

Review Article

Macrophage checkpoint blockade: results from initial clinical trials, binding analyses, and CD47-SIRP α structure–function

AbdelAziz R. Jalil ^{1,2,†}, Jason C. Andrechak ^{2,3,†} and Dennis E. Discher ^{2,3,*}

¹Department of Chemistry, University of Pennsylvania, Philadelphia, PA, USA, ²Biophysical Engineering Labs, University of Pennsylvania, Philadelphia, PA, USA, and ³Graduate Group in Bioengineering, University of Pennsylvania, Philadelphia, PA, USA

Received: February 6, 2020; Revised: April 7, 2020; Accepted: April 14, 2020

Abstract

The macrophage checkpoint is an anti-phagocytic interaction between signal regulatory protein alpha (SIRP α) on a macrophage and CD47 on all types of cells – ranging from blood cells to cancer cells. This interaction has emerged over the last decade as a potential co-target in cancer when combined with other anti-cancer agents, with antibodies against CD47 and SIRP α currently in preclinical and clinical development for a variety of hematological and solid malignancies. Monotherapy with CD47 blockade is ineffective in human clinical trials against many tumor types tested to date, except for rare cutaneous and peripheral lymphomas. In contrast, pre-clinical results show efficacy in multiple syngeneic mouse models of cancer, suggesting that many of these tumor models are more immunogenic and likely artificial compared to human tumors. However, combination therapies in humans of anti-CD47 with agents such as the anti-tumor antibody rituximab do show efficacy against liquid tumors (lymphoma) and are promising. Here, we review such trials as well as key interaction and structural features of CD47-SIRP α .

Statement of Significance: Immunotherapy with antibodies that block the T cell checkpoint now provide durable cures in some cancer patients, but many solid tumors remain a challenge in the clinic. Because such tumors are often replete with macrophages, the macrophage checkpoint CD47-SIRP α is an attractive target for blockade. This motivates understanding its current status in the clinic as well as structure–function determinants for new vulnerabilities.

KEYWORDS: CD47; SIRP α ; immune checkpoint; phagocytosis

INTRODUCTION

Cancer immunotherapy has rapidly expanded into the clinic over the past decade with significant success for therapies that target functionally suppressed immune cells in tumor microenvironments [1]. T cells have been the primary focus of cancer immunotherapy with immune checkpoint inhibitors developed to antagonize either CTLA-4 and PD-1 expressed on T cell membrane proteins, or PD-1's ligand, PDL-1, which is on the surface of many cells including cancer cells [2,3]. While this receptor–ligand interaction normally inhibits an activated T cell,

blocking inhibit is already used in this sentence. this paired receptor interaction with blocking antibodies enables suitably activated T cells to eliminate cancer cells. Dramatic and durable effects are seen in some patients for some malignancies, with tumors having high mutational loads being most likely to activate T cells, but most patients do not respond to this type of immunotherapy, which presents a challenge and an opportunity [4,5].

Macrophages are part of the innate immune response, are often abundant in solid tumors, and have a general ability to clear foreign cells through the activated process of

*To whom correspondence should be addressed. Dennis E. Discher. Email: discher@seas.upenn.edu

†These authors contributed equally to this work.

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phagocytosis [6,7]. Phagocytosis is modulated by a checkpoint interaction between the surface glycoprotein CD47 found on all cells and the signal regulatory protein alpha (SIRP α) on macrophages. [8,9]. This review focuses on the structure of CD47 and SIRP α , the role of this checkpoint in macrophage function, and therapeutic antibody strategies that target the CD47-SIRP α interaction in cancer clinical trials. We also examine the sequence–structure–function relationships of these paired receptors in efforts to stimulate new therapeutics.

The ubiquitous ‘marker of self’ ligand, CD47

CD47 is an integral membrane glycoprotein that is expressed in all normal and diseased tissues at the RNA and protein levels. This glycoprotein was first discovered as the overexpressed ovarian carcinoma antigen (OA3) [10]. It was also described as associating with β -integrin proteins and thus named integrin associated protein (IAP) [11]. The protein was found on the surface of erythrocytes (which lack integrins) through binding of two different antibodies and was then designated CD47 [12].

CD47 belongs to the immunoglobulin superfamily (IgSF) with a single N-terminal extracellular Ig-like domain, five transmembrane helices, and a C-terminal cytoplasmic tail. Four cytoplasmic tails range in length from four amino acids (Type 1) to 34 amino acids (Type 4), but the 16 amino acid tail isoform (Type 2) is the most abundant and is expressed on the majority of cells in humans and mice [13].

An X-ray crystal structure of CD47 reveals an IgV (variable) topology with α -helical as well as β -sheet secondary structures and a conserved intramolecular disulfide bridge spanning the middle of the β -sandwich [14]. An additional disulfide bridge also forms between the extracellular domain and one of the transmembrane domains, which is unusual for IgSF proteins and some evidence suggests it orients the Ig domain for optimal receptor binding [15]. CD47 interacts primarily with three categories of extracellular receptors: integrins, thrombospondin-1 (TSP-1) protein and SIRP α . Cell adhesion, cell migration, and regulation of inflammation and phagocytosis are among the reported functions of receptor interactions with CD47 [16].

CD47 was first termed a “marker of self” after CD47-deficient red blood cells (RBCs) from a mouse knockout (C57BL/6 strain) were found to be rapidly cleared from the circulation of wildtype mice by splenic macrophages [17]. The *in vitro* evidence is compelling that the CD47 interaction with SIRP α is a “don’t eat me” anti-phagocytic signal when occurring in parallel with some types of “eat me” signal—most clearly with IgG bound to the phagocytic target (Fig. 1). In principle, the expression of CD47 allows all cells, including cancer cells, to evade macrophage engulfment. Nonetheless, two mysteries continue to persist since this seminal observation: (i) CD47-knockout mice do not exhibit anemia or any evident RBC or platelet deficiencies, and (ii) the *in vivo* “eat me” signal on RBCs in CD47-knockout mice remains unclear. Some might argue that the clearance cue is the senescence signal that leads to RBC phagocytosis after circulating weeks (in mouse)

or months (in human), but CD47-knockout RBCs are *all* cleared within 1–2 days in the circulation of the wildtype mouse implying that all CD47-knockout RBCs display the senescence signal.

The macrophage immune receptor, SIRP α

SIRP α is also an IgSF, integral membrane glycoprotein, and although it is expressed on many if not all cell types, its expression on hematopoietic cells is restricted to myeloid cells: macrophages, monocytes, dendritic cells, and granulocytes (and not T cells, etc.) [18]. SIRP α was first identified on rat fibroblasts as PTPNS1 (protein tyrosine phosphatase, non-receptor type substrate 1) in association with the cytoplasmic tyrosine phosphatase SHP-2 (Src homology region 2 domain containing phosphatase-2) [19]. SIRP α was later found to be expressed on human myeloid cells [20], although expression can vary even within subtypes of macrophages [21].

SIRP α has three IgSF domains, one N-terminal V-like domain (domain-1, D1) and two C1-like domains—which is a structure shared by a larger family of SIRPs [22,23]. One transmembrane helix connects to cytoplasmic tails of varying lengths that govern signaling in the SIRPs. SIRP α ’s cytoplasmic tail has four tyrosine residues that conform to an immunoreceptor tyrosine-based inhibitory motif (ITIM), which mediates association with SHP-1 and SHP-2 for inhibitory signaling [19].

