### Concordance of Genomic Profiles in Matched Tissue and Plasma Samples From Chinese Patients With Lung Cancer

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### ABSTRACT

BACKGROUND: Next-generation sequencing (NGS) has been widely used to identify targetable variants for patients with solid tumors, especially lung cancer. Circulating tumor DNA (ctDNA) has emerged as an alternative approach for tumor biopsy. However, the feasibility of ctDNA in detecting molecular variants remains debatable.

METHODS: Herein, we performed NGS on matched tissue and plasma samples from 146 Chinese patients with lung cancer. The concordance of variants between tissue and plasma samples was explored at patient and variant levels.

RESULTS: More than 80% of patients harbored at least one concordant variant in tissue and plasma samples. A total of 506 variants were shared between tissue and plasma samples, and 432 variants were identified in tissue only and 92 variants were identified in plasma only. The sensitivity and positive predictive value (PPV) of all variants detected in plasma were 53.9% and 84.6%, respectively. High concordance was observed in several driver genes. In details, epidermal growth factor receptor exon 19 deletion (EGFR 19del), EGFR p.S768I, anaplastic lymphoma kinase (ALK) fusion, rearranged during transfection (RET) fusion, and kirsten rat sarcoma viral oncogene homolog (KRAS) p.G12C achieved a sensitivity of 90%, 100%, 85.7%, 100%, and 85.7%, respectively. Four EGFR-altered lung adenocarcinoma patients who underwent ctDNA-based NGS at initial diagnosis benefited from first-line gefitinib/icotinib with a median progression-free survival of 379.5 days.

CONCLUSIONS: Our work provided the clinical evidence of feasibility of ctDNA-based NGS in guiding decision-making in treatment. ctDNA-based NGA could be a reliable alternative approach for tissue biopsy in patients with lung cancer.

KEYWORDS: Non-small cell lung cancer, targeted sequencing, driver gene, liquid biopsy

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### Introduction

Lung cancer is the most common malignant tumor and the leading cause of cancer-related death.<sup>1,2</sup> The identification of cancer driver genes provides growing number of novel treatment options for lung cancer, in particular, for lung adenocarcinoma (LUAD).<sup>3</sup> Patients harboring actionable variants could benefit from personalized targeted therapies such as tyrosine kinase inhibitors (TKIs).<sup>4</sup> To date, an array of predictive biomarkers in lung cancer have been approved for clinical use, including epidermal growth factor receptor (EGFR) exon 19 deletion, EGFR exon 21 p.L858R, EGFR p.S768I, EGFR p.L861Q, EGFR p.G719X, EGFR exon 20 insertion, kirsten rat sarcoma viral oncogene homolog (KRAS) p.G12C, hepatocyte growth factor receptor gene (MET) exon14 skipping mutation, B-Raf proto-oncogene, serine/threonine kinase (BRAF) p.V600E, anaplastic lymphoma kinase (ALK) rearrangement, ROS proto-oncogene 1 receptor tyrosine kinase (ROS1) rearrangement, rearranged during transfection (RET) rearrangement, neurotrophic receptor tyrosine kinase 1/2/3

(NTRK1/2/3) fusion, programmed cell death ligand-1 expression, and tumor mutation burden.<sup>4-6</sup>

High-throughput next-generation sequencing (NGS) has been widely used to detect oncogenic driver variants and provides treatment suggestions.7 In recent years, liquid biopsy with plasma emerged as an alternative approach for tumor biopsy. Cell-free DNA (cfDNA) consists of DNA fragments derived from necrotic and apoptotic eukaryotic cells, which has emerged as a promising noninvasive diagnostic approach in oncology.8 Tumor-specific, circulating cfDNA (also called circulating tumor DNA [ctDNA]) is released by necrotic or apoptotic tumor cells.<sup>9</sup> The advantages of ctDNA sequencing includes non-invasiveness, short turn-around time, and overcoming tumor heterogeneity.<sup>10</sup> Therefore, it has been used to monitor the efficacy of targeted therapies, evaluate minimal residual disease, and identify drug-resistant mechanisms.<sup>11</sup> However, the concordance of genomic profiling between tissue and plasma remains to be a major concern during clinical practice.

