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TITLE

Valence-partitioned learning signals drive choice behavior and phenomenal subjective experience in
 humans

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20 21 Highlights:

- TD-Reinforcement Learning (RL) theory interprets punishments relative to rewards.
- Environmentally, appetitive and aversive events are statistically independent.
- Valence-partitioned RL (VPRL) processes reward and punishment independently.
- We show VPRL better accounts for human choice behavior and associated BOLD activity.
- VPRL signals predict dynamic changes in human subjective experience.

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28 SUMMARY

29 How the human brain generates conscious phenomenal experience is a fundamental problem. In 30 particular, it is unknown how variable and dynamic changes in subjective affect are driven by interactions 31 with objective phenomena. We hypothesize a neurocomputational mechanism that generates valence-32 specific learning signals associated with 'what it is like' to be rewarded or punished. Our hypothesized 33 model maintains a partition between appetitive and aversive information while generating independent 34 and parallel reward and punishment learning signals. This valence-partitioned reinforcement learning 35 (VPRL) model and its associated learning signals are shown to predict dynamic changes in 1) human 36 choice behavior, 2) phenomenal subjective experience, and 3) BOLD-imaging responses that implicate 37 a network of regions that process appetitive and aversive information that converge on the ventral striatum and ventromedial prefrontal cortex during moments of introspection. Our results demonstrate 38 39 the utility of valence-partitioned reinforcement learning as a neurocomputational basis for investigating 40 mechanisms that may drive conscious experience.

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42 **KEYWORDS:** consciousness, subjective experience, decision-making, reinforcement learning, reward
 43 prediction errors, punishment prediction errors, valence, affect

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44 INTRODUCTION

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46 The mechanisms by which the human brain generates the subjective phenomenal experiences that allow us to answer introspective questions like, "What is it like to be [me]?" (or "a bat"; Nagel, 1974) 47 48 remain a fundamental mystery that has occupied artists, philosophers, and neuroscientists for centuries 49 (Faherty, 2016). However, this problem represents more than just an old philosophical guandary: brain 50 states underlying subjective suffering and challenges to the ability to control one's behavior are at the 51 core of nearly all psychiatric and neurological conditions (Kishida et al., 2010; Montague et al., 2012; 52 Kishida, 2012; Redish and Gordon, 2016; Huys et al., 2016; Taschereau-Dumouchel et al., 2022). The 53 inherently subjective nature of conscious experience has led philosophers to deem an understanding of 54 the mechanisms supporting it fundamentally 'hard' or even impossible (Nagel, 1974; Chalmers, 1995). 55 On the other hand, empirical investigation has turned previously seemingly impossible problems (e.g., an understanding of electromagnetic phenomena; Forbes and Mahon, 2014) into well-defined scientific 56 57 fields of inquiry. Here, "we get on with the task" of empirically investigating simple conscious experiences 58 through the lens of behavioral and neurobiological measurements (Churchland PM, 1984, 2014; 59 Churchland PS, 1996) that may be better understood within a neurocomputational framework (Churchland and Sejnowski, 1994; Kishida, 2012). One of the major challenges facing a science of 60 61 consciousness lies in the fact that the phenomena to be investigated – e.g., variations in how one feels 62 - are subjective and only *indirectly* accessible through self-report behavior. Nonetheless, subjective 63 experiences are associated with reproducible behaviors and changes in neurophysiology that can be 64 studied within behavioral, cognitive, and computational neuroscience methods. 65

66 A leading neurocomputational approach to investigating adaptive human choice behaviors and 67 subjective experiences has been the use of temporal difference (TD) reinforcement learning (RL) theory 68 (Sutton, 1988; Sutton and Barto, 1998) to provide a framework for probing how dopamine neurons 69 encode 'teaching signals' in the form of TD reward prediction errors (RPEs; Montague et al., 1996; Schultz 70 et al., 1997; Bayer and Glimcher, 2005; Bayer et al., 2007; Zaghloul et al., 2009; Glimcher, 2011; Hart 71 et al., 2014; Eshel et al., 2015; Kishida et al., 2016; Watanabe-Uchida et al., 2017; Moran et al., 2018). 72 In the dopamine TD-RPE hypothesis (Montague et al., 1996; Schultz et al., 1997), phasic bursts and 73 pauses in dopamine neuron firing activity signal 'better-than-expected' or 'worse-than-expected' 74 prediction errors, respectively, which provides a computationally-optimal method for learning – directly 75 from experience - value associations between rewards and the stimuli and actions that predict them 76 (Sutton and Barto, 1998). This mechanistic insight has since led to specific hypotheses about the 77 neurochemical basis of computations underlying human choice behaviors and a variety of mental health 78 disorders (Redish and Gordon, 2016), in part due to support from human fMRI studies demonstrating 79 that BOLD activity in brain regions rich in dopaminergic terminals parametrically tracks reward prediction 80 errors during classical and instrumental conditioning (O'Doherty et al., 2003; McClure et al., 2003; 81 Pessiglione et al., 2006; Garrison et al., 2013). Furthermore, empirical studies have begun to associate 82 neurocomputational processes underlying RPE encoding with the immediate subjective experience of 83 pleasure as well as associated dynamic changes in mood that occur over longer timescales (Delgado et 84 al., 2006; Xiang et al., 2013; Rutledge et al., 2014; Eldar et al., 2016). This work has also provided a 85 basis for investigating the neural and behavioral correlates of changes associated with various psychiatric 86 conditions and mood disorders (Redish and Gordon, 2016; Redish, 2004; Montague et al., 2012; Kishida 87 et al., 2010; Huys et al., 2016; Rutledge et al., 2017; Brown et al., 2021).

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89 Despite its overwhelming utility, RL theory does not explicitly describe how biological organisms 90 learn optimally from aversive experiences (i.e., punishments) concurrently or independently from 91 experienced rewards (Sutton, 1988; Sutton and Barto, 1998; Dayan and Niv, 2008; Pessiglione and 92 Delgado, 2015; Kishida and Sands, 2021). Aversive stimuli (e.g. those that cause tissue damage or threaten to do so) are evolutionarily conserved drivers of defensive and other adaptive behaviors (Cisek, 93 94 2021) and negative aspects of human subjective experience (Seymour et al., 2007b; Kishida and Sands, 95 2021). Typically, TDRL theory treats aversive experiences (e.g., punishments or costs) as 'negative 96 rewards' and thus colinear with rewards along a single valence dimension: TDRL treats punishing (i.e., 97 aversive) outcomes only in relation to appetitive or rewarding experiences. This is counter to biological

98 experience where appetitive and aversive experiences may largely be derived from statistically 99 independent sources or derived from a similar source with varving degrees of statistical dependence. For 100 example, individual people may be collaborative or fiercely competitive; potential sources of food (e.g., 101 plants) may be nutritious or toxic. Further, computationally, using a single valence dimension ordains a 102 'zero-sum rule' for how to represent co-occurring positive and negative outcomes (or mixed-valence 103 experiences) – only a resultant single scalar 'reward' term is used in TDRL (Sutton and Barto, 1998) – 104 and thereby also does not allow for dissociating the individual effects of co-occurring rewards and 105 punishments on agent behavior and subjective experiences. Indeed, this traditional reliance on a 106 unidimensional, colinear valence representation belies the independent influences of rewards and 107 punishments (or otherwise appetitive and aversive events) on human choices and emotional responses 108 (Konorski, 1967; Dickinson and Dearing, 1979; Cacioppo et al., 1999; Folkman and Moskowitz, 2000; 109 Larsen et al., 2009: Larsen and McGraw, 2011: Kishida and Sands, 2021). 110

