



# Clinical types of checkpoint inhibitor-related pneumonitis in lung cancer patients: a multicenter experience

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**Background:** Checkpoint inhibitor-related pneumonitis (CIP) is not well classified according to clinical factors. We propose different clinical sub-types of CIP based on clinical factors and investigated the corresponding clinical features, treatments, and outcomes.

**Methods:** We conducted a multicenter retrospective study of patients with lung cancer (including non-small cell lung cancer and small cell lung cancer) who developed CIP. The clinical characteristics, radiologic features, treatments, and outcomes of CIP were analyzed.

**Results:** A total of 55 patients developed CIP and were classified into 3 groups as follows: 21 in the pure type (PT) group, 14 in the induced type (IT) group, and 20 in the mixed type (MT) group. The incidence of severe (grade 3–5) pneumonitis was significantly higher in the IT group than in the PT and MT groups (71.4% vs. 14.3% vs. 50.0%,  $P=0.002$ ). Antiviral therapy was significantly more frequent in the IT group than in the PT and MT groups. Antibiotic therapy was administered in 23.8%, 71.4%, and 80.0% of patients with the PT, IT, and MT, respectively. The improvement time in the PT group was longer than that in the IT and MT groups (0.9 vs. 0.5 vs. 0.3 months,  $P=0.028$ ). Patients with the PT had a better tumor response to immune checkpoint inhibitors (ICIs) than those with the other 2 types [overall response rate (ORR), 78% vs. 31% vs. 44%,  $P=0.027$ ].

**Conclusions:** The clinical classification of CIP may favor strategies for treatments and predict the tumor response to ICIs.

**Keywords:** Immune checkpoint inhibitor (ICI); immune-related adverse events; checkpoint inhibitor-related pneumonitis (CIP); lung cancer, clinical types

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## Introduction

In the past decade, the overall survival (OS) rate of patients with lung cancer has improved significantly as a result of immune checkpoint inhibitors (ICIs) (1,2). However, ICIs are also associated with immune-related adverse events (irAEs) and even fatal adverse events (FAEs) (3). The overall incidence of FAEs in patients treated with programmed death-1/programmed death-ligand 1 (PD-1/PD-L1) inhibitors was 0.43%, mainly involving the respiratory system (46.2%) (4). The clinical manifestations of checkpoint inhibitor-related pneumonitis (CIP) vary, ranging from occult respiratory symptoms with subacute or subclinical asymptomatic disease to rapid acute respiratory failure, even resulting in death (5-7). The patterns of the radiologic manifestations of CIP also vary and include scattered or diffuse ground-glass opacity (GGO), consolidation, interlobular septal thickening, reticular shadow, extensive branch expansion, nodules, and fiber strip shadow (8). The CIP is usually graded according to the symptoms and/or imaging manifestations and Common Terminology Criteria for Adverse Events (CTCAE; version 4.0) (9-11). In clinical practice, it has been found that in the same grade of CIP, there may be both dramatic exacerbation and rapid improvement. There are also great differences in the improvement time of CIP.

Previous studies have shown that OS and progression-free survival (PFS) is significantly longer in patients with irAEs than in those without irAEs. However, in subgroup analysis, CIP was not significantly associated with the efficacy of immunotherapy (12,13). Conversely, a study showed that grade 1–2 CIP was associated with increased ICI efficacy, yet severe grade CIP was not (14). A meta-analysis showed that CIP is significantly heterogeneous ( $I^2=70.0\%$ ,  $P<0.001$ ) in OS analysis but not in other organs (15).

One of the reasons for the heterogeneity of CIP may be due to the structure of the lung. The lungs communicate with the outside world and have 2 blood supply systems connected with the blood and lymph circulation of other organs, so they are continuously contending with factors such as microbes. Studies have reported that infections in ICI-treated patients occur mainly in the lungs (16,17). A meta-analysis showed that lung cancer patients treated with

ICIs were at risk not only for CIP, but also for infectious pneumonia (18).

Studies have shown that immunotherapy combined with radiotherapy increases the incidence of CIP (19,20). Case reports have described the development of severe CIP in patients receiving combination therapy with thoracic radiotherapy and ICI (21). Similarly, in one case report, a patient with lung cancer developed severe pneumonitis, complicated with bacterial pneumonia and radiation-related pneumonitis (22).

We hypothesize that the heterogeneity of pneumonitis may be associated with 2 hypotheses; antitumor response-dependent mechanisms, and response-independent mechanisms (23). Studies have shown that CIP may be triggered by antigens common to tumors and inflammatory organs (24,25). In addition, autoreactive T cells, autoantibodies, and cytokines produced by the antitumor response act on inflammatory organs (26). Contrastingly, the microbiome (virus, bacteria) may cause the original specific antigen to be exposed. The mechanisms are also different due to microbial diversity and composition (27,28). Our previous study showed that cytomegalovirus (CMV) reactivation was associated with CIP (29). In a patient with fatal ICI-induced encephalitis, Epstein-Barr virus (EBV)-specific T-cell receptors and EBV-positive lymphocytes were identified in the cortex and meninges, suggesting that EBV was associated with irAEs (30). However, the prevailing guidelines do not target microbial therapy.

To make the treatment strategies of CIP more individualized and effective, we hypothesize that the diversity of CIP can be categorized into distinct types according to clinical circumstances. Thus, we analyzed the clinical characteristics, managements, and outcomes of the different types of CIP and retrospectively evaluated the feasibility of defining various types.

We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/tlcr-20-1258>).