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### Materials and methods

### Patients and samples

Between December 13, 2017 and July 14, 2021, tumor tissue and matched plasma samples derived from 146 patients who were diagnosed with lung cancer at Quanzhou First Hospital Affiliated to Fujian Medical University were retrospectively enrolled. Paired formalin-fixed paraffin-embedded (FFPE) tissue and plasma samples of the patients were collected. The demographic, clinical, and genetic profiling information of the patients were retrospectively collected from a de-identified database. Progression-free survival (PFS) was defined as the date of receiving treatment to the date of progression. The study was approved by the Institutional Review Board of Quanzhou First Hospital Affiliated to Fujian Medical University on August 13, 2021 ([2017] 163). Written informed consent was obtained from each patient.

### DNA extraction

Ten microliters of peripheral blood were collected and stored at 4°C, and then centrifuged at 2000g for 10 min at 4°C. The supernatant was transferred to a new centrifuge tube and centrifuged again at 16000g for 10 min at 4°C. The supernatant was stored at -80°C for further analysis. cfDNA was extracted using the QIAamp Circulating Nucleic Acid Kit (Qiagen, Hilden, Germany). QIAamp DNA FFPE Tissue Kit (Qiagen, Hilden, Germany) was used to extract tumor tissue DNA from FFPE samples according to the manufacturer's instructions. The Qubit 2.0 fluorometer and the Qubit dsDNA HS Assay Kit (Life Technologies, Carlsbad, USA) were used to measure DNA concentration. Tissue-specific variants are defined as those variants detected only in tissue not in plasma samples.

### Library construction and sequencing

A total of 20 to 80 ng of tissue DNA or cfDNA was fragmented by Covaris M220 focused ultrasonicator (Covaris, Inc., Woburn, MA, USA) followed by end repair, phosphorylation, dA addition, and adaptor ligation for library construction. The Agencourt AMPure XP beads (Beckman Coulter, Brea, CA, USA) were used to select DNA fragments with the range of 200 to 400 bp. Then, hybridization with capture probe baits, hybrid selection with magnetic beads, and PCR amplification were performed. Capture-based targeted sequencing was performed on tumor and plasma samples using a panel consisting of 168 cancer-related genes spanning 237 Kb of the human genome (Burning Rock Biotech, Guangzhou, China). The indexed samples were sequenced on Illumina NextSeq 500 with paired-end (Illumina, Inc., Hayward, CA, USA).

### Sequence data analysis

The paired-end reads were mapped to the human genome (hg19) by Burrows-Wheeler aligner v.0.7.10.12 Local alignment optimization, variant calling, and annotation were performed with the Genome Analysis Toolkit (GATK) v.3.213 and VarScan v.2.4.3.14 DNA translocation analysis was performed with Factera v.1.4.3.15 According to 1000 Genomes Project, Exome Aggregation Consortium, ESP6500SI-V2, and dbSNP databases, variants with over 0.1% population frequency were classified as single-nucleotide polymorphisms and excluded from further analysis. Remaining variants were annotated with the ANNOVAR software<sup>16</sup> and SnpEff v.3.6.<sup>17</sup> Allele frequency (AF) and copy number (CN) of genes were calculated. The threshold for single-nucleotide variants (SNVs)/insertions and deletions (Indels) detected from tissue and plasma was  $AF \ge 1\%$  and  $AF \ge 0.1\%$ , respectively. Genes with a CN more than 2.25 were considered with CN amplification, and those with a CN less than 1.5 were considered with CN deletion. Large genomic rearrangement (LGR) is defined as the deletion or duplication of single- or multi-exons at the DNA level.18