111 Fundamentally, there remains a gap in the literature regarding traditional TDRL accounts of 112 reward and punishment learning and comparisons to alternative models that directly address how 113 punishing stimuli may be processed in a comparably optimal manner. Human fMRI investigations of 114 aversive valence-processing suggest that adaptive learning from punishments (e.g., pain) is consistent 115 with a hypothetical punishment-based (i.e., reward-opponent) RL system (Palminteri and Pessiglione, 116 2017; Seymour et al., 2004, 2005, 2007a, 2012; Delgado, et al. 2008, 2009). Theoretical descriptions of 117 a system 'opponent' to dopaminergic reward processing have been hypothesized (Daw et al., 2002) and 118 are supported by indirect evidence (Palminteri and Pessiglione, 2017; Seymour et al., 2005, 2007a, 119 2007b; Delgado, et al. 2008, 2009) and recent direct simultaneous measurements of serotonin and 120 dopamine in human striatum (Kishida et al., 2016; Moran et al., 2018). However, these prior investigations 121 generally used a unidimensional representation of valence. To begin to explicitly compare alternatives to 122 unidimensional TDRL-based depictions of reward and punishment learning, we hypothesized valence-123 partitioned reinforcement learning (VPRL; Kishida and Sands, 2021), which proposes that separate 124 neural systems implement TD learning over appetitive and aversive experiences in parallel and thereby 125 independently update representations of positive and negative expected state-action values, 126 respectively. VPRL-encoded signals can then be operated on (e.g., integrated) or processed 127 independently as necessary for guiding behavior, including when introspecting or reporting about one's 128 subjective feelings (Kishida and Sands, 2021). 129

130 Here, we test the hypothesis that VPRL is a better model than traditional TDRL for investigating 131 (1) human learning and decision-making behavior, (2) associated neural activity, and (3) dynamic 132 moment-to-moment changes in subjective experience in humans. We combine data from two 133 experiments involving human participants (N=47 total) scanned with fMRI while performing a probabilistic 134 reward and punishment (PRP) task that uses monetary gains and losses as reinforcement (Figure 1A; 135 Methods). We show that VPRL better explains participant choice behavior compared to traditional TDRL 136 and that VPRL model parameters fit to participant choices are consistent with humans learning from 137 rewards and punishments independently and asymmetrically (Figure 2). Further, we investigate the 138 connection between VPRL learning signals and participants' self-reported subjective feelings about 139 received outcomes throughout the PRP task, demonstrating that the expected value of a chosen action 140 and prediction errors over action-contingent rewards and punishments all uniquely influence - and 141 together predict - subjective feelings about experienced outcomes (Figure 3). Model-based fMRI 142 analyses reveal blood-oxygen-level-dependent (BOLD) signals that parametrically track VPRL learning 143 signals and associated subjective feelings within a distributed network of striatal, cingulate, insular, and 144 prefrontal regions (Figure 4,5). Our results support the notion of valence partitioning as a mechanism in 145 the human brain for processing appetitive and aversive stimuli via independent and parallel TDRL 146 mechanisms, which together provide more robust representations of independent appetitive and aversive 147 value estimates in uncertain contexts. Further, our results demonstrate and we discuss the implications 148 of VPRL as a valid neurocomputational framework for investigating the neural mechanisms underlying 149 the dynamics of subjective phenomenal experience and associated behaviors in humans.

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Figure 1 – Human performance on a probabilistic reward and punishment (PRP) task. (A, top) Schematic of a trial from the PRP task and subjective rating prompt. On each trial, a participant chooses one of two options and is reinforced probabilistically with either a monetary gain, nothing, or a monetary loss. Randomly after a third of trials, participants submit ratings of their subjective feelings about experienced outcomes. Offset: rewardassociated options result in either monetary gains or nothing, and punishment-associated options result in monetary losses or nothing. (A, bottom) Depiction of the 'ground-truth' expected value for each option (expected value = probability(outcome)*outcome) and how the options' expected values change throughout the three phases of the PRP task (demarcated by vertical black lines). In phase 1 you choose between two of 3 possible gain/no-gain options. For phase 2, there's an equal number of trials with two gain/no-gain options and two loss/noloss. In phase 3, participants choose between any two of the six options at random, and the expected value for each option changes. Icon to outcome mappings are randomized for each participant. (B, top) The overall percent of trials where participants correctly chose the option with the highest (most positive) expected value, and (B, bottom) the evolution of the percent of correct choice trials throughout the PRP task. (C) The distributions of response times for all trials, trials on which a reward-associated option was chosen (reward trials), and trials on which a punishment-associated option was chosen (punish trials). For (B) and (**C**), *** = p<0.001.

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154 **RESULTS**

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156 VPRL best explains choice behaviors and reveals asymmetrical processing of rewards and 157 punishments 158

Forty-seven participants completed the PRP task which required them to intermittently (randomly on one-third of all trials) rate how they felt about recent outcomes (**Figure 1**A). Participants learned to choose the option with the highest expected value on each trial more often than expected by chance (**Figure1**B; two-sample t-test, t(92)=8.8, p<0.001), chose the option with the highest expected value increasingly over time (two-way ANOVA (group, time), F(1,149)=2416.8), and were quicker to select
 rewarding options than punishing options (**Figure 1**C; two-sample t-test, t(7023)=-14.5, p<0.001).

To test whether participants might learn differently from rewards and punishments, we fit a standard TDRL model and a VPRL model (Kishida and Sands, 2021) to participant choice behavior using hierarchical Bayesian inference and compared estimates of the model evidence (i.e., marginal likelihood) and the posterior predictive accuracy (density) for both models. For both cohorts individually, and for an 'internal meta-analysis' combined cohort, VPRL demonstrated both greater model evidence and greater posterior predictive accuracy relative to TDRL (**Table 1**), indicating that VPRL is a better explanation of behavior on the PRP task and better predicts unobserved PRP task choice behavior data.

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	fMRI Cohort 1 (n=20)		fMRI Cohort 2 (n=27)		Combined (N=47)	
	Model evidence	Predictive density	Model evidence	Predictive density	Model evidence	Predictive density
TDRL	-1577.3	-1564.9	-2400.2	-2378.5	-3979.3	-3945.8
	(0.05)	(56.8)	(0.20)	(61.7)	(0.33)	(89.9)
VPRL	-1499.2	-1476.8	-2321.8	-2295.4	-3815.2	-3769.0
	(0.24)	(63.3)	(0.48)	(71.0)	(2.45)	(101.9)
Difference	-78.1	-88.2	-78.4	-83.0	-164.1	-1767.7
	(0.25)	(28.9)	(0.35)	(22.3)	(2.25)	(37.7)

Table 1 – TDRL and VPRL model comparison results for two neuroimaging cohorts. Computed estimates of the Bayesian model evidence (i.e., marginal likelihood) and model predictive density (i.e., cross-validated error) for TDRL and VPRL models. VPRL demonstrated the maximum (least negative) model evidence and expected predictive density (bold values) compared to TDRL. Reported values are the median estimate value (log scale), with values in parentheses indicating either the interquartile range (of model evidence estimations) or the Monte Carlo sampling error (for the predictive density). Given the similarity of TDRL and VPRL model comparison results for both fMRI cohorts separately and the improved model evidence and predictive density for VPRL when combining both fMRI cohorts, we elected to combine the data from both fMRI cohorts to improve the power of both the main behavioral and model-based fMRI analyses.

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176 Given that participant choice behavior on the PRP task is better explained by VPRL compared to 177 TDRL, we next investigated differences in the (posterior) parameter distributions and the time series of 178 learned state-action values (Q-values) derived from each model (Figure 2). The group-level TDRL model 179 parameters are (**Figure 2**A): learning rate (α): median = 0.16 (95% credible (highest density) interval (CI) 180 = [0.13 0.21]); temporal discount factor (γ): median = 0.65 [0.46 0.98]; and choice temperature (τ): median = 0.09 [0.05 0.20]. The group-level VPRL model parameters are (Figure 2B): Positive valence (i.e., 181 182 reward) system learning rate (α^{P}): median = 0.20 [0.16 0.24]; Negative valence (i.e., punishment) system learning rate (α^N): median = 0.66 [0.17 0.91]; Positive system temporal discount factor (γ^P): median = 183 184 0.71 [0.54 0.99]; Negative system temporal discount factor (γ^N): median = 0.15 [0.03 0.29]; and choice temperature (τ): median = 0.07 [0.05 0.14]. To investigate the nature of the differential learning of rewards 185 186 relative to punishments in the VPRL framework, we assessed the difference between the Positive and Negative systems' learning rates and temporal discount parameters (Figure 2C). We found that the 187 learning rate for punishments is generally greater than the learning rate for rewards ($\alpha^P - \alpha^N$ median 188 difference = -0.47 [-0.71 0.04]), and that temporal discounting for punishments was greater than temporal 189 discounting for rewards ($\gamma^{P} - \gamma^{N}$ median difference = 0.56 [0.35 0.86]). Lastly, the time series of learned 190 191 expected values for TDRL (Figure 2A, bottom) and VPRL (Figure 2B, bottom) models demonstrate that 192 participants learned option values that recapitulate the correct ranking (i.e., from most negative to most 193 positive value) and are appropriately adaptive to the changes in outcome magnitudes beginning in Phase 194 3. Of note, VPRL produced more accurate estimates of the true state-action values of aversive options (i.e., associated with monetary losses) over time compared to TDRL (Figure S1; two-way ANOVA (time, model): F(time,149) = 8.7, p = 3.1e-83; F(model,1) = 66.2, p = 2.4e-15), whereas rewarding options are learned with equivalent accuracy (F(time,149) = 2.38, p = 1.9e-13; F(model,1) = 0.02, p = 0.66); differences between learned option values for TDRL and VPRL models were specific to the most negatively valued options.

