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**A Platform Incorporating Trimeric Antigens into Self-Assembling Nanoparticles Reveals SARS-CoV-2-Spike Nanoparticles to Elicit Substantially Higher Neutralizing Responses than Spike Alone**

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23 **Abstract**

24 Antigens displayed on self-assembling nanoparticles can stimulate strong immune responses and  
25 have been playing an increasingly prominent role in structure-based vaccines. However, the  
26 development of such immunogens is often complicated by inefficiencies in their production. To  
27 alleviate this issue, we developed a plug-and-play platform using the spontaneous isopeptide-bond  
28 formation of the SpyTag:SpyCatcher system to display trimeric antigens on self-assembling  
29 nanoparticles, including the 60-subunit *Aquifex aeolicus* lumazine synthase (LuS) and the 24-  
30 subunit *Helicobacter pylori* ferritin. LuS and ferritin coupled to SpyTag expressed well in a  
31 mammalian expression system when an *N*-linked glycan was added to the nanoparticle surface.  
32 The respiratory syncytial virus fusion (F) glycoprotein trimer – stabilized in the prefusion  
33 conformation and fused with SpyCatcher – could be efficiently conjugated to LuS-SpyTag or  
34 ferritin-SpyTag, enabling multivalent display of F trimers with prefusion antigenicity. Similarly,  
35 F-glycoprotein trimers from human parainfluenza virus-type 3 and spike-glycoprotein trimers from  
36 SARS-CoV-2 could be displayed on LuS nanoparticles with decent yield and antigenicity.  
37 Notably, murine vaccination with the SARS-CoV-2 spike-LuS nanoparticles elicited ~25-fold  
38 higher neutralizing responses, weight-per-weight relative to spike alone. The versatile platform  
39 described here thus allows for multivalent plug-and-play presentation on self-assembling  
40 nanoparticles of trimeric viral antigens, with SARS-CoV-2 spike-LuS nanoparticles inducing  
41 particularly potent neutralizing responses.

## 42 **Introduction**

43 Self-assembling nanoparticles are playing an increasingly prevalent role in vaccine  
44 development as vaccine vehicles and immunomodulators. The appeal of nanoparticle immunogens  
45 lies in their inherent multivalent display of antigens, which is known to elicit robust B cell  
46 responses (reviewed in <sup>1</sup>). There have been numerous efforts to genetically fuse viral immunogens  
47 to nanoparticles within prokaryotic and eukaryotic systems, utilizing direct genetic fusion of  
48 antigenic molecules with self-assembling nanoparticle monomers<sup>2-4</sup>, chemical conjugation<sup>5,6</sup>, and a  
49 spontaneous intramolecular isopeptide bond formation with the SpyTag:SpyCatcher system<sup>7,8</sup>, and  
50 some of these nanoparticles are now entering clinical trials<sup>2,9,10</sup>.

51 Another important factor to consider in viral immunogen design is glycosylation. Viral  
52 pathogens are often heavily glycosylated, often as a means to evade the human immune system.  
53 Moreover, many viral antigens require glycosylation to be stably expressed and correctly folded.  
54 Although several studies have described plug-and-play nanoparticle systems<sup>11-16</sup>, many use  
55 prokaryotic expression systems, which are not suitable to produce correctly glycosylated antigens.  
56 Furthermore, *N*-glycans can be manipulated in immunogen design to selectively occlude unwanted  
57 epitopes as well as to improve the solubility and stability of immunogens<sup>17-19</sup>. Another factor to  
58 consider is that metastable type 1 fusion machines are prevalent vaccine targets<sup>20</sup>.

59 Here we developed a modular self-assembling nanoparticle platform that allows for the  
60 plug-and-play display of trimeric viral glycoproteins on nanoparticle surfaces, utilizing the  
61 SpyTag:SpyCatcher system. We assessed this system with three prefusion (preF)-stabilized viral  
62 trimeric glycoproteins: respiratory syncytial virus fusion (RSV F) glycoprotein<sup>21</sup>, human  
63 parainfluenza virus type 3 fusion glycoprotein (PIV3 F)<sup>22</sup>, and SARS-CoV-2 spike  
64 glycoprotein<sup>23,24</sup>. SpyTag-coupled nanoparticles could be expressed with sufficient yield of soluble  
65 proteins from a mammalian expression system after the addition of nanoparticle surface glycans.

66 The nanoparticle-formatted trimers exhibited improved antigenicity versus soluble trimers for  
67 apical epitopes, and we explicitly tested the immunogenicity for the nanoparticle-formatted  
68 trimeric antigen from SARS-CoV-2 in mice. Overall, protein antigens and nanoparticle scaffolds  
69 could be produced independently before conjugation, thereby expediting the otherwise generally  
70 cumbersome process of making and troubleshooting immunogens genetically fused to self-  
71 assembling nanoparticle subunits. Such a modular nanoparticle assembly platform may thus be a  
72 useful tool for plug-and-play screening of trimeric viral immunogens in a multivalent highly  
73 immunogenic context, and we provide proof-of-principle for increased immunogenicity of a  
74 nanoparticle-displayed SARS-CoV-2 spike.

75

## 76 **Results**

### 77 **Expression of LuS- and ferritin-nanoparticle scaffolds with SpyTag requires the addition of** 78 **an N-linked glycan**

79 To construct a reliable plug-and-play platform for nanoparticle presentation of antigens,  
80 we chose *Aquifex aeolicus* lumazine synthase (LuS)<sup>25</sup> and *Helicobacter pylori* ferritin<sup>26</sup> as  
81 nanoparticle scaffolds with SpyTag:SpyCatcher conjugation system<sup>15</sup> to display antigens on  
82 nanoparticle surface. The SpyTag:SpyCatcher system is highly specific and stable with an  
83 isopeptide bond and has been used for conjugation of antigens on nanoparticle surfaces<sup>7,27</sup> (Fig.  
84 1a). LuS and ferritin have served as scaffolds for nanoparticle immunogens in several clinical  
85 studies: for LuS see <https://www.clinicaltrials.gov/ct2/show/NCT03699241><sup>28</sup>; for ferritin, see  
86 <https://www.clinicaltrials.gov/ct2/show/NCT03547245><sup>10,29,30</sup>. The N terminus of both ferritin and  
87 LuS are exposed to the nanoparticle surface and are thus accessible for SpyTag or SpyCatcher  
88 attachment (Fig. 1b). The C terminus of LuS is also accessible on the nanoparticle surface and can  
89 be used to display purification tags. We designed mammalian expression constructs expressing

90 fusion proteins of SpyTag or SpyCatcher with LuS or ferritin. The constructs included both His-  
91 and Strep-tags for purification purposes, along with a signal peptide for secretion of the expressed  
92 proteins into supernatant medium (Fig. 1b).

