Activated osteoarthritis following immune checkpoint inhibitor treatment: an observational study

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ABSTRACT

Immune checkpoint inhibitors (ICIs) have revolutionized cancer therapy but can result in toxicities, known as immune-related adverse events (irAEs), due to a hyperactivated immune system. ICI-related inflammatory arthritis has been described in literature, but herewith we introduce and characterize post-ICI-activated osteoarthritis (ICI-aOA). We conducted a multicenter, retrospective, observational study of patients with cancer treated with ICIs and diagnosed with ICI-aOA by a rheumatologist. ICI-aOA was defined by (1) an increase in non-inflammatory joint pain after ICI initiation, (2) in joints characteristically affected by osteoarthritis, and (3) lack of inflammation on exam. Cases were graded using the Common Terminology Criteria for Adverse Events (CTCAE) V.6.0 rubric for arthralgia. Response Evaluation Criteria in Solid Tumors V.1.1 (v.4.03) guidelines determined tumor response. Results were analyzed using χ^2 tests of association and multivariate logistic regression. Thirty-six patients had ICI-aOA with a mean age at time of rheumatology presentation of 66 years (51–81 years). Most patients had metastatic melanoma (10/36, 28%) and had received a PD-1/PD-L1 inhibitor monotherapy (31/36, 86%) with 5/36 (14%) combination therapy. Large joint involvement (hip/knee) was noted in 53% (19/36), small joints of hand 25% (9/36), and spine 14% (5/36). Two-thirds (24/36) suffered multiple joint involvement. Three of 36 (8%) had CTCAE grade 3, 14 (39%) grade 2 and 19 (53%) grade 1 manifestations. Symptom onset ranged from 6 days to 33.8 months with a median of 5.2 months after ICI initiation; five patients suffered from ICI-aOA after ICI cessation (0.6, 3.5, 4.4, 7.3, and 15.4 months after ICI cessation). The most common form of therapy was intra-articular corticosteroid injections only (15/36, 42%) followed by non-steroidal anti-inflammatory drugs only (7/36, 20%). Twenty patients (56%) experienced other irAEs, with rheumatic and dermatological being the most common. All three patients with high-grade ICI-aOA also had another irAE diagnosis at some point after ICI initiation. ICI-aOA should be recognized as an adverse event of ICI immunotherapy. Early referral to a rheumatologist can facilitate the distinction between ICI induced inflammatory arthritis from post-ICI mechanical arthropathy, the latter of which can be managed with local therapy that will not compromise ICI efficacy.

INTRODUCTION

Immune-related adverse events (irAEs) constitute emerging autoimmune disease entities that result from off-target toxicity after immune checkpoint inhibitor (ICI) therapy. irAEs span all organ systems and vary in time and duration of presentation as well as severity.[1] Musculoskeletal toxicities of ICIs range from arthralgias and myalgias to inflammatory AEs with phenotypes akin to many rheumatic diseases such as sicca syndrome, inflammatory myositis, or inflammatory arthritis, as seen with rheumatoid arthritis, spondyloarthritis, and polymyalgia rheumatica.[2] ICI-inflammatory arthritis (ICI-IA) is the most commonly described rheumatic irAE and can present even after ICI cessation.[3 4] Systematic reviews report an incidence of arthralgias after ICI as high as 43% but of inflammatory arthritis only up to 7%.[2 5 6] In recent years, there has been growing literature dedicated to immune-related inflammatory arthritis, but there is still a paucity of research investigating non-inflammatory joint pain that can occur after ICI therapy.[3–9]

