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## CisOrtho: A program pipeline for genome-wide identification of transcription factor target genes using phylogenetic footprinting

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### Abstract

**Background:** All known genomes code for a large number of transcription factors. It is important to develop methods that will reveal how these transcription factors act on a genome wide level, that is, through what target genes they exert their function.

**Results:** We describe here a program pipeline aimed at identifying transcription factor target genes in whole genomes. Starting from a consensus binding site, represented as a weight matrix, potential sites in a pre-filtered genome are identified and then further filtered by assessing conservation of the putative site in the genome of a related species, a process called phylogenetic footprinting. CisOrtho has been successfully used to identify targets for two homeodomain transcription factors in the genomes of the nematodes *Caenorhabditis elegans* and *Caenorhabditis briggsae*.

**Conclusions:** CisOrtho will identify targets of other nematode transcription factors whose DNA binding specificity is known and can be easily adapted to search other genomes for transcription factor targets.

### Background

Transcription factors are among the most common regulatory proteins in a cell. They subserve a variety of functions during development and homeostasis, yet in most cases the full spectrum of target genes regulated by a given transcription factor is unknown. The identification of transcription factor target genes is a challenging task since most transcription factors have the inherent capacity to tolerate a significant amount of variation in their *cis*-regulatory binding sites [1]. These variations, which are usually experimentally determined, are commonly represented by a weight matrix with which genomes can be searched [2-4]. However, the usually sparse and widely varying data on transcription factor binding sites together

with the large search space of a genome leads to many false hits, thus necessitating further filtering. This can be done by imposing the criteria of conservation of the *cis*-regulatory sequence in a related species that has diverged long enough ago to cause only functionally relevant DNA sequences to be conserved ("phylogenetic footprinting") [1,5-13]. We describe here a program pipeline that will identify targets of a transcription factor with a defined *cis*-regulatory target specificity, using two invertebrate model system genomes, those of *C. elegans* and *C. briggsae* [14,15].

## Implementation

CisOrtho is written in ANSI C++ with the use of the SGI Standard Template Library <http://www.sgi.com/tech/stl/> and a supporting freely available options-parsing interface Opt-3.19 (<http://nis-www.lanl.gov/~jt/Software/> or from <http://dev.wormbase.org/CisOrtho>). It consists of 1541 lines of code in 11 source files. The program has two parameters which affect either size (memory) or runtime: Ngenes, number of ortholog-matched gene pairs to consider; D, number of total hits per gene to be reported. Memory is approximately  $Ngenes * D * 200$  bytes; runtime is approximately linear in Ngenes, D and the length of DNA to be searched. For exhaustive settings (Ngenes = 12000, D = 10), total memory is 23.2 MB and runtime 17 minutes on a 2.8 GHz Xeon processor. A more typical run (Ngenes = 500, D = 3) takes 3.9 MB and 42 seconds. Since memory requirement does not depend on the length of DNA to be searched, a search on the Human and Mouse genome would be feasible on a machine with 256 MB.

## Results and discussion

### Procedure overview

The procedure presented here is aimed at finding genes likely to be regulated by a given transcription factor for which a collection of binding sites is available. There are four steps (Fig. 1). In the first step, annotation files (GFF files) are used to define, classify, and associate with genes, every non-exonic region in the *C. elegans* and *C. briggsae* genomes. The second step consists of building a position weight matrix for the set of aligned binding sites, and using it as a scanning window to search the non-exonic regions for the N highest scoring hits, where N is defined by the user. In the third step, we use an available file that provides a one-to-one *C. elegans/C. briggsae* ortholog-mapping [15] to filter out all ortholog pairs that do not have high-scoring hits for both ortholog members. The fourth step involves sorting the remaining hit-pairs according to the scores of the hits and the number of mismatches between the hits, and finally outputting this in HTML tables. The whole procedure can be conducted at a user-friendly web interface at <http://dev.wormbase.org/CisOrtho>. A screenshot is shown in Fig. 2. It is also available for download at the same website.

