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# Pre-transplantation levels of lysine (K)-specific methyltransferase 2A (*KMT2A*) partial tandem duplications can predict relapse of acute myeloid leukemia patients following haploidentical donor hematopoietic stem cell transplantation

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#### Abstract

We aimed to identify dynamic changes of lysine (K)-specific methyltransferase 2A partial tandem duplications (*KMT2A*-PTD) before and after haploidentical donor hematopoietic stem cell transplantation (HID HSCT) and explore the prognostic value of pre-transplantation levels of *KMT2A*-PTD in acute myeloid leukemia (AML) receiving HID HSCT. Consecutive 64 AML patients with *KMT2A*-PTD positivity at diagnosis receiving HID HSCT were included in this study. Patients with *KMT2A*-PTD ≥1% before HSCT had a slower decrease of *KMT2A*-PTD after HID HSCT. Patients with *KMT2A*-PTD ≥1% before HID HSCT had a higher cumulative incidence of relapse (36.4%, 95% confidence interval [CI]: 6.3%–66.5%) at 2 years after HSCT than those with *KMT2A*-PTD <1% (7.5%, 95% CI: 0.3%–14.7%, *P* = .010). In multivariable analysis, *KMT2A*-PTD ≥1% before HID HSCT was the only independent risk factor for relapse (hazard ratio [HR]: 4.90; 95% CI: 1.22–19.59; *P* = .025). Thus, pre-transplantation levels of *KMT2A*-PTD could predict relapse in AML patients following HID HSCT.

Key Words: Acute myeloid leukemia; Allogeneic hematopoietic stem cell transplantation; Haploidentical; *KMT2A*-PTD; Relapse

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## 1. INTRODUCTION

Allogeneic hematopoietic stem cell transplantation (allo-HSCT) is the most important curative therapy for acute myeloid leuke-mia (AML).<sup>[1](#page-6-0)–5</sup> Because both the human leukocyte antigen (HLA) matched sibling donors (MSD) and unrelated donors (URD) are usually unavailable in China, haploidentical-related donors are the most important alternative donors for Chinese AML patients[.1](#page-6-0)–[3](#page-6-2),[6,](#page-6-3)[7](#page-6-4) Haploidentical donor (HID) HSCT could achieve superior clinical outcomes compared with consolidation chemo-therapy for intermediate- and high-risk AML.<sup>8[,9](#page-6-6)</sup> Furthermore, HID HSCT can achieve clinical outcomes similar to<sup>10[,11](#page-6-8)</sup> or even superior to<sup>[12](#page-6-9),[13](#page-6-10)</sup> those of MSD HSCT for AML patients.

Lysine (K)-specific methyltransferase 2A (*KMT2A*), which used to be named as mixed-lineage leukemia (*MLL*) gene, is located on chromosome 11q23.<sup>[14](#page-6-11)</sup> There are more than 100 types of *KMT2A* rearrangements, and *KMT2A*-partial tandem duplications (PTD) is formed when the *KMT2A* gene is rearranged to generate PTD.[15–](#page-6-12)[17](#page-6-13) *KMT2A*-PTD is reported in approximately 5% to 10% of cases of AML.[18–](#page-6-14)[20](#page-6-15)

AML patients with a high level of *KMT2A*-PTD were unlikely to achieve complete remission (CR) and long-time sur-vival.<sup>19[,21](#page-6-17)-23</sup> Kong et al<sup>23</sup> reported that in AML patients, a high initial *KMT2A*-PTD level (ie, *KMT2A*-PTD ≥1%) was the only independent risk factor for CR after induction chemotherapy, and patients with a higher initial level of *KMT2A*-PTD had a shorter survival period compared to those with a lower level of *KMT2A*-PTD. The level of *KMT2A*-PTD after chemotherapy

could also predict relapse of AML patients. Weisser et  $al<sup>24</sup>$ reported that *KMT2A*-PTD level decreasing at least 2 logs after chemotherapy was associated with a superior overall survival (OS) in AML patients. Lastly, Kong et al<sup>25</sup> reported that *KMT2A*-PTD level after allo-HSCT could predict relapse; and myelodysplastic syndrome (MDS)/AML patients with post-transplant *KMT2A*-PTD levels ≥1% had a higher cumulative incidence of relapse, a lower probability of OS, and a lower probability of disease-free survival (DFS) compared to those with *KMT2A*-PTD levels <1%.

