# Use of Guidelines for Reducing Stroke Risk in Patients With Nonvalvular Atrial Fibrillation: A Review From a Latin American Perspective

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#### Abstract

Atrial fibrillation (AF) is a prominent risk factor for stroke and a leading cause of death and disability throughout Latin America. Contemporary evidence-based guidelines for the management of AF and stroke incorporate the use of practical and relatively simple scoring methods to estimate both stroke and bleeding risk, in order to assist in matching patients with appropriate interventions. This review examines consistencies and differences among guidelines for reducing stroke risk in patients with AF, assessing the role of user-friendly scoring methods to determine appropriate patients for anticoagulation and other treatment options. Current options include warfarin and direct oral anticoagulants such as dabigatran, rivaroxaban, apixaban, and edoxaban. These agents have been found to be superior or noninferior to standard vitamin K antagonist anticoagulation in large randomized trials. Potential benefits of these agents mainly include lower ischemic stroke rates, reduced intracranial bleeding, no need for regular monitoring, and fewer drug–drug and drug–food interactions. Expert opinions regarding clinical situations for which data are presently lacking, such as emergency bleeding and stroke in anticoagulated patients, are also provided. Enhanced attention and adherence to evidence-based guidelines are essential components for a strategy to reduce stroke morbidity and mortality across Latin America.

#### Keywords

evidence-based guidelines, Latin America, nonvalvular atrial fibrillation

## Introduction

Stroke is a leading cause of mortality and disability in Latin America, although information regarding its epidemiology, subtypes, and risk factors in the region is limited.<sup>1-4</sup> Economic status, health resources, and habits differ between and within countries in Latin America, so direct comparisons may be misleading. Stroke prevalence per 1000 people, based on door-todoor surveys, ranges from 1.7 among rural Bolivians to 7.7 among a predominantly urban Mexican population.<sup>5</sup> In a series of older patients (aged  $\geq 60$  or  $\geq 65$  years), crude prevalence of stroke ranged from 18.2 per 1000 in Mexico to 46.7 per 1000 in Colombia,<sup>5</sup> and in an Argentine survey, point prevalence of stroke was 8.7 cases per 1000 inhabitants (4.7 per 1000 ageadjusted to the worldwide population).<sup>6</sup> Incidence rates of stroke reported in Latin American studies (all adjusted for Segi's world population) have included 76.5 annual firstever strokes per 100 000 in a 2013 to 2015 Argentine study,<sup>7</sup> 94 per 100 000 among a predominantly Hispano-Mestizo population in Chile,<sup>8</sup> 105 per 100 000 in Joinville, Brazil,<sup>9</sup> and a

hospitalization rate of 110 per 100 000 for first-ever stroke in Mexico.<sup>10</sup> These incidence rates are in the low-to-average range of rates seen globally,<sup>11</sup> while notably lower rates have been seen in registry data from locations such as Dijon, France (57.9 per 100 000)<sup>12</sup> and Kurashiki, Japan (60.7 per 100 000).<sup>13</sup>

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Positive trends have been observed in some areas of Latin America; the death rate associated with cerebrovascular disease in Brazil has decreased in recent decades, although stroke is still a leading cause of death.<sup>9,14,15</sup> A clear association between stroke death and socioeconomic status has been shown, with mortality rates almost 3 times higher in the lowest versus highest human development index stratum.<sup>16</sup>

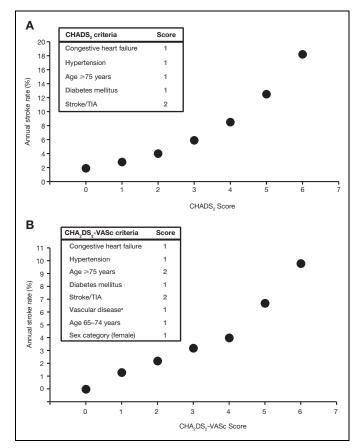
Atrial fibrillation (AF) is a significant risk factor for stroke, increasing the risk approximately 5-fold; however, as AF is often asymptomatic, this figure may be considerably underestimated.<sup>17</sup> Data from national health-care systems for 7 Latin American countries showed a range of prevalence from 1.44% to 1.95% for AF in the general over-40 population, with nonvalvular AF (NVAF) accounting for over 85% of cases.<sup>18</sup> Prevalence increases with age, ranging from 2.22% to 2.34% in people aged 60 to 69 years to 8.17% to 8.48% in those aged  $\geq$ 80 years.<sup>18</sup> In Brazil alone, an estimated 1.5 million people have AF, with associated elevated risks of stroke and heart failure and increased total mortality.<sup>19</sup> In a series of patients with stroke in Brazil, the frequency of AF ranged from 9.5% to 17.5%.<sup>1,20,21</sup>

