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### Machine Learning Applications in Nephrology: A Bibliometric Analysis Comparing Kidney Studies to Other Medicine Subspecialities

Ashish Verma, Vipul C. Chitalia, Sushrut S. Waikar, and Vijaya B. Kolachalama

Rationale & Objectives: Artificial intelligence driven by machine learning algorithms is being increasingly employed for early detection, disease diagnosis, and clinical management. We explored the use of machine learning–driven advancements in kidney research compared with other organspecific fields.

Study Design: Cross-sectional bibliometric analysis.

Setting & Participants: ISI Web of Science database was queried using specific Medical Subject Headings (MeSH) terms about the organ system, journal International Standard Serial Number, and research methodology. In parallel, we screened the National Institutes of Health (NIH) RePORTER website to explore funded grants that proposed the use of machine learning as a methodology.

**Predictors:** Number of publications using machine learning as a research method.

**Outcome:** Articles were characterized by research methodology among 5 organ systems (brain, heart, kidney, liver, and lung). Grants funded by NIH for machine learning were characterized by study sections.

Analytical Approach: Percentages of articles using machine learning and other research meth-

Machine learning is rapidly emerging as an integral Melement in the repertoire of data analytic tools in a broad range of medical applications. With advances in hardware and software, advanced machine learning frameworks such as deep neural networks are increasingly being considered to process a range of biomedical datasets.<sup>1,2</sup> In the context of kidney dis-

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eases and kidney health, a few examples include the application of machine learning to predict acute kidney injury using electronic health record data,<sup>3,4</sup> use of digitized human kidney biopsies and deep learning to segment kidney structures<sup>5-8</sup> as well as predict clinical phenotypes,<sup>9</sup> and analysis of radiological imaging data to measure total kidney volume.<sup>10</sup> More examples can be found in a few recently published review articles,<sup>11-15</sup> which are focused on educating

odologies were compared among 5 organ systems.

Results: Machine learning-based articles that are focused on the kidney accounted for 3.2% of the total relevant articles from the 5 organ systems. Specifically, brain research published over 19-fold higher number of articles than kidney research. As compared with machine learning, conventional statistical approaches such as the Cox proportional hazard model were used 9-fold higher in articles related to kidney research. In general, a lower utilization of machine learning-based approaches was observed in organ-specific specialty journals than the broad interdisciplinary journals. The digestive disease, kidney, and urology study sections funded 122 applications proposing machine learning-based approaches compared to 265 applications from the neurology, neuropsychology, and neuropathology study sections.

Limitations: Observational study.

**Conclusions:** Our analysis suggests lowest use of machine learning as a research tool among kidney researchers compared with other organ-specific researchers, underscoring a need to better inform the kidney research community about this emerging data analytic tool.

Complete author and article information provided before references.

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the nephrology and the nephropathology communities on the merits and limitations of machine learning approaches.

Machine learning is a powerful data analytic tool that provides systems with the ability to automatically learn and improve from experience without being explicitly programmed. It is similar to several other tools that are available to the scientific community. When used appropriately, it has the potential to unravel interesting findings, such as how genome-wide association studies can identify new loci associated with kidney function and chronic kidney disease.<sup>16</sup> Whether research in nephrology uses machine learning to the same extent as other fields is unknown. To better understand if kidney research has been keeping up with the pace of machine learning-driven advancements seen in other organ-specific fields, we conducted a bibliometric analysis to compare the number of manuscripts published using machine learning as a methodology among different organ systems and research areas. We also compared the funding sources of the

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#### PLAIN-LANGUAGE SUMMARY

Machine learning is an exciting research tool that increasingly is considered for early detection, disease diagnosis, and clinical management. We explored the use of machine learning–driven advancements in nephrology compared with other medical subspecialties. We did a bibliometric analysis employing a Web of Science database using specific search terms for organ systems and research methods. Our analysis suggested the lowest use of machine learning in nephrology compared with other medical subspecialties. Our study results highlight the importance of informing the kidney research community about this emerging data analytic tool.

machine learning manuscripts and the number of grants awarded that proposed machine learning as a research methodology.