## Methods

### Patients

This multicenter, retrospective, observational study

was conducted in 3 centers [First Affiliated Hospital of Guangzhou Medical University (FHGMU), Collaborative Innovation Center for Cancer Medicine (CICM), and Shenzhen People's Hospital (SPH)] in the southern region of China. All patients were diagnosed with primary lung cancer [according to the 2015 World Health Organization Classification of Lung Tumors (31)] and received  $\geq 1$  dose of ICI between February 2018 and August 2020. The diagnosis of CIP was based on typical clinical features, physiological and chest computerized tomography (CT) scan findings (32,33). All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). Institutional review board/ethics committee approval was obtained from the Institutional Review Board of the First Affiliated Hospital of Guangzhou Medical University (Guangzhou, Guangdong, China) (No. 2020-95). Individual consent for this retrospective analysis was waived.

### Data collection and study assessment

For all participants, the following data were collected retrospectively: patient demographics, time course of CIP, Eastern Cooperative Oncology Group Performance Status (ECOG PS), modified Medical Research Council Dyspnea Scale (mMRC), maximum CIP grade, imaging features, laboratory findings, and treatments and outcomes of CIP. The clinical data of each patient were recorded and verified by trained professionals.

We classified CIP into 5 subtypes in terms of imaging lesions: cryptogenic organizing pneumonitis (COP), Ground-glass opacification/opacity (GGO), interstitial, hypersensitivity, and pneumonitis not otherwise specified (NOS) according to previous reports (34). According to the American Thoracic Society (ATS) and the European Respiratory Society (ERS) classification of interstitial pneumonia, radiographic patterns of pneumonitis were also classified as usual interstitial pneumonia (UIP), nonspecific interstitial pneumonia (NSIP), the cryptogenic organizing pneumonia (COP) pattern, acute interstitial pneumonia (AIP)/acute respiratory distress syndrome (ARDS), and hypersensitivity pneumonitis (HP) (35,36). Results of procalcitonin (PCT), routine hematological, chemistry, and microbiology (including CMV, EBV, *Mycobacterium tuberculosis*, bacteria, and fungi) related tests were documented at the onset of CIP.

The severity of CIP was graded according to the Common Toxicity Criteria for Adverse Events (CTCAE

version 4.0). The ECOG PS and mMRC scores were evaluated at the most severe pneumonitis. Improvement of pneumonitis was defined as the improvement of symptoms, reduction of oxygen requirement, or improvement of radiographic infiltrates. Inversely, worsening was defined as the exacerbation of symptoms, increased oxygen requirement, or increased radiographic infiltrates. Tumor response was assessed according to the Response Evaluation Criteria in Solid Tumors (RECIST version 1.1) (37). The complete overall response rate (ORR) was defined as the percentage of participants achieving complete response (CR) and partial response (PR).

### Statistical analysis

Continuous and categorical data are summarized as medians (ranges) and frequencies (percentages), respectively. Analysis of variance or the Kruskal-Wallis H test was used to analyze continuous variables. The categorical variables were compared with chi-squared ( $\chi^2$ ) or Fisher's exact tests. Spearman's rank correlation was used for correlation analysis of 2 ordered categorical variables. The contingency coefficient was calculated to analyze the correlation between AIP-ARDS and the grade of pneumonitis. Logistic regression was used to identify factors associated with the improvement rate of CIP by univariate and multivariable analyses. We conducted statistical analyses using SPSS version 25 (IBM Software, Armonk, NY, USA). A P value  $< 0.05$  was considered statistically significant.

## Results

### Participants

A total of 55 patients who developed CIP following ICIs treatment were included at FHGMU (n=49), CICM (n=5), and SPH (n=1). The patient demographics are shown in *Table 1*. At the time of initiation of CIP, the median age was 62 [18–85] years; most participants were male (80.0%), 28 were smokers, 8 had pre-existing lung diseases (emphysema, chronic obstructive pulmonary disease, and pulmonary fibrosis), and 12 participants had received radiotherapy before CIP.

All 55 patients had primary lung cancer (45.5% squamous-cell carcinoma, 23.6% adenocarcinoma, 14.5% small cell carcinoma, 16.4% others). Anti-PD-L1 treatment had been received by 1 participant, and 54 had received anti-PD1 treatment (98.2%); 37 (67.3%) received ICIs

**Table 1** Characteristics of the patients at baseline

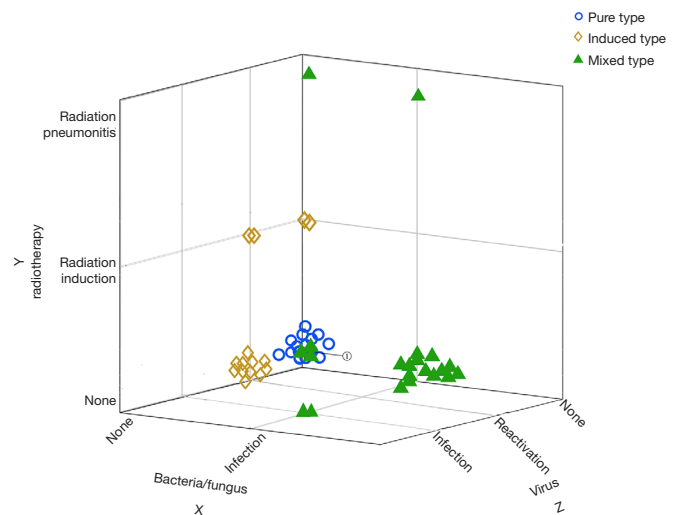
Characteristics	No. of patients (%)
Age, y; median [range]	62 [18–85]
Gender (male/female)	44/11
Smoking status	
Current/former	28 (50.9)
Never	27 (49.1)
Pre-existing lung diseases	8 (14.5)
History of radiotherapy	12 (21.8)
Treatment with ICI	
Monotherapy	18 (32.7)
Combined therapy	37 (67.3)
Histology	
Squamous	25 (45.5)
Adenocarcinomas	13 (23.6)
Small cell carcinoma	8 (14.5)
Others	9 (16.4)
Tumor staging	
II	2 (3.6)
III	17 (30.9)
IV	34 (61.8)
Unknown	2 (3.6)
Treatment line	
1st line	33 (60.0)
2nd line	15 (27.3)
3rd or later line	7 (12.7)

ICI, immune checkpoint inhibitor.

combination therapy, and 18 (32.7%) were treated with ICI monotherapy. Most patients (92.7%) had stage III/IV disease when immunotherapy was introduced, and 2 patients with stage II disease received immunotherapy as neoadjuvant therapy.