Strokes associated with AF are generally more severe and have worse outcomes than other strokes.<sup>22</sup> The Mexican PRE-MIER registry reported a 30-day poststroke mortality of 22.0% in patients with AF versus 13.7% in those without; severe disability followed stroke in 69% of patients with AF versus 52% without.<sup>23-25</sup> In a series of patients with stroke admitted to tertiary care in São Paulo, the rate of functional independence at discharge was 60.8% in patients with and 81% in patients without AF (P < .01).<sup>21</sup>

The risk of stroke in patients with AF increases with age and other risk factors, including hypertension, diabetes, heart failure, and previous stroke. It can be estimated using the scores from congestive heart failure, hypertension, age  $\geq$ 75 y, diabetes mellitus, and prior stroke or transient ischemic attack (CHADS<sub>2</sub>) or Congestive Heart failure, hypertension, Age  $\geq$ 75, Diabetes, Stroke, Vascular disease, Age 65–74, and Sex-female (CHA<sub>2</sub>DS<sub>2</sub>-VASc) (Figure 1).<sup>26-28</sup> The CHA<sub>2</sub>DS<sub>2</sub>-VASc scheme allows a more comprehensive stroke risk assessment and a greater ability to identify patients at very low risk who may not require anticoagulation.

Anticoagulation reduces stroke risk in patients with AF. Vitamin K antagonists (VKAs) reduce the risk of stroke by approximately 66% and the risk of death by approximately 28% versus no therapy; they are widely prescribed in Latin America, although perhaps still underused.<sup>29,30</sup> Studies have consistently concluded that the benefit from anticoagulation significantly exceeds the risks for almost all patients with AF with a CHADS<sub>2</sub> or CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq 2.^{31,32}$ 

Despite the evidence showing its efficacy, anticoagulation is widely underused. One Mexican study reported that only 35.9% of patients with a history of AF and recurrent transient ischemic attack (TIA)/ischemic stroke and 24% of patients with a history of AF and first-ever TIA/ischemic stroke were receiving oral anticoagulation with a VKA; of these, only 13.1% and 4.0%, respectively, were maintained within an optimal therapeutic range (international normalized ratio [INR]: 2.0-3.0).<sup>24,25</sup> In 1



**Figure 1.** Stroke risk in patients with NVAF by 2 common scoring methods. A, Stroke risk by CHADS<sub>2</sub> score in patients with NVAF. Based on data from Gage et al.<sup>26</sup> B, Stroke risk by CHA<sub>2</sub>DS<sub>2</sub>-VASc score in patients with NVAF. Based on data from Lip et al.<sup>28</sup> NVAF indicates nonvalvular atrial fibrillation; TIA, transient ischemic attack. <sup>a</sup>Prior myocardial infarction, peripheral artery disease, or aortic plaque.

Brazilian study, only 46.5% of eligible patients with AF were receiving warfarin, with just 15.6% maintained within the optimal INR range.<sup>33</sup> In a survey of 7 countries (Argentina, Brazil, Chile, Colombia, Mexico, Peru, and Venezuela), more than half of patients with AF were receiving medical treatment but a significant proportion of patients were not receiving appropriate anticoagulation despite high stroke risk. Moreover, proportions of patients with AF receiving treatment within the national health-care system decreased with increasing age across all countries.<sup>18</sup> Cost and lack of health infrastructure are major barriers to care.<sup>34</sup> Additionally, even appropriate treatment has limits; an Argentine study found that only 35% of patients with AF who sustained ischemic strokes had received appropriate levels of anticoagulation (other stroke etiologies could partially explain this failure).<sup>35</sup>

#### Search Strategy and Selection Criteria

The PubMed database was searched for practice guidelines concerning stroke prevention in AF published within the last 5 years. In Latin America, physicians often follow European and/or US guidelines, as well as local guidelines, if available. The authors selected results based on applicability to Latin America and the practicing neurologist. Supporting evidence was retrieved based on reference lists for each guideline. Additional searches were performed to obtain Latin American epidemiologic and health-care quality data, as well as clinical trial data concerning therapies of ongoing research interest that were published after the most recent guideline updates. As not all local societies' publications are indexed on PubMed, Google was used to identify additional Latin American guidelines.

A key development that has been reflected in guidelines over the past 5 years is that additional agents-"novel" direct oral anticoagulants (DOACs)-have become available. Previously, oral anticoagulation options were limited to VKAs, which require frequent monitoring of anticoagulant effect, dose adjustments, and close attention to diet.<sup>36,37</sup> Access barriers to monitoring, including distance and cost, may help explain why physicians hesitate to prescribe warfarin for patients with limited resources.<sup>36,37</sup> Aspirin is a widely available alternative but has consistently and substantially been found less effective in reducing thromboembolic risk than warfarin in patients with AF with a CHADS<sub>2</sub> score  $\geq 1.^{38-40}$  Vitamin K antagonists are associated with an increased risk of major bleeding including intracranial hemorrhage (ICH); indeed, physician concerns about major bleeding represent a key barrier to optimal anticoagulation use in AF. Therefore, assessment of bleeding risk should be part of patient evaluation before starting anticoagulation. Available scores to assess bleeding risk include the hypertension, abnormal renal/liver function, stroke, bleeding history or predisposition, labile INR, elderly, drugs/alcohol concomitantly (HAS-BLED) score (Figure 2A).<sup>41</sup> The (older age [75+ years], reduced haemoglobin/haematocrit/history of anaemia, bleeding history, insufficient kidney function, and treatment with antiplatelet (ORBIT) bleeding score (older age [75+ years], reduced haemoglobin/haematocrit/history of anaemia, bleeding history, insufficient kidney function, and treatment with antiplatelet) is a new, user-friendly score that may be more widely applicable than existing schemes (Figure 2B).<sup>42</sup>