Two closely related SIRP members are SIRP β and SIRP γ . SIRP β has a short cytoplasmic tail (six amino acids) and lacks phosphatase binding motifs suggesting it lacks inhibitory activity. However, SIRP β associates with DNAX activation protein 12 (DAP12) and can transmit activating signals [24]. SIRP γ has an even shorter cytoplasmic region (four amino acids) and is also unlikely to signal. Two uncharacterized members of the SIRP family are SIRP β 2 and SIRP δ [1,22].

The extracellular domains of the SIRP members share highly conserved sequence homology with very subtle differences [22,23]. X-ray crystal structures of D1 for each of SIRP α , SIRP β , SIRP β 2, and SIRP γ closely resemble each other [14]. Additionally, SIRP α is known to be highly polymorphic [25]. Across 10 distinct human SIRP α alleles, 18 amino acids have been identified as polymorphic residues, all located in the N-terminal IgV domain of SIRP α .

While CD47 is the main extracellular ligand for SIRP α and might also weakly bind SIRP γ [8,14,22], additional extracellular ligands that interact with SIRP α include surfactant proteins A and D (Sp-A and Sp-D), found primarily in the lungs [26,27]. Insulin secretion and muscle formation are among some of the functions that somehow involve SIRP α [28]. However, the best characterized function of SIRP α is its role in inhibiting macrophage phagocytosis upon binding CD47 on another cell [1,9,29].

Binding of CD47-SIRP α and their other ligands

CD47 is the main ligand for SIRP α across mouse, rat and human [29], but the interaction is often weak with only sub-micromolar affinity [8,30,31]—as summarized here for various CD47 and SIRP α ligands (Table 1). Differences

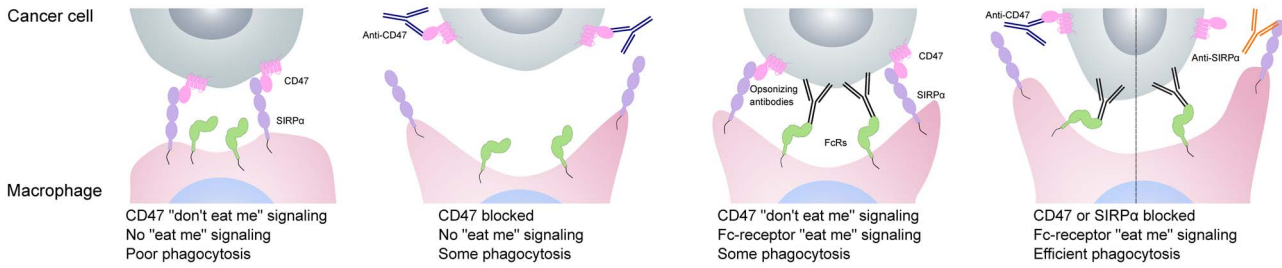


Figure 1. Phagocytosis is maximized by inhibiting CD47 on ‘self’ cells (the target) or SIRP α on macrophages in combination with antibodies that opsonize the target. CD47 binding to SIRP α signals “don’t eat me” to the macrophage (leftmost). Neither antibody blockade of CD47-SIRP α nor antibody opsonization of a target is sufficient to make target engulfment efficient (middle two), whereas the combination maximizes phagocytosis (rightmost).

in reported affinities might reflect differences in methods (such as cell-based measurements, surface immobilized protein, affinity from ratio of rates, etc.) as well as differences in expression constructs (native transmembrane protein versus soluble constructs). It is nonetheless clear that the single N-terminal IgV domain of CD47 interacts with the D1 of SIRP α . The interaction between these paired receptors is species-specific to some extent, with limited cross reactivity across species [30]. The X-ray crystal structure of the human CD47-SIRP α complex reveals three distinct binding sites with the highest density of interactions occurring between the β -strands comprising the FG loop of CD47 and a wide binding pocket made up of SIRP α 's BC, C'D, DE, and FG loops [14]. More than 50% of the interfacial surface between the two proteins occurs at this site. Furthermore, about 45% of CD47's contact residues with SIRP α consist of the 8 amino acids that make up the loop region between strands F and G. Their binding is mediated mainly by charge complementarity (SIRP α mostly positive and CD47 mostly negative). The FG loop in CD47 is conserved across different species which may explain why human-CD47 binds to some SIRP α polymorphs from different species—such as SIRP α in non-obese diabetic (NOD) mice [31] and also porcine SIRP α [32].

Binding of CD47 to other ligands such as integrins, TSP-1 and TSP-1-derived peptides, also involves the IgV domain of CD47 [16]. While the precise regions of interaction with integrins have not been determined, binding studies have shown that CD47 can activate integrins *in cis* independently as well as while bound to TSP-1 derived peptides or SIRP α [33–35], indicating that the binding site for integrins on CD47 is not occupied by either protein. Binding of CD47 to TSP-1, however, inhibits the binding of SIRP α . This finding was further demonstrated with a monoclonal anti-CD47 antibody, B6H12, that inhibited binding of both ligands to CD47 [36]. Although these results suggest that TSP-1 and SIRP α compete for overlapping binding sites on CD47, mutational and biochemical studies have also revealed that post-translational modifications of a critical serine residue on CD47 away from the SIRP α binding site is required for TSP-1 binding [37].

SIRP α also interacts with ligands other than CD47 such as surfactant proteins (in lung) Sp-A and Sp-D, respectively. Sp-D has been shown to bind SIRP α in D3, rather than D1 [27]. CD47 binding to SIRP α in D1 is not impaired in the presence of Sp-D. Sp-A binds SIRP α ; however, the binding

site is currently unknown. While the binding domain of SIRP α is highly polymorphic in varying between individuals, there has been controversy on whether the polymorphic residues affect CD47 binding. The crystal structure reveals that the 18 polymorphic amino acids all lie outside of the CD47 interaction interface [14,38], although this does not preclude an allosteric effect that is common in protein-protein interactions. Indeed, CD47 affinity to the different SIRP α alleles seems to vary [39]. Separate data suggest SIRP α polymorphism alters post-translational modifications which could also affect CD47 engagement [25].

CD47-SIRP α as an immune checkpoint

Inhibitory immune signaling occurs upon CD47 binding, with phosphorylation of the ITIM motifs in SIRP α that then recruit and activate the cytoplasmic phosphatases SHP-1 and SHP-2 [40–42]. Downstream targets of dephosphorylation include paxillin and nonmuscle myosin IIA, decreasing the efficiency of phagocytosis analogous to direct inhibition of nonmuscle myosin IIA—at least for IgG-opsonized targets [43]. Integrin mediated activation might also lead to the recruitment of the phosphatases and enhanced inhibitory phosphorylation signals [44].

When a target for phagocytosis is IgG opsonized, engulfment begins with the activation of Fc receptors (FcRs) on the surface of the phagocytic cell. This activation leads to the formation of a “phagocytic synapse” with rapid cytoskeletal rearrangement and accumulation of signaling proteins inside the macrophage at its point of contact with the targeted cell, microbe, or particle. The three main events that occur at the synapse are adhesion of the cell or particle with the phagocyte, pseudopod extension of the phagocyte around the target and final internalization [45]. CD47 on the target does not eliminate adhesion, but tends to impede the pseudopod formation and significantly suppresses the internalization.