However, most of the studies included other hematologic malignancies apart from AML (eg, MDS), and no study had identified the influence of *KMT2A*-PTD on the post-transplant outcomes in the specific population with AML. In addition, no studies had identified the impact of pre-HSCT level of *KMT2A*-PTD on the clinical outcomes after HID HSCT, and whether *KMT2A*-PTD levels before HID HSCT could predict posttransplant relapse of AML patients was unclear.

Thus, we aimed to identify the dynamic changes of *KMT2A*-PTD before and after HID HSCT, particularly, we aimed to explore the prognostic value of pre-HSCT *KMT2A*-PTD level in AML patients receiving HID HSCT.

## 2. MATERIAL AND METHODS

#### 2.1. Patients

Consecutive 64 AML patients with *KMT2A*-PTD positivity at diagnosis receiving HID HSCT at Peking University Institute of Hematology (PUIH) from April 2016 to December 2020 were enrolled in this study (refer to Supplementary Figure 1, [http://links.](http://links.lww.com/BS/A105) [lww.com/BS/A105](http://links.lww.com/BS/A105), for detailed inclusion criteria). The last followup was on January 13, 2023. The study was approved by the institutional review board of Peking University People's Hospital and was conducted in accordance with the *Declaration of Helsinki*.

#### 2.2. Transplant regimen

The preconditioning regimen consisted of cytarabine, busulfex, cyclophosphamide, simustine, and rabbit antithymocyte globulin (ATG)[.26](#page-6-21) Cyclosporine A (CSA), mycophenolate mofetil (MMF), and methotrexate (MTX) were used to prevent GVHD.[27](#page-6-22)

## 2.3. *KMT2A*-PTD and multiparameter flow cytometry (MFC) monitoring

*KMT2A*-PTD expression levels were determined by realtime quantitative polymerase chain reaction (RQ-PCR) technology[.25](#page-6-20) The transcript level was calculated as *KMT2A*-PTD transcript copies/ABL copies in percentage. According to our experiment method, *KMT2A*-PTD/ABL ≥0.1% was considered as *KMT2A*-PTD positivity. Measurable residual disease (MRD) detected by MFC was based on leukemia-associated aberrant immune phenotypes (LAIPs). The different-from-normal (DfN) approach was used when LAIPs at diagnosis were not available. MFC MRD positivity was defined as ≥0.1% of cells with LAIPs or DfN abnormalities in bone marrow (BM) samples in accordance with the European LeukemiaNet (ELN)-2022 criteria[.28](#page-7-0) Patients with post-transplant *KMT2A*-PTD or MFC MRD positivity were routinely treated with preemptive interventions including donor lymphocyte infusion (DLI) and interferon- $\alpha$  $(IFN-\alpha)$ <sup>[25](#page-6-20),[29](#page-7-1)</sup>

#### 2.4. Definitions of clinical outcomes

Relapse was defined as recurrence of at least 5% blasts in BM, reappearance of blasts in peripheral blood, or development of extramedullary disease. Non-relapse mortality (NRM) was defined as death of any cause beyond relapse. Leukemia-free survival (LFS) was defined as survival period without relapse or death of any cause. OS was defined as survival period without death from any cause. The primary endpoint was relapse, and the secondary endpoints included NRM, LFS, and OS.

## 2.5. Statistical analysis

Categorical variables were compared using  $\chi^2$  or Fisher exact tests, and continuous variables were compared using the Mann– Whitney *U* test. Survival analysis was calculated by the Kaplan– Meier method. Relapse and NRM were estimated by competing risk analysis. Relapse was the competing event for NRM and vice versa. Multivariable analysis was performed by Cox's proportional hazards model. Estimate the hazard ratios of clinical factors using univariable and multivariable Cox regression analysis. The following factors for multivariable analysis were included: age, genetic risk classification, disease status before allo-HSCT, hematopoietic cell transplantation–specific comorbidity index (HCT-CI) score, donor–recipient sex matched and *KMT2A*-PTD before allo-HSCT. The *P* values were 2-sided, and *P* < .05 was considered statistically significant. Statistical analysis was performed by the SPSS 27 (SPSS Inc./IBM, Armonk, NY) and the R software package (version 4.2.1; [http://www.r-proj](http://www.r-project.org)[ect.org](http://www.r-project.org)).