The DOACs dabigatran, rivaroxaban, apixaban, and edoxaban (approved in the United States, Japan, and Europe) have predictable pharmacokinetic and pharmacodynamic profiles, have fewer drug-drug interactions than warfarin, and do not require regular monitoring. (However, it bears mentioning that the DOACs are not without potential risk of interactions, including P-glycoprotein inducers or inhibitors with dabigatran, P-glycoprotein inducers with edoxaban, or dual Pglycoprotein and strong CYP3A4 inducers or inhibitors with either rivaroxaban or apixaban.)<sup>43-45</sup> The DOAC therapy has been compared with VKA treatment for reducing the risk of stroke in patients with NVAF in 4 phase III trials<sup>45-50</sup> (and compared with aspirin in 1 phase III trial<sup>51</sup>); results are summarized in Table 1. All trials included patients from Latin America as well as other regions; results from Latin American subgroups of Randomized Evaluation of Long-Term Anticoagulation Therapy (RE-LY), Rivaroxaban Once Daily Oral Direct Factor Xa Inhibition Compared with Vitamin K

Antagonism for Prevention of Stroke and Embolism Trial in Atrial Fibrillation (ROCKET-AF), Effective Anticoagulation with Factor Xa Next Generation in Atrial Fibrillation-Thrombolysis in Myocardial Infarction 48 (ENGAGE AF-TIMI 48), and Apixaban for Reduction in Stroke and Other Thromboembolic Events in Atrial Fibrillation (ARISTOTLE) are shown in Table 2. Meta-analysis determined that DOACs reduced stroke or systemic embolic events versus warfarin (relative risk [RR] = 0.81; P < .0001) while also reducing ICH (RR = 0.48; P <.0001).<sup>52</sup> Although not every guideline includes each one of the DOACs due to the time of update and the status of evidence for each DOAC at the time, the agents are included in recommendations from the European Society of Cardiology (ESC), the ESC branch European Heart Rhythm Association (EHRA), the American Heart Association/American Stroke Association (AHA/ASA), the AHA/American College of Cardiology/Heart Rhythm Society (AHA/ACC/HRS), the American Academy of Neurology (AAN), the Brazilian Society of Cardiology (BSC), the Mexican Social Security Institute (MSSI), and the Argentine Society of Cardiology (ASC).43,44,53-59

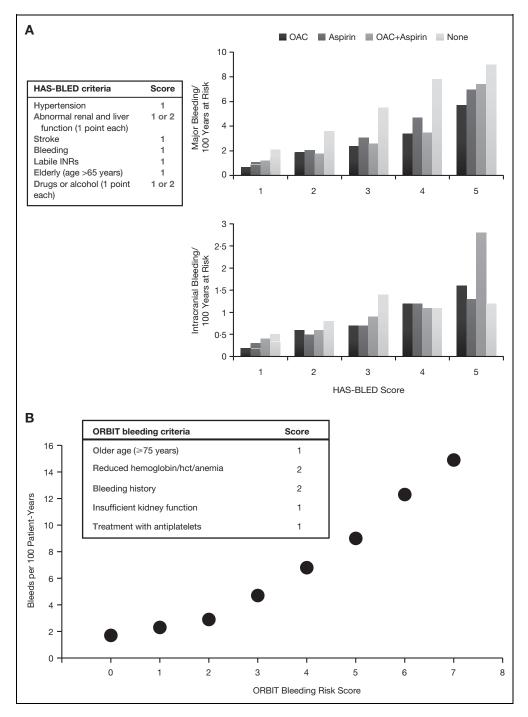
# Current Guidelines Available for the Management of Stroke in Patients With AF

# Selection of Medical Therapy for Primary and Secondary Prevention

There is broad acceptance in guidelines of the role of oral anticoagulant therapy for patients with AF and a CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq 2$ . The ESC recommends considering oral anticoagulation for women with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 2 and men with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1, while noting the importance of balancing the expected stroke reduction with individual characteristics such as bleeding risk and patient preference; a strong class I recommendation (indicating evidence and/or general agreement that the treatment is beneficial, useful, and effective) is made for oral anticoagulation for patients at higher risk levels. The DOACs and VKAs are both effective treatment options, with DOACs recommended over VKAs or aspirin therapy in patients eligible to receive them (class I recommendation).<sup>58</sup>