The initial description of elevated CD47 levels in ovarian cancer followed by the characterization of its role in signaling “don’t eat me” to macrophages eventually inspired investigation of CD47 as a therapeutic target in cancer—particularly because CD47 tends to be modestly elevated in many hematologic and solid malignancies [46–49]. Many proof-of-principle applications have been developed to target the CD47-SIRP α immune checkpoint including fully humanized anti-CD47 antibodies, anti-SIRP α antibodies,

Table 1. Known affinities of CD47-SIRP α ligands

Ligand	Receptor	Affinity (μ M)	Reference
SIRP α V1	CD47	0.46/0.74	Rodriguez [39]/Hatherley [38]
SIRP α V2	CD47	1.0–2.0	Brooke [8], Hatherley [104], Hatherley [14]
SIRP α V2	CD47	0.44/0.64	Rodriguez [39]/Hatherley [38]
SIRP α V3	CD47	0.84	Rodriguez [39]
SIRP α V4	CD47	0.91	Rodriguez [39]
SIRP α V5	CD47	2.50/0.78	Rodriguez [39]/Hatherley [38]
SIRP α V6	CD47	0.30	Rodriguez [39]
SIRP α V7	CD47	3.21/0.65	Rodriguez [39]/Hatherley [38]
SIRP α V8	CD47	0.65	Rodriguez [39]
SIRP α V9	CD47	1.14	Rodriguez [39]
SIRP α V10	CD47	0.08/0.67	Rodriguez [39]/Hatherley [38]
NOD SIRP α	CD47	0.08	Kwong [31]
‘Self’ peptide	SIRP α	0.16	Rodriguez [39]
FD6	CD47	4.1×10^{-5}	Weiskopf [55]
CV1	CD47	1.1×10^{-5}	Weiskopf [55]
PKHB1 (peptide)	CD47	‘micromolar’ affinity	Martinez-Torres [105]
CD47AP	SIRP α	1.1×10^{-2}	Lee [94]
N3612 (Velcro CD47)	SIRP α V1	2.5×10^{-3}	Ho [96]
	SIRP α V2	3.7×10^{-4}	
DSP-107 (SIRP α -41BBL)	CD47	1.5×10^{-3}	Gozlan [106]

SIRP α -fusion IgG proteins, among other protein and peptide antagonists. Table 2 summarizes these antagonists and their *in vitro* applications against various types of malignancies. Early preclinical studies demonstrated the efficacy of anti-CD47 treatment of various malignancies, with many of these indicating activity as a mono-therapy [46,49–51]. Importantly, however, anti-CD47 is usually species specific, so human tumors are easily targeted as xenografts given no binding to any mouse cells; in addition, anti-CD47 an opsonizing IgG, and so it is difficult with monotherapy to identify the results as (i) the pro-phagocytic effects of opsonizing a cancer cell—which is not novel but potentially useful, and/or (ii) blocking the anti-phagocytic effects of the “do not eat me” signal—which is novel. Antibody-dependent phagocytosis activates the macrophage FcR, which directs the macrophage cytoskeleton towards the target [52,53]. Investigations with FcR-deficient mice and with Fab blocking antibodies (lacking the Fc chain) have suggested the mechanism of antibody-dependent macrophage phagocytosis differs depending on the type of malignancy [54]. For a few cancers, it seems sufficient to interrupt the CD47-SIRP α interaction, but it is ineffective for many cancers, especially solid tumors [55,56].

Macrophages also express CD47, and recent evidence suggests this interacts in *cis* with SIRP α . As with the *trans* interaction, the *cis* interaction leads to relatively high phosphorylation of SIRP α 's cytoplasmic tail and to relatively low levels of phagocytosis compared to CD47-knockout macrophages [57]. The potency of an anti-CD47 therapy might thus reflect the cumulative effects of inhibiting *trans* interactions between a macrophage and a cancer cell as well as inhibiting passivating *cis* interactions on the same macrophage.

Clinical targeting CD47-SIRP α in cancer

Decades ago, one anti-CD47 antibody was injected into ovarian cancer patients in order to image the tumors; the study demonstrated some targetability but provided no insight into therapeutic effects or safety issues [58]. This of course pre-dates by a decade the description in mouse of CD47 as a ‘Marker of Self’. [17] Over the past decade, CD47 has indeed emerged as a potential therapeutic target for macrophage checkpoint blockade in clinical trials against cancer, with monoclonal antibodies being the primary antagonists.

Clinical trials up to Phase 2 have rapidly expanded in numbers, diversity of approach, and targets studied [59–61]. Key strategies and current results from trial reports and conference proceedings are reviewed here (Table 3). A main conclusion is that monotherapy with anti-CD47 shows little to no efficacy across multiple cancer types when administered systemically, and while it often leads to rapid loss of a large fraction of blood cells (consistent with rapid loss of CD47-knockout mouse blood cells upon infusion in normal mice [17]), anti-CD47 *can show efficacy* in humans in combination therapies. In reviewing the clinical trials (below) with this macrophage checkpoint blockade, it seems that some efforts with anti-CD47 are based on the hope that human tumors would possess macrophage activating activity that could be unleashed by simply preventing the inhibitory signaling from CD47-SIRP α (i.e. a monotherapy). As noted earlier, T cell checkpoint blockade (using antagonists of PD-1's interaction with PD-L1) succeeds primarily against human tumors with high mutational loads that tend to activate T cells via their T-cell receptor (TCR) [4,5].

Table 2. CD47-SIRP α immune checkpoint inhibitors used for *in vitro* phagocytosis assays against cancer cells