93 Initial constructs yielded low levels of soluble proteins for the nanoparticle-SpyTag or  
94 SpyCatcher fusion proteins. To improve protein solubility and expression, we added glycans to the  
95 surface of the nanoparticles, designing a panel of LuS and ferritin constructs with SpyTag and  
96 SpyCatcher (Table 1 and Supplementary Table S1). For LuS constructs, we added a glycosylation  
97 site at position 71 (PDB 1HQK numbering). For ferritin constructs, two potential glycosylation  
98 sites (96 and 148) were tested. The addition of *N*-linked glycosylation sites facilitated expression  
99 of soluble nanoparticles in the cell culture supernatant. Three of the constructs produced  
100 appreciable yields of well-assembled nanoparticles, LuS with N71 and SpyTag at N-terminus  
101 (hereafter referred to as LuS-N71-SpyTag), ferritin with N96 and SpyTag, and ferritin S148  
102 (glycan at N146) and SpyTag (Table 1). Of the two ferritin constructs, the ferritin with N96 and  
103 SpyTag had a higher yield and was chosen for further studies (hereafter referred to as ferritin-N96-  
104 SpyTag). Size exclusion chromatography (SEC) and electron microscopy (EM) analyses indicated  
105 that LuS-N71-SpyTag formed a homogeneous nanoparticle population in solution (Fig. 1c,d). The  
106 ferritin-N96-SpyTag sample comprised mainly intact nanoparticles with some minor unassembled  
107 species (Fig. 1c,d). Negative-stain electron microscopy (EM) images indicated both nanoparticles  
108 to be well-assembled with expected sizes<sup>25,26</sup> (Fig. 1d). Two-dimensional class average revealed  
109 more detailed structural features of the nanoparticles, which were consistent with previously  
110 published structures of the two nanoparticles. These data indicated the ferritin and LuS  
111 nanoparticles were compatible with the SpyTag and glycosylation site addition. These alterations  
112 were well tolerated, allowing for robust nanoparticle assembly. To verify the glycosylation of  
113 LuS- and ferritin-SpyTag nanoparticles, we performed PNGase F digestion and checked for glycan

114 cleavage through SDS-PAGE (Fig. 1e). Both nanoparticles showed a band shift in the presence of  
115 PNGase F, indicating the presence of *N*-linked glycan on the nanoparticles and its removal by the  
116 amidase digestion. While the glycan cleavage in LuS-N71-SpyTag is distinct, it is less apparent in  
117 ferritin-N96-SpyTag, likely due to incomplete glycosylation of ferritin-N96-SpyTag and multiple  
118 bands of ferritin on SDS-PAGE. Ferritin has been observed to exhibit a single band on SDS-PAGE  
119 in some studies<sup>2</sup> but multiple bands in others<sup>16,31</sup>, presumably due to protease cleavage at the C  
120 terminus or incomplete glycosylation. However, these different sized ferritin molecules assembled  
121 correctly as nanoparticles with expected dimensions as indicated by SEC and EM (Fig. 1c,e).

122

123 **Conjugation of RSV F-SpyCatcher to LuS-N71-SpyTag displays prefusion RSV F ‘DS2’-**  
124 **trimers homogeneously on the surface of the LuS-N71-Spylinked-RSV F nanoparticle**

125 With no effective licensed vaccine against respiratory syncytial virus (RSV), the causative  
126 agent for a prevalent childhood disease that results in approximately 60,000 hospitalizations and  
127 10,000 annual deaths in the US, developing an immunogen capable of eliciting protection is of  
128 paramount importance<sup>32</sup>. Previous efforts in developing a vaccine capable of eliciting protective  
129 antibodies have resulted in the identification of RSV trimers stabilized in its prefusion  
130 conformation, RSV F DS-Cav1 (DS-Cav1), and RSV F DS2 (DS2)<sup>21,33</sup>. DS2 was shown to elicit  
131 higher RSV neutralization responses than DS-Cav1<sup>33</sup>. With this as our motivation and as a test  
132 case for our nanoparticle SpyTag:SpyCatcher system, we investigated the feasibility of displaying  
133 DS2 in the context of nanoparticle immunogens.

134 We prepared DS2 coupled to SpyCatcher (hereafter referred to as RSV F-SpyCatcher) by  
135 genetic engineering to append SpyCatcher to the C-terminus of RSV F after a 3 residue (GSG)  
136 linker (Supplementary Table S1). After expression and purification, we conjugated the purified  
137 RSV F-SpyCatcher to the purified 60-mer LuS-N71-SpyTag nanoparticle (Fig. 2a). SEC profiles

