#### ORIGINAL RESEARCH



# Implementation of Empagliflozin in Patients with Diabetes Mellitus Type 2 and Established Cardiovascular Disease: Estimation of 5-Year Survival and Costs in Sweden

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## **ABSTRACT**

Introduction: Cardiovascular disease (CVD) affects approximately 30% of patients with diabetes mellitus type 2 (T2D) and leads to increased morbidity, decreased survival and increased healthcare utilization. The aim of this study was to estimate the impact of treating these patients with the sodium–glucose cotransporter 2 (SGLT2) inhibitor empagliflozin on survival and healthcare utilization.

*Methods*: Actual survival and healthcare utilization data from a 5-year retrospective cohort

study on patients with T2D and CVD in the Region of Östergötland, Sweden were used as a starting point. Actual data were adjusted in accordance with risk reductions for mortality and CV events related to empagliflozin treatment as reported in the EMPA-REG OUTCOME study.

Results: Applying the risk reductions related to empagliflozin treatment on the cohort of patients with T2D and CVD in Östergötland resulted in an increase in 5-year survival of 96 days per patient and reduced costs for healthcare and drugs other than empagliflozin. Including the cost of empagliflozin, treatment led to an increased net cost per patient of approximately SEK 18,000 over 5 years.

Conclusion: Empagliflozin treatment would reduce mortality and healthcare utilization in the patient group. The treatment strategy should be considered cost-effective, supporting a broad implementation of empagliflozin for patients with T2D and established CVD, in line with current national and international guidelines.

**Keywords:** Cardiovascular disease; Disease burden; Healthcare costs; Mortality; Pharmaceutical treatment; Register study; SGLT2 inhibitors; Type 2 diabetes

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### **Key Summary Points**

Cardiovascular disease is common and constitutes a substantial disease burden in patients with type 2 diabetes, in terms of both mortality and healthcare-demanding events.

Modern glucose-lowering treatments, such as SGLT2 inhibitors, have a protecting effect for patients with type 2 diabetes and concomitant cardiovascular disease.

Applying results from the EMPA-REG OUTCOME study on a register-based cohort of patients, this study aimed to estimate the impact on survival and healthcare consumption of treating patients with type 2 diabetes and concomitant cardiovascular disease with the SGLT2 inhibitor empagliflozin.

The results of this study indicate that treatment with empagliflozin of patients with type 2 diabetes and cardiovascular disease is associated with both survival gains and lower healthcare costs.

Patients with type 2 diabetes and established cardiovascular disease would gain substantially from treatment with empagliflozin, and therefore more patients should receive this treatment.

## DIGITAL FEATURES

This article is published with digital features, including a summary slide, to facilitate understanding of the article. To view digital features for this article go to https://doi.org/10.6084/m9.figshare.13013333.

### INTRODUCTION

Type 2 diabetes mellitus (T2D) is a major global public health problem with a prevalence of

4-5% [1, 2]. T2D is associated with impaired quality of life and a substantial economic burden due to high healthcare costs and utilization. A common comorbidity in patients with T2D is cardiovascular disease (CVD). The reported prevalence of CVD in patients with T2D in Sweden is 28.3% [3]; it is slightly higher in the region of Östergötland, at 30% [4]. Östergötland is a public healthcare region in the southeast of Sweden with approximately 460,000 residents, i.e. 4-5% of the Swedish population. Östergötland demographics (e.g. age, gender, etc.) are representative of Sweden as a whole. The Swedish healthcare system is primarily publicly financed, and healthcare services are available to all residents.

T2D and associated comorbidities, including CVD, lead to increased risk of complications, which in turn lead to increased burden of disease and healthcare utilization and costs. Patients with T2D and established CVD are more likely to have negative healthcare consequences and higher healthcare costs compared to patients with T2D without established CVD [4]. This CVD exposure combined with the high prevalence of T2D makes it clear how important prioritizing well-informed healthcare-related decisions is in this patient group.

On the basis of growing evidence, treatment of patients with T2D and established CVD with sodium–glucose cotransporter 2 (SGLT2) inhibitors or glucagon-like peptide 1 (GLP-1) receptor agonists is recommended by the Swedish National Board of Health and Welfare [2], the European Society of Cardiology (ESC) [5], as well as by the joint statement from the American Diabetes Association (ADA) and the European Association for the Study of Diabetes (EASD) [6]. In addition to their glucose-lowering effect, these drugs also lower the risk for CVD [7, 8], and slow the progression of nephropathy [9].