Cancer type	CD47 antagonists	SIRP α antagonists
Acute lymphoblastic leukemia	B6H12 [50,107], BRIC126 [107], TTI-622 [74], ZF1 [108]	anti-mouse SIRP α (not specified) [107]
Acute myeloid leukemia	anti-CD47 (not specified) [109], B6H12 [48,50,100], BRIC126 [48], C47B222 [100], DSP-107 (CD47/4-1BB bispecific) [106], Magrolimab [98], NI-1701 (CD47/CD19 bispecific) [110], SIRP α -FC [111], SRF231 [79], TTI-621 [72], TTI-622 [74], ZF1 [108]	P84 [47]
B-cell lymphoma	ALX148 [77], B6H12 [50,112-114], BRIC126 [113], CD20-CD47LL (CD47/CD20 bispecific) [115], CD20-CD47SL (CD47/CD20 bispecific) [115], CV1 [55], FD6 [55], DSP-107 [106], Inhibrix [116], NI-1701 [110], SRF231 [79], TG-1801 (CD47/CD20 bispecific) [117], TTI-621 [72], TTI-622 [74]	040 [118], SE12C3 [118], ADU-1805 [97], KWAR23 [101], N3612 [96]
Bladder cancer	anti-CD47 (not specified) [119], B6H12 [46,50]	None
Brain cancer	B6H12 [46,50], BRIC126 [46], Magrolimab [49,120,121]	None
Breast cancer	B6H12 [46,122], BRIC126 [46], CV1 [55], FD6 [55], RRx-001 [123], TTI-621 [72]	I-23A [122], 12C4 [122], 040 [118], SE12C3 [118], KWAR23 [101], N3612 [96]
Chronic lymphocytic leukemia	PKHB1 [105]	None
Chronic myeloid leukemia	B6H12 [50], TTI-621 [72]	None
Colon cancer	ALX148 [77], B6H12 [46,124], BRIC126 [46], CV1 [55], FD6 [55], DSP-107 [106], TTI-621 [72]	FAB 119 [83], FAB 136 [83], KWAR23 [101], N3612 [96]
Colorectal cancer	TTI-622 [74]	None
Endometrial cancer	B6H12 [125]	None
Epidermoid cancer	TTI-621 [72]	None
Esophageal cancer	ALX148 [77]	None
Gastric cancer	B6H12 [126]	FAB 119 [83], FAB 15 [83], FAB 136 [83]
Hepatocellular cancer	Ab400 (cross reacts human and mouse CD47) [127], B6H12 [127]	None
Leiomyosarcoma	B6H12 [128]	None
Lung cancer	CV1 [99], FD6 [99], DSP-107 [106], Magrolimab [99], RRx-001 [123], SIRP α D1-Fc [129], TTI-621 [72], TTI-622 [74]	None
Melanoma	A4 (anti-mouse CD47) [56], TTI-621 [72]	SE7C2 [21]
Medulloblastoma	Magrolimab [49]	MY-1 (anti-mouse SIRP α) [102], P84 (anti-mouse SIRP α) [102]
Myelodysplastic syndrome	TTI-622 [74]	None
Myeloma	ALX148 [77], B6H12 [130], TTI-621 [72]	None
Osteosarcoma	Ab400 [131], B6H12 [131]	None
Ovarian cancer	B6H12 [46], BRIC126 [46], DSP-107 [106], TTI-621 [72]	None
Pancreatic cancer	B6H12 [132,133], CV1 [132], FD6 [132], Magrolimab [132]	None
Pharynx cancer	DSP-107 [106]	None
Renal carcinoma	None	KWAR23 [101], MY-1 [102], P84 [102]
Skin cancer	TTI-621 [72]	None
T-cell lymphoma	B6H12 [134], SRF231 [134], TTI-621 [72]	None
T-cell leukemia	B6H12 [100], C47B157 [100], C47B161 [100], C47B222 [100], TTI-621 [72]	SE7C2 [21]

Table 3. Therapeutic CD47-SIRP α antibodies currently being investigated in the clinic

Drug	Company	Clinical trials	Phase	Status	Targets	Combinations
Magrolimab (Hu5F9-G4)	Forty Seven, Inc.	NCT02678338	Phase 1	Completed	Acute myeloid leukemia, myelodysplastic syndrome	Monotherapy
		NCT02216409	Phase 1	Completed	Solid tumors	Monotherapy
		NCT03248479	Phase 1	Ongoing	Acute myeloid leukemia, myelodysplastic syndrome	Monotherapy, azacitidine
		NCT02953782	Phase 1/2	Ongoing	Colorectal neoplasms, solid tumors	Cetuximab
TTI-621	Trillium Therapeutics, Inc.	NCT02953509	Phase 1/2	Ongoing	Lymphoma, Non-Hodgkin lymphoma, Large B-Cell, diffuse indolent lymphoma	Rituximab
		NCT02663518	Phase 1	Ongoing	Hematologic malignancies, solid tumors	Monotherapy, rituximab, nivolumab
		NCT02890368	Phase 1	Ongoing	Solid tumors, mycosis fungoides	Monotherapy, PD-1/PD-L1 inhibitor, pegylated interferon- α 2a, T-Vec, radiation
TTI-622		NCT03530683	Phase 1	Ongoing	Lymphoma, myeloma	Monotherapy, rituximab, PD-1 inhibitor, proteasome-inhibitor regimen
CC-90002	Celgene	NCT02367196	Phase 1	Ongoing, not recruiting	Hematologic neoplasms	Monotherapy, rituximab
ALX 148	ALX Oncology, Inc.	NCT03013218	Phase 1	Ongoing	Solid tumors, Non-Hodgkin lymphoma	Monotherapy, pembrolizumab, trastuzumab, rituximab, ramucirumab + paclitaxel, 5-FU + cisplatin
SRF231	Surface Oncology	NCT03512340	Phase 1	Ongoing	Advanced solid cancers, hematologic cancers	Monotherapy
AO-176 BI 765063 (OSE-172)	Arch Oncology OSE Immunotherapeutics, Boehringer Ingelheim	NCT03834948	Phase 1	Ongoing	Solid tumors	Monotherapy
		NCT03990233	Phase 1	Ongoing	Solid tumors	Monotherapy, PD-1 inhibitor
HX009	Waterstone HANXbio Pty Ltd.	NCT04097769	Phase 1	Ongoing	Advanced solid tumors	Monotherapy
TJ011133 (TJC4)	I-Mab Biopharma, Co. Ltd.	NCT03934814	Phase 1	Ongoing	Solid tumors, lymphoma	Monotherapy, pembrolizumab, rituximab
IBI-188	Innovent Biologics (Suzhou) Co. Ltd.	NCT03763149 NCT03717103	Phase 1 Phase 1	Ongoing Ongoing	Advanced malignancies Advanced malignancies	Monotherapy Monotherapy, rituximab

Magrolimab, previously known as Hu5F9-G4, is the anti-human-CD47 monoclonal that is most advanced in clinical trials. Two Phase 1 dose-escalation trials have been completed in acute myeloid leukemia (AML) and solid tumors. Magrolimab is a humanized monoclonal IgG4 antibody that was engineered to not only block CD47 signaling but to also minimize engagement of FcRs and thereby limit macrophage activation [49]. This is because the IgG4's Fc region has weaker affinity for FcRs compared to other IgG subtypes; Magrolimab is therefore more likely to work as an inhibitor and less as an opsonizing antibody. On the other hand, CD47 expression on all cells in the body means that there is a large sink for infused anti-CD47.

First reports of efficacy required a combination treatment of magrolimab and rituximab (anti-CD20) in relapsed/refractory (r/r) non-Hodgkin's lymphoma (NHL) patients that were refractory to rituximab alone [62]. Phase 1b results showed 36% complete response rate (CRR) and 50% objective response rate (ORR) for a small cohort of a few dozen patients. Addition of the tumor-specific antibody to activate macrophage effector functions is a growing trend in CD47 blockade trials, reflecting the need for pro-phagocytic cues (e.g. antibody engagement of FcRs) in combination with blockade of 'don't eat me' signals to drive tumor regression. CD24 was recently proposed as another cell-surface 'do not eat me' signal and target, although in magrolimab-treated Phase 2 NHL patients, neither CD24 nor CD47 showed prognostic value [63,64]. In another combination Phase 1b trial with the chemotherapeutic azacitidine, ongoing results reported 92% ORR in untreated higher-risk myelodysplastic syndrome (MDS) and 64% ORR in untreated AML patients [65,66]. A tentative mechanism for this combination is that azacitidine results in surface display of pro-phagocytic calreticulin (normally intracellular), which synergizes with CD47 blockade in cancer cell phagocytosis [67]. These latest data contributed to Forty-Seven, Inc's multi-billion dollar acquisition by the much larger firm, Gilead Sciences, announced in March 2020.