138 of the two components revealed that LuS-N71-SpyTag eluted around 13 mL and RSV F-  
139 SpyCatcher eluted near 15 mL on Superdex 200 Increase 10/300 column (GE Health Sciences)  
140 (Fig. 2b). The conjugated LuS-N71-SpyLinked-RSV F-SpyCatcher nanoparticle (LuS-N71-  
141 SpyLinked-RSV F) eluted in a new peak at ~10 mL by SEC (Fig. 2b). SDS-PAGE showed the  
142 appearance of species of larger molecular weight of ~90 kDa in the conjugation mixture, followed  
143 by bands of residual LuS-N71-SpyTag monomer and RSV F-SpyCatcher components at 20 kDa  
144 and 60 kDa, respectively (Fig. 2c), confirming the success of the conjugation reaction. To estimate  
145 the conjugation efficiency, we measured the intensity of each band on the SDS-PAGE gel image  
146 of the conjugated nanoparticle product (Fig. 2c), as a surrogate of mass for each component.  
147 Taking into consideration the molecular weight of each component, we calculated the molar ratio  
148 of each component to total protein in the sample. We estimated 67% of all the LuS nanoparticle  
149 subunit was conjugated to RSV F trimer. To verify particle integrity after conjugation, we  
150 performed negative stain EM following SEC purification. LuS-N71 -SpyTag conjugated with RSV  
151 F-SpyCatcher efficiently produced uniform particles with a core diameter of  $18.2 \pm 1.0$  nm  
152 decorated with trimer spike of  $11.4 \pm 0.8$  nm in length (Fig. 2d). We then confirmed the prefusion  
153 state of the LuS-N71-SpyLinked RSV F nanoparticle through surface plasmon resonance using  
154 RSV prefusion F specific antibodies D25 (site Ø) and MPE8 (site III) (Fig. 2e)<sup>21</sup>. Notably, RSV F  
155 on nanoparticles showed an enhanced on-rate to the apex-targeting D25 antibody and reduced on-  
156 rate to the equatorial targeting MPE8 versus trimeric RSV F, a crucial antigenic characteristic  
157 signifying appropriate nanoparticle display<sup>3</sup>.

158

159 **Conjugation of RSV prefusion F-SpyCatcher to ferritin-SpyTag produces uniform ferritin-**  
160 **RSV F nanoparticles**

161           Having produced successfully the LuS-N71-SpyLinked-RSV F nanoparticle, we next set  
162 out to conjugate the 24-mer ferritin-N96-SpyTag with RSV F-SpyCatcher in the same manner  
163 (Fig. 3a). SEC of ferritin-N96-SpyTag nanoparticle showed a peak at around 16-17 mL, slightly  
164 slower than RSV F-SpyCatcher (Fig. 3b). Negative stain EM revealed that ferritin-N96-SpyTag  
165 formed nanoparticle of the expected size (Fig. 1c). The conjugation mixture of ferritin-N96-  
166 SpyTag with RSV F-SpyCatcher exhibited a peak at ~10 mL (void volume of the SEC column),  
167 suggesting successful formation of the conjugation product (referred to as ferritin-RSV F) (Fig.  
168 3b). SDS-PAGE demonstrated the appearance of a new band at ~90 kDa, the expected size of  
169 ferritin-N96-SpyLinked-RSV F nanoparticle, with residual ferritin-N96-SpyTag at around 20 kDa  
170 (Fig. 3c). Using the same method as for LuS-N71-SpyLinked-RSV F above, we estimated 85% of  
171 all the ferritin nanoparticle subunit was conjugated to RSV F trimer. To confirm the formation of  
172 ferritin-N96-SpyLinked-RSV F nanoparticle, we performed negative stain EM, which showed  
173 well-formed nanoparticles with the expected size and shape, displaying trimer spikes around the  
174 ferritin nanoparticle (Fig. 3d).

175           To verify the conserved prefusion state of the conjugated RSV F trimer, we measured the  
176 binding of ferritin-N96-SpyLinked-RSV F to D25 and MPE8 IgGs through SPR (Fig. 3e).  
177 Importantly, we observed the on-rate to increase for D25, which recognizes an epitope at the  
178 trimer apex, but the on-rate to decrease for MPE8, which recognizes an equatorial epitope on the  
179 trimer, similar to the observation for LuS-N71-SpyLinked-RSV F.

180

### 181 **Displaying PIV3 F glycoprotein trimer on LuS nanoparticle via SpyTag:SpyCatcher** 182 **conjugation improves antibody binding to the trimer apex**

183           To demonstrate the plug-and-play versatility of the SpyTag:SpyCatcher nanoparticle  
184 system, we produced PIV3 F glycoprotein trimer<sup>34</sup> as a fusion protein with SpyCatcher at the C



185 terminus and conjugated with the LuS-N71-SpyTag nanoparticle (Fig. 4, a-c, Supplementary Table  
186 S1), similar to that described above for the conjugation of RSV F-SpyCatcher. PIV3 is a prevalent  
187 human parainfluenza virus that causes respiratory illnesses, especially in infants and young  
188 children<sup>35,36</sup>. The conjugation mixture of PIV3 F-SpyCatcher and LuS-N71-SpyTag was loaded  
189 onto the SEC column to purify the conjugated nanoparticle product LuS-N71-SpyLinked-PIV3 F  
190 from unconjugated nanoparticles and the PIV3 F SpyCatcher trimer (Fig. 4b). SDS-PAGE analysis  
191 revealed that the conjugated product had the expected molecular weight and there was not  
192 unconjugated PIV3 F-SpyCatcher in the conjugation mixture (Fig. 4c). Using the same method as  
193 for LuS-N71-SpyLinked-RSV F in the previous section, we estimated 68% of all the LuS  
194 nanoparticle subunit was conjugated to PIV3 F trimer. The PIV3 F conjugated nanoparticle was  
195 further verified through negative stain EM, which showed well defined trimer spikes decorating  
196 the LuS nanoparticle with the expected size (Fig. 4d).

197       Having produced nanoparticles of LuS-N71-SpyTag conjugated with PIV3 F-SpyCatcher,  
198 we next evaluated binding of LuS-N71-SpyLinked-PIV3 F with antibodies PIA174 and PIA75<sup>34</sup>,  
199 using SPR (Fig. 4e). The head-targeting antibody PIA174 showed an improved binding to LuS-  
200 N71-SpyLinked-PIV3 F relative to its binding to PIV3 F-SpyCatcher. The stem-targeting antibody  
201 PIA75, however, showed decreased binding to LuS-N71-SpyLinked-PIV3 F compared with PIV3  
202 F-SpyCatcher.

