



OPEN Effect of esketamine on postinduction hypotension in elderly patients undergoing elective noncardiac surgery: a secondary analysis of a randomized clinical trial

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Postinduction hypotension (PIH) increases the risk of perioperative adverse events. This study aimed to test if low-dose esketamine could significantly decrease the incidence of PIH in elderly patients undergoing elective noncardiac surgery. This was a post hoc analysis of a randomized clinical trial in university-affiliated academic tertiary hospital. Patients (65 to 85 years, ASA physical status classification II or III) randomly received esketamine (0.2 mg/kg) or normal saline intravenous injection before general anesthesia induction. The primary outcome was the incidence of PIH. The secondary outcomes were the profiles of induction and adverse events during postinduction period. Several different definitions of hypotension and postinduction period were prespecified as the sensitivity analysis. The baseline characteristics were comparable between esketamine group ($n = 211$) and normal saline group ($n = 213$). The incidence of PIH was significantly lower in esketamine group than that in normal saline group (44.1 vs. 64.8%, $P < 0.01$). Esketamine pretreatment significantly decreased the consumption of propofol ($P < 0.01$) and the rate of vasoconstrictor utilization ($P = 0.02$). There were no significant differences in the incidence of postinduction adverse events between two groups (all $P > 0.05$). And, no other severe adverse events were observed. The sensitivity analysis displayed the robustness of the conclusion, though the effect size was lower than 0.2 under certain definition of PIH. A low dose of esketamine treatment before general anesthesia induction for elderly patients undergoing noncardiac surgery could significantly reduce the risk of PIH.

Trial registration: www.chictr.org.cn (ChiCTR2100051179); registered 15 September 2021. Date of enrolment of the first participant to the trial: 24 February 2022.

Keywords Postinduction hypotension, Esketamine, Elderly patients, Noncardiac surgery

Postinduction hypotension (PIH) increases the risk of perioperative adverse events, such as myocardial injury, ischemic stroke, kidney injury, even mortality^{1–4}. Even with the reduced doses of induction agents given with the bispectral index (BIS)-guided or age-adjusted protocol, the incidence of PIH remains worrisome in elderly patients^{5,6}. There is a serious concern over the prevention of PIH in elderly patients.

It has been well demonstrated that anesthetics-related vasodilation and cardiac suppression, as well as hypovolaemia caused by preoperative disease, dietary abstinence and bowel preparation, contribute to the occurrence of PIH⁷. In clinical practices, vasoconstrictor and fluid administration have found favor in correcting

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or preventing PIH⁸. However, studies argued that vasoconstrictor might fail to prevent PIH⁹ and increased the risk of cerebral hypoperfusion¹⁰ and postoperative acute kidney injury¹¹. Vasoconstrictor also exacerbated hypertension and tachycardia resulted from sympathetic activation induced by tracheal intubation¹². Besides, goal-directed fluid therapy before general anesthesia (GA) induction failed to significantly decline the occurrence or degree of PIH when compared with standard fluid therapy in adult patients¹³. And, there were no significant differences in the incidence of PIH, cardiac arrest and death between critically ill adults with a 500 ml intravenous fluid preload or without¹⁴. These findings denote a limitation for vasopressors and fluid treatment in geriatric patients undergoing GA induction. Hence, new pharmacological strategies are still warranted.

As reported, esketamine, an S (+) enantiomer of ketamine with approximately four times higher affinity for N-methyl-D-aspartate (NMDA) receptors than ketamine, can provide anesthesia, analgesia and sympathetic activation. There is a limited number of studies that have evaluated the clinical effects and safety of esketamine for GA induction and maintaining in adult patients^{15–19}. Notably, low-dose esketamine intervention could improve intraoperative hemodynamics without severe side effects in elderly patients undergoing gastrointestinal operation^{20,21} or total knee arthroplasty²². Above evidences supported that esketamine might be an alternative adjuvant in GA agent regimen. While, these studies have limited external validity because of the diversity in the definition of PIH and the methodology, as well as the small sample size. Here, we conducted a secondary analysis of a randomized clinical trial to test if low-dose esketamine could significantly decrease the incidence of PIH in elderly patients undergoing elective noncardiac surgery.