### Definition of the clinical CIP types

According to the clinical factors, we divided 55 patients into 3 types: pure type (PT), induced type (IT), and mixed type (MT) pneumonitis (Figure 1). The PT was defined



**Figure 1** Grouping type based on clinical factors. The X-axis (bacterial or fungal infection). The Y-axis shows the relationship between radiotherapy and pneumonitis. The Z-axis represents virus infection or reactivation. ①, the 3 patients developed pneumonitis with disease progression and were classified as mixed type.

as idiopathic, with or without autoimmune disease (AID). The IT was defined as having distinct etiologies, such as radiotherapy, CMV, or EBV reactivation, and producing specific antigens, which lead to specific immune cell activation and then to CIP, without evidence of organ damage caused by virus or radiotherapy. The MT was defined as CIP combined with infectious pneumonia (bacteria, fungus, or other organisms), tumor progression (including pseudoprogression or hyperprogression), or radiation-related pneumonitis.

### Clinical and radiological features of CIP

The severity of CIP was grade 1 in 10 participants, grade 2 in 22 participants, grade 3 in 12 participants, grade 4 in 8 participants, and there were 3 fatalities (grade 5). The median time from the onset of immunotherapy to the development of CIP was 2.6 months (0.2–15.8 months). The main clinical manifestations of pneumonitis were cough, expectoration, and shortness of breath.

Of the 55 participants, 21 had the PT (38.2%), 14 had the IT (25.4%), and 20 had the MT (36.4%). Table 2 summarizes the clinical characteristics of the 3 types of CIP. The proportion of fever in the MT was significantly

**Table 2** Clinical characteristics of the new types

Characteristic	Pure type (n=21)	Induced type (n=14)	Mixed type (n=20)	P value
Duration of drug administration, months (range)	2.4 (0.7–15.8)	3.2(0.4–10.0)	3.2 (0.2–12.4)	0.804
<b>Symptoms</b>				
Fever	1 (4.8)	4 (28.6)	13 (65.0)	<0.001
Cough	14 (66.7)	12 (85.7)	18 (90.0)	0.068
Expectoration	12 (57.1)	9 (64.3)	15 (75.0)	0.357
Shortness of breath	6 (28.6)	9 (64.3)	16 (80.0)	0.001
ECOG PS				0.002
0–1	14 (66.7)	2 (14.3)	4 (20.0)	
2–4	7 (33.3)	10 (71.4)	15 (75.0)	
5	0	2 (14.3)	1 (5.0)	
mMRC score				0.001
0–1	13 (61.9)	2 (14.3)	2 (10.0)	
2–4	8 (38.1)	12 (85.7)	18 (90.0)	
Grade				0.020
1	8 (38.1)	1 (7.1)	1 (5.0)	
2	10 (47.6)	3 (21.4)	9 (45.0)	
3	1 (4.8)	5 (35.7)	6 (30.0)	
4	2 (9.5)	3 (21.4)	3 (15.0)	
5	0	2 (14.3)	1 (5.0)	
<b>Laboratory findings</b>				
PCT (ng/mL)	<0.05 (<0.05–0.42)	0.09 (<0.05–0.39)	0.19 (<0.05–6.14)	0.009
WBC ( $\times 10^9/L$ )	5.7 (4.4–10.4)	6.5 (3.7–12.8)	10.94 (2.25–49.7)	0.012
pp65 (+)	0	10 (71.4)	2 (10.0)	<0.001
<b>Radiological features</b>				
COP	7 (33.3)	1 (7.1)	2 (10.0)	
GGO	6 (28.6)	11 (78.6)	7 (35.0)	
NSIP	4 (19.0)	2 (14.3)	8 (40.0)	
NOS	4 (19.0)	0	3 (15.0)	

ECOG PS, Eastern Cooperative Oncology Group performance status; mMRC, modified Medical Research Council Dyspnea Scale; PCT, procalcitonin; WBC, white blood cell count; pp65, phosphoprotein 65; COP, cryptogenic organizing pneumonia; GGO, ground glass opacities; NSIP, non-specific interstitial pneumonia; NOS, pneumonitis not otherwise specified.

higher than in the PT and IT groups (65.0%, 4.8%, and 28.6%, respectively,  $P < 0.001$ ). Grade 3–5 CIP occurred in 3 participants (14.3%) with the PT, 10 (71.4%) with the IT, and 10 (50.0%) with the MT (*Figure 2*). The ECOG PS at the most severe pneumonitis was significantly

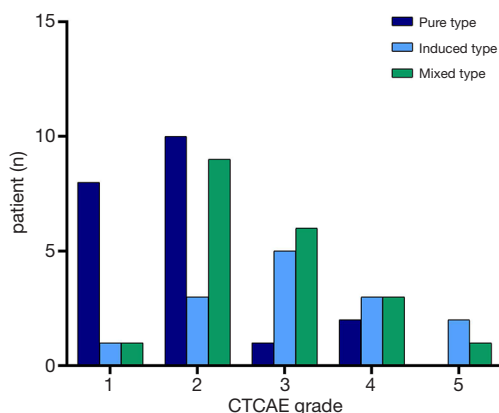
different among the 3 groups ( $P = 0.002$ ), and the PS in the PT group was mainly 0–1 (66.7%), while those in the IT group (71.4%) and MT group (75.0%) were mostly 2–4. The incidence of mMRC scores of 2–4 was lower in the PT group than in the IT and MT groups ( $P = 0.001$ ).



