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Extreme ultraviolet transient gratings: A tool for nanoscale photoacoustics

L. Foglia^{a,*,1}, R. Mincigrucci^{a,1}, A.A. Maznev^b, G. Baldi^c, F. Capotondi^a, F. Caporaletti^{d,e},

R. Comin^f, D. De Angelis^a, R.A. Duncan^b, D. Fainozzi^a, G. Kurdi^a, J. Li^f, A. Martinelli^g,

C. Masciovecchio^a, G. Monaco^g, A. Milloch^h, K.A. Nelson^b, C.A. Occhialini^f, M. Pancaldi^{a,i},

E. Pedersoli^a, J.S. Pelli-Cresi^j, A. Simoncig^a, F. Travasso^{k,1}, B. Wehinger^{a,m,2}, M. Zanatta^c,

F. Bencivenga^a

- ^f Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA
- ^g Department of Physics and Astronomy, Università di Padova, 35131 Padova, Italy
- ^h Department of Mathematics and Physics, Università Cattolica del Sacro Cuore, Brescia I-25133, Italy
- ⁱ Department of Molecular Sciences and Nanosystems, Ca' Foscari University of Venice, 30172 Venezia, Italy
- ^j Istituto Italiano di Tecnologia, via Morego 30, 16163 Genoa, Italy

^k Università di Camerino, 62032 Camerino, Italy

¹ INFN, Sezione di Perugia, 06123 Perugia, Italy

^m Department of Molecular Sciences and Nanosystems, Ca' Foscari University of Venice, 30172, 400 Venezia Mestre, Italy

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Transient grating	erties. These exhibit strong dependence on the length-scale, reflecting the marked wavevector dependence of				
Nanoscale	lattice excitations. The extreme ultraviolet transient grating (EUV TG) approach has demonstrated the potential				
Phototermal	of accessing a wavevector range corresponding to the 10s of nm length-scale, representing a spatial scale of the				
Photoacoustics	highest relevance for fundamental physics and forefront technology, previously inaccessible by optical TG and				
Free electron lasers	other inelastic scattering methods. In this manuscript we report on the capabilities of this technique in the				
Extreme ultraviolet	context of probing thermoelastic properties of matter, both in the bulk and at the surface, as well as discussing				

1. Introduction

Material properties such as elasticity, thermal conductivity or heat capacity are mostly determined by collective lattice dynamics, which exhibit strong length-scale dependencies. Therefore, the thermoelastic response of a system can become drastically different when the spatial dimensions reduce from macroscopic to microscopic scales, i.e. to sizes comparable with interatomic distances or the characteristic length scales of nanostructures. As an example, thermal transport regimes depend on the relationship between the characteristic length scale and the mean free path distribution of heat carriers [1-4]. In non-metallic solids, where heat is primarily carried by phonons, its transport is diffusive and follows the Fourier law for characteristic dimensions much larger than the phonon mean free path, while in the opposite limit phonons move ballistically without collisions. Both descriptions, however, break down when the source size is comparable to the phonon mean free path [1-4]. For instance, on approaching this regime, the heat diffusion of crystalline silicon substantially differs from kinetic expectations at macroscopic length-scales [3] and even becomes overestimated by one order of magnitude at nanometer scales [4]. Another

* Corresponding author.

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^a Elettra - Sincrotrone Trieste S.C.p.A., Basovizza, 34149 Trieste, Italy

^b Department of Chemistry, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

^c Department of Physics, University of Trento, Povo, Trento I-38123, Italy

^d Van der Waals-Zeeman Institute, Institute of Physics, University of Amsterdam, 1098XH Amsterdam, the Netherlands

e Van 't Hoff Institute for Molecular Sciences, University of Amsterdam, Science Park 904, 1098XH Amsterdam, the Netherlands

E-mail address: laura.foglia@elettra.eu (L. Foglia).

¹ The two authors contributed equally.

² Present address: European Synchrotron Radiation Facility, 71 Avenue des Martyrs, Grenoble 38000, France

seminal example is given by the thermal and elastic properties of amorphous solids, which at low temperatures drastically differ from those of their crystalline counterparts and, more importantly, are remarkably similar to each other [5]. These deviations from the Debye model that characterize systems without translational symmetries have been attributed to the so-called "boson peak", i.e. a significant excess of vibrational modes in the THz regime [6–8]. Again, collective dynamics of amorphous solids can be described easily in the macroscopic limit, where the system is approximated as a continuum, and in the single-particle limit, but theory fails in the description of the mesoscopic regime, for length scales comparable to the topological disorder [9–11].

The measurement, and thus the understanding, of the thermoelastic response of matter at the nanoscale is paramount for technological applications exploiting either heat or vibrations, such as phonon engineering in hetero- [12,13] and confined [14] structures, thermal barrier coatings [15], heat assisted magnetic recording [16], nano-enhanced photovoltaics, thermoelectric energy conversion, high power optoelectronics, etc.

Over the years, an obstacle to the full description of thermoelastic responses in this regime was given by the lack of experimental techniques capable of accessing such a spatial scale [17]. Collective lattice dynamics in condensed matter can be measured, e.g., by inelastic scattering experiments such as inelastic x-ray and neutron scattering for exchanged momentum Q > 1 nm⁻¹, or Brillouin and Raman scattering for Q < 0.1 nm⁻¹. The intermediate Q = 0.1 - 1 nm⁻¹ is hardly accessible by these techniques, despite efforts that have been made for extending Brillouin spectroscopy to the UV range [18] and for improving the performance of x-ray spectrometers [19]. In addition, spectroscopic methods are inherently limited by the instrumental resolution when measuring narrow lines, i.e. long dynamics. This limitation does not affect time-domain techniques based on ultrafast lasers, such as picosecond ultrasonics and time-domain thermoreflectance, where metal films or more complicated structures are used to generate and detect acoustic and thermal responses with femtosecond optical pulses [20-26]. For example, coherent acoustic phonons with wavevectors exceeding 1nm⁻¹ have been excited and probed using semiconductor superlattices [27]. However, the need to fabricate transducer structures limits the versatility of these approaches. Transient grating (TG) spectroscopy in which the wavevector is set by the period of the interference pattern formed by crossing two excitation pulses is free from this limitation, but the accessible wavevector range is limited by the relatively long optical wavelength.

The advent of free electron laser (FEL) sources enabled time resolved inelastic X-ray scattering [28] and has offered EUV pulses of sufficient brilliance to allow for the extension of non-linear optical techniques to shorter wavelengths, among others TG spectroscopy [29-31]. The EUV TG approach has been pioneered at the FERMI FEL (Trieste, Italy) with the dedicated endstation TIMER [32]. First results beyond the proof of principle [33] reveal that EUV TG is capable of incisively and selectively studying bulk and surface phonons [4,34], thermal transport kinetics [14,35] and magnetic dynamics [36,37]. The recent development of the technique has revealed specific peculiarities with respect to the well-established optical TG spectroscopy that need to be considered both when predicting as well as when interpreting experimental EUV TG results. In this paper, we provide a general overview of the TG approach (Section 2) and a discussion on its extension to the EUV (Section 3), with a short description of the experimental setup at FERMI. We then present some exemplary experimental results showing how the technique can be exploited to investigate nanoscale thermoelastic responses in several classes of materials (Section 4) and finally briefly discuss future developments (Section 5).

2. The transient grating approach

TG is a third order (four-wave-mixing) non-linear optical technique, where three optical fields interact with the sample to generate a fourth (signal) field, as depicted in Fig. 1(a). Two light pulses of equal wavelength λ (called *pumps*) are overlapped in time and space on the sample with a crossing angle 20. The interference of these two pulses generates a spatially periodic excitation, which results in a modulation of light intensity or polarization, depending if the pump pulses have respectively parallel or orthogonal polarizations [38].

Assuming that the sample is a slab with the surface oriented orthogonally to the bisector of the pump pulses (cfr. Fig. 1(a)), the spatial periodicity of the sinusoidal excitation pattern Λ_{TG} depends only on λ and 20 as:

$$\Lambda_{TG} = \lambda / [2\sin(\theta)] \tag{1}$$

and the wavevector of the TG excitation, $Q_{\rm ex}=\pm Q_{\rm TG}$ (with $Q_{\rm TG}=$



Fig. 1. (a) Schematic of the TG experiment evidencing the relevant parameters: wavevectors of incoming and signal beams (k_1 , k_2 , k_{pr} and k_{sig}), pump crossing angle (2 θ), probe incidence angle θ_{pr} , signal diffraction angle θ_{sig} , transient grating wavevector Q_{TG} and period Λ_{TG} , and grating (or sample) thickness d. The reference frame is indicated in the top-right corner. (b) Definition of the sign of the diffraction orders in forward (left sketch) and backward (right sketch) diffraction geometry. (c) Continuity of the tangential component of the electric fields $Q_x = k_{1,x} + k_{2,x} = k_{pr,x} + k_{sig,x}$, and wavevector mismatch (ΔQ_z).

 $2\pi/\Lambda_{TG}$), is parallel to the sample surface and lies in the plane defined by the two pump beams. Such a patterned excitation effectively acts as a transient diffraction grating for a third variably-delayed pulse of wavelength λ_{pr} and wavevector k_{pr} , the *probe*, giving rise to a fourth pulse: the diffracted beam, or *signal*, that in typical TG experiments has the same wavelength as the probe and wavevector k_{sig} . The signal beam parameters (intensity, polarization, etc.) as a function of time delay Δt encode the dynamics of the photoexcited processes that are characterized by the wavevector Q_{ex} . Eq. 1 also sets a lower bound at $\lambda/2$ for Λ_{TG} , corresponding to $Q_{ex} < 4\pi/\lambda$.

In the considered geometry and with the further assumption that the grating thickness *d* is much smaller than both Λ_{TG} and λ_{pr} , the conditions for observing a diffracted signal are given by the thin grating equation [39]:

$$\Lambda_{\rm TG} \left[\sin(\theta_{sig}) \pm \sin(\theta_{\rm pr}) \right] = \pm m \lambda_{\rm pr} \tag{2}$$

where θ_{pr} and θ_{sig} are respectively the incidence angle of the probe and the diffraction angle of the signal, and $\pm m$ accounts for the diffraction order, following the convention depicted in Fig. 1(b). Consequently, Eq. 2 defines an upper limit for the probe wavelength of $\lambda_{pr} < 2\Lambda_{TG}$ in order to observe a signal.