To understand the pathomechanisms behind ICI-associated arthralgia, it is vital to differentiate inflammatory presentations of arthritis from those of non-inflammatory or mechanical arthropathy like osteoarthritis (OA). Clinically, the two present with different symptomology and physical exam findings; in addition, management and prognosis differ. Inflammatory arthritis, in its truest form, presents with pain in association with erythema, swelling, and increased warmth with stiffness that predominates during morning hours.[10] Conversely, symptoms of OA include pain that worsens through the day with increased activity. OA tends to impact weight-bearing or overused joints such as the spine, hips, knees, and the first carpometacarpal joint (CMC) at the base of the thumbs. Despite its non-inflammatory clinical presentation, OA has surfaced to be mechanistically complex.[11–13] Studies implicate low-grade inflammation driven by innate immune system activation,

which checkpoint inhibitor blockade could theoretically exacerbate.[14]

Through this observational study, we investigate the phenomenon of symptomatic worsening of OA following checkpoint inhibitor therapy, termed ICI-activated osteoarthritis (ICI-aOA), from a clinical vantage point. This is the largest case series of OA enhancement after ICI start.

METHODS
Patient selection
This is a retrospective case series of patients with histologically confirmed malignancy treated with an ICI and subsequently diagnosed with an exacerbation of OA. Patients were identified on referral for ICI toxicity evaluation, and all of the patients in this cohort were assessed and treated by a board-certified rheumatologist at one of three independent medical centers: Hospital for Special Surgery in New York, University of Chicago in Chicago, Illinois, and Austin Health in Melbourne, Victoria, Australia. Patients were given ICIs as part of a clinical trial or standard of care, with or without chemotherapy. The time frame for reviewed cases ranged from the approval date of respective patient registries (May 2018, July 2018, and May 2018) until February 2021. Thorough chart review and pertinent data extraction was conducted by a representative rheumatologist from each of the three institutions (KKC, PR, and DL). Patients were excluded if the diagnosis of arthropathy was deemed by the treating rheumatologist to be a type of inflammatory arthritis or of an alternate etiology than OA.

Activated osteoarthritis (aOA) definition and diagnosis
The diagnosis of post-ICI-aOA was made by a board-certified rheumatologist. A patient was considered to have ICI-aOA if there was a notable clinical worsening of or onset of new joint pain since the start of ICI and all of the following clinical criteria were met:
1. Symptomatically worse with activity, improved with rest and lacking significant morning stiffness ($\leq$30 min).
2. Involvement of a joint or joints characteristically affected by OA, including but not limited to the following: first CMCs, distal interphalangeal joints (DIPs), and/or proximal interphalangeal joints (PIPs), knees, hips, cervical, and/or lumbar spine.
3. Absence of physical exam findings for inflammation such as swelling, redness, or warmth.

Of note, patients did not have to have a documented diagnosis of OA preceding ICI therapy.

Treatment and adverse event analysis
Tumor radiological response was evaluated by Response Evaluation Criteria in Solid Tumors V.1.1 (v.4.03) guidelines at 3 months post-ICI start. irAEs were graded according to the Common Terminology Criteria for Adverse Events (CTCAE) V6.0 grading. High-grade ICI-aOA was defined as CTCAE grade $\geq$3. Labs and imaging were ordered per discretion of the treating rheumatologist and were not mandatory for inclusion in our study. Particular autoantibodies of interest included antinuclear antibody (ANA) (values equal to or above a titer of 1:80 were considered positive), rheumatoid factor (RF) (values equal to or above 14 IU/mL were considered positive) and anticyclic citrullinated peptide (CCP) (positive values were determined by individual institutional laboratories). When imaging was available, radiographical findings of OA involving the clinically symptomatic joint(s) were determined by a board-certified radiologist from the respective institution.

Statistical analysis
Study data were evaluated and summarized using descriptive statistics with Stata V.15.1. Continuous variables were reported with means and ranges, while categorical and ordinal variables were summarized as percentages. χ^2 test was used for categorial data and test of association. Multivariate likelihood ratios with 95% CIs were computed using a logistic regression model. The threshold for statistical significance was set at a two-sided p value of <0.05.