203

#### 204 **Conjugation of SARS-CoV-2 spike trimer to LuS nanoparticle via SpyTag:SpyCatcher** 205 **displays the spike trimers homogeneously on the nanoparticle surface**

206       Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) caused the COVID-19  
207 pandemic that is ongoing worldwide<sup>37</sup>. An effective vaccine against SARS-CoV-2 and related  
208 coronaviruses is urgently needed. The SARS-CoV-2 spike glycoprotein trimer mediates virus-cell

209 membrane fusion and thus a target for vaccine development<sup>23,24</sup>. To test the versatility of our plug-  
210 and-play SpyTag:SpyCatcher nanoparticle system, we expressed and purified SARS-CoV-2 spike  
211 fused with a C-terminal SpyCatcher and conjugated to the LuS-N71-SpyTag nanoparticle (Fig. 5,  
212 a-c, Supplementary Table S1). For this construct, we used the prefusion stabilized version of spike  
213 developed by McLellan and colleagues<sup>24</sup>, which included GSAS and PP mutations and the T4  
214 phage fibrin trimerization domain along with a single-chain Fc tag as described by Zhou and  
215 colleagues<sup>38</sup>.

216 The conjugation mixture was loaded onto an SEC column to purify the conjugated  
217 nanoparticle product LuS-N71-SpyLinked-CoV spike from unconjugated LuS-N71-SpyTag and  
218 SARS-CoV-2 spike-SpyCatcher (Fig. 5b). SDS-PAGE analysis revealed the conjugated product to  
219 have the expected molecular weight, and unconjugated spike-SpyCatcher was not observed after  
220 conjugation (Fig. 5c). Using the same method as for LuS-N71-SpyLinked-RSV F, we estimated  
221 91% of all the LuS nanoparticle subunit was conjugated to the spike trimer. Negative stain EM  
222 showed LuS-N71-SpyLinked-CoV-2 spike nanoparticle to exhibit the expected size with spike  
223 trimers displaying on the LuS nanoparticle surface (Fig. 5d). SPR measurements showed LuS-  
224 N71-SpyLinked-SARS-CoV-2 Spike to bind to CR3022<sup>39,40</sup>, an antibody targeting the receptor-  
225 binding domain (RBD), indicating successful nanoparticle presentation of the spike trimer using  
226 the LuS-SpyTag:SpyCatcher system.

227

### 228 **LuS-N71-SpyLinked-nanoparticle display increases potential of SARS-CoV-2 spike to elicit** 229 **neutralizing antibodies**

230 To assess immunogenicity, we injected mice with the LuS-N71-SpyLinked-CoV-2 spike  
231 nanoparticle or spike trimers (stabilized by 2P mutation)<sup>24,41</sup>, or mock (LuS-N71-SpyTag)  
232 nanoparticles at weeks 0 and 3 (Fig. 6a). Serum samples were collected two weeks after each

233 immunization. After the first immunization, at the lowest immunogen dose of 0.08  $\mu\text{g}$ , spike  
234 nanoparticle-immune sera exhibited an anti-SARS-CoV-2 spike ELISA geometric mean titer of  
235 5,116, whereas only 1 out of 10 trimeric spike-immunized sera exhibited a measurable titer (Fig.  
236 6b); after a second immunization, titers for the spike nanoparticle-immune sera increased  
237 substantially, by approximately 25-fold. Immunizations with higher doses of spike nanoparticle  
238 (0.4 and 2.0  $\mu\text{g}$ ) increased titers more incrementally, both at week 2 and at week 5. By contrast,  
239 increases in dose of the spike trimer raised ELISA titers more substantially, with two of the mice  
240 in the 2.0  $\mu\text{g}$  spike-trimer immune sera reaching the assay upper limit of detection with a titer of  
241 1,638,400 (Fig. 6b).

242         Importantly, pseudovirus neutralization assays revealed the LuS-N71-SpyLinked-CoV-2  
243 spike nanoparticle to elicit potent neutralization responses with geometric mean  $\text{ID}_{50}$  titers of 413,  
244 1820, and 1501 for immunization doses of 0.08, 0.4, and 2  $\mu\text{g}$ , respectively (Fig. 6c). In  
245 comparison, two doses of trimeric spike elicited neutralization titers at the 0.4 and 2  $\mu\text{g}$  doses with  
246 a geometric mean  $\text{ID}_{50}$  of 49 and 315, respectively, with no measurable neutralization at the 0.08  
247  $\mu\text{g}$  dose. In essence, 0.08  $\mu\text{g}$  of spike nanoparticle elicited a neutralization response that was  
248 higher, though statistically indistinguishable from 2  $\mu\text{g}$  of trimeric spike. This indicated ~25-fold  
249 higher immunogenicity on a weight-by-weight basis for the spike nanoparticle versus spike alone,  
250 suggesting a substantial “dose-sparing” effect. Overall, presentation of the SARS-CoV-2 spike on  
251 the LuS nanoparticle surface significantly improved its immunogenicity and required a lower  
252 immunogen dose to elicit potent neutralization responses compared with the trimeric form.

253

## 254 **Discussion**

255         Nanoparticle-based immunogens can induce potent neutralizing antibodies<sup>2,3,42</sup> and thus  
256 may be promising vaccine candidates. To develop nanoparticle vaccine immunogens, rapid and

257 efficient methods would help produce nanoparticle scaffolds that can be mixed and matched with  
258 different immunogens. Previous efforts utilizing the spontaneous isopeptide bond formation with  
259 the SpyTag:SpyCatcher system for nanoparticle surface display of immunogens<sup>11-16</sup> have proven  
260 the versatility of this system for antigen display. However, none of these previously published  
261 reports utilized mammalian expression allowing for post-translational modifications, such as *N*-  
262 linked glycosylation. Here, we describe two nanoparticle platforms, lumazine synthase and ferritin,  
263 for the display of trimeric viral protein immunogens using the SpyTag:SpyCatcher system. By  
264 adding *N*-linked glycosylation sites to nanoparticle monomers, we were able to produce SpyTag-  
265 coupled nanoparticles using mammalian cell culture.

266 LuS and ferritin nanoparticle platforms vary in the number of molecules displayed on the  
267 surface. LuS-N71-SpyTag contains 60 SpyTags whereas ferritin-N96-SpyTag has 24 displayed on  
268 surface, available for SpyCatcher-carrying molecules to couple to. Both platforms showed efficient  
269 conjugation of trimeric immunogens and formed nanoparticle rapidly under physiological  
270 conditions for RSV F, PIV3 F and SARS-CoV-2 spike trimers. One advantage of the LuS  
271 nanoparticle is the high efficiency of its particle assembly. The glycosylated LuS-N71-SpyTag  
272 assembled into a homogenous particle that exhibited a single peak in size exclusion  
273 chromatography.