When the thin grating condition $d \ll \Lambda_{\text{TG}}$ is not fulfilled, the diffraction efficiency in forward diffraction η_F also depends on the wavevector mismatch, $\Delta Q_x = 2\pi n(\lambda_{\text{pr}}) |\cos\theta_{\text{pr}} - \cos\theta_{\text{sig}}|/\lambda_{\text{pr}} = |\cos\theta_{\text{pr}} - \cos\theta_{\text{sig}}|n(\lambda_{\text{pr}}) k_{pr}$, which is determined by the energy conservation in the diffraction process (i.e. $|\mathbf{k}_{\text{pr}}| = |\mathbf{k}_{\text{sig}}|$) and by the continuity of the tangential component \mathbf{Q}_x of the electric field at the vacuum-sample interface (see Fig. 1(c)):

$$\eta_{F} = \frac{I_{\text{sig}}}{I_{\text{pr}}} = \frac{\cos\theta_{\text{sig}}}{\cos\theta_{\text{pr}}} \frac{|E_{\text{sig}}|^{2}}{|E_{\text{pr}}|^{2}}$$
$$= \frac{\cos\theta_{\text{sig}}}{\cos\theta_{\text{pr}}} |\Delta n(\lambda_{\text{pr}})|^{2} [\pi n(\lambda_{\text{pr}})d/\lambda_{\text{pr}}\cos(\theta_{\text{pr}})]^{2} [\sin(\Delta Q_{z}d/2)/(\Delta Q_{z}d/2)]^{2}$$
(3)

where I_{pr} and I_{sig} are the pulse energies of the transmitted probe beam and of the 1st diffraction order, respectively, $n(\lambda_{pr})$ the refractive index in the medium at the probe wavelength, and $|\Delta n(\lambda_{pr})|$ the amplitude of its variation; note that the $\sin(\Delta Q_z d/2)/(\Delta Q_z d/2)$ term tends to unity either for $d \rightarrow 0$, as in the case of surface excitations, or for $\Delta Q_z \rightarrow 0$. The latter limit is reached when the so-called Bragg condition, i.e. $\theta_{pr} = \theta_{sig}$ $= \theta_{\rm B}$, is met. In this case the TG signal can build up in the bulk irrespective of the value of d (volume grating diffraction) and is therefore greatly enhanced. For finite values of d, the TG efficiency significantly drops for $\Delta Q_z d/2 \gg 1$. Eq. 3 assumes: i) a uniform excitation profile along z, i.e. a negligible pump absorption, ii) a negligible probe absorption, iii) infinite spatial extension of pump and probe beams, iv) weak diffraction $(\eta_F \ll 1)$, i.e. the energy transferred from the three input fields to the output (diffracted) one is a negligible fraction of the total energy, and v) a negligible contribution from surface displacement or thickness modulations. As outlined in the following, some of these assumptions do not hold for EUV TG and Eq. 3 has to be modified accordingly.

3. Extension of TG spectroscopy to the EUV regime

The discussion following Eq. 1 clearly shows that TG can access larger Q values by using shorter pump and probe wavelengths, i.e. entering the EUV/x-ray regime. The first attempts in this direction have employed high harmonic generation (HHG) sources to probe optically excited TGs. While HHG-based TG is still limited in $Q_{\rm ex}$ by the optical wavelength of the pump, probing in the EUV regime introduces the capability of exploiting core resonances, which makes the probing process element-specific. This allowed to understand the ultrafast mechanism of nonlinear signal generation in atomic helium [40] and to

study the insulator to metal transition in crystalline VO₂ [41]. Additionally, the shorter wavelength and penetration depth of EUV light makes the HHG probe particularly sensitive to surface excitations, such as surface acoustic waves (SAWs) [42], and enables studying the thermoelastic response of nano-patterned surfaces [43,44].

However, the brilliance of HHG sources used in these pioneering experiments was too low to exploit them for the grating generation. While in certain cases (e.g. for relatively long EUV excitation wavelengths) state of the art HHG sources may be sufficient to excite EUV TGs [45], only the advent of FEL sources has led to the potential of routinely generating EUV and x-ray pulses of sufficient brilliance to allow for the excitation of non-linear optical processes, including TG [31,46–49]. Using high-energy photons to drive the TG excitation introduces some peculiarities with respect to the optical excitation, that go beyond the mere reduction of $\Lambda_{\rm TG}$.

3.1. EUV excitation

In the optical regime the excitation mechanisms change drastically depending on the material under investigation, i.e. if we are considering a weakly absorbing dielectric medium, a semiconductor or a metal, and range from field-driven density modulations (electrostriction) to intensity-driven temperature and electronic population gratings. Moreover, optical photons are essentially not transmitted into metals due to their frequency being generally lower than most plasma frequencies and thereby making optically-excited TG studies of bulk metals hardly viable.

For EUV pump the situation is drastically different: their photon energy in the 10s–100s eV range is always larger than plasma frequencies in all kind of materials, as well as larger than typical electronic band gaps, making the distinction between dielectrics, semiconductors and metals less relevant in the excitation process.

This holds analogously for the probe frequency and, assuming that it is also far away from core resonances, one can apply the approximation for the refractive index that is commonly used for x-rays, i.e.: $n = 1 - \delta + i\beta$, where both δ and β depend linearly on the total electron density [50].

The dominating excitation mechanism in the EUV regime is the generation of a photoexcited electronic population with very high excess energy, which is exchanged with the surrounding electron bath within a few tens of femtoseconds and, typically, without substantial diffusion on the length-scales of $\Lambda_{\text{TG}}.$ Since such dynamics are much faster than the time duration of the FEL pulses ($\Delta t_{FEL} \approx 50$ fs) so far employed in EUV TG experiments, they can be regarded as "instantaneous" and lead to stripes of hotter electrons alternating with stripes of cold ones with the same periodicity Λ_{TG} as the TG (population grating). This modulated hot electron population thermalizes with the lattice via electron-phonon scattering, resulting in the formation of a thermal grating within hundreds of fs, as depicted in Fig. 2(a). Thermal expansion leads then to an alternation of cold sample regions with increased density $\rho + \Delta \rho$ (the unexcited stripes) and hot ones with lower density $\rho - \Delta \rho$ (Fig. 2(b)), being ρ the density of the unperturbed sample. Similarly, at the surface, photoexcitation and the consequent thermal expansion will lead to a periodic surface displacement, with a peak to valley distance between hot and cold areas of u_z (Fig. 2(c)). Bulk and surface expansion will launch respectively longitudinal (LA) and surface acoustic waves with a wavevector Q_{ex} .

The incident probe and the signal beam are schematized in both Figs. 2(b) and 2(c) as two rays (solid green arrows) passing through a high- and a low-density region excited by the EUV TG. In forward diffraction geometry (Fig. 2(b)) the diffracted signal is related mostly to the differences in phase and absorption accumulated by these two rays while they propagate through the sample. In backward diffraction (Fig. 2(c)) the signal is instead mainly given by the optical path difference between the rays reflected by the peaks and the valleys of the surface wave. Nevertheless, surface modulation may also contribute to



Fig. 2. (a) Main excitation mechanism for EUV TG: a population grating of electronic excited states is generated "instantaneously", on a 100s of fs timescale it thermalizes with the lattice leading to temperature and subsequent density gratings, as sketched in the inset for the case of temperature. Here the green and orange boxes represent, respectively, typical timescales for electron-electron scattering and for electroniclattice equilibration. The blue dashed-dotted green box sketches the typical time duration of the excitation pulse at the FERMI FEL. Panels (b) and (c) sketch, respectively, the leading contributions to forward (bulk density modulation) and backward diffraction (surface displacement); darker areas represents denser (cold) regions of the sample, lighter ones the hotter regions, solid and dashed lines schematize the probe and signal beams, respectively, while uz is the surface displacement.

the peak-null differences of forward diffraction and the differences in refractive index may affect the reflectivity of the sample, altering the backward diffraction efficiency.

3.2. EUV TG signal in forward diffraction geometry and the role of absorption

In order to estimate η_F in an EUV TG experiment, one should evaluate the effect on $|\Delta n(\lambda_{\rm pr})|^2$ of the dominating EUV TG excitation mechanism, sketched in Fig. 2 ("instantaneous" electronic population grating, ultrafast relaxation into a lattice temperature grating with the contextual formation of a density grating via thermal expansion). $|\Delta n(\lambda_{\rm pr})|^2$ is thus expected to show a component due to the modulation of the excited electron density, one due to the temperature variation and one associated to the density changes. Assuming that within the few fs time-scale of electron thermalization there is no relevant transverse electron diffusion on the length-scale of $\Lambda_{\rm TG}$, which could reduce the contrast, for an EUV probe far from any core resonances the dominant component to $|\Delta n(\lambda_{\rm pr})|^2$ is given by the density variation ($\Delta \rho$), i.e.:

$$\left|\Delta n(\lambda_{\rm pr})\right| \approx \left|\frac{\partial n(\lambda_{\rm pr})}{\partial \rho}\Delta \rho\right| = (\Delta \rho/\rho)\sqrt{\delta^2 + \beta^2} \approx \alpha_v \Delta T \sqrt{\delta^2 + \beta^2},\tag{4}$$

where we considered $n = 1 - \delta + i\beta$ and a linear dependence of both δ and β on ρ [50], as mentioned above, and that the changes in ρ are predominantly associated to a temperature variation (Δ T) via thermal expansion, i.e.: $\Delta \rho / \rho = \alpha \Delta T$; where α is the thermal expansion coefficient. The magnitude of Δ T can be roughly estimated from the FEL excitation fluence (F), sample's specific heat (c) and effective excitation length in the sample (*L*) as Δ T = $F/(\rho$ cL), where one can roughly consider $L \approx L_{abs}$. For an order of magnitude estimate one may consider reasonable values $\alpha_v = 10^{-5} \text{ K}^{-1}$, $\delta = \beta = 10^{-2}$ and Δ T = 80 K (e.g. from $F = 33 \text{ mJ/cm}^2$, $\rho = 3.4 \text{ g/cm}^3$, c = 0.7 J g⁻¹ K⁻¹, L = 100 nm, resulting in $|\Delta n (\lambda_{pr})|^2 \approx 10^{-10}$.

Furthermore, Eq. 3 has to be modified to account for some of the assumptions that no longer hold in the EUV regime. In particular, in most materials, if not all, the absorption length of EUV light is on the order of a few tens of wavelengths at most. Therefore, the signal loss due to absorption prevails over the bulk signal enhancement for sufficiently thick samples and Eq. 3 needs to be modified to account for absorption of the pump, probe and signal fields: a situation rarely considered in optical TG experiments. Assuming an exponential decay of the pump and probe fields along z, with decay length $2L_{\rm abs, pr}\cos\theta_{\rm pr}$, respectively, and a factor $e^{-(d-z)/2L_{\rm abs, pr}\cos\theta_{\rm sig}}$ to account for the absorption of the signal field into the material, the forward diffraction efficiency from a slab-shaped sample with thickness *d* can be approximated as:

$$\eta_{F} = \frac{\cos\theta_{\rm sig}}{\sin\theta_{\rm pr}} \left| \Delta n(\lambda_{\rm pr}) \right|^{2} \left[\pi dn(\lambda_{\rm pr}) / \lambda_{\rm pr} \cos\theta_{\rm pr} \right]^{2} \frac{e^{-d/L^{*}} - 2\cos(\Delta Q_{\rm z}d)e^{-d/2L^{*}} + 1}{\left(d/2L^{*}\right)^{2} + \left(\Delta Q_{\rm z}d\right)^{2}} e^{-d/L_{\rm abs,pr}\cos\theta_{\rm sig}}$$

(5)

where $L^* = \left((L_{abs} \cos\theta/2)^{-1} + \left(L_{abs,pr} \cos\theta_{pr} \right)^{-1} - \left(L_{abs,pr} \cos\theta_{sig} \right)^{-1} \right)^{-1}$. For $L^* \to \infty$, which is the case when absorption can be neglected, the fraction reduces to the $[\sin(\Delta Q_z d/2)/(\Delta Q_z d/2)]^2$ term of Eq. 3. On the other hand, the limit $L^* \to 0$ can be reached either when $L_{abs} \to 0$ or $L_{abs,pr} \to 0$. In the latter case $\eta_F \to 0$ because the signal is no longer transmitted through the sample (i.e. $e^{-d/L_{abs,pr}\cos\theta_{sig}} \to 0$). In the former case, instead, Eq. 5 approximates to $\eta_F(L_{abs} \to 0) \approx (\cos\theta_{sig}/\sin\theta_{pr}) |\Delta n(\lambda_{pr})|^2 [\pi L_{abs} n(\lambda_{pr}) \cos\theta/\lambda_{pr} \cos\theta_{pr}]^2 e^{-d/L_{abs,pr}\cos\theta_{sig}}$, where the dependence on ΔQ_z drops, the dependence on $(d/\lambda_{pr})^2$ is replaced by that on $(L_{abs}/\lambda_{pr})^2$.

