






SYSTEMATIC REVIEW



The role of acute hypercapnia on mortality and short-term physiology in patients mechanically ventilated for ARDS: a systematic review and meta-analysis

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Abstract

Purpose: Hypercapnia is frequent during mechanical ventilation for acute respiratory distress syndrome (ARDS), but its effects on morbidity and mortality are still controversial. We conducted a systematic review and meta-analysis to explore clinical consequences of acute hypercapnia in adult patients ventilated for ARDS.

Methods: We searched Medline, Embase, and the Cochrane Library via the OVID platform for studies published from 1946 to 2021. “Permissive hypercapnia” defined hypercapnia in studies where the group with hypercapnia was ventilated with a protective ventilation (PV) strategy (lower V_T targeting 6 ml/kg predicted body weight) while the group without hypercapnia was managed with a non-protective ventilation (NPV); “imposed hypercapnia” defined hypercapnia in studies where hypercapnic and non-hypercapnic patients were managed with a similar ventilation strategy.

Results: Twenty-nine studies (10,101 patients) were included. Permissive hypercapnia, imposed hypercapnia under PV, and imposed hypercapnia under NPV were reported in 8, 21 and 1 study, respectively. Studies testing permissive hypercapnia reported lower mortality in hypercapnic patients receiving PV as compared to non-hypercapnic patients receiving NPV: OR = 0.26, 95% CI [0.07–0.89]. By contrast, studies reporting imposed hypercapnia under PV reported increased mortality in hypercapnic patients receiving PV as compared to non-hypercapnic patients also receiving PV: OR = 1.54, 95% CI [1.15–2.07]. There was a significant interaction between the mechanism of hypercapnia and the effect on mortality.

Conclusions: Clinical effects of hypercapnia are conflicting depending on its mechanism. Permissive hypercapnia was associated with improved mortality contrary to imposed hypercapnia under PV, suggesting a major role of PV strategy on the outcome.

Keywords: Hypercapnia, ARDS, Hemodynamics

Introduction

Mechanical ventilation is a frequently used supportive technique for acute respiratory distress syndrome (ARDS). The main purpose of mechanical ventilation in this setting is to maintain oxygenation, lower oxygen consumption and reduce respiratory work. Despite

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the clear benefits of this therapy, the mechanical forces generated by the ventilator can cause worsening injury in previously damaged lungs (ventilator induced lung injury, VILI). To minimize VILI, a strategy of lung protective ventilation (PV) involving lower tidal volume (V_T targeting 6 ml/kg predicted body weight) is recommended [1]. In practice, PV may elevate carbon dioxide (CO_2) levels in the blood inducing hypercapnia. “Permissive” hypercapnia, which results from lowering V_T to achieve PV is, therefore, generally accepted to minimize VILI. In addition, some authors have suggested a specific beneficial role for hypercapnia in the experimental setting [2].

Recent evidence suggests that acute hypercapnia could have harmful physiological and clinical effects in patients with ARDS, particularly impacting the hemodynamic system [3–5]. The fact that hypercapnia is specifically driven by V_T reduction from non-protective ventilation (NPV) to PV (“permissive” hypercapnia) or is rather the result of ARDS severity, may have a major role in its net clinical effect, given the associated benefits of PV on VILI and survival [1, 6].

The aim of the current review and meta-analysis was to summarize the clinical consequences of acute hypercapnia in mechanically ventilated patients while considering its mechanism (“permissive” or not). The primary objective was to determine the association between acute hypercapnia and mortality in adult patients mechanically ventilated for ARDS. The secondary objective was to identify association between acute hypercapnia and hemodynamics (systemic and pulmonary circulation) in adult patients mechanically ventilated for ARDS.

