DOI: 10.1111/ics.12796

Comparing hair tensile testing in the wet and the dry state: Possibilities and limitations for detecting changes of hair properties due to chemical and physical treatments

Franz J. Wortmann^{1,2} | Jutta M. Quadflieg³ | Gabriele Wortmann^{1,2}

 ¹Department of Materials, School of Natural Sciences, The University of Manchester, Manchester, UK
²F & GW – Consultants, Aachen, Germany
³Institut Dr. Schrader Creachem GmbH, Holzminden, Germany

Correspondence

Franz J. Wortmann, Department of Materials, The University of Manchester, Manchester M13 9PL, UK. Email: franz.wortmann@manchester. ac.uk

Abstract

Objectives: This investigation focuses, first, on the question to which extent wet and dry tensile tests on human hair may be considered as leading to independent results. Second, we try to assess the sensitivities of wet and dry-testing to detect changes of mechanical properties. Specifically, we were interested in separating changes, which were induced by a combination of a chemical (oxidation/bleach) and a physical treatment (heat).

Methods: The basis for our study are data for the tensile properties (wet and dry) of a set of untreated and bleached hair tresses, which were submitted to the same schedule of thermal treatments. As characteristic tensile parameters, we chose modulus (E), break extension (BE), and break stress (BS). First, parameters were analysed across treatments for the correlations between wet and dry data. Second, we applied two-factor analysis of variance to assess the effects of the factors and their potential interaction.

Results: Correlations for the dry versus wet data show only a weak relationship for *E*, while coefficients of determination (R^2) are quite high for *BE* and *BS*. Two-factor ANOVA enables to quantify the various contributions to the *Total Sum-of-Squares* for all three parameters. We show that the parameters respond quite differently to the chemical and the thermal treatments as well as to testing conditions (wet or dry). It is of interest to note that the interaction between the chemical and the physical treatment is generally quite weak. For the interpretation of the results, we use the concept of the humidity-dependent as well as strain-induced glass transition of the amorphous matrix.

Conclusions: The independence hypothesis for dry and wet tensile measurements only applies for modulus. Overall, we consider modulus (wet) as the best tensile measure of fibre damage when assessing chemical and/or physical treatments. Under ambient conditions (dry), break stress is shown to be a feasible alternative measure.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

^{© 2022} The Authors. International Journal of Cosmetic Science published by John Wiley & Sons Ltd on behalf of Society of Cosmetic Scientists and Societe Francaise de Cosmetologie.

K E Y W O R D S

claim substantiation, dry and wet tensile testing, hair treatment, natural and bleached hair, thermal treatment, statistics

Résumé

Objectifs: Cette expérience porte d'abord sur la question de savoir dans quelle mesure les tests de traction humide et sec sur cheveux humains peuvent être considérés comme conduisant à des résultats indépendants. Deuxièmement, nous essayons d'évaluer les sensibilités des tests humides et secs pour détecter les modifications des propriétés mécaniques. Plus précisément, nous nous sommes intéressés à séparer les changements, qui ont été induits par une combinaison d'un produit chimique (oxydation/eau de javel) et d'un traitement physique (chaleur). Méthodes: Notre étude repose sur les données relatives aux propriétés de traction (humides et secs) d'un ensemble de tresses capillaires non traitées et décolorées, qui ont été soumises au même programme de traitements thermiques. En tant que paramètres de traction caractéristiques, nous avons choisi le modulus (E), l'extension de rupture (BE) et le stress de rupture (BS). Tout d'abord, les paramètres ont été analysés entre les traitements, pour observer les corrélations entre les données humides et secs. Deuxièmement, nous avons appliqué une analyse de variance à deux facteurs, pour évaluer les effets des facteurs et leur interaction potentielle.

Résultats: Les corrélations entre les données sèches et humides montrent uniquement une relation faible pour E, tandis que les coefficients de détermination (R2) sont assez élevés pour BE et BS. L'analyse ANOVA à deux facteurs permet de quantifier les différentes contributions à la somme totale des carrés pour les trois paramètres. Nous montrons que les paramètres répondent de façon assez différente aux traitements chimiques et thermiques ainsi qu'aux conditions de test (humide ou sec). Il est intéressant de noter que l'interaction entre la substance chimique et le traitement physique est généralement assez faible. Pour l'interprétation des résultats, nous utilisons la notion de transition vitreuse hygro-dépendante ainsi que de la matrice amorphe induite par une contrainte. **Conclusions:** L'hypothèse d'indépendance pour les mesures de traction sec et humide ne s'applique qu'au module. Dans l'ensemble, nous considérons le module (humide) comme la meilleure mesure de traction des dommages des fibres, lors de l'évaluation des traitements chimiques et/ou physiques. Dans des conditions ambiantes (sèches), le stress de rupture est une mesure alternative réalisable.