While the ESC guidelines acknowledge the usefulness of bleeding risk scores such as HAS-BLED, ORBIT, and ABC (age, biomarkers, clinical history), they do not describe a high bleeding score as a contraindication for anticoagulation but rather as a prompt to treat those risk factors that can be corrected.<sup>41,42,58,60</sup>

The ESC notes that the evidence for stroke prevention with aspirin is very limited and that antiplatelet therapy cannot be recommended for stroke prevention in patients with AF.<sup>61</sup> The AHA/ASA recommends oral anticoagulation for primary prevention of stroke in patients with NVAF, a CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq$ 2, and an "acceptably low risk" of hemorrhagic complications (class I recommendation, indicating benefit clearly outweighs risk).<sup>55</sup> Clinicians should select from options including warfarin (INR: 2.0-3.0), dabigatran, rivaroxaban, and apixaban on the basis of patient risk factors (particularly ICH risk),



**Figure 2.** Bleeding risk in patients with NVAF estimated by 2 scoring methods. A, Bleeding risk according to HAS-BLED score. Based on data from Friberg et al.<sup>41</sup> B, Bleeding risk according to ORBIT score. Based on data from O'Brien et al.<sup>42</sup> Hct indicates hematocrit; INR, international normalized ratio; NVAF, nonvalvular atrial fibrillation; OAC, oral anticoagulant.

cost, tolerability, patient preference, potential for drug–drug interactions, and other clinical characteristics, including (for patients taking warfarin) whether a therapeutic INR is consistently maintained. These factors are also to be taken into account when determining anticoagulation for patients with NVAF, a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1, and an acceptably low risk of hemorrhagic complications (although anticoagulation is a weaker class IIb recommendation in such patients).

The AHA/ACC/HRS guidelines similarly recommend warfarin, dabigatran, rivaroxaban, or apixaban in patients with NVAF and prior stroke or TIA, or a CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq$ 2, but do not make recommendations for use of the HAS-BLED or other bleeding scores.<sup>44</sup> In the AHA/ASA guidelines for secondary stroke prevention, VKA therapy (class I; level of evidence A), apixaban (class I; level of evidence A), and dabigatran (class I; level of evidence B) are indicated for the

	RE-LY Dabiga- tran 110 mg BID <sup>46,49,50</sup>	RE-LY Dabiga- tran 150 mg BID <sup>46,49,50</sup>	ROCKET AF Rivaroxaban 20 mg QD <sup>48</sup>	ENGAGE AF-TIMI 48 Edoxaban 30 mg QD <sup>45</sup>	ENGAGE AF-TIMI 48 Edoxaban 60 mg QD <sup>45</sup>	ARISTOTLE Apixaban 5 mg BID <sup>47</sup>	AVERROES Apixaban 5 mg BID <sup>51</sup>
Comparator	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0- 3.0	Warfarin target INR, 2.0- 3.0	Warfarin target INR, 2.0-3.0	Aspirin 80-324 mg
Total N	18   13		14 264		105	18 201	5599
Latin American patients (n) Efficacy	1134 (South America; ITT, both efficacy and safety)		1878 (ITT); 1877 (SOT)	2661 (ITT);	2651 (SOT)	3468 (ITT); 3460 (SOT)	1185 (ITT, efficacy and safety)
Stroke or systemic embolism (noninferiority)	1.54 vs 1.71, RRR = 10%, P < .001	1.11 vs 1.71, RRR = 35%, P < .001	PP: 1.7 vs 2.2, RRR = 21%, P < .001	mITT: 1.61 vs 1.50, RRI = 7%, P = .005	mITT:1.18 vs 1.50, RRR= 21%, P < .001	1.27 vs 1.60, RRR = 21%, P < .001	
Stroke or systemic embolism (superiority)	1.54 vs 1.72, RRR = 11%, P = .27	1.12 vs 1.72, RRR = 35%, P < .001	2.1 vs 2.4, RRR = 12%, $P = .12$ , OT: 1.7 vs 2.2, RRR = 21\%, P = .02	2.04 vs 1.80, RRI = 13%, P = .10	1.57 vs 1.80, RRR = 13%, P = .08	1.27 vs 1.60, RRR = 21%, P = .01	1.6 vs 3.7, RRR = 55%, P < .001
lschemic stroke	Ischemic or nonspecified I.34 vs I.22, RRI = 10%, P = .42	lschemic or nonspecified 0.93 vs 1.22, RRR = 24%, P = .03	SOT: 1.34 vs 1.42, RRR = 6%, P = .581	1.77 vs 1.25, RRI = 41%, P < .001	I.25 vs I.25, RRR = 0%, P = 0.97	lschemic or nonspecified, 0.97 vs 1.05, RRR = 8%, P = .42	1.1 vs 3.0, RRR = 63%, P < .001
Hemorrhagic stroke	0.12 vs 0.38, RRR = 69%, P < .001	0.10 vs 0.38, RRR = 74%, P < .001	SOT: 0.26 vs 0.44, RRR: 41%, P =.024	0.16 vs 0.47, RRR = 67%, P < .001	0.26 vs 0.47, RRR = 46%, P < .001	0.24 vs 0.47, RRR = 49%, P < .001	0.2 vs 0.3, RRR = 33%, P = .45
All-cause mortality	3.75 vs 4.13, RRR = 9%, P = .13	3.64 vs 4.13, RRR = 12%, P = .051	SOT: 1.87 vs 2.21, RRR = 15%, P = .073		3.99 vs 4.35, RRR = 8%, P = .08	3.52 vs 3.94, RRR = 11%, P = .047	3.5 vs 4.4, RRR = 21%, P = .07
Safety							
Major bleeding	2.92 vs 3.61, RRR = 20%, P = .003	3.40 vs 3.61, RRR = 6%, P = .41	SOT: 3.6 vs 3.4, RRI = 4%, P = .58	SOT: 1.61 vs 3.43, RRR = 53%, P < .001	SOT: 2.75 vs 3.43, RRR = 20%, P <.001	SOT: 2.13 vs 3.09, RRR = 31%, P < .001	I.4 vs I.2, RRI = I3%, P = .57, SOT: I.4 vs 0.9, RRI = 54%, P = .07
Intracranial hemorrhage	0.23 vs 0.76, RRR = 70%, P < .001	0.32 vs 0.76, RRR = 59%, P < .001	SOT: 0.5 vs 0.7, RRR = 33%, P = .02	SOT: 0.26 vs 0.85, RRR = 70%, P < .001	SOT: 0.39 vs 0.85, RRR = 53%, P < .001	SOT: 0.33 vs 0.80, RRR = 58%, P < .001	.07 0.4 vs 0.4, RRR = 15%, P = .69

