# Third-party CMV- and EBV-specific T-cells for first viral reactivation after allogeneic stem cell transplant

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## **Key Points**

- Early use of thirdparty virus-specific T-cells is safe and leads to high rates of viral control and excellent outcomes in HSCT.
- Virological clearance is associated with recovery of virusspecific immunity, in particular CD8<sup>+</sup> effector memory T-cells.

Virus-specific T-cells (VSTs) from third-party donors mediate short- and long-term antiviral effects in allogeneic hematopoietic stem cell transplant (HSCT) recipients with relapsed or refractory viral infections. We investigated early administration of third-party VSTs, together with antiviral therapy in patients requiring treatment for first cytomegalovirus (CMV) or Epstein-Barr virus (EBV) infection. Thirty HSCT patients were treated with 1 to 4 VST infusions ( $2 \times 10^7$  cells/m<sup>2</sup>; CMV n=27, EBV n=3) at a median of 4 days after initiation of antiviral treatment. The overall viral response rate was 100%, with a complete response (CR) rate of 94%. Of the 28 patients who achieved a CR, 23 remained virus PCR negative (n=9) or below quantitation limit (n=14) for the duration of follow-up. Four patients had brief episodes of quantifiable reactivation not requiring additional therapy, and one required a second infusion after initial CR, remaining PCR negative thereafter. All 3 patients treated for EBV post-transplant lymphoproliferative disorder achieved sustained CR. Rates of aGVHD and cGVHD after infusion were 13% and 23%, respectively. There were no serious infusion-related adverse events. VST infusion was associated with rapid recovery of CD8<sup>+</sup>CD45RA<sup>-</sup>CD62L<sup>-</sup> and a slower recovery of CD4<sup>+</sup>CD45RA<sup>-</sup>CD62L<sup>-</sup> effector memory T-cells; CMV-specific T-cells comprised up to 13% of CD8<sup>+</sup> cells. At 1 year post-transplant, non-relapse mortality was 10%, cumulative incidence of relapse was 7%, overall survival was 88% and 25 of 27 patients had ECOG status of 0 or 1. Early administration of third-party VSTs in conjunction with antiviral treatment appears safe and leads to excellent viral control and clinical outcomes. Registered on Australian New Zealand Clinical Trials Registry as #ACTRN12618000343202.

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For original data, please contact the corresponding author (david.gottlieb@sydney.edu.au). The full-text version of this article contains a data supplement.

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# Introduction

Opportunistic viral infections remain a significant cause of morbidity and mortality after allogeneic stem cell transplantation.<sup>1-3</sup> HLA mismatch between donor and recipient, T-cell depletion, and immunosuppression aimed at minimizing graft-versus-host disease (GVHD) all impair the recovery of adaptive immunity and predispose to viral infection.<sup>4-6</sup> Conventional antivirals have several drawbacks, including cost, toxicities, and development of resistance, and are not available for all infections. Coinfection with multiple viruses is frequent and adversely affects survival.<sup>1</sup> Unless underlying immune deficiency can be corrected, patients are at high risk of recurrent viremia or tissue infection requiring prolonged or repeated antiviral treatment and resulting in organ toxicity and poor quality of life.

Adoptive T-cell therapy with virus-specific T-cells (VSTs) is an approach that has been used to enhance immune recovery.<sup>7-9</sup> VSTs may be transplant donor-derived<sup>7-9</sup> or generated from a third-party donor.<sup>10-12</sup> Because adoptive T-cell therapy with third-party VSTs requires only partial HLA matching, a single VST product exerting an antiviral effect through a common HLA molecule can be used to treat any patient with the relevant infection who also expresses that HLA molecule.<sup>11,13</sup> A small bank of donors selected for common HLA molecules can cover >95% of transplant recipients.<sup>13</sup> Its cells can be cryopreserved and rapidly accessed when required. In patients with viral infection refractory to standard treatment, third-party VSTs have led to excellent viral control up to 12 months after infusion, with no significant safety issues.<sup>12</sup>

In this study, we assessed the safety and efficacy of third-party VSTs given together with standard-of-care antivirals at the time of initial viral infection requiring treatment after transplant. We hypothesized that by reconstituting immunity earlier than in previous studies, this treatment may avoid the negative consequences of prolonged antiviral administration in some transplant recipients and lead to rapid control of viral infection.

## Methods

## Study design and participants

A prospective, single-arm, multicenter, phase 1 clinical trial was performed in allogeneic stem cell transplant recipients with viral replication and/or tissue infection with cytomegalovirus (CMV) or Epstein-Barr virus (EBV). The trial was open to those with adenovirus infection; 1 patient was recruited for this indication who was later deemed ineligible. Eligibility for trial participation required that patients receive VSTs within 7 days of commencing standard antiviral therapy (supplemental Methods 1). No patient received letermovir prophylaxis. Exclusion criteria included active grade 2 to 4 acute GVHD (aGVHD), treatment with >1 mg/kg per day of prednisone or equivalent, treatment with antilymphocyte globulin, deranged hepatic or renal function, and Eastern Cooperative Oncology Group performance status (ECOG) >3. Detailed inclusion and exclusion criteria are included in supplemental Methods 1. Written informed consent was obtained from all participants in accordance with the Declaration of Helsinki. The study was approved by the institutional research ethics committee at each site before the recruitment of patients.

#### Manufacture of T-cells from third-party donors

T-cell products were expanded from granulocyte colony-stimulating factor-primed apheresis product from healthy stem cell donors. Twelve donors with common HLA types and serological evidence of past exposure to CMV or EBV were recruited from Westmead Hospital according to standard assessment of eligibility for allogeneic donation. HLA typing was performed by the Australian Red Cross Blood Service and was resolved to 4 digits at class I and II loci in 10 of 12 donors and low resolution in 2 of 12. A bank of 17 monovalent VST products was generated (7 CMV specific, 5 EBV specific, and 5 adenovirus specific under good manufacturing practice conditions at the Sydney Cellular Therapies Laboratory, Westmead Hospital. CMV pp65 and EBV consensus peptides were added to donor blood or hemopoietic cell collection on day 1, followed by selection of CD137-expressing cells using antibody and magnetic bead selection (Miltenyi, Bergisch Gladbach, Germany) on day 2. The CD137<sup>-</sup> population was irradiated and peptide pulsed. The fractions were co-cultured for up to 11 days in the presence of cytokines (interleukin-2 [IL-2], IL-7 and IL-15), and then cryopreserved. Before release, all products underwent quality control testing as described in supplemental Methods 2.

## Treatment and VST donor-recipient matching

Each dose consisted of  $2.0 \times 10^7$ /m<sup>2</sup> partially HLA-matched CMVor EBV-specific VSTs. VSTs were matched to the recipient at a minimum of 1 of 6 HLA antigens (HLA-A, -B, and -DRB1) shared between donor VSTs and recipient. VSTs were selected based on an algorithm that preferred the highest number of HLA matches with antiviral activity through the shared HLA antigen(s), and secondary preference to the product with the highest proportions of virus-specific responses through shared allele(s). After administration of the cells, up to 3 additional VST infusions could be given in the event of persistent viral replication detected  $\geq 2$  weeks after first VST infusion. In the event that a second infusion was required, the same product was used if it was available in the bank and had led to at least a partial virological response after initial administration. Concomitant standard-of-care antiviral treatment was administered to all patients according to local institutional policy, with choice of antiviral at the discretion of the treating physician.