### Identification of non-exonic regions

We classified all non-exonic genomic regions into several types based entirely on the exon boundary annotations from *General Feature Format* (GFF) files [http://www.sanger.ac.uk/Projects/C\\_elegans/WORMBASE/GFF\\_files.shtml](http://www.sanger.ac.uk/Projects/C_elegans/WORMBASE/GFF_files.shtml). We defined nine types of regions, based on the exons surrounding the region (Fig. 3). These region types were used only to label the final hits, and were not used in the algorithm to rank them or filter them. They are included merely to aid the user who wants to further inspect the results manually. We excluded exonic regions

from our analysis since a) they are unlikely to contain transcription factor bindings sites and b) the high conservation of coding sequences between species would negate the utility of "phylogenetic footprinting".

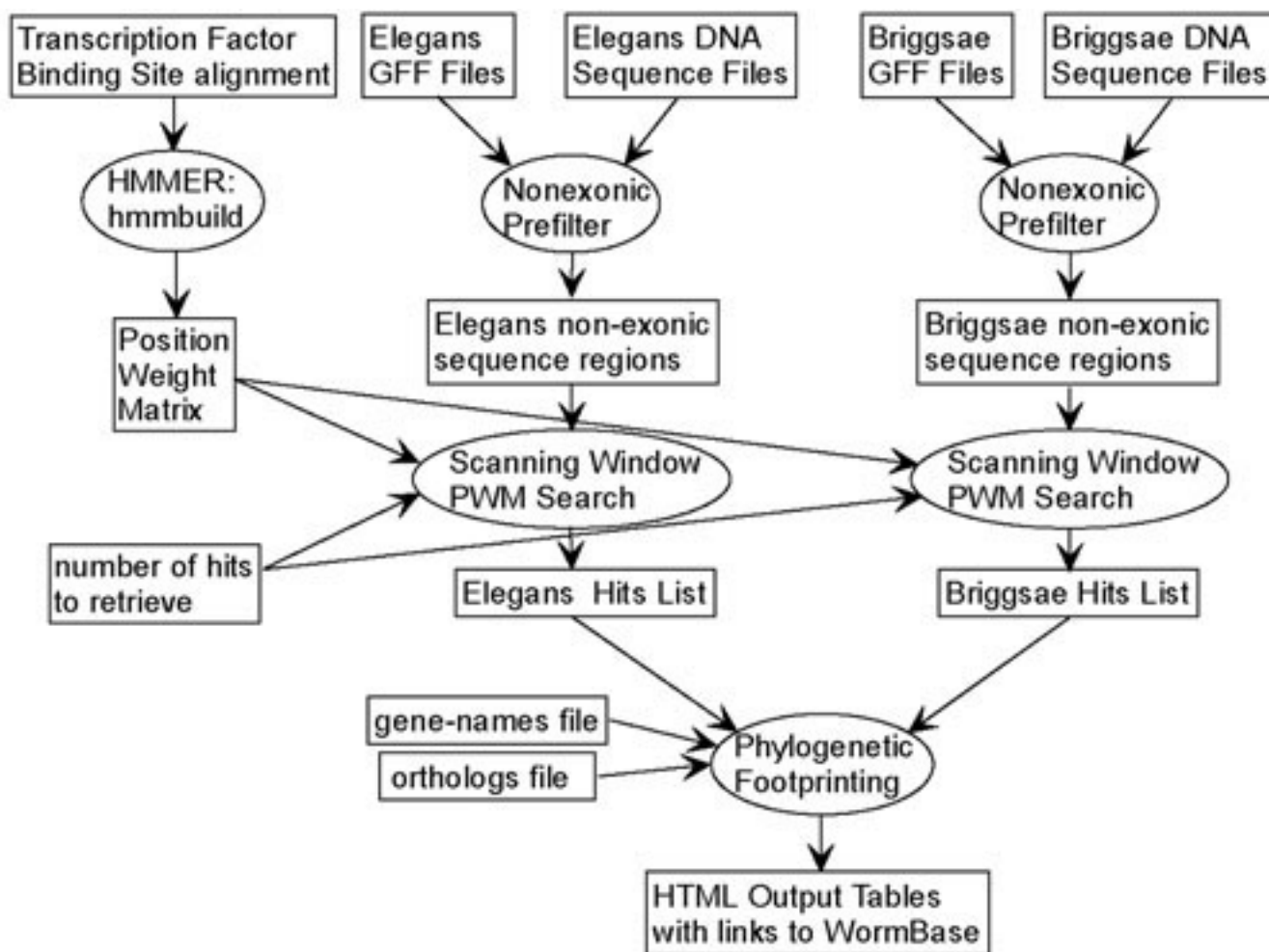
### Scanning window scoring procedure

Starting with the input of experimentally defined binding sites of a dimeric homeodomain transcription factor complex composed of the TTX-3 and CEH-10 proteins [16](ASW and OH, submitted), we first use the hidden Markov model software package HMMER [17] with the command 'hmmbuild --null <background-frequency-file> --prior <prior-frequency-file> <output-position-weight-matrix> <input-binding-site-alignment>.' The position weight matrix is an  $n \times 4$  matrix where  $n$  is the length of the transcription factor binding site alignment input used (in our case, either 14 or 16 nucleotides). The matrix is defined as:

$$|m_{ij}| = 1000 * \text{integer} \left[ \log_2 \left( \frac{\text{effective frequency of nucleotide } j \text{ in position } i}{\text{background frequency of nucleotide } j \text{ in search database}} \right) \right]$$

where the effective frequency is determined as the normalized, prior-adjusted, weighted sum of counts of each nucleotide in the alignment columns, in which the sequence weights are determined using a tree-based scheme. We use the resulting position weight matrix as input to CisOrtho. Then, CisOrtho finds approximately the N (option -t) highest scoring hits, with the restriction that no single gene has more than D (option -d) hits. The score for a given sequence window is simply the sum of the  $n$  cells of the position