Fig. 3 displays some representative plots obtained from Eq. 5, to illustrate the effects of absorption in both pump and probe as well as those of ΔQ_z , as a function of sample thickness d for a given value of $|\Delta n(\lambda_{\rm pr})|^2$. In all plots we considered a set of parameters that match the Bragg condition and are typically used in EUV TG experiments at TIMER [32]: $\lambda = 39.9$ nm, $\lambda_{pr} = 13.3$ nm, $\theta = 13.8^{\circ}$ and $\theta_{pr} = 4.6^{\circ}$. The solid black curve in all panels of Fig. 3 represents the quadratic dependence of η_F on *d* resulting from Eq. 3 and from Eq. 5 when $L_{abs} \rightarrow \infty$, $L_{abs,pr} \rightarrow \infty$ and $\Delta Q_z \rightarrow 0$. The effect of pump absorption only is to limit the signal increase $\propto d^2$, which reaches a constant level for $d \gg L_{abs}$, as displayed in Fig. 3(a); here realistic values for EUV excitation ($L_{\rm abs} = 200/100/50$ nm) are used respectively in the solid, dashed and dotted red curves. The effect of the probe absorption only is shown in blue in Fig. 3(b) for $L_{abs,pr} =$ 200/100/50 nm (again as solid, dashed and dotted curves respectively) and essentially results in an exponential decrease of the signal. Since the d-dependence is stronger for the exponential decrease than for the quadratic growth, this results in $\eta_F \rightarrow 0$ for $d \gg L_{abs,pr}$ and, consequently, in an optimal sample length, i.e. a maximum in η_F vs *d* at a finite value of $d=d^*$. We note that $d^* = 2L_{abs,pr}$ when the probe absorption is the only

sizable effect in Eq. 5, a condition reached for $L_{abs} \rightarrow \infty$ and $\Delta Q_z \rightarrow 0$, while $d^* = L_{abs} \ln(3)$ when $L_{abs,pr} = L_{abs}$ and the Bragg condition holds. The combined effect of both pump and probe absorption is reported in Fig. 3(c), where the orange traces show the result obtained respectively for $L_{abs} = L_{abs,pr} = 200$ nm (solid), $L_{abs} = L_{abs,pr} = 100$ nm (dashed) and $L_{abs} = L_{abs,pr} = 50$ nm (dotted). This represents the typical situation of EUV TG experiments and determines both a large decrease in η_F and a value of d^* substantially lower than $2L_{abs,pr}$. The aforementioned order of magnitude estimate $|\Delta n(\lambda_{pr})|^2 \approx 10^{-10}$ results in $\eta_F \approx 10^{-8}$, which can yield to a tangible signal if one considers that FEL-based experimental setups can typically deliver EUV pulses with more than 10^8 photons at the sample.

In the context of typical parameter ranges for EUV TG, the effect of ΔQ_z is less relevant than the one of absorption, as evidenced by the comparison of the orange lines in Fig. 3(c) with the corresponding green ones. They are calculated from Eq. 5 assuming, on top of the absorption, a wavevector mismatch of $\Delta Q_z = 0.02 * k_{pr}$, a representative value of the bandwidth ($\Delta\lambda/\lambda \approx 2\%$) of most FEL sources. Fig. 3(d) shows the effect of ΔQ_z only, as obtained by considering $L_{abs} = L_{abs,pr} \rightarrow \infty$. Even more, for the narrowband ($\Delta\lambda/\lambda < 0.1\%$) case of FERMI, where most EUV TG experiments were performed to date, ΔQ_z does not introduce any significant effect on η_F in the Bragg geometry considered here. However, ΔQ_z can become relevant regardless of the source bandwidth when Bragg conditions are not satisfied.

Fig. 4 illustrates the dependence of η_F (normalized to its maximum value: η_F^*) on $\Delta Q_z/k_{pr}$ in a "thick" ($d = 2\mu$ m; black lines) and a "thin" sample (d = 200 nm; red traces), both neglecting or considering the pump's absorption. These plots are calculated from Eq. 5 by considering the aforementioned (Bragg) conditions, i.e: $\lambda = 39.9$ nm, $\lambda_{pr} = 13.3$ nm, $\theta = 13.8^{\circ}$ and $\theta_{pr} = 4.6^{\circ}$, with the further assumption $L_{abs,pr} \rightarrow \infty$. One can notice how the effect of L_{abs} is analogous to a reduction of d, and results in a substantial broadening in the η_F/η_F^* vs $\Delta Q_z/k_{pr}$ curve. On the other hand, the probe's absorption (not considered in Fig. 4(a)) has no



Fig. 3. (a) Dependence of $\eta_F / \Delta n^2$ on dfor $L_{abs,pr} \rightarrow \infty$, $\Delta Q_z \rightarrow 0$ and $L_{abs} =$ 200 nm (solid red curve), 100 nm (dashed red curve) and 50 nm (dotted red curve). (b) Dependence of $\eta_F / \Delta n^2$ on d for $L_{abs} \rightarrow \infty$, $\Delta Q_z \rightarrow 0$ and $L_{abs,pr} =$ 200 nm (solid blue curve), 100 nm (dashed blue curve) and 50 nm (dotted blue curve); note the maximum reached at $d = d^* = 2L_{\text{abs,pr}}$. (c) Dependence of $\eta_F / \Delta n^2$ on d for $\Delta Q_z \rightarrow 0$ and $L_{abs} = L_{abs, pr} = 200 \text{ nm}$ (solid orange curve), 100 nm (dashed orange curve) and 50 nm (dotted orange curve). The solid and dashed green curves are calculated by considering $\Delta Q_z/k_{pr} =$ 0.02 for $L_{abs} = L_{abs,pr} = 200 \text{ nm}$ and 100 nm, respectively; note the reduced vertical scale. (d) Dependence of $\eta_F / \Delta n^2$ on d for $L_{abs} = L_{abs,pr} \rightarrow \infty$ and $\Delta Q_z/k_{pr} =$ 0.02 (dashed curve) and 0.05 (dotted curve); note the expanded vertical scale. The solid black curve in all panels is the d^2 dependence of η_F , obtained for $L_{\rm abs} \rightarrow \infty$, $L_{\rm abs,pr} \rightarrow \infty$ and $\Delta Q_z \rightarrow 0$. See text for further details on the other parameters used in the calculations.



Fig. 4. (a) Dependence of η_F/η_F^* on $\Delta Q_z/k_{pr}$ (where η_F^* is the maximum value of η_F) in a thick ($d=2\mu$ m; black lines) and a thin (d=200 nm; red lines) sample, calculated by considering both $L_{abs} \rightarrow \infty$ (solid lines) and $L_{abs} = 100$ nm (dashed lines). (b) Dependence of η_F/η_F^* on θ_{pr} for $d=2\mu$ m and $L_{abs} \rightarrow \infty$ (solid black line) and for d=200 nm and $L_{abs} = 100$ nm (dashed red line); the Bragg angle is $\theta_{pr} = 4.6^{\circ}$. (c) Dependence of η_F/η_F^* on Λ_{TG} for $d=2\mu$ m and $L_{abs} \rightarrow \infty$ (solid black line) and for d=200 nm and $L_{abs} = 100$ nm (dashed red line); the Bragg conditions are meet for $\Lambda_{TG} = 83.6$ nm. The values of η_F^* are reported in the legend of the individual panels.

substantial effects on the shape of this curve, while it may have large effects on the magnitude of η_F (see Fig. 3(b)). The possibility to have tangible values of η_F far from the ideal Bragg condition often allows to simplify the experimental setup. For example, in Fig. 4(b) we plot the diffraction efficiency as a function of θ_{pr} for two conditions considered in Fig. 4(a), i.e. a "thick" sample with no absorption (black) and an absorbing "thin" sample (dashed red); the latter is the realistic case for EUV TG. One can readily notice how here there is no need to precisely control θ_{pr} , and thus respect the Bragg condition. Analogously, an appreciable signal can be detected by varying Λ_{TG} in quite a large range for a given θ_{pr} , as displayed in Fig. 4(c). Here, the curves were calculated varying λ from 70 to 7 nm and computing Λ_{TG} via Eq. 1. A better estimate for a given sample can be provided by using a λ -dependent value for L_{abs} . Moreover, we recall that all curves in Fig. 4 do not account for the probe's absorption, which is important to determine the optimal sample thickness and to estimate the absolute value of η_F . In the case of Figs. 4(b) and 4(c), the probe absorption may change the shape of the curves, since either a variation in θ_{pr} or in Λ_{TG} leads to a variation in θ_{sig} , according to Eq. 2, with a consequent effect on the signal attenuation.

We stress that the behavior illustrated in Figs. 3 and 4 is typical in the context of using EUV light in condensed matter, but it is not necessarily restricted to this spectral range, since it arises from general aspects of the TG process. For instance, the same behavior is expected in optical TG experiments from samples showing absorption lengths comparable to the laser wavelengths, such as semiconductors with resonant electronic transitions. In realistic conditions, despite some advantages related to the tolerance to large values of ΔQ_z (relaxed Bragg conditions), this situation is overall unfavorable, since absorption leads to a decrease in η_F , and thus in the experimental signal, as large as orders of magnitudes.