RESULTS
Study population and clinical characteristics
We identified a total of 36 patients with ICI-aOA as summarized in table 1 (detailed in online supplemental table 1). The mean age at ICI-aOA diagnosis was 66 years (range 51–81 years) with 53% male. Most patients were Caucasian (34/36, 94%), and metastatic melanoma was the most common malignancy (10/36, 28%). While there were no patients treated with CTLA4 inhibitor (CTLA-4i) monotherapy, a majority of patients were managed with PD-1/PD-L1 inhibitor (PD-1/PD-L1i) monotherapy (31/36, 86%) and some with combination therapy (5/36, 14%).

ICI-aOA characteristics
About half of the patients had only large joint involvement (19/36, 53%) with knees and hips impacted, while one-fourth had small joints of the hand (first CMC, DIP and/or PIP) involved and less than 10% had both large and small joint involvement. Two-thirds were identified to have multiple joint involvement (24/36, 67%). Over half of the patients (19 of 36, 52.8%) had ICI-aOA grade 1 and about 40% (14 of 36) had ICI-aOA grade 2, while only 3 of 36 (8%) patients had high-grade ICI-aOA. Median time of symptom onset after start of checkpoint therapy was 5.2 months with a wide range from 6 days to 33.8 months. Most cases occurred within the first 2–3 months after start of ICI; however, there was another peak of cases around 10 months after ICI start (figure 1A). While most cases occurred during ICI therapy, 5 of 36 (14%) patients experienced ICI-aOA after ICI cessation (0.6, 3.5, 4.4, 7.3, and 15.4 months after ICI cessation). These cases corresponded to 2.0, 9.6, 19.1, 8.7, and 16.1 months after ICI initiation, respectively (portrayed in figure 1A). All five of these patients also had a diagnosis of one or more irAEs.

Table 1 ICI-aOA (total=36)

Gender	
Female	17 (47.2%)
Male	19 (52.8%)
Race/ethnicity	
Caucasian	34 (94.4%)
African–American	1 (2.8%)
American–Indian	1 (2.8%)
Age	
Mean age at ICI-aOA diagnosis (range)	66 (51–81)
Mean age at cancer diagnosis (range)	63 (43–79)
Cancer type	
Melanoma	10 (27.8%)
NSCLC	5 (13.9%)
RCC	6 (16.7%)
Urothelial cancer	6 (16.7%)
Gynecological malignancy	3 (8.3%)
Head and neck	2 (5.6%)
Others	4 (11.1%)
ICI type	
CTLA-4i	0 (0.0%)
PD-1/PD-L1i	31 (86.1%)
Combination	5 (13.9%)
Size of joints involved	
Large (knees and hips)	19 (52.8%)
Small (first CMCs, DIPs and PIPs)	9 (25.0%)
Spine	5 (13.9%)
Small and large	3 (8.3%)
Number of joints involved	
Single	7 (19.4%)
Multiple	24 (66.7%)
Spine	5 (13.9%)
Severity of arthritis (CTCAE)	
Grade 1	19 (52.8%)
Grade 2	14 (38.9%)
Grade 3	3 (8.3%)
Presence of other irAEs	
Yes	21 (58.3%)
No	15 (39.5%)
Any serological positivity (serology checked in 27 of 36 total patients)	
Yes	11 (40.7%)
	Antinuclear antibody (7/22)
	RF (3/27)
	CCP (3/24)
	RF and/or CCP (5/27)
No	16 (59.3%)
Cancer outcome	
Complete response	16 (44.4%)

Continued

Table 1 Continued

Partial response	5 (13.9%)
Stable disease	11 (30.6%)
Progressive disease	4 (11.1%)
ICI-aOA treatment	
Local CSI only	16 (44.4%)
NSAIDs only	7 (20.0%)
Physical therapy only	7 (20.0%)
Systemic corticosteroids	1 (11.4%)
Systemic and local corticosteroids	3 (8.6%)
Other agents (acetaminophen, duloxetine and muscle relaxant)	3 (8.6%)
Viscosupplementation	2 (5.7%)
Steroid-sparing agent (HCQ)	1 (2.9%)
ICI-aOA outcome	
Improved or stabilized	32 (88.9%)
Worsened	4 (11.1%)
Time of symptom onset and clinical follow-up	
Median time of symptom onset after ICI start	5.2 months (IQR 2–11.4, range 0–33.8)
Median length in rheumatology clinic follow-up	1.6 months (IQR 0–7, range 0–27)