weight matrix corresponding to the position and nucleotide of the sequence window. For example, the sequence AACTCG would be given the score  $m_{1A} + m_{2A} + m_{3C} + m_{4T} + m_{5C} + m_{6G}$  for a  $4 \times 6$  position weight matrix  $|m_{ij}|$ . This scoring scheme is simply the log-odds scoring used by the original HMMER software, adapted as a scanning window algorithm via CisOrtho. We note that known target genes of TTX-3 do not contain clustered binding sites [16](ASW and OH, submitted) which allowed us to eschew clustering of binding sites as a search criteria. It is possible that previously described searches for transcription factor targets which used the clustering criteria [2,3] may result in too many false-negative results.

The effect of options -t and -d warrants further comment. To find approximately N (option -t) highest-scoring hits in a large amount of DNA, CisOrtho must first estimate the raw minimum score cutoff which yields this many hits. Technically, this is achieved by scoring every 100<sup>th</sup> sequence window, finding the top N/100 hits, and using the lowest score of those as the cutoff in the full search. Since the criterion for acceptance is a raw score cutoff, the actual number of hits retrieved in the whole sequence cannot be controlled precisely, but depends on the statistics



**Figure 1**  
**Flow chart of program pipeline.** Information is shown as rectangles, procedures as ovals. The only user defined inputs are the Transcription Factor Binding Site Alignment file and the number of hits to retrieve. All other input files are downloaded from sources mentioned in the text.


of the sequence and position weight matrix. Furthermore, since no gene is allowed more than D associated hits (option -d), the total number retrieved will be lower if there is a lot of clustering and thus many genes have more than D actual hits. If the user wants to perform an exhaustive search, the special value of zero for -t indicates using no score cutoff, thus accepting all windows as hits. Likewise, the -d option can be set to an arbitrarily high value (in the downloaded software). These settings may be of interest in preliminary runs to determine the clustering behavior of the hits. More restrictive settings should then be used based on the results of the preliminary run.