3.3. EUV TG signal in backward diffraction geometry

As mentioned in Section 3.1, while the backward diffracted TG signal originates from both surface displacement and the refractive index modulation in the sample, in the EUV range the contribution of the surface displacement sketched in Fig. 2(c) dominates for a non-resonant probe wavelength. The backward diffraction efficiency for a periodic surface displacement with amplitude u_z is given by:



Fig. 5. (a) Dependence of η_B on λ_{pr} displayed in a semi logarithmic scale, calculated from Eq. 6 assuming $u_z = 10$ pm and $\theta_{pr} = \theta_{sig} = 15^\circ$. Black, red and blue solid lines respectively refer to different materials: SrTiO₃, carbon and titanium; in all these cases we neglected the effect of surface roughness. Dashed and dotted lines correspond to a surface roughness of 1 nm and 3 nm (root-mean-square), respectively, for the case of SrTiO₃. The red and blue vertical segments respectively indicate the electronic core resonances of carbon and titanium. (b) Reflectivity (solid lines; left vertical scale) and absorption length (dashed lines; right vertical scale) as a function of photon wavelength in the EUV (< 1 nm) and X-ray range (>1 nm) for two representative elements: C (black lines) and Au (red lines). The photon energy scale is shown on top.

$$\eta_B = R \left(2\pi u_z / \lambda_{\rm pr} \right)^2 \cos\theta_{\rm pr} \cos\theta_{\rm sig},\tag{6}$$

where R is the sample reflectivity at λ_{pr} . Eq. 6 obviously holds for any wavelength: it can be applied to estimate the TG signal due to the surface displacement grating in the optical as well in EUV regime. However, in the optical regime, the contribution of the refractive index modulations to the backward-diffracted signal is often non-negligible or even dominating [51]. Assuming that the system is excited to the same temperature, i.e. for the same surface displacement u_z , the decrease of λ_{pr} below the optical range and towards 10s of nm range represents an advantage, because of the λ_{pr}^{-2} dependence of η_B , as already evidenced in initial optical TG pump/EUV probe experiments [42]. However, the value of R drastically reduces in the EUV range, and is much more sensitive to surface roughness. Fig. 5(a) illustrates an example of this trade-off for different samples, assuming $u_z = 10$ pm (in the same range as found in Ref. [34] for the case of SrTiO₃) and typical values of incident and diffraction angles ($\theta_{pr}=\theta_{sig}=15^\circ$), while the values of R are taken from Ref. [52]. These plots show estimated values of η_B in the order of $10^{-6} - 10^{-8}$ for $\lambda_{\rm pr} > 10$ nm, resulting in signals on the same order of magnitude as those in transmission geometry.

Fig. 5 also highlights how the efficiency of EUV TG experiments in backward diffraction becomes unfavorable on reducing λ_{pr} , since the decrease in R is no longer compensated by the shortening of λ_{pr} . Moreover, specific (material-dependent) core resonances have a drastic effect on R, resulting into the dips highlighted in Fig. 5(a) and stressing the importance of a proper choice of λ_{pr} . Another critical parameter is the surface roughness at the nm level, which can reduce R by orders of magnitude, in particular for short values of λ_{pr} (see dashed and dotted lines in Fig. 5(a); calculated from Refs. [52,53]), indicating the critical need of high-quality sample surfaces. However, even in atomically flat samples, the detrimental effect of both surface roughness and decrease in R makes EUV TG in backward diffraction hardly viable for values of λ_{pr} below a few nm (see also Fig. 5(b)). Most likely, this situation will prevent the extension of backward diffraction TG at x-ray probe wavelengths, unless considering extremely small grazing angles [54], thus practically hampering surface sensitive TG experiments in that regime. On the other hand, x-ray TG in forward diffraction is expected to become much more favorable, because the absorption length, and thus d^2 , largely increases (see Fig. 5(b)). Indeed, it is interesting to notice how the factors Ru_z^2 in Eq. 6 and $(\delta^2 + \beta^2)(\Delta \rho / \rho)^2 d^2$ in Eq. 5 essentially play the same role. Since $R \propto \delta^2 + \beta^2$, the discriminating factor that makes η_F favorable over η_B as a function of the photon wavelength, is $\eta_F/\eta_B \propto (\Delta \rho/\rho)^2 (d/u_z)^2$. Both $(\Delta \rho/\rho)^2$ and u_z^2 are driven by ΔT , i.e. by the same lattice temperature grating, and therefore do not depend on the photon wavelength, while d strongly depends on the photon absorption, as discussed above.

We recall here that a signal originating from surface displacement (or from thickness modulations) can contribute to the EUV TG signal in forward diffraction as well. For a given amplitude of the EUV induced thermal grating, one can estimate the magnitude of this contribution by comparing the refractive index modulation due to bulk density modulations $\Delta n_{bulk}^2 = (\delta^2 + \beta^2)(\Delta \rho / \rho)^2 d^2$, with the one due to either surface displacements, $\Delta n_{surf}^2 = u_z^2 (\delta^2 + \beta^2)$, or thickness modulations, $\Delta n_{thick}^2 = \Delta d^2 (\delta^2 + \beta^2)$, where Δd is the amplitude of such modulations.

3.4. The TIMER instrument

All EUV TG data shown in this work were collected at the TIMER instrument [32] of the FERMI FEL facility (Trieste, Italy), which is able to deliver intense, ultrafast and nearly Fourier transform-limited EUV pulses in the 4–100 nm wavelength range, at a repetition rate of 50 Hz [55,56]. The typical energy per pulse is 10–100 μ J, at the FEL output, while the pulse duration (Δ t_{FEL}) is about 20–70 fs full width at half

maximum (FWHM); the shorter the wavelength, the smaller are both the intensity and Δt_{FEL} . The TIMER instrument is entirely under high vacuum and is able to split the FEL beam in three parts, namely: two almost equal intensity pump beams, with a controllable time delay (in the few ps range) among them, and a weaker probe beam, with a variable time delay (Δt) in the ns range; the exact range (up to 3.5 ns) depends on the specific values of θ and θ_{pr} , while the accuracy (due to the mechanics) is of about one fs. These beams are recombined at the sample position in order to realize the geometry sketched in Fig. 1(a), with selectable values of $\theta{=}~9.2^\circ,\,13.8^\circ,\,39.5^\circ$ or 52.7° and $\theta_{pr}{=}~3.05^\circ,\,4.56^\circ,\,12.2^\circ$ or 15.5°. The spot size at the sample position is in the 50–300 μ m range for both pump and probe; the system is designed to have smaller spot sizes for larger θ . The typical range of pulse energy at the sample for the pump is 0.1–10 μ J (shorter wavelengths and larger θ result in lower intensity), while that of the probe beam is 0.1–10 µJ, and strongly depends on the chosen value of λ_{pr} (see Table I). The value of λ_{pr} can be selected in narrow bandwidths around 6 possible values: $\lambda_{pr} = 20.4$ nm, 17.7 nm, 16.6 nm, 13.3 nm, 8.34 nm and 6.71 nm that are determined by special multilayer optics in the probe's delay line. Some of these values of λ_{pr} correspond to specific core resonances in selected materials, as indicated in Table I. As discussed in Sections 3.1 and 3.2, core resonances are not relevant to address the thermoelastic response, however, they can be exploited to study the electronic and magnetic response, with the additional benefit of element selectivity [36,37]. The pump wavelength is related to λ_{pr} as: $\lambda = N\lambda_{pr}$, where N is an integer number ≥ 1 . The resulting condition $\lambda > \lambda_{pr}$ is typically advantageous, since in most of the cases this implies that $L_{abs,pr} > L_{abs}$ and thus it is possible to effectively probe the entire volume excited by the pump without a significant signal loss. The polarization of the probe beam is linear and parallel to the y axis (see Fig. 1(a) for the reference frame), while the polarization of the pump can be either linear (parallel or orthogonal to the probe one) or circular. In special conditions crossed linear polarization for the pump beams is possible. The EUV TG signal can be detected both in forward and backward diffraction in a θ_{sig} range of $\pm 45^{\circ}$; in the backward diffraction geometry, the sample is tilted by a small angle ($\approx 10^{\circ}$) in the z-y plane [34]. The system is designed such that the Bragg condition can be satisfied for N = 3, however, off-Bragg conditions can also be exploited, even if not all combination of θ and θ_{pr} are viable. A signal polarization analysis is also possible [37]. Further details on the experimental setup are reported elsewhere [32].

In light of the low transmission of materials in the EUV spectral range, as illustrated in Fig. 5(b) for Au and C (common EUV optics coatings), the TIMER instrument is fully based on reflective optics. This choice allowed to have enough transmission to enable EUV TG experiments in a wide wavelength range, that matches the source emission, i.e. $\approx 6.5 - 60$ nm. Furthermore, in such a range reflective optics allow for a tangible photon throughput (> 1%) of the beamline, despite the relatively large angles needed to reach values of Λ_{TG} as short as $\approx 10-15$ nm (see Eq. 1); we recall here that the reflectivity quickly drops both for small incidence angles and short wavelengths. The drawback of an all reflective setup, is that the two pump beams have a wavefront tilt (WFT)

Table I

Available settings for the probe beam. The first and second column report, respectively, the central value of $\lambda_{\rm pr}$ and the bandwidth for each setting, the third column is the peak transmission of the delay line (the bandwidth is determined as the FWHM with respect to $T_{\rm peak}$) and the fourth column reports the main absorption edges falling in the respective ranges in $\lambda_{\rm pr}$.

$\lambda_{\rm pr}$ [nm]	BW [nm]	Tpeak	Resonance
20.4	1.3	0.077	Co-M _{2,3}
17.7	0.6	0.093	Br-N _{4,5}
16.6	1.4	0.15	Al-L _{2,3} ; Cu-M _{2,3} Pt-N _{6,7}
13.3	0.6	0.2	Fe-M ₁ ; Kr-M _{4,5} ; Ir-O ₁
8.34	0.1	0.014	Si-L1; Gd-N4,5
6.71	0.05	0.079	-



Fig. 6. Sketch of the effective interaction region (green area) for two crossed beams with time duration Δt_{FEL} and spot size σ (red rectangles), k_{pump1} and k_{pump2} (thick black arrows) are their wavevectors. The reference frame is shown in the bottom left corner.

at the sample position equal to 20, as shown in Fig. 6, which inherently limits the effective size of the interaction region, L_{int} , i.e. the region where the EUV TG is formed.