## Management of immunosuppression while on trial

Patients were excluded from the trial if they had received a corticosteroid dose of >1 mg/kg prednisolone or methylprednisolone (or equivalent in other preparations) within 72 hours before VST infusion. Patients receiving lower doses had their doses maintained without change for 72 hours before and at least 7 days after VST infusion. The rate of corticosteroid reduction after VST infusion did not exceed 10% per week of the dose given at the time of infusion. Doses of calcineurin inhibitors (cyclosporine, tacrolimus, or sirolimus) were not weaned in the absence of calcineurin toxicity until the dose of corticosteroids was <0.25 mg/kg.

#### **Outcomes and follow-up**

Patients were monitored at regular intervals for evidence of clinical and virological response, immune cell recovery, and toxicity. Regular clinical reviews were conducted and included a full history, physical examination, clinical GVHD assessment, and virus disease-specific assessment. The review cycle was reset after each infusion in the case of multiple infusions. Patients were actively followed up for

Patient	Age, y Sex	Transplant Indication	Remission status at BMT I	Lines of therapy Pre-BM	. DRI	t Conditioning m	Graft ype - BSC/ Dc arrow t <sub>i</sub>	nor 1 pe de	-cell HLA п pletion (mis-m	natch atch)	Target	Rec/Don serostatus	Immunosuppression at first VST infusion	DPT of first viral reactivation	DPT of first infusion	Prior antiviral therapy, d	Viral load before first VST intusion, U/mL and the 2 preceding values
-	55 M	MDS CMML	AD	0	Int	MAC PE	SC MU	D ATG	11/12 (	DPB1) C	MV F	os/Pos	CsA	25	42	-	6 714, 2 416, 265
2	63 M	AML	CR2	2	Int	RIC PE	SC MU	D ATG	10/	10 C	MV	os/Pos	CsA	53	57	2	2 850, 283, 136
e	49 M	CML	MRD+	7	Int	MAC PE	SC MU	D ATG	10/	10 C	MV F	os/Neg	CsA	32	60	0	8 727, 3 401, 976
4	62 M	B-NHL	CR5	ß	Low	RIC	SC MU	D ATG	10/	10	MV	os/Pos	CsA	38	59	a	1 089, 2 772, 655
5	68 M	AML	CR3	ო	Int	RIC PE	SC MU	D ATG	10/	10 C	MV F	os/Pos	CsA	25	42	9	3 980, 5 535, 1 840
9	49 F	B-NHL	AD	7	Low	RIC	SC Sib	None		9	MV	os/Pos	CsA	8	83	9	3 980, 5 540, 1 840
7	66 F	U-NAM/SOM	AD	0	Int	RIC PE	SC MU	D ATG	12/	12 C	MV F	os/Pos	CsA	40	57	Q	2 244, 1 734, 1 529
8	68 F	MDS/AML	CR1	5	II	RIC PE	SC MU	D ATG	12/	12 C	MV	os/Pos	CsA	4	49	9	24 582, 48 309, 3 681
6	2 M	MPS1	NA	NA	AA	MAC PE	SC MN	UD Anti-	CD52 9/10	(A) O	MV F	os/Pos	CsA	18	34	Q	41 599, 26 352, 66 194
10	20 F	B-ALL	CR1	-	Int	MAC PE	SC Ha	olo None	7/1	12 C	MV F	os/Pos	Tac	24	52	9	5 828, 5 835, 1 926
=	ш —	ARPC1B def	NA	NA	A	MAC BN	MU	© CD3	4 8/ election	0 æ	MV F	os/Neg	CsA	ო	34	9	1 315, 1 315, 3 304
12	71 M	B-ALL	CR3	e	High	RIC PE	SC MU	D ATG	11/12 (	DPB1) C	MV	os/Pos	CsA	21	41	a	1 997, 1 895, 2 129
13	54 M	MDS/AML	CR1	7	Low	MAC PE	SC Ha	olo None	7/1	12 C	MV N	Jeg/Pos	CsA	33	50	9	12 705, 14 813, 3 712
14	64 F	MDS	AD	0	Int	RIC PE	SC MU	D ATG	11/12 (	DPB1) C	MV	os/Pos	CsA	38	55	0	3 345, 3 363, 14 84
15	56 M	B-NHL	AD	4	Int	RIC PE	SC MU	D ATG	12/	12 C	MV F	os/Neg	CsA	25	42	4	8 943, 8 618, 4 254
16	48 F	MDS	AD	0	<u>I</u>	MAC PE	SC MN	UD ATG	1/11	5 (C)	MV	os/Neg	CsA	25	49	a	945, 2 028, 1 564
17	58 F	AML	CR1	-	Int	RIC PE	SC MU	D ATG	12/	12 E	BV PTLD F	os/Pos	CsA	42	67	0	46 000, 29 560, 12 600
18	29 M	AML	CR2	2	Low	MAC PE	SC Ha	olo None	6/1	12 C	MV F	os/Pos	CsA	29	53	7	1 953, 915, 1 382
19	71 M	AML	CR1	-	<u>I</u>	RIC PE	SC MU	D ATG	12/	12 E	BV	os/Pos	CsA	39	56	-	1 084, 48 000, 23 560
20	14 M	AA	NA	AN	AN	MAC PE	SC MU	D Anti-	CD52 12/	12 C	MV	os/Pos	CsA	33	56	0	1315, 3304, 2085
21	61 F	AML	CR1	-	II	RIC PE	SC MU	D ATG	11/12 (	DPB1) C	MV F	os/Pos	CsA	10	45	4	2475, 2717, 1021
22	64 M	AML	CR2	2	Int	RIC PE	SC MU	D ATG	10/	10 C	MV F	os/Pos	CsA	47	57	-	223, 1541, 345
23	63 M	B-NHL	CR2	2	Low	RIC PE	SC Ha	olo None	6/1	12	MV	os/Neg	CsA	34	43	Q	19785, 22736, 11961
24	25 F	Н	CR3	e	Low	MAC PE	SC MU	D ATG	11/12 (	DPB1) E	BV PTLD F	os/Neg	Tac	29	62	-	3 653, 92 648, 72 823
25	42 M	MDS/AML	CR1	-	<u>I</u>	MAC PE	SC Sib	None	6/	9	MV	os/Pos	CsA	53	68	4	1 381, 7 043, 2 167
26	59 M	AML	CR1	-	Low	MAC PE	SC MN	UD ATG	11/12	2 (C)	MV F	os/Neg	CsA	11	81	5	7 625, 16 656, 4 579
27	68 M	SS	CR1	-	High	RIC PE	SC MU	D None	11/12 (	DPB1) C	MV	os/Neg	CsA	18	19	0	1 243, 2 806, 313
28	63 M	AML	CR1	-	Int	RIC PE	SC MU	D ATG	12/	12 C	MV N	Veg/Pos	CsA	39	56	a	5 783, 5 397, 1 779
29	65 M	MDS	AD	-	High	RIC PE	SC MU	D ATG	11/12 (	DPB1) C	MV F	os/Pos	CsA	47	70	0	4 086, 910, 1 026
30	62 F	MDS	AD	0	Int	RIC PE	SC MU	D ATG	10/	10 C	MV F	os/Pos	CsA	44	58	9	8 559, 7 038, 147
Patients AA, aplk bone marr Internation myelodysp	: 1 9,11,18 astic anemi ow transpl ial Blood ar iastic/myelo	, 21 and 22 w a, AD, active di ant; B-NHL, B- nd Marrow Trar pproliferative n	ere of Asian e isease; AML, cell non-Hodg splant Resea eoplasm, unck pient: RIC, re-	thnicity, acute my kin lymp rch) dise assifiable duced ir	all othe yeloid   homa; asse ris s; MPS tensity	rs were of Europe aukemia; Anti-CDF CML, chronic mye k index; Haplo, ha 1, mucopolysacch onditioning; Sib	an white ( 2, alemtu: loid leuker ploidentic: aridosis 1 sibling; S	ethnicity. cumab; AF nia; CMN al; HL, Hc ; MRD+, S, Sézary	RPC1B def, ARF IL, chronic myelo dgkin lymphoma minimal residual svndrome: Tac.	PC1B de monocy t; Int, inte disease tacrolim	ficiency; A <sup>7</sup> tic leukemia armediate; I positive; M us.	ΓG, antithymc t; CsA, cyclo ΛAC, myeloa MUD, misma	ocyte globulin; B-ALL, sporine; Don, donor; L blative conditioning; M	B-cell acute l DPT, days pos IDS, myelody ; MUD, matcl	ymphoblast st transplan splastic syr hed unrelat	ic leukemia tation; DRI, drome; MD ed donor; N	BM, bone marrow; BMT, CIBMTR (Center for S/MPN-U. A, not applicable; PBSC,