INTRODUCTION

Tensile testing of keratin fibres, including human hair, is of academic as well as of practical (textile, cosmetic industries) importance. Concentrating for the current context on human hair, tensile tests may in practice be conducted either in water (wet) or under ambient, defined, or standard conditions.^{1–10} The choice of conditions may on the one hand be a matter of convenience. On the other hand,

investigators may decide to employ both conditions, assuming that sets of data are essentially independent and reflect different aspects of hair properties.^{3, 11}

The independence assumption is on the one hand plausible in view of the fact that hair is well below its glass transition under ambient or standard conditions $(20^{\circ}C/65\% \text{ rh or } 22^{\circ}C/55\% \text{ rh})$ and well above the transition in water.^{12, 13} On the other hand, there is a pronounced similarity of the shape of the stress/strain

curves, as investigated in detail for wool,¹⁴ for the whole humidity range.

It is interesting to note that we know from conversations, that most colleagues within the hair cosmetics research community would agree that dry tensile testing has a lower sensitivity than wet testing to detect the effects of cosmetic treatments and processes (mainly chemical and thermal).^{1–3, 5, 15, 16} However, the use of dry-testing may be justified by the argument that ambient environmental conditions represent the usual conditions of hair in practice. Another very practical reason is that an active agent under investigation may be rinsed off during testing. Despite this ambiguity with respect to tensile testing conditions and in view of the wide range of tensile variables,^{15, 17} we have not been able to find a comprehensive investigation to establish or dismiss reasons for a preference for one of the conditions.

Tensile data (wet & dry) have been available from a set of hair samples from a study on the effects of heating (thermal straightening) on untreated and bleached European hair.^{18, 19} This study was undertaken by the expert working group 'Hair Care Products' of the DGK (Deutsche Gesellschaft fuer Wissenschaftliche und Angewandte Kosmetik e.V.).

On the basis of common practice^{1–3, 6, 8, 10, 11, 15, 20–22} as well as of a recent investigation of the information content of wet tensile testing,¹⁷ we identified three specific variables as being especially suited for our investigation. These are elastic modulus (*E*), break extension (*BE*), and break stress (*BS*).

The current investigation focuses, first, on the question to which extend wet and dry tensile tests on human hair may be considered as independent for any of the variables. Second, we try to assess the sensitivity of the two variations of tensile testing to detect and possibly discriminate between changes of human hair mechanical properties, which were induced by a combination of a chemical (oxidation/bleach) and a physical treatment (heat). For this aim, we use two-factor analysis of variance (2F-ANOVA). The method enables to separate the influences of the chemical and the thermal treatment on the tensile parameters (wet and dry) as well as to study potential interaction effects of the treatments.

With our investigation, we try to contribute to the discussion of the suitability of wet or dry tensile testing, respectively, to support research and development of hair cosmetic products and procedures.

EXPERIMENTAL

Materials and methods

A description of materials and methods for the samples used in this investigation have been given before in detail.^{18, 19} For this reason, we only provide a short summary here with a focus on the current context. Further details can be found in the Appendix S1.

The study was conducted with 16 commercial, mixed, Caucasian hair tresses (19 cm long, 1.5 cm wide, $\approx 2 \text{ mm}$ thick) from the same hair batch. Half of the tresses were subjected twice to a bleach using a commercial product (Wella) and practically relevant conditions. This treatment defines the two levels of chemical treatment for each tress as either natural/untreated (N) and bleached (B), respectively.

Prior to the thermal treatment, the tresses were subjected to a standard wash and brought to a 'towel-dry' state. Under these conditions, a tress is expected to contain about 60% water. At this stage, each tress was subjected to straightening through the repeated application of a commercial straightening iron set to 200 °C. Individual treatment times (1.67s) added up to three total contact times, which defined four levels of thermal treatment (0: no thermal treatment; 1: 60s; 2: 300 s; 3: 800 s). Two tresses