TTI-621 and TTI-622 are SIRP α -Fc fusion proteins in trials against hematologic and solid malignancies [68–71]. Both consist of the CD47-binding domain of human SIRP α fused to a human Fc domain: IgG1 for TTI-621 and IgG4 for TTI-622. The IgG1 domain of TTI-621 contributes to its increased potency, at least in preclinical models [72]. The TTI's were reported to have no affinity for human RBCs, but a re-analysis of TTI-621 data suggests otherwise. Magrolimab (5F9) and BRIC126 clearly cause hemagglutination by antibody-mediated cross-bridging [72], which is not observed with TTI-621 and other select anti-CD47 agents (Fig. 2A). On the other hand, addition of 'saturating concentrations' ($\sim 1 \mu\text{M}$ based on hemagglutination results) to RBCs and then assayed for binding by flow cytometry, TTI-621 (and also TTI-622) gives a signal well above several non-specific antibodies albeit far below several anti-CD47 antibodies; the difference allows one to estimate a weak sub- μM affinity of TTI-621 for RBCs (Fig. 2B). This is only slightly weaker than TTI-621 binding (with $\sim 10 \text{ nM}$ to $\sim 1 \mu\text{M}$ affinities) to fresh white blood cells and platelets as well as to primary hematopoietic tumor samples, and to various human tumor cell lines

(Fig. 2C). Curiously, the effective concentrations (EC50) for phagocytosis of the tumor cell lines was ~ 10 – 100 -fold stronger ($\sim \text{nM}$) than the above binding affinities, which perhaps relates to dominance of the Fc domain, and it is also curious that RBC phagocytosis results have not been reported. Indeed, tight binding of $\sim 10 \text{ nM}$ does not predict efficient phagocytosis (Fig. 2D). Although TTI-621 showed some efficacy when administered intratumorally to patients with cutaneous T-cell lymphoma (mycosis fungoides), intravenous administration showed grade 3 thrombocytopenia in 18% of a varied cohort of leukemia, lymphoma, and other solid tumor patients (25% overall showed some level of thrombocytopenia). It should be noted that platelet measurements are much noisier than RBC counts, and confident measurements of cytopenias/anemias also require measurements of any compensating production (e.g. reticulocytes). Despite potential safety concerns, monotherapies with TTI-621 in B- and T-cell lymphomas produce 18–29% ORR at low doses (0.5 mg/kg) with dose escalation in progress, which is unlike other anti-CD47 monotherapies under clinical study [73]. TTI-622 is being studied in combination with other tumor-specific agents, including rituximab and a PD-1 inhibitor to engage adaptive immune responses with continued claims of preferential tumor cell phagocytosis and no RBC binding [74]. For a deeper understanding of mechanism, future experiments should address RBC phagocytosis effects (i.e. EC50 *in vitro*) as well as the effect of bivalent/multivalent protein/peptide binding and blocking of SIRP α in the absence of a Fc domain.

CC-90002 is a humanized, high affinity (sub-nanomolar) monoclonal IgG4 CD47 antibody in Phase 1 trials against advanced solid and hematologic malignancies in combination with rituximab, with an earlier trial terminated due to discouraging safety profiles. In r/r NHL patients of the combined trial, 13% showed a response rate with 25% showing stable disease [75], but 50% showed anemia (of any grade) with 33% showing thrombocytopenia.

ALX 148 is a fusion protein that consists of the CD47 binding domains of SIRP α and a fully inactive Fc domain [76,77]. Notably, its molecular mass is 50% that of a typical antibody, which may enable lower dosing (e.g. 10 mg/kg) to saturate CD47 targets. The most recent reported data show that just 13.3% and 6.7% of patients ($n = 30$) show thrombocytopenia and anemia, respectively, in a combination cohort with ALX148 and trastuzumab (anti-HER2). Another cohort receiving ALX148 and pembrolizumab (anti-PD1) reported 7.7% in both of the same measures [78]. In a cohort for r/r NHL with rituximab, the maximum tolerated dose was not reached, similar levels of anemia and thrombocytopenia were shown, and ongoing preliminary ORRs varied from 31% to 50% depending on tumor type.

Safety concerns with anti-CD47 remain due to the lack of specificity in targeting a ubiquitously expressed protein. Anemia and thrombocytopenia are widespread in patients and only partially mitigated by priming and dosing strategies [59]. One fully human monoclonal antibody, SRF231, caused blood toxicities at such low doses (12 mg/kg) halting further expansion cohorts in its Phase 1 trial [79]. The addition of tumor-specific agents alongside anti-CD47 may increase efficacy but does not necessarily address