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Figure 2 – TDRL and VPRL computational modeling results. VPRL model best explains choice behavior on PRP task and leads to asymmetric learning. Distributions of (**A**) TDRL and (**B**) VPRL model parameter values across all participants. Horizontal bars mark the median of each distribution, and vertical bars indicate 95% credible interval (CI) of individual-level distribution. Dots indicate individual participant parameter values (mean of posterior parameter distributions); within-subject VPRL model parameters values are linked by grey lines. For both (**A**) and (**B**), bottom panels show time series of learned expected state-action values (Q-values) across participants for each option on the PRP task as predicated by the (**A**) TDRL and (**B**) VPRL models and shown relative to each option's true expected value (grey dashed lines). Shaded ribbons indicate +/- one standard error of the mean (SEM), and different hues of shaded ribbons indicate different outcome probability groups. (**C**) Group-and individual-level distribution and histogram). Vertical dashed line indicates equivalence between parameter values; horizontal light and dark blue bars indicate 95% CI for the group-level distribution.

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VPRL prediction errors over rewards and punishments differentially affect subjective feelings

Given the evidence that VPRL best explains participant behavior on the PRP task, we sought to characterize how VPRL model-derived reward prediction errors (VP-RPE), punishment prediction errors (VP-PPE), and expected action values influence moment-to-moment changes in participants' self-209 reported subjective feelings about experienced outcomes. We fit a cross-validated (leave-one-210 participant-out) Bayesian linear regression model to predict subjective feeling reports (Figure 1) using as predictor variables the learned state-action values (VP-Q-value) of each option presented on a rated 211 trial and positive and negative VP-RPEs (from the VPRL Positive system) and VP-PPEs (from the VPRL 212 Negative system) in response to the outcome of each rated trial (Figure 3A). Positive and negative VP-213 214 RPEs contribute positively and negatively to participants' self-reported subjective feelings, respectively 215 (positive VP-RPE: median = 0.92 [0.70 1.1]; negative VP-RPE: median = -0.33 [-0.64 -0.01]). Conversely, 216 positive and negative VP-PPEs contribute negatively and positively to subjective feelings, respectively 217 (positive VP-PPE: median = -1.4 [-1.8 -1.1]; negative punishment VP-PPE: median = 0.13 [0.02 0.21]).

The expected value of the chosen option on rated trials contributes positively to subjective ratings (Expected Value (VP-EV) of Chosen: median = 1.4 [1.1 1.6]), whereas the expected value of the unchosen option on rated trials shows no effect (VP-EV of Unchosen: median = -0.05 [-0.18 0.09]).

To assess the posterior predictive performance of the cross-validated Bayesian regression model on held-out participant ratings, we computed r-squared values and Pearson correlation coefficients (rho value) between the held-out participant's ratings and the model-predicted ratings (**Figure 3**B). This analysis revealed a median within-participant correlation measure of 0.65 (SD = 0.16; median p-value = 4.3e-7) and r-squared value of 0.42 (SD = 0.18), indicating that the cross-validated regression model generalizes moderately well to out-of-sample participant data (**Figure 3**C).





Figure 3 – Dynamic changes in self-reported subjective feelings predicted by VPRL learning signals. Cross-validated Bayesian regression analysis reveals influence of VPRL learning signals on ratings of subjective feelings about experienced outcomes. (A) Distribution of regression coefficient weights on positive (+) and negative (-) VP-RPEs and VP-PPEs and learned state-action values (VP-Q-values) of the chosen and unchosen options (VP-Q(Chosen) and VP-Q(~Chosen), respectively) on each trial. Horizontal bars indicate the median of each distribution; vertical bars indicate 95% CI of distribution; dots indicate mean individual parameter values. (B) Scatter plot demonstrating the linear relationship between model-derived and held-out participant ratings. Dark brown lines indicate within-participant linear correlations; black line indicates median linear relationship across all participant ratings; dashed brown lines represent 95% CI around individual-level linear correlation values. (C) Representative participant time series (rho=0.77, p = 7.9e-11; r-squared=0.59) of normalized subjective ratings (black line) and the cross-validated, model-predicted subjective ratings. Dark brown line represents the mean model-predicted ratings, and the shaded region represents ± 1 standard deviation around mean model predictions.

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Further analyses comparing the coefficient values of VP-RPEs and VP-PPEs revealed that the magnitude (absolute value) of positive VP-RPE coefficients is generally larger than the magnitude of negative VP-RPE coefficients (median difference = 0.15 [-0.08 0.37]). Similarly, we found that positive VP-PPEs have a consistently larger contribution to subjective ratings than negative VP-PPEs (median difference = 0.89 [0.63 1.1]). Lastly, negative VP-PPEs consistently had a more positive contribution to subjective ratings than negative VP-RPEs (median = 0.27 [-0.003 0.55]). As a whole, comparing the effects of positive and negative VP-RPEs and VP-PPEs on subjective ratings revealed a consistent ordering of the contributions of VPRL prediction errors to subjective feelings, with positive VP-RPE > negative VP-PPE (median difference = 0.98 [0.74 1.2]), negative VP-PPE > negative VP-RPE, and negative VP-RPE > positive VP-PPE (median difference = 0.62 [0.40 0.84).

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243 Striatal-insular-prefrontal network activity tracks 'reward' and 'punishment' prediction errors

Based on related prior work, we hypothesized that VPRL reward prediction errors (VP-RPEs), 245 246 punishment prediction errors (VP-PPEs), and the respective positive and negative system expected values would be tracked by regions of dorsal and ventral striatum, cingulate cortex, and insula (O'Doherty 247 248 et al., 2003; McClure et al., 2003; Pessiglione et al., 2006; Palminteri and Pessiglione, 2017; Seymour et al., 2004, 2005, 2007a, 2012; Delgado et al., 2008, 2009; Garrison et al., 2013). Using a model-based 249 250 approach, we tested for regional activity that correlated with VP-RPEs and VP-PPEs by computing contrasts for positive effects of VP-RPEs or VP-PPEs (Figure 4). Regions that show hemodynamic 251 signatures of VP-RPEs include the anterior cingulate cortex (ACC), anterior insula, and ventral striatum 252 253 (Figure 4A); regions that correlate with VP-PPEs included the ACC, anterior insula, and dorsal striatum 254 (Figure 4B). Additionally, we found that regional activity in the ventromedial prefrontal cortex (vmPFC) 255 tracked VPRL-derived learned action values (VP-Q-values) of both the chosen and unchosen option on 256 each trial (Figure S2).



Figure 4 – Meso-cortico-limbic regional activity represents the set of VPRL prediction error signals. Whole-brain model-based analysis of VPRL learning signals indicate that regions of human striatum, insula cortex, and anterior cingulate cortex parametrically encode (A) VP-RPEs or (B) VP-PPEs. Colored voxels and associated p-values indicate statistical thresholding used for primary analyses. All panels are sliced at MNI coordinates x=6, y=2, z=-2. FWE = family-wise error.