	$E_w \pm q_{95\%}$	$E_d \pm q_{95\%}$	$BE_w \pm q_{95\%}$	$\mathrm{BE}_{\mathrm{d}} \pm \mathrm{q}_{95\%}$	$BS_w \pm q_{95\%}$	$BS_d \pm q_{95\%}$
Sample	GPa	GPa	%	%	MPa	MPa
N0	1.89 ± 0.05	3.95 ± 0.12	57.5 ± 1.0	46.9 ± 1.1	191 ± 3	229 ± 4
N1	1.82 ± 0.09	3.64 ± 0.10	53.2 ± 0.74	44.9 ± 0.83	175 ± 9	216 ± 5
N2	1.76 ± 0.04	3.73 ± 0.10	52.7 ± 0.59	45.1 ± 0.98	161 ± 3	205 ± 5
N3	1.58 ± 0.04	3.65 ± 0.09	49.1 ± 0.74	44.6 ± 0.96	125 ± 4	190 ± 3
B0	1.63 ± 0.04	4.15 ± 0.10	58.7 ± 1.1	50.3 ± 1.0	167 ± 6	223 ± 3
B1	1.45 ± 0.04	3.53 ± 0.10	60.0 ± 1.1	49.4 ± 0.92	160 ± 4	208 ± 3
B2	1.26 ± 0.04	3.71 ± 0.13	60.5 ± 1.2	51.1 ± 1.1	139 ± 5	192 ± 6
B3	1.02 ± 0.04	3.64 ± 0.11	59.0 ± 0.75	51.7 ± 0.91	114 ± 4	176 ± 3

TABLE 1 Summary of basic statistics for the investigated variables for natural/chemically untreated (N) and bleached (B) hair

Note: Numerals indicate the level of thermal treatment for each sample. q95% are the 95% confidence limits. The number of underlying data is 53-60.

were produced for each combination of chemical and thermal treatment.

SOCIÉTÉ FRANÇAISE DE

Tensile test were conducted on hair fibres, using the Fibre Dimensional Analysis Unit Model 765 (FDA765, Dia-Stron) and a Miniature Tensile Tester Model 675 (MTT675, Dia-Stron). The fibres were tested immersed in water at approx. 20°C (wet) and under standard room conditions (dry: 20°C, 65% rh). Thirty fibres were tested from each hair tress under wet and dry conditions. From each successful test, the software (UvWin – 3Phase –Dia-Stron) extracts a wide variety of stress, strain, and work-related parameters.¹⁷

Choice of variables, basic data, and statistical analysis

Tensile testing provides a large number of variables, which relate to moduli, stresses, various types of work, and strains.¹⁷ For our investigation, we selected as variables elastic modulus (*E*), break extension (*BE*), and break stress (*BS*). This is in line with the practice of tensile testing of hair^{3, 15} as well as consistent with the results of our recent investigation on the correlations between tensile variables (wet).¹⁷ The chosen variables are further specified by subscripts to indicated wet (w) and dry (d) conditions of testing.

As already described elsewhere,¹⁷ we checked the data distribution for each sample, applying normalprobability plots. This approach revealed a small number of outliers. The final number of data for each tress was between 30 and 27. For our analyses, we pooled data obtained for pairs of tresses with equal treatments, yielding a maximum of 60 data points for each case. Table 1 summarizes the basic results underlying this analysis. The notation of the samples follows the levels of chemical and thermal treatment, as outlined above. On this basis, for example, N0 is untreated hair with no thermal treatment, while B3 is bleached hair with level 3 (800 s) thermal treatment, respectively (see Appendix S1).

We analysed the data by a two-factor analysis of variance (2F-ANOVA), using the appropriate tool in Statistica²³ and the following approach. A first factor, *Factor 1*, refers to the chemical state of a sample. *Factor 1* has two levels (a = 2) for the chemical state of a sample (N = natural/chemically untreated, B = bleached). The second factor, *Factor 2*, describes the four levels (times) of thermal treatment (b = 4). The results represent a 2×4 'crossed' ANOVA design with essentially equal numbers of replicas for each cell (sample).²⁴

The measure of the variability within a given set of values for a chosen variable across all samples is the *Total Sum of Squares* (SS_T), as given specifically by²⁴:

$$SS_T = \sum_{i=0}^{1} \sum_{j=0}^{3} \sum_{l=1}^{n} \left(x_{ijl} - \overline{\overline{x}} \right)$$
(1)

where *i* (0, 1) signifies the two levels of chemical and *j* the four levels thermal treatment (0, 1, 2, 3). x_{ijl} are all of the individual data across both factors. \overline{x} is the mean for all data.

 SS_T is related to the variance (Var) of a data set according to:

$$Var = SS_T / (n-1)$$
 (2)

where *n* is the number of data for a given variable across all samples.

The fraction of SS_T associated with the variability of the data for the individual samples – that is for the hairs taken from tress pairs N0 to B3 for testing – is described by the *error-SS* as:

$$SS_E = \sum_{i=0}^{1} \sum_{j=0}^{3} \left[\sum_{l=1}^{n} (x_{ijl} - \overline{x}_{ij})^2 \right]$$
(3)

The fraction of SS_T specifically attributed to *Factor 1* (SS₁: chemical treatment) is given by:

$$SS_1 = b \ n \ \sum_{i=0}^1 \left(\overline{x}_i - \overline{\overline{x}} \right)^2 \tag{4}$$

 \overline{x}_i is the mean across all samples for a given level of *Factor 1*. *b* is the number of levels for *Factor 2* (*b* = 4).