Methods

Search strategy and selection criteria

We performed this study in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement [7]. The following electronic databases were searched via the OVID platform on November 2018: MEDLINE® In-Process & Other Non-Indexed Citations, MEDLINE (1946 to present), Embase (1980 to present), The Cochrane Library, incorporating the Cochrane Database of Systematic Reviews (Cochrane Reviews), the Database of Abstracts of Reviews of Effects, the Cochrane Central Register of Controlled Trials, the Health Technology Assessment Database, and the NHS Economic Evaluation Database. To identify any recent studies for which there are currently no full publications, the following conference proceedings were examined for relevant abstracts (and posters/slide decks, if available) from 2011 to 2018:

Take-home message

We found conflicting clinical effects of hypercapnia during ARDS depending on its mechanism.

The protective effects of permissive hypercapnia seemed driven by protective ventilation while the deleterious effects of imposed hypercapnia seemed mediated by pulmonary vascular dysfunction.

American Thoracic Society, European Respiratory Society, European Society of Intensive Care Medicine, International Symposium on Intensive Care and Emergency Medicine, International Society for Pharmacoeconomics and Outcomes Research (International and European meeting), and Society for Critical Care Medicine. Research was updated on November 2021 with the same research method. The search strategies used are detailed in online resource, Appendix A. Potentially relevant studies were screened by two independent reviewers in separate databases. We included all studies with mechanically ventilated patients reporting acute hypercapnia with no restriction on severity of hypercapnia, intervention, countries, or study design (we included cross-sectional studies, case-control studies, cohort studies, database/registries analyses, hospital records analyses, and randomized controlled trials). We excluded studies in children and animals and focused primarily on studies written in English. After the removal of the duplicates, two reviewers independently screened titles and abstracts to obtain relevant articles for full text analysis (first pass). Full-text publications of all potentially relevant citations identified at first pass were reviewed for eligibility (2nd pass). Eligible papers were then independently selected for inclusion if they involved adult patients fulfilling ARDS criteria as per the Berlin definition (considering “acute lung injury” as per the previous definition as mild ARDS; 3rd pass) [8]. Papers on the use of extracorporeal carbon dioxide removal for ultraprotective ventilation were excluded. Any disagreement was resolved by discussion with a third reviewer. This study was registered in the International Prospective Register of Systematic Reviews (PROSPERO) database (registration number CRD42020159018). Ethical approval was not required.

Data analysis

The following data were independently extracted by the review authors from each selected study: year of publication, study design, PaCO_2 , and ventilation strategy (defined as PV if targeting 6 ml/kg predicted body weight of V_T [1] and NPV otherwise), hemodynamics (pulmonary and systemic circulation) and mortality.

For quality (risk of bias) assessment, we used the risk-of-bias tool (RoB2) [9] for randomized controlled trials (RCTs) and the Quality Assessment Tool for Quantitative Studies produced as part of the Effective Public Health Practice Project for observational studies (including prospective interventional studies) [10]. For every study, each component was rated as: strong, moderate or weak, and used to assign an overall rating for the study.

Definitions

We used the term “permissive hypercapnia” to define hypercapnia in studies where the group with hypercapnia was ventilated with a PV strategy (lower V_T targeting 6 ml/kg predicted body weight) while the group without hypercapnia was managed with a NPV strategy. We used the term “imposed hypercapnia under PV” to define hypercapnia in studies where hypercapnic and non-hypercapnic patients were both managed with a PV strategy. We used the term “imposed hypercapnia under NPV” to define hypercapnia in studies where hypercapnic and non-hypercapnic patients were both managed with a NPV strategy [11]. Hypercapnia was primarily the result of the chosen ventilation strategy (PV or NPV), and the strategy was mostly guided irrespective of PaCO₂ values.

Statistical analysis

We conducted a meta-analysis of observational prospective and retrospective studies. Data were summarized using medians and interquartile ranges (IQRs) or mean \pm standard deviation (SD) where appropriate [12]. The odds ratio (OR) with 95% confidence interval (CI) was calculated for death.