Patient	Viral target	VST infusions. n	Product(s) infused (RPT, same product on reinfutsion)	Shared HLA alleles between product and recioient. n	Shared HLA alleles	CD3 response to PepMix by intracellular flow cytometry (cvtokinels) asseed). %	Tetramer-positive cells of total CD3 <sup>+</sup> T-cells (tetramer used), %
-	CMV	-	SCTL-14-0010	-	A0201	N/A	34.8 (A0201 NLV)
2	CMV	2	SCTL-14-0010, RPT	÷	A0201	N/A	34.8 (A0201 NLV)
ო	CMV	÷	SCTL-17-0017	÷	A0201	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	80.7 (A0201 NLV)
4	CMV	2	SCTL-17-0017, RPT	2	A0201, 2402	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	80.7 (A0201 NLV), 3.9% (A2401 QYD)
വ	CMV	÷	SCTL-17-0016	ю	A0301; B0702; C0702	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
Q	CMV	-	SCTL-17-0016	4	A0301; B0702, 4403; C0702	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
7	CMV	÷	SCTL-17-0017	÷	A0201	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	80.7 (A0201 NLV)
8	CMV	2	SCTL-17-0017, RPT	÷	A0201	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	80.7 (A0201 NLV)
Ø	CMV	7	SCTL-14-0007, RPT	7	B0801; DRB1 0301	40.3 (IFN)+	N/A
10	CMV	-	SCTL-14-0008	1	A2402	16.7 (IFN)	5.8 (A2401 QYD)
11	CMV	7	SCTL 17-0015, RPT	7	B0801; DQB1 0501	32.9 (CD107), 30.9 (TNF), 26.7 (IFN)	N/A
12	CMV	÷	SCTL-17-0016	4	A0301; B0702, 4403; C0702	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
5 13	CMV	4	SCTL-17-0015, RPT, SCTL-17-0016, SCTL-17-0017	-	DRB1 0101*, [A0301; B0702; C0701 transplant donor only]	32.9 (CD107), 30.9 (TNF), 26.7 (IFN), first product; 69.0 (CD107), 8.0 (TNF), 16.0 (IFN), second product; 76.9 (CD107), 47.7 (TNF), 58.1 (IFN), third product*	25.2 (B0702 TPR), second product only
14	CMV	-	SCTL-17-0016	2	B0702; C0701	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
15	CMV	t	SCTL-17-0015	6	B0801; C0701	32.9 (CD107), 30.9 (TNF), 26.7 (IFN)	N/A
16	CMV	р	SCTL-17-0017, SCTL-17-0018	2 (both products)	A0201; C0701 (first product), A0201; B5101 (second product)	76.9 (CD107), 47.7 (TNF), 58.1 (IFN), first infusion; 48.3 (CD107), 18.4 (IFN), 15.1 (TNF), second infusion	80.7 (A0201 NLV), first product; 76.5 (A0201 NLV), second product
17	EBV	-	SCTL-17-0017	Q	A0201; B4402; C0501, DRB1 0401; DQB1 0201, 0301	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	N/A
18	CMV	-	SCTL-17-0017	ო	DRB1 0301, 0301; DQB1 0201	76.9 (CD107), 47.7 (TNF), 58.1 (IFN)	N/A
ō	EBV	-	SCTL-18-0010	~	A0101; B0801; C0701; DRB10301, 0301; DQB1 0201, 0201	76.5 (CD107), 25.5 (TNF), 27.9 (IFN)	N/A
20	CMV	1	SCTL-17-0018	2	A0201; B5101	48.3 (CD107), 18.4 (IFN), 15.1 (TNF)	76.5 (A0201 NLV)
Not all produ TNF, tumor r *This patient Accordingly, fou +Only CD4+	ucts had available MHK necrosis factor; IFN, int received 3 different pr r the third product use T-cells were tested fo	C class I tetramers for erferon gamma. oducts over 4 infusio d (matched at C0701 r this product; CD4 <sup>+</sup>	specificity testing. .ns. The first was matched at DRB 1), there was no available peptide T-cells comprised 69.3% of total	<ol> <li>the second at A0301 for specificity testing. nucleated cells.</li> </ol>	and B0702, but with the transplant do	nor only (not the recipient); and the third at C	20701 (transplant donor only).

Table 2. Virus-specific T-cell products administered

Patient	Viral target	VST infusions, n	Product(s) infused (RPT, same product on reinfusion)	Shared HLA alleles between product and recipient, n	Shared HLA alleles	CD3 response to PepMix by intracellular flow cytometry (cytokine[s] assayed), %	Tetramer-positive cells of total CD3 <sup>+</sup> T-cells (tetramer used), %
21	CMV	t	SCTL 17-0015	2	B0801; C0701	32.9 (CD107), 30.9 (TNF), 26.7 (IFN)	N/A
22	CMV	-	SCTL-17-0016	в	A0301; B0702, C0702	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
23	CMV	-	SCTL-17-0016	a	A0301; B4403; C0401, 0702; DRB10701	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	N/A
24	EBV	2	SCTL-18-0015, RPT	2	B4402; DQB1 0301	73.0 (CD107), 43.4 (TNF), 54.0 (IFN)	N/A
25	CMV	-	SCTL-17-0015	2	DRB1 0101; DQB1 0501	32.9 (CD107), 30.9 (TNF), 26.7 (IFN)	N/A
26	CMV	F	SCTL-17-0018	2	A0201; C0704	48.3 (CD107), 18.4 (IFN), 15.1 (TNF)	76.5 (A0201 NLV)
27	CMV	0	SCTL-17-0016, RPT	Q	B0702, 4403; C0401, 0702; DRB1 1501; DQB1 0602	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
28	CMV	-	SCTL-17-0016	4	B0702, C0702; DRB1 1501; DQB1 0602	69.0 (CD107), 8.0 (TNF), 16.0 (IFN)	25.2 (B0702 TPR)
29	CMV	F	SCTL-19-0005	2	A0201; DQB1 0301	72.0 (CD107), 55.1 (TNF), 64.1 (IFN)	84.3 (A0201 NLV)
30	CMV	t.	SCTL-19-0029	ε	DRB1 0701, 1101; DQB1 0301	67.3 (CD107), 39.4 (TNF), 31.5 (IFN)	N/A
Not all produc TNF, tumor ne *This patient r	cts had available MHC ecrosis factor; IFN, inter received 3 different pro	class I tetramers for s feron gamma. ducts over 4 infusions	specificity testing. s. The first was matched at DRB1	; the second at A0301 a	and B0702, but with the transplant dor	or only (not the recipient); and the third at ${f C}$	0701 (transplant donor only).