#### Table I. Results of Trials of DOACs for Stroke Prevention in NVAF.<sup>a</sup>

Abbreviations: BID, twice daily; DOAC, direct oral anticoagulant; INR, international normalized ratio; ITT, intent to treat; mITT, modified intent to treat; NVAF, nonvalvular atrial fibrillation; OT, on treatment; PP, per protocol; QD, once daily; RRI, relative risk increase; RRR, relative risk reduction; SOT, safety on-treatment.

<sup>a</sup>Both RRRs and RRIs are calculated from the published hazard ratios for ROCKET AF, ENGAGE AF–TIMI 48, ARISTOTLE, and AVERROES and from the published relative risks from RE-LY. All columns show DOAC versus warfarin, except AVERROES, which compared apixaban with aspirin. All data are presented as annual rates per 100 patients, except as noted. All analyses were performed on ITT populations unless otherwise specified. Adapted with permission of Dove Medical Press Ltd, from Foody JM. *Clin Int Aging.* 2017;12:175-187; permission conveyed through Copyright Clearance Center, Inc.

prevention of recurrent stroke in patients with paroxysmal or permanent NVAF. Rivaroxaban is a reasonable choice for such patients (class IIa; level of evidence B).<sup>54</sup>

The AAN recommends warfarin, dabigatran, rivaroxaban, and apixaban to reduce stroke risk in patients with NVAF judged to require oral anticoagulation; specific recommendations include warfarin in patients who are well controlled on warfarin already and dabigatran, rivaroxaban, and apixaban in those with high risk of ICH or who are unwilling or unable to submit to INR testing.<sup>53</sup> Where oral anticoagulation is unavailable, the AAN suggests combined aspirin and clopidogrel.

As only dabigatran and rivaroxaban were approved in Brazil at the time of the 2013 BSC guidelines on antiplatelet and anticoagulant agents in cardiology, recommendations for the

	RE-LY Dabigatran I 10 mg BID <sup>46</sup>	RE-LY Dabigatran 150 mg BID <sup>46</sup>	ROCKET AF Rivaroxaban 20 mg QD <sup>48</sup>	ENGAGE AF-TIMI 48 Edoxaban 30 mg QD <sup>45</sup>	ENGAGE AF-TIMI 48 Edoxaban 60 mg QD <sup>45</sup>	ARISTOTLE Apixaban 5 mg BID <sup>47</sup>
Comparator	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0	Warfarin target INR, 2.0-3.0
Total N	18 1 1 3		14 264	21 105		18 201
Latin American patients (n)	1134 (South America; ITT, both efficacy and safety)		1878 (ITT); 1877 (SOT)	2661 (ITT); 2651 (SOT)		3468 (ITT); 3460 (SOT)
Efficacy						
Stroke or systemic embolism	1.82 vs 1.68	0.91 vs 1.68	3.9 vs 4.8	2.15 vs 2.50	1.61 vs 2.50	1.4 vs 1.8
Safety						
Major bleeding				1.66 vs 3.74	2.65 vs 3.74	2.1 vs 3.5
Major and CRNM bleeding			17.78 vs 19.72			

Table 2. Results of Trials of DOACs for Stroke Prevention in NVAF (Latin American Subgroups).<sup>a</sup>

Abbreviations: BID, twice daily; CRNM, clinically relevant nonmajor; DOAC, direct oral anticoagulant; INR, international normalized ratio; ITT, intent to treat; NVAF, nonvalvular atrial fibrillation; QD, once daily; SOT, safety on-treatment.