Ventral striatum and ventromedial prefrontal cortex track participants' subjective experience

Prior reports demonstrate that subjective feelings associated with prediction error and expected value signals are tracked by medial prefrontal cortex (Xiang et al., 2013) and ventral striatum (Rutledge et al., 2014). We hypothesized that the neural responses to signals derived from the VPRL model would drive brain responses associated with subjective feelings on every trial. Thus, we used the fitted model coefficients from the cross-validated subjective rating regression analysis to impute the subjective feeling on each trial conditioned on the trial's prediction errors and expected value signals. We found that regional activity in ventral striatum and ventromedial prefrontal cortex (vmPFC) parametrically tracked the imputed subjective rating on each trial throughout the PRP task (Figure 5).



Figure 5 – Medial prefrontal and ventral striatal activity correlates of trial-to-trial subjective human feelings. Whole-brain model-based analysis of self-reported subjective feelings as predicted by VPRL expected values and prediction errors indicate that medial prefrontal cortex and ventral striatum parametrically track the imputed subjective feeling on each trial. Colored voxels and associated p-value indicates statistical thresholding used for primary analyses; x=-10, y=-10, z=6. FWE = family-wise error.

274 **DISCUSSION**

275 276 Here, we investigated how the human brain learns from independent appetitive and aversive 277 experiences to adapt choice behaviors and how this dynamic process impacts subjective experience. We 278 hypothesized VPRL (Kishida and Sands, 2021) as a framework for studying how neural systems may 279 process rewards statistically independently from punishments. We demonstrate that VPRL consistently 280 explains human choice behavior better on a probabilistic reward and punishment task compared to 281 traditional TDRL. Furthermore, we show that VPRL-derived expected action values and prediction errors 282 predict participants' self-reported ratings of subjective feelings to rewards and punishments trial-to-trial. 283 Moreover, we demonstrated that these VPRL-derived learning signals are parametrically tracked by 284 BOLD activity in dorsal and ventral striatum, cingulate cortex, anterior insula, and prefrontal cortex brain 285 regions, and that BOLD signals in the ventral striatum and ventromedial prefrontal cortex track the expected rating of participants' subjective experience on each trial. Together, our results provide insight 286 287 into (1) the type of learning mechanisms in humans responsible for ascribing valence information to 288 stimuli and actions based on experience, and (2) how distributed neural activity implementing such 289 mechanisms may support the composition of subjective phenomenal experience. 290

291 Central to the VPRL hypothesis is the idea that there are parallel neural systems that process 292 positive and negative experiences separately using TD learning before respective learning signals or 293 valent value representations are available for further processing (Montague et al., 2016; Kishida and 294 Sands, 2021). In this way, VPRL provides a novel computational framework for investigating a variety of 295 neurophysiological, behavioral, and psychological phenomena. From an evolutionary perspective, early 296 vertebrates likely developed neural circuits for detecting and escaping threatening (i.e., aversive) stimuli 297 alongside, but separate from, (putatively) dopaminergic neural circuits for initial forms of associative 298 reward learning (Cisek, 2021). This evolutionary theory is consistent with the idea that mammalian choice 299 behavior may be driven by the activities of – and interaction between – separate positive and negative 300 valence-processing systems, an idea with a venerable history in psychological theories of emotions 301 (Cacioppo et al., 1999; Folkman and Moskowitz, 2000; Larsen et al., 2009; Larsen and McGraw, 2011) 302 and motivated behaviors (Konorski, 1967; Dickinson and Dearing, 1979; Seymour et al., 2007b; Boureau 303 and Dayan, 2013). Here, the VPRL framework can be viewed as an explicit generative account for the wide repertoire of 'approach-avoid' motivated behaviors (Dickinson and Dearing, 1979) and valence-304 305 specific affective responses (Cacioppo et al., 1999; Folkman and Moskowitz, 2000), while also providing a theoretical framework for considering the mechanisms of interaction between opponent systems that 306 307 may lead to 'freezing', non-action responses (Boureau and Dayan, 2013), or the subjective phenomenal experience of 'mixed' or 'conflicting' emotions (Larsen and McGraw. 2011). 308 309

310 In line with these evolutionary and psychological theories, we hypothesize a VPRL model that 311 accounts for the premise that costs and benefits are always intertwined for biological creatures 312 constrained by metabolic, survival, and reproductive goals and demands (Montague and King-Casas, 2007; Botvinik et al., 2015). Importantly, VPRL specifies a different perspective on how valence, within 313 314 the context of RL, is processed: aversive stimuli that are immediately (or predicted to be) costly are 315 learned directly and independently from potential rewarding stimuli. This is distinct from the more 316 common representations that requires aversive stimuli to be compared to 'expectations' and requires prediction error encoding according to the valence of the TD-RPE (i.e., differential learning from positive 317 318 or negative RPEs). 319

320 Our present results using a simple probabilistic reward and punishment learning task indicate 321 that independently processing rewards and punishments via VPRL reveals an increased sensitivity to 322 immediate punishments compared to rewards and increased temporal discounting of future punishments compared to future rewards (Figures 2,3), which is consistent with prior behavioral observations 323 324 (Kahneman and Tversky, 1979; Tom et al., 2007). This differential learning from gains versus losses 325 within the VPRL framework reveals that participants learn expected values of reward at a similar rate to 326 that expected via traditional unidimensional TDRL, though they learn expected values of losses at a much 327 faster rate and with improved accuracy and precision (Figure S1). This observation suggests that VPRL

328 signals may also independently and asymmetrically influence subjective feelings. Our results suggest 329 that omitted or 'smaller-than-expected' rewards (i.e., negative VP-RPEs) do not contribute to what it 'feels 330 like' in the same manner as 'larger-than-expected' punishments (positive VP-PPEs), nor do 'smaller-thanexpected' punishments (negative VP-PPE) contribute to what it 'feels like' in the same manner as 'larger-331 332 than-expected' rewards (positive VP-RPEs). Such distinctions cannot be parsed within a unidimensional 333 representation of valence as in the traditional TDRL framework. The presence of positive VP-RPEs had 334 a significantly greater positive influence on subjective ratings than negative VP-PPEs; the opposite was 335 also true: negative VP-RPEs had a consistently smaller negative influence on subjective ratings than positive VP-PPEs. Such relationships might reflect a relative scaling principle for positive and negative 336 337 prediction errors over rewards and punishments in generating momentary affective subjective feelings, 338 an effect that may be dependent on ventral striatal and ventromedial prefrontal neural activity (Figure 5). 339 Regardless of the mechanisms to be discovered, our results demonstrate that VPRL is a valid 340 neurocomputational framework for empirically investigating how complex interactions of reward and 341 punishment may lead to self-reports about subjective phenomenal experience in humans. 342

343 Numerous investigations into the neural basis of prediction error signaling in humans, using a 344 variety of computational models and experimental designs, implicate a distributed network of brain 345 regions in tracking prediction errors (Garrison et al., 2013). Our model-based event-related fMRI results 346 indicate that VPRL prediction errors over rewards and punishments are represented by partially 347 overlapping regional activation patterns and along a ventral-dorsal axis within the striatum (Figures 4,5), 348 which is consistent with prior work (Seymour et al., 2007a). We hypothesize that striatal, insular, 349 cingulate, and prefrontal cortex functional interactions – driven by an underlying neural architecture that 350 broadcasts VPRL learning signals throughout the brain – can be viewed collectively as part of a dynamic 351 affective core that regulates behavioral control and is a core component underlying subjective phenomenal experience (Kishida and Sands, 2021). Indeed, ascending neuromodulatory systems that 352 353 project throughout both subcortical and cortical brain regions are integral to coordinating systems-level 354 functional interactions towards accomplishing or switching between cognitive or behavioral tasks (Shine 355 et al., 2019, 2021). Along these lines, future work may address how dynamic patterns of activity within 356 the distributed subcortical-cortical network identified in our VPRL model-based analysis forms 357 representations of state-action-outcome associations and how they co-evolve with representations of 358 affective subjective experiences. In this regard, our results outline a potential role for ventral striatum and 359 ventromedial prefrontal cortex interactions in mediating experience-dependent changes in brain activity associated with dynamic changes in subjective phenomenal experience (Xiang et al., 2013; Rutledge et 360 361 al, 2014; Eldar et al., 2016; Tom et al., 2007; Chang et al., 2021), consistent with the dynamic affective 362 core hypothesis (Kishida and Sands, 2021). 363

