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Improved outcome following allogeneic stem cell transplantation in chronic myeloid leukemia is associated with higher expression of *BMI-1* and immune responses to *BMI-1* protein

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Abstract

BMI-1 and *EZH2* are polycomb group (PcG) proteins which maintain self-renewal of stem cells, and are overexpressed in leukemia. To investigate the potential of PcG proteins as leukemia-associated antigens, and targets for graft-versus-leukemia (GVL) effects, we studied cells from 86 chronic myeloid leukemia (CML) patients and 25 HLA-A*0201⁺ sibling donors collected prior to allogeneic stem cell transplantation (SCT). Although *BMI-1* overexpression in CD34⁺ cells of CML patients treated with pharmacotherapy is associated with poor prognosis, we found, conversely, that in CML patients treated with SCT, a higher expression of *BMI-1*, and correspondingly lower expression of its target for repression, *CDKN2A*, is associated with improved leukemia-free survival. Cytotoxic T lymphocyte (CTL) responses to *BMI-1* peptide were detected in 5 of 25 (20%) donors, and 8 of 19 (42%) HLA-A*0201⁺ CML patients. *BMI-1* generated more total and high avidity immune responses, and was more immunogenic than *EZH2*. PcG-specific CTLs had memory phenotype, were readily expanded in short-term cultures, and were detected post-SCT in recipients of PcG-specific CTL-positive donors. A higher *BMI-1* expression in CML CD34⁺ progenitors was associated with native *BMI-1* immune responses. These immune responses to PcG proteins may target leukemia stem cells and have relevance for disease control by GVL.

Keywords

Chronic myeloid leukemia; *BMI-1*; graft-versus-leukemia effect; leukemia-associated antigens

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Conflict of interest

The authors have no competing financial interests in relation to the work described.

Introduction

The polycomb group (PcG) proteins BMI-1 and EZH2 are key regulators of transcription as members of polycomb repressive complexes (PRC) which primarily exert repression of downstream genes. Their activity controls numerous aspects of mammalian cell function, in particular those associated with cell fate, self-renewal and ageing(1, 2). Of these two PcG proteins, BMI-1 has been more widely studied in normal and cancerous hematopoietic cells(3). In normal hematopoiesis, BMI-1 is responsible for the maintenance of long-term self-renewing capacity in hematopoietic stem cells in mice(4–6) as well as in humans(7). In leukemic stem cells, knock-down of BMI-1 causes apoptosis and reduced self-renewal(8). BMI-1 has recently been reported to collaborate with BCR-ABL in leukemic transformation(9). The expression of *BMI-1* is higher in CD34⁺ cells from chronic myeloid leukemia (CML) patients than those from healthy individuals, and *BMI-1* expression also increases with the progression of disease from chronic phase (CP) to advanced phase(10, 11). A high expression of BMI-1 in solid tumors(12) and leukemias(10, 13, 14) is associated with more rapid disease progression and poor outcome, implying that an increased level of stem-ness conferred by BMI-1 contributes to leukemogenicity and refractoriness to conventional cytotoxic treatment(3). However, in the setting of allogeneic stem cell transplantation (SCT), a higher expression of *BMI-1* in pre-transplant CML cells was found to be associated with superior survival due to a lower incidence and severity of acute graft-versus-host disease (GVHD) in a cohort of patients transplanted in CP-CML (15).

In view of the importance of PcG proteins in leukemia stem cell function, and maintenance(4, 16), and their higher expression in leukemic stem cells compared with normal hematopoietic stem cells, they would be ideal leukemia-associated antigens. Immune responses to BMI-1 and EZH2 have been described in solid tumors(17) and leukemias(18), but their general relevance to disease outcome has not been clearly defined. Here, we describe cytotoxic T lymphocyte (CTL) responses to peptides derived from BMI-1 and EZH2 in patients with CML and their healthy HLA-identical sibling donors. A higher expression of *BMI-1* and correspondingly lower expression of its target for repression *CDKN2A* encoding the tumor suppressor protein p16INK4A, in CML cells pre-SCT was associated with reduced disease-associated death, and improved leukemia-free survival and overall survival post-SCT. We found that immune responses to BMI-1 and EZH2 also occur post-SCT, suggesting that they may be relevant for disease control by graft-versus-leukemia (GVL) effects.

Materials and Methods

Patients and healthy controls

All consecutive CML patients who received T-cell depleted SCT from their HLA-identical sibling between September 1993 and May 2006 in the Hematology Branch, National Heart, Lung and Blood Institute, with available pre-SCT biological material were studied. The pre-SCT disease status of either CP or advanced phase (AdP, accelerated and blast phase) was determined using the International Bone Marrow Transplant Registry criteria(19). All patients and donors gave written informed consent before enrolling in myeloablative (n=84) or non-myeloablative (n=2) Institutional Review Board (IRB)-approved transplantation

protocols, details of which have been reported previously(20–22). Current leukemia free survival (LFS) was defined as the survival without evidence of leukemia at the time of the most recent assessment(23). Diagnosis and staging of acute and chronic GVHD was graded according to standard criteria(24, 25). Immune responses were studied in cells from HLA-A*0201⁺ patients pre-SCT (n=19) and healthy sibling donors (n=25). Furthermore, 8 patients with sufficient biological material were studied post-SCT, and 8 HLA-A*0201⁺ healthy blood donors were assessed for short-term expansion of leukemia-associated antigen (LAA)-specific CTL.

Sample preparation

Patient cells from leukapheresis products, except in nine patients where bone marrow cells were used, were collected within two months prior to SCT. Donor leukaphereses were collected prior to stem cell mobilization. Post-SCT patient cells were obtained from venous sampling at designated timepoints during clinic follow-up. Mononuclear cells (MNCs) from either leukapheresis product, bone marrow harvest or venous whole blood were isolated using Ficoll-Hypaque density gradient centrifugation (Organon Teknika, Durham, NC) and cryopreserved in RPMI1640 supplemented with 20% fetal calf serum and 10% dimethyl sulfoxide. For gene expression studies, CD34⁺ cells from thawed MNCs were selected by binding to immunomagnetic beads (MiniMACS, Miltenyi Biotec, Auburn, CA) according to the manufacturer's instructions as previously reported(20). Total RNA was extracted from CD34⁺ and CD34-negative cells using the RNeasy kit (Qiagen, Valencia, CA) and reverse transcription performed with the Advantage RT-for-PCR kit (Clontech, Mountain View, CA). In HLA-A*0201⁺ patients and donors, MNCs were thawed, washed and resuspended in complete media (CM) (RPMI1640 supplemented with 10% pooled human AB serum (Sigma-Aldrich, St Louis, MO)). High resolution HLA Class I typing was performed by sequence-specific polymerase chain reaction (PCR) using genomic DNA (HLA Laboratory, Department of Transfusion Medicine, NIH, Bethesda, MD). The presence of immunoglobulin-G (IgG) and IgM cytomegalovirus (CMV) antibodies in the samples was analyzed by passive latex agglutination (CMVSCAN kit; BD Becton Dickinson Microbiology System, Cockeysville, MD) according to the manufacturer's instructions.

