8, range 6–9)	Diagnostic criteria: All levels of diagnostic certainty for irMeningitis require a supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 8, range 7–9; usability median 8.5, range 6–9)
aluation may include: ledian 8, range 6–9) <b>ornmon:</b> MRI brain with contrast Lumbar puncture with CSF studies including opening pressure; cell counts and differential; total protein and glucose <sup>4</sup> Lumbar puncture with CSF studies including opening pressure; cell counts and differential; total protein and glucose <sup>4</sup> Lumbar puncture with CSF studies including opening pressure; cell counts and differential; total protein and glucose <sup>4</sup> Lumbar puncture with CSF studies including opening pressure; cell counts and differential; total protein and glucose <sup>4</sup> Lumbar puncture antigen; other infectious studies as appropriate <sup>111</sup> Blood cultures hese studies typically require corresponding serum levels for interpretation a appropriate for primary cancer Consider specific studies based on local epidemiology, seasonal incidence, travel history and other patient risk factors; a film ray may incorporate testing of many infectious agents and obviate the need for separate testing and should consider performing with contrast if unable to perform MRI brain) Additional infectious serologies based on local epidemiology, seasonal incidence, travel history and other patient risk factors; a film actors <b>ssible:</b> Critead (should consider performing with contrast if unable to perform MRI brain) Additional infectious serologies based on local epidemiology, seasonal incidence, travel history and other patient risk factors <b>scrifter</b> Menomoric next-conservicine secondario for infervicies cancer	Aseptic meningritis Note: meningoencephalitis is considered part of encephalitis			<ul> <li>Definite</li> <li>Required:</li> <li>Symptoms and signs consistent with meningitis (headache/neck stiffness/photophobia without focal neurologic deficits, seizures or encephalopathy suggestive of parenchymal involvement) AND</li> <li>Inflammation on CSF studies (pleocytosis (&gt;5 and/or elevated protein) AND</li> <li>Exclusion of infectious cause (including negative HSV PCR and negative Cryptococcal antigen), and of leptomeningeal carcinomatosis (including negative cytology and/or flow cytometry as appropriate for tumor type*) AND</li> <li>Stabilization or improvement with immunomodulation including steroids and/or discontinuation of checkpoint inhibitor</li> <li>Stabilization or improvement with immunomodulation including steroids and/or discontinuation of checkpoint inhibitor</li> <li>Supportive:</li> <li>Leptomeningeal and/or pachymeningeal enhancement with absence of parenchymal enhancement on MRI brain with contrast* may require additional lumbar puncture(s) if high suspicion of leptomeningeal carcinomatosis</li> </ul>
ssible: CT head (should consider performing with contrast if unable to perform MRI brain) Additional infectious serologies based on local epidemiology, seasonal incidence, travel history and other patient risk factors normon: Meningeal biopsy CSF cytokine levels such IL-6, IL-10 Mataconner and contention sequencing for infectious causes	Evaluation may include: (Median 8, range 6-9) Common: 1. MRI brain with contrast 2. Lumbar puncture with CSF studi measurement; oligocional bands Cryptococcal antigen; other infec 3. Blood cultures "These studies typically require corre "*As appropriate for primary cancer **Consider specific studies based o array may incorporate testing of mar	es including opening pressure; cell counts an * and IgG index*; cytology and/or flow cytom titous studies as appropriate*** sponding serum levels for interpretation n local epidemiology, seasonal incidence, tra y infectious agents and obviate the need for	d differential; total protein and glucose* etry**; Gram stain and culture; HSV PCR; wel history and other patient risk factors; a film separate testing	<ul> <li>Probable</li> <li>Required:</li> <li>1. Symptoms and signs consistent with meningitis (headache/neck stiffness/photophobia without focal neurologic deficits, seizures or encephalopathy suggestive of parenchymal involvement) AND</li> <li>2. Inflammation on CSF studies (pleocytosis (&gt;5 WBC) and/or elevated protein) AND</li> <li>3. Exclusion of infectious cause (including negative HSV PCR and negative Cryptococcal antigen) and of leptomeningeal carcinomatosis (including megative cytology and/or flow cytometry as appropriate for tumor type*)</li> <li>Supportive:</li> <li>1. Leptomeningeal and/or pachymeningeal enhancement with absence of parenchymal enhancement on MRI brain with contrast* may require additional lumbar puncture(s) if high suspicion of leptomeningeal carcinomatosis</li> </ul>
	ö	ming with contrast if unable to perform MRI based on local epidemiology, seasonal incider -10 equencing for infectious causes	rain) nce, travel history and other patient risk	

6

Opsoclonus-myoclonus-ataxia

(Median 8, range 5-9)

Altered arousal: lethargy, son	obtundation, coma	Confine deliver anitation
		4

Meningoencephalitis Limbic encephalitis Rhombencephalitis

Encephalitis

Encephalomyelitis

Cerebellitis

- Contusion, delirium, agitation
  - Headache

- Memory deficits including working
  - memory and short-term memory Psychiatric symptoms including
    - delusions and hallucinations

Abnormal movements including tremor,

Motor/sensory/coordination deficits Positive Babinski, Hoffman signs

Hyperreflexia, spasticity Delusion/hallucinations

hypokinesia, bradykinesia, choreiform

Rigidity/myoclonus

movements

- Speech problems
  - Weakness

progressive encephalomyelitis with rigidity and myoclonus

syndrome Stiff-person syndrome/

- Sensory changes Imbalance
- Bowel and bladder problems, erectile
  - New-onset seizures dvsfunction
- Cranial neuropathies, optic disc edema May be febrile or afebrile

nystagmus Dysarthria Ataxia

Eye movement abnormalities including

# Evaluation may include:

(Median 8, range 5-8)

- Common:
- Lumbar puncture with CSF studies including opening pressure; cell count and differential; total protein and glucose MRI brain with contrast and/or MRI cervical and thoracic spine *...* ¢.
- measurement\*; oligoclonal bands\* and IgG index\*; cytology and/or flow cytometry\*\*; Gram stain and culture; HSV PCR, VZV PCR, other viral and other infectious studies as appropriate\*\*\*
  - Metabolic evaluation including CBC, BMP, LFTs, TSH, ammonia, arterial or venous blood gas, urinalysis *т* 
    - ESR, CRP 4
- Serum and CSF neural and demyelinating autoimmune antibody evaluation ы.
  - <u>ن</u>
- \*These studies typically require corresponding serum levels for interpretation
  - \*\*As appropriate for primary cancer

\*\*\*Consider specific studies based on local epidemiology, seasonal incidence, travel history and other patient risk factors; a film array may incorporate testing of many infectious agents and obviate the need for separate testing

# Possible:

- CT head (should consider performing with contrast if unable to perform MRI brain) <u>.</u>-
- Additional infectious serologies based on local epidemiology, seasonal incidence, travel history and other patient risk factors ANA and extractable nuclear antigens сi *с*і
  - ANCA
- Additional antibody studies associated with encephalitis, myelitis or ataxia: TPO and thyroglobulin antibodies, Gq1b antibodies, celiac antibody panel ъ. 4.
  - Brain biopsy *.*0

    - PET brain
- Uncommon:
- CSF cytokine levels (eg, IL-6, IL-10) <u>.</u>-
- Metagenomic next-generation sequencing for infectious causes сi

7

Note: If patients fulfill demyelinating criteria, they should not be included in this category. ANA, anti-nuclear antibody: ANCA, anti-neutrophil cytoplasmic antibody; BMP, Basic Metabolic Panel; CBC, complete blood count; CNS, central nervous system; CSF, cerebrospinal fluid; ESR, erythrocyte sedimentation rate; FLAIR, fluid attenuated inversion recovery; HSV, herpes simplex virus; IL, interleukin; LFT, Liver Function Test; TPO, thyroid peroxidase antibody; TSH, thyroid stimulating hormone; VZV, varicella-zoster virus.