Š. Ë. 5 5 2 ž 1 1 \* Inis patient received 3 different products over 4 mussions. The max was maximum at other 1, we service a construction Accordingly, for the third product used (matched at C0701), there was no available peptide for specificity testing. +Only CD4<sup>+</sup> T-cells were tested for this product; CD4<sup>+</sup> T-cells comprised 69.3% of total nucleated cells.

Table 2. (continued)

Patient	Days after first VST infusion	Grade	Caused by infusion	Event
1	54	4	Unlikely	Mycobacterium tuberculosis (pulmonary, blood) with sclerosing peritonitis*
6	12	3	Unlikely	Enterococcus faecalis bacteremia (blood/Hickman catheter)
	31	3	Unlikely	Escherichia coli UTI, Rhinovirus RTI
	50	3	Unlikely	Bacillus spp bacteremia
	89	4	Unlikely	aGVHD skin, liver, gut (overall grade IV)*
8	15	3	Unlikely	Aspergillus spp (pulmonary)
9	31, 40, 165	3	Unlikely	Febrile, no organism identified
10	0	3	Unlikely	Febrile, <i>S epidermidis</i> sepsis
11	60	3	Unlikely	Bloody diarrhea, cultures PCR negative
	85	3	Unlikely	Suspected infected central venous catheter; no organism isolated
13	39	4	Unlikely	Progressive pulmonary infiltrate and fibrosist
	76	3	Unlikely	BK virus hemorrhagic cystitis
	105	5	Unlikely	Pulmonary veno-occlusive disease, severe pulmonary hypertension*
	131	3	Unlikely	Bilateral lower limb DVTs
16	131	3	Unlikely	Chronic gastritis, CMV negative
17	157	3	Unlikely	Pseudomonas aeruginosa vulval lesions
20	189	3	Unlikely	Fracture neck of femur
21	1	3	Unlikely	S epidermidis bacteremia (blood, Hickman catheter)
22	25	3	Unlikely	Acute renal failure, hypercalcemia
	71	5	Unlikely	Pseudomonas aeruginosa pneumonia (BAL, sputum)*
23	94	3	Unlikely	Clostridium difficile colitis
26	4	3	Unlikely	BK virus hemorrhagic cystitis
	27	3	Unlikely	Cryptogenic organizing pneumonia
	57	4	Possible	LFT derangement in context of weaning from immunosuppression, suspected acute liver GVHD (stage 4)*
	75	3	Unlikely	Escherichia coli and carbapenem-resistant Enterobacteriaceae sepsis
	78	5	Possible	Biopsy-proven acute gut GVHD (stage 4, overall grade 4 with liver)*
30	8	3	Unlikely	Infective exacerbation of COPD, cultures negative

#### Table 3. Severe AEs reported

BAL, bronchoalveolar lavage; COPD, chronic obstructive pulmonary disease; DVT, deep venous thrombosis; RTI, respiratory tract infection; UTI, urinary tract infection. \*Contributed to death.

†CMV detected but below level of quantification on broncho-alveolar lavage.

6 months to assess viral control and for correlative study samples. Patients were followed up for relapse and death until the time of data cutoff.

Adverse events were graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events (NCI CTCAE), version 4.03. The primary end point was safety of the VST infusion. Secondary end points are listed in supplemental Methods 3. GVHD was graded according to standard criteria for aGVHD<sup>14</sup> and cGVHD.<sup>15</sup>

## Viral infection

A complete virological response to treatment (CR) was defined as a decrease in viral load to levels undetectable by quantitative polymerase chain reaction (PCR), with resolution of clinical symptoms and signs. A partial response (PR) was defined as a decrease in viral load of at least 50% from baseline, with alleviation of clinical symptoms and signs. The entire area under the curve (AUC) of viral load was calculated to reflect the burden of viremia over time.<sup>16</sup> All viral

load measurements across different assays and analyzers were standardized to IUs per milliliter, using recognized conversion factors (supplemental Methods 4). Detectable CMV and EBV at any level of quantitation was considered positive (including positive results below the level of quantitation). CMV tissue infection was defined according to Chemaly et al.<sup>17</sup> The response of patients with EBV-associated post-transplant lymphoproliferative disease was monitored according to both viral titers and International Lymphoma Working Group 2017 Consensus Criteria.<sup>18</sup>

#### Immune monitoring

Post-infusion immune monitoring was performed on batched peripheral blood mononuclear cells at specific time points for each patient by using spectral flow cytometry directed at 20 different surface markers, allowing for the delineation of 32 cellular populations of interest (supplemental Methods 5). Data were acquired with a FAC-Symphony Flow Cytometer (BD Biosciences, San Jose, CA). HLA-restricted antigen specificity in postinfusion CD8<sup>+</sup> T-cell populations was measured using phycoerythrin-conjugated, virus-specific iTAg

#### Table 4. Other infections present and source

Patient	Viru	s Other infections present
1	CMV	EBV, BKV (u), parainfluenza 3 (nt), Candida tropicalis (bal), Mycobacterium tuberculosis
2	CMV	Nil
3	CMV	EBV
4	CMV	EBV, Aspergillus
5	CMV	EBV, BKV (u), BKV
6	CMV	HHV6, Rhinovirus (nt), Aspergillus, HSV (ms)
7	CMV	Nil
8	CMV	EBV, HHV6, Aspergillus (sp)
9	CMV	Parainfluenza 3 (nt), Bocavirus (nt)
10	CMV	BKV (u), BKV
11	CMV	Nil
12	CMV	HHV6, rhinovirus (nt)
13	CMV	BKV, HHV6, EBV, BKV (u) CMV/HHV6/EBV (lb), CMV (bal). HHV6 and EBV PCR positive on lung biopsy without evidence of PTLD
14	CMV	Nil
15	CMV	EBV
16	CMV	EBV (PTLD, occurring more than 1 y after transplant when patient was off study)
17	EBV	Nil
18	CMV	Nil
19	EBV	CMV, Rhinovirus/Enterovirus (nt), Candida albicans (sp)
20	CMV	Nil
21	CMV	EBV
22	CMV	EBV, Aspergillus/Candida (bal), RSV (nt)
23	CMV	Rhinovirus (nt), parainfluenza 3 (nt)
24	EBV	Nil
25	CMV	BKV (u)
26	CMV	EBV, BKV, BKV(u)
27	CMV	Nil
28	CMV	EBV
29	CMV	Nil
30	CMV	EBV

If not specified, infections found in blood by PCR.

bal, bronchoalveolar lavage; lb, lung biopsy; ms, mouth swab; NE, evaluable; nt, nose throat swab; RSV, respiratory syncytial virus; sp, sputum; u, urine.