Procalcitonin (PCT) and white blood cells count (WBC) were higher in the MT group than in the PT and IT groups.

A strong positive association was found between the PS score and the grade of CIP [determined by Spearman's rank correlation coefficient ( $r_s$ ) =0.907,  $P<0.001$ ]. Similarly, the mMRC score was higher in patients with a higher grade of CIP ( $r_s$ =0.873,  $P<0.001$ ).

The most predominant lesion found on chest CT was GGO lesion (43.6%), followed by NSIP (25.5%), COP (18.2%), and NOS (12.7%). In the subgroup analysis, GGO was the most common imaging finding of the PT [n=11 (78.6%)]. Conversely, the imaging findings of the PT group and the MT group were varied (Figure 3). We compared



**Figure 2** Patients with immune checkpoint inhibitor-related pneumonitis stratified by the Common Terminology Criteria for Adverse Events (CTCAE; version 4:0).

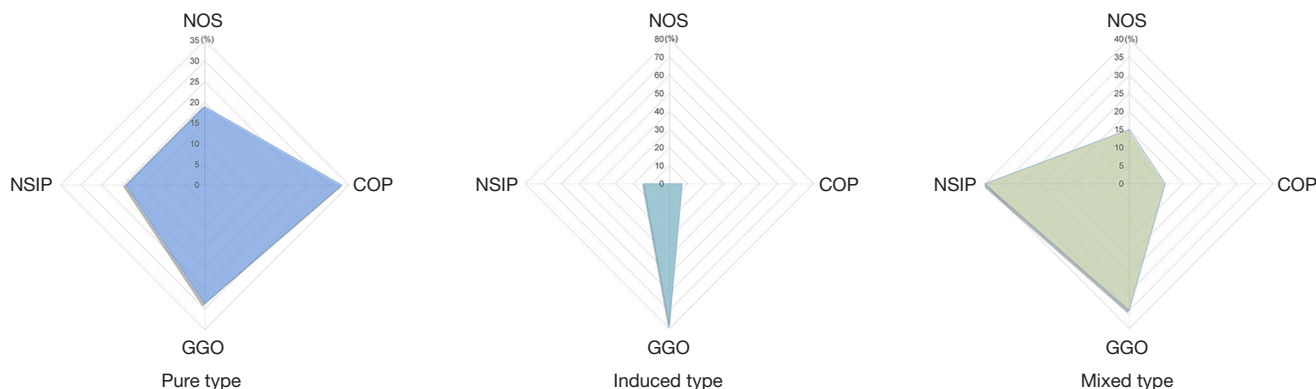
the incidence of AIP-ARDS in the 3 groups and found that the incidence in the IT group was higher than those in the PT group and MT group (57.1%, 9.5%, and 25.0%, respectively,  $P=0.010$ ). There was an association between AIP-ARDS and severe grade pneumonitis (contingency coefficient =0.707,  $P<0.001$ ).

**Management**

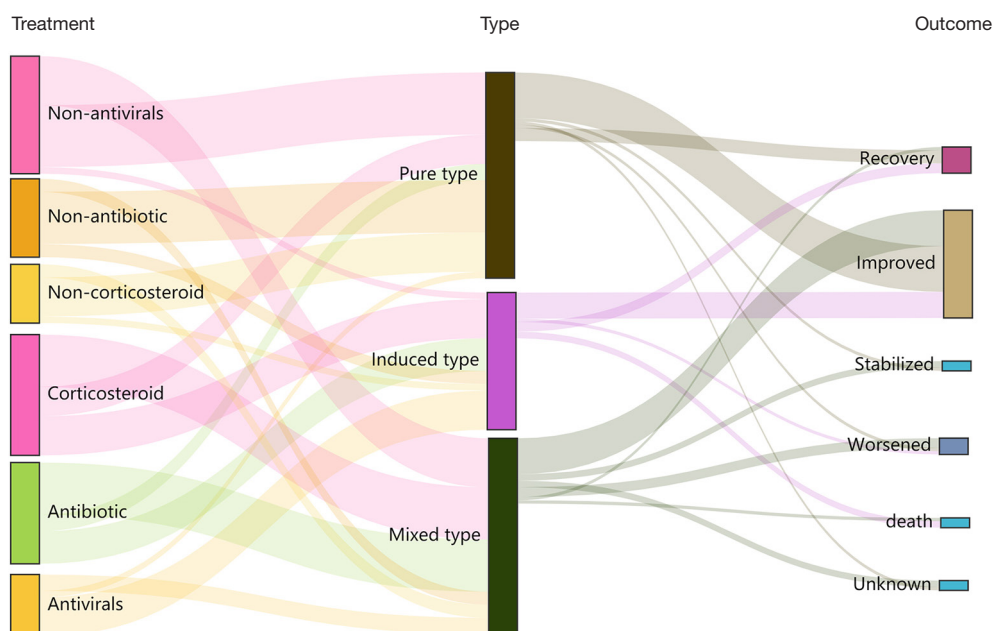
Of the 55 participants, 37 (67.3%) were treated with glucocorticoids, including 9 in the PT group, 12 in the IT group, and 16 in the MT group (42.9%, 85.7%, and 80.0%, respectively;  $P=0.014$ ) (Figures 4,5). Participants who had gone without glucocorticoid treatment had grade 1 or 2 CIP. The utilization rates of antibiotics in the IT group and the MT group were significantly higher than those in the PT group (71.4%, 80.0%, and 23.8%, respectively;  $P=0.001$ ) (Figures 4,5). Out of the MT participants, 4 were not treated with antibiotics; these patients did not display complications of infection but did have disease progression (n=3) or radiation-related pneumonitis (n=1). Antiviral treatment was administered in 85.7% of patients in the IT group, 9.5% (n=2) in the PT group, and 25.0% (n=5) in the MT group ( $P<0.001$ ) (Figures 4,5). Respiratory support therapy was performed in 4 (19.1%), 12 (85.7%), and 15 (75.0%) patients in the PT group, the IT group, and the MT group, respectively (Table 3).