The sum of squares attributed to *Factor 2* (SS_2 : thermal treatment) is accordingly given by:

$$SS_2 = a \ n \ \sum_{j=0}^3 \left(\overline{x}_j - \overline{\overline{x}}\right)^2 \tag{5}$$

 \overline{x}_j is the mean across all samples for a given level of *Factor 2. a* is the number of levels for *Factor 1* (*a* = 2).

The sum of the various contributions to SS_T may leave a rest SS_{1x2} , which is attributed to the interaction of the *Factors 1* and 2 as:

$$SS_{1x2} = SS_E - SS_1 - SS_2 \tag{6}$$

In summary, we have:

$$SS_T = SS_1 + SS_2 + SS_{1x2} + SS_E$$
(7)

nternational Journal of Cosmetic Science



FIGURE 1 (a): Plot of means for moduli (\bigcirc) for dry (E_d) versus wet (E_w) testing for natural and bleached hair. The linear regression line (-- -) is given. The related generic regression equation together with the coefficient of determination (R^2) are inset. (b): As (a), but for break extension ($BE \blacktriangle$). For further details, see Table 2

TABLE 2 Parameter values of the regression equations, when plotting variable means (n = 8) for dry vs wet testing (see Figure 1a,b)

Variable	ic \pm SE; p	$sl \pm SE; p$	R^2
E, GPa	$3.36 \pm 0.45; 0.001$	$0.24 \pm 0.29; 0.43$	0.106
BE, %	$11.1 \pm 7.2; 0.17$	$0.66 \pm 0.13; 0.002$	0.812
BS, MPa	$99 \pm 11; < 0.001$	$0.69 \pm 0.071; < 0.001$	0.940

Note: For each variable, the *p*-value is given to quantify the statistical significance level. Values, which are significant on the 95%-level are marked (italics).

Abbreviations: ic, intercept.; R^2 , coefficient of determination; SE, standard error; sl, slope of the regression line.

For the variables, the various components of SS_T , are determined and assessed for their statistical significance on the 95% level on the basis of their specific degrees of freedom.²⁴ The various *SS*-components are reported as fractions (%) of SS_T (see Table 3).

All calculations and graphs were done using Excel (2016, Microsoft) and Statistica.²³

TABLE 3 Summary of the various sum-of-squares (*SS*) components for the three chosen variables (wet and dry), according to Equations 1–7

Variable	SS _T , %	SS ₁ , %	SS ₂ , %	SS _{1×2} , %	SS _E , %
E_w , GPa	50.78	41	26	3	30
E_d , GPa	91.01	1	16	2	82
BE _w , %	12.3*10 ³	38	8	10	44
BE _d , %	9.60*10 ³	33	1	2	64
BS _w , MPa	428*10 ³	9	55	1	35
BS _d , MPa	236*10 ³	5	48	1	47

Note: SS_T is the *total sum-of-squares.* SS_1 and SS_2 relate to the chemical (*Factor 1*) and the thermal treatment (*Factor 2*), respectively. $SS_{1\times 2}$ gives the interaction component. SS_E is the unexplained (error) component of the *sum-of-squares.* Values for SS_1 , SS_2 , $SS_{1\times 2}$, and SS_E are given as percentages of SS_T . The number of data for each variable is around 450 across all samples. Significant SS-components (95%-level) are marked (italics).



FIGURE 2 Fractions of the total sum-of-squares (SS_T) for the three pairs of variables, as associated with the two factors and their interaction (see Equation 7 and Table 3). The arrows mark those two contributions, which are not significant on the 95%-level

RESULTS

Correlations wet vs dry

As first part of the investigation, we consider the independence hypothesis for wet and dry-testing across all chemically and thermally treated samples. For this, we assess the correlations between the variable means for each wet/dry-pair. Figure 1a,b graphically summarize the results of the regression process for modulus (E) and break extension (BE), as examples. For Figure 1a,b, these two variables were specifically chosen, since they have been shown to be independent in the wet state. Break stress (*BS*), in contrast, was found to be a combination of *E*- and *BE*- properties.¹⁷ Data sets for *E*, *BE*, and *BS* were analysed by linear regression, for which the parameters are summarized in Table 2.

2-Factor ANOVA

Table 3 summarises the results of the 2F-ANOVA for allthree variables.

