

Article

Breast cancer and communication: Monocentric experience of a self-assessment questionnaire

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Abstract

Background: The communication of the diagnosis of breast cancer induces to the patient a strong psychological trauma. Radiologists are at the forefront of communicating, either for a biopsy or the probable diagnosis of malignancy. This is a complex task, which requires the knowledge and application of correct “communicative models”, among which the SPIKES protocol represents a fundamental reference.

Design and methods: 110 patients, with a history of breast cancer, filled out a questionnaire consisting of six questions: five aimed at defining communication compliance with the SPIKES protocol, the sixth, consisting of six feelings, aimed at the knowledge of the next emotional state.

Results: Regarding compliance with various “strategic points” of the SPIKES protocol, questionnaires show that 70% of patients reported no omissions, while the remaining 30% reported omissions relatively to perception (56%), emotions (23%), setting (13%), knowledge (6%) and invitation (2%). The results showed the existence of a correlation between the final emotional state and the correct application of the SPIKES protocol; in fact, patients who reacted with a positive final emotional state-reported greater adherence to the strategic points of the SPIKES protocol.

Conclusions: In healthcare, knowing the communicative compliance of a team in giving “bad news” is fundamental, especially in breast cancer. The SPIKES protocol is recognized by the Literature as a fundamental reference able to affect “positively” the emotional state of patients. The proposed questionnaire is a valid tool to identify the weak points of communication and related criticalities, to improve clinical practice.

Introduction

The incidence of breast cancer is the main cause of death in women between the ages of 40 and 55 and is constantly growing, knowing no geographical limits; this is due both to the increase in life expectancy and the adoption of Western lifestyles also in developing countries.¹ The diagnosis of breast cancer has a high emotional impact, as it undermines the integrity of a woman, leading to a dissociation between mind and body and a sense of transition from “I can” to “I can’t”. The moment of diagnosis is therefore a traumatic event that gives rise to feelings of anger, producing effects on the psyche and the physical.² Hence the importance of proper pre-diagnostic - diagnostic communication, to minimize the emotional impact on the patient.

In contrast to what happened in the ‘50s and ‘60s, today it is considered fundamental to correct communication of the actual diagnosis, it is understood that by the doctor there must be adequate expertise in dealing with bad news. From a general point of view, we can define as bad news “any information that, with a negative effect, seriously influences the vision of the future life”. Bad news should always be understood from the perspective of the recipient and it is not possible to estimate the impact without knowing the expectations and the degree of understanding of the receiver.³ In the case of breast cancer, the negative consequences of diagnosis communication are closely linked to a number of factors ranging from fear of death to a sense of shame, from fear of pain to concern for body change, including loss of physical attractiveness.⁴ The radiologist doctor is asked to communicate both the suspect and the confirmation of a diagnosis of breast cancer; it is deduced the need for multiple skills and adequate training on communication.⁵⁻¹⁰ From the foregoing, it is necessary to identify tools that allow a self-assessment, systematic and periodic, of the communicative compliance of a team, in order to study the criticalities and to improve, more and more, the communicative potential.

The aim of our work was to test a questionnaire aimed at

Significance for public health

The attention of the scientific community is often focused on analyzing the pathologies that afflict the human being, and more frequently there is a tendency to overshadow what is the interpersonal relationship with the patient, which is what underlies the trust relationship that must necessarily be established between doctors and patients to facilitate a correct diagnostic and therapeutic process. In this study, we tried to understand what the weaknesses of our approach may be to breast cancer diagnosis communication, to improve our communication skills and offer a better service. We propose an easily reproducible protocol aimed at highlighting the criticalities of an extremely delicate process that every Breast Team must face daily.

assessing, retrospectively, the Compliance of a team of Radiologists in communicating the diagnosis of breast cancer and to identify critical issues in the communicative process, in order to improve information procedures. The team of medical radiologists, senologists, whose compliance in giving correct information has been verified is composed of 3 radiologists in service near the section of Senology for a minimum of six years.

Design and methods

At the Institute of Radiology of the Maggiore Hospital in Novara, an anonymous questionnaire, with obligatory multiple choice, is prepared to be submitted to patients operated for at least five years for breast cancer and who had completed the therapeutic process; 110 patients were enlisted, aged between 40 and 90 years.