MHC class I human tetramers (MBL International, Woburn, MA) where available. CMV-specific T-cell immune recovery was monitored by interferon- $\gamma$  (IFN- $\gamma$ ) enzyme-linked immunospot (Elispot) assay, as previously described.<sup>19</sup>

## **Cellular persistence**

Persistence of transferred cells in the peripheral blood of recipients was assessed with a highly sensitive microchimerism assay on a droplet digital platform, as previously described.<sup>20</sup> DNA extracted from peripheral blood samples of recipients, their original transplant donor, and the third-party cell bank donor were assessed with the KMRtype Genotyping Primer/Probe Kit (GenDx, Utrecht, The Netherlands).

The cumulative incidence function was used to determine the cumulative CR rate to antiviral treatment and VST infusions at the end of the 6-month follow-up period, with death considered a competing risk. Twelve-month post-transplant cumulative incidence of relapse and nonrelapse mortality were also determined with this function. Overall survival was calculated by using the Kaplan-Meier method. A Spearman's rank correlation was used to determine the significance of association between the AUC of the viral load and other variables. A 2-tailed Wilcoxon matched-pairs, signed-rank correlation was used to determine the significance of pre-infusion and maximum CMV-induced IFN-y production within 100 days. For visualization of immune cell subsets over time, the fit of the trajectories for each population was performed in R,<sup>21</sup> using a loess curve-fitting technique. Linear mixed models utilizing the Ime4 package in R were employed to calculate the significance of the AUC of the viral load in each immune cell population.<sup>22</sup>

## **Results**

#### **Participant characteristics**

Thirty-seven patients were recruited at 3 Australian centers from August 2017 through April 2020. Three patients did not receive treatment because there was no suitably HLA-matched product. All 3 patients expressed HLA-A11:01, to which responses were not routinely assessed after product manufacture. Two patients were excluded from the trial before VST infusion because of failure to satisfy eligibility criteria (for details see supplemental Methods 1). One patient died of septic shock and multiorgan failure after recruitment, but before the infusion. One pediatric patient was excluded retrospectively when it was realized that the patient was treated for viral reactivation 975 days after transplant and could not be assessed for trial end points. Of the 30 remaining patients, 27 were treated for CMV reactivation and 3 for EBV reactivation. Twenty-seven adult patients underwent transplant for hematological malignancies; 3 pediatric patients were treated for immune deficiency syndromes. Conditioning was myeloablative in 12 patients and reduced intensity in 18 patients. Most of the patients (22 of 30) underwent in vivo T-cell depletion. Seven of 27 CMV-seropositive recipients received transplants from CMV-seronegative donors. All patients were treated for viral infection within 7 days of initiation of antiviral therapy (median 4 days). For full participant characteristics, see Table 1.

## **Product characteristics**

Forty-one VST infusions from 11 donors were administered during the trial, with products from 5 donors used in multiple patients (see Table 2). The most frequently shared HLA types were A2 and B7. VST products were mostly CD3<sup>+</sup> (median, 97.9%; range, 96.2% to 99.4%), with the median percentage of CD3<sup>+</sup>CD4<sup>+</sup> being 17.9% (range, 6.0% to 69.3%) and CD3<sup>+</sup>CD8<sup>+</sup> 85.8% (range, 23.2% to 95.5%). Antigen-specific responses quantified by cytokine intracellular flow cytometry response to peptides of known HLA restriction are detailed in Table 2. Virus-specific MHC class I tetramers were available for 7 products and are detailed in Table 2.

## Administration of VSTs

A total of 41 infusions were performed in 30 patients (1 infusion, n = 21; 2 infusions, n = 8; 4 infusions, n = 1). Viral load measurements in the period before initial VST infusion and at the time of



Figure 1. Clinical outcomes. (A) Cumulative incidence of complete response (CR) adjusted for competing risks, over the 6-month follow-up period after VST infusion. (B) Cumulative incidence of disease relapse and non-relapse mortality in the first 12 months after transplant. (C) Overall survival in the first 12 months after transplant. N = 30 for all.

initial viral detection are given in Table 1 and in supplemental Table 1 in the supplemental Material. Two patients received products from >1 donor. The median day of the first infusion was day 4 after

initiation of antiviral treatment (range, 0-6 days) and day 55 after transplant (range, 19-83 days). All infusions were administered at a cell dose of  $2 \times 10^7$ /m<sup>2</sup>. The majority of the patients (23 of 30) were matched at 2 or more HLA antigens with the product at any class I locus, HLA DRB1 or HLA DQB1, whereas the remainder (7 of 30) were matched at a single locus. Antigen matches HLA C and DQB1 were counted toward the total degree of matching, but only matches at HLA A, B, and DRB1 were used to select a suitable product. Nine patients received multiple infusions for persistent viral replication. Patients 13 and 16 received products from >1 donor. Patient 13 received 4 infusions from 3 separate products and achieved a PR as best virological response. Patient 16 received 2 infusions of different products, both matched at HLA A2 with the transplant donor and patient. Both infusions resulted in a CR. The remaining 7 patients who received 2 infusions received a second infusion of the same product. The initial product used to treat patient 16 was not available at the time of the second VST infusion. See Table 2 for details.

## Safety and toxicity of VSTs

There were 6 instances of adverse events (AEs) occurring within 24 hours of infusion, 4 of which were mild in severity (vomiting, altered taste sensation, fever, and 2 instances of hypertension). One child developed moderate hypertension after infusion that responded rapidly to diuretic treatment and an adult patient was admitted to the hospital with a fever and treated for *Staphylococcus epidermidis* sepsis. No AEs were attributed to VST infusion. All serious AEs (SAEs) are detailed in Table 3. Most of the of adverse reactions were related to infections. Non-VST-targeted viral and fungal infections are detailed in Table 4. Most of the patients (21 of 30) had infection with opportunistic pathogens not targeted by their VST infusion.

## Virological response

At 6 months, the cumulative incidence of virological CR was 94% (Figure 1A). Two of 30 patients achieved virological PR. No patients failed to respond. All 8 patients who received 2 infusions achieved a CR, whereas the single patient who received 4 infusions achieved a PR but subsequently died. There was a weak inverse correlation between HLA matching (1 vs 2 vs >2) and the number of antiviral courses,  $R^2 = 0.1345$ ; P = .046). The median day to CR was 25 days after the first VST infusion and was the same for patients who received only 1 VST infusion. Considering all 30 patients treated in the study, 20 (67%) had achieved their best virological response by day 42, and 24 (80%) by day 60 after initial infusion. Of the 28 patients achieving a CR, 17 (61%) and 22 (79%) achieved it by days 42 and 60, respectively. The median day to best virological response for all patients treated for CMV was 25 days and was unchanged in patients receiving antithymocyte globulin or in all patients receiving any type of in vivo or in vitro T-cell-depleted transplant. However, 7 CMV-seropositive patients with seronegative donors, including 1 of the 2 patients who achieved only partial virological control, had a median day to best virological response of 43 days.