Assuming Gaussian pump pulses of equal fluence (F), time duration given by Δt_{FEL} , and FWHM of the spot σ , even for an infinite spatial extension of the beams (i.e. $\sigma \rightarrow \infty$), the peak to valley amplitude of the TG decreases along the spatial coordinate *x* due to the delay ($\tau_{pp} = 2x \tan(\theta)/c$; with *c* the speed of light in vacuum) between two points of the wavefront away from the center of the spot (see Fig. 6). Therefore, the spatial extension of the interaction region is limited to: $L_{int} \leq c\Delta t_{FEL}/\tan(\theta)$. If F_{pr} and σ_{pr} are, respectively, the fluence and the FWHM spot size of the (Gaussian) probe, the diffraction efficiencies (Eqs. 5 and 6) have to be multiplied by the following factor:

$$\xi = \frac{\int F^2 e^{-8\ln(2)x^2/L_{int}^2} F_{pr} dx dy}{\int F^2 F_{pr} dx dy} = \left(1 + \frac{2\sigma_{pr}^2}{\sigma^2} + \frac{2\sigma_{pr}^2}{L_{int}^2}\right)^{-1/2} \left(1 + \frac{2\sigma_{pr}^2}{\sigma^2}\right)^{-1/2}$$
(7)

to account for the finite size of the beams and of $L_{\rm int}$ in such a WFT geometry, where we assumed round spots (same FWHM along x and y), i. e.: $F \propto e^{-4\ln(2)x^2/\sigma^2}$ and $F_{pr} \propto e^{-4\ln(2)x^2/\sigma_{pr}^2}$. Eq. 7 indicates that ξ is significantly reducing the signal only when either σ or $L_{\rm int}$ are comparable to or smaller than $\sigma_{\rm pr}$. For example, $\xi < 0.2$ for typical values at the TIMER instrument: $L_{\rm int} \approx 15$ –150 µm and $\sigma_{pr} \approx \sigma \approx 30$ –300 µm (see also Table II and Table III). We note that these values of $L_{\rm int}$ are sufficiently large to prevent the TG signal decay due to the propagation of acoustic waves outside the interaction region [57]. Even for a large propagation velocity (say 15 km/s) such a decay occurs over 1–10 ns, which is outside the Δ t range accessible by the instrument.

We note that for the relatively large values of θ needed to achieve short values of Λ_{TG} , an increase in L_{int} can be obtained by increasing Δt_{FEL} , if ultrafast time resolution is not required. This is typically not a stringent requirement for detecting the thermoelastic response, even for Λ_{TG} in the tens of nanometers range. On the other hand, when ultrafast time resolution is required, such as for studying electronic and magnetic response with resonant probes, the WFT inherently limits the time resolution. To reach the 1–10 fs level (in principle possible at FERMI) the spot sizes should be reduced to the μ m level, with the appropriate attenuation when sample damage does not allow to exploit the total photon flux delivered by the source. A solution to overcome the WFT issue and the trade-off between increasing the size of the interaction region vs preserving the temporal resolution, would be the implementation in the EUV of an approach based on diffractive optics, which is commonly used in the optical regime [58] and may represent the next step for the development of EUV/soft x-ray TG. A similar scheme (Talbot carpet) has been attempted in the x-ray range with an optical probe [59].

Eqs. 4–7 are useful tools to estimate the TG efficiency due to the thermoelastic response, which in the EUV range exploitable by the TIMER instrument we roughly estimate to be in the $\eta_{B,F} \approx 10^{-7} - 10^{-10}$ range. Such equations can also be applied to other spectral ranges, and are particularly useful when the absorption lengths are comparable with other experimental length-scales such as Λ_{TG} , λ_{pr} and d. We notice that Eqs. 5 and 7 arise only from finite absorption and experimental geometry considerations and, therefore, are not restricted to the context of thermoelasticity.

4. Experimental results

Fig. 7 displays representative EUV TG data collected in the forward diffraction geometry from different samples, namely: amorphous Si₃N₄ (panels (a) and (b) [4,14]), amorphous metallic alloy Zr₆₅Cu_{27,5}Al_{7,5} (panels (c) and (d)) and crystalline atomic metal Co (panel (e)); the last two samples were grown on a thin Si₃N₄ membrane. The total thickness of all samples was sufficiently small (< 150 nm) to permit transmission of the signal beam. Data are plotted in terms of the estimated, time dependent, diffraction efficiency ($\eta_F^*(\Delta t)$) as obtained from the nominal conversion of detector counts into photons (the detector is a CCD camera, Princeton Instruments MTE 2048B) and the estimated transmission of the beamline including filters. These are placed both along the beam path and in front of the detector, to remove spurious components in the FEL emission and background light. The beamline and filter transmission are the largest source of uncertainty, since deviations from the nominal values as large as 50% were observed due to progressive damaging and contamination from residual gas pressure in the vacuum vessels. The acquisition time for a signal waveform ranges from about one to a few hours. Experimental data have been collected in different conditions, summarized in Table II. Since data were mostly collected in the commissioning and initial operation phase of the instrument, it was not yet possible to evaluate all the beamline and FEL parameters that were later on deemed necessary from experience. Therefore, the comparison between the magnitude of $\eta_F^*(\Delta t)$ and the values expected from the above equations should be kept on a qualitative level.

In general, the efficiency of the EUV TG signals in Fig. 7 matches the aforementioned order of magnitude estimate ($\eta_{B,F} \approx 10^{-7} - 10^{-10}$). The lower intensity signal from the insulating sample Si₃N₄ (Figs. 7(a)- 7(b)) with respect to the metallic alloy (Figs. 7(c)- 7(d)) can be explained in terms of the larger value of α_v expected for metals. The data in Fig. 7(c) is obtained with a much smaller value of ξ due to an exceptionally large size of the probe spot in that specific experiment. Nevertheless, its comparison with the signal in Fig. 7(d) demonstrates how a small ξ can

Table II

Summary of experimental and sample (membranes) parameters for data shown in Fig. 7.

Fig.	Material	d [nm]	$\Lambda_{TG}[nm]$	F [mJ/cm ²]	λ_{pr} [nm]	L _{abs} [nm]	L _{abs,pr} [nm]	ξ	$\Delta Q_z/k_{pr}$
7(a)	SiN	100	28	22	13.3	118	118	0.19	0.08
7(b)	SiN	100	84	3.9	13.3	17	118	0.19	$< 10^{-3}$
7(c)	Zr ₆₅ Cu _{27.5} Al _{7.5} /SiN	39/100	24	7.3	13.3	12	68	0.004	$< 10^{-3}$
7(d)	Zr ₆₅ Cu _{27.5} Al _{7.5} /SiN	39/100	84	0.9	13.3	12	68	0.1	$< 10^{-3}$
7(e)	Co/SiN	20/100	44	15	20.8	12	12	0.1	0.01

Table III

Summary of experimental and sample parameters for data shown in Fig. 8; to calculate R we assumed a roughness equal to 1 nm (root-mean-square) for SiO_2 membrane, which is typical of this type of samples, 3.5 nm for $YBa_2Cu_3O_7$, according to a characterization measurement done with an atomic force microscopy, while for the other samples we assumed a negligible effect, which is likely in highly polished bulk materials.

Fig.	Material	d [nm]	$\Lambda_{TG}[nm]$	F [mJ/cm ²]	λ_{pr} [nm]	R [10 ⁻⁴]	L _{abs} [nm]	L _{abs,pr} [nm]	ξ	$\Delta { m Q}_z/k_{pr}$
8(a)	SiO ₂	100	84	1.8	13.3	n. a.	17	84	0.1	$< 10^{-3}$
8(b)	SiO ₂	100	84	1.8	13.3	0.64	n. a.	n. a.	0.1	n. a.
8(c)	SiO ₂	Bulk	84	0.9	13.3	1.5	n. a.	n. a.	0.14	n. a.
8(d)	YBa2Cu3O7	Bulk	69	2.4	16.5	0.007	n. a.	n. a.	0.074	n. a.
8(e)	TiO ₂ :Ta ₂ O ₅	500	56	0.35	13.3	8.2	n. a.	n. a.	0.14	n. a.
8(f)	SrTiO ₃	Bulk	84	0.65	13.3	6.6	n. a.	n. a.	0.1	n. a.



Fig. 7. Circles connected by lines are the EUV TG signal in forward diffraction as a function of Δt for amorphous Si₃N₄ at $\Lambda_{TG} = 28$ nm (panel (a)) and at $\Lambda_{TG} = 84$ nm (panel (b)) [4,14], Zr₆₅Cu_{27.5}Al_{7.5} at $\Lambda_{TG} = 24$ nm (panel (c)) and at $\Lambda_{TG} = 84$ nm (panel (d)), and Co at $\Lambda_{TG} = 44$ (panel (e)). The inset in the latter panel shows, in a semi logarithmic scale, the signal waveform on a longer range in Δt ; we vertically offset $\eta_F^*(\Delta t)$ by a factor 10^{-9} to fit in the logarithmic vertical scale. See Table II and main text for sample parameters and experimental conditions. The insets in panels (a)-(c) show the Fourier spectrum of signal waveforms, after subtracting the slow thermal decay, the labels v_{LA} and $2v_{LA}$ in the inset of panel (c) indicate the single and double frequency peaks, both due to the same LA mode (see text for discussion). Panel (f) displays data from panels (a)-(d), keeping the same color code, in a narrower range in Δt and with the amplitude scaled to the maximum of the first signal oscillation.

be compensated with a larger fluence F. Finally, the signal waveform in Fig. 7(a) shows how a reasonable EUV TG signal can be detected even for $\Delta Q_z/k_{pr} \approx 0.08$ (see Table II). As discussed referring to Fig. 4(a), this is possible because of the strong EUV absorption and, indeed, the TG signal would be essentially negligible in a non-absorbing sample.

The Δ t-dependencies of the EUV TG signal waveforms showed in Fig. 7 reflect the time dependence of the factor $|\Delta n(\lambda_{\rm pr})|^2$ in Eqs.3 and 5. For panels (a)-(d) it consists of a slow decay of $\eta_F^*(\Delta t)$, which can be ascribed to thermal transport over a characteristic distance $L_{th} = \Lambda_{TG}/\pi$ [60], modulated by oscillations due to propagation of acoustic phonons, i.e.:

$$\eta_F^*(\Delta t) \propto \left| A_{th} \mathrm{e}^{-\Delta t/\tau_{th}} - \sum_i A_i \cos(2\pi\nu_i \Delta t) \mathrm{e}^{-\Delta t/\tau_i} \right|^2, \tag{8}$$

where τ_{th} is the thermal decay constant, while ν_i and τ_i are, respectively, the phonon frequency and lifetime of the ith phonon mode in the

waveform [4,14,34]. Data in Figs. 7(a)-7(d) illustrate how there is no qualitative difference between the signal from insulating (7(a) and 7(b)) and metallic samples (7(c)-7(d)), as expected according to the previous discussion. This makes EUV TG a useful tool to study the thermoelastic response in bulk metals, where optical TG experiments are hardly feasible, with the additional possibility to access the nanoscale with ultrafast time resolution; a detailed analysis of the thermoelastic response from atomic metals will be the subject of a separate manuscript.