The design of the study and the questionnaire were approved by the Ethics Committee of the EC Study 129/20. The questionnaire included six questions, divided into two groups of questions: The first group tested the adequacy of the information with respect to the SPIKES protocol;⁴⁻⁶⁻⁷ the second was aimed at identifying the state of mind of the patient in the period following the communication of the diagnosis.

Subsequently, the data deriving from the two groups of ques-

tions were cross-referenced in order to verify the complicity and the possible criticality of the communicative appropriateness of the team of radiology senologists.

The data were collected in a 110 x 8 matrix with the following variables (Table 1):

- Sequence number
 - Patient's age
 - 5 closed questionnaire replies related to the application of the different moments of the SPIKES protocol
 - 1 indicator of the patient's state of mind after the information
- The closed answers related to the SPIKES4,6,7 protocol concerned the application or not of the following steps (Table 2):
- Setting
 - Perception
 - Invitation
 - Emotions
 - Knowledge
 - Strategy

Of the six steps, of which the protocol is constituted, the first four concern the information that the doctor must provide and receive in order to establish the will of the patient to be informed or not and the relative modalities. The fifth concerns the empathic behavior of the doctor and his ability to relate to the patient, while the sixth concerns the planning of therapy.

Table 1. Multiple choice questionnaire, divided into two parts: the first aimed at investigating the correct implementation of the SPIKE protocol, the second identifying the state of mind of the patients at the end of the interview.

Question (Tick the box corresponding to the chosen option)		Yes	No
1	Was the diagnosis communicated to you in a calm and peaceful environment?		
2	Were you asked what you knew about your illness and how much did you want to be informed?		
3	Did the doctor use simple and understandable terminology to communicate the diagnosis?		
4	Was the doctor able to understand your reaction and identify the reasons of greatest concern?		
5	Did the doctor leave room for your questions and check the understanding of what was explained?		
6	How did you feel when you left the clinic?		
		Panic	
		Anguish and fear	
		Depression and sadness	
		Anxiety and uncertainty about the future	
		Anxiety but with confidence for the future	
		Peace of mind and confidence 26 for the future	

Table 2. Six points SPIKES protocol representation and their implementation.

SPIKES protocol	Practical implication
Setting	<ul style="list-style-type: none"> • Arrange for privacy • Proactively manage time constraints • Make eye contact • Silence phone
Perception	<ul style="list-style-type: none"> • Use open-ended questions to understand patient's perception of the medical situation • "What do you understand about the course of your illness to this point?"
Invitation	<ul style="list-style-type: none"> • Ask patient how much they want to know • Get permission to disclose bad news
Knowledge	<ul style="list-style-type: none"> • Share information about diagnosis and prognosis; give information in small chunks and periodically check patient's understanding
Empathize with emotions	<ul style="list-style-type: none"> • Watch for signs of emotions • Identify the emotion and the reason for it • Respond empathically
Strategy	<ul style="list-style-type: none"> • Plan a strategy for the future

The indicator of the state of mind of the patient assumed the following feelings:

- Panic
- Anguish and fear
- Depression and sadness
- Anxiety and uncertainty for the future
- Anxiety but with confidence in the future
- Serenity and confidence in the future

In the first phase a pre statistical analysis was carried out to evaluate the frequency distribution of some variables:

- Distribution of attendance by age groups
- Frequency distribution of omission reports with respect to different SPIKES protocol steps
- Frequency distribution of states of mind after diagnosis communication.

Subsequently, the original sample of 110 cases analyzed was divided into two sub-groups: the first included all patients who reported negative moods in the questionnaire between 1 and 4 (panic, anxiety and fear, depression and sadness, anxiety and uncertainty for the future); in the second were included positive moods between 5 or 6 (anxiety but with confidence in the future, serenity and confidence in the future).

Starting from the state of mind of the patients it has been correlated to the respect of the various points indicated in the protocol spikes, in order to identify which strategic points, during the communication, have been omitted and/or neglected.

The proportions in which omissions in the application of the different moments of the protocol were reported in the two sub-groups, were elaborated with the T-Student Test to ascertain the existence of statistically significant differences, assuming a normal distribution.