The SGLT2 inhibitor empagliflozin, in addition to being part of the standard of care for patients with T2D and established CVD, was studied with respect to its effect on mortality and selected cardiovascular outcomes in the EMPA-REG OUTCOME study [10]. It was found that empagliflozin reduced death from any cause during the study period (5.7% of patients

taking empagliflozin died of any cause vs 8.3% of patients on placebo; 32% relative risk reduction), death from CV causes (3.7% and 5.9%, respectively; 38% relative risk reduction) and the proportion of patients hospitalised for heart failure (2.7% and 4.1%, respectively; 35% relative risk reduction).

In a previous study conducted using a dataset from before the more widespread use of SGLT2 inhibitors in routine care, we found that established CVD was associated with higher morbidity, mortality and healthcare costs in patients with T2D [4]. There is a growing body of real-world evidence demonstrating the beneficial effects of prescribing empagliflozin to patients with T2D and established CVD in terms of its impact on healthcare utilization, costs and survival [11, 12].

The aim of this study was to estimate effects on survival, healthcare utilization and cost of 5 years of treatment with empagliflozin, in addition to standard of care, in patients with T2D and established CVD, in a Swedish real-world setting.

## **METHODS**

To estimate the effect on health outcomes related to the implementation of empagliflozin in accordance with published national guidelines from the Swedish National Board of Health and Welfare, the risk reductions as identified in the EMPA-REG OUTCOME study were applied to the T2D CVD cohort in the region of Östergötland, as described below.

The study was approved by the Regional Ethics Research Committee in Östergötland, Sweden (Dnr: 2017/535-31). The study was performed in accordance with the Helsinki Declaration of 1964 and its later amendments. Informed consent was not required because of the retrospective nature of the study.

#### **T2D CVD Cohort**

The T2D CVD cohort consists of all patients with T2D and established CVD in the health-care region of Östergötland. With few exceptions, patients with T2D in Sweden are treated

within the primary care setting. Only patients with acute diabetes or undergoing a complex endocrine investigation might be hospitalised. Healthcare utilization for the Östergötland cohort was retrieved from the administrative database of Region Östergötland (Healthcare Data Warehouse, VDL). The Östergötland VDL has almost 100% coverage and contains information on all healthcare utilization (primary care visits, outpatient visits and inpatient hospital stays) for all residents in the region. Drug utilization was retrieved from the Swedish Prescribed Drug Register.

The T2D CVD cohort in Östergötland and the data sources used were described in detail elsewhere [4]. This was a population-based retrospective observational study which analysed historical data on healthcare utilization, costs and mortality among patients with T2D with and without established CVD for the period between 2012 and 2016. In this previous study, briefly, we found that in the region of Östergötland there were 19,731 patients with T2D (4.5% prevalence). The cohort identified for this analysis consisted of all patients with T2D and established CVD by 1 January 2012 which was 5490 patients. Established CVD was defined according to inclusion and exclusion criteria in the EMPA-REG OUTCOME study [10], which included any of the following diagnoses any time during the period 2002-2011: coronary artery disease (CAD), stroke, amputation, percutaneous coronary intervention (PCI), coronary artery bypass grafting (CABG), peripheral artery disease (PAD), cardiac arrest and angioplasty (carotid or femoral). Exclusion criteria from the EMPA-REG OUTCOME study [10] were applied: < 18 years, body mass index  $> 45 \text{ kg/m}^2$ , severely impaired renal (GFR < 30 mL/min)and function (within 2 months prior to study baseline) stroke, transient ischemic attack (TIA) or acute coronary syndrome (ACS).

The study cohort was followed retrospectively for 5 years (2012–2016). Data on actual outcomes in terms of mortality, events requiring healthcare, healthcare utilization and costs were retrieved from registers including the Swedish Cause of Death Register, the Swedish Prescribed Drug Register, the National Diabetes

Register and the administrative database of Region Östergötland (VDL). Detailed information on data sources used and healthcare costs associated with various outcomes during the 5 years of follow-up in the Östergötland cohort was provided in a previous publication [4].

Costs in this manuscript are expressed in Swedish krona (SEK). Exchange rates according to the Swedish National Bank as of August 2020 were 1 euro = 10.31 SEK; 1 United States dollar (USD) = 8.71 SEK.