Peptide synthesis

Peptides were manufactured by Biosynthesis (Lewisville, TX) to a minimum purity of 95%. The identity of each peptide was confirmed by mass spectrometry. Two peptides each derived from BMI-1 (BMI-1_{74–82}; TLQDIVYKL [BMI-T] and BMI-1_{271–279}; CLPSPSTPV [BMI-C]) and EZH2 (EZH2_{666–674}; YMCSFLFNL [EZH-Y] and EZH2_{734–742}; SQADALKYV [EZH-S])(17, 18) were tested. Comparative studies were performed with peptides from two well-characterized LAAs - Wilms' tumor 1 (WT1_{126–134}; RMFPNAPYL) and proteinase 3 (PR1_{169–177}; VLQELNVTV)(26). In patients and donors who had CMV antibodies, the CMVpp65 -derived peptide NLVPMVATV was used as positive control.

Peptide-HLA Class I dextramer complexes and immunophenotyping

Freshly thawed MNCs from HLA-A*0201⁺ patients and donors were stained with peptide/HLA Class I dextramer complexes for 30 min according to the manufacturer

(Immunodex, Dako, Glostrup, Denmark)'s instructions using allophycocyanin (APC)-conjugated BMI-C/HLA-A*0201 or EZH-Y/HLA-A*0201, phycoerythrin (PE)-conjugated BMI-T/HLA-A*0201 or EZH-S/HLA-A*0201, with positive control dextramer complexes of CMVpp65-derived peptides NLVPMVATV/HLA-A*0201-APC and VLEETSVML/HLA-A*0201-PE and negative controls human immunodeficiency virus (HIV) envelope-derived peptides SLYNTVATL/HLA-A*0201-APC and ILKEPVHGV/HLA-A*0201-PE. Between $1 - 3 \times 10^6$ MNCs were stained in 100 μ L 5% FCS/phosphate buffered saline (PBS) at room temperature. Subsequently, cells were stained with a titrated panel of directly conjugated monoclonal antibodies to CD3, CD4, CD8, CD27, CD45RO (all from BD Biosciences, San Jose, CA) using fluorescein isothiocyanate (FITC), peridinin chlorophyll protein (PerCP), PE-Cy7, APC-H7 and Pacific Blue as fluorochromes. An amine-reactive dead cell stain detected in the ultra-violet channel (BIVID, Molecular Probes, Invitrogen, Carlsbad, CA) was used to gate out non-viable cells. A minimum of 0.5×10^6 cells were acquired using the LSRII flowcytometer on the FACSDiva software (BD Biosciences, San Jose, CA). All individuals studied were serologically negative for HIV antibodies, and a 2-fold or greater response in comparison to the negative control (HIV dextramers) was defined as a positive immune response.

Detection of functional antigen-specific CD8⁺ T cells

MNCs from HLA-A*0201⁺ patients and donors were rested overnight at 37°C in CM after thawing. Costimulatory antibodies anti-CD28 and 49d, (1 μ g/mL, Fastimmune, BD Biosciences), monensin (Golgistop, BD Biosciences), brefeldin (10 μ g/mL, Sigma-Aldrich, St Louis, MO) and CD107a-FITC (BD Biosciences) were added. The cells were loaded with 0.1 and 10.0 μ M of test peptides BMI-T, BMI-C, EZH-Y and EZH-S. Negative control consisted of unstimulated cells, and two positive controls, Staphylococcus enterotoxin B (SEB) (1 μ g/mL, Toxin Technology, Sarasota, FL) and the CMVpp65 -derived peptide NLVPMVATV, were included for each assay. Cells were incubated for 5 hours at 37°C, and then washed and stained with CD3-FITC, CD8-PerCP and BIVID, fixed and permeabilised and subsequently stained for intra-cellular cytokines with monoclonal antibodies against interferon (IFN)- γ , tumor- necrosis factor (TNF)- α , interleukin (IL)-2, IL-4, IL-10 conjugated with APC or PE (all from BD Biosciences).

Real-time quantitative reverse-transcription polymerase chain reaction (RQ-PCR)

TaqManTM Assays-on-demandTM probe-and-primer reagents (Applied Biosystems, Foster City, CA) for *BMI-1*, Hs00180411_m1, *EZH2*, Hs01016789_m1, and *CDKN2A*, Hs00233365_m1 were used according to the manufacturer's instructions. *WT1* and *BCR-ABL* expression were measured as previously described for assessment of minimal residual disease post-SCT(26). *ABL* expression was used as the endogenous cDNA quantity control as previously described(27). All reactions were performed in triplicate on 10 μ L volume using standard conditions with 40 cycles of amplification using the ABI PRISM 7900 sequence detection system.

Short-term expansion of BMI-1 and EZH2-specific CD8⁺ T cells in vitro and IFN- γ ELISPOT assay

The frequency of antigen-specific CTLs in freshly thawed MNCs and in MNCs expanded for seven days *in-vitro* was assessed using a modification of the ELISPOT assay as comprehensively described previously (28, 29). Cells were plated in 96-well round bottom microtiter plates (100,000 MNCs or 25,000 CD8⁺ T-cells/well) and loaded with test peptides (0.1, 1.0 and 10 μ M), or medium alone as negative control. Test peptides BMI-T, BMI-C, EZH-Y, EZH-S, PR1, WT1 and control peptides gp100_{209–217(210M)} and CAP1-6D (irrelevant HLA-A*0201-binding peptide- negative controls), and CMVpp65 -derived peptide NLVPMVATV (positive control for CMV-responsive patients/donors) were added directly to each well. Cells were harvested on day 7 for detection of IFN- γ by ELISPOT analysis, and weekly subsequently to assess longer-term expansion following weekly restimulation with the relevant peptide. A positive ELISPOT response was defined as fulfilling 3 criteria: (a) at least 20 spots per 100,000 MNCs or 25,000 CD8⁺ T-cells, (b) at least 2-fold the number of spots in background negative control wells, and (c) significant by t-test ($p < 0.05$), in the presence of viable negative and positive controls.

Statistical methods

Patient groups were compared using the χ^2 test for categorical data or Mann-Whitney *U* test for continuous data. Survival curves were calculated using the Kaplan-Meier method and patient groups were compared using the log-rank test. Patients were divided into groups delineated by the median cutoffs of RQ-PCR expression values for *EZH2*, *BMI-1* and *CDKN2A*. RQ-PCR results from CD34⁺ and CD34-negative populations in patient samples were compared using Spearman's ρ . *P*-values were from two-sided tests with values < 0.05 considered significant. Data analysis was performed using SPSS 14 for Windows software (SPSS, Chicago, IL) and GraphPad Prism 4.0 (GraphPad Software, San Diego, CA).