CCP, cyclic citrullinated peptide; CMC, carpometacarpal joint; CSI, corticosteroid injection; CTCAE, Common Terminology Criteria for Adverse Events; CTLA-4i, cytotoxic T lymphocyte-associated protein 4 inhibitor; DIP, distal interphalangeal joint; HCQ, hydroxychloroquine; ICI, immune checkpoint inhibitor; ICI-aOA, ICI-activated osteoarthritis; irAE, immune-related adverse event; NSAID, non-steroidal anti-inflammatory drug; NSCLC, non-small cell lung carcinoma; PD-1/PD-L1i, programmed cell death protein 1 or programmed cell death protein ligand 1 inhibitor; PIP, proximal interphalangeal joint; RCC, renal cell carcinoma; RF, rheumatoid factor.

Laboratory analysis and imaging

Laboratory evaluation focused on autoantibody testing of ANA, RF and CCP, along with C reactive protein (CRP) measures. Twenty-seven patients had at least one of the three serologies ordered and of these, 41% (11/27) had positivity. All three patients with high-grade ICI-aOA had at least one of these antibodies positive, and while patients with high-grade ICI-aOA had higher likelihood of serological positivity (Likelihood ratio 5.19, p value=0.023), this association did not hold true when adjusted for age, race, and gender. About two-thirds of patients with CRP measured had an elevation (20/31, 65%). Imaging was obtained for 24 patients and demonstrated characteristic changes of those seen in OA. Representative images are displayed in figure 2 and further described in online supplemental table 1.

Presence of other irAEs

Developments of all irAEs were recorded for our cohort. Twenty patients (56%) of a total of 36 patients experienced one or more irAEs at some point after ICI initiation (online supplemental figure 1). Eight patients had more than one other irAE reported. Most commonly noted
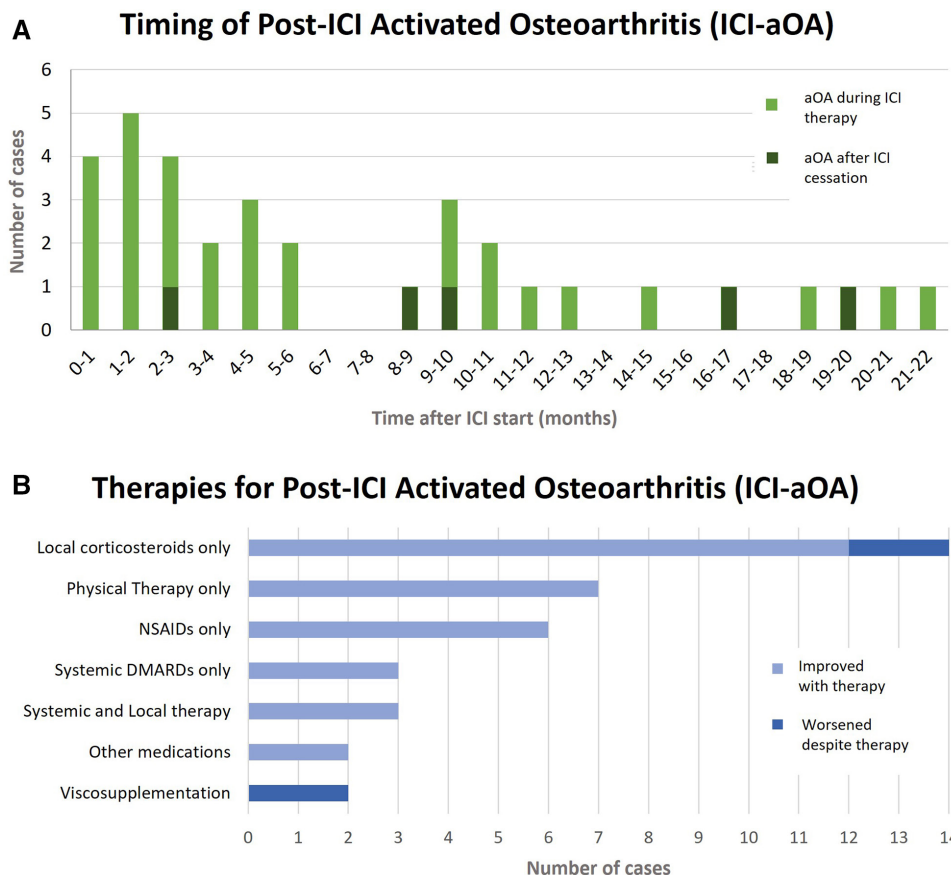
Figure 1 Timing and therapies for post-ICI-aOA. (A) Incidence of ICI-aOA (aOA after ICI) ranged from the first month after ICI initiation up to month 22 after ICI initiation, with most cases occurring in the first 6 months after start of ICI. Five of 36 patients experienced ICI-aOA after ICI cessation (0.6, 3.5, 4.4, 7.3 and 15.4 months after ICI cessation), corresponding to presentation after ICI initiation as follows: 2.0, 9.6, 19.1, 8.7, and 16.1 months after ICI initiation, respectively (as denoted in darker color). (B) The therapeutic option most used was local or intra-articular corticosteroid therapy followed by conservative management with physical therapy only, then NSAIDs. Most patients experienced improvement in signs and symptoms with treatment. aOA, activated osteoarthritis; DMARD, disease-modifying antirheumatic drug; ICI, immune checkpoint inhibitor; ICI-aOA, ICI-activated osteoarthritis; NSAID, non-steroidal anti-inflammatory drug.