## 3. RESULTS

### 3.1. Patient characteristics

The characteristics of 64 patients with initial *KMT2A*-PTD positivity are shown in [Table](#page-2-0) 1, and 39, 14, and 11 patients showed *KMT2A-PTD* <  $0.1\%$  (group 1), ≥0.1% but <1% (group 2), and  $\geq$ 1% (group 3) before HID HSCT, respectively. All of the clinical characteristics including age, genetic risk classification, and disease status before allo-HSCT were comparable among these 3 groups.

#### 3.2. Dynamic changes of *KMT2A*-PTD after HID HSCT

A total of 36 (92.3%), 13 (92.9%), and 8 (72.7%) patients achieved MRD negativity at 1 month after HID HSCT, respectively, in group 1, group 2, and group 3 ( $P = .175$ ). A total of 37 (94.9%), 14 (100.0%), and 8 (72.7%) patients achieved MRD negativity at 2 months after HID HSCT, respectively, in group 1, group 2, and group 3 (*P* = .042). A total of 35 (89.7%), 13 (92.9%), and 6 (54.5%) patients achieved MRD negativity at 3 months after HID HSCT, respectively, in group 1, group 2, and group 3 ( $P = .018$ ). A total of 37 (94.9%), 14 (100.0%), and 8 (72.7%) patients achieved MRD negativity ultimately after HID HSCT, respectively, in group 1, group 2, and group 3 (*P* = .042) (**[Fig.](#page-2-1) 1**). The dynamic variation of *KMT2A*-PTD before and after HID HSCT is shown in [Figure](#page-3-0) 2, and patients with *KMT2A*-PTD ≥1% before HSCT had a slower decrease of *KMT2A*-PTD after HID HSCT. Meanwhile, in 57 patients achieving MRD negativity after HID HSCT, patients with *KMT2A*-PTD ≥1% before HSCT were more likely to convert to *KMT2A*-PTD positivity again (87.5% vs 24.5%, *P* < .001) and experience relapse compared to those with *KMT2A*-PTD <1% before HSCT (50.0% vs 8.2%, *P* = .002).

## 3.3. The association between *KMT2A*-PTD and MFC MRD

*KMT2A*-PTD  $\geq$ 1% before HSCT was significantly associated with MFC MRD positive (Spearman's correlation coefficient: 0.260,  $P = .038$ ), although there was no association between *KMT2A*-PTD ≥0.1% before HSCT and MFC MRD positivity (Spearman's correlation coefficient: 0.196, *P* = .120).

## <span id="page-2-0"></span>Table 1 Patients' clinical characteristics.



Allo-HSCT = allogeneic hematopoietic stem cell transplantation, CR = complete remission, *FLT3-ITD* = FMS-like tyrosine kinase 3 (*FLT3*) internal tandem duplication, HCT-CI = hematopoietic cell transplantation–specific comorbidity index, *KMT2A*-PTD = lysine (K)-specific methyltransferase 2A partial tandem duplication.



<span id="page-2-1"></span>

Five patients showed *KMT2A*-PTD and MFC MRD positivity simultaneously after HSCT, and 1 and 1 of them showed *KMT2A*-PTD positivity 2 and 4 months prior to MFC MRD positivity, respectively. None showed MFC MRD positivity before *KMT2A*-PTD positivity. *KMT2A*-PTD positivity after HSCT was significantly associated with MFC MRD positivity (Spearman's correlation coefficient: 0.448, *P* < .001) within 1 year after HID HSCT, and *KMT2A*-PTD ≥1% after HID HSCT was more significantly associated with MFC MRD positivity within 1 year after HSCT (Spearman's correlation coefficient: 1.000,  $\dot{P}$  < .001).

Five patients showed *KMT2A*-PTD ≥1% and MFC MRD positivity simultaneously after HSCT, and none showed *KMT2A*-PTD ≥1% prior to MFC MRD positivity and vice versa.



<span id="page-3-0"></span>Figure 2. The dynamic variation of *KMT2A*-PTD before and after HID HSCT. HID HSCT *=* haploidentical donor hematopoietic stem cell transplantation, *KMT2A*-PTD = lysine (K)-specific methyltransferase 2A partial tandem duplication.