Results

Transplant outcome

The characteristics of the patients included in this study, conditioning regimens, GVHD prophylaxis and parameters of transplant outcome have been reported in detail recently (20). In brief, this cohort of CML patients received T-cell depleted grafts (containing $0.2 - 2 \times 10^5$ /kg T cells) from their HLA-identical sibling donors. The median follow-up time for this cohort after SCT was 62.6 months (range 0.5 – 159.2 months). Patients were transplanted at a median of 12.8 months from diagnosis (range, 1.6–147 months). According to IRB-approved protocols(30), in the absence of GVHD or unless molecular remission was documented, $1 - 5 \times 10^7$ /kg T-cells were added back on day 30–60 ($n=67$) or day 100 ($n=14$). Only 12 patients had T-cells added at both timepoints. On multivariate analysis, the factors associated with improved leukemia free survival (LFS) and overall survival (OS) in this cohort of CML patients were disease phase, CD34⁺ cell dose of the graft and lymphocyte count at Day 30 post-SCT(21). In particular, there was no difference in LFS, OS or relapse rate post-SCT between older and newer transplant protocols, despite modest improvements in transplant-related mortality with the era of transplantation. In this cohort, we had previously reported that a higher expression of *PR3* or *ELA2* in pre-SCT leukemic

CD34⁺ cells of AdP-CML patients was associated with a better outcome post-transplantation. However, *PR3* and *ELA2* expression were not prognostic in CP-CML patients(20).

BMI-1, EZH2 and CDKN2A expression as predictors of SCT outcome in CML patients

Biological material for isolation of CD34⁺ cells was available in 82 of 86 CML patients pre-SCT, of which 51 were in CP and 31 AdP-CML (6 blast phase, 25 accelerated phase) at the time of SCT. The expression of *EZH2*, *BMI-1*, and its target for repression, *CDKN2A* (which encodes for p16INK4A) was highly correlated in CD34⁺ and CD34-negative cells ($p < 0.005$, Supplementary Figure 1). In a univariate analysis of all CML patients in this cohort, a lower *CDKN2A* expression in CD34-negative cells was associated with greater incidence of chronic GVHD (cGVHD) (25/32 patients below median value with cGVHD [limited = 20, extensive = 5] vs. 16/34 above median with cGVHD [limited = 13; extensive = 3], $p = 0.009$). However, there was no corresponding association of *BMI-1* or *EZH2* expression with either acute GVHD or cGVHD in the entire cohort. In a logistic regression model, death-related-to-disease (DRD) was lower in patients with low *CDKN2A* in either CD34⁺ or CD34-negative populations ($p = 0.0001$ for both). Correspondingly, a lower *CDKN2A* in both the CD34⁺ population ($p = 0.013$ and $p = 0.005$, respectively) and CD34-negative population ($p = 0.03$ and $p = 0.048$, respectively) was associated with superior LFS and OS in the entire cohort of CML patients. In subgroup analysis of CP-CML patients, a higher expression of *BMI-1*, and accordingly, lower *CDKN2A* was associated with improved LFS ($p = 0.019$ and $p = 0.011$, respectively) and OS ($p = 0.005$ and $p = 0.006$, respectively). Transplant-related mortality (TRM) was also lower in CP-CML patients with high *BMI-1* ($p = 0.0058$) (Figure 1). There were no significant associations of *EZH2* expression with disease outcome.

Ex vivo detection of CD8⁺ T-cell responses directed against HLA-A*0201-restricted BMI-1 and EZH2 epitopes

All HLA-A*0201⁺ patients and donors with available biological material were studied for immune responses to BMI-1- and EZH2-derived peptides. BMI-1-specific CTL responses were detected in 8 of 19 (42%) HLA-A*0201⁺ CML patients before SCT, and 5 of 25 (20%) healthy sibling donors. Of the two BMI-1 derived peptides, nine CTL responses were to BMI-T and eight to BMI-C. Four individuals had CTL responses to both BMI-1 peptides. On the other hand, native EZH2-specific CTL responses were detected in 6 of 19 (32%) CML patients and 3 of 25 (12%) healthy donors. There were eight EZH-Y-CTL responses and four EZH-S-CTL responses, with three individuals having CTL responses to both EZH2 peptides (Table 1). Polyfunctionality of CTLs was studied in 14 patients and 4 donors where sufficient biological material was available. The majority of detected PcG-specific CTLs were interferon- γ producing only upon exposure to the relevant peptide. However, in the minority, TNF- α and CD107a responses were also detected (Figure 2). IL-2, IL-4 or IL-10 responses were not detected in samples with concordant interferon- γ , TNF- α or CD107a responses to specific peptides.

Immune responses to PcG-specific peptides were studied in eight patients with available biological material from the first 120 days post-SCT. Four patients received SCT from donors with PcG-CTL responses (UPN 25, UPN 262, UPN 273 and UPN 365), three had

donors without PcG-CTL responses (UPN 469, UPN 483 and UPN 283) and one patient (UPN 199) did not have available donor material for study. PcG-specific CTLs were detected post-SCT in 4/4 patients whose donors had detectable PcG-CTLs, and in none of the patients whose donors did not have PcG-CTLs. Donor myeloid and T cell chimerism was achieved in the time period studied, suggesting that post-SCT PcG-CTL responses were donor-derived. These PcG-CTLs were of memory phenotype and could be cultured short-term in ELISPOT assays (Figure 3). However, in three patients (UPN19, UPN 262, UPN273) with available biological material, PcG-CTL responses were no longer detectable in the later period post-SCT (at 13, 12 and 10 months post-SCT respectively) in the absence, or reduction of residual leukemia by PCR in these patients. Concordance of the ELISPOT assay with the detection of PcG-specific CTLs using intracytoplasmic cytokine or dextramer staining was found in 75% of individual responses (9 patients, 7 healthy donors) (Supplementary Table 1). In 50% (5/10) of individuals where direct ex-vivo immune responses to PcG-peptides were detected, PcG-specific CTLs could also be expanded in short-term 7-day cultures. In two of three patients (UPN 273, UPN 365 and UPN 319) with adequate biological material, expansion of PcG-specific CTLs were detected beyond two weeks culture. CML patients whose donors had immune responses to BMI-1 peptides had improved leukemia-free survival post-SCT compared with those whose donors had no BMI-1 immune response (80% vs 60%); however, as this was a small cohort, this difference was not statistically significant.