DISCUSSION

The independence hypothesis

For the modulus, the analysis confirms that wet and dry measurements are not really correlated (see Figure 1a and Table 2). Means across all samples (see Table 1) for E_w (1.55 GPa) and E_d (3.75 GPa) differ by a factor of somewhat larger than two. Values for the thermally untreated samples (see Table 1) are in good agreement with literature values. This includes the drop of modulus with bleaching (compared with natural hair) when wet and its increase when dry.^{1, 2, 5, 11, 15, 25}

The main components of the microscopic structure of human hair are an outer protective layer (cuticle) and a fibre core (cortex). The cortex is essentially a fibre/matrix composite, comprising partly α -helical intermediate filaments (IF) embedded in amorphous IF-associated material. The difference between wet and dry values for modulus is attributed to the low contribution of the matrix in the wet compared with a much larger effect in the dry/ conditioned state.^{3, 15, 26} Under wet condition, the modulus is about 2 GPa (Table S1), in agreement with values for wool.²⁷ For wool, the contributions (wet) of IFs (1.7 GPa) and the matrix (0.3 GPa) show a ratio of (5-6: 1).²⁸ The IF contribution (1.7 GPa) to the elastic modulus is independent of humidity.^{27, 29} Under standard conditions, the modulus of a hair fibre is about 4 GPa (Table S1). With the humidity-independent contribution of the IFs (1.7 GPa), this yields 2.3 GPa for the matrix contribution for the chosen experimental conditions, in good agreement with literature data.²⁶ This represents a much different relation between the contributions of IF and matrix contributions to fibre modulus (1: 1.3). The strong increase of matrix modulus from wet to dry is due to a phase change. The matrix is a gel in the wet state and turns into a glass below about 80% relative humidity (RH).^{13, 28}

Against this background, in view of Figure 1a and the results given in Table 2, modulus measurements are thus



FIGURE 3 (a): Summary of thermal effects on wet modulus (E_w) for natural (•) and bleached (•) hair. (b): As (a), but for dry modulus (E_d) . Symbols (arithmetic means), whiskers (95% confidence range). The *x*-axis represents thermal treatment times on an ordinal scale, as shown in Figure 3a. The lines do not indicate a formal connection between data points, but are rather meant as a guide for the eye

considered as conforming to the hypothesis that wet and drytesting results are independent (independence hypothesis).

The situation is quite different for break extension (*BE*) were wet and dry measurements are highly correlated ($R^2 = 0.812$, see Table 2). For this variable, the independence hypothesis is thus not valid. Furthermore, the differences between means across all samples for the wet (56%) and the dry state (48%) (see Table 1) are quite small compared with modulus. *BE*-results for the untreated hair (wet: 58%; dry: 47%) are in good agreement with the literature data.¹¹ For break stress (*BS*), the significance of the correlation between dry and wet readings increases even further ($R^2 = 0.94$). *BS*-values for the untreated hair show little difference (wet: 191 MPa; dry: 229 MPa), in accordance with the literature data.^{3, 11}

We attribute the strong dry *vs* wet correlations for the break variables *BE* and *BS* to a much smaller contribution



FIGURE 4 (a) Break extension (%) for wet conditions (BE_w) for natural (•) and bleached (•) hair as it changes with the levels of thermal treatment (*Factor 2*). (b) as (a), but for dry conditions (BE_d) . For further specifics, see Figure 3

of the matrix in dry state for these parameters compared with the dry elastic modulus. For this, we offer the following argument. Upon straining under dry conditions beyond the elastic limit of about 2%, the matrix undergoes a strain-induced glass transition.^{30, 31} It turns into a highly viscous liquid, which flows upon fibre extension, somewhat similar to wet conditions. The estimated viscosity for the matrix (in wool) drops for standard conditions (20°C, 65% rh) and low strains (<2%) from that for a glassy solid $(\eta = 10^{19} \text{Pa})$ to that of a highly viscous fluid at higher strains (>2%) ($\eta \approx 10^{14} - 10^{15}$ Pa).³⁰ The strain-induced reduced contribution of the matrix to BE_d and BS_d compared with modulus is thus the main factor of the strong dry/ wet-correlations for the two variables. Since the properties of the IFs are humidity-independent,³² the glass/liquid transition in the matrix explains the similarity of the stress/strain curves of keratin fibres across the humidity range¹⁴, including the humidity-dependent glass transition.^{12, 13}



FIGURE 5 Break stress for wet conditions (BS_w) for natural (•) and bleached (•) hair as it changes with the levels of thermal treatment (Factor 2). (b) as (a), but for dry conditions (BS_d) . For further specifics, see Figure 3

Two-Factor ANOVA

Figure 2 summarizes the relative contributions to SS_T for the three variables (wet and dry) as detailed in Table 3.