Materials and methods

Design

Present study was a post hoc secondary analysis of a randomized clinical trial which was aimed to determine the diurnal variation of postoperative delirium in elderly patients undergoing esketamine anesthesia for elective noncardiac surgery. The trial was registered prior to patient enrollment at www.chictr.org.cn (No. ChiCTR2100051179, Principal investigator: Qingtao Meng, Date of registration: 15 September 2021). This manuscript adheres to the applicable CONSORT guidelines.

Ethics

Ethical approval for this study (No. WDRY2021-K124) was provided by the Institutional Review Board at Renmin Hospital of Wuhan University, Wuhan, China (Chairperson Prof Hong Chen) on 18 August 2021. All methods were carried out in accordance with relevant guidelines and regulations. Written informed consent was obtained from all subjects participating in the trial.

Patients and intervention

The primary study had been published previously²³ and a complete description of the trial was presented in the supplement 1. Briefly, patients (aged 65 to 85 years, American Society of Anesthesiologists (ASA) physical status classification II or III) undergoing GA for scheduled operation were enrolled between January 4th, 2022, and March 7th, 2023. Patients were stratified with age and ASA classification, then randomly assigned in a 1:1 ratio to esketamine (0.2 mg/kg) or normal saline administration before GA induction.

GA procedures

Diuretic and angiotensin receptor blockers (ARB)/angiotensin-converting enzyme inhibitors (ACEI) were withheld on the day of surgery, and the physiological liquid requirement were given in ward as it would affect the incidence of PIH. After arriving operation room, vital signs were monitored continuously with PHILIPS medicine system (IntelliVue, 866064). Radial artery blood pressure (ABP) was monitored from either continuous noninvasive blood pressure management device (TL-400 Tensysmeter, Shanshi Medical Co Ltd.) or invasive pressure monitoring set (Pressure Transducer Kit #PX260, Edwards Lifesciences).

Before induction, a single dose of esketamine (5 mg/ml, 0.2 mg/kg, Jiangsu Hengrui Pharmaceutical Co Ltd.) or normal saline was injected intravenously according to the grouping information. Immediately, propofol (10 mg/ml) was delivered via an infusion pump at a rate of 240 ml/h to minimize the influence of injection rate. When BIS value dropped to 60 or lower, the pump was stopped immediately. Then, sufentanil (5 mcg/ml, 0.3–0.6 mcg/kg) and cisatracurium (1 mg/ml, 0.2 mg/kg) were injected sequentially as appropriate. Subsequently, patients were given oxygen by controlled mechanical ventilation or face mask. GA was maintained with inhaled sevoflurane (0.6–1.2 vol % of the expired fraction), propofol (2–4 mg/kg/h), remifentanyl (4–8 mcg/kg/h) and cisatracurium (0.05 mg/kg/h).

Routinely, hypotension was treated immediately with intravenous vasoconstrictor with or without fluid therapy. Hypertension was treated with propofol or remifentanyl injection. Bradycardia (heart rate (HR) \leq 50 bpm) was treated with atropine 0.2 mg. Tachycardia (HR \geq 110 bpm) was treated with esmolol 10 mg.

Outcomes

The primary outcome was the incidence of PIH. The secondary outcomes were defined as postinduction complications, including: hypertension, hypoxemia ($\text{SpO}_2 < 95\%$), low BIS value (< 40), arrhythmia, injection pain, and cough. The profiles of induction (type of anesthesia, type of blood pressure monitoring, anesthetics, vasoactive drugs, and airway equipment) were also recorded.

The period of postinduction was defined as 15 min after propofol initiation. Hypotension or hypertension was defined as the fluctuation of mean arterial pressure (MAP) over 20% of the baseline value (the mean of MAP measurements over 5 min before induction), respectively.