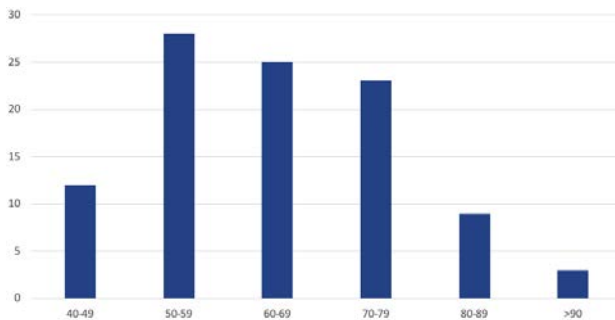


Figure 1. Our sample demographic distribution.

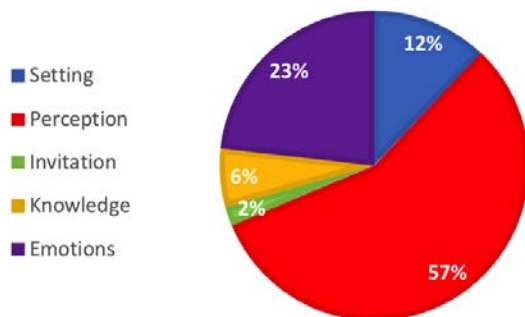


Figure 2. Percentage of failure to implement the various steps of SPIKE protocol.

Results

The questionnaire was submitted to 110 patients with an average age of 62.4 (Figure 1). Relative to the age range, 66% of patients were included in the 50-80 average range, the time window in which breast cancer is the main cause of death, 12% of patients in the range of 40-49 years, 28% in the range of 50-59 years, 25% in the range 60-69 years, 23% in the 70-79 years group, 9% in the range 80-89 years and the 3% of patients were over 90 years old. As regards the assessment of the responses provided on the proper implementation of the SPIKES protocol, these showed that 70% of the patients interviewed indicated that they had not found any omissions at the time of the diagnosis communication.

In the remaining 30% of cases, there was an omission of the various steps suggested in the SPIKE protocol, related to perception 56% of the reports, to emotions in 23%, to setting in 13%, to knowledge in 6% and to invitation in 2% (Figure 2). After the announcement of the diagnostic suspect, the news generated a feeling of anxiety associated with confidence in the future in 26% of patients, a feeling of serenity and confidence in 21% of anguish and fear in 20%, of panic and anxiety associated with uncertainty in the future in 15%, while of sadness in 2% (Figure 3).

Depending on the emotional state “ positive or negative “ after receiving the news, the patients were finally included in two cohorts: the first, included 52 patients with manifested “ positive emotional state” marked by feelings of serenity and confidence, even in a natural anxious context. The second included 58 patients with manifested “negative emotional state “ marked by feelings of panic, fear, depression, anxiety and uncertainty for the future. In the context of each cohort, compliance with the various steps of the SPIKES protocol (Figure 4) has been verified. Regarding patients

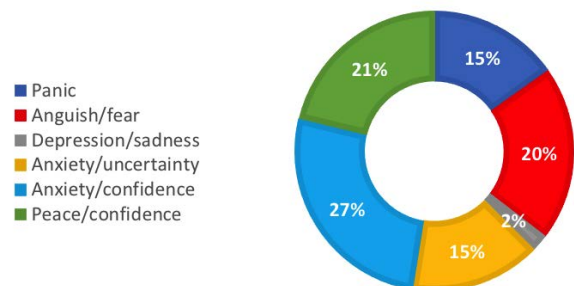


Figure 3. Patients' emotional state after the diagnosis communication.

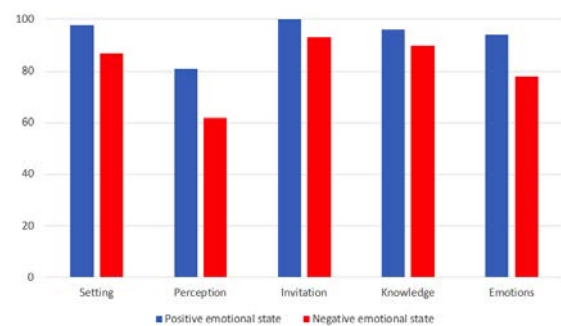


Figure 4. SPIKE protocol application frequency.

with manifested “positive emotional state” the various steps of the SPIKES protocol were respected in the following percentages: setting 98%, perception 81%, invitation 100%, knowledge 96%, and emotions 94%. Regarding patients with manifested “negative emotional state” the various steps of the SPIKES protocol were respected in the following percentages: setting 87%, perception 62%, invitation 93%, knowledge 90% and emotions 78%. The differences between the proportions of the cases reported in the two cohorts, related to the five steps of the protocol, subjected to significance tests with normal distribution assumptions of the standardized variable, were all significant at the level of 0.05 except for the one associated with K (knowledge) pass.