Data in Figs. 7(a) and 7(b) were collected at different values of Λ_{TG} from nominally the same sample, i.e. a 100 nm thick membrane of amorphous Si₃N₄, and show the role of the ratio d/Λ_{TG} . As discussed in Ref. [14,35,61], for $2\pi d/\Lambda_{TG} > 10$ the thermoelastic response of a membrane can be approximated by that of the bulk ($d \rightarrow \infty$), which, in amorphous samples and in the employed geometry, results in a single LA phonon mode [62]. This is the situation of Fig. 7(a), where $2\pi d/\Lambda_{TG} \approx 22$ and the Fourier spectrum of the signal waveform (shown in the inset)

features a single peak matching the expected LA frequency at the employed value of Λ_{TG} . Conversely, in Fig. 7(b) $2\pi d/\Lambda_{TG} \approx 7.5$ and the signal exhibits a clear beating. Such beating arises from two Lamb modes of the membrane with similar frequency (see inset for the Fourier spectrum), both close to the LA frequency and with a frequency splitting determined by the coupling between LA and transverse acoustic (TA) modes. This coupling permits to gain information on nanoscale phonons of both LA and TA nature. A detailed discussion on the effects of the parameter $2\pi d/\Lambda_{TG}$ in amorphous Si₃N₄ is reported in Refs. [14,35]. The same phenomenon is also responsible for the complex waveform in Fig. 7(d) compared to the one in Fig. 7(c). In this case the sample is a bilayer consisting of a 39 nm thick amorphous metallic alloy (Zr₆₅Cu_{27,5}Al_{7,5}) deposited on a 100 nm membrane of amorphous Si₃N₄. Indeed, for $2\pi d/\Lambda_{TG} \approx 2.9$ (Fig. 7(d)), the signal shows a complex beating pattern, most likely arising from coupling between LA and TA modes in the bi-layer system, while for $2\pi d/\Lambda_{TG} \approx 10$ (Fig. 7(c)) the signal waveform essentially contains a single oscillation frequency, compatible with the LA mode. Note that in the latter case a component at twice the frequency can be faintly perceived for $\Delta t > 40$ ps and is evident in Fourier spectrum (shown in the inset). This is not related to an additional phonon mode, but to the decay of the thermal response occurring before that of the oscillatory component. Indeed, in case of a single phonon mode, when the thermal decay is over $(e^{-\Delta t/\tau_{th}} \rightarrow 0)$ Eq. 8 reduces to $\eta_{\mathbb{R}}^*(\Delta t) \propto |\cos(2\pi\nu_i \Delta t)|^2$, which shows oscillations at $2\nu_i$.

The data in Figs. 7(a)- 7(d) were collected with EUV photon energies far from any core resonances of the materials. Therefore, we do not observe any noticeable signal from the initial electronic population grating (see sketch in Fig. 2(a)). Conversely, data in Fig. 7(e) were acquired with the probe tuned to an electronic core-hole transition (Co Medge; $\lambda_{pr} = 20.8$ mn) and illustrate how this enables to detect the electronic response in addition to the thermoelastic one. The electronic response is dominant on the fast time scale and approximatively 50 times brighter than the amplitude of the first thermoelastic oscillation (i. e. the EUV TG signal in the 5–25 ps range, as shown in the inset); it has a rise time on the order of Δt_{FEL} , compatible with the experimental time resolution (< 100 fs), and a decay time of about 250 fs, in the order of typical electron-phonon relaxation timescales (see sketch in Fig. 2(a)). In order to better highlight such a substantially different response, we show in Fig. 7(f) the signal waveforms displayed in Figs. 7(a)–7(d) on a shorter Δt range. One can readily notice how the EUV TG signal slowly rises (slower with respect to the $\Delta t=0$ peak in Fig. 7(e)), following a sinusoidal waveform and reaching a maximum corresponding to half of the acoustic period, which depends on the given material and on Λ_{TG} .

Fig. 8 shows some EUV TG data collected in the backward diffraction geometry under different experimental conditions, except for panel (a) that reports a signal waveform collected in the forward geometry. The experimental and sample details are summarized in Table III. Data are plotted in terms of the estimated, time dependent diffraction efficiency $(\eta_B^*(\Delta t))$, obtained as explained above for $\eta_F^*(\Delta t)$ and under the same considerations. The acquisition time for a signal waveform is similar to that in the forward diffraction geometry, i.e. from about one hour to a few hours.

Figs. 8(a) and 8(b) compare EUV TG signal waveforms at Λ_{TG} = 84 nm, collected respectively in forward and backward diffraction geometry, from the same 100 nm thick membrane of amorphous SiO₂. These data were collected one right after the other, in the same experimental conditions. It can be readily noticed how the magnitude of $\eta_F^*(\Delta t)$ and $\eta_B^*(\Delta t)$ is of similar order, while the oscillation frequency substantially changes (note the same horizontal scale), matching accordingly the expected frequency of SAW and LA modes. This is due to the fact that EUV TG in backward diffraction is mainly sensitive to surface displacement, whose dynamics is dominated by SAWs, while in



Fig. 8. Circles connected by lines are the EUV TG signal from different samples, namely: amorphous SiO₂ at Λ_{TG} = 84 nm, collected in both forward (a) and backward (b) and (c) diffraction; see text for further details. Inset of panel (c) shows the full decay of the SAW. (d) EUV TG signal in backward diffraction from a polycrystalline YBa₂Cu₃O₇ sample at Λ_{TG} = 69 nm. (e) EUV TG signal in backward diffraction from a TiO₂:Ta₂O₅ mirror used in the Virgo apparatus [63], in this case Λ_{TG} = 56 nm. (f) EUV TG signal in backward diffraction from single crystalline SrTiO₃ at Λ_{TG} = 84 nm; the inset shows the tail of the signal revealing the double frequency response for Δt > 250 ps) [34].

forward diffraction the signal is dominated by LA modes (bulk density modulations). These plots demonstrate how EUV TG experiments performed in both forward and backward diffraction geometry can be exploited to detect bulk and surface phonons from the same sample with comparable efficiency. Another advantage of backward diffraction in the regime of strong absorption is to remove the need of thin samples. In many practical cases the surface of bulk samples can be polished to reach a roughness better than membranes, resulting in higher reflectivity (see Fig. 3(a)) and thus larger signal levels. An example of this situation is evident when comparing the SiO₂ membrane signal of Fig. 8(b) with Fig. 8(c), where the EUV TG signal was collected from an ultra-polished surface of bulk SiO2. It can be readily seen that in this case the signal level is larger and the overall quality of the measurement superior. Such conditions allow to detect low signal levels and thus to reliably monitor, e.g., the signal decay, as shown in the panel inset. Additionally, many samples cannot be fabricated in the form of sub-um membranes, as for instance polycrystalline YBa2Cu3O7 (Fig. 8(d)), or one may need to study a specific sample designed for other purposes, as it was the case of the titania-doped-tantala mirrors (TiO₂:Ta₂O₅, concentration ratio Ti/Ta = 0.21, annealed in air for 10 h at 500 °C) used in the Virgo apparatus (Fig. 8(e)) [63] and produced by the Laboratoire des Matériaux Avancés of the CNRS [64] as the standard for the LIGO-Virgo collaboration.

As a time-domain approach, EUV TG can detect long time dynamics, such as phonon decays, better than frequency resolved approaches. This capability was used, for example, to determine the lifetime of high frequency SAWs in SrTiO₃, as discussed in Ref. [34] and shown in Fig. 8(f). The transition from a signal waveform oscillating at Ω , which is mainly due to the thermal decay modulated by the SAW, to a 2 Ω signal, which appears when the thermal decay is completely over ($\Delta t > 250$ ps) and reflects the pure SAW response, can be clearly seen in the inset. Since LA, TA and SA waves show linear dispersions as a function of the inverse TG period, i.e. $\Omega \propto \Lambda_{TG}^{-1}$, the use of EUV TG allows to probe a frequency range largely exceeding the one accessible by optical methods. Furthermore, in the context of SAWs, EUV TG represents a unique tool, since neither thermal neutrons nor x-rays can effectively detect such surface modes (despite a few attempts [65]), because of their large penetration depth, while both electron and He atoms spectroscopies have limited resolution and dynamic range.

The experimental results presented in this section demonstrate how the EUV TG approach can be applied to the investigation of different materials. EUV TG also enables to study the wavevector dependence of physical properties at the nanometer scale. For example, in Ref. [4] it was found that the thermal grating decay in crystalline silicon at Λ_{TG} = 110 nm is an order of magnitude slower than predicted based on the macroscopic thermal diffusivity value. The departure from the diffusive heat transport regime occurs as the mean free path of heat carrying phonons becomes comparable to the characteristic length scale of heat transfer, i.e. Λ_{TG}/π [3]. While the optical TG measurements with Λ_{TG} in the micrometer range revealed the onset of the diffusive-ballistic transition [3], EUV TG measurements will potentially allow to trace this transition all the way to the ballistic limit. In contrast, in amorphous Si₃N₄ the wavevector dependence of EUV TG data down to $\Lambda_{TG} = 28$ nm indicates a thermal transport process compatible with a diffusive regime, similarly to what observed at macroscopic scales [4]. Fig. 8(a) shows an EUV TG signal waveform from amorphous SiO₂; the analysis of additional data recently collected over larger ranges in both Δt (up to 500 ps) and Λ_{TG} (down to 17 nm) in order to determine the wavevector dependence of sound attenuation is currently underway. We expect to see a transition from a phonon attenuation regime featuring a strong temperature dependence, observed at lower wavevectors probed by Brillouin light scattering [66,67] to an almost temperature-independent behavior in the large wavevector range ($>1 \text{ nm}^{-1}$) probed by inelastic x-ray scattering [68]. The interpretation of this transition is still debated, with a possible explanation in terms of the interplay between anharmonicity and disorder-induced Rayleigh scattering [69]. These

mechanisms dominate, respectively, at long and short wavelengths, with a crossover expectedly occurring in the 10 nm range. EUV TG thus holds the potential to probe the relevant range, allowing us to clarify the nature of acoustic phonon lifetime in amorphous solids.

5. Conclusion and perspectives

In this manuscript we summarize the experience acquired from EUV TG experiments aimed at studying the thermoelastic response of solids in the sub-100 nm length-scale that were carried out at the TIMER instrument of the FERMI FEL in the last 5 years. We focus on the peculiarities related to the use of EUV light both for TG excitation and probing, in both forward and backward diffraction geometry. In the first case these peculiarities are related mainly to the strong absorption of EUV photons in condensed matter, while in the latter they are related to the behavior of EUV reflectivity. Furthermore, we also discuss the effects of the finite beam size and of the interaction region, in the context of the employed experimental geometry, which introduces a wavefront tilt between the crossing pump pulses. All these considerations are useful to evaluate the efficiency of EUV TG experiments, but since they essentially arise from general aspects of the TG process, can also be applied to optical TG and can be relevant, e.g., when either the pump or probe beam (or both) are strongly absorbed by the sample.

We show some selected EUV TG data to illustrate the reliable capability of EUV TG of probing bulk, confined and surface phonon modes, as well as thermal transport, in the sub-100 nm scale, from both insulating and metallic samples, without any need of nanostructuring or contacting the sample. Such a capability can be profitably exploited in several fields, such as high frequency surface waves, structural dynamics in disordered systems or phonon engineering in nanostructures. The comparison between the experimental and expected signal is quite satisfactory, though the partial control over the experimental parameters in the early operation phase did not really allowed for a quantitative comparison. Nowadays, this control has been improved by better diagnostics and procedures. Moreover, EUV optics able to provide tighter focusing of the probe (to reach values of $\xi \approx 1$) are under evaluation. Finally, we mention the capability to exploit electronic core-hole transitions to probe the ultrafast electronic or magnetic responses, in addition to the thermoelastic one, with the added value of element specificity. This is an example of application which was not anticipated at the beginning of the project. Similarly, since optical TG is widely applied to disparate fields, we expect that other types of experiments exploiting the capability to generate sub-100 nm TGs, may be devised in the near future.