There is no unified definition for hypotension, as well as postinduction period. In practices, GA induction, mechanical ventilation and surgical incision were conducted sequentially within about 5, 10 and 15 min,

respectively. We prespecified several different typical definitions of hypotension (MAP < 65 mmHg or 70% of baseline, systolic blood pressure (SBP) < 100 mmHg, 90 mmHg, 80% or 70% of baseline) and postinduction period (5 or 10 min after propofol initiation) as a sensitivity analysis to value the robustness of the conclusion.

Statistical analysis

All analyses were performed following the modified intention-to-treat (ITT) approach. Missing data would not be imputed.

Continuous variables were provided as mean (stand difference, SD) or median (inter-quartile range, IQR). Categorical variables were expressed as number (proportion). Normality of data was assessed using Shapiro–Wilk’s test. The student’s *t* test, nonparametric tests, χ^2 test or Fisher’s exact test were employed as appropriate. A two-tailed *p* value less than 0.05 was judged to be statistically significant. All statistical analyses were done using SPSS version 26 (IBM, Armonk, NY, USA).

Results

The flowchart of this study was shown in (Fig. 1). A total of 432 cases were randomized in original trail and then allocated to esketamine or normal saline group in a ratio of 1:1. Only 8 cases were excluded from analyses due to canceled operation (6 cases) or withdrew informed consent before operation (2 cases), respectively. Six cases refused to receive the study agents and 1 case received the study drug after GA induction. Finally, 211 cases in esketamine group and 213 cases in normal saline group were included in ITT analysis.

In present study, these participants were comparable with similar baseline characteristics (Table 1). The median age of the participants was 71 years. About 60% of them were male. The most common comorbidity was hypertension (66%) and calcium channel blockers (51%) were overwhelmingly used. There were no significant differences in baseline vital signs, ASA physical status, surgery type and working years of anesthesiologist.

As shown in Table 2, the incidence of PIH was significantly lower in esketamine group than that in normal saline group (44.1 vs. 64.8%, $P < 0.001$). The incidence risk ratio for PIH was 0.68 (95% CI 0.517, 0.891). At the 0.05 significance level, the *Cramér’s V*-value was 0.208. There were no significant differences in the type of anesthesia and mechanical ventilation (Table 3). Esketamine pretreatment failed to significantly impact the utilization rate of 5-HT₃ receptor antagonist and dexamethasone, as well as the dose of sufentanil, compared with normal saline (all $P > 0.05$). However, esketamine significantly decreased the consumption of propofol ($P < 0.001$) and the rate of vasoconstrictor utilization ($P = 0.016$). Besides, the incidence of postinduction adverse events, including injection pain, cough, hypertension, hypoxia, low BIS value, tachycardia and bradycardia, were similar between two groups (Table 4, all $P > 0.05$). We did not observe any other severe adverse events.

The sensitivity analysis based on the different definitions of PIH displayed that there was a significantly lower PIH incidence in esketamine group than that in normal saline group (Table 5, all $P < 0.05$). Importantly, the *Cramér’s V* values were 0.246, 0.229, 0.261, 0.237 when defining PIH as SBP lower than 80% of baseline value during the first 15 or 10 min after propofol initiation, MAP lower than 80% of baseline value during the first 10 or 5 min after propofol initiation, respectively.

Discussion

Present study found the incidence of PIH was 54.5% in elderly patients undergoing elective noncardiac surgery. And a low dose of esketamine pretreatment effectively decreased the incidence of PIH and the risk of postinduction complications.