CTL responses to two concentrations of peptide were studied to assess the avidity of responses. A high avidity CTL response was defined as that provoked by a low concentration of peptide (0.1 μ M), whereas a low avidity response required the higher concentration of 10 μ M peptide as previously reported(28, 31). More high avidity responses were found upon stimulation with BMI-1 peptides compared to EZH2-peptides. BMI-1 peptides generated the greatest frequency of high avidity CTL responses (Figure 4). There was no appreciable association of PcG expression on CML cells, or CML disease phase with the frequency of higher or lower avidity CTL responses. As PcG protein expression is important in the maintenance of self-renewal in leukemia stem cells, a relationship between the expression of *BMI-1* and *EZH2* in CD34⁺ CML cells and PcG-specific CTL responses was sought. There was a significantly higher expression of *BMI-1* in CD34⁺ cells of patients with detectable BMI-1-CTL responses (p=0.02). On the other hand, there was no significant association between *EZH2* expression and *EZH2*-CTL responses (Supplementary Figure 2).

Comparison of immune responses to BMI-1 and EZH2 with established leukemia-associated antigens

In an additional 8 healthy HLA-A*0201⁺ blood donors, the frequency of immune responses to BMI-1 and EZH2 was compared to that obtained with well-characterized LAAs WT1 and PR1 peptides using ELISPOT assay for short-term peptide-specific CTL expansion weekly for up to six weeks. Under the same conditions, the short-term expansion of PcG-specific CTLs was not inferior to that obtained by cells stimulated with WT1 or PR1. Five of 8 (63%) healthy blood donors mounted immune responses to BMI-1 peptides, and 3 to EZH2 peptides. WT1- and PR1-specific CTL expansions were found in 2 and 3 donors respectively (Figure 5).

Discussion

The treatment and cure of CML by SCT over the last few decades is based on the unique susceptibility of this leukemia to immune attack(32). Although tyrosine kinase inhibitors (TKIs) are now the frontline treatment for CML, a significant proportion of CP patients do not achieve optimal responses and require alternative therapy(33). Furthermore, even patients who obtain a good response to TKIs remain at risk of drug resistance, and disease progression to AdP-CML as leukemic stem cells are not adequately targeted by TKIs (34, 35). An important aspect of CML immunotherapy research is to identify epitopes on leukemia stem cells, which are of relevance to disease biology. As PcG proteins, especially BMI-1, are increasingly overexpressed with disease progression in CML(10), and essential for CML stem cell maintenance(8), we selected to study immune responses to defined peptides derived from PcG proteins BMI-1 and EZH2(17) in both CML patients and healthy donors. The frequency of immune responses to PcG peptides was comparable to those found for other LAAs such as proteinase 3, WT1(36, 37), and preferentially expressed antigen of melanoma (PRAME)(28). Of the PcG protein-derived peptides studied, BMI-1 peptides appeared more immunogenic, generating greater frequencies of total as well as higher avidity responses compared to EZH2 peptides. Notably, a higher expression of *BMI-1*, and correspondingly lower expression of its target for repression, *CDKN2A*, in CML cells were both significantly associated with better leukemia-free survival post-SCT. These findings suggest that higher expression of BMI-1 on CML cells may render them more susceptible to GVL effects contributed by immune responses to BMI-1, and may improve CML elimination in a post-SCT setting. BMI-1-specific immune responses may be particularly relevant as BMI-1 is both important in CML stem cell self-renewal and maintenance(8), and is progressively overexpressed in AdP(10). As our cohort is relatively small, these findings were not significant in multivariate analysis, and the impact of BMI-1 may have been obscured by the powerful predictors of disease phase, CD34⁺ cell dose of the graft and lymphocyte count at Day 30 post-SCT(21). Our patients, who received T-cell depleted SCT, did not have an association of *BMI-1* expression with acute GVHD, contrary to T-replete SCT patients in CML(15). Nevertheless, the role of immune responses, as opposed to the indirect predictive power of the presence or absence of GVHD, as prognostic factors for relapse in SCT requires prospective study in a larger patient cohort.

It is notable that unlike *BMI-1* and its direct target for repression, *CDKN2A*, the expression of *EZH2* was not associated with outcome. We found that the pattern of *EZH2* expression post-SCT did not consistently correlate with *BCR-ABL*, *WT1* or *BMI-1* expression in the limited number of patients who could be studied (Supplementary Figure 3). Recent transgenic mouse studies dissecting the function of individual members of polycomb repressive complexes (PRC) found that the relationship between BMI-1 and EZH2 (components of PRC1 and PRC2 respectively) is not necessarily hierarchical in hematopoietic stem cells (38). However, *EZH2* overexpression was found in cells from CML patients with primary resistance to imatinib,(39) thus validating the targeting of EZH2-overexpressing cells, which may help eliminate clones which have the propensity to primary TKI resistance.

Although we demonstrated low frequency of PcG-specific CTLs concordantly by both ex-vivo detection and short term culture in the majority of healthy donors and patients, and expanded them for several weeks, we were unable to establish T cell lines from individuals with PcG-specific CTLs. Other investigators demonstrated leukemia-specific killing using BMI-1-specific T cell lines derived from fresh MNCs of healthy donors(18). Thus, although we could not perform direct cytotoxicity assays using cells from individuals in our cohort, we can assume that the PcG-specific CTLs we found have relevance for GVL effects based on existing reports from other groups(17, 18). In many of the individuals studied, both PcG-specific and CMV-specific (positive control) CTL responses comprised both CD8^{high} and CD8^{low} cells. CD8 is a critical coreceptor in T-cell receptor (TCR) complex formation during T cell activation, and prolonged encounter with specific antigen has been shown in previous studies of acute and chronic infection to induce CD8 downregulation as a mechanism to control the activation signal through the TCR-CD8 complex (40, 41). CTLs specific for other LAAs such as WT1 and PRAME also comprise CD8^{low} populations, which have been shown to have high avidity for the cognate antigen (28, 37). We found that the frequency of PcG-specific CTLs post-SCT tended to correlate with the expression of PcG proteins. Thus, in the post-SCT period when the disease burden was low, with the decrease of antigenic stimulus, CTLs fall below the level of detection(42). As previously reported for other LAA-specific CTLs, we detected immune responses to BMI-1- or EZH2-specific CTLs in the post-SCT patients receiving steroid therapy for GVHD in doses less than 1mg/kg prednisolone(42).

LAA immune responses directed towards autologous proteins such as PcG proteins, proteinase 3 or WT1, expressed by a proportion of normal cells, but overexpressed by leukemia cells, may theoretically give rise to auto-immune damage to normal tissues, or become tolerized. Unlike immune responses to proteinase 3, where there is an inverse correlation between leukemic expression of proteinase 3 and native PR1-specific response, suggesting that tolerance may be shaped against the protein (20, 31), we found that a higher expression of *BMI-1* in CML CD34⁺ progenitors is associated with a higher incidence of native BMI-1-specific immune responses. Thus, epitopes derived from BMI-1 may be less tolerogenic in vivo in patients with established disease. In comparison to CTLs directed against other LAAs(28), we found polyfunctional PcG-specific CTLs in a proportion of individuals with immune responses, secreting not only IFN- γ but also TNF- α and showing evidence of degranulation in response to PcG-derived peptides. These findings support the notion that PcG-specific CTLs exert significant immune responses. Despite their low frequencies, the finding that an appreciable proportion of PcG-specific CTLs have a central memory phenotype indicate that they retain the potential to further expand and proliferate. We found PcG-specific CTLs in healthy donors, and in a proportion similar to that described for other LAAs(28). These donors had normal peripheral blood counts and were able to donate sufficient numbers of stem cells for their sibling's SCT, leading to good engraftment, suggesting that the presence of PcG-specific CTLs did not affect the functionality of normal hematopoietic stem cells.