Discussion

The assessment of the compliance of a team in communicating bad health news involves careful work that has its times; in fact, we wonder if the evaluation should be subject to immediate verification or whether it should be evaluated over time. The retroactivity of the information could represent a limit of our work, but it is out of the question that the proposition of a questionnaire in the immediate is conditioned by the state of mind of the patients. Therefore, in our work, we preferred to propose it later, when the emotional state is controlled and the judgment by patients is more objective. The choice of assessing the compliance of a team and not of the individual professional could in fact represent a limit of this work; being the first experience and considered the teamwork, supported by years of joint work and sharing, in this preliminary work we have aimed at developing group compliance and not individual professional compliance. In our experience, from the analysis of the questionnaires submitted to our patient sample, a correlation has been demonstrated between the emotional state of the patients and the application of the SPIKES protocol. Patients who have shown emotional states of panic, fear, depression, anxiety and uncertainty have reported, more frequently than patients characterized by greater serenity and confidence, the omission of some steps of the SPIKES protocol. In particular, the main deficiencies were identified, with regard to perception, which consists in understanding the patient’s ideas about the disease and its readiness to be informed, and the emotions, the empathic management of the patient’s emotional reaction.

With regard to compliance with the perception step (reported by 82% of patients with “positive emotional state” against 62% of patients with “negative emotional state”), it should be stressed that the doctor should ask before informing.¹¹ It is appropriate to explore what the patient already perceives of the clinical situation and verify the realistic measure of perception or whether negation mechanisms are put in place.¹² These aspects are essential to weigh the information, in the form and to the extent that it needs to be given, on the basis of the awareness expressed by the patient. As for compliance with the emotions step it was reported in 94% of patients with “positive emotional state” compared to 78% of patients with “negative emotional state”. Emotional reactions can be different, and the doctor cannot limit himself to an automatic and instantaneous reassurance but must adapt his response to each patient, based on observation of the emotions expressed by the patient and identification of the thoughts associated with them. This is done through dialogue and the formulation of targeted questions, which will therefore allow the doctor to respond with empathy, showing his participation in the life of the patient.¹³⁻¹⁵

The patient who can express his concerns in the presence of an attentive and empathetic doctor feels understood and supported, the other way around will develop a sense of generalized anxiety that interferes negatively with the therapeutic path.^{14,16-18} The respect of emotion and perception have represented, in our cases, the elements of

greatest communicative weakness. The existence of a significant difference in the application of the SPIKES protocol between the two cohorts, does not necessarily imply the existence of a cause and effect relationship between, for example, the lower empathic charge offered by the doctor and the state of panic and fear of the patients, but it indicates a direction in which to direct attention to improve the effects of communication on the emotional state of the patient. The communication of the diagnosis is not a moment but a process, a sequence that aims to correctly inform the patient, to form a therapeutic alliance between doctor and patient. In the case of breast cancer, the radiologist accompanies the patient in this process, from the suspicion of the disease to confirmation of the diagnosis. The knowledge and application of the various steps suggested by the SPIKES protocol allow the doctor to establish in a correct way the most suitable methods, language, and timing to carry out diagnosis communication, empathetically with the patient for proper therapy planning. However, the guidelines are not always sufficient to fulfill this task comprehensively; it is, therefore, important that the doctor can try to train himself in the communicative field, dealing with the available literature, with experts, and with his working environment. In order to investigate the real communication skills of a breast therapy team, the experience gained, thanks to a dedicated questionnaire, has allowed verification of the data emerged, identifying and discussing the major issues.

Our preliminary experience suggests the need for a systematic and/or periodic verification, through questionnaires, eventually customized, aimed at improving and optimizing communicative accomplishments, which are an integral part of good clinical practice.

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Availability of data and materials: All data generated or analyzed during this study are included in this published article.

Ethics approval and consent to participate: This prospective study obtained ethical approval from the local Ethical Committee (IRB code CE 129/20) and has been conducted in accordance with the principle of the Declaration of Helsinki. Informed consent has been signed by patients enrolled in the study.

Informed consent: Written informed consent was obtained from a legally authorized representative for anonymized patient information to be published in this article.

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