## 3.4. Comparison of clinical outcomes between patients with *KMT2A*-PTD <0.1% (group 1), ≥0.1% but <1% (group 2), and ≥1% (group 3) before HID HSCT

Patients in group 3 had a higher 2-year cumulative incidence of relapse after HID HSCT (36.4%, 95% confidence interval [CI]:  $6.3\% - 66.5\%$  compared with those in group 1 (7.7%, 95% CI: 0.0%–16.2%) (*P* = .016), and showed a trend of higher 2-year cumulative incidence of relapse compared with those in group 2 (7.1%, 95% CI: 0.0%–21.1%) (*P* = .091) (**[Fig.](#page-4-0) 3A**).

The 2-year probabilities of NRM after HID HSCT were 10.3% (95% CI: 0.6%–20.0%), 21.4% (95% CI: 0.0%– 43.9%), and 9.1% (95% CI: 0.0%–27.4%) (*P* = .531), respectively, for group 1, group 2, and group 3 (**[Fig.](#page-4-0) 3B**). The 2-year probabilities of LFS after HID HSCT were 82.1% (95% CI: 70.1%–94.1%), 71.4% (95% CI: 47.7%–95.1%), and 54.5% (95% CI: 25.1%–83.9%) (*P* = .222), respectively, for group 1, group 2, and group 3 (**[Fig.](#page-4-0) 3C**). The 2-year probabilities of OS after HID HSCT were 84.6% (95% CI: 73.2%–96.0%), 71.4% (95% CI: 47.7%–95.1%), and 72.7% (95% CI: 46.4%– 99.0%) ( $P = .516$ ), respectively, for group 1, group 2, and group 3 (**[Fig.](#page-4-0) 3D**).

Because all clinical outcomes were comparable between patients in group 1 and group 2, we combined these 2 groups as *KMT2A*-PTD <1% in the following analysis. Patients with *KMT2A*-PTD ≥1% before HID HSCT had a higher 2-year cumulative incidence of relapse  $(36.4\%, 95\% \text{ CI: } 6.3\% - 66.5\%)$ after HSCT than those with *KMT2A*-PTD <1% (7.5%, 95% CI: 0.3%–14.7%, *P* = .010) (**[Fig.](#page-5-0) 4A**). The 2-year probabilities of NRM, LFS, and OS after HID HSCT were 13.2% (95% CI: 4.0%–22.4%) vs 9.1% (95% CI: 0.0%–27.4%) (*P* = .670), 79.2% (95% CI: 68.2%–90.2%) vs 54.5% (95% CI: 25.1%– 83.9%) (*P* = .120), and 81.1% (95% CI: 70.5%–91.7%) vs 72.7% (95% CI: 46.4%–99.0%) (*P* = .596), respectively, for patients with *KMT2A*-PTD <1% and those with *KMT2A*-PTD ≥1% before HID HSCT (**Fig. [4B–D](#page-5-0)**).

In patients with *KMT2A*-PTD ≥1% before HID HSCT, a total of 4 patients suffered post-transplant relapse, and 2 of them died of relapse while the other 2 still survived due to receiving DLI. In addition, in those with *KMT2A*-PTD <1% before HID HSCT, a total of 4 patients suffered post-transplant relapse, and 3 of them died of relapse, while only 1 was still alive due to receiving DLI.

In multivariable analysis, *KMT2A*-PTD ≥1% before HID HSCT was the only independent risk factor for post-transplant relapse (hazard ratio [HR]: 4.90; 95% CI: 1.22–19.59; *P* = .025). No risk factors were associated with NRM, LFS, and OS in multivariable analysis (data not shown).

## 3.5. *KMT2A*-PTD levels before HID HSCT did not influence the efficacy of post-transplant preemptive interventions

Among 8 patients who developed MRD positivity post-HSCT (*KMT2A*-PTD positivity alone: 5; both *KMT2A*-PTD and MFC MRD positivity: 3), we preemptively intervened with DLI in 2 patients and IFN- $\alpha$  in 6 patients. Two patients subsequently relapsed. Pre-transplant *KMT2A*-PTD levels were <1% in 4 patients and ≥1% in the other four. Notably, 1 patient from



<span id="page-4-0"></span>Figure 3. Comparison of clinical outcomes between patients with *KMT2A*-PTD <0.1%, ≥0.1% but <1%, and ≥1% before HID HSCT. (A) Cumulative incidence of relapse, (B) non-relapse mortality, (C) leukemia-free survival, (D) overall survival. HID HSCT *=* haploidentical donor hematopoietic stem cell transplantation, *KMT2A*-PTD = lysine (K)-specific methyltransferase 2A partial tandem duplication.

each group relapsed. The relapse rates were not significantly different between the 2 groups  $(25.0\% \text{ vs } 25.0\%, P = 1.000)$ .