Non-invasive brain activity measurements like fMRI are unable to provide information on the 364 365 neurochemical basis of VPRL-reward prediction errors or VPRL-punishment prediction errors, though 366 recent advances provide an opportunity for testing competing hypotheses (Kishida et al., 2016; Moran et 367 al., 2018; Bang et al., 2020). Neurobiologically, an independent aversive system involved in valence 368 processing may be implemented by a variety of possible neural substrates, such as a distinct population 369 of dopamine neurons tuned for aversive stimuli (Matsumoto and Hikosaka, 2009; Lammel et al., 2014; 370 Kishida et al., 2016; Kishida and Sands, 2021) or the serotonin neurotransmitter system (Daw et al., 371 2002; Boureau and Dayan, 2013; Montague et al., 2016; Moran et al., 2018; Kishida and Sands, 2021). 372 For instance, direct electrochemical recordings of dopamine and serotonin microfluctuations in human 373 striatum during a sequential investment task (Kishida et al., 2016; Moran et al., 2018) are consistent with 374 the notion that these neurotransmitter systems can act as positive and negative valence-processing 375 systems, respectively (Montague et al., 2016; Kishida and Sands, 2021). Further, dissociable effects of 376 rewards and punishments on reversal learning have been linked to dopamine and serotonin transporter polymorphisms, respectively (den Ouden et al., 2013). Distributional RL (Dabney et al., 2020) has 377 378 recently been demonstrated as a mechanism for representing a wide dynamic range of reward 379 magnitude; still, it remains unclear how dopamine neurons come to achieve varying value prediction 'set 380 points' as well as whether and how they might encode a distribution over aversive experiences. 381 Alternatively, VPRL-like hypotheses for future investigation might address the potential distributional coding of rewards and punishments in candidate neuromodulatory systems (e.g., dopamine and serotonin; Montague et al., 2016; Kishida and Sands, 2021; Moran et al., 2018; Bang et al., 2020).

384

385 Human behavior and subjective self-reports about associated phenomenal experiences, good 386 and bad, are multidimensional. Prior work investigating computational models and associated BOLD 387 imaging measurements of brain activity associated with subjective experience, mood, and subjective 388 well-being utilized traditional unidimensional reinforcement learning models as a framework (Delgado et 389 al., 2006; Rutledge et al., 2014; Eldar et al., 2016) and inspired the present work. Here, however, we 390 demonstrate that a unidimensional reward prediction error is not enough (in contrast to arguments 391 presented in Silver et al., 2020; Vamplew et al., 2021) to fully account for the dynamics of human choice 392 behavior and associated subjective experiences and can even be detrimental when reward-associated 393 actions also incur substantial physical costs or other negative externalities that cannot be disentangled 394 with traditional TDRL (Elfwing and Seymour, 2017). Instead, our results using VPRL suggest that (at 395 least) two valence dimensions are necessary, but this is almost certainly far from a complete depiction of 396 the generative signals involved in experiences and behaviors associated with 'what it is like' to be (Nagel, 397 1974). Our results are consistent with a need to account for appetitive and aversive input in parallel. 398 though independently, such that the integration of these signals can be performed downstream of the 399 systems that generate the error signals. As but one possible approach, VPRL maintains the 400 computational advantages of TDRL, but also better accounts for information that biological agents must 401 track (e.g., costly punishments or losses) that are often independent from co-occurring appetitive stimuli. 402 We have taken an initial step to test VPRL as a hypothetical framework for investigating basic questions 403 about how humans adapt their choice behavior and how associated signals may account for subjective 404 phenomenal experiences. Our findings imply that new insights may be gained should VPRL (or other 405 valence-partitioning models) be applied to computational psychiatric problems (Montague et al., 2012; Huys et al., 2016; Redish and Gordon, 2016; Brown et al., 2021) where subjective suffering and 406 407 fundamental changes in adaptive behavior characterize severe challenges to mental health. 408

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419 **AUTHOR CONTRIBUTIONS**

420 L.P.S. – Collected data; designed and performed data analysis; interpreted results, wrote, edited 421 manuscript drafts, and approved final manuscript.

- 422 A.J. Coded behavioral tasks; collected data; analyzed data; edited and approved final manuscript
- 423 R.E.J. Collected data; analyzed data; edited and approved final manuscript
- 424 J.D.T. Analyzed data; edited and approved final manuscript
- 425 K.T.K. Conceived the study; designed experiments; supervised and guided data collection and analysis;
- 426 interpreted results, wrote, edited manuscript drafts, and approved final manuscript.
- 427 428

429 **COMPETING INTERESTS**

- 430 The authors declare no competing interests.
- 431 432

433 DATA AND CODE AVAILABILITY

Anonymized individual-level participant behavioral task data and MRI data used in this study may be made available upon submission of a formal project outline from any qualified investigator to the corresponding author and subsequent approval by the corresponding author in line with data protection regulations of Wake Forest University School of Medicine Institutional Review Board (IRB). Customwritten analysis scripts for generating the behavioral and imaging results of this manuscript are maintained in a private github repository (*insert link upon acceptance*) that may be shared upon request from any qualified investigator to the corresponding author.

443 **METHODS**

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445 **Participants**

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447 A total of 47 participants (across two neuroimaging experiments (n=20; and n=27) were recruited 448 from the local Winston-Salem community to complete the probabilistic reward and punishment (PRP) 449 task. In the first fMRI cohort, participants (n=20; 16 female) were recruited from the community in 450 Winston-Salem, NC. For the second fMRI cohort, participants (n=27; 19 female) were recruited as 'control 451 participants' for an ongoing study. Recruitment of these participants in the second fMRI cohort was similar 452 to the first fMRI cohort. However, consent to participate included repeated visits to be completed after an 453 initial visit where the tasks completed include the PRP task as well as more extensive behavioral 454 characterization after the PRP task was completed: the first visit in this ongoing study is similar to the 455 visit completed by participants in the first fMRI cohort, except that after the completion of the PRP task 456 with scanning participants underwent a more involved psychiatric evaluation process to properly control 457 for the observational experimental group. Informed written consent was obtained from each participant, 458 and the experiment was approved by the Institutional Review Board (IRB#'s: IRB00042265, 459 IRB00054337, and IRB00056131) of Wake Forest University Health Sciences (WFUHS). All experiments 460 were conducted at WFUHS. 461

462 Three participants' subjective rating data were not used in the regression modeling analysis due 463 to limited variability in the responses on the subjective rating assessment (i.e., choosing the same rating 464 across 90% of rated trials). This results in n=44 participants for the combined fMRI cohort. From our 465 leave-one-participant-out cross-validation approach, we computed Pearson's correlation coefficient (rho) 466 and r-squared values for the cross-validated model-predicted ratings (defined as the mean of samples of 467 the posterior predictive density for the held-out participant's ratings) and actual held-out participant 468 ratings. This procedure was iterated across participants, such that each individual acted as the held-out 469 participant once. We used the fitted subjective rating model coefficients for each participant (i.e., the 470 mean model coefficients for the cross-validated model iteration when the participant was held out) to 471 impute a subjective rating for all trials for that participant, which we incorporate into the participant's first-472 level GLM in our model-based fMRI analysis.