Most contributions to SS_T are significant. The two exceptions, as marked in Figure 2 are the effect of the chemical treatment (*Factor 1*) on the dry modulus (E_d) and the interaction SS-component for dry break stress (BS_d).

Figure 3a,b summarize the results for the moduli (see Table 1) in detail.

For wet conditions, the moduli for natural are consistently higher than for bleached hair. This is in line with expectations.^{1, 5, 21} Prior to the thermal treatment, the difference is 14% and increases to 35% with the thermal treatment. Accordingly, the effect of the chemical treatment (bleach) on E_w is quite high (SS₁ = 41%) (see Table 3). The effect of the thermal treatment is smaller ($SS_2 = 26\%$) and comparable to the error component ($SS_E = 30$). This shows that E_w is more sensitive to the chemical than to the

thermal treatment. The interaction component is small $(SS_{1\times 2} = 3\%)$, but significant. Both curves in Figure 3a follow first-order kinetics with thermal treatment time. The increasing difference between the curves from 16% to 37% with the level of thermal treatment is due to the smaller rate constant and thus the higher heat-sensitivity of bleached hair.¹⁸

The results are quite different for the dry modulus E_d (see Figure 3b). For the start material, E_d is numerically somewhat higher (5%) for the bleached compared with the natural hair.²⁶ The gap between the two curves vanishes with the first thermal treatment. Accordingly, the effect of the chemical pretreatment turns out to be not significant, overall (see Table 3). The thermal treatments show a moderate but significant effect ($SS_2 = 16\%$). This is, however, small compared with the residual error ($SS_F = 82\%$, see Table 3). Overall, dry modulus shows only a low sensitivity towards treatments, in line with observations in the literature.^{15, 21, 22, 33} We attribute this lack of sensitivity to the large contribution of the matrix to E_d . The matrix is an amorphous, cross-linked glass under these conditions, which will be rather insensitive to moderate changes of its molecular structure.

Figure 4 summarizes the results for break extension (see Table 1), complementing the results of Table 3.

Initial wet break extension (BE_w) (see Figure 4a) does not change significantly through the bleaching treatment. BE_w is about 58% for the natural as well as the bleached hair sample with a slight tendency towards higher values for the latter (see Table 1). Literature data would lead to expect a larger difference.^{11, 15} For the untreated hair, BE_w decreases with the thermal treatments by 15% along a seemingly similar path as E_w (see Figure 3a). This is reflected in similar values for SS_1 (see Table 3). In contrast, the thermal treatment does have no overall effect on the bleached hair ($SS_2 = 8\%$). This difference in performance explains the overall significant effect of bleaching ($SS_1 = 38\%$) as well as the moderate interaction effect ($SS_{1\times 2} = 10\%$). We hypothesize that this may be attributed to a specific, antagonistic effect between bleaching and thermal treatment for break extension. This contrast in performance for untreated and bleached hair would certainly merit further investigation. Under wet conditions, BE_W thus shows satisfactory sensitivity towards the thermal treatment only for natural hair. Bleaching appears to suppress the thermal effects.

For the dry state, break extension is somewhat smaller than for wet conditions for both hair types. This we again attribute to the fact that the matrix is below its glass transition when dry. However, the effect $(BE_w \approx 58\% \text{ vs. } BE_d \approx 47-50\%)$ is rather small compared with the effects for E_w versus E_d . We attributed this to

the strain-induced reduction of matrix viscosity, as discussed above. Break extension for bleached hair is 7% higher than for the untreated hair, in line with literature results.¹¹ The overall ANOVA -effect of bleaching is similar to that for the wet state ($SS_1 = 33\%$). We attribute this to increased molecular mobility, namely, in the matrix due to broken/oxidized disulphide cross-links. Though bleached and natural hair in the dry state show opposite trends with the thermal treatment, the effects of thermal treatment are small but significant ($SS_2 = 1\%$) for both types of samples. The interaction component is also small but significant ($SS_{1\times 2} = 2\%$). Comparing Figure 4a,b shows relevant sensitivity of BE_d towards the chemical treatment, which is only rather limited for the thermal treatment.

Figure 5 summarizes the results for break stress wet and dry from Table 1.

Results for break stress (see Figure 5) show a striking qualitative similarity. Their change with thermal treatment³⁴ appears to be comparable to that for E_w (see Figure 3a). This is in agreement with the underlying strong correlation between E_w and BS_w for untreated hair.¹⁷ BS_w appears thus as a suitable parameter to assess both the chemical as well as the thermal treatment.