Of the 28 patients who achieved a CR, 23 remained virus PCR negative (n = 9) or below the level of quantitation (n = 14) for the duration of follow-up. Four patients had brief episodes of quantifiable reactivation but did not need additional VST or antiviral therapy after achieving CR. One patient had reactivation requiring a second

Table 5.	Clinical out	tcomes							
Patient	Best virologic response	Post-VST course and outcome after best response	aGVHD	CGVHD	Hospital days in the first 6 mo after first VST infusion, n	ECOG status at 12 mo after transplant	Status within follow- up period, cause of death (d after first VST infusion)	Days of follow-up	Long- term outcome (d after first VST infusion)
-	S	Relapsed disease	I	I	19	-	Disease relapse (69); censored at time of DLI for relapse (143)	176	Alive
7	S	Well, required second VST infusion for sustained CR	I	I	0	0	Completed follow-up	155	Alive
ო	К	Well, sustained CR	I	Mild/limited, site unknown	0	0	Completed follow-up	728	Alive
4	R	Well, required second VST infusion for sustained CR	I	I	0	0	Completed follow-up	741	Alive
Q	CR	Well, sustained CR	I	I	0	0	Completed follow-up	771	Alive
9	СR	Sustained CR; admitted to hospital for severe gut, liver, and skin GVHD before and after VST infusion	Pre-infusion grade 3 (GIT); post infusion grade 4 (GIT)	Extensive (GIT, liver, skin)	38	ო	Censored at enrolment in another trial (94)	177	Alive
7	S	Well, sustained CR	I	I	0	0	Completed follow-up	973	Alive
ω	CR	Admitted to hospital with CMV reactivation and pulmonary fungal infection, required second VST to achieve sustained CR	I	I	19	-	Completed follow-up	812	Alive
Ø	S	Sustained CR; admitted to hospital for bacterial infection	I	I	22	0	Completed follow-up	851	Alive
10	CR	Sustained CR; admitted to hospital for fever	I	Mild/limited (skin)	19	0	Completed follow-up	1081	Alive
Ξ	ß	Sustained CR; admitted to hospital for suspected sepsis; graft failure requiring second transplant	I	I	54	0	Censored at second transplant for graft failure (88)	122	Alive
12	S	Well, sustained CR; relapsed disease after follow-up period	I	I	o	0	Completed follow-up	168	Expired, disease relapse (993)
13	۲.	Complicated post-transplant course with multiple infections, bacterial sepsis, multiorgan failure, DVT, pulmonary infilitrate and pulmonary VOD; total of 4 VST infusions	I	1	143	۵	Expired, pulmonary VOD (206)	256	Expired
14	CR	Well, sustained CR	I	I	0	0	Completed follow-up	804	Alive
15	CR	Well, sustained CR	I	I	0	0	Completed follow-up	728	Alive
16	S	Late CMV reactivation (140 d) requiring second VST infusion, followed by sustained CR	Preinfusion grade 2 skin and GIT; postinfusion grade 2 skin and GIT	I	15	-	Completed follow-up	499	Expired, EBV PTLD (450)
17	К	Well, sustained CR; admitted to hospital for <i>Pseudomonas</i> vulval lesions	1	I	10	-	Completed follow-up	889	Alive
18	S	Well, sustained CR	ı	I	0	0	Completed follow-up	736	Alive
19	CR	Well, sustained CR	I	I	0	0		187	Alive
DLI, don	or lymphocyte	infusion; DVT, deep venous thrombosis; GIT, g	jastrointestinal; VOD, venooco	clusive disease.					

Table 5. (	continued	(							
Patient	Best virologic response	Post-VST course and outcome after best response	aGVHD	CGVHD	Hospital days in the first 6 mo after first VST infusion, n	ECOG status at 12 mo after transplant	Status within follow- up period, cause of death (d after first VST infusion)	Days of follow-up	Long- term outcome (d after first VST infusion)
							Censored at DLI for mixed chimerism (131)		
20	CR	Well, sustained CR;	I	I	0	0	Completed follow-up	539	Alive
21	R	Well, sustained CR; admitted to hospital for suspected catheter-related sepsis	I	I	ω	0	Completed follow-up	419	Alive
22	CR	Sustained CR; admitted to hospital several times for renal failure and sepsis	ı	I	77	ß	Expired, bacterial sepsis (1 22)	179	Expired
23	R	Well, sustained CR; hospitalized for Clostridium difficile colitis	ı	Mild/limited (skin)	19	0	Completed follow-up	230	Alive
24	R	Well, required second VST infusion for sustained CR	I	I	29	0	Completed follow-up	316	Alive
25	R	Well, sustained CR	Postinfusion grade 3 skin and liver	Extensive (liver, skin)	2	0	Completed follow-up	320	Alive
56	ž	Preinfusion BK virus hemorrhagic cystitis and cryptogenic organizing pneumonia recurring after infusion; rapid weaning from immunosuppression (steroids and cyclosporin) resulting in refractory liver GVHD	Postinfusion grade 4 GIT	1	105	۵	Expired, GVHD (105)	186	Expired
27	CR	Well, sustained CR	I	I	8	0	Completed follow-up	267	Alive
28	CR	Well, sustained CR	I	I	0	-	Completed follow-up	602	Alive
29	ß	Well. sustained CR; admitted to hospital for diarrhea (CMV tissue disease negative)	I	Mild/limited (skin)	Q	-	Completed follow-up	258	Alive
30	CR	Well, sustained CR; admitted to hospital with infective exacerbation of COPD	I	Mild/limited (liver, skin)	5	1	Completed follow-up	453	Alive
DLI, donc	or lymphocyte	infusion; DVT, deep venous thrombosis; GIT, g	jastrointestinal; VOD, venoocc	lusive disease.					



Figure 2. Composite swimmer plot showing the clinical course of patients over the 12 months after VST infusion. Patients appear in order of recruitment on the y-axis. Patients treated for EBV infection are noted (n = 3); all others were treated for CMV infection (n = 27). All patients received the first VST infusion at day 0 (green line), and their subsequent clinical courses are illustrated. Antiviral treatment is shown before and after infusion: blue boxes (CMV therapy) and purple vertical lines (rituximab doses for EBV).

infusion after the initial CR, and this patient remained PCR negative after the second CR.

Patients 13 and 26 achieved PRs. Patient 13 remained CMV PCR positive throughout a complicated post-transplant course and received 4 VST infusions in total, eventually dying of pulmonary veno-occlusive disease. Patient 26 had a recurrence of preexisting BK hemorrhagic cystitis after CMV VST infusion, resulting in rapid weaning of immunosuppression and the onset of refractory acute liver and gut GVHD, causing death.

We analyzed data from a historical control cohort of 78 patients who received initial treatment for CMV reactivation after allogeneic transplant and did not receive VSTs and compared their CMVrelated outcomes to those of the 27 patients who received VSTs for CMV in this study. The post-transplant day of initial viral reactivation (29.5 vs 30.5 days) and day of initiation of antiviral treatment (48 vs 48 days) were similar in current and historical cohorts, respectively. The median duration of first antiviral course was 17.5 vs 23 days, respectively. A similar percentage of patients needed a second antiviral course (33.3% vs 31.1%), but a lower percentage of patients receiving VSTs needed a third course (7.4% vs 20.5%). The median total duration of CMV treatment in the first 12 months after transplant was 21.5 vs 32 days. The percentage of patients with CMV tissue disease at any time after transplant was 3.7% vs 20.5% for the VST-treated patients vs controls. Non-relapse mortality at 1 year was 11.1% vs 20.5% for VST-treated patients vs controls. However, caution must be exercised in comparing the groups, because a higher proportion of the control group underwent transplant with poor-risk disease (high or very high disease risk index, 11.1% vs 33.3% in the T-cell treated and control groups, respectively), and this may have contributed to the high rate of CMV tissue disease in the controls.