### Statistical analysis

The continuous variables were presented as mean or median. The categorical variables were presented as frequencies. Unpaired Wilcoxon signed-rank test was used to compare continuous variables, while two-sided Fisher's exact tests were used to compare categorical variables, as appropriate. P < .05 was considered statistically significant. The sensitivity was defined as the ratio of number of true positive cases/variants to the sum of true positive and false negative cases/variants. The positive predictive value (PPV) was defined as the ratio of number of true positive cases/variants. The positive cases/variants. The sensitivity and false positive cases/variants. The sensitivity and positive cases/variants. The sensitive cases/variants. The sensitive cases/variants. The sensitive cases/variants to the sum of true positive cases/variants. The sensitive cases/variants are positive cases/variants. The sensitive cases/variants are positive cases/variants. The sensitive cases/variants cases/variants to the sum of true positive cases/variants. The sensitive cases/variants are positive cases/variants. The sensitive cases/variants cases/variants. The positive cases/variants to the sum of true and false positive cases/variants. The sensitivity and PPV were calculated by using tumor biopsy samples as references. All bioinformatics analyses were performed with R (v.3.5.3, the R Foundation for Statistical Computing, Vienna, Austria).

### Results

### Study cohort

A total of 146 lung cancer patients were included in this study. There were 102 males (69.9%) and 44 females (30.1%) with a median age of 64 years. Most patients had LUAD (111 of 146, 76%). There were 15 patients with lung squamous cell carcinoma (LUSC, 10.3%), 4 patients with small cell lung cancer (SCLC, 2.7%), and 3 patients with large cell lung cancer (LCLC, 2.1%). The histological subtype of 13 patients was unknown. Most

Table 1. Baseline characteristics of	of patients.
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CHARACTERISTICS	NUMBER (%)
Median age (range), years	64 (31-84)
Sex	
Female	44 (30.1)
Male	102 (69.9)
Tumor stage	
I	1 (0.7)
II	1 (0.7)
III	24 (16.4)
IV	120 (82.2)
Histological subtype	
LCLC	3 (2.1)
LUAD	111 (76.0)
LUSC	15 (10.3)
SCLC	4 (2.7)
Unknown	13 (8.9)

Abbreviations: LCLC, large cell lung cancer; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small cell lung cancer.

patients were diagnosed at stage IV (120/146, 82.2%). There were 24 patients at stage III (16.4%), 1 patient at stage II (0.7%), and 1 patient at stage I (0.7%). The median cfDNA concentration was 10.34 ng/mL with a range of 3.7 to 122.6 ng/mL. The median cfDNA amount extracted from plasma was 53 ng with a range of 16 to 515 ng. The clinicopathological characteristics of patients are summarized in Table 1.

### Genomic profiling in tissue and plasma

A total of 938 variants including SNVs/Indels, copy number variants (CNVs)/LGRs, and fusions were identified in 146 tissue samples. Meanwhile, there were 598 alterations identified in 127 of 146 plasma samples (87%). None of variants were identified in 19 plasma samples (13%). The genomic profiling of tissue and plasma indicated that *TP53* (72% and 60%) and *EGFR* (47% and 40%) were the two most frequently altered genes (Figure 1) in tissue and plasma samples, followed by *KRAS* (16% and 12%), phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha (*PIK3CA*) (11% and 8%), *MET* (10% and 5%), *ALK* (6% and 4%), and *RET* (5% and 5%) as shown in Figure 1.

## By-variant concordance between tissue and plasma samples

The concordance of alterations between tissue and plasma were further investigated. In total, 506 variants (SNVs/Indels/CNVs/

LGRs/fusions) were identified both in tissue and plasma samples. Whereas, 432 variants were identified in tissue only and 92 variants were identified in plasma only (Figure 2A). The sensitivity of variants identified in plasma were 53.9% (506/938) with a PPV of 84.6%, when variants identified in tissue samples as a reference. Next, the sensitivity of plasma in detecting SNVs/ Indels or CNVs was subsequently explored. There were 387 shared SNVs/Indels with 282 tissue-specific and 60 plasma-specific SNVs/Indels (Figure 2B). SNVs/Indels achieved a sensitivity of 57.8% (387/669) with a PPV of 86.6%. There were 61 CNVs identified in both tissue and plasma, 125 CNVs in tissue only, and 24 CNVs in plasma only (Figure 2C). CNVs achieved a 32.8% (61/186) sensitivity with a 71.8% PPV.