For wet (Figure 5a) and dry (Figure 5b), start values for natural are consistently higher than for bleached hair, though this effect is only small for the dry case.^{11, 21} Initial values for dry compared with wet conditions are roughly 20% higher. The effects of the thermal treatment are 31%-35% for wet and 21%-17% for dry conditions. The overall low differentiation between wet and dry BS-data, compared with modulus, we again attribute to the small contribution of the matrix, due to its strain-induced low viscosity. In line with these observations, SS_2 is comparable for wet (55%) and dry (48%) conditions and much larger than SS_1 (wet:9%; dry:5%). Interaction effects are essentially negligible (see Table 3). Due to the closeness of the curves in Figure 5b, BS_d appears to be only of limited value to determine bleaching related effects⁸ but appears suitable with respect to thermal damage.

CONCLUSIONS

Regarding the Independence Hypothesis for wet and dry tensile testing, the results show that it only applies for modulus. In contrast, correlations between dry and wet results are highly significant for break extension (*BE*) and stress (*BS*). We attribute this difference in performance to a strongly decreased contribution of the matrix due to a strain-induced glass transition. For *BE* and *BS*, the assumption of independence between testing conditions is thus not supported.

Parameters show pronounced differences in their responses towards the chosen chemical (bleach) and physical (thermal) treatment as well as towards testing conditions. Overall, modulus measurements under wet conditions show the best sensitivity towards the chemical (bleach) as well as towards the physical (thermal) treatment. Dry modulus testing, in contrast, is rather insensitive overall. We attribute this to the large contribution of the matrix in this case, which shows a low sensitivity towards changes/ damage in its glassy state. Break extension shows a rather complex behaviour across samples and testing conditions but generally low sensitivity, except when testing untreated hair samples under wet conditions. Break stress shows very similar behaviour for wet and dry conditions, somewhat analogous to wet modulus testing. This observation is attributed to the underlying correlation between E_w and B_w^{17} as well as the strain-induced glass/fluid transition of the matrix at strains beyond the elastic region.³⁰ If testing under room conditions is preferred, determining break stress may thus be the best option to detect changes/ damage of hair due to physical and/or chemical cosmetic processes.

In general, we expect these conclusions to be also applicable for other types of chemical and physical treatments of hair, leading to specific types of modification and/or damage.

ACKNOWLEDGEMENTS

The authors are grateful to the working group 'Hair Treatments' (Chairman: Dr. J. Wood) of the DGK (Deutsche Gesellschaft fuer Wissenschaftliche und Angewandte Kosmetik e.V.) for the opportunity to use data, which were generated at DWI – Leibniz Institute for Interactive Materials (Aachen, GER) – as part of a group project on the effects of thermal treatments on human hair. In this context, the authors wish to specifically acknowledge the contribution of Dr. P Augustin (DWI) for sample treatment, data acquisition and organization. Open access funding enabled and organized by ProjektDEAL.

ORCID

Franz J. Wortmann https://orcid. org/0000-0002-0076-9552

REFERENCES

- Robbins CR. Chemical and physical behavior of human hair. New York, USA: Springer; 2012.
- Wickett, R.R. Mechanical properties of hair. In Practical modern hair science Evans, T. Wickett, R. R., editors. Chap.7. Allured Business Media, Carol Stream, IL, USA; 2012.
- Evans T. Measuring hair strength, Part I: stress strain curves. Cosm Toiletries. 2013;128:1–5.

 Istrate D, Popescu C, Rafik ME, Möller M. The effect of pH on the thermal stability of fibrous hard alpha-keratins. Polym Deg Stab. 2013;98(2):542–9.