274 To demonstrate the versatility of our SpyTag-displaying nanoparticles in immunogen  
275 development, we conjugated them to three viral antigens of vaccine interest, the DS2-preF  
276 stabilized RSV F<sup>33</sup>, a DS2-stabilized version of PIV3 F<sup>34</sup>, and the 2P-stabilized version of SARS-  
277 CoV-2 spike<sup>24</sup>. In each of these, we appended the SpyCatcher after the ‘foldon’ heterologous  
278 trimeric stabilization motif<sup>43</sup>. Conjugation of SpyTag-nanoparticles with SpyCatcher-coupled RSV  
279 F, PIV3 F and SARS-CoV-2 spike trimers resulted in proper particle assembly. In all three cases,  
280 we observed high conjugation efficiency.

281 Our antigenicity analyses indicate that presentation of trimeric antigens from viral  
282 pathogens on self-assembling nanoparticles needs to take into consideration the accessibility of the  
283 antigenic epitopes. When a trimer protein is conjugated to a nanoparticle, such as LuS or ferritin in  
284 this study, the trimer molecules are densely displayed on the nanoparticle surface. As a result of  
285 the dense display, the epitopes near the nanoparticle surface, such as those at the stem region of the  
286 trimers in this study, are less accessible to antibodies than the epitopes on the apex of trimer  
287 molecules. Consequently, we observed an increased level of antibody binding to epitopes on the  
288 apex and a decreased level of antibody binding to epitopes on the equatorial or stem region of  
289 RSV F and PIV3 F trimer molecules (Figs. 2e, 3e and 4e).

290 The increased antigenicity of the apical epitopes on the trimer conjugated to nanoparticles  
291 is expected to yield increased immunogenicity – especially at lower dose, and we provide proof-  
292 of-principle for this with murine immunization studies with LuS-N71-SpyLinked-CoV-2 spike as  
293 compared to soluble spike. As observed in prior studies<sup>2,3</sup>, nanoparticle immunogens elicited  
294 stronger immune responses than the corresponding trimers at low immunogen doses: at the 0.08  
295  $\mu\text{g}$  dose after two immunizations, spike nanoparticle elicited neutralization response with  $\text{ID}_{50}$  of  
296 413, whereas trimeric spike elicited an equivalent neutralization titer only at the 25-fold higher  
297 dose of 2  $\mu\text{g}$ . At 0.4  $\mu\text{g}$ , spike nanoparticle elicited ~37-fold higher  $\text{ID}_{50}$  than trimeric spike.  
298 However, at a high dose of 2  $\mu\text{g}$ , spike nanoparticle-elicited neutralization response appeared to  
299 plateau – at a level ~5-fold higher in neutralization titer than the trimeric immunogen. Similar  
300 increases in immunogenicity and with dose-sparing have been recently reported for nanoparticles  
301 incorporating the receptor-binding domain (RBD) of the spike<sup>44</sup>. Overall, multivalent presentation  
302 of trimeric antigens on nanoparticle can significantly improve their immunogenicity, allowing for  
303 elicitation of potent immune responses at a relatively low immunogen dose. Our

304 SpyTag:SpyCatcher system provides a versatile platform for preparation of such nanoparticle  
305 immunogens from trimeric antigens.

306 It will be interesting to see if the plug-and-display technology described here will allow  
307 for the incorporation of different molecules on multiple nanoparticles. Such molecules could  
308 include not only trimeric viral immunogens, but immunostimulatory components, or molecules  
309 targeting antigen presenting cells. Thus, the LuS- and ferritin-SpyTag displaying nanoparticles  
310 described here may be amendable to mix-and-match display of immunogens and of  
311 immunostimulatory or targeting components.

312

## 313 **Materials and Methods**

### 314 **Protein production and purification**

315 The amino acid sequences of protein expression constructs are listed in Supplementary Table S1.  
316 For protein expression, 3 ml of Turbo293 transfection reagent (Speed BioSystems) was mixed  
317 with 50 ml Opti-MEM medium (Life Technology) and incubated at room temperature (RT) for 5  
318 min. 1 mg plasmid DNAs was mixed with 50 ml of Opti-MEM medium in a separate tube, and the  
319 mixture added to the Turbo293 Opti-MEM mixture. The transfection mixture was incubated for 15  
320 min at RT then added to 800 ml of Expi293 cells (Life Technology) at 2.5 million cells/ml. The  
321 transfected cells were incubated overnight in a shaker incubator at 9% CO<sub>2</sub>, 37 °C, and 120 rpm.  
322 On the second day, about 100 ml of Expi293 expression medium was added. On day 5 post  
323 transfection, supernatants were harvested, filtered. Proteins were purified from the supernatant  
324 using Ni-NTA and strep chromatography. SARS-CoV-2 spike-SpyCatcher was expressed as a  
325 fusion protein with a single-chain Fc purification tag and purified using Protein A  
326 chromatography. SARS-CoV-2 spike-SpyCatcher protein was cleaved off from Protein A column

327 by HRV3C protease. All proteins were further purified by size exclusion chromatography on  
328 Superdex 200 Increase 10/300 GL in PBS.

329

### 330 **LuS- and ferritin- SpyTag conjugations**

331 A 1:1 molar ratio of LuS- or ferritin-SpyTag and immunogen-SpyCatcher components were  
332 combined and incubated at ambient temperature for approximately 3 hours, followed by size  
333 exclusion column on Superdex200 Increase 10/300 GL in PBS to separate conjugated products  
334 from residual components. The conjugated nanoparticle product was then run through SDS-PAGE  
335 to verify conjugation and analyzed by negative-stain EM.