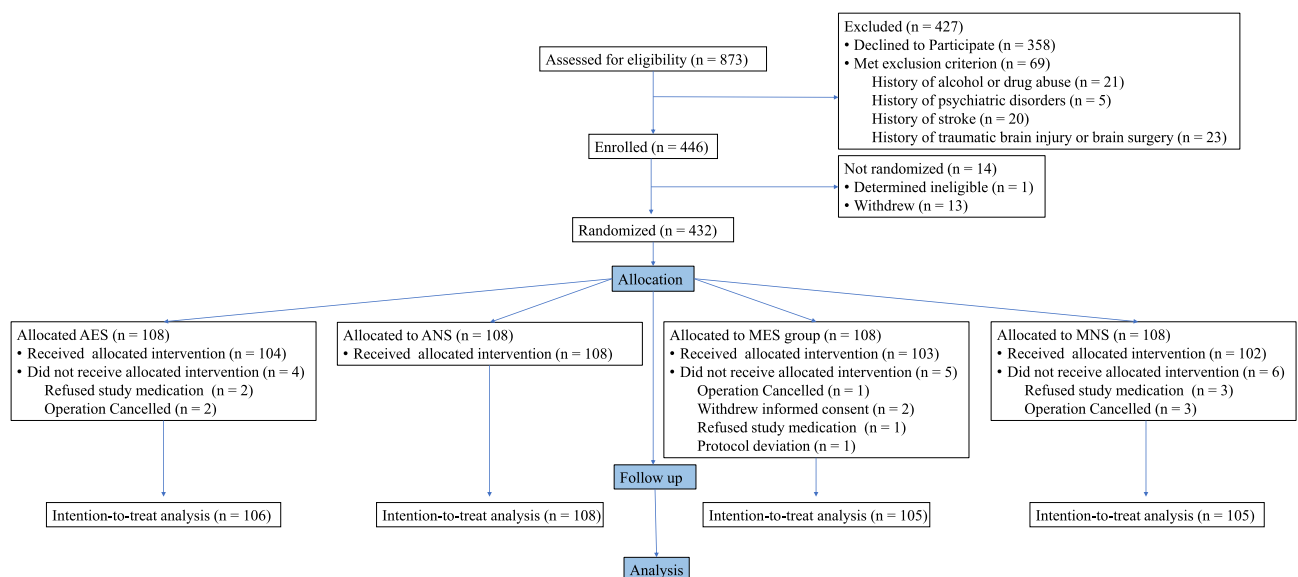


Fig. 1. The flow diagram.

	Esketamine group (n = 211)	Normal saline group (n = 213)	P
Demographics			
Age, years	71 (7)	70 (6)	0.66
Sex, Male	128 (60.7%)	125 (58.7%)	0.68
Body-mass index, kg/m ²	24.0 (4.4)	23.9 (5.0)	0.91
Risk factors and comorbidities			
Hypertension	142 (67.3%)	138 (64.8%)	0.59
Coronary heart disease	37 (17.5%)	28 (13.5%)	0.21
Chronic kidney disease	24 (11.4%)	17 (8.0%)	0.24
Respiratory disease	28 (13.3%)	25 (11.7%)	0.63
Diabetes mellitus	27 (12.8%)	20 (9.4%)	0.26
Preoperative medication			
Antiplatelet agents	19 (9.0%)	25 (11.7%)	0.36
Anticoagulants agents	16 (7.6%)	13 (6.1%)	0.55
Beta blockers	47 (18.7%)	37 (14.7%)	0.21
Calcium channel blockers	108 (51.2%)	110 (51.6%)	0.93
ARB	64 (30.3%)	60 (28.2%)	0.62
ACEI	23 (10.9%)	27 (12.7%)	0.51
Diuretics	16 (7.6%)	22 (10.3%)	0.32
Nitrates	8 (3.8%)	13 (6.1%)	0.27
Statins	52 (24.6%)	45 (21.1%)	0.39
Baseline vital signs			
SBP, mmHg	135 (19)	137 (22)	0.07
DBP, mmHg	81 (7)	81 (7)	0.40
Heart rate, bpm	82 (12)	84 (12)	0.06
SpO ₂ , %	98 (1)	97 (1)	0.22
BIS value	97 (1)	97 (1)	0.70
ASA physical status			1.00
II	106 (50.2%)	107 (50.2%)	
III	105 (49.8%)	106 (49.8%)	
Type of surgery			0.94
Breast/thyroid/vascular	7 (3.3%)	6 (2.8%)	
Ears/nose/throat/ophthalmology	13 (6.2%)	11 (5.2%)	
Gastrointestinal/gynaecologic	39 (18.5%)	35 (16.4%)	
Hepatobiliary/pancreatic	16 (7.6%)	12 (5.6%)	
Neurosurgical	13 (6.2%)	16 (7.5%)	
Orthopaedic/spine	35 (16.6%)	41 (19.2%)	
Thoracic	32 (15.2%)	38 (17.8%)	
Urologic	56 (26.5%)	54 (25.4%)	
Working years of anesthesiologist	11 (15)	6 (14)	0.58