We adopted a random effect model with Mantel–Haenszel method for individual study effects, to assess the population OR and 95% confidence interval for death according to hypercapnia. We used the Knapp–Hartung adjustment for test statistics and confidence intervals [13]. Between study variances and their square roots was adjusted by the Sidik–Jonkman estimator [14]. We quantified heterogeneity using I^2 and Q statistics, with values greater than 50% regarded as being indicative of moderate-to-high heterogeneity [15]. To measure the dispersion of the pooled effect across study settings, we generated prediction intervals [16]. Results were visualized through forest plot.

We performed prespecified subgroup analyses according to the mechanism of hypercapnia (permissive or imposed). Test for differences in effect sizes between subgroups was performed using mixed-effect model, with a random-effect model for the overall effect size for each

subgroup, and a fixed-effect model for subgroup differences [17]. Mortality was also assessed after exclusion of outliers and after exclusion of studies with COVID-19-related ARDS.

Heterogeneity was assessed graphically through L'Abbe plot [18]. Data were pooled and analyzed using R 4.1.0 (The R Foundation for Statistical Computing, Vienna, Austria).

Results

Studies

The overall flow of studies across the reviews is reported in the PRISMA flow diagram in Fig. 1. The electronic database searches identified a total of 5513 citations which were screened on the basis of title and abstract. At this stage, a total of 5786 articles were excluded, and 423 were deemed to be potentially relevant. These citations were retrieved for full publication review. Upon review of the full publications, a further 345 articles were excluded. Hand searching yielded eleven additional relevant papers, resulting in a total of 89 relevant publications from which 29 met the eligibility criteria of the review after the third pass. We, therefore, selected these 29 studies (10,101 patients) reporting the clinical consequences of hypercapnia in adults with ARDS for the present review [5, 11, 19–45]. All included studies were published as full publication. The sample size among the included studies varied from $N=4$ [34] to $N=3642$ [44]. An overview of all included studies is presented in Table 1. Two studies shared some patients [19, 20]: hemodynamic data were extracted from Amato 1995 [19], and mortality data from Amato 1998 [20]. Results from quality assessment checklist for included studies are presented in Table 2: for observational studies, 15 studies had overall weak rating (high risk of bias), eight had moderate rating and three had strong rating (low risk of bias). For RCTs, two studies had overall concerns, and one had low overall risk of bias. These bias are reported in Table 2. Due to the small number of studies, statistical tests to investigate for the presence of publication bias were not conducted.

Hypercapnia and tidal volumes

Definition of hypercapnia and V_T used among the included studies have been captured in Table 1. A clear threshold for hypercapnia was reported in 11/29 of the included studies, that was defined as PaCO₂ \geq 38 mmHg [19], PaCO₂ \geq 45 mmHg [11, 25, 41], \geq 48 mmHg [23], \geq 50 mmHg [5, 28, 33, 35, 44] or \geq 55 mmHg [34]. Information regarding V_T were reported in all but three studies [5, 26, 27]. Permissive hypercapnia, imposed hypercapnia under PV, and