<sup>a</sup>All columns show DOAC versus warfarin. All data are presented as annual rates per 100 patients.

use of DOACs in patients with NVAF are limited to these agents. Anticoagulation is recommended in patients with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq$ 2, and anticoagulation or aspirin is recommended in patients with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1; dabigatran and rivaroxaban are described as alternatives to warfarin in patients needing anticoagulation.<sup>56</sup> Similarly, the ASC guidelines offer recommendations on the use of dabigatran and rivaroxaban, with the additional option of apixaban.<sup>59</sup> Brazilian guidelines note that selection of antithrombotic therapy should be based on risk of embolic events as per CHA<sub>2</sub>DS<sub>2</sub>-VASc score and risk of bleeding as per HAS-BLED and based on RR benefit for each individual patient, particularly among individuals. The MSSI guidelines, published in 2012, mention only dabigatran and identify it as an alternative to VKA.<sup>57</sup>

### Emergency Bleeding

Although the short half-life of DOACs may decrease the need for immediate reversal, in cases of urgent bleeding or overdose, warfarin may have a perceived advantage as its activity can be reversed by vitamin K. The dabigatran antidote idarucizumab has recently become available in the United States,<sup>62</sup> and phase III trials of andexanet alfa for reversal of apixaban and rivaroxaban have been published<sup>63</sup>; aripazine, an agent for reversal of all DOACs, is also in development.<sup>64</sup> It should be noted that onset of vitamin K reversal of warfarin's anticoagulant effects may take hours after infusion; sometimes over a day is needed for an effective response.<sup>43,65</sup> In cases of major bleeding related to VKA administration, prothrombin complex concentrate (PCC) may be required in addition to vitamin K (fresh frozen plasma is another strategy but is associated with potential allergic reaction or infection and with longer time to prepare; more evaluation is needed for the use of recombinant factor VIIa).<sup>43,66</sup> The AHA/ASA note the limitations of warfarin's purported advantages in reversal, citing the high mortality rates of warfarin-related ICH despite the availability of reversal agents.<sup>55</sup> The 2015 EHRA practical guide<sup>43</sup> aligns with the ESC recommendations for anticoagulation in patients with NVAF, with specific practical clinical scenarios such as the need for emergency reversal.<sup>43</sup> The EHRA practical guide advises consideration of PCC or activated PCC (aPCC) for emergency reversal of bleeding in a patient who has taken a DOAC (or idarucizumab, if available, for a patient who has taken dabigatran).<sup>43</sup>

The BSC guidelines indicate that PCC can be used to reverse the activity of factor Xa inhibitors.<sup>56</sup> Prothrombin complex concentrate and aPCC are available in Latin American countries for anticoagulation reversal; however, because of their higher cost versus fresh frozen plasma, they are not routinely used, especially in public hospitals.<sup>67</sup> The ASC guidelines note that prothrombin factor complex has been found to restore coagulation in patients treated with rivaroxaban, but not those treated with dabigatran (while not making a formal recommendation for reversing DOAC-induced anticoagulation).<sup>59</sup>

Compared with VKAs, the risk of ICH with DOACs is reduced, but not eliminated, and ICH is still associated with high rates of death and disability.<sup>52,68,69</sup> Because patients with AF who survive ICH continue to have increased risk of ischemic stroke,<sup>41</sup> clinicians are tasked with weighing the risk–benefit of resuming or discontinuing oral anticoagulation therapy for anticoagulated patients presenting with ICH.

Nielsen et al identified patients with AF receiving warfarin or a DOAC with incident ICH.<sup>70</sup> In 1752 patients, after 1 year since the ICH, the rate of ischemic stroke/systemic embolism (SE) and all-cause mortality (per 100 person-years) was 13.6 for oral anticoagulation-treated patients compared with 27.3 for untreated patients and 25.7 for patients receiving antiplatelets; the adjusted hazard ratio (HR) for the combined end point of ischemic stroke/SE and all-cause mortality was 0.55 (95%) confidence interval: 0.39-0.78) for oral anticoagulation versus no treatment. The EHRA considers that DOACs may be restarted 4 to 8 weeks after an ICH, if the risk of another ICH is considered to be low and cardioembolic risk is high.<sup>43</sup> The BSC guidelines advocate restarting anticoagulation 10 to 30 weeks after an event of acute cerebral hemorrhage.<sup>56</sup>

Analyses from RE-LY showed similar ICH distributions across anatomic sites between dabigatran and warfarin, while absolute rates at all sites and fatal and traumatic ICH rates were lower for dabigatran.<sup>71</sup> However, direct comparisons of DOACs versus warfarin following ICH are lacking. A trial assessing apixaban versus no anticoagulation in patients with AF and recent ICH during anticoagulation treatment is ongoing.<sup>72</sup>