336

### 337 **Negative-stain electron microscopy (EM)**

338 Samples were diluted to 0.02-0.05 mg/ml with a buffer containing 10 mM HEPES, pH 7, and 150  
339 mM NaCl. A 4.7- $\mu$ l drop of the diluted sample was applied to a glow-discharged carbon-coated  
340 copper grid for approximately 15 s. The drop was removed using blotting paper, and the grid was  
341 washed three times with 4.7- $\mu$ l drops of the same buffer. Adsorbed proteins were negatively  
342 stained by applying consecutively three 4.7- $\mu$ l drops of 0.75% uranyl formate and removing each  
343 drop with filter paper. Micrographs were collected using SerialEM<sup>45</sup> on an FEI Tecnai T20 electron  
344 microscope operated at 200 kV and equipped with an Eagle CCD camera or using EPU on a  
345 ThermoFisher Talos F200C electron microscope operated at 200 kV and equipped with a Ceta  
346 CCD camera. The pixel size was 0.44 and 0.25 nm for Tecnai T20 and Talos F200C, respectively.  
347 Particles were picked automatically using in-house written software (Y.T., unpublished).  
348 Reference-free 2D classification was performed with Relion 1.4<sup>46</sup> and SPIDER<sup>47</sup>. The dimensions  
349 of VLP cores and spikes were measured with e2display.py program from EMAN2.1 software  
350 package<sup>48</sup> using a representative micrograph (LuS-N71-SpyLinked-CoV-2 S) or 2D class average

351 images (all other VLPs). For CoV-2 nanoparticles we observed increased structural content at low  
352 pH, whereas the other micrographs were collected at physiological pH.

353

#### 354 **Surface plasmon resonance (SPR)**

355 To prepare the chips (GE Healthcare Life Sciences CM5 chips), antibody IgG were immobilized  
356 onto the chip by amine coupling to ~100-1000 response units (RU) depending the level of binding  
357 of each trimer and nanoparticle pair to antibodies. To measure binding of SpyCatcher proteins and  
358 and nanoparticles, a dilution series of SpyCatcher-linked proteins and nanoparticles were flowed  
359 through the IgG sensor chip for 200 s followed by 800 s of dissociation at a flow rate of 30  
360  $\mu\text{L}/\text{min}$ . The starting trimer concentration for each sample was 200 nM. Sensor chip surfaces were  
361 regenerated after each injection following manufacture instructions with Glycine 2.5 (GE  
362 Healthcare Life Sciences 10 mM glycine -HCl at pH 2.5) at a flow rate of 40  $\mu\text{L}/\text{min}$  for 180 s.

363

#### 364 **Mouse immunization**

365 Mouse experiments were carried out in compliance with National Institutes of Health regulations  
366 and approval from the Animal Care and Use Committee of the Vaccine Research Center. Six week  
367 old female BALB/cJ mice (Jackson Laboratories) were inoculated intramuscularly with Sigma  
368 Adjuvant System, at weeks 0 and 3, as detailed previously<sup>49</sup>. Serum was collected 2 weeks post-  
369 prime and post-boost for measurements of antibody responses as detailed hereafter.

370

#### 371 **Enzyme-linked immunosorbent assay (ELISA)**

372 ELISA experiments were carried out as previously described<sup>41</sup>. Briefly, Nunc Maxisorp ELISA  
373 plates (ThermoFisher) were coated with 100 ng/well of stabilized soluble SARS-CoV-2 spike  
374 protein<sup>24</sup> (with His-tag cleaved to remove potential cross-reactivity) in 1X PBS at 4 °C for 16 hr.



375 To eliminate fold-on-specific binding, 50 µg/mL of fold-on protein was added to serial dilutions of  
376 heat-inactivated sera for 1 hr at room temperature (RT). After blocking in PBS-Tween (PBST)  
377 supplemented with 5% nonfat milk, plates were incubated with sera for 1 hr at RT. After blocking  
378 in PBS-Tween (PBST) supplemented with 5% nonfat milk, plates were incubated with serial  
379 dilutions of heat-inactivated sera for 1 hr at RT. Secondary antibody, goat anti-mouse IgG  
380 conjugated to horseradish peroxidase (ThermoFisher), was then added, followed by excitation with  
381 3,5,3'5'-tetramethylbenzidine substrate (KPL). Each step in this procedure was followed by  
382 standard washes in PBST. Endpoint titers were calculated as the dilution factor that resulted in an  
383 optical density exceeding 4X background (secondary antibody alone).

384

#### 385 **Lentivirus-based pseudovirus neutralization assay**

386 The pseudovirus neutralization assay was performed as described previously<sup>41,50</sup>. To produce  
387 SARS-CoV-2 pseudovirus, a codon-optimized CMV/R-SARS-CoV-2 spike (Wuhan-1, Genbank  
388 #: MN908947.3) plasmid, was constructed and co-transfected with plasmids encoding luciferase  
389 reporter, human transmembrane protease serine 2 (TMPRSS2)<sup>51</sup>, and lentivirus backbone into  
390 HEK293T/17 cells (ATCC #CRL-11268), as previously described<sup>52</sup>. Heat-inactivated serum was  
391 mixed with the pseudovirus, incubated at 37 °C, and then added to ACE-2-expressing 293T cells.  
392 Cells were lysed after 72 hr, and luciferase activity was measured. Percent neutralization was  
393 calculated with uninfected cells as 100% neutralization and cells infected with only pseudovirus as  
394 0% neutralization. ID<sub>50</sub> titers were determined using a log (agonist) vs. normalized response  
395 (variable slope) nonlinear function in Prism v8 (GraphPad).

396

#### 397 **Data availability**

398 All relevant data are within the paper and its Supporting Information files.

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545

546 **Author contributions**

547 B.Z. and C.W.C. designed research with B.Z. heading protein design and production; B.Z.,  
548 C.W.C., A.S.O., and R.V. produced nanoparticle and trimer proteins; C.W.C. and B.Z. prepared  
549 trimer-coupled nanoparticles and performed antigenic assessments; Y.T. performed negative-stain  
550 EM; S.W. assisted with manuscript assembly; T.Z. provided design for SARS-CoV-2 spike  
551 protein; G.S.-J. provided the design for PIV3 F protein; A.P., L.W. and E.S.Y. provided  
552 pseudovirus; G.B.H. carried out immunizations; O.M.A. and A.W. performed ELISA; J.I.M.  
553 performed neutralization assay; B.S.G. and K.S.C. designed mouse experiment and oversaw  
554 ELISA experiment; J.R.M. oversaw pseudovirus preparation; N.J.S., B.S.G., and K.S.C. oversaw

555 neutralization assay; E.P. and C.Y. performed data analyses for immunoassays; P.D.K. oversaw  
556 the project with B.Z., C.W.C., S.W., and P.D.K. writing the paper, and all other authors providing  
557 revisions and comments.