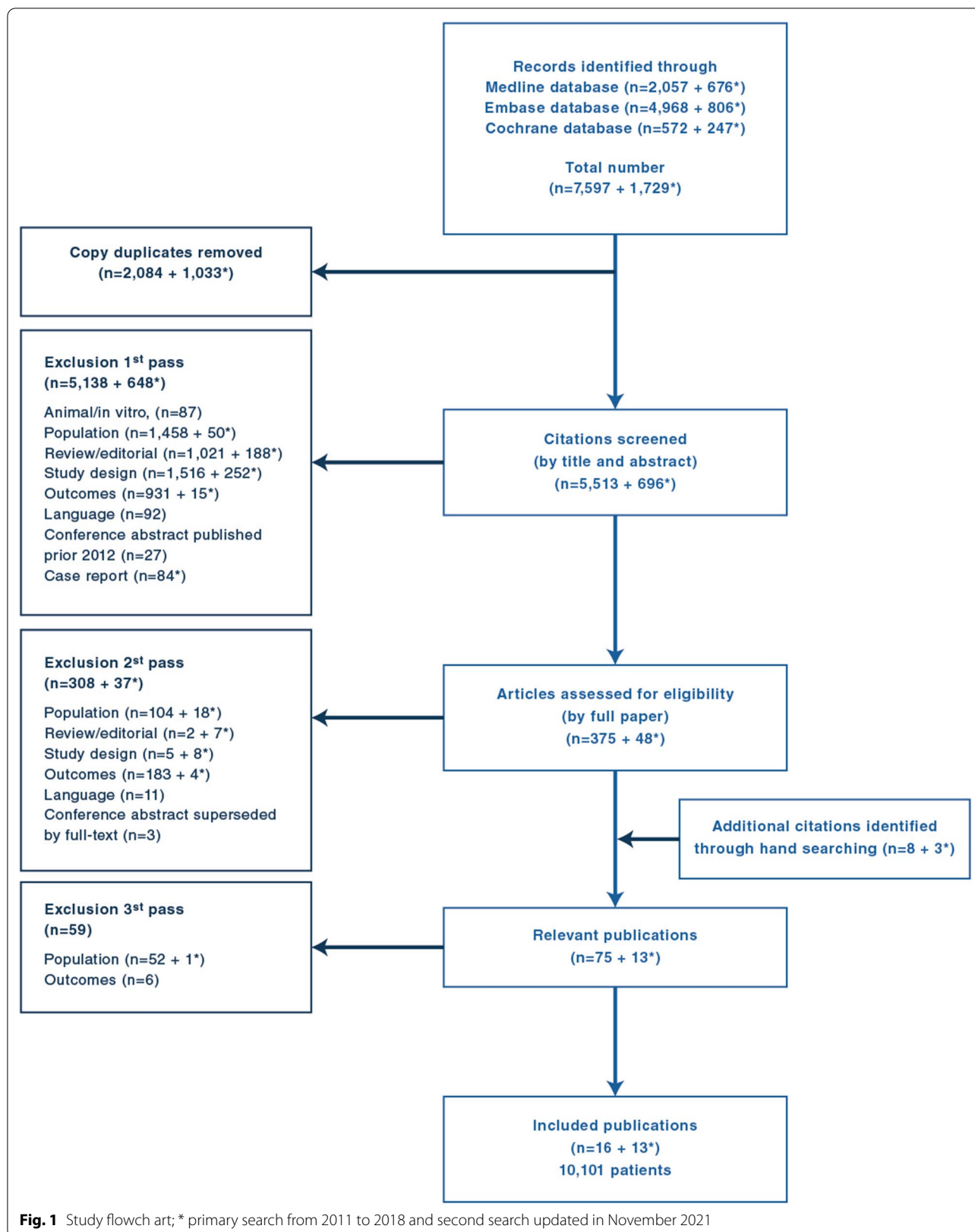


Fig. 1 Study flowchart; * primary search from 2011 to 2018 and second search updated in November 2021