**Outcomes**

Except for 3 cases without evaluation outcomes, CIP



**Figure 3** Radar chart of the imaging features of the pure type, induced type, and mixed type of CIP. COP, cryptogenic organizing pneumonitis; GGO, ground glass opacities; NSIP, nonspecific interstitial pneumonia; NOS, pneumonitis not otherwise specified; CIP, immune checkpoint inhibitor-related pneumonitis.



**Figure 4** Sankey diagram of the treatments and outcomes with the pure type, induced type, and mixed type CIP. CIP, immune checkpoint inhibitor-related pneumonitis.

resolved in 8 (15.4%) participants, improved in 33 (63.4%), stabilized in 3 (5.8%), and worsened or led to death in 8 (15.4%) participants (Figures 4,5). The improvement rate of participants with the PT was higher than that in those with the IT and MT, but the difference was not significant (90.0%, 78.6%, and 66.7%, respectively;  $P=0.223$ ). In the overall population, the median time to improvement was 0.7 (0.2–7.6) months. Furthermore, the median improvement times of the PT, IT, and MT groups were 0.9, 0.5, and 0.3 months, respectively ( $P=0.028$ ) (Figure 6). Among the groups, the improvement time after corticosteroid treatment was 0.7 (0.1–1.9) months in the PT, 0.2 (0.1–0.6) months in the IT, and 0.2 (0.1–0.5) months in the MT ( $P=0.048$ ).

Logistic regression analysis of the factors associated with the improvement rate of CIP showed that only grades 3–5 were significantly and independently associated with a lower improvement rate [odds ratio (OR) =0.17, 95% confidence interval (CI) =0.03–0.92,  $P=0.039$ ] (Table 4).

Except for 8 patients without tumor efficacy evaluation, the objective response rate was 78% (95% CI: 52–94) in the PT group, 31% (95% CI: 9–61) in the IT group, and 44% (95% CI: 20–70) in the MT group ( $P=0.027$ ) (Table 3). During follow-up, with 22 events of progression and 9 deaths, the median PFS and OS were not reached. A total

of 3 deaths were related to CIP, including 2 in the IT group and 1 in the MT group.