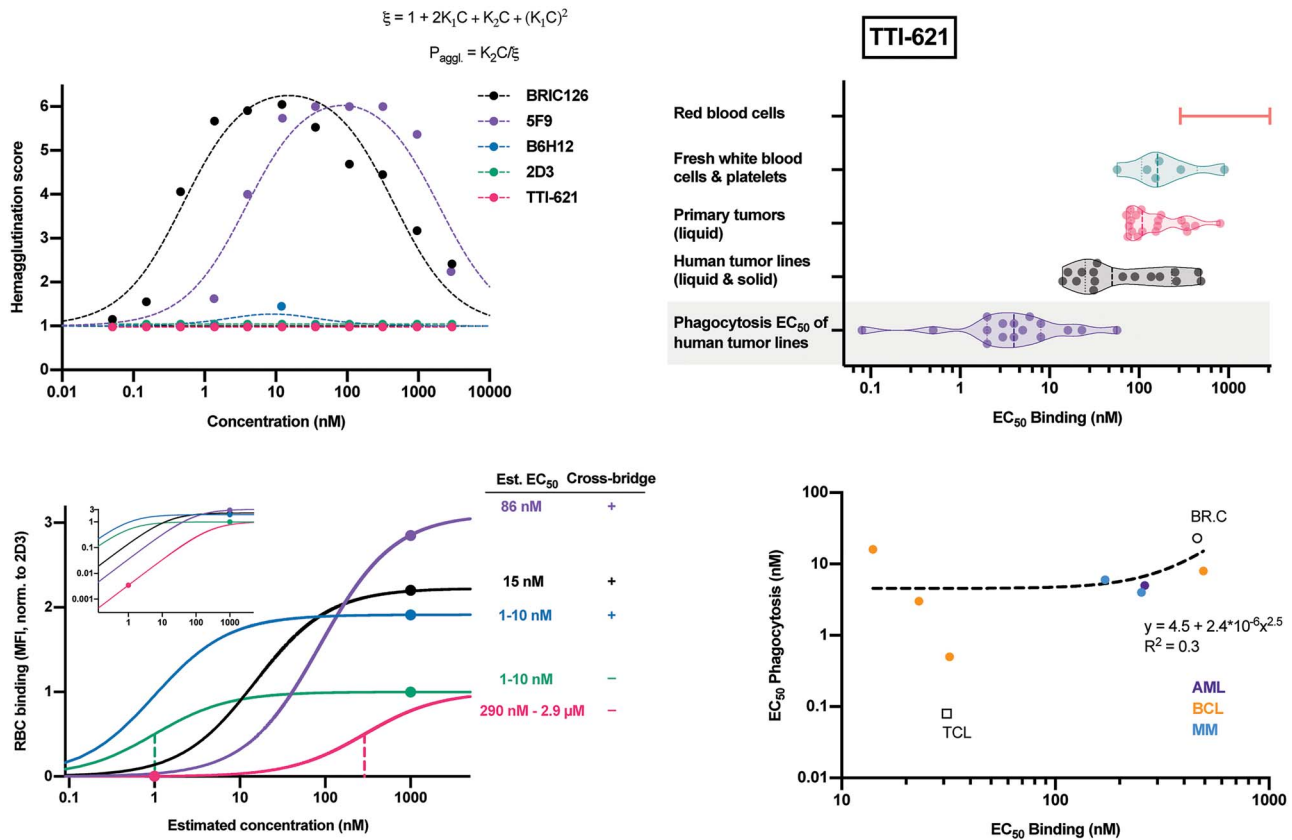


Figure 2. Novel re-analysis of TTI-621 binding and phagocytosis data from Ref. [72]. (A) Molecular partition function (ξ) fitting to the hemagglutination data. K_1 and K_2 are the association constants, inversely related to dissociation constants or EC50. Schematic of possible binding states of various CD47 affinity agents is shown for two apposed RBC membranes. Magrolimab (5F9) and BRIC126 both exhibit high hemagglutination and show cross-bridging, which can be fit (5F9: $K_1 = 1.2 \times 10^{-2} \text{ nM}^{-1}$, $K_2 = 0.24 \text{ nM}^{-1}$; BRIC126: $K_1 = 6.6 \times 10^{-2} \text{ nM}^{-1}$; $K_2 = 1.9 \text{ nM}^{-1}$), whereas TTI-621, B6H12, and 2D3 do not. (B) TTI-621 shows non-zero binding to RBCs, which is weaker than anti-CD47 antibodies but consistent with past reports of sub- μM affinity between CD47 and SIRP α [39]. Inset: same data plotted with y-axis on log scale. Note that the plot follows the same color scheme as in (A). (C) TTI-621 binding data show sub- μM affinity for white blood cells, primary tumor samples, and human tumor cell lines. Phagocytosis of the human tumor lines requires less binding for effective phagocytosis. (D) TTI-621 binding affinities do not predict phagocytic efficiency across various cancer cell types. BR.C: breast cancer, AML: acute myeloid leukemia, BCL: B cell lymphoma, MM: multiple myeloma, and TCL: T-cell lymphoma.

safety issues, even in the case of bispecific or Fc-inactive antibodies.

Other current candidates in early trials have yet to report results as they monitor patient safety and dosing profiles such as AO-176, a humanized monoclonal anti-CD47 IgG2 antibody, and HX009, an anti-PD-1/CD47 bispecific antibody [67]. IBI-188 is a CD47 IgG4 monoclonal antibody under Phase 1 trials in the US and China against advanced malignant tumors and lymphomas [80]. TJC4 (also known as TJ011133) is another CD47 monoclonal antibody that recently entered Phase 1 trials in the US for solid tumors and lymphoma in combination with pembrolizumab and rituximab [81,82]. Many other drugs are in active preclinical development by startups and major pharmaceutical companies. The expanding field of candidates indicates an exciting but potentially challenging time in the development of CD47 therapeutics for cancer.

SIRP α is also a target for antibody blockade under preclinical and clinical study in efforts to address the safety and efficacy concerns of early CD47 drugs, especially given CD47's ubiquitous expression [83]. Several anti-SIRP α antibodies are in active development in efforts to augment

anti-tumor responses and overcome the significant off-target toxicities with anti-CD47 [84]. BI 765063/OSE-172 is a monoclonal SIRP α antagonist in a Phase 1 trial that dosed its first patient in June 2019 as a monotherapy and in combination with an anti-PD-1 monoclonal antibody [85].

Sequence–function relationships for CD47-SIRP α

Understanding the residues in CD47 and SIRP α that are key to binding and function will assist in developing new classes of checkpoint blocking proteins and peptides. Antibodies used for blocking are extremely large, glycoprotein complexes with >1000 amino acid residues (~150 kDa). They also possess multiple disulfide bridges that require specialized eukaryotic machinery to faithfully produce the numerous post-translational modifications. For these reasons and more, monoclonal antibodies with specificity for one protein such as CD47 are costly to produce in large quantities even though Good Manufacturing Practice for monoclonals is now a mainstay in biopharma [86]. Indeed, the average annual cost to a patient for a monoclonal

antibody treatment is about \$100 000, which adds greatly to the rapidly rising costs of drugs and healthcare [87,88].

The co-crystal structure of CD47-SIRP α shows 13 residues in CD47 that contact 12 residues in SIRP α (polymorphic variants 1 and 2) through hydrogen bonding and salt bridges [14,38]. Cross-species interactions, such as between pig CD47 and human SIRP α [30] or between human CD47 and NOD mouse SIRP α [31], have a potential basis in some critical contact residues based on sequence alignments (Fig. 3A). Contact residues in human CD47 are all conserved in pig CD47 except for Lys-6, which is an Ile in pig. From the crystal structure, this residue is outside of the CD47 FG binding loop, and Lys is similar in size to Ile, making it likely that contact is maintained. For similar reasons, monkey CD47 that shares the same contact residues as human CD47, and dog CD47 that shares the same contact residues as pig CD47, should both bind human SIRP α . Mouse and rat CD47 have two non-conserved mutations at human residues Asp-46 and Glu-106, respectively. Mutating Asp to a bulky Tyr residue should interfere with the FG loop in SIRP α and remove an important H-bond. Replacing the negative Glu with a positively charged Lys eliminates a critical salt bridge with Lys-53 in SIRP α 's binding pocket. Likewise, cow, sheep, and chicken all have mutations at critical H-bonding sites, which explain the lack of binding to human SIRP α .

The 12 contact residues in human SIRP α are conserved across its polymorph variants that all bind human CD47 [39]. NOD-SIRP α reportedly binds human CD47 65-fold more tightly than human SIRP α [31]. Sequence analysis reveals conserved mutations with SIRP α V1 except at residues Gln-52 and Lys-53 (Fig. 3A). From crystal structure analysis, the H-bond formed via Lys-53 is potentially maintained with a Thr mutation found in NOD-SIRP α , a possible explanation for the increased affinity may be due to the increased hydrophobicity of the Q52F mutation. Phe-52 has the propensity to engage in hydrophobic interactions with pyroGlu-1 in SIRP α which might compensate for the loss of the noncritical H-bond. Variance in mouse SIRP α shows that Lys-53 is mutated to aliphatic Ala, eliminating a critical H-bond with Glu-106 in CD47 and perhaps explaining the lack of human CD47 binding. Moreover, two residues in mouse SIRP α (Ser-102 & Glu-103) are absent in NOD-SIRP α and in human SIRP α , which suggests enhanced CD47 affinity for NOD-SIRP α relative to other mouse SIRP α 's.