Three patients were treated for EBV infection (patients 17, 19, and 24): 2 for biopsy-proven post-transplant lymphoproliferative



Figure 3. Immune reconstitution of 25 patients by flow cytometry, showing major cell populations and their subsets over time. Median T-cell subsets are shown, with the predominant CD4<sup>+</sup> and CD8<sup>+</sup> subset being CD45RA<sup>-</sup>CD62L<sup>-</sup> effector memory cells. Additional subsets are visualized in supplemental Figure 1. DPI, day post 1st VST infusion; br, bright; cm, central memory; Conv, conventional; em, effector memory; emra, terminal effector memory.

disorder (PTLD) and 1 for suspected PTLD on clinical presentation and imaging. All 3 patients achieved CR and sustained disease remission with a combination of EBV VSTs and rituximab. The median number of days receiving antiviral therapy for all patients was 4 before infusion (range, 0-6) and 18 after infusion (range, 0-155). Clinical outcomes are summarized in Table 5 and Figure 2.

## GVHD, relapse, and death

The overall rates of aGVHD (grades 2-4) and cGVHD after infusion were 13% (4 of 30) and 23% (7 of 30), respectively (Table 5). Two of 4 patients had aGVHD before VST infusion that had resolved sufficiently to enable infusion to proceed. One patient (patient 6) with previously resolved grade 3 (gut stage 3) aGVHD developed grade



Figure 4.

4 (gut stage 4, liver stage 3, skin stage 3) GVHD 89 days after infusion during weaning from corticosteroids and was then enrolled in a trial of an experimental therapy for GVHD. The other patient (patient 16) did not have worsening of GVHD grade after VST. Two patients developed de novo acute GVHD after infusion. Patient 25 developed grade 3 aGVHD (stage 2 skin, stage 3 liver) 63 days after VST infusion, which subsequently resolved. Patient 26 developed de novo aGVHD of the liver (stage 3) and gut (stage 4) on day 57 after infusion in the context of rapid weaning of immunosuppression for management of severe BK hemorrhagic cystitis and died of GVHD. Of the 7 patients who developed cGVHD, 2 had severe disease (1 involving lung, 1 involving gut, liver, and skin) and 5 had mild/limited disease.

Overall survival at 1 year after transplant was 88%, with median survival not reached. Nonrelapse mortality at 1 year was 10%, and cumulative incidence of relapse was 7% (Figure 1B-C). Median follow-up was 385 days after the first VST infusion (range, 88-1029). Three of 30 patients died within 1 year of transplant; 1 of respiratory failure caused by pulmonary veno-occlusive disease, 1 of refractory GVHD, and 1 of pneumonia. One of 30 patients died more than 1 year after transplant (day 499), from EBV PTLD (this patient had been treated for CMV infection and achieved a CR). Patient outcomes are summarized in Table 5 and Figure 2.

## **Performance status**

Excellent performance status was maintained in VST recipients. Twenty-five of 27 alive at 12 months (or at last follow-up if <12 months) had an ECOG status of 0 or 1, 1 patient had a status of 2, and 1 had a status of 3 (Table 3). The median duration of hospital inpatient stay after infusion was 6.5 days (range, 0-43). Of the 30 patients, 13 had no inpatient days in the 6 months after the first VST infusion, 10 had <3 weeks, 2 had from 3 to 6 weeks, and 5 had >6 weeks. Details of clinical course and post-infusion hospital admissions are described in Table 5.

## Immune reconstitution

Immune reconstitution after VST infusion was assessed with a 20-marker flow cytometry panel in 25 of 30 patients. Results are shown in Figures 3 and 4 and in supplemental Figures 1 to 4. After an initial decline, CD8<sup>+</sup> T-cell expansion was observed over time (median CD8<sup>+</sup> preinfusion count,  $0.31 \times 10^9$ /L; 100 days after infusion,  $0.72 \times 10^9$ /L). The predominant subset within both CD8<sup>+</sup> and CD4<sup>+</sup> populations was CD45RA<sup>-</sup>CD62L<sup>-</sup> effector memory (em) cells, with a steady increase over time in CD8<sup>+</sup>CD45RA<sup>+</sup>CD62L<sup>-</sup> terminally differentiated effector memory (emra) cells and a later increase in the analogous CD4<sup>+</sup> population. Expression of exhaustion marker PD-1 and activation marker HLA-DR on T-cells was highest before infusion and decreased in the first 50 days after infusion (supplemental Figure 1). The progress of other leukocyte populations

after VST infusion is shown in supplemental Figure 1. A significant inverse correlation was found between mean CD8<sup>+</sup> count after infusion and the AUC of viral load, such that patients with higher CD8<sup>+</sup> counts had lower viral AUCs over the period of follow-up (Figure 5B; r = -0.5854; P = .002). AUC correlated positively with total days in the hospital in the first 9 ar after transplant and with total days in the hospital in the first 6 months after VST infusion, as well as with total days receiving antiviral treatment (Figure 5C-E). There was no correlation between AUC and CD8<sup>+</sup> T-cell numbers at the time of best viral response (Figure 5A). Other leukocyte subsets that correlated inversely with AUC included total lymphocyte count; total T, NKT, and CD4<sup>+</sup> em cells; CD8<sup>+</sup> HLA-DR<sup>+</sup>, CD8<sup>+</sup> CD86<sup>+</sup>, and CD8<sup>+</sup> naïve cells; CD8<sup>+</sup> em cells; and CD8<sup>+</sup> emra cells (supplemental Figure 2).

Elispot demonstrated that CMV-induced IFN-y production by lymphocytes increased over time after infusion (Figures 4A-B). Nineteen patients were tested with HLA tetramers for CMV or EBV epitopes (supplemental Figure 3). In some patients, tetramer-positive cells were present before VST infusion. These may have been recipient in origin, from incomplete donor T-cell chimerism or from transfer of antigen-specific T-cells from the stem cell donor product. Despite the presence of tetramer-positive cells, all patients satisfied criteria for initiation of antiviral treatment. Twelve of 19 patients showed a rapid increase in virus-specific T-cells to between 1% and 13% of CD8<sup>+</sup> T-cells, and the cells persisted 6 months after infusion (Figure 4B-C). Expression of CD57 on tetramer-positive cells rose quickly, 1 to 2 weeks after VST administration, and remained elevated at 6 months after infusion. Expression of HLA-DR, PD-1, and Tim-3 decreased over the same period. In all of those patients, the presence and persistence of virus-specific T-cells corresponded with virological CR. Patient 13, who achieved only a PR, showed poor recovery of adaptive immunity, with dominance of innate effectors (supplemental Figure 4).

Microchimerism assessed by droplet digital PCR (ddPCR) was used to determine the persistence of the third-party VST product in 15 patients. Although adoptively transferred VSTs were detected at least once in all 15 patients up to 120 days after infusion (median duration, 55 days; Figure 4D), in all cases, detection was below the linear range of the assay that would allow for quantitation (0.032% or  $\leq$ 3 gene copies per 100 ng of DNA). Tetramer-sorting of cells before microchimerism testing did not detect the persistence of third-party cells beyond 120 days.