Table 1. Baseline characteristics of the trial groups. *ARB* angiotensin receptor blockers, *ACEI* angiotensin-converting enzyme inhibitors, *SBP* systolic blood pressure, *DBP* diastolic blood pressure, *SpO₂* peripheral pulse oximetry, *BIS* bispectral index. Values are presented as median (IQR) or number (proportion).

	Esketamine group (n = 211)	Normal saline group (n = 213)
PIH	93 (44.1%)	138 (64.8%)
χ^2	18.338	
P	< 0.01*	
IRR (95% CI)	0.680 (0.517, 0.891)	
Cramér's V	0.208	

Table 2. The incidence of PIH in elderly surgical patients with or without esketamine pretreatment. *PIH* postinduction hypotension. Values are presented number (proportion). * $p < .05$.

	Esketamine group (n = 211)	Normal saline group (n = 213)	P
Type of anesthesia			0.18
GA	67 (31.8%)	81 (38.0%)	
GR	144 (68.2%)	132 (62.0%)	
Type of blood pressure monitoring			0.27
Invasive monitoring	84 (39.8%)	96 (45.1%)	
Noninvasive monitoring	127 (60.2%)	117 (54.9%)	
Medication			
Propofol, mg/kg	1.4 (0.3)	1.6 (0.3)	< 0.01*
Sufentanil, ug/kg	0.5 (0.1)	0.5 (0.1)	0.39
Vasoconstrictor	59 (28.0%)	83 (39.0%)	0.02*
5-HT3 receptor antagonist	136 (64.5%)	124 (58.2%)	0.19
Dexamethasone	126 (59.7%)	136 (63.8%)	0.38
Type of mechanical ventilation			0.07
Double lumen endobronchial tube	28 (13.3%)	32 (15.0%)	
Laryngeal mask	32 (15.2%)	49 (23.0%)	
Face mask	4 (1.9%)	8 (3.8%)	
Single lumen endotracheal tube	147 (69.7%)	124 (58.2%)	

Table 3. The profiles of general anesthesia induction in elderly surgical patients with or without esketamine pretreatment. GA general anesthesia, GR general anesthesia plus regional (epidural, spinal, nerve block) anesthesia. Values are presented as median (IQR) or number (proportion). * $p < .05$.

	Esketamine group (n = 211)	Normal saline group (n = 213)	P
Injection pain	43 (20.4%)	33 (15.5%)	0.19
Cough	10 (4.7%)	9 (4.2%)	0.80
MAP > 120% baseline value	14 (6.6%)	8 (3.8%)	0.18
SpO ₂ < 95%	37 (17.5%)	52 (24.4%)	0.08
BIS < 40	154 (73.0%)	157 (73.7%)	0.89
Heart rate < 60 bpm	193 (91.5%)	187 (87.8%)	0.25
Heart rate > 100 bpm	0	0	NA

Table 4. The postinduction adverse events in elderly surgical patients with or without esketamine pretreatment. MAP mean arterial pressure, SpO₂ peripheral pulse oximetry, BIS bispectral index, NA not available. Values are presented as number (proportion). * $p < .05$.