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474 Probabilistic Reward and Punishment (PRP) task experimental procedure 475

476 The PRP task (Figure 1c) is a 150-trial, two-choice monetary reward and punishment learning 477 task, where chosen options are reinforced probabilistically with either monetary gains (or no gain) or 478 monetary losses (or no loss). Six options (represented by fractal images) comprise the set of possible 479 actions, with each option assigned to one of three outcome probabilities (25%, 50%, and 75%) and one 480 of two outcome valences (monetary gain or loss); the assignment of options to outcome probabilities and 481 valences is randomized across participants. The task proceeds through three phases. At the beginning 482 of the experiment (Phase 1, trials 1-25), each trial starts with the presentation of two of the three possible 483 'gain/no gain options, and participants are reinforced with either a monetary gain or nothing (\$1 or \$0) 484 according to the chosen option's fixed probability. In Phase 2 (trials 26-75), the game introduces trials 485 which present two of the three 'loss/no loss' options that result in either a monetary loss or nothing (-\$1 486 or \$0) with fixed probabilities. There are 25 'gain/no gain' and 25 'loss/no loss' trials randomly ordered in 487 Phase 2. In Phase 3 (trials 76-150), two options are presented randomly such that any trial may consist 488 of two 'gain/no gain options, two 'loss/no loss' options, or one 'gain /no gain and one 'loss/no loss' option. 489 Moreover, in Phase 3 the outcome magnitudes of all options change: the 25%, 50%, or 75% 'gain' options 490 now payout \$2.50, \$1.50, and \$0.50 respectively, and the 25%, 50%, or 75% 'loss' options now lose -491 \$1.25. -\$0.75. and -\$0.25. respectively (dashed lines in **Fig. 1**A. bottom). 492

493 A participant is presented with two options at the beginning of each trial, and they select an option 494 at their own pace. The unchosen option disappears at the same time the chosen option is highlighted, 495 and this screen lasts for three seconds. The outcome is then displayed for one second followed by a 496 blank screen that lasts for a random time interval (defined by a Poison distribution with $\lambda = 3$ seconds) before the next trial begins. After each trial, with probability 0.33, the blank screen following outcome presentation is followed by a subjective feeling rating screen with the text "How do you feel about the last outcome?". Participants are asked to rate with a visual-digital scale (**Figure 1**) their feelings about the last outcome, after which the blank screen reappears for another random interval before a new trial begins.

503 **Temporal Difference Reinforcement Learning (Q-learning) model**

505 In the standard 'unidimensional' TDRL model (Sutton 1988; Watkins and Dayan, 1992; Sutton 506 and Barto, 1998), the expected value of a state-action pair $Q(s_i, a)$, where *i* indexes discrete time points 507 in a trial, is updated following selection of action *a* in state s_i according to the update rule: 508

$$Q(s_i, a_i) \leftarrow Q(s_i, a_i) + \alpha \delta_i$$
 eq. 1

509

504

510 where $0 < \alpha < 1$ is a learning rate parameter that determines the weight prediction errors have on 511 updating expected values, and δ_i is the TD reward prediction error term:

 $\delta_i = [outcome_i + \gamma \max_a Q(s_{i+1}, \tilde{a})] - Q(s_i, a_i) \quad \text{eq. 2}$

513

514 where *outcome_i* is the outcome (positive or negative) experienced in state s_i after taking action a_i , $0 < \infty$ 515 $\gamma < 1$ is a temporal discount parameter that discounts outcomes expected in the future relative to immediate outcomes (i.e., a temporal discounting parameter), and $\max_{a} Q(s_{i+1}, \tilde{a})$ is the maximum 516 expected value over all actions \tilde{a} afforded in the next state s_{i+1} . We defined the trials of the PRP task as 517 518 consisting of the set of $i = \{1, 2, 3, 4\}$ event time points (1: options presented; 2: action taken; 3: outcome 519 presented; 4: (terminal) transition screen). We modeled participant choices ($choice_t$) on each trial t of the 520 PRP task with a softmax choice policy (i.e., categorical logit choice model) that assigns probability to 521 choosing each of the two options presented on a trial according to the learned Q-values of the two options 522 present. For example, for a trial that presents option 2 and option 5, the corresponding Q-values 523 $Q(s_1, opt_2)$ and $Q(s_1, opt_5)$ are used to compute the probability of selecting each option (e.g., option 2): 524

525

$$P(choice_t = opt_2 | Q(s_1, opt_2), Q(s_1, opt_5)) = \frac{e^{Q(s_1, opt_2)/\tau}}{e^{Q(s_1, opt_2)/\tau} + e^{Q(s_1, opt_5)/\tau}} \quad \text{eq. 3}$$

526

531

533

where $0 < \tau < 20$ is a choice temperature parameter that determines the softmax function slope and parameterizes an exploration versus exploitation trade-off where higher temperature values lead to a more uncertain distribution of choices and low temperature values allow choices to be attracted to higher expected values.

532 Valence-Partitioned Reinforcement Learning (VPRL) model

For Valence-Partitioned RL (VPRL; Kishida and Sands, 2021), we extend the TDRL framework, but separate 'outcomes' and how they are processed based on the valence of the input. VPRL treats 'Positive' (*P*) and 'Negative' (*N*) input as though separate, parallel, *P*- and *N*-systems maintain a partition between appetitive and aversive input throughout processing. *P*- and *N*-system Q-values are estimated (Q^P, Q^N , respectively) independently, though each in a TDRL manner (see **eq. 4-7**). We model their integration in the simplest manner (**eq. 8**) when value-based decisions must be made (Note: alternative approaches for integrating these value estimates may be investigated in future work).

542 *P*- and *N*-systems update via TD-prediction errors on every episode, but by valence specific rules 543 (*P*-system: eq. 4 and *N*-system: eq. 5). The *P*-system only tracks rewarding (i.e., appetitive) outcomes 544 ($outcome_i > 0$, eq. 4) and the *N*-system only tracks punishing (i.e., aversive) outcomes ($outcome_i < 0$, eq. 5); both systems encode the opposite-valence outcomes and null outcomes similarly – as though no
 outcome occurred.

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- 548 549

For the *P*-system, the reward-oriented TD prediction error therefore is

$$\delta_i^P = \begin{cases} outcome_i + \gamma^P * \max_a Q^P(s_{i+1}, \tilde{a}) - Q^P(s_i, a_i) & if \ outcome_i > 0 \\ 0 + \gamma^P * \max_a Q^P(s_{i+1}, \tilde{a}) - Q^P(s_i, a_i) & if \ outcome_i \le 0 \end{cases} \quad \text{eq. 4}$$

550

554 555

where $0 < \gamma^{P} < 1$ is the *P*-system specific temporal discounting parameter (directly analogous to the standard TDRL temporal discounting parameter).

The *N*-system similarly encodes a punishment-oriented TD prediction error term:

$$\delta_i^N = \begin{cases} |outcome_i| + \gamma^N * \max_a Q^N(s_{i+1}, \tilde{a}) - Q^N(s_i, a_i) & \text{if } outcome_i < 0\\ 0 & + \gamma^N * \max_a Q^N(s_{i+1}, \tilde{a}) - Q^N(s_i, a_i) & \text{if } outcome_i \ge 0 \end{cases} \quad \text{eq. 5}$$

556

where $0 < \gamma^N < 1$ is the *N*-system temporal discounting parameter and $|outcome_i|$ indicates the absolute value of the outcome. The absolute value of the outcome is taken to be interpreted as though the system only updates on aversive stimuli and does so based solely on the varying magnitudes.

561 The *P*- and *N*-systems prediction errors update expectations of future rewards or punishments of 562 an action, respectively, according to the standard TD-learning update rule but, again, for each system 563 independently:

 $Q^{P}(s_{i}, a_{i}) \leftarrow Q^{P}(s_{i}, a_{i}) + \alpha^{P} \delta_{i}^{P} \quad \text{eq. 6}$ $Q^{N}(s_{i}, a_{i}) \leftarrow Q^{N}(s_{i}, a_{i}) + \alpha^{N} \delta_{i}^{N} \quad \text{eq. 7}$

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569

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where $0 < \alpha^{P} < 1$ and $0 < \alpha^{N} < 1$ are learning rates for the *P*- and *N*-systems, $Q^{P}(s_{i}, a_{i})$ is the expected state-action value learned by the *P*-system, and $Q^{N}(s_{i}, a_{i})$ is the expected state-action value learned by the *N*-system.

570 We compute a composite state-action value term for each action by contrasting the *P*- and *N*-571 system Q-values, 572

 $Q(s_i, a_i) \leftarrow Q^P(s_i, a_i) - Q^N(s_i, a_i)$ eq. 8

which is entered into the categorical logistic choice model (e.g., softmax policy, eq. 3) as for the TDRL model above.