ational Journal of

Cosmetic Science

- Popescu C. Hidden hair damage and the importance of multiple test. Cosm Toiletries. 2015;130:34–9.
- Yu Y, Yang W, Wang B, Meyers MA. Structure and mechanical behavior of human hair. Mater Sci Eng C. 2017;73:152–63.
- Malinauskyte E, Cornwell PA, Reay L, Shaw N, Petkov J. Effect of equilibrium pH on the structure and properties of bleachdamaged human hair fibers. Biopolymers. 2020;111(11):e23401.
- Camargo FB Jr, Minami MM, Rossan MR, Magalhães WV, Porto Ferreira VT, Maia Campos PMBG. Prevention of chemically induced hair damage by means of treatment based on proteins and polysaccharides. J Cosm Dermatol. 2022;21(2):827–35.
- Tohmyoh H, Fujita K, Suzuki H, Futada K. Structural elasticity for tensile deformation of a single human hair and the comparison with it for the bending deformation. J Mech Behav Biomed Mater. 2021;113:104166.
- Kaushik V, Chogale R, Mhaskar S. Single hair fiber assessment techniques to discriminate between mineral oil and coconut oil effect on hair physical properties. J Cosm Dermatol. 2021;20(4):1306–17.
- Wolfram LJ, Hall K, Hui I. The mechanism of hair bleaching. J Soc Cosmet Chem. 1970;21:875–900.
- 12. Wortmann FJ, Rigby BJ, Phillips DG. Glass transition temperature of wool as a function of regain. Text Res J. 1984;54(1):6–8.
- Wortmann FJ, Stapels M, Elliott R, Chandra L. The effect of water on the glass transition of human hair. Biopolymers. 2006;81(5):371–5.
- Chapman BM. A review of the mechanical properties of keratin fibres. J Text Inst. 1969;60(5):181–207.
- Evans T. How damaged is hair? Part II, internal damage. Cosm Toiletries. 2017;132(6):36–45.
- Bloch LD, Goshiyama AM, Dario MF, Escudeiro CC, Sarruf FD, Velasco MVR, et al. Chemical and physical treatments damage Caucasian and Afro-ethnic hair fibre: analytical and image assays. J Europ Acad Dermatol Venereol. 2019;33(11):2158–67.
- Wortmann FJ, Quadflieg J, Wortmann G. The information content of tensile tests of human hair (wet) is limited: Variables cluster in just two principal components. J Mech Behav Biomed Mater. 2022;129:105145.
- Wortmann FJ, Wortmann G, Popescu C. Kinetics of the changes imparted to the main structural components of human hair by thermal treatment. Thermochim Acta. 2018;661:78–83.
- Wortmann FJ, Wortmann G, Popescu C. Linear and nonlinear relations between DSC parameters and elastic moduli for chemically and thermally treated human hair. J Thermal Anal Calorim. 2020;140(5):2171–8.
- 20. Kamath YK, Weigmann HD. Fractography of human hair. J Appl Polym Sci. 1982;27(10):3809–33.
- 21. Tate ML, Kamath YK, Ruetsch SB, Weigmann HD. Quantification and prevention of hair damage. J Soc Cosm Chem. 1993;44(6):347–72.
- Nogueira AC, Nakano AK, Joekes I. Impairment of hair mechanical properties by sun exposure and bleaching treatments. J Cosmet Sci. 2004;55:533–7.
- TIBCO Software Inc. Statistica (data analysis software system), version 13. http://tibco.com. (2018).
- 24. Zar JH. Biostatistical analysis. 3rd ed. Upper Saddle River, NY, USA: Prentice Hall; 2013.

SOCIÉTÉ FRANÇAISE D

 Correa N, Alunni Cardinali M, Bailey M, Fioretto D, Pudney PD, Palombo F. Brillouin microscopy for the evaluation of hair micromechanics and effect of bleaching. J Biophotonics. 2021;e202000483.

SOCIÉTÉ FRANÇAISE DE

- 26. Breakspear S, Noecker B, Popescu C. Relevance and evaluation of hydrogen and disulfide bond contribution to the mechanics of hard α -keratin fibers. J Phys Chem B. 2019;123(21):4505–11.
- 27. Wortmann FJ, De Jong S. Analysis of the humidity-time superposition for wool fibers. Text Res J. 1985;55(12):750–6.
- Wortmann FJ. The structure and properties of wool and hair fibres. In: Eichhorn SJ, Hearle JWS, Jaffe M, Kikutani T, editors. Handbook of textile fibre structure. Oxford: Woodhead Publishing; 2009. p. 108–45.
- 29. Feughelman M, Robinson MS. Some mechanical properties of wool fibers in the" Hookean" region from zero to 100% relative humidity. Text Res J. 1971;41(6):469–74.
- Wortmann FJ, De Jong S. Nonlinear viscoelastic behavior of wool fibers in a single step relaxation test. J Appl Polym Sci. 1985;30(5):2195–206.
- Wortmann FJ, Zahn H. The stress/strain curve of α-keratin fibers and the structure of the intermediate filament. Text Res J. 1994;64(12):737–43.
- 32. Feughelman M. A note on the water-impenetrable component of α -keratin fibers. Text Res J. 1989;59(12):739–42.

- 33. Malinauskyte E, Shrestha R, Cornwell PA, Gourion-Arsiquaud S, Hindley M. Penetration of different molecular weight hydrolysed keratins into hair fibres and their effects on the physical properties of textured hair. Int J Cosm Sci. 2021;43(1):26–37.
- Evans T. Beating the Damaging Effects of Heat on Hair. Cosm Toiletries. 2015;130(5):28–33.

SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

How to cite this article: Wortmann FJ, Quadflieg JM, Wortmann G. Comparing hair tensile testing in the wet and the dry state: Possibilities and limitations for detecting changes of hair properties due to chemical and physical treatments. Int J Cosmet Sci. 2022;44:421–430. doi:10.1111/ics.12796

International Journal of

Cosmetic Science