other irAEs were rheumatic (7/20) and dermatological irAEs (7/20). These other rheumatic irAEs included two patients with sicca syndrome, two patients with polymyalgia rheumatica, one patient with inflammatory arthropathy, one patient with Raynaud's phenomenon and one with chilblains. Of the seven that also experienced dermatological irAEs, three had non-specific rash or dermatitis; one had pruritus; two had psoriasis flares; and one urticarial vasculitis. The three patients with high-grade ICI-aOA all had other irAEs, but there was no statistically significant association with severity of ICI-aOA and presence of other irAEs. In those cases with concomitant inflammatory arthritis, we diagnosed aOA as a separate phenomenon based on our defined criteria as mentioned previously.

ICI-aOA therapeutics

Management approach to ICI-aOA ranged from local corticosteroid injections (CSIs) to systemic disease-modifying antirheumatic drugs (figure 1B). Four patients (2 of 12 with local CSIs, 2 of 2 patients with viscosupplementation) had worsening of their ICI-aOA despite

respective therapy. All four of these patients also experienced co-occurrence of another irAE. Additionally, all of the patients without another irAE experienced improvement or had stability of their aOA in response to their respective therapy. When compared with patients without other irAEs, patients with an irAE in addition to their aOA were more than four times more likely to have lack of improvement or worsening of their aOA (Likelihood ratio 4.67, p value=0.031). All three patients who experienced high-grade ICI-aOA were given local and systemic corticosteroids at doses of prednisone starting at 20 mg or less; all appreciated improvement in symptoms.