Then, the sensitivity of driver gene variants was explored, including EGFR, KRAS, MET, ALK, RET, and ROS1 variants (Figure 3A and B). Given that none of the variants were observed in 19 plasma samples, 126 paired positive tissue and plasma samples were performed for subsequent analysis. A total of 104 actionable variants were identified both in tissue and plasma samples, 19 variants in tissue only, and 6 variants in plasma only (Figure 3C). The sensitivity and PPV of actionable variants achieved 84.6% and 99.9%. EGFR is the most frequently altered gene in lung cancer. Sixty-three EGFR variants were detected both in tissue and plasma, 9 in tissue only, and 3 in plasma only (Figure 3D). The sensitivity of EGFR variants was 87.5% and its PPV was 95.5%. For KRAS and MET variants, the sensitivity was 84% (with a PPV of 89%) and 67% (with a PPV of 100%), respectively. ALK, RET, and BRAF achieved a 100% sensitivity and a 100% PPV.

# By-patient concordance between tissue and plasma samples

The by-patient concordance of variants between tissue and plasma was also analyzed (Figure 4A and B). In all variants, concordance were observed in 83.6% patients (Figure 4A). Tissue-specific variants were identified in 13% of patients (Figure 4A). In CNVs/LGRs, only 66.4% concordance were observed (Figure 4B), while 30.8% of patients harbored tissuespecific CNVs/LGRs (Figure 4A). The sensitivity of CNVs and LGRs detected in plasma was only 39.2% (with a PPV of 87.9%) and 33.3% (with a PPV of 100%), respectively (Table S2). The concordance of all variants between tissue and plasma increased to 83.6%, when CNVs/LGRs were excluded. In other variants, over 80% concordance were also observed (Figure 4B), such as SNVs/Indels and driver variants. EGFR variants between tissue and plasma were concordant in 90.4% patients. There were 9.6% of patients who showed different EGFR alteration status between tissue and plasma, including 8.2% of patients with tissue-specific EGFR variants and 1.4% of patients with plasma-specific EGFR variants.

The concordance of variants was further explored in patients with different histological subtypes. All variants achieved a concordance of 86.3% in 126 non-small cell lung cancer



Figure 1. Genomic profiling in tissue and plasma. PLA indicates plasma; TIS indicates tissue.



Figure 2. Concordance of molecular variants between tissue and plasma samples. (A) Concordance of all variants. (B) Concordance of SNVs/Indels. (C) Concordance of CNVs. PLA indicates plasma; TIS indicates tissue.



Figure 3. Concordance in driver genes. (A) Genomic profiling of patients detected from tissue samples. (B) Genomic profiling of patients detected from plasma samples. (C) Concordance of all driver mutations. (D) Concordance of *EGFR* variants. PLA indicates plasma; TIS indicates tissue.



**Figure 4.** Concordance in all lung cancer patients. (A) Distribution of patients with concordant variants between tissue and plasma samples (Concordance), discordant variants both in tissue and plasma (Discordance\_Both), tumor-specific variants (Discordance\_onlyPLA), plasma-specific variants (Discordance\_onlyTIS), and no variants detected both in tissue and plasma (Negative\_Both). (B) Distribution of patients with concordant and discordant variants. Concordance presents patients with Concordance and Negative\_Both in Figure 4A. Discordance presents patients with Discordance\_onlyPLA, and Discordance\_onlyTIS in Figure 4A. LGR indicates large genomic rearrangement; PLA, plasma; rmCNV: variants with excluding CNVs; TIS, tissue.

(NSCLC) patients (111 LUAD patients and 15 LUSC patients) who had detectable variants both in tissue and plasma samples (Figure 5A). Concordance of either *EGFR*, *ALK*, or *KRAS* variants reached over 90% in NSCLC (Figure 5B). Similar results were also observed in LUAD and LUSC (Figure 5B). Discordance of CNVs/LGRs reached 31.75%, 32.43%, and 26.67% in NSCLC, LUAD, and LUSC, respectively (Figure 5B).

# Concordance of actionable variants between tissue and plasma samples

Next, the concordance of actionable variants between tissue and plasma samples was explored. As shown in Table 2, the sensitivity of *EGFR* 19del, *ALK* fusion, *KRAS* p.G12C, *EGFR* p.L858R, and *MET* exon 14 skipping mutation achieved 90%, 85.7%, 85.7%, 77.3%, and 75%, respectively. Furthermore, the sensitivity of *EGFR* p.T790M, *EGFR* exon 20 insertions, *EGFR* p.S768I, *EGFR* p.L861Q, *RET* fusion, *BRAF* p.V600E achieved 100%, respectively. Only 1 patient harbored *ROS1* fusion detected from the tissue sample rather than the plasma sample. *NTRK*1/2/3 fusions were not defected in this work. Of note, 1 patient harbored *EGFR* p.L858R detected from the plasma rather than the tissue sample.