# Application of Relative Risks from EMPA-REG OUTCOME Study

To estimate the effects on costs and survival of 5 years of treatment with empagliflozin in the relevant patient group in Östergötland, the relative risks observed in the EMPA-REG OUT-COME study were applied to the T2D CVD cohort.

#### **Statistical Analysis**

The effects of treating the T2D CVD cohort with empagliflozin were estimated using the assumption that the effects in a real-world setting are the same as those found in the EMPAREG OUTCOME study. These effects were expressed as relative risk ratios (RR) and hazard ratios (HR). For costs and healthcare utilization,

the RRs were used for the whole study period, and survival changes were estimated by applying the HR from the EMPA-REG OUTCOME study to the life tables of the patients in the T2D CVD cohort (Table 1).

## RESULTS

#### **Baseline Characteristics**

Some differences were found in the baseline characteristics of the EMPA-REG OUTCOME study population and the T2D CVD cohort respectively.

As shown in Table 2, the T2D CVD cohort was older (73 vs 63 years), contained more women (42% and 28% respectively), had fewer patients with long T2D duration (> 10 years; 51% and 57% respectively), had lower HbA1c values (54 vs 64) and had on average worse kidney function than the patients in the EMPA-REG OUTCOME study. Furthermore, a larger proportion of the EMPA-REG OUTCOME study population had previously experienced MI or PAD, and fewer patients in the Östergötland cohort were treated with insulin. Proportion of patients being treated for CVD risk factors (blood pressure, lipids, etc.) was as expected. In addition to the baseline differences between the cohorts, there was also a difference in the length of follow-up: 5 years for the T2D CVD cohort,

Table 1 Relative risks for events and hazard ratio for death as observed in the EMPA-REG OUTCOME study [10]

	Placebo (%)	Empagliflozin (%)	RR	p value
Myocardial infarction (MI)	5.4	4.8	0.88	0.243
Unstable angina	2.8	2.8	1.00	0.984
Coronary revascularisation	8	7	0.88	0.149
Stroke	3	3.5	1.18	0.233
Transient ischaemic attack (TIA)	1	0.8	0.84	0.517
Heart failure	4.1	2.7	0.66	0.002
Dialysis [21]	0.6	0.3	0.46	0.040
Death <sup>a</sup>			0.68 <sup>a</sup>	< 0.001

<sup>&</sup>lt;sup>a</sup> The comparison concerning death is expressed as hazard ratio (HR)

**Table 2** Baseline characteristics in the Östergötland T2D CVD cohort and in the EMPA-REG study population (mean, %)

	T2D CVD	EMPA-REG	p value
Age	73.5	63.1	0.000
Sex (% men)	58.10%	71.20%	0.000
BMI	29.8	30.6	0.000
Weight	85.1	86.2	0.001
CV risk factors (history of)			
CAD	77.40%	75.60%	0.028
MI	26.10%	46.70%	0.000
Revascularisation	27.00%	25.10%	0.034
Stroke	24.80%	23.10%	0.049
PAD	14.50%	21.00%	0.000
Diabetes duration			0.000
≤ 5 years	23.90%	17.90%	
5–10 years	24.90%	25.10%	
> 10 years	51.20%	57.00%	
HbA1c	54	64.7	0.000
LDL	2.3	2.2	0.000
Systolic blood pressure	133	135.3	0.000
Diastolic blood pressure	72.2	76.6	0.000
GFR			0.000
≥ 90	16.00%	22.40%	
60 to < 90	47.30%	51.70%	
< 60	36.70%	25.90%	
Albuminuria			0.000
Normal value	68.60%	60.20%	
Micro albuminuria	20.20%	28.90%	
Macro albuminuria	11.20%	11.00%	
Medication within 3 months after 1 January 2012			
Insulin (A10A)	34.70%	48.00%	0.000
A10BA Biguanide derivates (metformin)	31.20%	73.80%	0.000
A10BB Sulfonylurea compounds	10.10%	43.00%	0.000
A10BG Thiazolidinediones	0.20%	4.20%	0.000
A10BH Dipeptidyl peptidase 4 inhibitors	1.20%	11.30%	0.000

Table 2 continued

	T2D CVD	EMPA-REG	p value
A10BJ Glucagon-like peptide 1 receptor (GLP-1) analogues	0.60%	2.70%	0.000

**Table 3** Mean healthcare costs (SEK) and cost changes during 5 years with and without the addition of empagliflozin to patients with T2D and established CVD