#### **METHODS**

#### Study Design and Data Collection

In this cross-sectional bibliometric analysis, we used the ISI Web of Science research database (WoS) to identify articles using machine learning methodologies.<sup>17</sup> The WoS covers articles published since January 1, 1864. We performed our search on October 10, 2020. A detailed explanation of the Medical Subject Headings (MeSH) terms and Boolean commands used for the search strategy is available in Items S1 and S2. Briefly, we identified articles using machine learning and other methodologies in different journals using the International Standard Serial Number (ISSN) for journals and organ-specific MeSH words refined by specific research areas. We used the National Institutes of Health (NIH) RePORTER website<sup>18</sup> to identify research grants given by NIH institutions for research projects using machine learning as a methodology. The database covers grant data between 1985 and 2020.

Because all the data used in this study is available to the public and does not contain any protected health information, we did not seek institutional review board approval. The study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guidelines for cross-sectional studies.

#### **Outcomes and Measures**

The WoS was searched to identify articles using methodological approach MeSH terms (machine learning, Coxproportional hazard model, etc) and organ-specific MeSH terms (brain, heart, kidney, liver, and lung). We used search terms such as ELISA, PCR, Cox-proportional hazard model to represent traditional research tools and terms such as machine learning, CRISPR/Cas9, and GWAS to represent novel research tools in this analysis. We restricted our search to the areas of "neurosciences & neurology," "cardiovascular system & cardiology," "urology & nephrology," "gastroenterology & hepatology," and "respiratory system," as defined by the WoS search glossary.

In the final analysis, we included only those articles that mentioned a specific methodology and the organ of interest and were tagged with a specific research area. Similarly, specific journals were searched using ISSN, organ name, and methodology in WoS. The journals were selected according to impact factor. We included journals focused on specific organ systems with high impact factors in the final analysis. We compared manuscripts restricted to our query across 5 different organs and different methodologies. Articles including original research, reviews, and meeting abstracts in all languages were included in our final analysis.

Using the NIH RePORTER website, we extracted data for research grants funded by various NIH institutions from 1985 to 2020, using the MeSH term "machine learning." We extracted information on the awarding institute and type of grant—for instance, career development grants (K series), fellowship and training grants (F & T series), and R01 grants. We also searched journals to identify the number of machine learning papers that acknowledged specific NIH-level sponsors.

#### **Statistical Analysis**

We used descriptive statistics and compared proportions using the  $\chi^2$  test. Statistical significance was set at 2-tailed P < 0.05. Statistical analysis was performed using GraphPad Prism (GraphPad Software).

#### RESULTS

#### Articles Focused on Organ Systems

The WoS query identified a total of 388,169 articles across 5 research areas using organ-specific MeSH terms. Out of these articles, 13,373 (3.4%) belonged to the machine learning category. Among all the published machine learning articles, 434 (3.2%) articles were focused on kidney research (Table 1). Brain research had the highest number of research articles (61.9%, n = 8,278) published between 1952 and 2020 using machine learning, whereas kidney research had the lowest number of articles (3.2%, n = 434) published between 1989 and 2020. Also, the 5-year trends of published machine learning manuscripts across 5 organ-specific research areas indicated that brain research had the highest number of research articles whereas kidney research had the fewest research articles for a consistent duration (Fig 1).

#### **Articles in Different Journals**

Subject-specific and clinical journals had fewer publications using machine learning compared with science and multidisciplinary journals (Table 2). The highest number Table 1. Articles Focused on Specific Organs in the Web of Science Bibliometric Database Using Combination of Organ and Methodology Specific MeSH Term and Boolean Operators

Methodologies	Brain (n = 96,225)	Heart (n = 89,846)	Kidney (n = 48,207)	Liver (n = 87,191)	Lung (66,700)	Total No. of Manuscripts per Row
Cox proportional hazard model	2,118 (8.09%)	13,286 (50.7%)	4,087 (15.6%)	2,971 (11.3%)	3,715 (14.2%)	26,177
CRISPR/Cas9	662 (29.3%)	560 (24.8%)	251 (11.1%)	427 (18.8%)	361 (15.9%)	2,261
ELISA	10,263 (17.8%)	16,417 (28.5%)	7,599 (13.2%)	12,578 (21.9%)	10,670 (18.5%)	57,527
GWAS	991 (29.1%)	1,436 (42.2%)	219 (6.4%)	340 (10%)	413 (12.1%)	3,399
Machine learning	8,278 (61.9%)	2,931 (21.9%)	434 (3.2%)	619 (4.6%)	1,111 (8.3%)	13,373
PCR	36,786 (22.8%)	31,119 (19.3%)	20,627 (12.8%)	42,095 (26%)	30,754 (19%)	161,381
Western blot	37,127 (29.9%)	24,097 (19.4%)	14,990 (12.08%)	28,161 (22.7%)	19,676 (15.9%)	124,051