As reported in adult patients with treatment-resistant depression, blood pressure increase began shortly after eskeatmine administration, peaked at around 40 min with 1.1 to 1.5 times of baseline and resolved at approximately 2 h^{24,25}. Although, the potential mechanism is rudimentary, available data suggest that subanesthetic ketamine predominantly stimulates central sympathetic nervous system, as well as directly relaxes vascular smooth muscle, resulting in the increase in heart rate, cardiac output and a relatively stable net effect on systemic vascular resistance²⁶. Present study demonstrated that a low dose of esketamine treatment before GA induction for elderly patients undergoing noncardiac surgery significantly reduced the incidence of PIH. The sensitivity analysis based on the different definitions of PIH displayed the robustness of the conclusion, though the effect size was less than 0.2 under certain definition of PIH. Hence, current evidences support that esketamine maybe alternative in order to maintain hemodynamic stability in elderly surgical patients.

Propofol is widely adopted in GA induction due to rapid action and reliability. However, overdose utilization of propofol is common, which is positively associated with odds of PIH among elderly surgical patients^{8,27}. Previous meta-analysis indicated that BIS-guided anesthetic delivery of total intravenous induction reduced propofol requirements when compared with manually controlled administration²⁸. And, a lower infusion rate is associated with a lower dose requirement and a lower incidence of hypotension in elderly patients suffering propofol induction at different rates²⁹. In present study, we employed an infusion pump to delivery induction agent under BIS monitoring to avoid excessive speed and dose. And the data showed that the induction dose of propofol in patients with or without esketamine pretreatment were consistent with the typical induction dose defined by FDA for elderly patients (1.5 mg/kg). Given that it is difficult to determine a threshold for a safe induction dose to avoid hypotension, a relatively slow delivery rate of propofol combined with BIS monitoring should be suggested to apply in aging patients.

Previous study indicated that the rate of vasopressors administration was 52% in patients aged 60 to 90 years scheduled for major noncardiac thoracic or abdominal surgeries³⁰. In high cardiovascular risk elderly patients undergoing lumbar spine surgery with GA, 57% of them received intraoperative vasopressors intervention and

Postinduction period	Hypotension	Esketamine group (n = 211)	Normal saline group (n = 213)	P
5 min	MAP < 80% of baseline value	34 (16.1%)	79 (37.1%)	< 0.01*
	MAP < 70% of baseline value	1 (0.5%)	10 (4.7%)	0.01*
	MAP < 65 mmHg	0	0	NA
	SBP < 80% of baseline value	48 (22.7%)	87 (40.8%)	< 0.01*
	SBP < 70% of baseline value	7 (3.3%)	22 (10.3%)	< 0.01*
	SBP < 100 mmHg	8 (3.8%)	21 (9.9%)	0.01*
	SBP < 90 mmHg	1 (0.5%)	1 (0.5%)	NA
10 min	MAP < 80% of baseline value	69 (32.7%)	125 (58.7%)	< 0.01*
	MAP < 70% of baseline value	4 (1.9%)	21 (9.9%)	0.01*
	MAP < 65 mmHg	1 (0.5%)	0	NA
	SBP < 80% of baseline value	68 (32.2%)	117 (54.9%)	< 0.01*
	SBP < 70% of baseline value	8 (3.8%)	33 (15.5%)	< 0.01*
	SBP < 100 mmHg	9 (4.3%)	25 (11.7%)	0.01*
	SBP < 90 mmHg	1 (0.5%)	1 (0.5%)	NA
15 min	MAP < 70% of baseline value	9 (4.3%)	22 (10.3%)	0.02*
	MAP < 65 mmHg	1 (0.5%)	0	NA
	SBP < 80% of baseline value	76 (36.0%)	129 (60.6%)	< 0.01*
	SBP < 70% of baseline value	15 (7.1%)	37 (17.4%)	0.01*
	SBP < 100 mmHg	9 (4.3%)	25 (11.7%)	0.01*
	SBP < 90 mmHg	1 (0.5%)	1 (0.5%)	NA