Table 1 Overview of all studies included in the review

Study	Study design, sample size	Definition of hypercapnia, PaCO ₂ mmHg	Tidal volume used	Type of mechanical ventilation	Hospital deaths	
					Hypercapnia	Normocapnia
Imposed hypercapnia under NPV						
Kriegenow et al. (2006) [11] ^a (NPV)	Secondary analysis of RCTs (N = 369)	Definition: ≥ 45 mmHg Mean (SD) hypercapnia group: 52 (5) mmHg, normocapnia group: 34 (7) mmHg	Mean (SD): hypercapnia group: 10.8 (2.0) ml/kg, normocapnia group: 11.8 (0.9) ml/kg	Hypercapnia: NPV versus NPV	4/13	142/356
Imposed hypercapnia under PV						
Aguirre-Bermeo et al. (2016) [30]	Observational (Cross over, not randomized) (N = 113)	Definition: NA Mean (SD) hypercapnia group: 54 \pm 9 mmHg, mean (SD) normocapnia group: 50 (8) mmHg	Mean (SD) hypercapnia group: 6.3 \pm 0.8 ml/kg	Hypercapnia: PV versus control: PV + End-inspiratory pause prolongation	NA	NA
Bellani et al. (2016) [21]	Observational (N = 2377)	Definition: NA Mean (95% CI), mild & moderate ARDS: 41.5 [40.7–42.2] & 45.8 [44.9–46.6], respectively Mean (95% CI), severe ARDS: 52.2 [50.7–53.7]	Mean (95% CI) day-1, mild & moderate ARDS: 7.8 [7.6–7.9] & 7.6 [7.5–7.7] ml/kg, respectively	Hypercapnia: PV versus PV	257/557	695/1820
COVID_ICU (2021) [44] ^d	Observational (N = 3642)	Definition: ≥ 50 mmHg, mean (SD) hypercapnia group: 59.1 (8.5) mmHg, mean (SD) normocapnia group: 41.3 (5.8) mmHg	Mean (SD) hypercapnia group: 412.3 (90)ml, mean (SD) normocapnia group: 423.3 (115.2)ml	Hypercapnia: PV versus PV	409/869	663/2319
Ding et al. (2021) [33] ^d	Observational (Cross over, not randomized) (N = 12)	Definition: ≥ 50 mmHg, median (IQR) hypercapnia group: 64.5 [56–88.75] mmHg	Mean (SD) hypercapnia group: 5.94 \pm 0.18 ml/kg	Hypercapnia: PV versus control: PV + extracorporeal CO ₂ removal	8/12	-
Hickling et al. (1990) [26]	Observational (N = 70)	Definition: NA Mean (SD) hypercapnia group: 60.5 (20) mmHg	Down to 350 ml (5 ml/kg)	PV	13/70	NA
Hickling et al. (1994) [27]	Observational (N = 64)	Definition: NA Mean: 66.5 torr (range 38–158)	PV: around 7 ml/kg	PV	17/64	NA
Husain-Syed et al. (2020) [34] ^d	Observational (Cross over, not randomized) (N = 4)	Definition: ≥ 55 mmHg, mean (SD) hypercapnia group: 60.7 mmHg	Mean (SD) hypercapnia group: 6.6 ml/kg	Hypercapnia: PV versus control: PV + extracorporeal CO ₂ removal	NA	NA
Kahl et al. (2021) [35]	Observational (N = 66)	Definition: ≥ 50 mmHg, mean (SD) hypercapnia group: 47.7 (9.6) mmHg, mean (SD) normocapnia group: 45.2 (11.1) mmHg	Mean (SD) hypercapnia group: 395 (133)ml, mean (SD) normocapnia group: 434 (185)ml	Hypercapnia: PV versus control: PV \pm extracorporeal CO ₂ removal	NA	NA

Table 1 (continued)