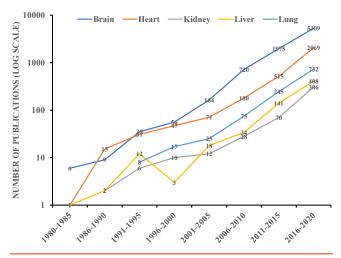
Percentages are calculated per total number of manuscripts in a specific row. Example of search terms: SU = (Neurosciences & Neurology) AND (AB = Methodology term AND Brain OR AK = Methodology term AND Brain)

Abbreviations: CRISPR, clustered regularly interspaced short palindromic repeats; ELISA, enzyme-linked immunosorbent assay; GWAS, genome-wide association study; MeSH, Medical Subject Headings; PCR, polymerase chain reaction.

of machine learning manuscripts were published in Nature Communications followed by Circulation, whereas the kidneybased research published the lowest number of articles. These journals include the Journal of American Society of Nephrology (JASN) followed by Kidney International (KI). In a subgroup analysis, we compared machine learning articles related to kidney research versus those that were non-kidney related. We found that a smaller proportion of kidney research articles used machine learning methods versus other analysis methods such as the Cox-proportional hazard models, whereas this proportion was higher in the case of nonkidney related articles ( $\chi^2$  (1, N = 39,550) = 1337.2, P < 0.001).

#### **Funding Sources of Articles**

The highest number of machine learning manuscripts, 573 (14%), acknowledged the National Institute of Neurological Disorders and Stroke (NINDS) as their funding source. The National Institute of Diabetes and Digestive



**Figure 1.** Publication trends of manuscripts using machine learning as a research tool for 5-year intervals across 5 organ systems from 1980 to 2020.

and Kidney Diseases (NIDDK) was only acknowledged by 70 (1.7%) total manuscripts based on machine learning approaches (Table 3).

#### Grants Funded by NIH

Out of all grants funded by 7 NIH institutions, the National Cancer Institute (NCI) funded the maximum number of grants, 428 (25.1%), whereas the NIDDK funded 122 (7.2%) grants (Table 4). In terms of the career development grants and fellowship grants, the National Heart, Lung, and Blood Institute (NHLBI) funded the most grants: 56 (31.5%) and 29 (25%), respectively.

#### DISCUSSION

Historically, the field of nephrology has lagged in using analytical approaches. For example, large observational studies on cardiovascular disease risk were published in the late 1950s and early 1960s, 19,20 but similar studies were published only decades later in nephrology.<sup>21</sup> In line with the historical perspective, we have shown that kidney disease research underutilizes machine learning as a research tool compared with other organs and organ systems. In terms of the 5-year trends related to the publication of machine learning-based articles, kidney-focused articles lag behind those for other organ systems. We also found that organ-specific journals have been publishing a smaller number of machine learning-based articles compared with multidisciplinary journals. Even within these journals, the kidney-specific journals are lagging behind in terms of publishing machine learning-based manuscripts. The lowest number of articles using machine learning approaches acknowledged NIDDK as a funding source.

These findings suggest underutilization of machine learning as a research tool in kidney research compared with other specialties. The question then arises as to the reason for such a discrepancy in kidney literature compared with other specialties. An approach or a

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Table 2. Articles Published in Specialty Journals Listed on the Web of Science Bibliometric Database Using ISSN Number of the Specific Journal and MeSH Term for the Methodology

	Kidney Int	J Am Soc Nephol	<i>Brain</i> (n =	Hepatology	Chest	Am J Respir Crit Care Med.	Circulation	New Engl J Med	Nat Med	Nat Commun
	(n = 1,813)						(n = 10,123)	(n = 964)	(n = 472)	(n = 1,766)
Cox proportional hazard model	139 (7.6%)	94 (13.8%)	20 (4.9%)	192 (4.1%)	119 (19.9%)	86 (7.1%)	2,311 (22.8%)	82 (8.5%)	3 (0.6%)	2 (0.11%)
CRISPR/	9	13	6	48	0	5	121	5	27	341
Cas9	(0.5%)	(1.9%)	(1.5%)	(1.02%)		(0.41%)	(1.2%)	(0.51%)	(5.7%)	(19.3%)
ELISA	312	80	28	949	122	221	1,601	102	13	21
	(17.2%)	(11.8%)	(6.9%)	(20.3%)	(20.4%)	(18.3%)	(15.8%)	(10.6%)	(2.7%)	(1.2%)
GWAS	6 (0.33%)	16 (2.3%)	9 (2.2%)	16 (0.34%)	2 (0.33%)	16 (1.32%)	299 (2.9%)	0	11 (2.3%)	212 (12%)
Machine	9	7	30	33	13	22	206	14	25	292
learning	(0.49%)	(1.02%)	(7.5%)	(0.7%)	(2.2%)	(1.8%)	(2.03%)	(1.45%)	(5.3%)	(16.5%)
PCR	767	251	183	2,018	282	597	2,443	661	255	518
	(42.3%)	(36.9%)	(45.5%)	(43.1%)	(47.07%)	(49.3%)	(24.1%)	(68.5%)	(54%)	(29.3%)
Western	573	219	126	1,425	61	263	3,142	100	138	380
blot	(31.6%)	(32.2%)	(31.3%)	(30.4%)	(10.2%)	(21.7%)	(31%)	(10.3%)	(29.2%)	(21.5%)