	Östergötland cohort costs	RR according to EMPA- REG	Costs adjusted with RR	Difference
CV care				
Myocardial infarction	971	0.89	863	- 108
Unstable angina	459	1.00	459	0
Coronary revascularisation	6043	0.88	5318	- 725
Stroke	2457	1.17	2866	409
TIA	125	0.83	103	- 21
Heart failure	6859	0.66	4517	- 2342
Dialysis	2519	0.46	1164	- 1355
Other healthcare	156,443	1	156,443	0
Healthcare total	175,875		171,733	- 4142
Empagliflozin	0	-	23,910	23,910
Other drugs	46,541	-	44,750	- 1791
Drugs total	46,541	-	68,660	22,119
Total cost	222,416		240,393	17,977

compared to the 3.1 years median follow-up in the EMPA-REG OUTCOME study.

The effect of widespread utilization of empagliflozin in patients with T2D and established CVD (if all patients in this cohort were treated with empagliflozin) is described in Table 3.

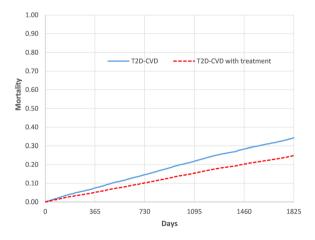
The most substantial health effects associated with empagliflozin treatment observed in the EMPA-REG OUTCOME study were reduced mortality (HR 0.68), fewer hospitalisations due to heart failure (RR 0.66), fewer

revascularisations (RR 0.88) and a reduction in dialysis (RR 0.46). Owing to the beneficial health effects related to empagliflozin use, the estimation of the effect of adding empagliflozin to standard treatment for patients with T2D and established CVD resulted in reduced average costs for healthcare (– SEK 4142 of which SEK 2342 was related to heart failure) and other drugs (– SEK 1791). The cost of empagliflozin treatment was estimated to be SEK 23,910 per patient, which amounted to net increased costs of SEK 17,977 per patient.

The positive treatment effects of empagliflozin, e.g. the reduced risk of CV events, result in decreased mortality in the patient group. During the 5-year treatment period, the estimated mean survival increased from 1498 days to 1594 days, a survival gain of 96 days per treated patient. Estimated survival gains with empagliflozin are described in Fig. 1 and Table 4.

### DISCUSSION

This register-based retrospective cohort study aimed to estimate the consequences of a widereaching implementation of the SGLT2 inhibitor empagliflozin for patients with T2D and established CVD. Though there are other novel glucose-lowering medications, this study was limited to empagliflozin, as it is the recommended treatment according to regional (Östergötland) and national (Sweden) guidelines. Risk reductions regarding cardiovascular events and mortality as identified in the EMPA-REG OUTCOME study were applied on a geographically defined cohort of patients with T2D and established CVD, in the region of Östergötland, Sweden (the T2D CVD cohort). At baseline, i.e. during the first 3 months of 2012, 85% of the patients in the T2D CVD cohort received antihypertensive treatment and 58%



**Fig. 1** Five-year cumulative mortality in the T2D CVD cohort, actual outcome and adjusted according to the results in the EMPA-REG OUTCOME study (HR = 0.68)

received lipid-lowering treatment, indicating that there was room for improvement according to the national guidelines. The effects of applying the EMPA-REG OUTCOME study risk reductions to all patients with T2D and established CVD during a 5-year period included reduced healthcare utilization and improved survival, at a low cost. The results suggest that utilization of empagliflozin for patients with T2D and established CVD would be a good use of healthcare resources. The estimated decrease in the costs of healthcare visits was approximately SEK 4100; increasing drug costs (for empagliflozin) resulted in a net cost increase of approximately SEK 18,000. This increase should be evaluated in the context of the survival gain of 96 days per treated patient, over a period of 5 years.

The results from this study indicate that the increased empagliflozin utilization would cost approximately SEK 69,000 per life-year gained. Applying a quality of life decrement of 0.19 (on a scale 0–1) for patients with T2D and established CVD [13] the result would translate to a cost per quality-adjusted life-year (QALY) of just over SEK 85,000. These preliminary results should be interpreted with caution as we have not applied a lifetime perspective on survival and costs. Our findings, however, indicate that empagliflozin is cost-effective, as the estimated cost per QALY is well below both commonly applied threshold values [14] and the marginal productivity of the healthcare sector [15].