Diagnostic criteria: All levels of diagnostic certainty for irEncephalitis require a

supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 8, range 7–9; usability median 8, range 7–9)

Required: Definite ÷ Aphasia and other cortical cognitive deficits

Encephalopathy or decreased arousal Abnormal cognitive screening testing

inolence,

Supportive examination findings:

(Median 8, range 7-9)

- Exclusion of infectious cause (including negative HSV PCR, VZV PCR), primary or Symptoms and signs consistent with encephalitis AND s.
  - metastatic cancer (including absence of typical lesion on MRI brain) and radiation necrosis (including absence of typical lesion on MRI brain) And at least one of
- Brain biopsy demonstrating CNS inflammation without evidence of malignancy or infection **OR** <u>...</u>
  - stabilization or improvement with immunomodulation including steroids and/or CNS inflammation as demonstrated by at least one of the following plus сi
    - Parenchymal abnormality on MRI brain (T2-FLAIR hyperintensity and/or discontinuation of checkpoint inhibitor: ю.
- Inflammation on CSF studies (pleocytosis (>5 WBC) and/or elevated protein) contrast enhancement) and/or spine ġ.
  - Abnormal EEG (epileptiform changes and/or other changes that localize to symptoms and signs) ċ
- Neural specific autoantibodies in serum and/or CSF with known syndrome consistent with symptoms and signs ъ.

 Presence of CSF-specific oligoclonal bands and/or elevated lgG index Supportive:

# Probable

# Required:

- Symptoms and signs consistent with encephalitis AND ÷
- Exclusion of infectious cause (including negative HSV PCR, VZV PCR), primary or metastatic cancer (including absence of typical lesion on MRI brain) and radiation necrosis (including absence of typical lesion on MRI brain) AND 2
  - Parenchymal abnormality on MRI brain (T2-FLAIR hyperintensity and/or CNS inflammation as demonstrated by at least one of following: с.
    - contrast enhancement) and/or spine ы.
- Inflammation on CSF studies (pleocytosis (>5 WBC) and/or elevated protein) Abnormal EEG (epileptiform changes and/or other changes that localize to symptoms and signs) ġ. ċ
  - Neural autoimmune antibodies in serum and/or CSF with known syndrome consistent with symptoms and signs. ъ.
    - Supportive:
- Presence of CSF-specific oligoclonal bands and/or elevated lgG index

# Possible

- Required:
- Symptoms and signs consistent with encephalitis
  - Supportive:
- 1. Diagnostic findings of CNS inflammation per Probable diagnostic criteria but other causes cannot be excluded

emission tomography) may be helpful in differentiating the cause of parenchymal lesions.

#### Uncommon

If concern about undiagnosed infectious disease persists, additional tests such as metagenomic next-generation sequencing can be considered.

#### irDemyelinating syndromes

Subtypes, evaluation and definitions of irDemyelinating syndromes are presented in table 3. The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

Diagnostic evaluation for irDemyelinating syndromes commonly involves MRI with contrast of the brain, orbit, and cervical and thoracic spinal cord to the level of the conus medullaris to evaluate for evidence of parenchymal involvement. LP with CSF studies excludes other diagnoses and evaluates for evidence of CSF-restricted antibody production with oligoclonal bands. Serologic testing includes antibodies to aquaporin 4 and myelin oligodendrocyte glycoprotein, which are thought to be pathogenic in CNS demyelinating disease.

#### Possible

Ophthalmic or neuro-ophthalmic evaluation may be indicated. In addition, optical coherence tomography can provide evidence of prior optic neuropathy, but typically abnormalities follow clinical changes by several weeks. CSF autoimmune antibody evaluation (ie, for aquaporin 4 antibody) is unlikely to increase the sensitivity beyond serum testing alone. Evoked potential testing may provide supportive evidence of demyelination of visual, auditory or somatosensory nerve fiber pathways. A negative CSF PCR test for JC virus may exclude progressive multifocal leukoencephalopathy.

#### Uncommon

Biopsy may provide definitive evidence of CNS demyelination but is typically not necessary, as per the irEncephalitis discussion.

#### **irVasculitis**

Subtypes, evaluation and definitions of irVasculitis syndromes are presented in table 4. <sup>44 45</sup> The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

Diagnostic evaluation for irVasculitis commonly includes MRI brain to evaluate for infarcts and other parenchymal changes; where available, post-contrast vessel wall studies can also evaluate for concentric vessel wall enhancement that suggests a vasculitic process.<sup>46</sup> Intracranial MR (MRA) or CT angiogram (CTA) evaluates for vascular abnormalities including narrowing and beaded vessels. MRA neck or CTA neck evaluates for vascular abnormalities more

proximally including vasculitic changes and carotid atherosclerosis. Lumbar puncture with CSF studies, including VZV testing and syphilis testing (if serum testing positive), evaluates for evidence of CNS inflammation as well as other causes of vasculitis. These can typically be excluded with commonly available tests (VZV PCR in CSF, serum testing for syphilis), although under certain circumstances advanced testing such as determination of a VZV CSF to serum antibody index may be appropriate. A workup for embolic stroke including carotid Doppler, electrocardiogram (EKG), heart rhythm monitoring and echocardiogram may be performed if an infarct is found. Serum markers including C reactive protein (CRP), erythrocyte sedimentation rate (ESR), anti-neutrophil cytoplasmic antibody (ANCA) and anti-nuclear antibody (ANA) and other markers associated with systemic vasculitis provide further support of vasculitis.

#### Possible

Formal rheumatology and/or dermatology evaluation may provide additional consideration to systemic manifestations of vasculitis. Brain biopsy can provide definitive proof of CNS vasculitis and should include sampling of both brain and meninges. Extracranial biopsy, including temporal artery biopsy or skin biopsy, may provide evidence of a systematic vasculitis and obviate the need for brain biopsy. Retinal fluorescein angiography can provide evidence of a small vessel vasculitis. Conventional (digital subtraction) angiogram may demonstrate vessel abnormalities if MRA or CTA do not provide sufficient clarity. Angiography of other vascular beds such as the renal or splanchnic circulation can assist with establishing the presence of a systemic vasculitis. Antiphospholipid antibody panel and hypercoagulability evaluation can evaluate for alternative causes of stroke.

#### Uncommon

Body PET imaging may provide evidence of systemic vasculitis.