Our findings have several therapeutic implications. Firstly, although SCT is used less frequently to treat CML nowadays, transplantation still has an important place in the

management of patients resistant to TKI and in AdP disease. The increased leukemic expression of PcG proteins in high risk SCT recipients makes them relevant antigens for GVL. The close association of PcG proteins with stem-ness and the maintenance of a leukemic functional phenotype render PcG-derived antigens significant biological targets. Results from a recent clinical trial on adoptive immunotherapy demonstrated the ability of resistant leukemia to escape potent allogeneic CTLs through the generation of functional leukemic clones downregulating the targeted CTL epitope, which subsequently caused patient death from disease relapse(43). Targeting of proteins essential to leukemia stem cell survival would strengthen immunotherapeutic strategies. Secondly, for CML patients with persisting molecular disease after TKI treatment, enhancing immune responses against PcG-proteins with vaccine therapy may help eliminate residual leukemia. Thus PcG protein-derived antigens, in particular BMI-1 epitopes, deserve further study as targets for immunotherapy in CML, as immune responses against these, described by our group and others as occurring in healthy individuals, have the potential to augment current treatments by targeting leukemia stem cells.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1A

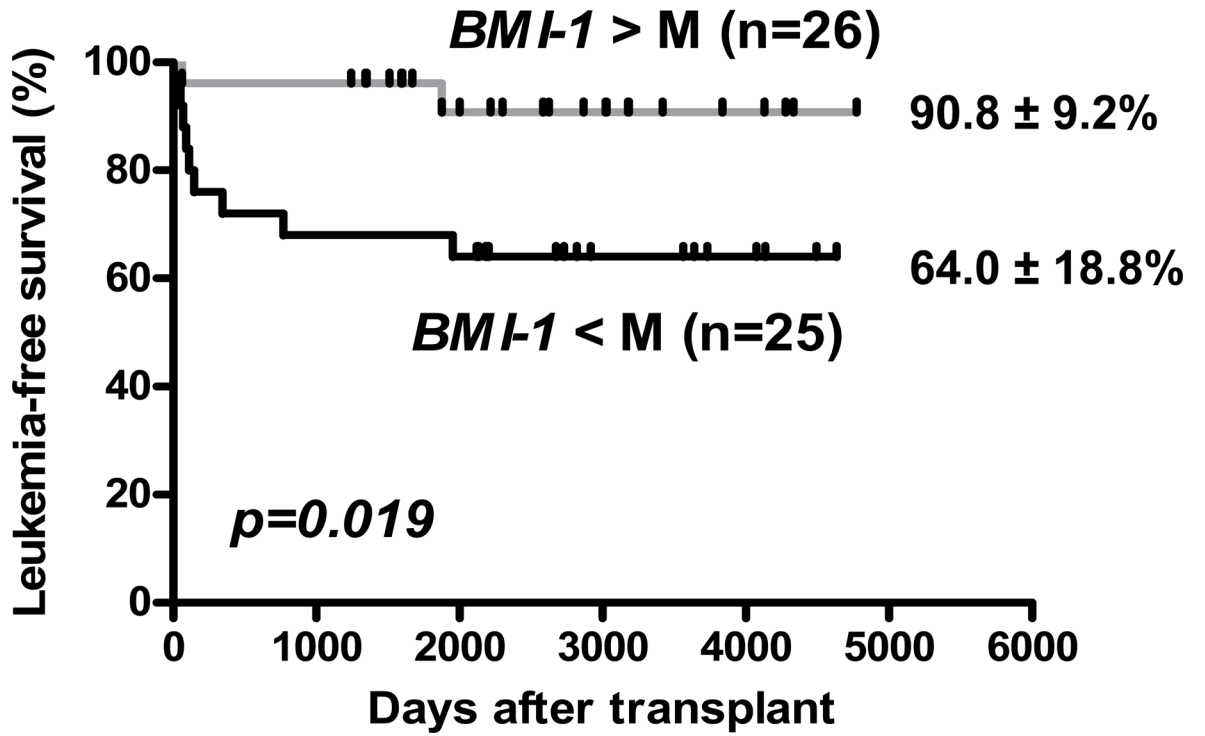


Figure 1B

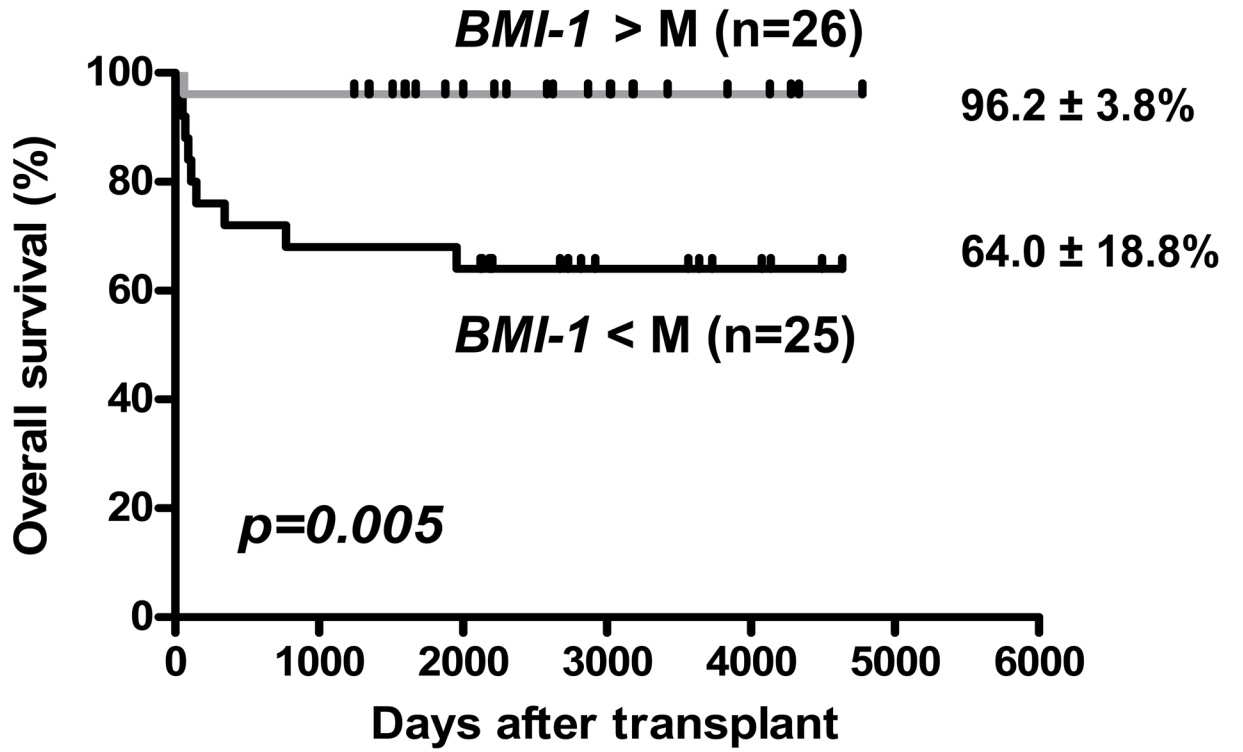


Figure 1C

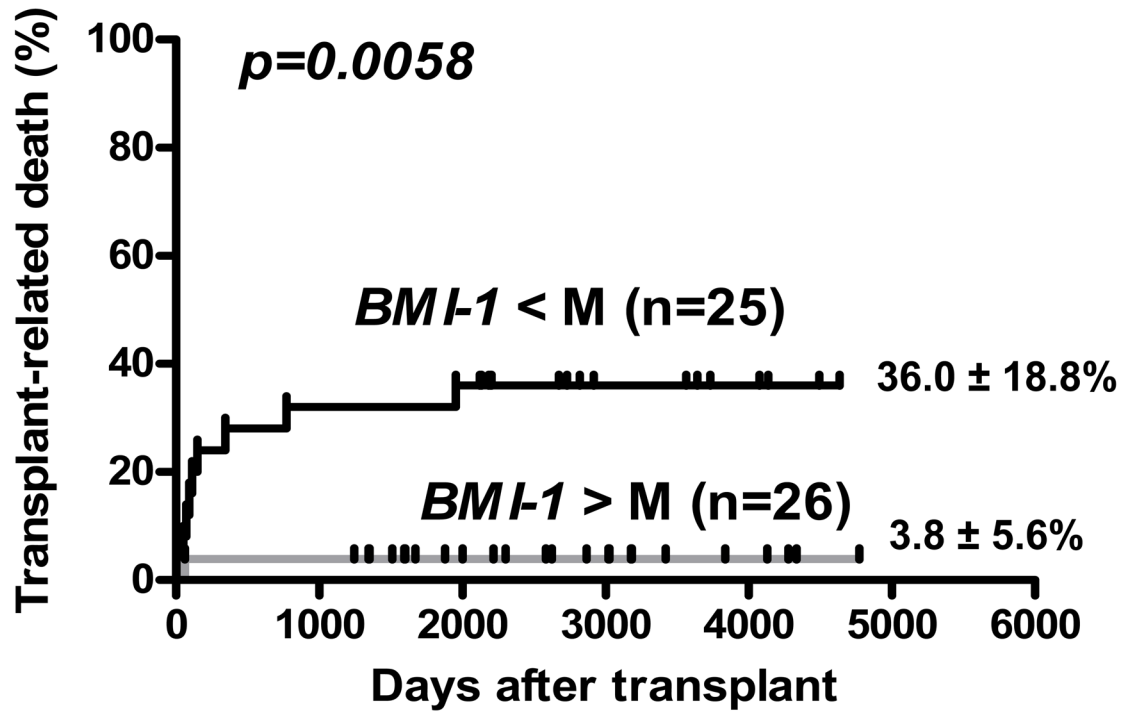


Figure 1. BMI-1 expression and post-transplant outcome

Probability of leukemia-free survival (A), overall survival (B) and transplant-related death (C), in CP-CML. Groups are segregated according to the median (M) values of BMI-1 expression.