Interestingly, human CD47 binding to pig SIRP α inhibits phagocytosis, which indicates that the sequence variance between pig and human SIRP α does not prevent signaling [32]. Two contact residue changes between human and pig, Q52F, which is the same mutation found in NOD mouse strains, and G97E, a nonconserved mutation that introduces a salt bridge interaction with Lys37 in CD47 (Fig. 3A). In NOD-SIRP α , the Q52F mutation seemingly enhanced CD47 affinity suggesting the same may be true with pig SIRP α , especially with the addition of a favorable H-bonding interaction at Gly97. However, phagocytosis is inhibited by the interaction of NOD-SIRP α and human CD47, implying that the sequence complementarity of the remaining contact residues, namely Lys53, between the paired receptors is important for signaling regardless of

species. When comparing this to the 10 polymorphs of human SIRP α , which all bind human CD47 [25,38,39], the resultant "don't eat me" signal is dependent on which SIRP α variant CD47 interacts with, even though both are from the same species [89]. When comparing monkey and dog SIRP α sequences, the contact residues are also conserved in the same manner as CD47 (monkey conserved with human sequence and dog conserved with pig sequence except at Gly97). This becomes significant for preclinical safety and efficacy models and modulating engraftment of human cells in other species.

Although both CD47 and SIRP α are glycosylated post-translationally, glycosylation is not a requisite of CD47-SIRP α interaction, with amino acid residues driving the binding [90,91]. Monomeric, recombinant CD47 and SIRP α expressed in *E. coli* and lacking glycosylation indeed disrupt the CD47-SIRP α interaction *in vitro* [92]. Glycosylation of SIRP α and of CD47 may sometimes inhibit their binding [93] but otherwise seem important for *cis* dimerization of SIRP α on the surface of cells [94]. An important post-translational modification, however, is the N-terminal modification of CD47 by glutaminyl-peptide cyclotransferase-like protein (QPCTL) to produce pyroglutamate [95]. This modification has been demonstrated to contribute to SIRP α binding as well as signaling, although the earlier results with CD47 expressed in *E. coli* did not seem to account for this modification [92]. Nonetheless, inhibiting QPCTL enhanced antibody-mediated phagocytosis [95].

Major advances have been made in engineering high affinity versions of CD47 and SIRP α to function as immune checkpoint inhibitors. The most potent protein CD47 inhibitors developed are FD6 and CV1, which inhibit SIRP α binding at, remarkably, picomolar concentrations [55]. Analysis of the sequence and contact points between wildtype SIRP α and these engineered variants shows that three contact residues are mutated: K53R (conserved), E54Q (non-conserved), and L66T (non-conserved compared to SIRP α V1 but conserved compared to V2). The remaining nine mutations in FD6 (six mutations in CV1) appear to contribute to the stability of the engineered variants and add more hydrophobic contacts with CD47. It is important to note that these engineered variants cross-react with mouse CD47. Notably, an engineered CD47 variant, Velcro-CD47 N3612, potently antagonized SIRP α with no changes made to the binding region [96]. Rather, a three amino acid extension was added (Trp-Gln-Pro) to the N-terminus of CD47 and only a single point mutation made on Gln-1 (pyroGlu) to a Pro residue. Adding additional N-terminus contact residues between CD47 and SIRP α V1 and V2 effectively enhanced CD47 affinity to nanomolar and picomolar concentrations for the SIRP α variants, respectively. A 21-amino acid 'Self' peptide derived from the FG binding loop of CD47 was also shown to bind, antagonize SIRP α , and inhibit phagocytosis, suggesting that binding and function primarily converge to this sequence [39].

Pan-allelic anti-SIRP α antibodies that interact with more than one polymorph and/or species of SIRP α have also been engineered in order to overcome limitations that arise in targeting various polymorphs of SIRP α . One study discovered various classes of pan-allelic antibodies