#### IrAE-Ns affecting the PNS

For purposes of this discussion, the PNS is defined to include nerves (including cranial nerves; axons and cell bodies), the neuromuscular junction, and muscle. Peripheral neuropathy is a rare but likely under-reported complication of ICI therapy with an incidence rate of approximately 1%.<sup>24</sup> Cranial neuropathies with and without acute polyradiculoneuropathy syndromes are the two most common neuropathy phenotypes.<sup>47</sup> Reporting of neuropathy in large databases and meta-analyses has focused on Guillain-Barré syndrome. Painful length-dependent sensory and motor axonal neuropathies, polyradiculopathies and sensory neuronopathies following ICIs are likely under-recognized.<sup>18 48</sup>

Patients may present with new onset MG or exacerbation of previous MG after ICI therapy. Compared with idiopathic MG, there is a high rate of concurrent myopathy and myocarditis, which may increase disease severity

Table 3 irDemyelinating				Ö
Subtypes: Possible symptoms: (Median 8, range 7–9) (Median 8, range 7–9)	/ <b>mptoms:</b> ange 7–9)	Supportive examination findings: (Median 8, range 7–9)	Diagnostic criteria: All levels of diagnostic certainty for irDemyelinating disease require a supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 8, range 6–9; usability median 8, range 6–9)	
<ul> <li>Optic neuritis</li> <li>Optic neuritis</li> <li>Transverse myelitis</li> <li>ADEM (acute</li> <li>ADEM (acute</li> <li>Ataxia, loss of balance</li> <li>Cother myelitis</li> <li>Ataxia, loss of balance</li> <li>Cother myelitis</li> <li>Autonomic symptoms</li> <li>Clinically isolated</li> <li>Altered mental status (in visual etc)</li> <li>Public singligically</li> <li>Altered mental status (in bublic logically etc)</li> <li>Anadiologically</li> <li>Altered mental status (in bublic logically etc)</li> <li>Altered mental status (in bublic logical etc)</li> <li>Alte</li></ul>	Numbness Paresthesias, tingling Weakness Ataxin, loss of balance Vision loss, pain with eye movements Double vision Vertigo Autonomic symptoms (bladder and bowel control, etc) Altered mental status (in ADEM) ADEM) ADEM	Optic neuritis         Numbness         Focal neurologic deficits, including: Transverse myelitis         Numbness         Focal neurologic deficits, including:           ADEM (acute         > Ataxia, loss of balance demyelinating         > Ataxia, loss of balance incencephalomyelitis         > Deficits localizing to cerebral hemispheres (see increaphalomyelitis)           Ataxia, loss of balance demyelinating         > Ataxia, loss of balance increphalomyelitis         > Deficits localizing to posterior fossa (diplopia, increased visual acuity, visual field loss, dysarthria, dysarthria, dysarthria, dysarthria, dysmetria, dysarthria, dyschromatopeja, nystagmus, ataxia, dysmetria, dysarthria, dyschromatopsia, relative afferent pupillary defect, optic fiel costalising to posterior tocalizing to posterior fossa (diplopia, internuclear ophthalmoplegia, nystagmus, ataxia, dysmetria, dysarthria, dysphagia)           AHEM (acute bemorrhagic         > Autonomic symptoms tocalizing to posterior posterior fossa (diplopia, internuclear ophthalmoplegia, nystagmus, ataxia, dysmetria, dysarthria, dysphagia)           Attened mental status (in Baciologically siolated syndrome         > Signs of transverse myelitis (sensory deficits including sensory level, weakness, hyperreflexia, positive Babinski or Hoffmann sign, positive Rouble sincluding those for including sensory level, weakness, hyperreflexia, positive Babinski or Hoffmann sign, positive Rouble sincluding those for including sensory level, weakness, hyperreflexia, positive Babinski or Hoffmann sign, positive Rouble sign)           Mater         > Autoroding sensory level, weakness, hyperreflexia, positive Babinski or Hoffmann sign, positive Rouble sincluding thoracic spinal cord	<ul> <li>Definite Required:</li> <li>Option A:</li> <li>Symptoms and signs consistent with CNS disease (including optic neuritis, transverse myelitis) AND</li> <li>Symptoms and signs consistent with CNS disease (including optic neuritis, transverse myelitis) AND</li> <li>Symptoms and signs consistent with CNS disease (including optic neuritis, transverse myelitis) Option B:</li> <li>Symptoms and signs consistent with immunomodulation including steroids and/or discontinuation of AND</li> <li>Bemyelinating autoimmune antibodies (aquaporin 4, myelin oligodendrocyte glycoprotein) OR</li> <li>Abnormality on MRI imaging* consistent with symptoms and signs) in at least one of the following locations:</li> <li>Sphinal cond</li> <li>Presence</li> <li>Two or more brain regions (periventricular, cortical or juxtacortical, infratentorial)</li> <li>Mnormal eroken of CSF-specific oligoclonal bands and/or elevated IgG index</li> <li>Annormal eroken of contentials</li> </ul>	
	and/or CSF neural au is	design of comparing damages and or CSF neural autoimmune antibody evaluation as part of inEncephalitis and inMyelitis differential diagnosis	σ	
Possible:         1. Formal ophthalmology/neuro-ophthalmology evaluation that may include formal coherence tomography         2. CSF autoimmune antibody evaluation (aquaporin 4 and myelin oligodendrocyte 3. Evoked potentials         3. Evoked potentials         1. Juriurs PCR         1. Brain blopsy	nthalmology evaluatio ation (aquaporin 4 and	n that may include formal visual field testing and optical d myelin oligodendrocyte glycoprotein)	<ul> <li>Probable Required: <ol> <li>Symptoms and signs consistent with CNS disease (including optic neuritis, transverse myelitis)</li> <li>AND At least one of</li> <li>Demyelinating autoimmune antibodies (aquaporin 4, myelin oligodendrocyte glycoprotein) OR</li> <li>Presence of CSF-specific oligoclonal bands and/or elevated IgG index OR</li> <li>Abnormality on MRI imaging* consistent with <u>acute</u> demyelination (contrast enhancement and/or T2 hyperintensity with clear neuroanatomic correlation with symptoms and signs) in at least one of the following locations: <ol> <li>Spinal cod</li> <li>More of</li> <li>Hunamation on CSF studies (lymphocytic pleocytosis, elevated protein)</li> </ol> </li> <li>Abnormal evoked potentials <ol> <li>Abnormal evoked potentials</li> </ol> </li> <li>Abnormal evoked potentials</li> </ol></li></ul>	O
			<ul> <li>Supportive:</li> <li>Inflammation on CSF studies (lymphocytic pleocytosis, elevated protein)</li> <li>Abnormal evoked potentials</li> <li>Abnormal ophthalmology/neuro-ophthalmology evaluation including abnormal formal visual field testing or optical coherence tomography of the optic nerve</li> </ul>	pen acco

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CNS, central nervous system; CSF, cerebrospinal fluid; ICI, immune checkpoint inhibitor.