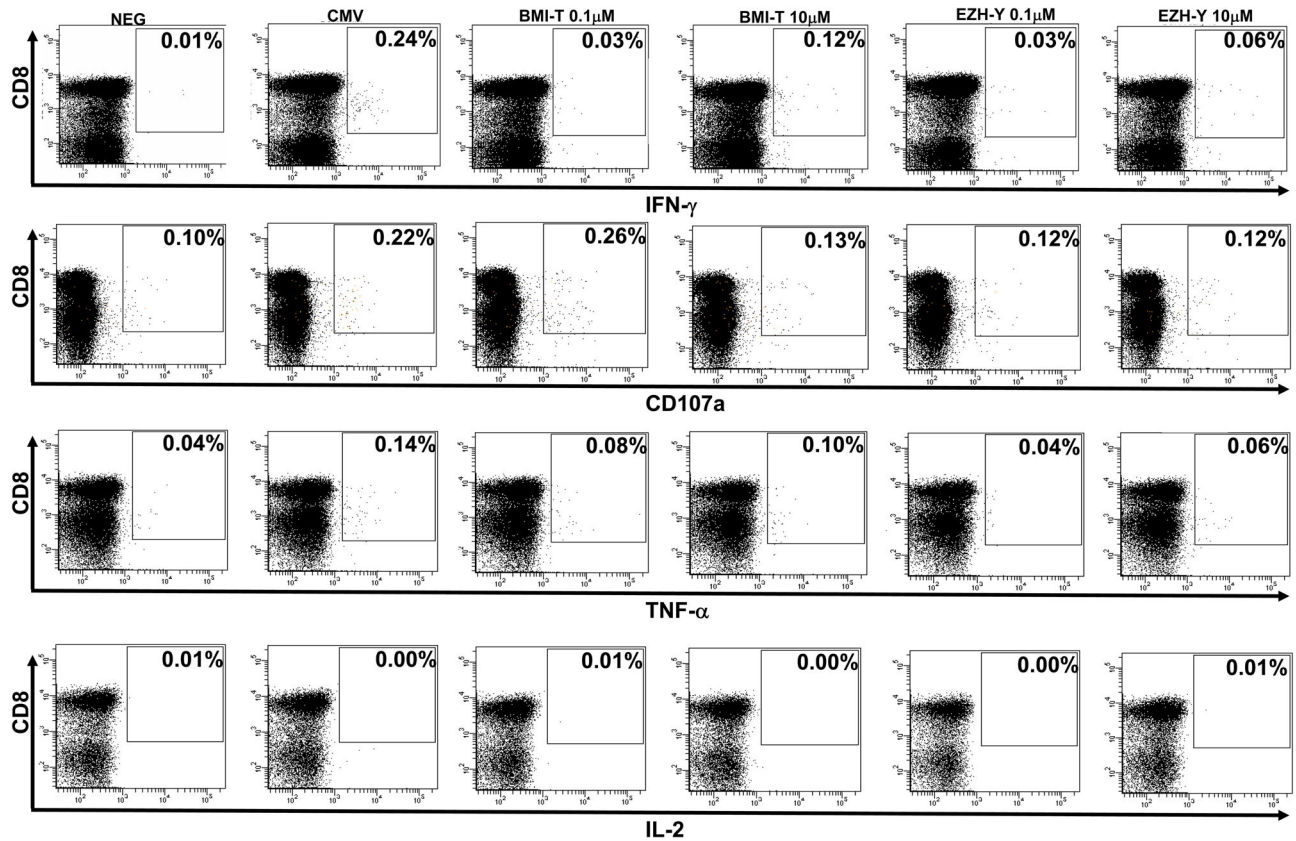


Figure 2. BMI-1-specific cytotoxic T lymphocytes are polyfunctional

Representative dot plots from CML patient UPN 25 showing ex-vivo immune responses to BMI-T and EZH-Y peptides using detection of interferon- (IFN)- γ , CD107a degranulation, tumor necrosis factor (TNF)- α and interleukin (IL)-2. A positive response is defined as at least (a) two-fold background (negative control) and (b) 0.05% CD8⁺ population. Low avidity response to 10 μ M BMI-T peptide is detected with IFN- γ and TNF- α production. High avidity TNF- α response to 0.1 μ M BMI-T peptide is accompanied by CD107a degranulation. In comparison, low avidity response to 10 μ M EZH-Y peptide is manifest with IFN- γ production alone.

Figure 3A

D120 post-SCT

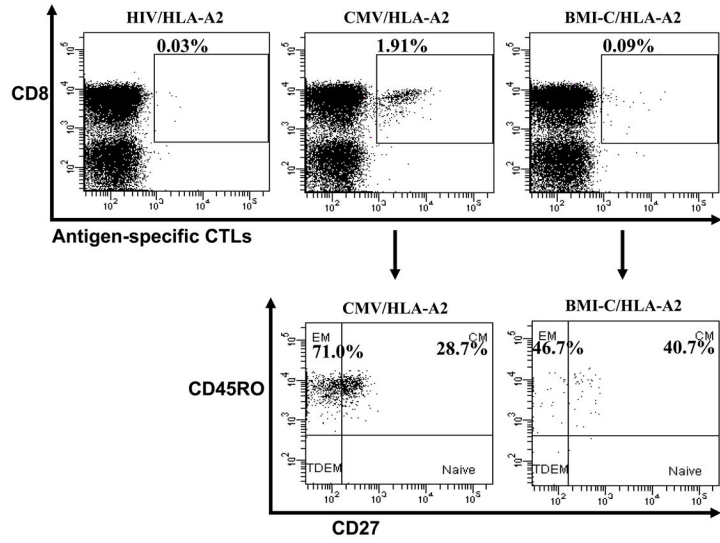


Figure 3B

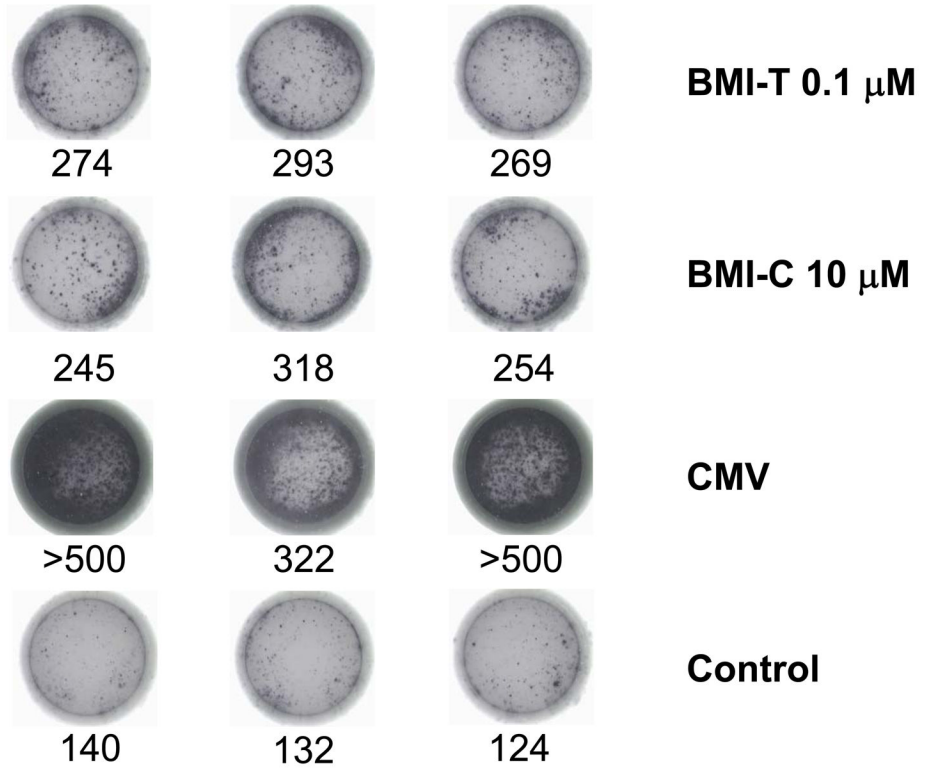


Figure 3. Immune responses to BMI-1-specific peptides detected post-transplant
 Representative immune responses to BMI-T and BMI-C peptides in CML patient UPN 365 at D120 post-transplant. (A) Phenotypic characterization of BMI-1- specific and CMV-