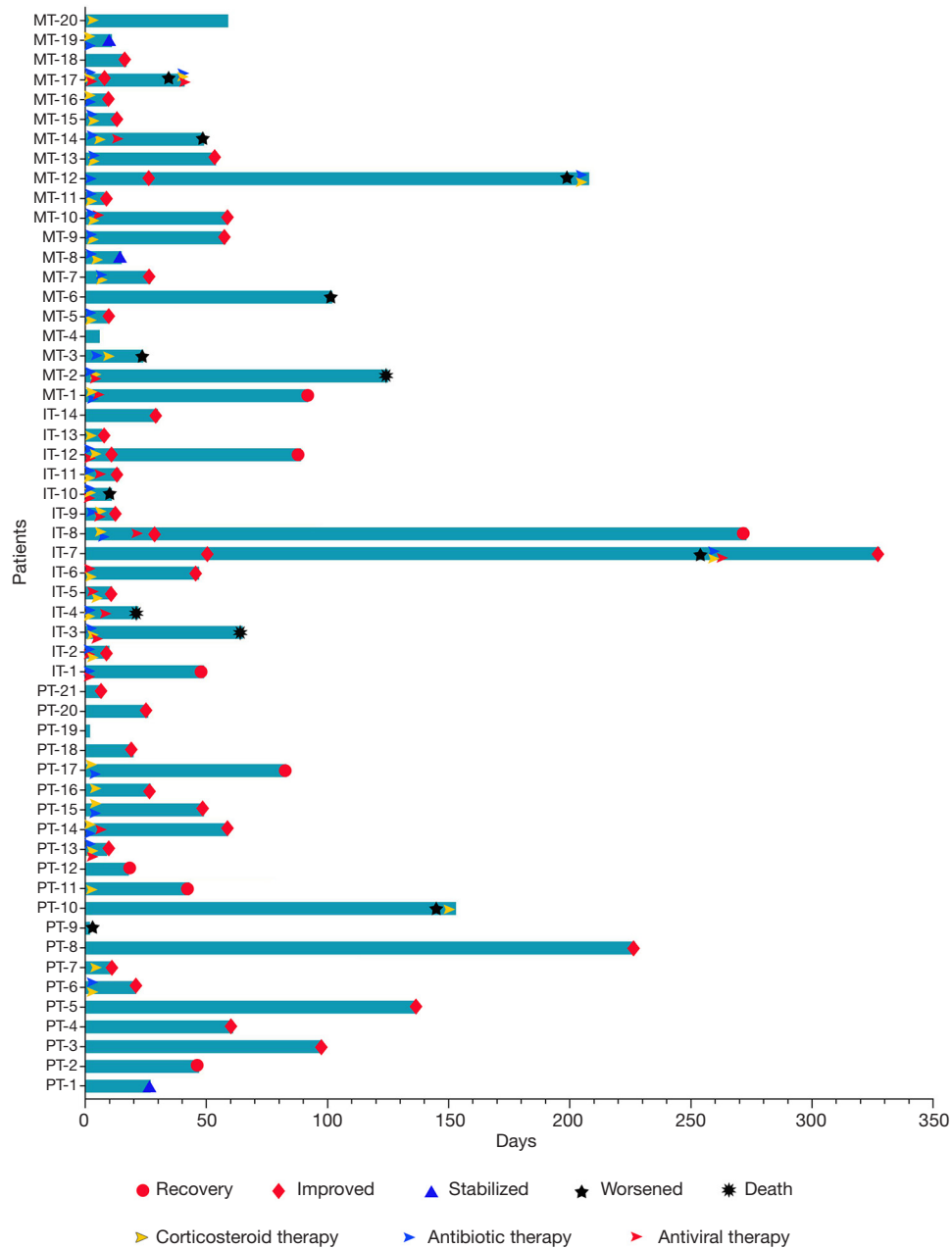
### Immunotherapy rechallenge

After recovery/improvement, 21 patients continued immunotherapy, including 13 (61.9%) participants in the PT group, 4 (19.0%) in the IT group, and 4 (19.0%) in the MT group (Table 3). Among these 21 participants, CIP reoccurred in 2 patients with non-small cell lung cancer (NSCLC), who were both in the MT group. These 2 participants had an initial grade of 2 and developed recurrent grade 4 CIP. They were treated with steroids and immunoglobulin. The participants were still undergoing treatments at the time this manuscript was written.

### Discussion

This real-world, retrospective, observational study indicated that the spectrum of CIP could be newly classified based on clinical factors. Our data described the differences in clinical characteristics, radiological features, treatments, and outcomes among the 3 types.

In recent years, ICIs are widely used for the treatment of lung cancer, whether it is early or advanced lung cancer, as



**Figure 5** Individual courses of the patients from the onset of pneumonitis to outcomes after treatments. PT, pure type; IT, induced type; MT, mixed type.

well as NSCLC or small cell lung cancer (SCLC). Although studies have demonstrated ICIs can significantly improve clinical outcomes, ICIs may lead to unique irAEs. Grade 1–2 irAEs accounted for the majority, but FAE also occurred. CIP is the most common FAE in PD-1/PD-L1 inhibitors.

In our study, the CIP-related mortality rate was 5.5%,

which was lower than those in previous real-world clinical studies (12.8–22.7%) (14,38,39). The lower observed mortality rate of CIP may be partially explained by early diagnosis and personalized treatments. The median time from the initiation of immunotherapy to CIP was 2.6 months, which was consistent with previous studies (34,39).

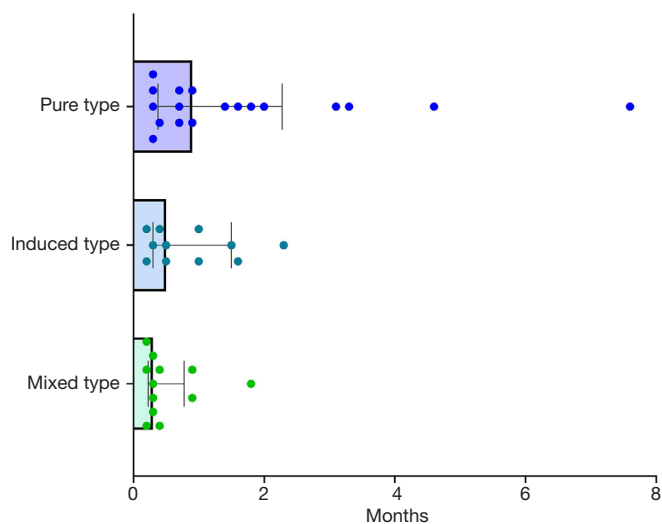


**Table 3** The treatments and outcomes of the new types

Characteristic	Pure type (n=21)	Induced type (n=14)	Mixed type (n=20)	P value
Respiratory support				0.001
No	17 (80.9)	2 (14.3)	5 (25.0)	
Nasal catheter/mask	2 (9.5)	6 (42.8)	9 (45.0)	
Noninvasive ventilation	1 (4.8)	4 (28.6)	3 (15.0)	
Invasive ventilation	1 (4.8)	2 (14.3)	3 (15.0)	
Corticosteroid therapy				0.014
Yes	9 (42.9)	12 (85.7)	16 (80.0)	
No	12 (57.1)	2 (14.3)	4 (20.0)	
Antibiotic therapy				0.001
Yes	5 (23.8)	10 (71.4)	16 (80.0)	
No	16 (76.2)	4 (28.6)	4 (20.0)	
Antiviral therapy				<0.001
Yes	2 (9.5)	12 (85.7)	5 (25.0)	
No	19 (90.5)	2 (14.3)	15 (75.0)	
Outcomes of CIP				0.473
Recovery	4 (19.0)	3 (21.4)	1 (5.0)	
Improved	14 (66.6)	8 (57.2)	11 (55.0)	
Stabilized	1 (4.8)	0	2 (10.0)	
Exacerbation/death	1 (4.8)	3 (21.4)	4 (20.0)	
Unknown	1 (4.8)	0	2 (10.0)	
The median time to improvement, months (range)	0.9 (0.3–7.6)	0.5 (0.2–2.3)	0.3 (0.2–1.8)	0.028
Duration of improvement after corticosteroid treatment, months (range)	0.7 (0.1–1.9)	0.2 (0.1–0.6)	0.2 (0.1–0.5)	0.048
Continued immunotherapy				0.020
Yes	13 (61.9)	4 (28.6)	4 (20.0)	
No	8 (38.1)	10 (71.4)	16 (80.0)	
Best objective response until CIP				0.122
Partial response	14 (66.6)	4 (28.6)	7 (35.0)	
Stable disease	3 (14.3)	8 (57.2)	7 (35.0)	
Disease progression	1 (4.8)	1 (7.1)	2 (10.0)	
Not evaluated	3 (14.3)	1 (7.1)	4 (20.0)	
ORR	78%	31%	44%	0.027

CIP, immune checkpoint inhibitor-related pneumonitis; ORR, object response rate.