For the public healthcare region of Östergötland, 5 years of treatment with empagliflozin for the 5490 patients with T2D and established CVD identified in this study would result in increased costs of approximately SEK 100 million (SEK 20 million per year), and 1455 life-years gained (approximately 290 life-years per year of treatment). Extrapolated to the country level, each year of treatment with empagliflozin would result in approximately 5800 life-years gained at a cost of approximately SEK 440 million.

Our results indicate that treating this vulnerable patient population with empagliflozin has positive effects on health and is also cost-effective. Treatment of this patient group should follow international and national

	Maximum number of days during the period	Living days	Lost days
T2D CVD cohort	1826	1498	328
T2D CVD cohort adjusted according to EMPA-REG	1826	1594	232
Benefit with empagliflozin treatment		96	- 96

Table 4 Estimated number of lived and lost days with and without 5 years empagliflozin treatment

guidelines [2, 5]. Previous research shows that the implementation and uptake of new diabetes medications in Sweden is generally slow, placing it in this respect far behind its neighbouring countries [16].

The main strength of the study is that it is based on patient data from high-quality registers, which means that the mapping of the cohort from Östergötland is very reliable. Information regarding healthcare utilization, drug consumption, costs and mortality of this patient cohort from 2012 to 2016 are well recorded in these registers.

Previous research has shown that glucoselowering drugs for patients with T2D and established CVD have a beneficial effect with a reduced risk of cardiovascular events [7, 8, 17]. The beneficial effects are particularly noticeable for SGLT2 inhibitors [8]. Costs associated with the treatment of patients with T2D and established CVD have been studied to a lesser extent. A study conducted in the USA [18] compared two different glucose-lowering drugs, one SGLT2 inhibitor (dapagliflozin) and the other a dipeptidyl peptidase 4 inhibitor (sitagliptin). It was concluded that dapagliflozin led to higher drug costs but that this was offset by lower healthcare costs. In a Swedish registry-based study [19], dapagliflozin was compared with "other glucose-lowering drugs" (other SGLT2 inhibitors excluded). This study also concluded that dapagliflozin reduced hospital care costs related to CVD, while also leading to higher drug costs for patients with T2D and established CVD or multiple risk factors for CVD. Comparing different SGLT2 inhibitors, it has been suggested that empagliflozin prescribed for preventing CV death and hospitalisation for heart failure in patients with T2D is cost-effective compared to treatment with canagliflozin or dapagliflozin [20].

#### Limitations

Our results are associated with some uncertainty. Even though the inclusion criteria of the EMPA-REG OUTCOME study were applied when including patients in the T2D CVD cohort in Östergötland, some differences between the populations were apparent. For example, the Östergötland T2D CVD cohort was older than the patients in the EMPA-REG OUTCOME study and contained a greater proportion of women. Although the CVD cohort in Östergötland was older, it included a lower proportion of patients with long (> 10 years) diabetes duration and, on average, patients had lower HbA1c values compared to the EMPA-REG OUTCOME study population. However, the Östergötland patients had poorer kidney function, which is reasonable given the age difference. As a result of these differences the study should be regarded as exploratory only.

Caution should be exercised when it comes to the generalizability of results reported in this study. Results are specific to patients with T2D and established CVD and should not be extrapolated to patients with T2D without established CVD (primary prevention). As the study was conducted in Sweden, there may be differences in this patient population that would make generalizing the results to other countries problematic, such as differences in patient population characteristics, national treatment guidelines and therapeutic treatment in T2D.

## CONCLUSION

Results from this study, based on all patients in Östergötland with T2D and established CVD in 2012, and results from the EMPA-REG OUT-COME study, show that empagliflozin treatment would reduce mortality and the need for healthcare in the patient group. Adding the cost for empagliflozin, the treatment strategy should still be considered cost-effective, supporting a broad implementation of empagliflozin (in addition to standard treatment) to patients with T2D and established CVD. Our results emphasise the importance of following current national and international guidelines.

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Compliance with Ethics Guidelines. The study was approved by the Regional Ethics Research Committee in Östergötland, Sweden (Dnr: 2017/535-31). The study was performed in accordance with the Helsinki Declaration of 1964 and its later amendments. Informed consent was not required because of the retrospective nature of the study.

Data Availability. The datasets generated during and/or analyzed during the current

study are available from the corresponding author on reasonable request.

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