A couple of considerations are worth mentioning in the context of future developments for EUV/x-ray TG spectroscopies. Firstly, the aim of pushing TG to shorter spatial scales could be achieved by exploiting new mirror coating technologies that would allow to push the TIMER instrument towards shorter wavelengths. This would also be beneficial for spectroscopy, since it could potentially open the investigation of relevant core-hole resonances such as the L-edges of 3d transition metals around 1-2 nm or the K-edges of C, N and O around 2-4 nm that are fundamental for the investigation of organic compounds. Changing completely the experimental paradigm, for instance moving from fully reflective to diffractive setups, would enable x-ray-based TG, where the strong reflectivity drop prevents the exploitation of reflective geometries. Wavelengths on the order of few Å could potentially enable the exchanged momentum to reach the Brillouin zone and beyond, and thus investigate collective excitations such as charge density waves. Moreover, the limitations in the signal intensity due to strong absorption are expected to be strongly mitigated, at the price of much stricter constraints dictated by phase matching. In addition, the extended access to deeper and sharper core transitions will improve element specific spectroscopy. As a drawback, the decrease in reflectivity will hamper the capability of performing TG in backward diffraction, thus limiting x-ray TG to bulk spectroscopy. Mastering diffractive setups would also

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mitigate, if not completely suppress, the problematics associated with wavefront tilt, thus preserving the temporal resolution required to investigate dynamics occurring within the initial steps of electronic excitation.

The second consideration pertains to the possibility of extending EUV TG to a broader community, currently limited by the very competitive access to FEL facilities. New HHG sources might be soon capable of generating TGs at least at the longer EUV wavelengths. This would be sufficient to reach periodicities around 100 nm on table-top setups, with the additional benefit of high repetition rate improving drastically the signal-to-noise ratio and strongly reducing acquisition times. With the same goal in mind, and especially for the investigation of slower thermoelastic responses, it would be interesting to consider exploring synchrotron facilities operating in time-resolved mode. Indeed, they could provide sufficiently short pulses (few ps), very high (GHz) repetition rates, translating in an average power comparable to the one of FELs, to a very broad and experienced community.

Declaration of Competing Interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Maznev, Occhialini, Li, Comin, Nelson report financial support was

Appendix

Derivation of Eqs. 3 and 5

We start with a thin grating of the complex refractive index $\Delta n(\lambda_{pr})\cos qx$ and thickness *d*.. The electric field amplitude in the incident probe beam (polarized along y) is given by

 $E_{pr} = e^{-ik\sin\theta_{\rm pr}x - ik\cos\theta_{\rm pr}z}$

Where $k = 2\pi n (\lambda_{pr})/\lambda_{pr}$ and θ_{pr} are the wavevector magnitude and the incidence angle of the probe beam *in the medium*. At the output of the thin grating, positioned at $z = z_1$, the electric field is given by

$$F = e^{-ik\sin\theta_{\rm pr}x - ik\cos\theta_{\rm pr}z_1 - ikd\Delta n(\lambda_{\rm pr})\cos qx/\cos\theta}$$

(S1)

Assuming that $kd\Delta n(\lambda_{pr})$ is infinitesimally small, we expand $e^{-ikd\Delta n(\lambda_{pr})\cos qx/\cos \theta}$ in a Taylor series and retain only the first order terms, obtaining:

$$E = e^{-ik\sin\theta_{\rm pr}x - ik\cos\theta_{\rm pr}z_1} \left[1 - \frac{ik\Delta n(\lambda_{\rm pr})d}{2\cos\theta_{\rm pr}} \left(e^{iqx} + e^{-iqx} \right) \right]$$
(S2)

Consequently, the diffracted field at $z > z_1$ is given by a superposition of three plane waves with x components of the wavevector $k\sin\theta_{\rm pr}$ (0th diffraction order), $k\sin\theta_{\rm pr} + q$ and $k\sin\theta_{\rm pr} - q$ (±1 diffraction orders). Let us consider one of these diffraction orders propagating at an angle $\theta_{\rm sig}$ such that $k\sin\theta_{\rm sig} = k\sin\theta_{\rm pr} + q$. The electric field in this diffraction order is given by:

$$E_{\rm sig} = -\frac{ik\Delta n(\lambda_{\rm pr})d}{2\cos\theta_{\rm pr}} e^{-i\Delta Q_z z_1} e^{-ik\sin\theta_{\rm pr}x - ik\cos\theta_{\rm sig}z}$$
(S3)

where $\Delta Q_z = k(\cos\theta_{\rm pr} - \cos\theta_{\rm sig})$.

Considering that the incoming probe field E_{pr} and the outgoing signal field E_{sig} have different widths, the diffraction efficiency of a thin grating is given by

$$\eta = \frac{\cos\theta_{\rm sig}}{\cos\theta_{\rm pr}} \frac{|E_{\rm sig}|^2}{|E_{\rm pr}|^2} = \frac{\cos\theta_{\rm sig}}{\cos\theta_{\rm pr}} |\Delta n(\lambda_{\rm pr})|^2 \left[\frac{\pi n(\lambda_{\rm pr})d}{\lambda_{\rm pr}\cos\theta_{\rm pr}}\right]^2,\tag{S4}$$

where we have substituted $k = 2\pi n(\lambda_{pr})/\lambda_{pr}$. Note that Eq. S4 corresponds to the limit of Eq. 3 for $d \rightarrow 0$.

Let us now consider diffraction by a TG in a thick slab extending from $z_1 = 0$ to $z_1 = d$, with the refractive index modulation $\Delta n(\lambda_{pr})$ in the TG having a depth profile determined by the absorption length of the pump pulse intensity $L_{abs}cos\theta$,

$$\Delta n(\lambda_{\rm pr}) = \Delta n_{z=0}(\lambda_{\rm pr})e^{-z/L_{\rm abs}\cos\theta}$$

We represent our thick slab as consisting of an infinite number of thin gratings, whose diffracted fields add up. If the absorption of probe light in the slab is disregarded, then in order to calculate the diffracted field, we need to integrate Eq. S3 over z1 from 0 to d. This would result in Eq. 3.

If the absorption is not negligible, we need to introduce additional factors accounting for the attenuation of the probe field before it comes to a

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Data Availability

Data will be made available on request.

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given thin grating located at z_1 ($e^{-z_1/2L_{abs,pr}\cos\theta_{pr}}$) as well as for the attenuation of the diffracted field before it leaves the slab, ($e^{-(d-z_1)/2L_{abs,pr}\cos\theta_{pr}}$), i.e.:

$$E_{\rm sig} = -\frac{ik\Delta n(\lambda_{\rm pr})d}{2\cos\theta_{\rm pr}}e^{-ik\sin\theta_{\rm pr}x - ik\cos\theta_{\rm sig}z} \int_0^d e^{-i\Delta Q_z z_1} e^{-z_1/L_{\rm abs,pr}\cos\theta_{\rm pr}} e^{-(d-z_1)/2L_{\rm abs,pr}\cos\theta_{\rm sig}} dz_1$$
(S5)

The integration yields

$$E_{\rm sig} = \frac{k\Delta n(\lambda_{\rm pr})d}{2\cos\theta_{\rm pr}} e^{-ik\sin\theta_{\rm sig}x - ik\cos\theta_{\rm sig}z} \frac{e^{-d/(2L_{\rm abs.pr}\cos\theta_{\rm pr})} \left(e^{-i\Delta Q_z d - d/2L^*} - 1\right)}{\Delta Q_z d - id/2L^*},\tag{S6}$$

Where
$$L^* = \left((L_{abs}\cos\theta/2)^{-1} + (L_{abs,pr}\cos\theta_{pr})^{-1} - (L_{abs,pr}\cos\theta_{sig})^{-1} \right)^{-1}$$
. The final result is then:
 $\cos\theta_{sig} \left| E_{sig} \right|^2 = \cos\theta_{sig} \left| \frac{1}{2} \left(\cos\theta_{sig} + 1 - \frac{1}{2$

$$\eta_F = \frac{\cos\theta_{\rm sig}}{\sin\theta_{\rm pr}} \frac{\left|E_{\rm sig}\right|^2}{\left|E_{\rm pr}\right|^2} = \frac{\cos\theta_{\rm sig}}{\sin\theta_{\rm pr}} \left|\Delta n(\lambda_{\rm pr})\right|^2 \left[\pi dn(\lambda_{\rm pr})/\lambda_{\rm pr} \cos\theta_{\rm pr}\right]^2 \frac{e^{-d/L^*} - 2\cos(\Delta Q_z d)e^{-d/2L^*} + 1}{(d/2L^*)^2 + (\Delta Q_z d)^2} e^{-d/L_{\rm abs,pr} \cos\theta_{\rm sig}}.$$
(5)

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Laura Foglia got her bachelor at the University of Genova in 2009 and the Physik Diplom at the Freie Universität Berlin in 2011. She then received her PhD from the Technische Universität Berlin in 2015. Her doctoral studies on the development of Electronic sum frequency generation as a diagnostic of interfacial charge transfer in semiconducting hybrid organicinorganic heterostructures were performed in the Physical Chemistry department of the Fritz Haber Institute of the Max Planck Society. Since 2016 she is a staff scientist at the FERMI FEL facility (Elettra, Italy) where she investigates the extension of non-linear optical techniques to short wavelengths.



Riccardo Mincigrucci graduated in Physics from the University of Perugia in 2011. He then got his PhD in Physics from the same university in 2015 with a thesis based on his doctoral studies performed at the FERMI Free Electron Laser where he continued working first as a PostDoc and now as a permanent staff scientist.



Alex Maznev received Diploma in physics from the Moscow Institute of Physics and Technology and PhD from the General Physics Institute of the Russian Academy of Sciences (thesis on laser-generated SAWs including the first observation of surface phonon focusing). He held postdoctoral positions at the Freie Universität Berlin, as a Humboldt Fellow, and at MIT, where he developed an optical heterodyning scheme for laser-induced transient grating experiments currently used in many labs. Subsequently, he worked as an industrial researcher developing photoacoustic metrology systems for semiconductor in dustry, before returning to MIT as a staff scientist. His current interests involve a range of topics pertaining to wave propa-

gation phenomena, primarily in acoustics and related fields such as phonon-mediated heat transport on micro/nanoscale.



Giacomo Baldi is associate professor at the Physics Department of the University of Trento, Italy. He is the head of the laboratory of "Structure and Dynamics of Disordered Systems". During his career, he has been working as a postdoctoral fellow at the inelastic X-ray scattering beamline of the European Synchrotron Radiation Facility (France) and as a CNR (National Research Council of Italy) researcher. His research interests include the sound propagation and damping in solids, the vibrational properties of glasses and the investigation of the relaxation processes of supercooled liquids. He teaches solid state physics, optics and spectroscopy at the bachelor and master level within the course in physics.