According to clinical factors, the 55 patients were classified as having the PT, IT, or MT of CIP. The IT and MT are both associated with radiotherapy and viral infection, but had different specific associated characteristics. In the IT, phosphoprotein 65 (pp65) and CMV-IgG were positive, but no CMV inclusion bodies were found in pathology, and metagenomics next-generation sequencing (mNGS) of bronchoalveolar lavage fluid (BALF) was negative. Conversely, subjects in the MT developed CIP with cytomegalovirus pneumonia, which was diagnosed by positive CMV culture in BALF or tissue, CMV-DNA in BALF, or CMV inclusion bodies in lung tissue (40).



**Figure 6** Distribution of the improvement times for the 3 types of pneumonitis. The data are presented as the median (interquartile range).

The IT participants received nonthoracic radiotherapy and subsequently developed CIP. In addition, pneumonitis did not occur in these patients during multiple courses of immunotherapy, but did so after radiotherapy. Patients with MT received thoracic radiotherapy and developed radiation-related pneumonitis combined with CIP.

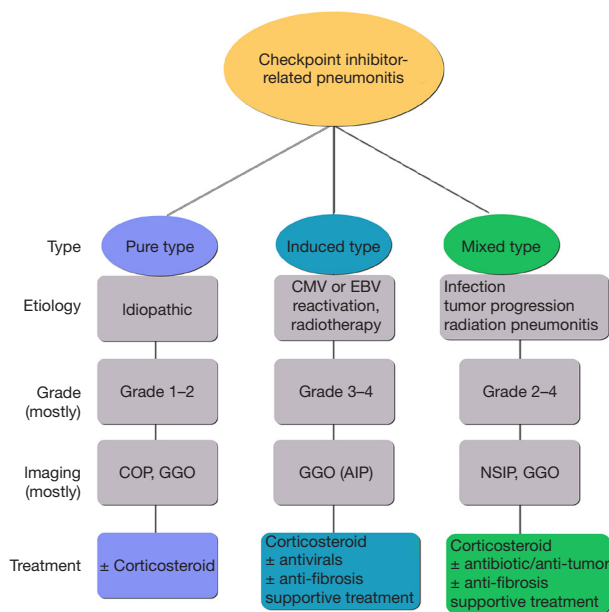
The proportion of fever, and elevated serum levels of PCT and WBCs in the MT group were higher than those in the other 2 groups. These findings supported the diagnosis of MT. We found that the grade of CIP in the PT group was lower than that in the other 2 groups. Similarly, the PS and mMRC scores in the PT group during pneumonitis was lower ( $P=0.002$  and  $0.001$ , respectively). Moreover, both of the scores were positively correlated with the grade of pneumonitis. Therefore, the differences in the PS and mMRC scores among the 3 groups may be explained by the grades of CIP. A recent study also reported NSCLC patients with CIP have significantly higher mMRC scores, compared with patients without CIP (41). In our study, 58.2% of patients had a PS score of 2–4, and the poor scores were caused by ICIs treatment. These patients met the conditions of severe lung cancer that we proposed in our previous studies (42). Advanced severe lung cancer does not refer to end-stage lung cancer, but refers to various factors inherently associated with the disease or caused by the application of anticancer drugs, a PS score of 2–4, and stage IIIB, IIIC, and IV patients who have the greatest potential to benefit from existing systemic anticancer therapies (42).

In the MT group, 50.0% of participants developed CIP of grade 3–5. The MT was characterized by a combination of symptoms and imaging changes associated with infection or tumor progression or radiation pneumonitis

**Table 4** Factors associated with improvement rate of pneumonitis

Variable	Univariate analysis		Multivariable analysis	
	OR (95% CI)	P value	OR (95% CI)	P value
Age $\geq 65$ years	3.00 (0.71–12.74)	0.131	1.75 (0.30–10.20)	0.533
Male vs. female	0.69 (0.13–3.73)	0.666	2.43 (0.20–29.69)	0.487
Smoker	0.58 (0.16–2.16)	0.420	0.29 (0.04–3.21)	0.291
Grade 3–5 CIP	0.16 (0.04–0.69)	0.014	0.17 (0.03–0.92)	0.039
Radiotherapy at baseline	0.53 (0.11–2.54)	0.427	0.37(0.04–3.21)	0.367
ICI treatment $\geq 2^{\text{nd}}$ line	0.25 (0.03–0.99)	0.048	0.40 (0.08–2.06)	0.272
Histology (squamous vs. nonsquamous)	1.48 (0.38–5.73)	0.572	3.17 (0.51–19.65)	0.214

ICI, immune checkpoint inhibitor; CIP, immune checkpoint inhibitor-related pneumonitis; OR, odds ratio; CI, confidence interval.



**Figure 7** The etiology, grade, radiological features, and management of the 3 types of pneumonitis. CMV, cytomegalovirus; EBV, Epstein-Barr virus; COP, cryptogenic organizing pneumonitis; GGO, ground glass opacities; AIP, acute interstitial pneumonia.

in addition to changes in CIP. Hence, the incidence of severe pneumonitis was higher in the MT group than in the PT group. Severe pneumonitis occurred in 71.4% of the participants in the IT, and 20% of these cases were fatal. The mechanism of induced pneumonitis may have been that the activated virus or radiotherapy induced the related antigens to be exposed, which led to a T-cell response to encoded antigens and thus caused CIP (23). The detailed mechanism of the IT leading to severe CIP remains unclear. Radiotherapy induction led to the development of CIP in 4 patients; they did not develop pneumonitis before nonthoracic radiotherapy but rapidly developed pneumonitis after radiation therapy. Pneumonitis occurs in previously irradiated lungs, which is known as radiation recall pneumonitis (RRP) (43-45). Contrastingly, tumor regression in the unirradiated field has been found, which is known as the abscopal effect (46-48). However, no report of CIP after nonthoracic radiotherapy is available. The specific mechanisms of distant radiotherapy leading to CIP remain unclear.