DISCUSSION

In this paper we describe a cohort of patients who experienced symptomatic OA after ICI start, called ICI-aOA. In our cohort, majority of the patients with this AE were treated with a PD-1/PD-L1 inhibitor monotherapy. Most of the patients had more than one joint involvement, often including a large joint. Median time to onset of symptoms was 5 months,

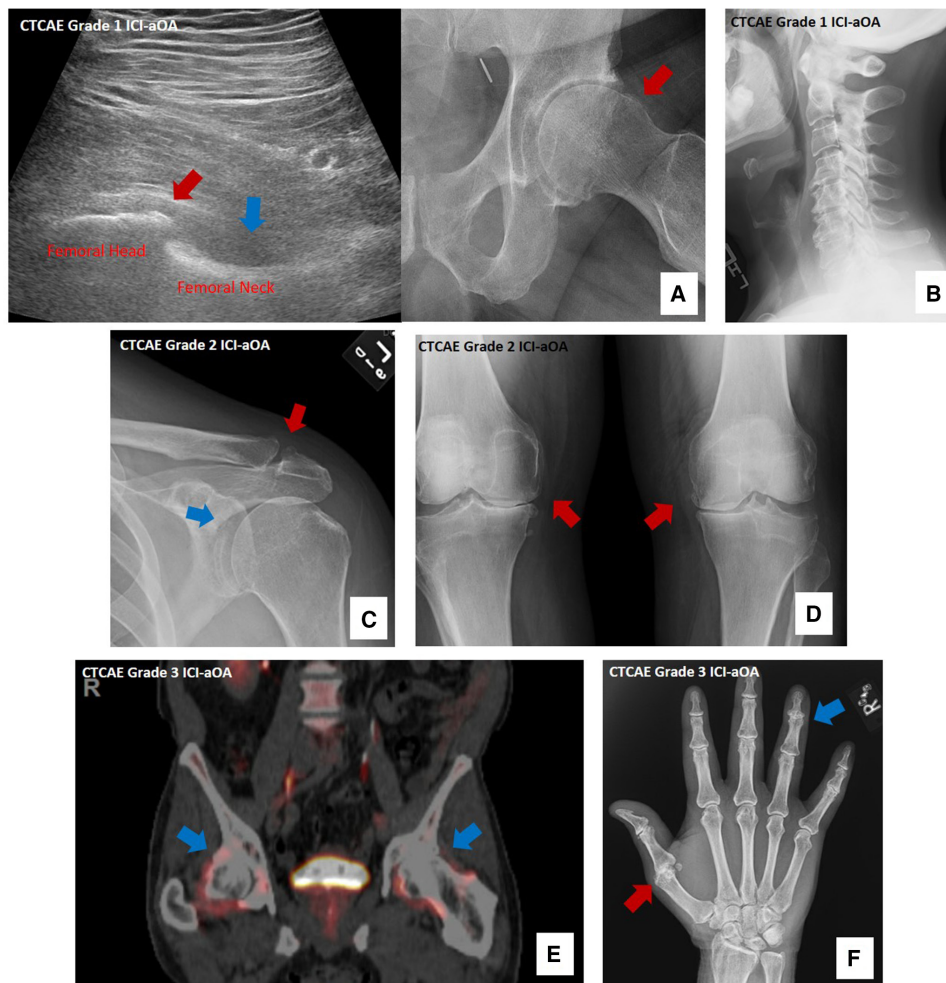## Representative Images of Osteoarthritis from ICI-aOA Cohort

Figure 2 Representative images of OA from ICI-aOA. (A) Ultrasound and corresponding radiograph of left hip demonstrating OA with osteophyte (red arrow on ultrasound and X-ray) and small joint effusion (blue arrow). (B) Lateral X-ray of the cervical spine depicting osteophytes and lost of disk space, indicating cervical spine OA. (C) Left shoulder X-ray noting mild OA affecting the glenohumeral joint (blue arrow) and acromioclavicular joint (red arrow). (D) Standing X-ray of the knees depicting moderate OA of bilateral knee joints (red arrows). (E) Positron emissions tomography-computerized tomography showing mild fluorodeoxyglucose uptake (blue arrows) associated with degenerative changes and OA at the hips. (F) Right-hand X-ray demonstrating moderate OA in various interphalangeal joints, most notable of which is the fourth distal interphalangeal joint (blue arrow) with severe OA in the first metacarpal joint (red arrow). CTCAE, Common Terminology Criteria for Adverse Events; ICI-aOA, ICI-activated osteoarthritis; OA, osteoarthritis.