#### Treatment Interruption

Although the need for a temporary cessation of anticoagulation is quite common (in large clinical trials of DOACs versus warfarin, approximately one-quarter to one-third of patients required such cessation), guidelines offer inconsistent recommendations for its management.<sup>73,74</sup> The EHRA practical guide recommends that apixaban, edoxaban, and rivaroxaban be stopped >48 hours before elective surgery in those undergoing procedures with high bleeding risk and >24 hours before in those undergoing procedures with low bleeding risk (>36 hours before in case of creatinine clearance [CrCl]15-30 mL/min). In those undergoing procedures with low bleeding risk, dabigatran should be stopped >24,  $\geq$ 36, and  $\geq$ 48 hours beforehand in those with CrCl  $\geq$ 80 mL/min. 50 to 80 mL/min. and 30 to 50 mL/min. respectively (dabigatran is not indicated in patients with CrCl 15-30 mL/ min); these times are doubled for procedures with high bleeding risk. The EHRA does not recommend bridging therapy with another anticoagulant.<sup>43</sup> This contrasts with the AHA/ASA, who note the possibility of increased risk of stroke after abrupt discontinuation of the DOACs and thus recommend consideration of bridging therapy for those taking a DOAC, as well as recommending bridging for those taking VKA at high risk of thromboembolism and considering bridging for those at moderate risk.54 The AHA/ACC/HRS guidelines acknowledge the lack of data but regard bridging therapy with heparin in those at high thromboembolic risk who are taking a VKA to be common practice, while DOACs can be simply withheld for 1 day before the procedure.<sup>44</sup> Brazilian guidelines recommend bridging therapy with heparin in patients taking VKA for whom cardiac surgery is planned (with discontinuation of unfractionated heparin 4 hours before or lowmolecular-weight heparin 24 hours before in those at high risk of thromboembolism). In those with normal renal function undergoing cardiac surgery, dabigatran should be discontinued 48 hours before surgery (24 hours before a procedure with low bleeding risk) and rivaroxaban 24 hours before surgery, with no bridging therapy recommended in either case.<sup>56</sup> The ESC holds that most cardiovascular interventions can be safely performed without interrupting anticoagulation and that when interruption is necessary bridging therapy is not beneficial in patients without mechanical heart valves.<sup>58</sup> The ASC guidelines identify bridging therapy with heparin as a reasonable strategy for those being treated with a VKA; administration of dabigatran should be suspended 24 hours before surgery in those with CrCl >50 mL/min and 2 to 5 days beforehand in those with CrCl <50 mL/min, while rivaroxaban and apixaban should be suspended 24 hours before surgery.<sup>59</sup>

# Management of Acute Ischemic Stroke in Anticoagulated Patients

Despite the benefits of VKAs and DOACs to reduce stroke in patients with AF, approximately 1.0% to 2.0% of treated patients are still likely to experience an acute ischemic stroke each year.<sup>45-48,50,51</sup>

Thrombolytic therapy with recombinant tissue-type plasminogen activator is appropriate when given shortly after the onset of ischemic stroke (<4.5 hours).<sup>43</sup> However, current anticoagulation is a contraindication to thrombolysis. Clinicians must determine the patient's current anticoagulation status and estimate any corresponding increase in the risk of hemorrhage with reperfusion. Clinically important anticoagulant effect can be ruled out by detecting normal values on the thrombin time or ecarin clotting time, the Hemoclot Thrombin Inhibitor assay,  $\geq$ 4 hours after the last dose of dabigatran, or a normal antifactor Xa assay >5 hours after the last dose of rivaroxaban or apixaban.<sup>75</sup> Absent reliable point-of-care tests, the EHRA recommends avoiding thrombolysis in patients who have received DOAC therapy within 24 to 48 hours or in whom there is uncertainty regarding anticoagulation status; however, this recommendation is arbitrary and untested.<sup>43</sup>

Recent clinical trial evidence has shown that arterial thrombectomy can be an effective treatment for patients with acute ischemic stroke with large artery occlusions.<sup>76-80</sup> These trials, unlike previous evaluations of endovascular therapy, tested the addition of the endovascular approach to standard intravenous thrombolysis, required documentation of occlusion, had low median onset-to-groin times, and generally employed stent retrievers that were able to achieve faster and more complete recanalization. Recent investigation has found no increased risk of symptomatic ICH associated with the use of oral anticoagulants among patients undergoing arterial thrombectomy.<sup>81</sup> Thus, this approach may be an option in patients with acute ischemic stroke who are receiving oral anticoagulants.