**Orthology-based filtering and HTML tables**

First, CisOrtho retrieves the set of all *C. elegans/C. briggsae* ortholog pairs provided as a one-to-one mapping in the file *orthologs-2.00* [ftp://ftp.wormbase.org/pub/wormbase/briggsae/run25\\_analysis\\_freeze2.00/orthologues/](http://ftp.wormbase.org/pub/wormbase/briggsae/run25_analysis_freeze2.00/orthologues/). Then, for each ortholog pair in this list, CisOrtho finds the highest scoring hit for each of the *C. elegans* and *C. briggsae* orthologs, and stores the two hits as a 'hit-pair'. Note that there is no restriction on which region type (3' intergenic, 5' intergenic, etc.) each hit comes from. For example, the *C. elegans* highest-scoring hit may be 'intronic1' while the *C. briggsae* hit may be 3'-intergenic. Each hit in the hit-pair is described by the score, nucleotide sequence and type of region in which it occurs. In addition, for each of the directly adjacent genes, the coding strand, gene name and

<a href="#">Home</a>	<a href="#">Genome</a>	<a href="#">Blast / Blat</a>	<a href="#">Batch Genes</a>	<a href="#">Batch Sequences</a>	<a href="#">Markers</a>	<a href="#">Genetic Maps</a>	<a href="#">Submit</a>	<a href="#">More Searches</a>
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Search   
 for


 The Biology and Genome of *C. elegans*.

**WormBase development site. Master is at [www.wormbase.org](http://www.wormbase.org)**

## CisOrtho

<a href="#">Introduction</a>	<a href="#">Methods</a>	<a href="#">Download</a>	<a href="#">Prediction</a>
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### Transcription Factor Binding Site Prediction using Position Weight Matrices and *C. elegans/C. briggsae* Ortholog-based Filtering (Phylogenetic Footprinting)

Output name (optional):

Maximum number of retrieved hit-pairs desired:

Maximum number of hits retrieved per species before phylogenetic filtering:

Maximum number of next-highest hits reported for each gene:

Filename:  no file selected

or Paste it here:

**Please submit a TF Binding Site Alignment Formatted as in this [Example](#). Alignment must have lines all the same length, containing only [acgtnACGTN]. Results will appear on a randomly named webpage which will be deleted after two hours.**

**Note:** Binding Site Alignment must have at least **6 conserved columns** (as judged by HMMER) or the search cannot be run. Please read the CisOrtho [manual](#) for further details.

**Addendum:** There was a [bug fix](#) made to CisOrtho on **January 10, 2004**. If you  Use **Original CisOrtho** want to replicate a query made before this date, check this box:

**Figure 2**

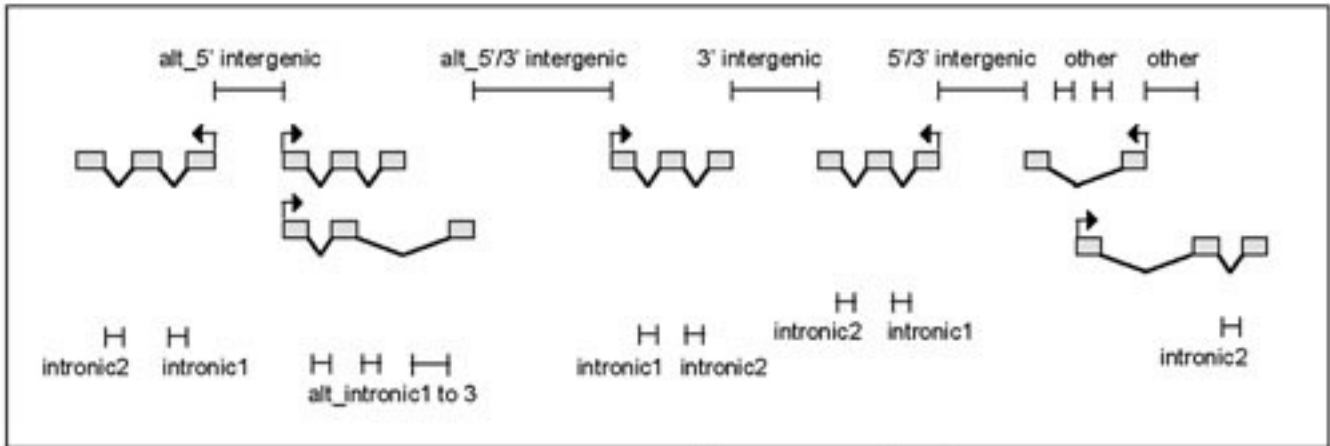
**Screenshot of the Web Interface.** The address is: <http://dev.wormbase.org/CisOrtho>. The program will be eventually run by WormBase at <http://www.wormbase.org>.