Abbreviations: CRISPR, clustered regularly interspaced short palindromic repeats; ELISA, enzyme-linked immunosorbent assay; GWAS, genome-wide association study; ISSN, International Standard Serial Number; MeSH, Medical Subject Headings; PCR, polymerase chain reaction.

technology employed in any scientific research is based on its appropriateness to address a question, the availability of the research tool, and the expertise and knowledge of the investigative team. These parameters likely dictate the publications and inclusion of such a technology in research proposals. Our results, which demonstrate the least

NIH Institutions	Brain	Heart	Kidney	Liver	Lung	N (%)
NIDDK	0	21	29	16	4	70 (1.7%)
NIGMS	127	70	22	13	21	253 (6.1%)
NCI	124	36	19	38	111	328 (8.03%)
NCATS	103	67	18	10	20	218 (5.3%)
NHLBI	37	189	10	6	57	299 (7.3%)
NIBIB	454	39	6	16	28	543 (13.3%)
NHGRI	0	15	6	0	9	30 (0.7%)
NIAID	0	0	4	7	15	26 (0.6%)
NIEHS	0	12	4	8	8	32 (0.7%)
NINDS	521	43	3	2	4	573 (14.0%)
NIA	433	24	3	2	4	466 (11.4%)
NCRR	131	30	2	4	16	183 (4.4%)
NIAAA	31	0	0	4	0	35 (0.8%)
NICHD	138	11	0	6	5	160 (3.9%)
NIAMS	0	0	0	4	5	9 (0.2%)
NIMH	522	13	0	0	7	542 (13.2%)
NIDA	146	9	2	0	4	161 (3.9%)
NIDCD	51	0	0	0	0	51 (1.2%)
NEI	40	0	0	0	0	40 (0.9%)
NIMHD	0	8	0	0	0	8 (0.19%)
NLM	58	50	12	9	25	154 (3.7%)
						4,081

Table 3. Machine Learning-Based Articles Supported From Grants From Various NIH Institutions

The data were extracted from the Web of Science bibliometric database from 1864 to 2020.

Abbreviations: NCATS, National Center for Advancing Translational Sciences; NCI, National Cancer Institute; NCRR, National Center For Research Resources; NEI, National Eye Institute; NHGRI, National Human Genome Research Institute; NHLBI, National Heart, Lung, and Blood Institute; NIA, National Institute on Aging; NIAAA, National Institute on Alcohol Abuse and Alcoholism; NIAID, National Institute of Allergy and Infectious Diseases; NIBIB, National Institute of Biomedical Imaging and Bioengineering; NICHD, National Institute of Child Health and Human Development; NIDA, National Institute on Drug Abuse; NIDDK, National Institute of Diabetes and Digestive and Kindey Diseases; NIGMS, National Institute of General Medical Sciences; NIMH, National Institute of Mental Health; NINDS, National Institute of Neurological Disorders and Stroke; NLM, National Library of Medicine.