## Discussion

Partially HLA-matched third-party VSTs issued from a bank of cryopreserved cells offer an alternative to fully HLA-matched, donorderived VSTs for post-transplant viral infections. They can be available on short notice, do not require pre-transplant manufacture

**Figure 4 (continued) Virus-specific T-cell responses and cell persistence in post-infusion samples.** (A) ELISpot assay showing preinfusion and maximum CMV-induced IFN- $\gamma$  production within 100 days after infusion. Statistical significance was evaluated with a 2-tailed Wilcoxon matched-pairs signed-rank test. (B) Tetramer responses for 12 patients with >1% expression, showing elevation and persistence over time. (C) Tetramer responses for patient 8 shown in composite at 4 time points. For each time point, biaxial flow plots, tSNE of major cell populations, and blood viral load (below each tSNE plot) are shown. (D) Microchimerism assessed by ddPCR in 15 patients. Informative insertion-deletion polymorphisms (indels) that distinguished the third-party VST donor from the transplant donor and recipient were identified. Adoptively transferred VST product was detected in the peripheral blood of all patients up to day 120 after infusion, all quantified below 3 copies per 100 ng of DNA. Day 0 results were from samples taken 2 hours after VST administration. Patient 6 withdrew from the study and had no further time points after day 92. tSNE, t-distributed stochastic neighbor embedding.



**Figure 5.** Correlations between AUC of the viral load and various clinical and immunological outcomes, by Spearman rank correlation coefficient. (A) Nonsignificant correlation with CD8<sup>+</sup> T-cell count at the time of best viral response (n = 25). (B) Significant negative correlation with mean CD8<sup>+</sup> T-cells across the follow-up period (n = 25). (C) Significant positive correlation with total days in hospital from transplant to 1 year later (n = 30). (D) Significant positive correlation with total days in hospital from first VST infusion to 6 months after infusion (n = 30). (E) Nonsignificant correlation with total days of antiviral treatment (n = 30).

from the stem cell donor, and have clinical benefit in the short<sup>11,23,24</sup> and long term.<sup>9,12</sup> Until now, third-party VSTs have been administered only in the setting of resistant or relapsing infection. In our study, we assessed the safety and effect of administering partially

HLA-matched, third-party VSTs within 7 days of initiating standardof-care antiviral treatment for first post-transplant CMV or EBV viral reactivation. Patients commenced antivirals according to widely accepted clinical criteria for treatment initiation and were observed for infusion-related toxicities, immune reconstitution, and clinical course. Infusion reactions in the first 24 hours were mild and infrequent. Rates of GVHD (acute and chronic) and SAEs during the follow-up period were within expectations for the studied population of postallogeneic transplant patients. No SAEs were attributable to the VST infusion. Overall, third-party VSTs administered early in the course of treating viral infection in this way are safe and without significant toxicity.

The overall virological CR rate for combined antiviral and third-party T-cell therapy was 94%, and the remaining patients achieved a PR. The combination of VSTs and conventional antiviral treatment led to a high rate of sustained viral clearance. Only 3 patients needed retreatment with VSTs for a reactivation episode after initial CR in the follow-up period, and all 3 achieved sustained virological control after retreatment. Outcomes in the entire cohort were excellent, with overall survival at 1 year after transplant of 88%, nonrelapse mortality of 10%, and relapse at 1 year of 7%. No patients died of the infection for which they were treated, and the causes of death were not attributable to VST infusion.

Virological clearance coincided with T-cell recovery, with CD45RA<sup>-</sup> CD62L<sup>-</sup> terminal effector cells the predominant subset in both CD8<sup>+</sup> and CD4<sup>+</sup> T-cell populations. Given the early time point of third-party T-cell administration and the brevity and degree of their persistence by ddPCR, it is likely that recovery of these cells reflected donor-derived immunity or, in the case of reduced intensity conditioning, temporary persistence of host immunity. Gradual expansion of CD8<sup>+</sup>CD45RA<sup>+</sup>CD62L<sup>-</sup> terminally differentiated effector memory cells also occurred over time. We noted evidence of viral immunity before administration of VSTs that may represent residual host T-cell function or cells transfused with the stem cell donor product. Either way, the immunity present before infusion was insufficient to control post-transplant viremia. Post-infusion immunity was unlikely to derive directly from expansion of the infused thirdparty T-cells based on the result of ddPCR assessment of chimerism and may have come from augmented stem cell donor and/or residual host immune function. The magnitude of T-cell subset expansion after VST infusion was not as great as we found in a previous trial of refractory viral infections,<sup>12</sup> possibly because the patients in this trial were treated soon after transplant and earlier in the course of their infection episode. The elevated expression of HLA-DR and PD-1 on T-cells before VST infusion is consistent with an inflammatory state associated with active viral infection.<sup>25</sup> Expression of both decreased slowly after VST infusion. Only 2 patients failed to achieve virological CR. In the single patient with samples available for analysis, there was a failure of T-cell recovery over all subsets and a failure of differentiation to CD45RA<sup>+</sup>CD62L<sup>-</sup> terminal effector memory phenotype (supplemental Figure 4).<sup>25</sup>

In patients who achieved virological control, we detected tetramerpositive, viral antigen–specific T-cell populations and observed enhanced IFN- $\gamma$  production after viral antigen stimulation, changes that persisted to the end of the follow-up period. DNA from adoptively transferred VST product was detected only in post-infusion samples up to 120 days after infusion and at very low levels, suggesting that the VST response may be at least partially explained by induction of an endogenous T-cell population with antiviral capacity.

Opportunistic coinfections with other viruses and fungi were present in most of the patients in the trial and most frequently involved infection with CMV and EBV in the same patient (Table 4). The correlation between multiple infections and increased posttransplant mortality is well recognized.<sup>1</sup> In patients with multiple posttransplant infections, it is possible that fundamental defects in immune recovery predispose to multiple infections and recurrence of malignancy. Our data demonstrate the safety and efficacy of early administration of third-party VSTs, together with antiviral therapy in controlling viral infection after transplant, but suggest that targeting more than 1 pathogen may be required for the greatest benefit. Third-party VSTs given early after initiation of antiviral treatment are associated with specific immune reconstitution and excellent viral control, as well as with low rates of nonrelapse mortality and disease recurrence. The feasibility of the approach is excellent, because VSTs from a thirdparty bank can be made available within a few days of request across multiple national participating centers. Although our study is limited by its small sample size and the shortcomings of a nonrandomized trial, the outcomes suggest that a larger study examining the effects of third-party VSTs is warranted.

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## **Authorship**

Contribution: W.J. supervised the clinical trial, recruited patients, performed correlative experiments, analyzed data, and prepared the manuscript; L.E.C. supervised cell manufacture; S.A. performed and supervised cell manufacture; G.S. recruited patients and assisted with trial management; J.S. and R.S. performed correlative experiments; H.M.M. advised on flow cytometry; E.P., A.S.C., and N.M. analyzed data; G.M. collected and analyzed data; K.P.M. and V.A. supervised product distribution; A.G.S., D.R., C.M.B., and P.J.S. recruited patients; E.B. recruited and treated patients, supervised correlative experiments, analyzed data, and assisted with manuscript

preparation; and D.J.G. conceived the study, obtained the funding, directed the trial, and wrote the manuscript.

Conflict-of-interest disclosure: L.E.C., K.M., E.B., and D.J.G hold patents in adoptive cell therapy for opportunistic infection and malignancy. E.B. holds advisory board membership with AbbVie, Astellas, MSD, Novartis, BMS, Bastion Education and Atara Biotherapeutics. E.B. reports research funding from MSD. The remaining authors declare no competing financial interests. ORCID profiles: W.J., 0000-0002-1049-7239; H.M.M., 0000-0003-2047-6543; E.P., 0000-0002-5253-4747; A.S.C., 0000-0001-9949-0342; G.M., 0000-0002-4838-9022; C.M.B., 0000-0003-2759-8279; P.J.S., 0000-0003-0101-4482; E.B., 0000-0002-7849-7139.

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