Table 4         irVasculitis			
Subtypes: (Median 8, range 6–9)	<b>Possible symptoms:</b> (Median 8, range 7–9)	Supportive examination findings: (Median 8, range 7–9)	Diagnostic criteria: All levels of diagnostic certainty for irVasculitis require a supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 7.5, range 6–9; usability median 8, range 5–9)
<ul> <li>Primary angiitis of the CNS (specify small, medium or large vessel involvement, if possible)</li> <li>Systemic vasculitis with CNS involvement (specify systemic subtype and small medium or large vessel involvement, if possible)</li> <li>Evaluation may include: (Median 8, range 7–9)</li> </ul>	<ul> <li>Headache</li> <li>Stroke with focal neurologic symptoms</li> <li>Seizure</li> <li>Symptoms of encephalitis, myelitis, or meningitis</li> <li>Systemic symptoms of vasculitis including rash</li> </ul>	<ul> <li>Focal neurologic deficits</li> <li>Signs of irEncephalitis and irMyelitis</li> <li>Systemic signs of vasculitis including rash</li> </ul>	<ul> <li>Definite Required: <ol> <li>Symptoms and signs consistent with CNS vasculitis AND</li> <li>Biopsy proven CNS vasculitis</li> </ol> </li> <li>Supportive: <ol> <li>Vascular abnormality consistent with vasculitis on CTA, MRA, conventional angiogram</li> <li>Parenchymal abnormality on MRI brain or spinal cord</li> <li>Inflammation on CSF studies (lymphocytic pleocytosis, elevated protein)</li> <li>Presence of CSF-specific oligoclonal bands and/or elevated IgG index</li> <li>Evidence of systemic vasculitis including biopsy, serum markers and/or imaging findings</li> </ol> </li> </ul>
<ol> <li>Common:         <ol> <li>MRI brain with contrast (including post-contrast vessel wall studies if available)</li> <li>MRA head and neck or CTA head and neck</li> <li>Lumbar puncture with CSF studies including those for irEncephalitis (especially VZV testing) and syphilis testing (if serum testing positive)</li> <li>Carotid Doppler, EKG, heart rhythm monitoring, echocardiogram</li> <li>CRP, ESR</li> <li>Serum syphilis testing</li> <li>ANCA, ANA and other serologic markers associated with systemic vasculitis depending on context (can consider rheumatology evaluation for assistance)</li> </ol> </li> </ol>		<ul> <li>Probable Required: <ol> <li>Symptoms and signs consistent with CNS vasculitis AND At least one of the following</li> <li>Biopsy demonstrating inflammation surrounding blood vessels (but no leukocytes within blood vessel wall) OR</li> <li>CNS vascular imaging (MRA, CTA or conventional angiogram) demonstrating vasculopathy consistent with vasculitis (eg, vessel narrowing or beading) OR</li> <li>MRI with concentric contrast enhancement of blood vessel wall</li> </ol> Supportive: <ol> <li>Other parenchymal abnormality on MRI of brain or spinal cord</li> <li>Inflammation on CSF studies (lymphocytic pleocytosis, elevated protein)</li> <li>Presence of CSF-specific oligoclonal bands and/or elevated lgG index</li> <li>Evidence of systemic vasculitis including biopsy, serum markers and/or imaging findings</li> </ol> </li> </ul>	
<ol> <li>Retinal fluorescein angiogra</li> <li>Conventional (digital subtraction of the subtraction</li></ol>	poral artery biopsy, skin biopsy) phy ction) angiogram ingiogram of other vascular beds	(eg, splanchnic, renal) raluation (eg, factor V Leiden, etc)	<ul> <li>Possible Required:</li> <li>Symptoms and signs consistent with CNS vasculitis AND</li> <li>Cerebral infarct, especially multiple CNS infarcts crossing vascular territories and no evidence for alternative cause such as thromboembolic disease)</li> <li>Supportive:</li> <li>Other parenchymal abnormality on MRI of brain or spinal cord</li> <li>Inflammation on CSF studies (lymphocytic pleocytosis, elevated protein)</li> <li>Presence of CSF-specific oligoclonal bands and/or elevated IgG index</li> <li>Evidence of systemic vasculitis including biopsy, serum markers and/or imaging findings</li> </ul>

ANA, anti-nuclear antibody; ANCA, anti-neutrophil cytoplasmic antibody; CNS, central nervous system; CRP, c reactive protein; CSF, cerebrospinal fluid; CTA, CT angiogram; EKG, electrocardiogram; ESR, Erythrocyte sedimentation rate; MRA, MR angiogram; PET, positron emission tomography.

and mortality.<sup>49–51</sup> Additionally, myopathy after ICIs can mimic MG with an ocular, bulbar, axial and respiratory pattern of weakness.<sup>52</sup> Therefore, some cases of irMyopathy may be misdiagnosed as MG. Immune-related Lambert-Eaton syndrome (LEMS) has been rarely reported and it remains unclear whether it is a paraneoplastic disorder or an irAE.<sup>49</sup> As such, it is not specifically included as a separate category in this classification system.

ICI-related muscle disease has been referred to as both myopathy and myositis. This group selected the broader term irMyopathy, which encompasses both inflammatory myositis and immune-mediated necrotizing myopathy. IrMyopathy most commonly presents with ptosis, diplopia, dysphagia, dyspnea, neck weakness, and/or hip flexor weakness.<sup>10 53 54</sup> It may also present with myalgias and gait dysfunction. irMyopathy can be focal, sometimes involving only one muscle.<sup>10</sup> Presentations limited to ocular muscles (orbital myositis) have been reported.<sup>53</sup> Although ptosis and diplopia are uncommon in other forms of myositis, irMyopathy may have a specific predilection for these muscles, or these symptoms may result from superimposed neuromuscular junction (NMJ) dysfunction.<sup>53</sup> Given the substantial overlap, differentiating symptoms resulting from myopathy from NMJ dysfunction can be challenging.

The evaluation of PNS disease relies primarily on a combination of electrodiagnostic studies (EDX), serologic tests, and imaging. EDX include nerve conduction studies and needle electromyography and are preferably performed by neurologists with familiarity with irAE-N to increase utility. Nerve conduction studies are used to confirm and characterize large fiber neuropathy. Repetitive nerve stimulation may be included with nerve conduction studies to test for NMJ dysfunction. Needle electromyography (EMG) can detect the presence of neuropathic or myopathic disorders and provide information about chronicity. Because PNS syndrome overlap is common, screening for NMJ dysfunction (with EDX) and myopathy (with EDX and serum creatine kinase (CK)) is recommended for patients presenting with motor predominant symptoms thought to be peripheral. Additionally, patients presenting with immune-related NMJ disorders or myopathy should have screening for irMyocarditis with serum troponin and EKG given the potential severity of this condition. Serologic and radiographic workup is disease specific and discussed in the following sections.

#### Immune-related neuropathy (irNeuropathy)

Subtypes, evaluation and definitions of irNeuropathy syndromes are presented in table 5. The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

Serum testing evaluates for alternative causes of neuropathy and signs of autoimmunity/inflammation. Serum testing may be abnormal but not explain the clinical phenotype (ie, B<sub>19</sub> deficiency would not explain a demyelinating neuropathy; a mildly elevated hemoglobin A1c (HbA1c) would not explain an immune-mediated polyradiculopathy). Definite irNeuropathy can be achieved in such cases as long as concordance between clinical phenotype and testing is considered when applying the diagnostic criteria. EDX confirm or exclude large fiber neuropathy and characterize the type, severity, and chronicity of neuropathy if present (ie, sensory and/or motor, axonal and/or demyelinating, length-dependent or non-length-dependent). Demyelinating features or a non-length-dependent and/or asymmetric pattern of neuropathy can help distinguish irNeuropathies from chemotherapy-related neuropathies, which are more typically symmetric and axonal. EDX also evaluates for other etiologies (ie, focal entrapment neuropathy, radiculopathy, myopathy). Coexisting myopathy and NMJ

disorders must be excluded in patients with prominent motor involvement with EDX and CK. Spinal imaging is often obtained to exclude metastatic disease, focal structural radiculopathy, and spinal stenosis; it may demonstrate spinal nerve root enhancement/enlargement in inflammatory radiculopathies. MRI brain with contrast is obtained when cranial nerve involvement is suspected, which may show smooth enhancement of cranial nerves.