#### 4. DISCUSSION

In this study, *KMT2A*-PTD ≥1% before allo-HSCT had a significant impact on MRD achieving negativity after HID HSCT, and it could also be associated with a higher incidence of relapse after HID HSCT. To the best of our knowledge, this study first described the dynamic changes of *KMT2A*-PTD levels before and after HID HSCT in AML patients and first showed that pre-transplant *KMT2A-*PTD levels could predict relapse after HID HSCT.

This study showed that *KMT2A*-PTD positivity after HID HSCT, especially *KMT2A*-PTD ≥1%, was highly correlated with MFC MRD positivity. In addition, *KMT2A*-PTD ≥1% before HID HSCT was also associated with MFC MRD positivity. A large number of studies had proved that MFC MRD was a reliable and efficient MRD marker for AML patients[.28](#page-7-0),[30–](#page-7-2)[33](#page-7-3) Thus, based on the strong correlation between *KMT2A*-PTD positivity and MFC positivity, *KMT2A*-PTD had the potential to be an excellent MRD marker for AML patients receiving HID HSCT.

This study also found that AML patients with *KMT2A*-PTD ≥1% before HID HSCT had a significantly high incidence of relapse than those with *KMT2A*-PTD <1%. Previous studies had found that, compared to MSD HSCT, HID HSCT had a

stronger graft-versus-leukemia (GVL) effect and could decrease relapse more significantly in high-risk AML patients[.12](#page-6-9),[13](#page-6-10),[31,](#page-7-4)[34](#page-7-5) However, in this study, HID HSCT could not overcome the adverse effect of a higher level of *KMT2A*-PTD on posttransplant relapse. Therefore, how to further reduce relapse of these patients was critical to improve the prognosis of AML patients with *KMT2A*-PTD.

Previous studies reported that the incidence of relapse after allo-HSCT could be decreased by some strategies. For example, intensive preconditioning regimen (eg, decitabine-based preconditioning regimen) could effectively reduce relapse in relapsed/ refractory AML patients.[35](#page-7-6)[–37](#page-7-7) In addition, preemptive DLI after allo-HSCT could also effectively prevent post-transplant relapse of high-risk AML patients; and Yan et al reported a prospective study on preemptive DLI, which included 814 standard-risk AL patients who underwent allo-HSCT. The results demonstrated that preemptive DLI significantly reduced relapse rates (*P* = .001), improved both OS (*P* = .022) and DFS (*P* = .002) compared to these MRD+ subjects who received only low-dose IL-2 in MRD positivity patients after HSCT.<sup>[38](#page-7-8)-42</sup> Thirdly, maintenance therapy,[43](#page-7-10)[–45](#page-7-11) such as hypomethylating agents, could decrease relapse of high-risk AML patients. However, we do not recommend using Venetoclax (VEN) combined with azacitidine (AZA) for maintenance therapy post-HSCT due to its significant myelosuppressive effects. Our center conducted a study on the efficacy of VEN + AZA in 60 de novo unfit and relapsed/ refractory AML patients. While this regimen achieved high



<span id="page-5-0"></span>Figure 4. Comparison of clinical outcomes between patients with *KMT2A*-PTD <1%, and ≥1% before HID HSCT. (A) Cumulative incidence of relapse, (B) non-relapse mortality, (D) leukemia-free survival, (D) overall survival. HID HSCT *=* haploidentical donor hematopoietic stem cell transplantation, *KMT2A*-PTD = lysine (K)-specific methyltransferase 2A partial tandem duplication.