Study	Study design, sample size	Definition of hypercapnia, PaCO ₂ mmHg	Tidal volume used	Type of mechanical ventilation		Hospital deaths	
				Hypercapnia	Normocapnia	Hypercapnia	Normocapnia
Kalfon et al. (1997) [28] (PV to PV+EWO)	Observational (Cross over, not randomized) (N = 7)	Definition: ≥ 50 mmHg, mean (SD) hypercapnia group: 76.4 (4) mmHg, mean (SD) normocapnia group: 53 (3) mmHg	Mean (SD) hypercapnia group: 414 (27)ml, mean (SD) normocapnia group: 414 (27)ml	Hypercapnia: PV versus control: PV + expiratory washout	4/7	NA	NA
Kregenow et al. (2006) [11] (PV)	Secondary analysis of RCTs, (N = 351)	Definition: ≥ 45 mmHg Mean (SD) hypercapnia group: 51 (9) mmHg, mean (SD) normocapnia group: 35 (6) mmHg	Mean (SD) hypercapnia group: 6.0 (0.9) ml/kg, mean (SD) normocapnia group: 6.3 (0.9) ml/kg	Hypercapnia: PV versus PV	20/53	92/298	
Liu et al. (2020) [36] ^d	Observational (N = 8)	Definition: NA Mean (SD) hypercapnia group: 57.7 (5.2) mmHg, mean (SD) normocapnia group: 41.8 (63.7) mmHg	Mean (SD) hypercapnia group: 7.0 (0.6) ml/kg, mean (SD) normocapnia group: 7.5 (0.6) ml/kg	Hypercapnia: PV versus NPV	0/4	0/8	
Lotz et al. (2021) [37] ^d	Observational (N = 7)	Definition: NA Median (IQR) hypercapnia group: 57.0 [56.0–67.0] mmHg	Median (IQR): 424 [390.5–467] ml	Hypercapnia: PV \pm NO	NA	NA	NA
Mekontso Dessap et al. (2009) [22]	Observational (Cross over, randomized) (N = 11)	Definition: NA Mean (SD) hypercapnia group: 71 (60–94) mmHg, mean (SD) normocapnia group: 52 (43–68) mmHg	Mean (SD) hypercapnia group: 5.3 (4.6–6.1) ml/kg, mean (SD) normocapnia group: 8.5 (8.3–8.9) ml/kg	Hypercapnia: PV versus PV	NA	NA	NA
Mekontso Dessap et al. (2016) [23]	Observational, (N = 752)	Definition: ≥ 48 mmHg, mean (SD) hypercapnia group: 58.1 (10.6) mmHg, mean (SD) normocapnia group: 39.1 (5.5) mmHg	Mean (SD) hypercapnia group: 6.6 (1.3)ml/kg, mean (SD) normocapnia group: 7.0 (1.2) ml/kg	Hypercapnia: PV versus PV ^c	134/290	186/453	
Nin et al. (2017) [5]	Secondary analysis of observational (N = 1899)	Definition: ≥ 50 mmHg, mean (SD) hypercapnia group: 60.6 (11.5) mmHg, mean (SD) normocapnia group: 38.7 (6.1) mmHg	~ 90% of patients received between 6 and 8 ml/kg	Hypercapnia: PV versus PV	270/432	729/1467	
Pan et al. (2020) [38] ^d	Observational (Cross over, not randomized) (N = 12)	Definition: NA Mean (SD) hypercapnia group: 66 (13) mmHg	Mean (SD) hypercapnia group: 37.5 (65)ml	Hypercapnia: PV versus control: PV \pm extracorporeal CO ₂ removal	3/12	NA	NA
Petran et al. (2020) [39]	Observational (Cross over, not randomized) (N = 73)	Definition: NA Mean (SD) hypercapnia group: 79.4 (30.6) mmHg, mean (SD) normocapnia group: 48.6 (11.6) mmHg	Mean (SD) hypercapnia group: 4.8 (1.6) ml/kg, mean (SD) normocapnia group: 4.4 (1.5) ml/kg	Hypercapnia: PV versus control: PV + extracorporeal CO ₂ removal	37/73	NA	NA

Table 1 (continued)