specific cytotoxic T lymphocytes (CTLs) using dextramers, with HIV dextramers as negative controls (EM= effector memory; CM= central memory; TDEM = terminally differentiated effector memory CTLs) (B) ELISPOT short-term expansion of BMI-1-specific CTLs, with interferon (IFN)- γ production to BMI-T and BMI-C peptides with similar avidity as in the donor pre-transplant. Numbers below wells refer to IFN- γ spots.

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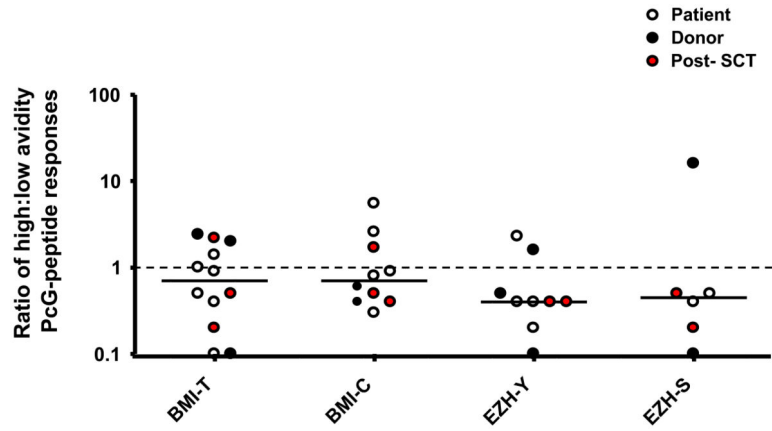


Figure 4. Avidity of CD8⁺ T-cell responses to peptides derived from polycomb group proteins (PcG) BMI-1 and EZH2

High- and low-avidity CD8⁺ T-cell responses are determined by stimulation with 0.1 μ M or 10 μ M peptide respectively(28, 31). Results are shown as the ratios of high- to low-avidity T-cell responses from healthy donors (black circles), patients pre-(open circles), and post-allogeneic stem cell transplant (post-SCT) (red circles). Ratios are calculated as IFN- γ ⁺ CD8⁺ T-cells (%) with 0.1 μ M peptide/IFN- γ ⁺ CD8⁺ T-cells (%) with 10 μ M peptide. Bars represent the median high/low avidity ratio for each peptide. Symbols above the dashed line are samples with majority high avidity responses.