relative position of the hits in relation to the flanking genes are provided (Fig. 3). Finally, for the hit-pair itself, the number of mismatches between *C. elegans* and *C. briggsae* hits is given, along with the average, maximum and minimum scores from the pair of hits. Several HTML output files are generated with the information sorted into columns, and each hit-pair appearing as a grouped pair of lines. The HTML tables are each sorted primarily and secondarily by some combination of the mismatch

number and average, maximum or minimum score (Fig. 4). The user has also the option to display multiples target sites located in a hit pair (this option takes into account that transcription factors often bind to multiple sites in a promoter)(Fig. 2).

#### **Validation of the procedure**

TTX-3 is a LIM homeodomain transcription factor that we have previously shown to be required for interneuron



**Figure 3**

**Classification of non-exonic regions.**

A hypothetical gene arrangement is shown. "5' intergenic": between exon I and exon I of two separate genes; "3' intergenic": between the last exon of both genes. "5/3' intergenic": between first exon of one gene and last exon of the other gene; "intronic#": between any two exons of one gene; "other": all other possible combinations. In cases where the gene flanking a segment is known to exhibit alternative splicing, the segment was prefixed with 'alt\_', i.e. 'alt\_intronic#', 'alt\_3'intergenic', etc. Two other categories, BEGIN and END, denote regions at the beginning or ending of the chromosome, in the case of *C. elegans*, or of the sequencing reads in the case of *C. briggsae*. There were two exceptions to the procedure. The first was due to the fact that the *C. briggsae* genome we used was an unassembled collection of 578 individual sequence reads. 112 of these reads had no exon annotations, and were ignored in this study. Of these 112, only two were greater than 10,000 bases long, with an average length of 3679.3 nucleotides. Secondly, there were 16 *C. elegans* and 35 *C. briggsae* exon annotations one nucleotide long. By visual inspection, we determined that for *C. elegans* these exons were in fact longer than one nucleotide, but noncoding: in all cases the single nucleotide is 'A' and when spliced forms a TGA stop codon. They were treated as non-existent for this study, which has very little effect on the procedure except that the last true intron of the gene will be considered its 3' region. For *C. briggsae*, they appear to be errors in the gene annotations and fall within introns. Thus, they were treated as part of the intron in which they occur.

num	score	Site	mis	segtype	str1	offset1	ID1	name_1	str2	offset2	ID2	name_2	genome
3	20429	attagcttagtAa	1	5/3'intergenic	N	-6749	Y39A3B.5	-	N	+12268	M01E10.2	-	elegans
3	18697	attagcttagtTa	1	5/3'intergenic	P	+13658	CBG15118	-	P	-8174	CBG15122	-	briggsae

**Figure 4**

**Output of the program pipeline.**

Hits of a search with the TTX-3 consensus binding site is shown. num: number in list. mis: Number of base mismatches between first *C. elegans* and first *C. briggsae* hits. segtype: Type of non-exonic region (see Figure 3). str1/2: negative (N) or positive (P), strand on which the first/second of the two genes that flank the identified target site are located; offset1/2: distance of the target site to the flanking gene(s) (in relation to the start codon if the target site is 5' or located in an intron; in relation to the stop codon if the site is 3' to the gene; in the latter two cases, the number has a positive value); ID: cosmid name of the flanking genes, name: flanking gene names (if available). Gene IDs/names are linked to the WormBase gene model at <http://www.wormbase.org>, which contains further information about the gene. In case there are multiple target sites located in a defined inter/intragenic region, there is an option to report the *n* highest scoring hits for each ortholog. If this option is used, the top-scoring *C. elegans* or *C. briggsae* hit in each hit-pair will be highlighted, and the next *n-1* hits will be gray. Color coding: Orthologous *C. elegans/C. briggsae* genes ("hit-pairs") are color coded in blue (Y39A3B.5 and CBG15122 are orthologs) and green (M01E10.2 and CBG15118 are orthologs).