Table 5. The sensitive analysis of PIH based on the different definitions in elderly surgical patients with or without esketamine pretreatment. *PIH* postinduction hypotension, *MAP* mean arterial pressure, *SBP* systolic blood pressure. *NA* not available. Values are presented as number (proportion). * $p < .05$.

more volume supplementation³¹. As for adult patients undergoing cerebral endovascular procedures under GA with propofol induction and maintain, the rate of vasopressors utilization was 62.0% during surgery³². Vasopressors seem have the priority in elderly patients suffering with PIH in view of the potential risk of fluid overload. In present study the rate of vasopressors administration was about 33.5%. Importantly, esketamine pretreatment significantly decreased the rate of vasopressors utilization during postinduction period, which might alleviate the risk of vasopressors-related postoperative acute kidney injury.

Usually, 5-HT₃ receptor antagonists with or without dexamethasone were used to prevent and treat postoperative nausea and vomiting. However, several meta-analyses revealed that 5-HT₃ receptor antagonists could prevent hypotension and bradycardia when analysing obstetric trials alone or combining obstetric and non-obstetric trials^{33,34}, as well as reduce vasoconstrictors administration³⁵. Besides, dexamethasone can decrease the nitric oxide (NO) production, and increase the sympathetic activity and the sensitivity of vascular endothelium to vasoconstrictors³⁶. The role of 5-HT₃ receptor antagonists and dexamethasone may be prominent in geriatric patients with high risk of PIH, which would confuse the explain of the results. Hence, present study assessed the administration of 5-HT₃ receptor antagonists and dexamethasone.

In clinical practices, the decreased risk of intraoperative hypotension is associated with an anesthesiologist who has more experience. Previous studies found that the risk of hypotension after spinal anesthesia during cesarean section was increased as the working years of anesthesiologist decreased³⁷. The working years of anesthesiologist may be a potential associated factor for PIH. Hence, we evaluated the effects of working years of anesthesiologist on PIH in present study.

Previous study found that compared with standard intermittent oscillometric upper-arm cuff monitoring, continuous invasive arterial blood pressure monitoring significantly reduced the incidence of PIH in adult patients undergoing elective noncardiac surgery with GA³⁸. And, continuous noninvasive monitoring nearly halved the amount of intraoperative hypotension in patients older than 45 years with moderate-to-high-risk noncardiac surgery with GA³⁹. Intriguingly, another study claimed that continuous invasive blood pressure monitoring with intra-arterial catheter detected nearly twice as much intraoperative hypotension as oscillometric brachial cuffs in adult patients undergoing elective noncardiac surgery with GA⁴⁰. A reasonable explanation is that intermittent noninvasive measurements may miss acute changes in blood pressure. In present study, continuous monitoring was employed and a relatively lower PIH incidence was found in aging patients. Continuous monitoring allows timely intervention with vasopressors or fluid via tracking rapid changes in arterial blood pressure, which would presumably avoid further decline of blood pressure and hypotension occurrence. Hence, continuous monitoring of blood pressure is desirable for elderly individuals who are characterized by an inherent susceptibility and lower resilience to PIH.

We did not focus on a specific type of operation, and patients subjected to various surgical procedures carried distinct type of ventilation and incision, which might potentially skew the study findings. The other limitation was that a post hoc secondary analysis did not allow us to assess the volume supplementation during postinduction period. Besides, researches focused on the intraoperative and postoperative influences of esketamine on geriatric

surgical patients were limited. Consequently, esketamine administration should be cautiously evaluated for each aging patient before validating in a multicenter study with large sample size.

Conclusion

A low dose of esketamine treatment before general anesthesia induction for elderly patients undergoing noncardiac surgery could significantly reduce the risk of PIH.

Data availability

The datasets used and/or analyzed during the current study available from the corresponding author on reasonable request.

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Declarations

Competing interests

The authors declare no competing interests.

Additional information

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