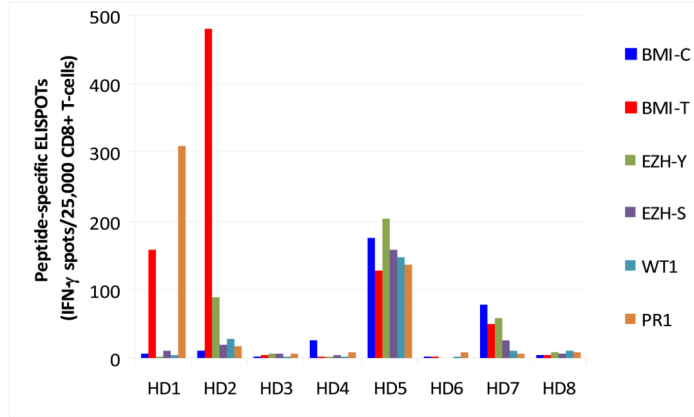
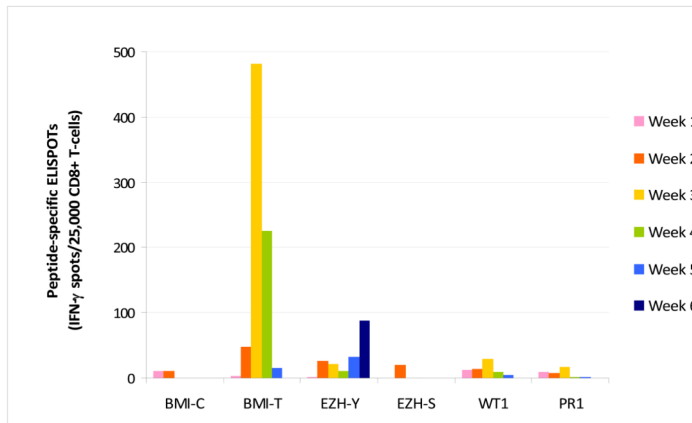
Figure 5A**Figure 5B**

Figure 5. Peptide specific CD8⁺ T-cell expansion in response to leukemia-associated antigens (LAAs) in healthy donors

(A) Specific cytotoxic T lymphocyte (CTL) expansion following incubation with BMI-T, BMI-C, EZH-Y, EZH-S, WT1 and PR1 peptides was assessed simultaneously in eight healthy blood donors (HD) at weekly intervals using ELISPOT assay. Individual samples are plotted along the *x-axis* and the maximal response observed during this time period for each LAA is plotted on the *y-axis*. (B) Pattern of longitudinal expansion of individual LAA-specific CTLs in representative sample HD2 over 6 weeks. The *y-axis* denotes the maximum number of interferon (IFN)- γ producing spots positive per 25,000 CD8⁺ cells plated.

Immune responses to PeG-specific peptides in HLA-A*0201⁺ patients and donors

Table 1

UPN	Status	Cell source	Immune responses in CD8 ⁺ T cells (%) [†]			
			BMI-T	BMI-C	EZH-Y	EZH-S
402	CP	PB	Neg	Neg	Neg	Neg
199	CP	PB	Neg	Neg	Neg	0.23
41	CP	BM	0.05	0.08	Neg	Neg
11	CP	BM	0.07	Neg	0.05	0.05
319	CP	PB	Neg	Neg	0.09	Neg
1	CP	PB	Neg	0.16	Neg	Neg
177	CP	PB	0.15	0.10	0.09	Neg
273	CP	PB	Neg	0.11	Neg	Neg
28	CP	PB	Neg	Neg	Neg	Neg
16	CP	BM	Neg	Neg	Neg	Neg
223	CP	PB	0.11	0.13	Neg	Neg
365	CP	PB	Neg	Neg	0.09	Neg
241	CP	PB	Neg	Neg	Neg	Neg
17	AdP	PB	Neg	Neg	Neg	Neg
25	AdP	PB	0.12	Neg	0.06	Neg
68	AdP	PB	Neg	Neg	Neg	Neg
469	AdP	PB	Neg	Neg	Neg	Neg
483	AdP	PB	0.05	Neg	Neg	Neg
19	AdP	PB	Neg	Neg	Neg	Neg
94	Donor	PB	Neg	Neg	Neg	Neg
12	Donor	PB	Neg	Neg	Neg	Neg
49	Donor	PB	Neg	Neg	Neg	Neg
327	Donor	PB	Neg	Neg	Neg	Neg
402	Donor	PB	Neg	Neg	Neg	Neg
38	Donor	PB	Neg	Neg	Neg	Neg

UPN	Status	Cell source	Immune responses in CD8+ T cells (%) [†]			
			BMI-T	BMI-C	EZH-Y	EZH-S
283	Donor	PB	Neg	Neg	Neg	Neg
25	Donor	PB	Neg	0.32	0.25	Neg
41	Donor	PB	Neg	Neg	Neg	Neg
170	Donor	PB	Neg	Neg	Neg	Neg
11	Donor	PB	Neg	Neg	Neg	Neg
319	Donor	PB	Neg	Neg	Neg	Neg
469	Donor	PB	Neg	Neg	Neg	Neg
262	Donor	PB	0.12	Neg	Neg	Neg
68	Donor	PB	Neg	Neg	Neg	Neg
1	Donor	PB	Neg	Neg	Neg	Neg
17	Donor	PB	Neg	Neg	Neg	Neg
273	Donor	PB	0.06	Neg	Neg	Neg
483	Donor	PB	Neg	Neg	Neg	Neg
28	Donor	PB	Neg	Neg	Neg	Neg
19	Donor	PB	Neg	0.14	0.11	0.16
16	Donor	PB	Neg	Neg	Neg	Neg
365	Donor	PB	0.17	0.16	0.17	0.18
48	Donor	PB	Neg	Neg	Neg	Neg
241	Donor	PB	Neg	Neg	Neg	Neg

UPN=Unique patient number, CP = chronic phase CML, AqP = advanced phase CML, PB= peripheral blood, BM=bone marrow

[†] Immune responses (percentage of total CD8⁺ cells, with positive results in bold) to PcG-specific peptide refer to ex-vivo interferon- γ (IFN- γ) production in all cases except UPN 177 (TNF- α production) and UPN 365 and donor for UPN 25 (dextramer positivity)