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Table 4. Comparison of Grants Awarded by Various NIHInstitutions for Research Projects That Proposed the Use ofMachine Learning as a Research Tool From 1985 to 2020

NIH Institution	Total No. of Grants (N = 1,704)	Career Development Grants (n = 178)	Fellowship and Training Grants (n = 116)
NCI	428 (25.1%)	24 (13.4%)	24 (20.7%)
NHLBI	285 (16.7%)	56 (31.5%)	29 (25%)
NINDS	265 (15.5%)	25 (14.04%)	23 (19.8%)
NLM	251 (14.7%)	22 (12.3%)	16 (13.8%)
NIBIB	185 (10.8%)	12 (6.7%)	3 (2.6%)
NHGRI	133 (7.8%)	17 (9.5%)	12 (10.3%)
NIDDK	122 (7.2%)	21 (11.8%)	8 (6.9%)
NCATS	35 (2.05%)	1 (0.56%)	1 (0.9%)

Abbreviations: NCATS, National Center for Advancing Translational Sciences; NCI, National Cancer Institute; NHGRI, National Human Genome Research Institute; NHLBI, National Heart, Lung, and Blood Institute; NIBIB, National Institute of Biomedical Imaging and Bioengineering; NIDDK, National Institute of Diabetes and Digestive and Kidney Diseases; NINDS, National Institute of Neurological Disorders and Stroke; NLM, National Library of Medicine.

number of machine learning research papers acknowledging NIDDK as a supporting agency and the least number of kidney research articles published in prime kidney journals (JASN and KI), are symptomatic of one or a combination of the aforementioned factors.

These results also raise the possibility of whether there is lukewarm enthusiasm among kidney researchers to embrace machine learning as an analysis tool or if researchers with machine learning expertise are not necessarily focused on kidney diseases per se. Interestingly, our analysis also suggested that kidney disease researchers have adopted other novel methods and techniques like CRISPR/ Cas9 and GWAS (genome-wide association study) at higher rates than machine learning tools.

To address the issue of underutilization of machine learning as a research tool among trainees, clinicians, and kidney researchers, the following strategies can be considered. First, trainees in medical schools can be introduced to machine learning through courses focused on population health in general<sup>22</sup> and by showcasing examples related to kidney diseases in particular to illustrate how this tool can impact disease prediction, risk stratification, and management. It is also possible to improve community-wide awareness about the advantages and limitations of machine learning by developing continuing medical education content and disseminating the material during conferences and workshops. During these events, dedicated research sessions and seminars on the applications of machine learning in nephrology and nephropathology could be organized. The presence of educators who are well versed in machine learning will be helpful so that they can illustrate its advantages and limitations to the nephrology and the nephropathology communities.

This gradual transformation would also be reflected in the constitution of peer review processes and NIH study sections. Special issues within kidney journals focusing on machine learning applications would also augment awareness of this technology. It is noteworthy that there are ongoing efforts to integrate machine learning in the Kidney Precision Medicine Project,<sup>23</sup> the Chronic Renal Insufficiency Cohort (CRIC) study,<sup>24-26</sup> the Cure Glomerulonephropathy (CureGN) study,<sup>27</sup> and the Nephrotic Syndrome Study Network (NEPTUNE).<sup>28,29</sup> Making the greater scientific community aware of these initiatives will likely increase data science research in kidney diseases.

Last but not least, national- and local-level research sponsors should consider increasing the funding priority for machine learning-based applications focused on kidney health and disease. Creative approaches such as dedicated fellowships and funding opportunities that are focused on data science would be educational and attract the interest of the broader community in pursuing kidney research.

Our study has a few strengths and limitations. To our knowledge, this bibliometric study is the first of its kind to identify the differences in the number of articles published, trends of publications, and research funding across different organ systems that have used machine learning as a methodology. The study's limitation is that we worked only with Web of Science and NIH RePORTER. There are other public and commercially available bibliometric databases such as Scopus and Google Scholar, and no bibliometric database is superior to the others; the differences in the way data are organized in each database may lead to subtle differences in the search outputs.<sup>30</sup> Therefore, we acknowledge that there might be possible discrepancies in identifying the exact set of manuscripts relevant to the scope of this study. Nevertheless, we maintained consistency across our search keywords and our approach, which provided us with the data needed to evaluate the extent to which machine learning is used as a methodology within the kidney research community. Also, our work mainly focused on US-specific databases such as NIH RePORTER, but this approach can be easily extended to European, Asian, and other funding agencies.

In conclusion, our bibliometric study based on querying public databases provided the direct insight that there are significant differences in the use of machine learning as a research tool among kidney researchers compared with those who are focused on other organ systems. The reasons for this critical gap should be explored, and the kidney research community should become better informed via various educational platforms and training programs about this exciting research tool.

#### SUPPLEMENTARY MATERIAL

#### Supplementary File (PDF)

Item S1: Search strategy for bibliometric analysis.

**Item S2:** Search strategy for NIH grants for research projects utilizing machine learning as research tool.

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