Flavio Capotondi graduated in Physic at Trieste University in 2000. In 2005 he got the PhD in Physic at the University of Modena and Reggio Emilia. Since 2008 he is senior beamline scientist at Elettra Sincrotrone Trieste leading the scientific activity of the DiProI beamline. His main research focus is the development of novel experimental schemes for the applications of free electron laser radiation to study the magnetic, electronic and acoustic response of solid-state matter at short time-scale, extending the limit of classical optical laser approaches to the extreme ultraviolet spectral region.

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Federico Caporaletti got his Ph.D. degree in Physics from the University of Trento (IT) in 2020. He is currently a postdoctoral fellow at the Van der Waals-Zeeman Institute and Van 't Hoff Institute for Molecular Sciences of the University of Amsterdam. His research interests include the structure and dynamics of supercooled liquids across liquid-to-glass and liquid-liquid transitions, which he investigates via ultra-fast spectroscopy and X-ray inelastic scattering.



Jiarui Li received the Ph.D. degree in Physics from Massachusetts Institute of Technology, Cambridge, USA, in 2022. He is currently working as a Postdoctoral researcher in SLAC National Accelerator Laboratory and Stanford. His research interest is in discovery, understanding, control, and application of novel physical phenomena in oxide-based correlated quantum materials.



Riccardo Comin is an Associate Professor of Physics at MIT. He pursued doctoral studies at the University of British Columbia, Canada, earning a PhD in 2013. From 2014 to 2016 he has been an NSERC postdoctoral fellow at the University of Toronto. His group's research explores the novel phases of matter that can be found in electronic solids with strong interactions, also known as quantum materials. The Comin lab adopts a combination of synthesis, scattering, and spectroscopy to study electronic symmetry breaking and emergent phases of matter and their excitations in quantum solids. Among the systems of interest in his group are transition metal-based compounds hosting exotic phases of quantum matter including high-temperature superconductivity, 2D magnetism, and charge/spin-density-

waves.



Dario De Angelis obtained his PhD in Physics at the University of Trieste in 2018, under the supervision of Prof. A. Baraldi, focusing on the development of metal-oxide nanoclusters- and graphene-based nanostructured heterogeneous photocatalysts. After one year dedicated to the development of high-gain lownoise avalanche photodiodes at the same physics department, he started his current activity as a postdoctoral researcher at the FERMI free electron laser facility at Elettra. His research interest covers XUV-matter interactions in both solids and liquid systems. The main topics of his work are thermoelasticity, photoacoustics and magneto-optical dynamics. From the technical standpoint, he is working on the implementation

of a correlation spectroscopy method at the EIS-TIMEX beamline.



Ryan Duncan obtained his bachelor's degree in Chemistry & Physics from Harvard University in 2013, and his master's degree in Materials Science from the University of Cambridge in 2014. He received his PhD in 2020 from the Massachusetts Institute of Technology, where he studied solid-state acoustics and thermal energy transport using laser-based optical methods. He is currently a postdoctoral scholar at Stanford University and the SLAC National Accelerator Laboratory, where he studies nonequilibrium structural dynamics using ultrafast x-ray sources.



Danny Fainozzi graduated in Physics at the University of Trieste in 2017. He then received his PhD in Condensed Matter Physics from the University of Trieste in 2021. His PhD studies on the development of Four Wave Mixing (FWM) Techniques in the Extreme Ultraviolet and Hard X-rays regimes using the state of the art of Free Electron Lasers were performed at the FERMI FEL (Elettra, Italy) and at SwissFEL (PSI, Switzerland). Since 2021 he is a Postdoctoral researcher at the TIMER beamline at the FERMI FEL facility where he investigates the extension of FWM techniques to short wavelengths.



Alessandro Martinelli got his PhD in Physics in 2021 at the University of Trento (Italy) with a thesis on slow density relaxations in colloidal and structural glasses under equilibrium and non-equilibrium conditions. He is currently postdoc at the University of Padova (Italy), department of Physics and Astronomy "Galileo Galilei" and his research is focused on the study of the dynamical properties of amorphous systems at the nanometer length-scale and below, in particular oxide glasses close to the glass transition temperature probed with x-ray based techniques.



Claudio Masciovecchio is an experimental physicist with a track record that includes more than 200 articles in peer reviewed journals and over 100 invited talks and plenary lectures at international congresses. His expertise ranges from the study of liquids to samples in extreme thermodynamic conditions and from nanomaterials to biophysics. He has a wellestablished experience in the development, construction and exploitation of complex instrumentation for research in physics, chemistry and biology as demonstrated by the international awards received (Kai Siegbahn Prize (Uppsala, Sweden, 2012); Innovation Award on Synchrotron Radiation (Berlin, Germany, 2015); Outstanding Scientist Award (Bari, Italy, 2016)). He is/

has been principal investigator of a number of projects as, for instance, an ERC grant devoted to develop ultrafast nonlinear optics with chemical selectivity. He is currently the general Director of the FERMI free electron laser.



Giulio Monaco is Professor of experimental Condensed Matter Physics at the Physics and Astronomy Department 'Galileo Galilei' of the University of Padova, Italy. His research activity is mainly focused on the study of the dynamical response of liquids and glasses from the high-frequency (THz) range typical of phonon-like excitations to the low-frequency (sub-Hz) one typical of relaxation processes. These studies are based on experiments which cover the macroscopic scale and the microscopic, atomic scale and are therefore carried out both with optical spectroscopies in the laboratory and with X-rays at large-scale facilities as synchrotron centers and free-electron lasers.



Alessandra Milloch received her master's degree in physics at the University of Trieste in 2020. Her thesis work, entitled "Nanoscale thermoeleasticty in mechanically confined systems", was dedicated to the investigation of the thermal and acoustic behaviour of SiN thin films and metallic glass bilayers by means of EUV-TG performed at the FERMI FEL. She is now a PhD student at Univeristà Cattolica del Sacro Cuore where she employs multi-dimensional ultrafast optical spectroscopy to the study of strongly correlated materials.

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Keith Nelson is the Haslam and Dewey Professor of Chemistry at MIT. He has worked to advance photoacoustics experimental capabilities including optical excitation and measurement of longitudinal, transverse, and surface acoustic waves (illustrated on mask) spanning MHz-GHz frequency ranges, nondestructive optical generation of nonlinear acoustic waves, and generation of shock waves. He has applied the methods to the study of crystalline phase transitions and viscoelastic liquids, the characterization of thin film properties, and the study of shock-induced chemical and structural transformations. His work broadly includes fundamental study of light-matter interactions and far-from-equilibrium material behavior induced

by optical or terahertz-frequency light fields or by nonlinear acoustic waves.



Connor A. Occhialini received a B.Sc. Degree in Physics and Mathematics from the University of Connecticut, Storrs, CT in 2018. He is currently a fifth year Ph.D. student in the Photon Scattering Group of Riccardo Comin at the Department of Physics, Massachusetts Institute of Technology, Cambridge, MA



Alberto Simoncig is a staff member of the PADReS group, the FERMI FEL division in charge for the x-rays optics research and development activities. Alberto studied Physics and received a Ph.D. in 2010 at the University of Trieste. As a postdoctoral researcher he spent several years working at i) the Max Planck Institute for the Structure and Dynamics of Matter in the DESY campus (Hamburg), ii) the FERMI FEL in Trieste and iii) the Laboratory for Quantum Optics of the University of Nova Gorica (Slovenia).



Flavio Travasso is researcher of Physics at the University of Camerino and works in the field of gravitational waves collaborating with the Virgo, KAGRA and ET experiments. In particular, he is involved in the research of new materials and solutions to improve the sensitivity of current and future GWinterferometers in order to explore an ever greater portion of the universe. He also worked on Energy Harvesting and in particular on the conversion of seismic vibrations into energy for powering micro-sensors.



Matteo Pancaldi received his Ph.D. degree from the University of the Basque Country (Spain) in 2018, after working on a project devoted to the study of square artificial spin ice systems. Subsequently, he moved to Stockholm University (Sweden), UDCM group, for analyzing the ultrafast magnetization dynamics driven by intense THz pulses. Currently, he is postdoc researcher at the Ca' Foscari University of Venice (Italy) in the framework of a project related to inertial spin dynamics in metallic ferromagnets, whose ultrafast behavior will be investigated in collaboration with the FERMI free-electron laser source (Trieste, Italy), where he spent two years working at the DiProI beamline.



Björn Wehinger is beamline scientist at the European Synchrotron Radiation Facility in Grenoble, France. He investigates spin and lattice dynamics in quantum materials with special focus on low-dimensional systems and extreme conditions. Björn graduated at University of Stuttgart, Germany and obtained his PhD from University of Grenoble. For postdoctoral research he moved to University of Geneva, Switzerland where he worked on low-dimensional quantum systems within a Marie Skłodowska-Curie fellowship co-founded by the Paul Scherrer Institute. He then moved to FERMI at Elettra Sincrotrone Trieste, Italy, where he worked on extreme ultraviolet transient gratings within a fellowship appointed by Ca'Foscari

University of Venice, Italy and funded by the European Research Council within a Starting Grant entitled "Magnetic speed limit".



Emanuele Pedersoli is a scientist at the DiProl beamline of the FERMI free electron laser at Elettra – Sincrotrone Trieste, collaborating whit several international research groups to perform experiments in different topics ranging from coherent diffraction imaging and resonant holography to ultrafast magnetic dynamics and extreme ultraviolet transient grating investigation. In his early academic career, he also developed experience in the fields of ultrafast laser nonlinear optics and multi-photon photoemission spectroscopy.



Marco Zanatta is an associate professor in experimental physics of matter at the Department of Physics of the University of Trento. His work is focused on the experimental study of structure and dynamics in disordered systems: glasses, colloids and liquids. Research topics include the vibrational dynamics of glasses, glass transition, stability and crystallization, and the atomic dynamics in microgels. He is also interested in the development of instrumentation for neutron scattering as well as in the integration of Raman spectroscopy with other techniques.



Jacopo Stefano Pelli Cresi received his Ph.D. in Physics and Nanoscience from the University of Modena and Reggio Emilia in 2018. Since 2016, he is working on ultrafast time-resolved spectroscopies. He spent two years (2020–21) as postdoc at the Free Electron Laser FERMI in Trieste on the Timex and Timer beamlines. Since 2022, he has joined the Istituto Italiano di Tecnologia (IIT) in Genova working on photophysics in the strong coupling regime.



Filippo Bencivenga earned his Ph.D. degree in physics from University Joseph Fourier (Grenoble, France) in 2006. He developed his research path at the European Synchrotron Radiation Facility (Grenoble, France) and at the Elettra Synchrotron (Trieste, Italy), across different subject areas, ranging from high frequency dynamics in supercritical fluids, to transient grating spectroscopy and ultrafast science. Since 2010 he is a staff scientist at the FERMI FEL facility (Elettra, Trieste, Italy). His current scientific interests are in the field of nanoscale transport phenomena, ultrafast magnetism and the development of the transient grating approach into the extreme ultraviolet and X-ray spectral range

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