#### Possible

If the diagnosis or the etiology is uncertain, LP with CSF analysis may provide further support of an immunemediated process in certain neuropathy phenotypes (such as Guillain-Barré syndrome) and exclude infection or malignancy. CSF shows cytoalbuminologic dissociation in immune-mediated neuropathies; however, lymphocytic pleocytosis (white blood cell count <50) is common in this patient group and cytoalbuminologic dissociation may be absent in the first week after symptom onset. Selected additional laboratory tests may be performed based on patient factors and the neuropathy phenotype (ie, mononeuritis multiplex would warrant a vasculitis workup) but are not required for all patients. Bedside pulmonary function tests and/or swallowing evaluation is obtained in patients with a Guillain-Barré phenotype to screen for or monitor respiratory dysfunction or dysphagia but are not included in the diagnostic criteria.

#### Uncommon

### Immune-related neuromuscular junction disorders (irNMJ disorders)

Subtypes, evaluation and definitions of irNMJ syndromes are presented in table 6. The rationale for common, possible and uncommon disease-specific testing is outlined.

#### Common

Evaluation for irNMJ disorders includes diagnostic antibody testing for MG and evaluation for concurrent myopathy, myocarditis, and thyroid dysfunction. A positive acetylcholine receptor (AChR) binding or MuSK antibody is consistent with a diagnosis of MG. AChR modulating antibodies in isolation are not sensitive or specific. AChR binding with modulating antibodies has the highest specificity.<sup>55</sup> Rate of ACHR ab positivity in irMG has not been definitively established. Anti-straited muscle antibodies are frequently present in irMyopathy and/or irMG but are not diagnostic for irMG.<sup>53</sup> Chest imaging is performed to exclude thymoma once an irNMJ disorder is confirmed. Patients are screened for myopathy with CK and EDX and for myocarditis with a troponin and EKG.

The role of EDX in patients with AChR antibodies and suspected irMG has not been well studied and was debated by the panel. In idiopathic MG, AChR binding or MuSK antibody positivity in the appropriate clinical scenario is diagnostic and EDX is unnecessary. However, patients may have AChR antibodies after ICI therapy without evidence for a disorder of neuromuscular transmission. Demonstrating abnormal neuromuscular transmission on EDX

#### Table 5 irNeuropathy

#### Subtypes:

(Median 8, range 4-9)

Polyneuropathy

- Polyradiculopathy
- Axonal polyradiculoneuropathy, radiculoplexus neuropathy
- CIDP, AIDP (GBS), Lewis-Sumner syndrome, MADSAM, MMN, GBS variants (AMAN, AMSAN, MFS, cervical-brachial-pharyngeal variant)
- Sensory neuronopathy
- Mononeuritis multiplex, vasculitic neuropathy
- Brachial neuritis, lumbosacral radiculoplexus neuropathy
- Acute mononeuropathy
- Cranial neuropathy
- Small fiber neuropathy
- Autonomic neuropathy

#### **Evaluation may include:** (Median 8, range 6-9)

- Common:
- Serum testing: HbA1c, TSH, vitamin B<sub>40</sub>, SPEP/IFE, CK 1.
- 2 Electrodiagnostic studies (including EMG/NCS)
- 3. MRI with gadolinium contrast of brain, spine, plexus or nerve

#### Possible:

- 1. Lumbar puncture with CSF studies (cell counts including cytology, glucose, protein, infectious studies if nerve root enhancement or clinical suspicion)
- Additional laboratory testing guided by neuropathy phenotype: ANCA, ANA, ESR, anti-SM, Ro, La, RNP, anti-DS DNA, ganglioside ab, anti-MAG, anti-Hu, additional specialized antibody testing, thiamine, folate, vitamin B<sub>6</sub>, hepatitis B/C, HIV, Lyme
- 3 Bedside pulmonary function tests and/or swallow evaluation

- Paresthesia, burning, itching, allodynia, neuropathic pain
- Numbness, weakness

Possible symptoms:

(Median 8, range 4-9)

- Diplopia, dysphagia or respiratory failure
- Muscle cramps and/or fasciculations
- Autonomic symptoms (eg, dry mouth, dry eyes, anhidrosis, orthostasis, diarrhea/constipation, impotence, early satiety)
- Imbalance, incoordination, ataxia, falls

#### Supportive examination findings:

(Median 8, range 6-9)

- Loss of sensation (temperature, pinprick, vibration, proprioception)
- Weakness usually distal or proximal/distal (AIDP/ 2. Autonomic function testing abnormal
- CIDP/GBS)±atrophy
- Hyporeflexia or areflexia
- Gait dysfunction (steppage, ataxic, other)

Diagnostic criteria: All levels of diagnostic certainty for irNeuropathy require a supportive history, examination, and timing relative to ICI therapy plus:

(Accuracy median 8, range 6-9; usability Median 8, range 5-9) Definite

#### Required (large fiber):

- Electrodiagnostic studies abnormal and demonstrate a 1. neuropathy subtype associated with ICI AND
- 2. Evaluation reveals laboratory or imaging evidence supportive of an immune mediated etiology for the neuropathy phenotype (eg, ANCA and mononeuritis multiplex, cytoalbuminologic dissociation in CSF and GBS) AND
- No other lab abnormality, clinical characteristic or imaging finding provides a reasonable alternate explanation for the presentation

#### Supportive when present but not required:

- Nerve biopsy performed and shows inflammatory infiltrate or vasculitis without other cause

#### Probable

#### Required (large fiber):

- Electrodiagnostic studies abnormal and demonstrate a neuropathy subtype associated with ICI AND
- 2 Evaluation reveals another plausible etiology may exist (eg, patient received prior neurotoxic chemotherapy) but not thought to account for the irNeuropathy presentation OR
- 3 Nerve biopsy performed and inconclusive OR

#### Required (small fiber)\*:

- History and examination consistent with small fiber neuropathy with or without autonomic dysfunction AND
- Skin biopsy or autonomic function testing abnormal and supportive of small fiber/autonomic neuropathy AND
- 3. No other lab abnormality in common diagnostic labs (HbA1c, B12, TSH, SPEP/IFE) or relevant labs or imaging provide an alternate explanation for symptoms

\*An isolated small fiber neuropathy after ICI therapy is extremely rare. Workup may be limited or inconclusive so it is excluded from the definite category

#### Uncommon:

- Autonomic function testing (AFT) and/or thermoregulatory sweat test
- 2. Genetic testing (ie, Charcot-Marie-Tooth neuropathies, hereditary amyloidosis)
- Nerve biopsy 3.