In this study, 111 LUAD patients and 15 LUSC patients were enrolled. Based on tumor-based NGS, 74 (74/111, 66.7%), 53 (53/111, 47.7%), 7 (7/111, 6.3%), 4 (4/111, 3.6%), 3 (3/111, 2.7%), 2 (2/111, 1.8%), 2 (2/111, 1.8%), and 1 (1/111, 0.9%) LUAD patient(s) harbored *TP53* variants, *EGFR* variants, *KRAS* p.G12C, *ALK* fusions, *MET* exon 14 skipping mutations, *BRAF* p.V600E, *RET* fusions, and *ROS1* fusion, respectively. Among 53 patients with *EGFR* variants, 25, 20, and 3 patients harbored *EGFR* 19del, *EGFR* p.L858R, *EGFR* p.L861Q; 2 patients harbored *EGFR* p.T790M, *EGFR* 

p.S768I, EGFR exon 20 insertion, and EGFR p.G719X, respectively. For LUSC, 13, 1, and 1 patient(s) harbored TP53 variants, EGFR variant (EGFR 19del), and ALK fusion, respectively. None of LUSC patients harbored EGFR p.L858R, EGFR p.T790M, EGFR p.S768I, EGFR exon 20 insertion, EGFR p.L861Q, EGFR p.719X, ROS1 fusion, RET fusion, MET exon 14 skipping mutation, or BRAF p.V600E. The difference of concordance of molecular variants between tissue and plasma in LUAD vs LUSC was explored. We found a similar sensitivity of plasma in detecting tumor protein p53 (TP53) variants, EGFR variants and ALK fusions from LUAD vs LUSC, respectively (Table 3).

In this study, a total of 23 patients carried *EGFR* p.L858R. There were 4 patients with stage III disease and 19 patients with stage IV disease. Of these 23 patients, 17 patients harbored EGFR p.L858R detected both in tissue and plasma (G1), 5 patients harbored EGFR p.L858R detected in tissue only (G2), and 1 patient harbored EGFR p.L858R in plasma only. The difference of clinicopathological characteristics between G1 and G2 was preliminarily explored. A similar median age (70 vs 71 years old, P=.169) and distribution pattern of gender (female/male, 4/1 vs 6/11, P=.135) was observed between G1 and G2. Further study investigating the clinicopathological characteristics of patients with tissue-specific variants, or plasma-specific variants are needed in a large cohort of lung cancer patients. These studies might enable clinical physician to identify patients who are suitable for tumor- or ctDNA-based NGS.

### The outcomes of patients who underwent plasmabased NGS

In this work, 4 patients who treated with targeted agents had available survival data. These 4 patients were diagnosed with



Figure 5. Concordance in NSCLC patients. (A) Distribution of patients with concordant variants between tissue and plasma samples (Concordance), discordant variants both in tissue and plasma (Discordance\_Both), tumor-specific variants (Discordance\_onlyPLA), plasma-specific variants (Discordance\_onlyTIS), and no variants detected both in tissue and plasma (Negative\_Both) in NSCLC, LUAD, and LUSC. (B) Distribution of patients with concordant and discordant variants. Concordance represents patients with Concordance and Negative\_Both in Figure 5A. Discordance represents patients with Discordance\_Both, Discordance\_onlyPLA, and Discordance\_onlyTIS in Figure 5A. LUAD indicates lung adenocarcinoma; LUSC, lung squamous cell carcinoma; NSCLC, non-small cell lung cancer; PLA, plasma; TIS, tissue; rmCNV: variants with excluding CNVs.

treatment-naïve *EGFR*-mutant LUAD, furthermore, *EGFR* mutations were detected both in plasma and paired tissue samples. Both Case 1 and Case 2 who harbored *EGFR* p.L858R benefited from first-line gefitinib with Case 1 having a PFS of 365 days and Case 2 having a PFS of 315 days. Case 3 who harbored *EGFR* 19del achieved a PFS of 394 days with firstline gefitinib. In addition, Case 4 carrying *EGFR* 19del benefited from first-line icotinib with a PFS of 455 days (Table 4).