Study	Study design, sample size	Definition of hypercapnia, PaCO ₂ mmHg	Tidal volume used	Type of mechanical ventilation	Hospital deaths	
					Hypercapnia	Normocapnia
Pereira Romano et al. (2020) [43]	RCT (N = 31)	Definition: NA mean (SD) hypercapnia group: 59.5 mmHg, mean (SD) normocapnia group: 49.1 mmHg	Mean (SD) hypercapnia group: 4.3 (0.5) ml/kg, mean (SD) normocapnia group: 5.8 (0.5) ml/kg	Hypercapnia: PV versus PV + reduced driving pressure	7/16	8/15
Schmidt et al. (2020) [40]	Observational (N = 83)	Definition: NA Mean (SD) hypercapnia group: 57 (50–68) mmHg	Mean (SD) hypercapnia group: 6.0 (5.7–6.4) ml/kg	Hypercapnia: PV versus control: PV + extracorporeal CO ₂ removal	30/83	NA
Shimoda et al. (2021) [41]	Observational (Cross over, not randomized) (N = 6)	Definition: ≥ 45 mmHg, mean (SD) hypercapnia group: 55.9 ± 7.9 mmHg, mean (SD) normocapnia group: 46.3 ± 6.8 mmHg	Mean (SD) hypercapnia group: 6.8 ± 1.2 ml/kg, mean (SD) normocapnia group: 6.6 ± 1.3 ml/kg	Hypercapnia: PV versus control: PV + removal of catheter mount and heat-and-moisture exchanger	6/21	NA
Winiszewski et al. (2018) [42]	Observational (Cross over, not randomized) (N = 116)	Definition: NA Median (IQR) hypercapnia group: 50.3 [45.8–56.3] mmHg, median (IQR) normocapnia group: 42.0 [36.0–57] mmHg	Mean (SD) hypercapnia group: 5.3 [4.4–5.9] ml/kg, mean (SD) normocapnia group: 3.9 [3.5–4.2] ml/kg	Hypercapnia: PV versus control: PV + extracorporeal CO ₂ removal	5/16	NA
Permissive hypercapnia						
Amato et al. (1995) [19]	RCT (N = 28)	Definition: ≥ 38 mmHg, mean (SD) hypercapnia group: 53 (3) mmHg, mean (SD) normocapnia group: 34 (2) mmHg	Mean (SD) hypercapnia group: 31.1 (23) ml, mean (SD) normocapnia group: 781 (27) ml	Hypercapnia: PV versus NPV	5/15	7/13
Amato et al. (1998) [20]	RCT (N = 53)	Definition: NA Mean (SD) hypercapnia group: 58.2 (3.3) mmHg, mean (SD) normocapnia group: 35.7 (1.7) mmHg	Mean (SD) hypercapnia group: 362 (11) ml, mean (SD) normocapnia group: 763 (26) ml	Hypercapnia: PV versus NPV	13/29	17/24
Feihl et al. (2000) [24]	Observational (N = 8)	Definition: NA Mean (SD) hypercapnia group: 67 (4) mmHg, normocapnia group: 45 (3) mmHg	Mean (SD) hypercapnia group: 6.5 (1.2) ml/kg, normocapnia group: 10.3 (1.9) ml/kg	Hypercapnia: PV versus NPV in cross-over	NA	NA
Gentilello et al. (1995) [25]	Observational (N = 39)	Definition: ≥ 45 mmHg, mean (SD) hypercapnia group: 63 (5.8) mmHg, mean (SD) normocapnia group: 41 (1.5) mmHg	Mean (SD), NPV at ARDS onset: 92.7 (11) mL Mean (SD), PV at PV onset: 845 (180) mL	Hypercapnia: PV versus NPV	1/11	12/23

Table 1 (continued)