#### Possible

- Required: 1.
  - Clinical criteria only for large fiber or small fiber neuropathy AND
- 2. No EDX, laboratory studies or additional workup performed

AIDP, acute inflammatory demyelinating polyneuropathy; AMAN, acute motor axonal neuropathy; AMSAN, acute motor and sensory axonal neuropathy; ANA, antinuclear antibody; ANCA, anti-neutrophil cytoplasmic antibody; CIDP, chronic inflammatory demyelinating polyneuropathy; CK, creatine kinase; CSF, cerebrospinal fluid; EDX, electrodiagnostic studies; EMG, electromyography; ESR, erythrocyte sedimentation rate; GBS, Guillain-Barré syndrome; ICI, immune checkpoint inhibitor; MADSAM, multifocal acquired demyelinating sensory and motor neuropathy; MFS, Miller-Fisher Syndrome; SPEP/IFE, serum protein electrophoresis/immunofixation; TSH, thyroid stimulating hormone.

either through abnormal repetitive nerve stimulation or single fiber EMG is needed for definite irMG diagnosis.

#### Possible

Testing for the paraneoplastic Lambert-Eaton syndrome with P/Q VGCC antibodies is performed if LEMS is suspected clinically or EDX show a characteristic pattern of facilitation and decrement. MuSK antibody testing is performed in this context if electrodiagnostic studies show evidence for a disorder of neuromuscular transmission and AChR antibodies are absent. MuSK antibody testing assesses primarily for pre-existing disease since it has not been reported de novo after ICI therapy. Single fiber EMG can provide evidence of a disorder of neuromuscular transmission but may rarely show mild abnormalities in myopathy; it is typically performed at specialized centers if MG

is suspected but routine EDX and antibody testing are normal/negative. The ice pack test, performed by applying ice to a ptotic lid for 2min, can show improvement in ptosis at the bedside and further support a diagnosis of MG.<sup>56</sup> An EKG, serum biomarkers, transthoracic echocardiogram and cardiac MRI may be performed for further evaluation of concurrent myocarditis given syndrome overlap.<sup>56,57</sup>

#### Uncommon

Edrophonium testing may not be available and is usually not needed in this patient group.

#### Immune-related myopathy (irMyopathy)

Subtypes, evaluation and definitions of irMyopathy syndromes are presented in table 7. The rationale for

Subtypes: (Median 9, range 7–9)	Possible symptoms: (Median 8, range 6–9)	Supportive examination findings: (Median 8, range 7–9)	Diagnostic criteria: All levels of diagnostic certainty for irNMJ disorder require a supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 9, range 5–9; Usability median 8, range 5–9)
<ul> <li>Myasthenia gravis (MG): seropositive (with MG-specific Abs) and seronegative</li> <li>Other (including Lambert-Eaton myasthenic syndrome (LEMS))</li> <li>Evaluate for overlapping irMyopathy and myocarditis; classify each disorder separately if present</li> </ul>	<ul> <li>Ptosis, diplopia, blurred vision</li> <li>Dysarthria, dysphagia</li> <li>Neck and/or truncal weakness</li> <li>Proximal or distal weakness, may be asymmetric</li> <li>Fluctuating or fatigable weakness</li> <li>Dyspnea, orthopnea</li> <li>LEMS:</li> <li>Proximal weakness, areflexia/ hyporeflexia, autonomic dysfunction (including dry mouth, orthostatic hypotension, early satiety and constipation)</li> </ul>	<ul> <li>Ptosis, particularly asymmetric and/ or fatigable</li> <li>Extraocular muscle weakness referable to &gt;1 cranial nerve</li> <li>Weakness in eye closure, smile, cheek puff, jaw closure, tongue protrusion into the cheek</li> <li>Neck flexion or neck extension weakness (head drop)</li> <li>Limb weakness (proximal&gt;distal)</li> <li>Abnormally reduced pulmonary function testing (NIF/FVC)</li> <li>Muscle bulk and deep tendon reflexes usually normal in MG</li> <li>Sensation normal</li> <li>LEMS:</li> <li>Proximal weakness</li> <li>Deep tendon reflexes attenuated and may facilitate after brief exercise</li> <li>Signs of autonomic dysfuncti</li> </ul>	<ul> <li>Definite Required:</li> <li>AChR binding or modulating Ab positive or MuSK Ab positive AND</li> <li>Electrodiagnostic studies showing a primary disorder of neuromuscular transmission</li> <li>Probable</li> <li>Required (1 of the following):</li> <li>AChR Ab or MuSK Ab positive OR</li> <li>Electrodiagnostic studies showing a disorder of primary neuromuscular transmission OR</li> <li>Unequivocal clinical response/ improvement with cholinesterase inhibitors</li> </ul>
2. Electrodiagnostic studies (EMG/N	unction tests (NIF/FVC)		<ul> <li>Possible Required:</li> <li>1. AChR and MuSK Ab negative or not performed OR</li> <li>2. Electrodiagnostic studies without disorder of neuromuscular transmission, or not performed* AN</li> <li>3. CK normal**</li> <li>*'If EDX performed, no evidence for irritable myopathy on EMG **If CPK abnormally elevated, and no evidence for MG, consider irMyopathy</li> </ul>

AChR, acetylcholine receptor; CK, creatine kinase; EDX, electrodiagnostic studies; EMG, electromyography; ICI, immune checkpoint inhibitor; MG, myasthenia gravis.

common, possible and uncommon disease-specific testing is outlined.

#### Common

Diagnostic evaluation for irMyopathy includes CK to assess for muscle breakdown. Aspartate aminotransferase (AST) and alanine aminotransferase (ALT) are typically elevated along with CK. Gammaglutamyl transferase (GGT) evaluates for liver-specific injury and is normal when AST/ALT elevations are due to muscle disease. EDX evaluate for muscle membrane irritability (indicated by fibrillation potentials and positive sharp waves), which can be seen in inflammatory or necrotizing myopathy, and for myopathic motor unit potentials (characterized by short duration, with or without low amplitude and/or early recruitment). EMG should include needle examination of clinically weak muscles and thoracic paraspinals. Of note, abnormalities in motor unit potentials may be patchy or subtle, and recruitment is often normal, particularly in the

acute setting. EDX are often performed with repetitive nerve stimulation of proximal nerve-muscle combinations to test for an overlapping neuromuscular junction disorder. Troponin-I, EKG, and echocardiogram may be used for initial screening for concurrent myocarditis.<sup>57</sup> While troponin-T may be<sup>53 58</sup> elevated with myositis, troponin-I is more specific for cardiac injury.<sup>57</sup>

#### Possible

Muscle biopsy of affected muscle, ideally 4/5 MRC grade strength, allows direct assessment of muscle inflammation, but may not be needed in many cases. T-cell infiltrate identified by biopsy is supportive of irMyopathy, although other histopathological patterns have been described.<sup>53,58</sup> MRI showing muscle edema on STIR images and/or contrast enhancement can suggest inflammation and may help select site for muscle biopsy; however, these changes are not specific for myositis and can also be seen in denervated muscle, so imaging findings are not sufficient for diagnosis. Myositis-specific