### Discussion

Molecular testing regarding driver variants in lung cancer patients could guide decision-making in treatment, such as *EGFR* sensitizing mutations. Although liquid biopsy has been increasingly used in lung cancer patients, the concordance of variants between tissue and plasma remains to be a major concern in clinical practice.<sup>11</sup> In this study, we performed capturebased targeted sequencing using a panel consisting of 168 cancer genes on matched tissue and plasma samples derived from 146 Chinese lung cancer patients. The genomic profiling of enrolled lung cancer patients were described and the concordance of variants between tissue and plasma samples was explored.

Consistent with previous studies on the genomic profiling of lung cancer, *TP53* and *EGFR* mutations were the most frequently altered genes. Other actionable variants in driver genes such as *MET*, *ALK*, *RET*, and *ROS1* were also observed in this work. A study has revealed 98% concordance of variants in 39 genes between tissue and matched plasma samples derived from 82 NSCLC patients, with 71% sensitivity and 100% specificity.<sup>19</sup> Recent studies in treatment-naïve NSCLC patients reported the concordance of NGS results between tissue and plasma ranged from 62.2% to 77.6%.<sup>20,21</sup> Although the concordance of variants between tissue and plasma has been reported in several studies,<sup>19-21</sup> it varies across different studies.

In our study, more than 80% patients harbored at least a concordant variant both in tissue and plasma including SNVs/ Indels, CNVs/LGRs and fusions. Among 13% patients with

ALTERATIONS	MATCH (TP)	TISSUE ONLY (FN)	PLASMA ONLY (FP)	SENSITIVITY (%)	PPV (%)
EGFR 19del	27	3	0	90	100
EGFR p.L858R	17	5	1	77.3	94.4
EGFR p.T790M	2	0	0	100	100
<i>EGFR</i> p. S768I	2	0	0	100	100
EGFR p.L861Q	4	0	0	100	100
EGFR p.G719X	2	1	0	66.7	100
EGFR exon20 Insertion	2	0	0	100	100
MET exon14 skipping mutation	3	1	0	75	100
ALK fusion	6	1	0	85.7	100
ROS1 fusion	0	1	0	0	0
RET fusion	2	0	0	100	100
NTRK1/2/3 fusion	0	0	0	NA	NA
KRAS p.G12C	6	1	0	85.7	100
BRAF p.V600E	2	0	0	100	100

Table 2. Concordance of actionable alterations between tissue and plasma samples in lung cancer patients.

Abbreviations: FN, false negative; FP, false positive; NA, not applicable; PPV, positive predictive value; TP, true positive.

Table 3. The difference of concordance of molecular alteration between tissue and plasma in LUAD and LUSC.

ALTERATIONS	SENSITIVITY LUAD (%)	SENSITIVITY IN LUSC (%)	<i>P</i> VALUE
TP53 variants	83.8 (62 out of 74)	76.9 (10 out of 13)	.690
EGFR variants*	86.8 (46 out of 53)	100 (1 out of 1)	1
EGFR 19del	92 (23 out of 25)	100 (1 out of 1)	1
EGFR p.L858R	80 (16 out of 20)	0 (out of 0)	NA
<i>EGFR</i> p.T790M	100 (2 out of 2)	0 (out of 0)	NA
EGFR p.S768I	100 (2 out of 2)	0 (out of 0)	NA
EGFR p.L861Q	100 (3 out of 3)	0 (out of 0)	NA
EGFR p.G719X	50 (1 out of 2)	0 (out of 0)	NA
EGFR exon20 insertion	100 (2 out of 2)	0 (out of 0)	NA
MET exon 14 skipping mutation	66.7 (2 out of 3)	0 (out of 0)	NA
ALK fusion	75 (3 out of 4)	100 (1 out of 1)	1
ROS1 fusion	0 (0 out of 1)	0 (out of 0)	NA
RET fusion	100 (2 out of 2)	0 (out of 0)	NA
KRAS p.G12	85.7 (6 out of 7)	0 (out of 0)	NA
BRAF p.V600E	100 (2 out of 2)	0 (out of 0)	NA