with five patients who experienced ICI-aOA after ICI cessation. More than half of our patients also experienced an irAE at some point after ICI initiation. For the majority of the patients, local therapy was sufficient and successful, while the patients who failed their aOA therapy were all notably patients who had other adverse events. Patients with high-grade ICI-aOA all had other irAEs, were more likely to have some serological positivity, and were managed with systemic corticosteroids. ICI-aOA should be recognized as an ICI toxicity necessitating timely referral to and assessment by rheumatology for better characterization of the arthropathy and effective management thereafter.

Due to the heterogeneity of musculoskeletal irAE, earlier referral to rheumatology can appreciably accelerate evaluation and delineate between ICI-aOA and immune-related inflammatory arthritis. With local therapy for ICI-aOA being encouragingly successful, there is potential to manage patients' joint symptoms without the hypothetical risk of mitigating checkpoint inhibitor efficacy by way of systemic immunosuppression.[15 16] Furthermore, autoantibody positivity, such as ANA, RF or CCP, can be misleading. Our study found multiple cases of ICI-aOA with low-titer seropositivity but lack of inflammatory signs or symptoms that would indicate inflammatory arthritis. In those cases with concomitant inflammatory irAE, we diagnosed aOA as a separate phenomenon based on our defined criteria. This further demonstrates the importance of a dedicated and thorough rheumatological evaluation to contextualize laboratory values with that of symptomology and physical examination of autoimmune disease. Finally, the co-occurrence of ICI-aOA with irAEs highlights the importance of a rheumatologist's role in ICI toxicity management and that

of a multidisciplinary model when caring for patients with concern for or diagnosis of irAEs.

OA has traditionally been considered a non-inflammatory condition; however, with the advent of more advanced imaging techniques and molecular and genetic lab technologies, it has become evident that chronic, low-grade inflammation does play a role in the pathogenesis of OA.[11–14] Histologically, OA synovium demonstrates infiltration by mononuclear cells, including activated B and T cells, and evidence of angiogenesis.[17 18] In addition, there appears to be different phenotypes of OA, including a more inflammatory phenotype, with a much higher expression of inflammatory cytokines such as interleukin (IL)-1B, IL-6, and IL-8.[19] Intriguingly, Liu *et al* demonstrated in a mouse model of OA that PD-L1 blockade induced more severe arthritis and increased inflammatory cytokine production.[14] The few patients in our study with high-grade ICI-aOA had autoimmune serological positivity and required systemic corticosteroids for therapy. However, even in these patients, doses of prednisone ≤20 mg were sufficient for successful therapy, reflecting its differing pathophysiology from that of immune-related inflammatory arthritis and other irAEs, which tend to require higher doses and longer courses of systemic immunosuppression for treatment.[5 20 21] While too few in number to draw any definitive conclusions, this could shed further light on the role and extent of inflammation that have been implicated in past murine models and phenotypical studies of OA.

To further demonstrate the unique clinical features of ICI-aOA and to distinguish it as a separate entity from ICI-IA, we provide a framework for comparison between the two groups (table 2). Many reports have described clinical features of ICI-IA,[7 22–24] but to date, the most comprehensive review of ICI-IA is a systematic literature review of case reports and case series by Ghosh *et al*.[5] Table 2 summarizes key similarities and differences between ICI-IA and ICI-aOA.

The populations are similar in age, gender, type of malignancy, and timing of presentation. Notable differences between the two groups arise from joint distribution as well as therapeutic approach for the arthropathies. While ICI-IA tends to impact predominantly, the small metacarpophalangeal and PIP joints of the hands, ICI-aOA tends to impact large joints such as the hips and knees. Over half of the patients in Ghosh *et al*'s review with ICI-IA did not receive any pharmacological therapy, whereas over 40% of patients with ICI-aOA in our cohort received local CSIs and only one-fifth of our patients had no pharmacological intervention. Regardless of chosen treatment, the majority of patients improve with therapy (63% in ICI-IA review and 89% in our ICI-aOA cohort).