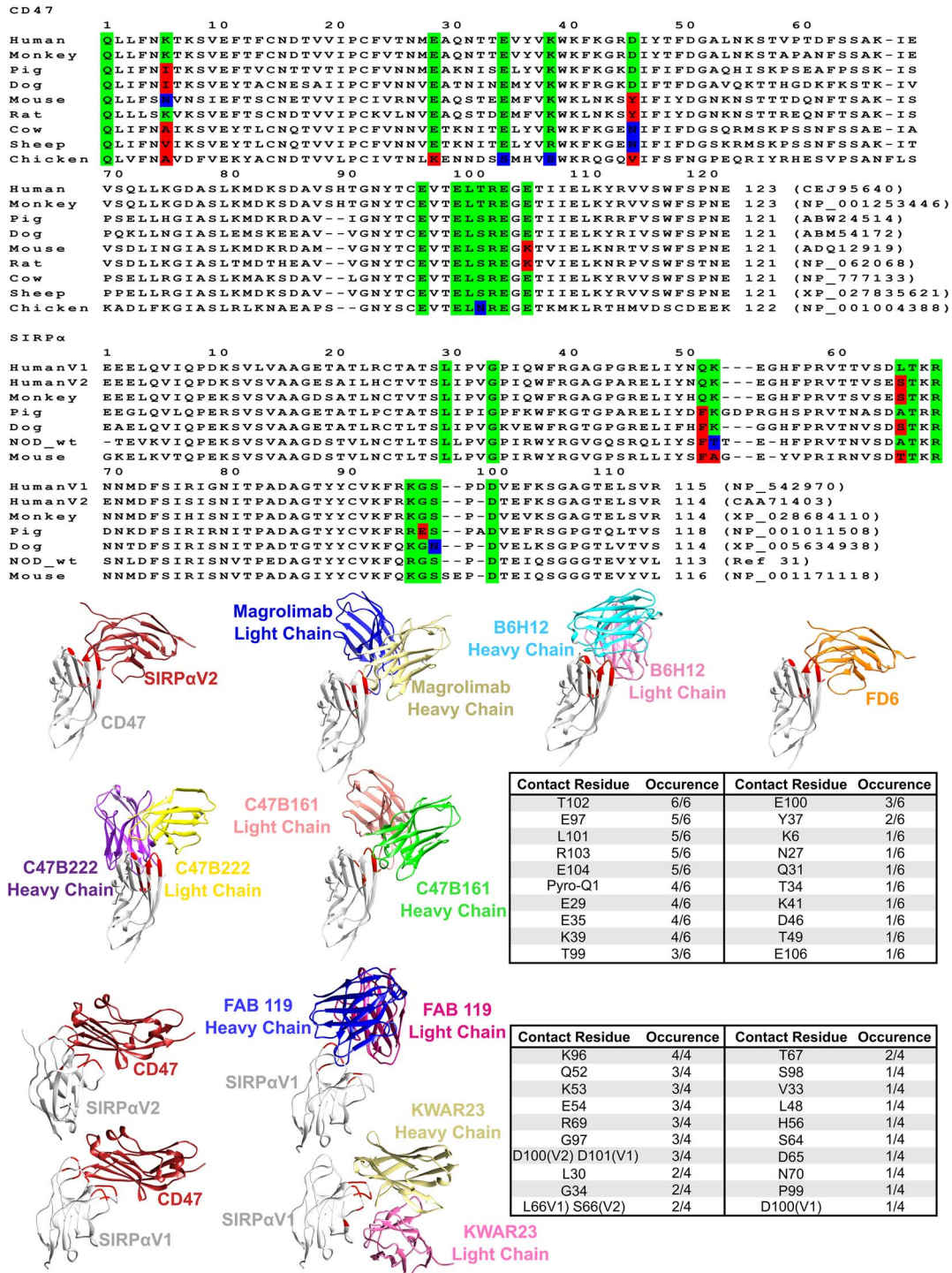


Figure 3. Sequence alignment and crystal structures reveal constant contact residues critical for cross-species reactivity and ligand binding for both CD47 and SIRPα. (A) Sequence overlays of CD47 and SIRPα, respectively, reveal conserved residues across different species. Green highlighted residues are conserved relative to human wildtype sequence. Blue highlighted residues are non-conserved mutations relative to human wildtype; however, maintain H-bonding. Red highlights are non-conserved mutations. Porcine CD47 binds human SIRPα and this can be seen from the conservation of most of the contact residues. Based on this, monkey CD47, which shares the same contact residues as human CD47, and dog CD47, which shares the same contact residues as pig CD47, should bind to human SIRPα. Likewise, when comparing SIRPα variants across different species, the conservation of contact residues among the sequences of NOD mice and pig SIRPα with human SIRPα provide some rationale as to why human CD47 interacts with these variants. Based on this, human CD47 should interact with monkey and dog SIRPα. Crystal structures of various (B) CD47 and (C) SIRPα bound inhibitors. For all antibody bound structures, only the first 100 residues in each of the heavy and light chains are shown. CD47 and SIRPα contact residues in each complex are highlighted in red. Inset tables list all contact residues in the respective receptors and how many times each contact residue is involved in binding across the various complexes. (B) PDB codes 2JJS (CD47/SIRPαV2), 5IWL (CD47/magrolimab), 5TZ4 (CD47/B6H12), 4KJY (CD47/FD6), 5TZ2 (CD47/C47B222), and 5TZT (CD47/C47B161). (C) PDB codes 2JJS (SIRPαV2/CD47), 6NMR (SIRPαV1/FAB 119), 4CMM (SIRPαV1/CD47), and 6BIT (SIRPαV1/KWAR23).

against SIRP α variants that antagonized human, mouse and monkey SIRP α [83]. Interestingly, some of these anti-SIRP α antibodies promote phagocytosis without physically blocking the SIRP α binding groove and inhibiting CD47 interaction—although the mechanism remains unknown. A second study reports on ADU-1805, a humanized pan-allelic anti-SIRP α antibody that interacts with SIRP α variants 1, 2, and 8 [97]. ADU-1805 blocks CD47 binding to SIRP α and SIRP γ , and it does not bind to SIRP β . Pan-allelic agents that bind all SIRP α variants as well as pan-allelic peptides and proteins have yet to be discovered.

Structure–function relationship of the CD47-SIRP α axis

In addition to sequence analysis, crystal structures also assist in determining important structural factors that lead to potent antagonism (Fig. 3B). For the fully humanized antibody magrolimab (Hu5F9-G4) that was made to block CD47 [98], the crystal structure reveals a magrolimab-CD47 binding complex like that of the SIRP α -CD47 complex showing magrolimab competing for the same SIRP α binding site [99]. Crystal structures of the older monoclonal B6H12 as well as hybridoma (C47B161) and phage (C47B222) derived monoclonal anti-CD47 antibodies also show that SIRP α is inhibited due to competitive binding to the same CD47 FG loop binding site [100]. 2D3 is a monoclonal anti-CD47 antibody that binds CD47 but reportedly does not block the interaction with SIRP α nor the inhibitory signal, indicating it interacts at a site away from the CD47 FG binding loop [48].

SIRP α directed antagonists likewise bind and block CD47 by competing for the ligand binding groove in SIRP α (Fig. 3C). KWAR23, an anti-SIRP α blocking antibody, overlaps the same binding region as CD47, revealing a basis for competitive binding [101]. Most recently, a series of blocking and non-blocking anti-SIRP α antibodies have been crystallized in complex with SIRP α [83]. The blocking antibodies all compete for the same binding site in SIRP α as CD47; however, one antibody epitope shares only a single common residue with CD47 in the SIRP α binding groove, but is enough to displace CD47 engagement. These anti-SIRP α blocking and non-blocking antibodies, were potent to different degrees in promoting phagocytosis of colon and esophageal carcinoma cells *in vitro*. These effects were also observed with monoclonal anti-mouse SIRP α , P84, which does not block CD47 binding, but rather inhibits SIRP α signaling by some other mechanism to promote macrophage phagocytosis [102].

When comparing the crystal structures of bound CD47 and SIRP α , respectively, there are conserved contact residues in both proteins that interact with the bound ligand. In CD47, Thr-102 is involved in binding with all the potent antagonists as seen in the crystal structures (Fig. 3B). Likewise, Lys-96 in SIRP α is a conserved contact residue (Fig. 3C). Considering which residues are conserved in terms of binding can assist in rational design of protein, peptide, and small molecule inhibitors that are reminiscent of the binding interface of either CD47 or SIRP α based on the overall fold and positioning of these conserved contact residues.

It remains unclear whether CD47 binding to SIRP α leads to structural changes in the latter that somehow promotes cytoplasmic signaling. SIRP α is mobile and accumulates at the phagocytic synapse [43]. Interestingly, “forcing” SIRP α into the phagocytic synapse in the absence of CD47 also prevents engulfment of opsonized targets indicating the localization of SIRP α in the synapse is sufficient for signaling “don’t eat me” to the macrophage [103]. Accumulation of SIRP α to the synapse is thus driven by the presence of CD47 and appears to be the main mechanism by which phagocytosis is inhibited.

CONCLUSIONS

A balance of activating and passivating signals in the immune system normally maintains homeostasis but also allows cancer cells to evade clearance and spread. Immune checkpoint blockade of the PD-1/PDL-1 axis on T cells has achieved some success against some cancers as a monotherapy, but current understanding is that T cells in these patients are being activated by an abundance of mutations that can stimulate only upon checkpoint blockade. Although monotherapy against CD47-SIRP α seemed promising based on multiple syngeneic mouse models of cancer that used cancer lines that were known to be immunogenic, monotherapy also seemed unlikely based on minimally immunogenic lines such as B16 melanoma in C57 mice [56]. In this model, even PD-1 blockade is relatively ineffective unless the B16 cells are made more immunogenic with mutations that are also known to favor clinical responses to PD-1 blockade [4,5]. Combination therapies of CD47-SIRP α blockade with tumor-opsonizing antibodies that activate macrophages through the FcR pathway are thus sensible and promising. They also have the theoretical potential for antigenic spread within a patient, if engulfment of the cancer cell by a macrophage or dendritic cell leads to patient-specific antibodies against tumor mutations that otherwise remain hidden behind the macrophage checkpoint.

ACKNOWLEDGEMENTS

J.C.A. was supported under DGE-1845298 by the National Science Foundation Graduate Research Fellowship Program. This work was also supported by DMR-1120901, U54-CA193417-05, and R01-HL124106-06. The content of this article is solely the responsibility of the authors and does not necessarily represent the official views of neither the National Institutes of Health nor the National Science Foundation.

CONFLICT OF INTEREST

The authors report no conflicts of interest.

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