Abbreviations: LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; NA, not applicable; TP, true positive. \*indicates combined with EGFR 19del, EGFR p.L858R, EGFR p.T790M, EGFR p.S768I, EGFR p.L861Q, EGFR p.G719X, and EGFR exon20 insertion.

discordant variants, most patients had tissue-specific variants. Moreover, 3.4% patients harbored a concordant altered gene but with distinct variants in tissue and plasma. When focusing on the variants, a total of concordant 506 variants were

CASES	FIRST-LINE TREATMENT	BEST RESPONSE TO EGFR-TKI	PFS (DAYS)	EGFR ALTERATION
Case 1	Gefitinib	PR	365	EGFR L858R
Case 2	Gefitinib	PR	315	EGFR L858R
Case 3	Gefitinib	SD	394	EGFR 19del
Case 4	Icotinib	PR	455	EGFR 19del

Table 4. The outcomes of patients who underwent ctDNA-based NGS.

Abbreviations: ctDNA, circulating tumor DNA; EGFR, epidermal growth factor receptor; NGS, next-generation sequencing; PFS, progression-free survival; PR, partial response; SD, stable disease; TKI, tyrosine kinase inhibitor.

identified both in tissue and plasma samples. Compared with tissue, all variants in plasma showed a sensitivity of 53.9% and a PPV of 84.6%.

Compared with SNVs/Indels or fusions, the concordance of CNV between tissue and plasma were poor. These results were consistent with previous studies.<sup>22,23</sup> To date, identifying CNVs in ctDNA has proven to be challenging.

Data suggest that almost 20% to 30% of NSCLCs are driven by an activating *KRAS* mutations.<sup>24</sup> Point mutations in *KRAS* most commonly occur at codon 12. The *KRAS* p.G12C mutation occurs in 13% of NSCLC.<sup>25,26</sup> The National Comprehensive Cancer Network recommends sotorasib as a subsequent therapy option for patients with metastatic NSCLC and *KRAS* p.G12C mutations who have disease progression after treatment with platinum-based chemotherapy ( $\pm$ immunotherapy) based on clinical trial data and Food and Drug Administration approval.<sup>5</sup> In this study, the sensitivity of *KRAS* p.G12C between tissue and plasma achieved 85.7% with a PPV of 100%. Other driver variants, including *EGFR* 19del, *ALK* fusion, *RET* fusion, *BRAF* p.V600E, also had a high sensitivity.

There are some limitations associated with our study. The analyses on correlation of clinicopathological characteristics with concordance of genetic variants between tissue and plasma samples might be helpful in identifying patients who are or not suitable for plasma-based NGS in detecting status of molecular alterations. However, due to the fact that this is a retrospective study, most clinicopathological characteristics are missing besides sex, age, tumor stage, and histological subtype. A prospective, large cohort study is warranted to explore the clinicopathological characteristics of lung cancer patients who had high concordance of genetic alterations between tissue and plasma samples.

### Conclusion

Our study performed NGS on paired tissue and plasma samples from Chinese patients with lung cancer. High concordances of lung cancer driver variants were observed both at patient and variant levels. ctDNA-based NGS might be a reliable alternative approach for tissue biopsy in patients who had unavailable tissue samples.

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### **Author Contributions**

Yueming He: Conceptualization, Methodology, Data Curation, Resources, Writing - Original Draft; Weifeng Guo: Methodology, Data Curation, Writing - Review & Editing; Meng Xu: Methodology, Data Curation, Writing - Review & Editing; Junling Huang: Methodology, Data Curation, Writing - Review & Editing; Xiange Zhang: Methodology, Writing - Review & Editing; Huanzhang Su: Methodology, Writing - Review & Editing; Dongxia Hong: Methodology, Writing - Review & Editing; Qun Liu: Conceptualization, Methodology, Resources, Supervision, Writing - Review & Editing.

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### Supplemental Material

Supplemental material for this article is available online.

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