Limitations
Our study is not without limitations. We examine a small number of cases, limiting our ability to make definite conclusions. Additionally, given the retrospective and observational nature of our study, there is potential for confounders and not all pertinent information is available for analysis as it was not drawn prospectively. We also do not

Table 2	ICI-aOA versus ICI-IA	
	ICI-aOA	**ICI-IA (Ghosh et al[5])**
Demographics		
Mean age (years) Sex	66 (51–81) 53% male	63 (52–74) 61% male
Tumor type	Melanoma (28%) NSCLC (14%) RCC (17%)	Melanoma (57%) NSCLC (22%) RCC (7%)
Cancer immunotherapy	PD-1/PD-L1i monotherapy (86%) CTLA-4i monotherapy (0%)	PD-1/PD-L1i monotherapy (78%) CTLA-4i monotherapy (5%)
Malignancy outcome	Complete response (44%) Partial response (14%) Stable disease (13%) Progressive disease (11%)	Complete response (20%) Partial response (33%) Stable disease (21%) Progressive disease (27%)
Median months to onset	5 months (range 0–34)	4 months (range 0–53)
Joint distribution	Small joint (first CMC, DIPs, PIPs, etc) (25%) Large joint (53%) Spine (14%)	Small joint (RA distribution: MCPs, PIPs, etc) (65%) Large joint (22%) Spine (13%)
Joint count	Polyarticular (67%)	Polyarticular (49%)
Serologies (% of total tested)	19% (5/27) RF and/or CCP 32% (7/22) ANA	9% (25/270) RF and/or CCP 30% (57/193) ANA
Therapeutics	No medicinal therapy (20%) NSAIDs (20%) Local CSIs (43%) Systemic corticosteroids (11%) Non-steroidal DMARDs (3%)	No medicinal therapy (55%) NSAIDs (20%) Local CSIs (14%) Systemic corticosteroids (74%) Non-steroidal DMARDs (31%)
Other irAEs, any grade	58%	52%
Arthropathy outcome	Improved or controlled with therapy 89%	Improved or controlled with therapy 63%

ANA, antinuclear antibody; aOA, activated osteoarthritis; CCP, cyclic citrullinated peptide; CMC, carpometacarpal; CSI, corticosteroid injection; CTLA-4i, cytotoxic T lymphocyte-associated protein 4 inhibitor; DIP, distal interphalangeal; DMARD, disease modifying antirheumatic drug; ICI, immune checkpoint inhibitor; ICI-aOA, ICI-activated osteoarthritis; ICI-IA, ICI-inflammatory arthritis; irAE, immune-related adverse event; MCP, metacarpophalangeal; NSAID, non-steroidal anti-inflammatory drug; NSCLC, non-small cell lung carcinoma; PD-1/PD-L1i, programed cell death protein 1 inhibitor; PIP, proximal interphalangeal; RA, rheumatoid arthritis; RCC, renal cell carcinoma; RF, rheumatoid factor.

have a comparator cohort of patients who had ICI therapy without OA enhancement nor a group with history of malignancy and without cancer immunotherapy. All of these open up room for bias. For example, the high number of co-occurring rheumatic irAEs in our sample, contrary to their rarity in past research, is likely a result of referral bias. However, the correlations between multisystemic irAEs and ICI-aOA, as well as the potential pathobiological insight to primary OA our findings could indicate, are notable and

enough to warrant a more robust study of these associations in the future.

CONCLUSION

We present the first description of OA exacerbation after cancer immunotherapy. Our findings suggest the need for a heightened clinical vigilance of aOA after ICI therapy and justify prospective, multi-institutional examination of ICI-aOA.

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