558

559 **Competing interests**

560 K.S.C. and B.S.G. are inventors on International Patent Application No. WO/2018/081318 entitled  
561 “Prefusion Coronavirus Spike Proteins and Their Use.” K.S.C., O.M.A., G.B.H., and B.S.G. are  
562 inventors on US Patent Application No. 62/972,886 entitled “2019-nCoV Vaccine”.

563 **Figure legends**

564 **Fig. 1. LuS- and ferritin-nanoparticle scaffolds with N-linked glycan and SpyTag express**  
565 **well as assembled nanoparticles in mammalian cells**

566 **(a)** Schematic diagram showing the separate SpyTag and SpyCatcher to combine through an  
567 isopeptide bond as a means to covalently link molecules attached to SpyTag and molecules  
568 attached to SpyCatcher. **(b)** Design of expression constructs to produce activated nanoparticles  
569 with SpyTag in mammalian cells for conjugating antigens on the nanoparticle surface. Upper panel  
570 shows the DNA construct. A SpyTag was placed at the N-terminus of the nanoparticle sequence  
571 after the cleavable signal peptide. His and Strep tags were placed at the C-terminus of the LuS  
572 nanoparticle. An N-linked glycosylation site was engineered in the nanoparticle sequence to  
573 facilitate protein expression (see Table 1 and Supplementary Table S1 for more details). Lower  
574 panels show the expected structures of the LuS-N71-SpyTag and ferritin-N96-SpyTag monomers  
575 and assembled nanoparticles. Both glycan and SpyTag are expected to be on the nanoparticle  
576 surface. **(c)** Size exclusion chromatograms confirmed the correct sizes of the nanoparticles. The  
577 samples were loaded on a Superdex 200 Increase 10/300 GL column in PBS. Initial run of ferritin-  
578 96N-SpyTag nanoparticle revealed a tail of small molecular weight species; the chromatogram  
579 shown here is the re-run main peak. **(d)** SDS-PAGE of LuS-N71-SpyTag and ferritin-N96-SpyTag  
580 in the presence or absence of PNGase F. The position of PNGase F is marked. The multiple bands  
581 for ferritin are likely due to proteolytic cleavage and incomplete glycosylation (see text). **(e)**  
582 Negative stain EM images (left panels) and 2D class averages (right panels) of LuS-N71-SpyTag  
583 and ferritin-N96-SpyTag show the correct assembly of the purified nanoparticles with expected  
584 sizes.

585

586 **Fig. 2. Conjugation of RSV F-SpyCatcher to LuS-SpyTag displays prefusion RSV F trimer**

587 **homogenously on the surface of the LuS-N71-SpyLinked-RSV F nanoparticle**

588 (a) Schematic diagram showing conjugation of SpyTag-coupled LuS to SpyCatcher-coupled RSV  
589 prefusion F trimer to make LuS-N71-SpyLinked-RSV F nanoparticle. (b) SEC profiles of LuS-  
590 N71-SpyTag, RSV F-SpyCatcher, and the conjugated product LuS-N71-SpyLinked-RSV F on a  
591 Superdex 200 Increase 10/300 GL column in PBS. (c) SDS-PAGE of LuS-N71-SpyTag (lane 1),  
592 RSV F-SpyCatcher (lane 2), and the conjugated LuS-N71-SpyLinked-RSV F nanoparticle product  
593 (lane 3), in the presence of DTT. (d) Negative stain EM images of the LuS-N71-SpyLinked-RSV  
594 F nanoparticle after SEC purification, showing (left panel) a representative micrograph and (right  
595 panel) the 2D class averages. (e) Surface plasmon resonance of RSV F-SpyCatcher and LuS-N71-  
596 SpyLinked-RSV F nanoparticle with prefusion-specific D25 IgG (site Ø) and MPE8 IgG (site III),  
597 with IgG coupled to chip and nanoparticle in solution. A concentration series from 200 nM to 1.56  
598 nM of RSV F either as trimer (left) or coupled to nanoparticle (right) was measured;  $k_a$  values are  
599 provided as these have been found to correlate with immunogenicity<sup>3</sup>.

600

601 **Fig. 3. Conjugation of RSV prefusion F-SpyCatcher to ferritin-SpyTag produces uniform**

602 **ferritin-RSV F nanoparticles**

603 (a) Schematic diagram showing the conjugation process of ferritin-N96-SpyTag and RSV F-  
604 SpyCatcher to make ferritin-N96-SpyLinked-RSV F nanoparticle. (b) SEC profiles of ferritin-  
605 N96-SpyTag, RSV F-SpyCatcher, and the conjugation reaction mixture on a Superdex 200  
606 Increase 10/300 GL column in PBS. (c) SDS-PAGE of ferritin-N96-SpyTag (lane 1), RSV F-  
607 SpyCatcher (lane 2), and the conjugated ferritin-N96-SpyLinked-RSV prefusion F SpyCatcher  
608 nanoparticle product (lane 3), in the presence of DTT. Ferritin exhibited multiple bands due to  
609 proteolytic cleavage and incomplete glycosylation (see text). (d) Negative stain EM images of the



610 ferritin-RSV F nanoparticle after SEC purification, showing (left panel) a representative  
611 micrograph and (right panel) the 2D class averages. (e) SPR of RSV F-SpyCatcher and Ferritin-  
612 N96-SpyLinked-RSV F nanoparticle with prefusion-specific D25 IgG (site Ø) and MPE8 IgG (site  
613 III) using immobilized IgG on sensor chip with nanoparticle and trimer in solution. A  
614 concentration series from 200 nM to 1.56 nM of RSV F either as trimer (left) or coupled to  
615 nanoparticle (right) was measured;  $k_a$  values are provided.