Our study revealed that GGO is a common feature regardless of the type of CIP. Previous studies have also shown GGO as the major imaging finding (34,49).

Among the 3 groups, GGO accounted for the highest proportion (78.6%) in the IT. One study indicated that GGO was a significant predictor of worse OS (50). In the current study, AIP-ARDS was associated with severe grade CIP, which was a risk factor for a low improvement rate of CIP. The incidence of AIP-ARDS in the IT group was higher than that in the other 2 groups. Above all, the IT may result in a worse OS; however, OS has not been reached in the current study.

According to the guidelines, the graded treatment of CIP is based on systemic glucocorticoids, supplemented by empirical antibiotics and immunosuppressive agents when necessary. The treatment of CIP in the PT group basically followed the guidelines. In the IT group, in addition to steroid therapy, 85.7% of patients were given antiviral therapy (ganciclovir antiviral agent). It has been shown that the use of immunosuppressants in checkpoint inhibitor-induced colitis with CMV reactivation can lead to a severe inflammatory response and viral spread, which suggests the importance of diagnosis and treatment of CMV infection (51-53). Two patients in the PT and 3 patients in the MT group were diagnosed with severe pneumonitis and were given antiviral treatment empirically. Nevertheless, no evidence of viral infection or activation was found upon later examination. In the MT group, 2 patients received antiviral treatment due to viral pneumonia, and 80% of participants with bacterial pneumonia were treated with antibiotics, even if they had grade 1-2 CIP. Glucocorticoid therapy alone in the MT may aggravate or spread the infection. Antibiotic treatment was given to 5 patients in the PT group and 10 patients in the IT group, due to the severity of their CIP or suspicion of bacterial pneumonia at the time of early diagnosis. According to the guidelines, antibiotics can be used prophylactically in patients with grade 3-4 pneumonitis. However, no signs of infection were found in subsequent tests. Based on the available data, we propose individual clinical management strategies for the 3 types: for PT patients, glucocorticoid graded treatment is recommended; for IT patients, in addition to corticosteroids and supportive treatment, antiviral therapy (for virus-induced CIP), and anti-fibrotic therapy (for radiotherapy-induced CIP) can be considered; for MT patients, antibiotic treatment (for co-infection), anti-tumor treatment (for co-tumor progression), and anti-fibrotic therapy (for patients complicated with co-radiation pneumonitis) can be considered (Figure 7).

The patients in the PT group were more likely to have a better prognosis in terms of pneumonitis. We found a lower

improvement rate in grade 3–5 CIP by logistic regression analyses. The better outcomes of the PT may be associated with lower grade pneumonitis. Interestingly, our study showed that the improvement time of the PT was longer than that of the other 2 groups. As mentioned above, for the MT, the symptoms are superimposed on the infection or progression and can be quickly relieved after anti-infection treatment. In contrast, the patients in the PT group had no specific cause, unlike those in the MT and IT groups, which may be the cause for the longer improvement time. Another reason may be that 38.1% of patients in the PT group had grade 1 pneumonitis without receiving treatment for CIP. Additionally, grade 1 CIP is asymptomatic, resulting in a prognostic assessment based on imaging, which was more frequent in severe grade CIP than in low-grade CIP. Our study showed that early active treatments of MT and IT may promote rapid improvement, but delayed treatment may result in rapid progression.

We found that the response to corticosteroid therapy was slower in the PT group than in the IT and the MT groups. We hypothesized that patients with the PT had numerous activated T-cells and cytokines released (54), leading to a stronger inflammatory response than that in patients with the other 2 types. The improvement times after glucocorticoid treatment in the 3 groups were different, and thus, whether there were differences in the course of glucocorticoid therapy should be further studied.

In our study, the recurrence rate of immunotherapy rechallenge (9.5%) was lower than that in previous studies (55,56). Furthermore, the recurrence rate in the MT group was higher than that in the other 2 groups. Thus, the low recurrence rate may be associated with different types of pneumonitis.

We found a higher ORR (78%) in the PT group, while the ORR was only 31% in the IT group. Studies have shown that grade 1–2 CIP was significantly associated with increased efficacy, whereas severe grade CIP was not (14,57). The lower ORR of the IT may be partially explained by the more severe grade pneumonitis of that type. We hypothesize that viruses or radiotherapy activate nontumor-specific antigens without a positive effect on antitumor activity in the IT group. Conversely, patients with the PT have common antigens targeting the tumor and the lung, or autoreactive T cells, autoantibodies, and cytokines produced by the antitumor response acting on inflammatory organs (23,54).

This study has some limitations. First, this was a real-world retrospective study with a small sample size. Second,

our results encompass a narrow time window with limited follow-up duration for some participants. A large-scale prospective cohort study should be conducted to further elucidate the 3 different types of CIP.

In conclusion, our study provides new insights into the classification of CIP. The determination of new classifications could favor strategies for the treatment of CIP and the prediction of the tumor response to ICIs.

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### Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at <http://dx.doi.org/10.21037/tlcr-20-1258>

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). Institutional review board/ethics committee approval was obtained from the Institutional Review Board of the First Affiliated Hospital of Guangzhou Medical University (Guangzhou, Guangdong, China) (No. 2020-95). Individual

consent for this retrospective analysis was waived.

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