576 577 **TDRL and VPRL hierarchical model parameterization**

We specified a hierarchical structure to the TDRL and VPRL computational models to fit participant choice behavior on the PRP task. Individual-level parameter values (e.g., learning rates) are drawn from group-level distributions over each model parameter. This hierarchical modeling approach accounts for dependencies between model parameters and biases individual-level parameter estimates towards the group-level mean, thereby increasing reliability and certainty in parameter estimates, improving model identifiability, and avoiding overfitting (Ahn et al., 2017). These hierarchical models therefore cast individual participant parameter values as deviations from a group mean.

587 Formally, the joint posterior distribution $P(\phi, \theta | y, M)$ over group-level parameters ϕ and individual-588 level parameters θ for a given model *M* conditioned on the data from the cohort of participants *y* takes 589 the form 590

$$P(\mathbf{w}|y, M) = \frac{p(y|\mathbf{w}, M)p(\mathbf{w}|M)}{p(y|M)} \quad \text{eq. 9}$$

591

592 We simplify our notation to $P(\mathbf{w}|\mathbf{y}, M)$, where $\mathbf{w} = \{\phi, \theta\}$; here, $P(\mathbf{y}|\mathbf{w}, M)$ is the likelihood of choice data y conditioned on the model parameters and hyperparameters, P(y|M) is the marginal likelihood (model 593 594 evidence) of the data given a model, and $P(\mathbf{w}|M)$ is the joint prior distribution over model parameters as 595 defined by the model, which can be decomposed into the product of the prior on individual-level model parameters conditioned on the model hyper-parameters $P(\theta | \phi, M)$ times the prior over hyper-parameters 596 $P(\phi|M)$. We define the prior distributions for individual-level model parameters (e.g., $\theta_{TDRL} = \{\alpha, \tau, \gamma\}$ for 597 M = TDRL) and the hyper-priors of the means $-\infty < \mu_{(.)} < +\infty$ and standard deviations $0 < \sigma_{(.)} < +\infty$ of 598 the population-level parameter distributions (e.g., $\phi_{TDRL} = \{\mu_{\alpha}, \mu_{\tau}, \mu_{\gamma}, \sigma_{\alpha}, \sigma_{\tau}, \sigma_{\gamma}\}$) to be standard normal 599 600 distributions. We estimated all parameters in unconstrained space (e.g., $-\infty < \mu_{\nu} < +\infty$) and use the 601 inverse Probit transform to map bounded parameters from unconstrained space to the unit interval [0,1]602 before scaling estimates by the parameter's upper bound: 603

$$\begin{split} \mu_{\gamma} \sim Normal(0,1) & \text{eq. 10} \\ \sigma_{\gamma} \sim Normal^{+}(0,1) & \text{eq. 11} \\ \boldsymbol{\tau}' \sim Normal(0,1) & \text{eq. 12} \\ \boldsymbol{\tau} &= Probit^{-1} \big(\mu_{\gamma} + \sigma_{\gamma} * \boldsymbol{\tau}' \big) * 20 & \text{eq. 13} \end{split}$$

where bold terms indicate a vector of parameter values over participants. This non-centered 605 parameterization (Papaspiliopoulos et al., 2007) and inverse Probit transformation creates a uniform prior 606 distribution over individual-level model parameters between specified lower and upper bounds. Note that 607 for learning rate and temporal discount parameters, the scaling factor (upper bound) was set to 1, 608 609 whereas it was set to 20 for the choice temperature parameter. We used the Hamiltonian Monte Carlo 610 (HMC) sampling algorithm in the probabilistic programming language Stan via the R package rstan (v. 2.21.2; Carpenter et al., 2017) to estimate the joint posterior distribution over group- and individual-level 611 612 model parameters for the TDRL and VPRL models for both cohorts individually. For both models and each cohort, we executed 12,000 total iterations (2,000 warm-up) on each of 3 chains for a total of 30,000 613 614 posterior samples per model parameter. We inspected chains for convergence by verifying sufficient 615 chain mixing according to the Gelman-Rubin statistic \hat{R} , which was approximately 1 for all parameters.

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617 **TDRL and VPRL model comparison**

619 We compared the TDRL and VPRL models' fits to participant choice behavior on the PRP task 620 according to their model evidence (i.e., model marginal likelihood), which represents the probability or 621 'plausibility' of observing the actual PRP task data under each model (Mckay 2013). In Bayesian model 622 comparison, the model with the greatest posterior model probability p(M|y) is deemed the best 623 explanation for the data y and is computed by:

624

625

$$P(M|y) \propto P(y|M)P(M)$$
 eq. 14

626 where P(y|M) is the model marginal likelihood ("model evidence") and P(M) is the model's prior 627 probability. The model evidence is defined as:

$$P(y|M) = \int P(y|\mathbf{w}, M) P(\mathbf{w}|M) d\mathbf{w} \quad \text{eq. 15}$$

where $P(\mathbf{w}|M)$ is the prior probability of a model *M*'s parameters **w** before observing any data and $P(y|\mathbf{w}, M)$ is the likelihood of data *y* given a model and its parameters. We adopt the approach of approximating this integral using importance sampling (i.e., bridge sampling). Given that we only wanted to compare the TDRL and VPRL models, the relative posterior model probability can be defined as: 634

 $\frac{P(TDRL|y)}{P(VPRL|y)} = \frac{P(TDRL) * P(y|TDRL)}{P(VPRL) * P(y|VPRL)}$ eq. 16

635

where the ratio of posterior model probabilities $\frac{P(TDRL|y)}{P(VPRL|y)}$ is referred to as the "posterior odds" of TDRL relative to VPRL; P(TDRL) and P(VPRL) are the prior probabilities of the TDRL and VPRL 636 637 models, respectively; and the ratio of marginal likelihoods $\frac{P(y|TDRL)}{P(y|VPRL)}$ is termed the "Bayes 638 639 factor", which is a standard measure for Bayesian model comparison. Granting equal prior probabilities 640 over the set of candidate models, each model's evidence P(y|M) can be used to rank each model in the 641 set for comparison. The marginal likelihoods are computed as log-scaled. We estimated the log model 642 evidence for the TDRL and VPRL models for each cohort using an adaptive importance sampling routing 643 called bridge sampling as implemented in the R package bridgesampling (v. 1.1-2; Gronau et al., 2017). Bridge sampling is an efficient and accurate approach to calculating normalizing constants like the 644 645 marginal likelihood of models even with hierarchical structure and for reinforcement learning models in particular (Gronau et al., 2017). To further ensure stability in the bridge sampler's estimates of model 646 evidence, we performed 10 repetitions of the sampler and report the median and interguartile range of 647 648 the estimates of model evidence. The model with the maximum (i.e., less negative) model evidence is preferred, and therefore a positive value for the difference between the log model evidences for TDRL 649 650 and VPRL (as reported in **Table 1**) favors TDRL, while a negative value favors VPRL. 651

In addition to the standard Bayesian model comparison using model marginal likelihoods, we
 estimated each model's Bayesian leave-one-out (LOO) cross-validation predictive accuracy, defined as
 a model's expected log predictive density (ELPD-LOO):

$$elpd_{LOO} = \sum_{i=1}^{N} \log (p(y_i|y_{-i}))$$
 eq. 17

656

where the posterior predictive distribution $p(y_i|y_{-i})$ for held-out data y_i given a set of training data y_{-i} , is 658

$$P(y_i|y_{-i}) = \int p(y_i|\mathbf{w})p(\mathbf{w}|y_{-i})d\mathbf{w} \quad \text{eq. 18}$$

659

The ELPD is an estimate of (i.e., approximation to) the cross-validated accuracy of the TDRL or VPRL models in predicting new (i.e., held-out) participant data, given the posterior distribution over model parameters fit to a training set of participant data (Vehtari et al., 2017). Again, we approximate this integral via importance sampling of the joint posterior parameter distribution given the training data $p(\mathbf{w}|y_{-i})$. Furthermore, the upper tail of the distribution of importance weights are smoothed by a Pareto distribution (Pareto-smoothed importance sampling, PSIS) to improve the ELPD-LOO estimation. We calculated the model ELPD in this way using the R package *loo* (v. 2.3.1; Vehtari et al., 2017).