differentiation in *C. elegans* [16]. More recently, we found that TTX-3 binds together with the Paired-type homeodomain transcription factor CEH-10 to a 16 base pair target site, conserved in seven direct TTX-3/CEH-10 target genes (ASW and OH, submitted). We have used CisOrtho to identify new target genes of the TTX-3/CEH-10 homeodomain proteins on a genome-wide level, using as an input the experimentally determined, 16 bp TTX-3/CEH-10 consensus binding site (ASW and OH, submitted). We sorted the list of hit-pairs that CisOrtho provided by average score between the two species. We have experimentally verified hits from this list using either or both of two criteria: When fused to a heterologous reporter gene, the putative target should be expressed in the same neuron type as the *ttx-3* gene and the reporter gene should not be expressed in that neuron in *ttx-3* null mutant animals [16]. We have generated 15 new reporter gene fusions for the top 26 hits. 14 of these reporter gene fusions satisfy the experimental criteria to represent targets of TTX-3/CEH-10 (ASW and OH, submitted). We have also generated reporter fusions to lower scoring hit-pairs. For example, 11 out of 17 tested predicted sites that ranked between 42 and 112 in the hit-score list were experimentally confirmed to be TTX-3 targets (ASW and OH, submitted).

We have also made use of the *C. elegans/C. briggsae* mismatch feature of CisOrtho and generated reporter fusions to predicted binding sites with a low score yet almost perfect conservation between *C. elegans* and *C. briggsae*. 13/22 tested sites from this "low-mismatch" list fulfilled the experimental criteria to be TTX-3/CEH-10 targets (ASW and OH, submitted). We also note many cases in which conserved binding sites are located in introns (first intron or later introns); in most cases examined by reporter gene fusions, these sites were functional. Finally, we note that the representation of the starting matrix did not significantly change upon inclusion of new, experimentally verified TTX-3/CEH-10 target sites. Taken together, CisOrtho has been successfully used to identify new target genes for a transcription factor.

## Conclusions

CisOrtho is complementary to but also extends previous approaches to identify transcription factor targets. Previously described phylogenetic footprinting approaches undertook an unbiased search for phylogenetically conserved sequence patches in non-coding regions, assumed to be transcription factor binding sites [6-8], [11-13]. Providing a complement to these approaches, CisOrtho undertakes a more targeted search, finding phylogenetically conserved regulatory targets of defined transcription factors whose DNA binding site specificity is known. CisOrtho extends previously described approaches to identify target genes for specific transcription factors which did

not utilize the phylogenetic footprinting aspect for filtering [2,3], or – in cases where phylogenetic footprinting was used – were not conducted in an unbiased, genome wide manner [10,18]. CisOrtho rather takes advantage of GFF files to allow appropriate annotation and subsequent searching of all genomic non-coding sequence space and produces a user-friendly output from the search. While we have limited our approach to the genomes of *C. elegans* and *C. briggsae*, CisOrtho can as easily be applied to other genomes that are annotated in the commonly used GFF format.

## Availability

CisOrtho is available through a web interface at <http://www.wormbase.org/cisortho> (Fig. 2). For users who want to run the program locally, or with a wider range of parameters or different inputs, CisOrtho is freely available as precompiled executables for Windows (win32) and Mac OS X, and as a source code distribution for Unix/Linux with traditional 'configure' script and Makefiles. Also provided in the distribution is the Perl script `snip.plx`, which initially isolates the non-exonic genomic sequence to be searched by CisOrtho, according to GFF (General Feature Format) genome annotation files. Users experienced in Perl may modify this script to output genomic sequence based on different criteria. For example, if a user wanted to search only 3' regions of genes, this could be implemented by editing `snip.plx`.

## Authors' contributions

HRB wrote the software and web interface, ASW provided the original concept and worked with HRB on improving the program, AW had the original idea to use HMMER software as a scanning window search procedure and wrote an earlier non-ortholog based search program. OH initiated and supervised the study and prepared the manuscript. All authors read and approved the final manuscript.

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