With no prospective data indicating the ideal time to resume anticoagulation after ischemic stroke, the decision is largely based on clinical judgment. The EHRA practical guide advises that DOAC continuation after ischemic stroke is linked to infarct volume.<sup>43</sup> If the infarct size is unlikely to increase the risk of early secondary intracerebral bleeding, DOAC use is similar to usual practice with VKAs. While acknowledging the lack of data, the guidelines mention the 1-3-6-12-day principle, which advises resumption of anticoagulation after 1 day following a TIA; 3 days following a small, nondisabling infarct; 5 to 7 days following a moderate stroke; and 12 to 14 days after a large infarct.<sup>43</sup> The AHA/ASA guidelines recommend initiating anticoagulation within 14 days after the onset of neurologic symptoms (class IIa; level of evidence B).<sup>54</sup> The BSC guidelines recommend antiplatelet therapy for secondary prevention of noncardioembolic ischemic stroke.<sup>56</sup>

# Left Atrial Appendage Closure

The ESC recommends left atrial appendage closure only for those patients in whom anticoagulation is contraindicated, citing limited evidence comparing this approach to anticoagulation.<sup>58</sup> A meta-analysis cited in the guidelines including 2406 patients with NVAF found reduced rates of hemorrhagic strokes (HR = 0.22; P = .004), cardiovascular/unexplained death (HR = 0.48; P = .006), and nonprocedural bleeding (HR = 0.51; P = .006) with the WATCHMAN device versus warfarin, although the increased ischemic stroke rate with the device meant a similar event rate for all-cause stroke or SE (HR = 1.02; P = .94).<sup>82</sup> The AHA/ASA guidelines recommend left atrial appendage closure for consideration in high-risk patients with AF deemed unsuitable for anticoagulation who can tolerate the risk of  $\geq$ 45 days periprocedural anticoagulation, if performed at a center with low rates of complications. Support for this position comes from the results of the WATCHMAN trial, which found noninferiority of the WATCHMAN device versus warfarin in the primary efficacy end point of stroke/SE/cardiovascular death, as well as the lack of comparison with DOACs.55 The AHA/ACC/HRS guidelines make no recommendation regarding this approach.<sup>44</sup> The ASC guidelines describe left arterial appendage closure as reasonable in patients with a CHADS<sub>2</sub> score of 2 and contraindications to oral anticoagulation.<sup>59</sup>

# Compliance With Guidelines in Latin America

Nonadherence to guidelines is associated with poor outcomes in anticoagulant therapy.<sup>83</sup> In the analysis from ROCKET AF, the individual-level time in therapeutic range for patients in the warfarin group was significantly lower in Latin America than in the United States/Canada.<sup>84</sup> Concerns regarding the safety of standard anticoagulation may be a factor; 1 Brazilian study of patients in the oral anticoagulation outpatient clinic at a cardiology hospital found that 68.6% were concerned about the bleeding risk associated with oral VKAs.85 Aspirin, meanwhile, may be overused in the region; a literature search found that antiplatelet therapy was prescribed to 63% of patients with AF in Argentina and Mexico.<sup>86</sup> Encouraging signs of progress may be seen with greater attention to adherence. An examination of quality indicators at 1 Brazilian primary stroke center found higher use of some appropriate acute interventions versus those seen in the US-based Get with the Guidelines (GWTG) program, such as intravenous thrombolysis given to eligible patients (69.5% vs 42.1% in the GWTG cohort in 2003 and 72.8% in the GWTG 2007 data set).87 Notably, patients in the Brazilian center were more likely to receive anticoagulation for AF if followed by a neurologist during admission. Creation of a prospective stroke registry using a standardized form filled out by the neurology resident in charge during hospitalization was found to improve adherence to measures of acute stroke care quality in a Mexican study.<sup>88</sup> Younger physicians may be more attuned to guideline recommendations; a survey of cardiologists in Rio Grande do Sul, Brazil, found that 87.7% of those having graduated less than 25 years ago used a risk score to determine the need for anticoagulation versus 73.2% of those who had graduated over 25 years ago (P = .02).<sup>89</sup>

Adherence to guidelines can be limited by cost/access issues, which vary across the region.<sup>90</sup> In Brazil, patients treated in the public sector typically continue to receive warfarin or another VKA because these costs are supported by the public system. Only 30% of patients have private health insurance and access to newer therapies (eg, DOACs) for stroke prevention. In Brazil and Mexico, local authorities may subsidize more expensive medications, but it takes time to get new therapies included on formularies and differences in access exist between local areas.<sup>91</sup>

# Conclusions

Both AF and stroke are substantial and impactful health problems in Latin America. Although variations in access to health care may play a role, there is ample room for improvement through greater adherence to evidence-based treatment recommendations. The CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED (and probably ORBIT) scores offer both predictive value and relative simplicity and can be used together to ensure appropriate anticoagulation therapy for patients able to benefit from it. Although more data are needed regarding the best approaches for specific clinical situations, such as emergency bleeding and stroke in patients who are being anticoagulated with DOACs, these agents offer the promise of treatment without routine monitoring that may help toward meeting the goal of all appropriate patients receiving anticoagulation.

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