616

617 **Fig. 4. Conjugation of PIV3 F-SpyCatcher to LuS-SpyTag displays prefusion PIV3 F trimer**  
618 **homogenously on the surface of the LuS-N71-SpyLinked-PIV3 F nanoparticle**

619 (a) Schematic of the conjugation between LuS-N71-SpyTag and PIV3 F-SpyCatcher to produce  
620 LuS-N71-SpyLinked-PIV3 F nanoparticle (b) SEC profiles of PIV3 F-SpyCatcher, LuS-SpyTag,  
621 and the conjugated product LuS-N71-SpyLinked-PIV3 F on a Superdex 200 Increase 10/300 GL  
622 in PBS. (c) SDS-PAGE of LuS-N71 (lane 1), PIV3 F-SpyCatcher (lane 2), and LuS-N71-  
623 SpyLinked-PIV3 F conjugation mixture (lanes 3) in the presence of DTT. (d) Negative stain EM  
624 of LuS-N71-SpyLinked-PIV3 following SEC showing a representative micrograph (left panel) and  
625 2D class averages (right panel). (e) SPR measurements of PIV3 F-SpyCatcher and LuS-N71-  
626 SpyLinked-PIV3 F were performed using IgG coupled chips with nanoparticle and trimer in  
627 solution. A concentration series from 200 nM to 1.56 nM of PIV3 F either as trimer (left) or  
628 coupled to nanoparticle (right) was measured;  $k_a$  values are provided.

629

630 **Fig. 5. Conjugation of SARS-CoV-2 spike trimer to LuS-SpyTag displays SARS-CoV-2 spike**  
631 **trimer on the surface of the LuS-N71-SpyLinked-CoV-2 spike nanoparticle**

632 (a) Schematic diagram showing conjugation of SpyTag-coupled LuS to SpyCatcher-coupled  
633 SARS-CoV-2 spike trimer to make LuS-N71-SpyLinked-CoV-2 spike nanoparticle. (b) SEC

634 profiles of LuS-N71-SpyTag, SARS-CoV-2 spike-SpyCatcher, and the conjugated product LuS-  
635 N71-SpyLinked-CoV-2 spike on a Superdex 200 Increase 10/300 GL column in PBS. (c) SDS-  
636 PAGE of LuS-N71-SpyTag (lane 1), SARS-CoV-2 spike-SpyCatcher (lane 2), and the conjugation  
637 mixture of LuS-N71-SpyTag with SARS-CoV-2 spike-SpyCatcher (lane 3) in the presence of  
638 DTT. The conjugation mixture (lane 3) shows the conjugated LuS-N71-SpyLinked-CoV-2 spike  
639 nanoparticle with minor excess of LuS-N71-SpyTag. (d) Negative stain EM of the LuS-N71-  
640 SpyLinked-CoV-2 spike nanoparticle after SEC purification showing representative micrographs  
641 (left panel) and 2D class average (right panel). (e) SPR response curves for LuS-N71-SpyLinked-  
642 CoV-2 spike nanoparticle binding with RBD-targeting antibody CR3022 IgG, with IgG coupled to  
643 chip and nanoparticle in solution. Because SARS-CoV-2 spike-SpyCatcher showed non-specific  
644 binding only the coupled nanoparticle is shown. A series of nanoparticle concentrations was  
645 analyzed in which the concentration of spike coupled to the nanoparticle ranged from 200 nM to  
646 1.56 nM. Observed  $k_a$  value provided.

647

648 **Fig. 6. Immunogenicity of LuS-N71-SpyLinked-CoV-2 spike**

649 (a) Schematic immunization procedures for SARS-CoV-2 spike immunogens. (b) Serum  
650 assessment of anti-SARS-CoV-2 spike ELISA titers. Immunization groups are color-coded.  
651 Vertical dotted lines separate immunogen dose groups and weeks post prime. Starting reciprocal  
652 serum dilution (100) is indicated with a horizontal dashed line. ELISA titer from each animal is  
653 shown as an individual dot. Triangle-shape dot provided for ELISA titers at assay maximum.  
654 Geometric means indicated by black horizontal lines. Note that the three animals immunized with  
655 0.08  $\mu$ g LuS-N71-SpyTag, which showed high ELISA titers at week 5, were the same three  
656 animals of this control group that showed detectable neutralization. (c) Neutralization titer from  
657 each animal at week 5 is shown as an individual dot, and geometric means are indicated by black

658 horizontal lines with values provided for each group. Immunization groups are color-coded as in  
659 panel **b**. Limit of detection (titer = 40) indicated with a horizontal dashed line. *P* values determined  
660 by two-tailed Mann-Whitney tests. \* indicates  $P \leq 0.05$ , \*\* indicates  $P \leq 0.01$ , \*\*\* indicates  $P \leq$   
661 0.001 and \*\*\*\* indicates  $P \leq 0.0001$ .  
662

663 **Table 1. LuS- and ferritin-nanoparticles with SpyTag require the addition of N-linked**  
 664 **glycans for expression.**

| Construct ID                  | SpyTag | SpyCatcher | Position of glycan | Expression level (mg/L) |
|-------------------------------|--------|------------|--------------------|-------------------------|
| Lumazine synthase             |        |            |                    |                         |
| LuS-SpyTag no glycan          | x      |            | None               | <0.1                    |
| <b>LuS-N71-SpyTag*</b>        | x      |            | 71                 | <b>3.0</b>              |
| LuS-C-SpyCatcher no glycan    |        | x          | None               | <0.1                    |
| LuS-C71-SpyCatcher            |        | x          | 71                 | <0.1                    |
| LuS-N-SpyCatcher no glycan    |        | x          | None               | <0.1                    |
| LuS-N71-SpyCatcher            |        | x          | 71                 | <0.1                    |
| Ferritin                      |        |            |                    |                         |
| Ferritin-SpyTag no glycan     | x      |            | None               | <0.1                    |
| <b>Ferritin-N96-SpyTag*</b>   | x      |            | 96                 | <b>2.5</b>              |
| <b>Ferritin-S148-SpyTag*</b>  | x      |            | 146                | <b>1.0</b>              |
| Ferritin-SpyCatcher no glycan |        | x          | None               | <0.1                    |
| Ferritin-N96-SpyCatcher       |        | x          | 96                 | <0.1                    |
| Ferritin-S148-SpyCatcher      |        | x          | 146                | <0.1                    |

665 \* These constructs showed suitable expression levels.

666