response rates, it also resulted in a 100% incidence of hematological adverse effects, including 58.3% leukopenia, 28.3% anemia, and 28.3% thrombocytopenia.[46](#page-7-12) Furthermore, a prospective study has shown that T-cell reconstitution was delayed in HID HSCT compared to HLA-matched unrelated donor transplantation (MUDT). $47$  Given that our center primarily performs haploidentical transplants, poor graft function remains a significant complication of allo-HSCT and presents a substantial clinical challenge. Consequently, we are particularly concerned about the risks associated with VEN + AZA. Moreover, our well-established preemptive intervention system, which has achieved long-term survival rates exceeding 70% through the use of IFN- $\alpha$  and DLI, enables precise intervention following MRD positivity and helps avoid overtreatment in patients who achieve deep remission[.29](#page-7-1)[,42](#page-7-9)

In this study, the relapse rate after post-transplant preemptive interventions was the same for AML patients with *KMT2A*-PTD <1% and  $\geq$ 1% before HID HSCT, which suggested that preemptive interventions may help to reduce relapse in AML patients with a high level of *KMT2A*-PTD before HID HSCT. We believe this may be explained by preemptive interventions that enhance the GVL effect. A previous prospective study indicated that preemptive DLI increased the incidence of acute graftversus-host disease (GVHD).<sup>42</sup> However, Morris et al confirmed that the use of G-CSF during blood-cell mobilization enhances natural killer and T-cell–dependent CD8+ cytotoxicity, which

may help to separate GVHD from GVL effects.<sup>42,[48](#page-7-14),[49](#page-7-15)</sup> IFN- $\alpha$  and DLI have similar effects. In GVHD mouse models, IFN signaling in recipient tissues has been shown to inhibit CD4-dependent GVHD while promoting CD8-mediated GVHD and enhancing the GVL effect.<sup>50</sup> In a prospective study conducted at our center, we observed that severe chronic GVHD can occur following IFN-α treatment. Nonetheless, the incidence of severe chronic GVHD after IFN-α treatment was only 6%, indicating that the severity of chronic GVHD induced by IFN-α was well-controlled.<sup>51</sup> However, the number of cases were not sufficient in the study, so the result needed to be confirmed by further research. Nevertheless, methods mentioned above may help to further decrease the risk of relapse in AML patients with high levels of *KMT2A*-PTD before HID HSCT.

In this study, AML patients with *KMT2A*-PTD ≥1% before HID HSCT were more likely to suffer post-transplant relapse than those with *KMT2A*-PTD <1%. And the NRM rates were comparable between these 2 groups. However, the OS rates were not significantly different. This is also consistent with the previous report. Kong et al<sup>25</sup> conducted a retrospective study on the impact of *KMT2A*-PTD levels before transplantation on the prognosis of 48 *KMT2A*-PTD-positive AML or MDS-EB patients. The results indicated that there was no significant difference in OS between patients with *KMT2A*-PTD levels ≥1% and those with levels <1% before HSCT[.25](#page-6-20) One reason why AML patients with KMT2A-PTD ≥1% before HID HSCT

had comparable OS with those with KMT2A-PTD <1% in our study may be the small sample size of the study; secondly, some relapsed patients achieved long-term survival due to receiving DLI.

There were some limitations in the study. Firstly, it was a retrospective study. In addition, the sample size was relatively small, especially only 11 patients with *KMT2A*-PTD ≥1%, which was also a possible reason for no impacts of *KMT2A*-PTD on LFS and OS. Future studies with large samples may help to further identify the impact of *KMT2A*-PTD before HID HSCT on the prognosis of AML patients.

#### 5. CONCLUSION

In conclusion, this study first described the dynamic changes of *KMT2A*-PTD before and after HID HSCT, and first observed that *KMT2A*-PTD levels before HID HSCT could effectively predict post-transplant relapse in AML patients. Future multicenter, large sample size studies may help to further validate our conclusions.

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#### AUTHOR CONTRIBUTIONS

X.-D.M. and X.-S.Z. designed the study. D.-X.D., X.-H.M., Z.-H.W., X.-H.Z., L.-P.X., Y.W., C.-H.Y., H.C., Y.-H.C., W.H., F.-R.W., and J.-Z.W. conducted data collection. D.-X.D., X.-H.M., Z.-H.W., X.-D.M., and X.-S.Z. analyzed the data and drafted the manuscript. X.-D.M., X.-S.Z., and X.-J.H. reviewed the manuscript. All authors contributed to the article and approved the submitted version.

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