Table 7         irMyopathy			
Subtypes: (Median 8, range 7–9)	Possible symptoms: (Median 8, range 7–9)	Supportive examination findings: (Median 8, range 7–9)	Diagnostic criteria: All levels of diagnostic certainty for irMyopathy require a supportive history, examination, and timing relative to ICI therapy plus: (Accuracy median 8, range 6–9; usability median 8, range 7–9)
<ul> <li>Immune-mediated necrotizing myopathy</li> <li>Inflammatory myopathy/ myositis</li> <li>Evaluate for overlapping irNMJ disorder and myocarditis; classify each disorder separately if present</li> </ul>	<ul> <li>(ocular, bulbar, limb-girdle, axial, generalized, focal)</li> <li>Absence of sensory symptoms</li> <li>Myalgias</li> </ul>	<ul> <li>Oculomotor weakness, ptosis</li> <li>Dysarthria, dysphonia</li> <li>Head drop (neck extension weakness), neck flexion weakness</li> <li>Extremity weakness (proximal&gt;distal, predilection for hip flexors)</li> <li>Abnormally reduced pulmonary function testing (NIF/FVC)</li> <li>Weakness is fixed without fatigability</li> <li>Normal sensory examination</li> <li>Skin changes associated with dermatomyositis (eg, heliotrope rash, malar rash, shawl sign, Gottron papules)</li> </ul>	<ul> <li>Definite Required (1 of the following): <ol> <li>Muscle tissue pathology (biopsy or autopsy) consistent with myositis or immune-mediated necrotizing myopathy OR</li> <li>EMG showing fibrillation potentials/ positive sharp waves AND myopathic motor unit potentials (ie, short duration±low amplitude±early recruitment) OR</li> <li>MRI showing muscle STIR hyperintensity or contrast enhancement AND EMG showing myopathic motor units</li> </ol> </li> <li>Supportive when present but not required: <ol> <li>Elevated CK</li> <li>Concurrent diagnosis of myocarditis</li> </ol> </li> <li>Required exclusion: <ol> <li>No recent new exposure to other drugs associated with muscle necrosis or inflammation</li> <li>In the event of focal symptoms, no traumatic injury or mass lesion to explain</li> </ol> </li> </ul>
<ul> <li>Evaluation may include: (Median 8, range 7–9)</li> <li>Common: <ol> <li>CK, LFTs (with GGT if AST/ALT elevated)</li> <li>Electrodiagnostic studies (EMG/NCS) including needle EMG of clinically weak muscles and thoracic paraspinals. Repetitive nerve stimulation (RNS) of proximal nerve-muscle combinations often included</li> <li>Troponin, CK-MB, EKG, echocardiogram</li> </ol></li></ul>		<ul> <li>Probable</li> <li>Required (1 of the following):</li> <li>1. EMG showing myopathic motor units (ie, brief duration, low amplitude, early recruitment) OR</li> <li>2. MRI showing muscle STIR hyperintensity or contrast enhancement OR</li> <li>3. Elevated CK OR</li> <li>4. Meets required criteria for definite category, but with an exclusion (ie, another plausible etiology may exist)</li> </ul>	
Possible:         1. Muscle biopsy of affected muscle biopsy of affected limbs (with collaboration of affected limbs (with collaboration of a specific antibodies, a specific antibodies, a specific antibodies         4. ESR, CRP, ANA, aldolase         5. AChR antibodies         6. Complete or bedside pulmona         7. Fluoroscopic swallow evaluati         8. Cardiac MRI if myocarditis sust         Uncommon:         1. Genetic testing	ntrast if not contraindicated) anti-HGMCR ab ary function tests (NIF/FVC) on		<ul> <li>Possible</li> <li>Required:</li> <li>1. Supportive history and examination within timeframe of expected irAE but no additional workup performed OR</li> <li>2. Workup was not conclusive for irMyopathy but no other explanation for symptoms</li> </ul>

EMG, electromyography; FDG, fluorodeoxyglucose; ICI, immune checkpoint inhibitor.

antibodies (including Jo-1, PL-7, PL-12, EJ, OJ, Mi-2, SRP, TIF-1 gamma) and anti-HMGCR antibodies may be measured to further characterize myositis subtypes. ESR, CRP, and ANA are non-specific markers of inflammation, which support a diagnosis of inflammatory myositis and may be tracked longitudinally. Aldolase is another marker of muscle breakdown, although may not provide additional information to the CK level. AChR antibodies can evaluate for a superimposed NMJ disorder, particularly in patients with ocular or bulbar symptoms. Beside pulmonary function tests may be appropriate if there is concern for respiratory muscle weakness, dysphagia or head drop. Swallowing evaluation can be considered for patients with dysphagia to ensure safe oral intake. Finally, an elevated troponin and abnormal cardiac MRI can suggest a concurrent

myocarditis and consultation with a cardiology service would be advised.  $^{59}$ 

#### Uncommon

Genetic testing may be performed when there is clinical suspicion for a hereditary myopathy. Although more commonly used to evaluate malignancy, fluorodeoxyglucose (FDG)-PET can also detect skeletal muscle inflammation.

#### Severity criteria

The panel adapted the CTCAE for irAE-Ns with illustrative examples (online supplemental table 1).

#### **Clinical trial adjudication**

Use of consensus definitions and severity criteria would standardize reporting, allowing for data pooling and cross-trial comparisons for irAEs-N. To facilitate usability, it may be beneficial to have a centralized data safety monitoring capacity to classify irAE-Ns according to consensus criteria in clinical trials based on primary source documentation. Source documents for irAE-N adjudication are outlined (online supplemental table 2). For overlap syndromes, classification recommendations are followed for each individual toxicity. Priority, relevance and feasibility of individual items depends on setting and toxicity (median 8, range 6–9).

#### DISCUSSION

This multidisciplinary, multi-institutional group has developed consensus disease definitions and severity criteria for irAE-Ns after ICI therapy. After reaching agreement on the critical unmet need for standardized disease definitions, the 27 panelists completed a two-round anonymous, modified Delphi voting process with two virtual meetings to obtain consensus on guidance statements. A comprehensive literature review, existing guidance from major oncologic organizations, the CTCAE and a methodologically rigorous process to gain input from experts across multiple disciplines were used. By process completion, all statements and disease definitions reached consensus. The high response rate, multidisciplinary panel, detailed nature of the experts' comments and high retention rate of 80% through both voting rounds were notable study strengths. Therefore, these consensus irAE-N definitions will likely be representative of, and applicable to, neurologists, oncologists and other subspecialists involved in the clinical care or research of patients with irAEs. It is well established that a broad spectrum of irAEs-Ns exists, which indicates there may be unique or novel pathophysiologic underpinnings. Using these consensus definitions to accurately phenotype irAEs-Ns in both clinical trials and in biobanks will allow for an in-depth analysis with potential to detect diagnostic and predictive biomarkers that separate these heterogenous presentations. These are the first detailed definitions of irAEs-Ns, which forms the critical foundation for both clinical and translational research in this area.

The Delphi process revealed areas of controversy and challenges in irAE-N, which were discussed and debated at the virtual meetings, but not entirely resolved. Most fundamentally, these issues were related to (1) balancing phenotypic accuracy with usability by a wide range of clinicians; and (2) integration of disease definitions, diagnostic certainty and treatment recommendations.