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668 Subjective rating computational modeling and cross-validated Bayesian regression analysis

670 We defined a Bayesian linear regression model of Positive and Negative valence system 671 prediction errors and estimated Q-values on participants' self-reported subjective feelings about their 672 most recent outcomes measured throughout the PRP task (query probability = .33 on each trial). We 673 express the subjective rating on a trial as normally distributed with a mean $E(y_i|\beta, X)$ that is a linear 674 function of Positive and Negative system prediction errors and learned Q-values (predictor variable matrix675 *X*):

676

$$E(y_i|\beta, X) = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_k x_k + \varepsilon_i \quad \text{eq. 19}$$

$$\varepsilon \sim Normal(0, \sigma^2) \qquad \text{eq. 20}$$

677

678 where $E(y_i)$ is the subjective rating ($i = 1 \dots 50$ indexes the numbers of ratings) from a participant, β_k are 679 the k = 7 linear model weights, x_k are rows of the predictor variable matrix *X* (corresponding to each trial 680 on which a subjective rating was sampled), and ε_i are the normally-distributed errors with variance σ^2 . 681 We define $\theta = \{\beta_0, \beta_1, \dots, \beta_k, \sigma\}$ as the vector of all model parameters. The Bayesian rendering of the 682 subjective rating linear regression model is therefore

688

 $p(\theta|y,X) \propto p(y|\theta,X)p(\theta)$ eq. 21

685 where $p(y|\theta, X)$ is the (normally-distributed) data likelihood function and $p(\theta) = p(\beta)p(\sigma^2)$ are the 686 (weakly-informative) prior distributions over model parameters: 687

$p(y \theta, X) \sim Normal(X\beta, \sigma^2 I)$	eq. 22
$p(\beta) \sim Normal(0,1)$	eq. 23
$p(\sigma^2) \sim Exponential(1)$	eq. 24

689 where *I* is the $n \times n$ (n = number of participants in training sample) identity matrix. The joint posterior 690 distribution over model parameters θ conditioned on the subjective ratings and predictor variable matrix 691 factorizes into: 692

$$p(\theta|y,X) \propto p(\beta|\sigma^2, y, X)p(\sigma^2|y, X)$$
 eq. 25

694 where the conditional posterior distribution $p(\beta | \sigma^2, y, X)$ of linear model parameters β conditional on σ^2 695 is the normal distribution

696

693

$$p(\beta|\sigma^2, y, X) \sim Normal(\hat{\beta}, V_B \sigma^2)$$
 eq. 26

698 and, from the least-squares solution,

699

697

$\hat{\beta} = (X^T X)^{-1} X^T y$	eq. 27
$V_{\beta} = (X^T X)^{-1}$	eq. 28

700

The marginal posterior distribution $p(\sigma^2|y, X)$ is defined as 702

$$p(\sigma^{2}|y,X) \sim Inverse - \chi^{2}(n-k,s^{2}) \quad \text{eq. 29}$$

$$s^{2} = \frac{1}{n-k} (y - X\hat{\beta})^{T} (y - X\hat{\beta}) \quad \text{eq. 30}$$

703

where n - k is the number of degrees of freedom (data points). We implemented this Bayesian regression model using the R package *rstanarm* (v. 2.21.1; Gabry and Goodrich, 2017), which uses HMC via Stan to efficiently sample the entire joint posterior distribution over model parameters $p(\theta|y, X)$. We adopted a leave-one-participant-out cross-validation approach by fitting the subjective rating regression model to all participants except for one person and drawing samples of (β, σ) from this fitted model's joint posterior distribution to form a posterior predictive distribution $p(\tilde{y}|y)$ for the held-out participant's ratings \tilde{y} as: 710

$$p(\tilde{y}|y) \sim Normal(\tilde{X}\beta, \sigma^2 I)$$
 eq. 31

712 where \tilde{X} is the held-out participant's predictor matrix. For sampling both the linear model joint posterior 713 distribution $p(\theta|y, X)$ and the posterior predictive distribution $p(\tilde{y}|y)$, we drew 3,500 total samples (1,000 714 warm-up) on each of 4 chains for a total of 10,000 samples for each parameter and verified sufficient mixing according to \hat{R} values, which were approximately 1 for all parameters. 715

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Functional MRI data acquisition, pre-processing, and model-based analysis 718

719 The fMRI cohort (N=47) was recruited as part of two separate studies at WFUHS, with one cohort 720 n=20 and the other n=27. For all neuroimaging participants, we acquired fMRI and structural MRI data 721 using a Siemens MAGNETOM 3T Skyra whole-body scanner and a 32-channel head coil. High-resolution 722 (0.5x0.5x1.0mm³) T1-weighted structural MRI scans were acquired using a magnetization-prepared rapid 723 gradient echo (MPRAGE) sequence (TR = 1480msec; TE = 2.66msec; flip angle = 12 degrees; FoV = 724 24.5cm ; 192 slices), and fMRI BOLD data were acquired by means of a multi-band (simultaneous multislice, SMS) echo-planar imaging (EPI) sequence (MB factor = 8; TR = 1000msec; TE = 30msec; flip 725 angle = 52 degrees; FoV = 20.8cm; 72 interleaved sagittal slices; isotropic 2mm³ voxels). All data were 726 pre-processed and analyzed using FSL and SPM12. Each participant's fMRI data were aligned to a 727 728 single-band reference image (SBref) and corrected for EPI (B0) distortions using a fieldmap estimated 729 from reverse-phase encoded functional volumes (directions Right->Left and Left->Right) via FSL's topup 730 tool (Andersson et al., 2003); co-registered to the high-resolution structural volume and warped to MNI template space (2mm³ isotropic); spatially smoothed with a 5mm FWHM Gaussian kernel; high-pass 731 732 filtered at 128 seconds (<0.008Hz); and normalized by the session grand-mean value.

734 For each participant, we constructed a first-level GLM to model BOLD signals during task 735 performance. The following regressors were included in the GLM as events of interest and convolved 736 with a canonical hemodynamic response function: (i) onset of 'option presentation', parametrically 737 modulated by (a) expected value of the chosen option and (b) expected value of the unchosen option; 738 (ii) onset of 'outcome presentation', parametrically modulated by the (a) 'outcome presentation'-episode-739 specific positive system prediction error, (b) 'outcome presentation'-episode-specific negative system 740 prediction error, and (c) imputed rating of subjective feelings; and (iii) all other motor and visual stimuli. 741 The parametric modulators at the time of outcome presentation were orthogonalized. Six head motion 742 parameters were included as covariates of no interest. First-level GLM results for each participant were 743 incorporated into a second-level random effects analysis at the group-level. At the group-level, all 744 analyses were whole-brain and conducted at either a family-wise error (FWE)-corrected statistical 745 threshold of p<0.05 or an uncorrected significance thresholds of p<1e-4 and p<1e-5. 746

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— TDRL — VPRL * p<0.05 * p<0.05 (Bonferroni) - - True value

Supplemental Figure 1. VPRL leads to more accurate learned values of punishing options on the PRP task compared to TDRL. We used each participant's estimated parameters for the TDRL and VPRL models to compute the expected state-action value (Q-value) for each option on the PRPT task over time. The PRP options are arranged top to bottom as the (A) 25%, (B) 50%, and (C) 75% reward-associated (left column) or punishment-associated (right column) options. Bold green and blue traces represent mean expected value for TDRL and VPRL, respectively, and the shaded region around the means represents one standard error of the mean. TDRL and VPRL model-derived learned values for reward-associated options were very similar to each other, whereas learned values for punishment-associated options were significantly different between models, according to an independent samples t-test at each time point of the difference between the true value (dashed line) and the TDRL or VPRL model-derived learned values across participants. Grey asterisk = p<0.05, black asterisk = p<0.05 Bonferroni corrected.



Supplemental Figure 2 – Medial prefrontal activity correlates with VPRL-derived expected stateaction value signals. Whole-brain model-based analysis of VPRL learning signals indicates that medial prefrontal cortex parametrically encodes the expected values (VP-Q-value) of the chosen and unchosen options on each trial. Analyses were whole-brain FWE-corrected at p<0.05, and the slices in MNI coordinates are x=-4, y=46, z=-12.