Regarding the balance of accuracy and usability, a key remaining question is how much of the disease definition framework can be used in real-world and clinical trial applications by oncologists and how much will require neurology or neuro-oncology input, when available. Neurology consultation has been recommended previously for any irAE-N grade 2 or higher.<sup>18</sup> The panel anticipated oncologists would begin the classification into CNS or PNS disorders, and possibly into one of the seven core syndromes, in addition to helping exclude other non-neurologic etiologies; the neurologist would further refine

the diagnosis into a core syndrome and specific subclassification and assist with treatment and symptom management.<sup>18</sup> Ordering of specialized diagnostic testing is often performed in consultation with neurology. Collaboration between specialists and referral systems to allow for expedited neurologic evaluation and testing will be critical. Thoughtful approaches to documentation and information sharing will be needed to ensure clear communication across different care settings and specialists. In certain settings where neurology consultation may not be readily available, future guidance regarding a set of symptoms that would necessitate referral to a neurologist and/or more urgent evaluation may increase usability.

One challenge that was identified at the second virtual meeting, after both rounds of consensus ratings, was how to classify myelitis cases that did not appear demyelinating. A proposal was made to alter the core disorders such that they were defined anatomically (ie, switch irDemyelinating to irMyelitis to focus on the spinal cord). A change at that stage, however, would not have permitted further review on the already agreed definitions and diagnostic criteria from the Delphi process. For clinical use, we therefore created an 'Other myelitis' subtype under irDemyelinating recognizing that, even though such cases may not strictly be demyelinating, the irDemyelinating diagnostic criteria include spinal cord imaging criteria that these cases will likely fulfill. For cases of encephalomyelitis that do not appear demyelinating, they may fulfill the irEncephalitis diagnostic criteria for the encephalitis component and could be included there.

Regarding integration of disease definitions and treatment guidelines, similar to other disease definitions in neurology,<sup>60</sup> the panel emphasized that diagnostic certainty is not always directly linked to treatment decisions (ie, the panel would generally treat probable and sometimes possible irAE-Ns). To maximize accuracy of the definitions and to prevent misclassification of 'definite' patients, definitions were constructed so that most patients would likely reach a 'probable' category and that this would be sufficient in most cases to treat as an irAE-N. The panel also emphasized that improvement with corticosteroids is not specific for irAE-Ns and should not be used as a primary criterion to establish etiology as an irAE. Additionally, patients must be treated with appropriate doses of immunomodulatory or immunosuppressive therapies before being labeled treatment resistant or refractory. Evidence-based definitions for toxicity response and resistance to treatment will be needed.

These disease definitions provide a systematic approach to the spectrum of irAE-N types, which may be applicable to other toxicities in the future. This system provides flexibility for increasing level of diagnostic specificity to accompany patients through their workup through relevant settings including oncology and neurology clinics, clinical trials and translational research. The framework captures the spectrum of irAE-N from mild to fatal and accounts for diagnostic uncertainty. In circumstances such as combination immunotherapy and chemotherapy, where attribution of new neurologic symptoms to ICIs may be challenging, the statements provide guidance. These definitions are a key step in moving toward future evidence-based management recommendations for irAE-Ns.

#### **Author affiliations**

<sup>1</sup>Harvard Medical School, Boston, Massachusetts, USA

<sup>2</sup>Division of Neuromuscular Medicine, Department of Neurology, Massachusetts General Hospital, Boston, MA, USA

<sup>3</sup>Division of Neuroimmunology and Neuroinfectious Disease, Massachusetts General Hospital, Boston, Massachusetts, USA

<sup>4</sup>Department of Ophthalmology, Massachusetts Eye and Ear Infirmary, Boston, MA, USA

<sup>5</sup>Project Data Sphere, Cary, North Carolina, USA

<sup>6</sup>Division of Neuromuscular Medicine, Department of Neurology, Brigham and Women's Hospital, Boston, MA, USA

<sup>7</sup>Division of Solid Tumor Oncology, Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, NY, USA

<sup>8</sup>Department of Medicine, Massachusetts General Hospital, Boston, MA, USA <sup>9</sup>Department of Neurology, The University of Iowa Hospitals and Clinics, Iowa City, Iowa, USA

<sup>10</sup>Department of Neurology, University of Utah, Salt Lake Clty, UT, USA

<sup>11</sup>Division of Oncology, Department of Medicine, University of Pennsylvania, PA, USA <sup>12</sup>Division of Neuro-Oncology, Department of Neurology, Massachusetts General Hospital. Boston, MA, USA

<sup>13</sup>Department of Medicine, Division of Gastroenterology, Massachusetts General Hospital, Boston, Massachusetts, USA

<sup>14</sup>Departments of Neurology and Laboratory Medicine and Pathology, Mayo Clinic, Rochester, MN, USA

<sup>15</sup>Department of Neurology, UCSF, San Francisco, California, USA

<sup>16</sup>Duke Clinical Research Institute, Duke University, Durham, NC, USA

 <sup>17</sup>Division of Neuromuscular Medicine, Duke University, Durham, NC, USA
 <sup>18</sup>Division of Oncology, Department of Medicine, Vanderbilt University Medical Center, Nashville, TN, USA

<sup>19</sup>Division of Neuromuscular Medicine, Department of Neurology, University of Vermont, Burlington, VT, USA

<sup>20</sup>Department of Dermatology, Center for Cutaneous Oncology, Dana-Farber/ Brigham and Women's Cancer Center, Boston, MA, USA

<sup>21</sup>Muscle Disease Unit, Laboratory of Muscle Stem Cells and Gene Regulation, National Institute of Arthritis and Musculoskeletal and Skin Diseases, National Institutes of Health, Bethesda, MD, USA

<sup>22</sup>Division of Neuropathology, Department of Pathology, Massachusetts General Hospital, Boston, MA, USA

<sup>23</sup>Division of Oncology, Department of Medicine, Massachusetts General Hospital, Boston, MA, USA

<sup>24</sup>Medical Oncology, Department of Medicine, Beaumont Hospital Dublin and RCSI University of Health Sciences, Dublin, Ireland

<sup>25</sup>Upper Aerodigestive Division, Department of Oncology, Sidney Kimmel

Comprehensive Cancer Center/Johns Hopkins University, Baltimore, MD, USA <sup>26</sup>Cardio-oncology Program, Division of Cardiology, Department of Medicine, Massachusetts General Hospital, Boston, MA, USA

<sup>27</sup>Center for Neuro-oncology, Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA, USA

<sup>28</sup>Department of Neurology, Brain Tumor Center, Memorial Sloan Kettering Cancer Center, New York, NY, USA

<sup>29</sup>Section of Cancer Neurology, Department of Neuro-Oncology, MD Anderson Cancer Center, Houston, TX, USA

#### Twitter Jarushka Naidoo @DrJNaidoo

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#### **ORCID iDs**

Amanda C Guidon http://orcid.org/0000-0003-0843-2935 Allison Betof Warner http://orcid.org/0000-0001-6422-2997 Michael Dougan http://orcid.org/0000-0001-9266-2009 Meghan J Mooradian http://orcid.org/0000-0002-8289-8015 Jarushka Naidoo http://orcid.org/0000-0002-3470-8686 Ryan J Sullivan http://orcid.org/0000-0001-5344-6645 Leyre Zubiri http://orcid.org/0000-0003-4226-7443

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