Study	Study design, sample size	Definition of hypercapnia, PaCO ₂ mmHg	Tidal volume used	Type of mechanical ventilation		Hospital deaths	
				Hypercapnia	Normocapnia	Hypercapnia	Normocapnia
Jardin et al. (1999) [45]	Observational (N = 70)	Definition: NA Mean (SD) hypercapnia group: 51 (10) mmHg, mean (SD) normocapnia group: 36 (6) mmHg	Mean (SD) hypercapnia group: 9 (2) ml/kg, mean (SD) normocapnia group: 13 (2) ml/kg	Hypercapnia: PV versus NPV	12/37	21/33	NA
Kalfon et al. (1997) [28] (NPV to PV) ^b	Observational (Cross over, not randomized) (N = 7)	Definition: ≥ 50 mmHg, mean (SD) hypercapnia group: 76.4 (4) mmHg, mean (SD) normocapnia group: 45 (1) mmHg	Mean (SD) hypercapnia group: 414 (27) ml, mean (SD) normocapnia group: 679 (51) ml	Hypercapnia: PV versus NPV	4/7	NA	NA
McIntyre et al. (1994) [29]	Observational (Cross over, not randomized) (N = 115)	Definition: NA Mean (SD) hypercapnia group: 56.7 (3) mmHg, mean (SD) normocapnia group: 37.9 (1.3) mmHg	Mean (SD) hypercapnia group: 7.7 (0.5) ml/kg, mean (SD) normocapnia group: 9.9 (0.5) ml/kg	Hypercapnia: PV versus NPV	NA	NA	NA
Pfeiffer et al. (2002) [31] (with shock)	Observational (Cross over, not randomized) (N = 12)	Definition: NA Mean (SD) hypercapnia group: 61 (12) mmHg, mean (SD) normocapnia group: 38 (6) mmHg	Mean (SD) hypercapnia group: 7.3 (0.6) ml/kg, mean (SD) normocapnia group: 10.5 (0.6) ml/kg	Hypercapnia: PV versus NPV	9/12	NA	NA
Pfeiffer et al. (2002) [31] (with-out shock)	Observational (Cross over, not randomized) (N = 10)	Definition: NA Mean (SD) hypercapnia group: 63 (11) mmHg, mean (SD) normocapnia group: 38 (6) mmHg			5/10	NA	NA
Thorens et al. (1996) [32]	Observational (Cross over, not randomized) (N = 11)	Definition: NA Mean (SD) hypercapnia group: 59.3 (7.2) mmHg, mean (SD) normocapnia group: 40.3 (6.6) mmHg	Mean (SD) hypercapnia group: 8.2 + 4.1 ml/kg, mean (SD) normocapnia group: 13.5 + 6.1 ml/kg	Hypercapnia: PV versus NPV	NA	NA	NA

CI confidence interval, HP high positive end-expiratory pressure, IQR interquartile range, LP low positive end-expiratory pressure, LR low respiratory rate, PV lung protective ventilation, NPV non-protective ventilation, NR not reported, Q quality of life data, RCT randomized controlled trial, PO prospective observational, SD standard deviation, SE standard error, Tl thiopental and isoflurane, V_T-tidal volume, USA United States of America, UK United Kingdom

^a This study was excluded from the meta-analysis for mortality because among 13 patients with hypercapnia at day-1, most (10) had transient hypercapnia, with only three patients (< 1%) with sustained hypercapnia at day-3; no other study reported data on imposed hypercapnia in patients with NPV, precluding any further analysis of imposed hypercapnia under NPV

^b NPV data were not considered for the meta-analysis because they were obtained at zero end-expiratory pressure, followed by a pressure-volume curve

^c Nine missing values for PaCO₂

^d Studies of patients with COVID-19-related ARDS

Table 2 Quality assessment checklist for randomized controlled trials (1), cross-over randomized trial (2) and observational studies (3)

(1) Author and year		D1	D2	D3	D4	D5	Overall									
		Risk of bias arising from the randomization process	Risk of bias due to deviations from the intended interventions	Missing outcome data	Risk of bias in measurement of the outcome	Risk of bias in selection of the reported result	Overall									
Amato et al. (1995) [19]		High	Some concerns	Some concerns	Some concerns	Some concerns	Some concerns									
Amato et al. (1998) [20]		Some concerns	Some concerns	Some concerns	Some concerns	Some concerns	Some concerns									
Pereira Romano et al. (2020) [43]		Low	Low	Low	Some concerns	Low	Low									
(2) Author and year		D1	D2	D3	D4	D5	Overall									
		Risk of bias arising from the randomization process	Risk of bias arising from period and carryover effects	Risk of bias due to deviations from the intended interventions	Risk of bias due to missing outcome data	Risk of bias in measurement of the outcome	Risk of bias in selection of the reported result									
Mekontso Dessap et al. (2009) [22]		Low	Low	Some concerns	Low	Low	Some concerns									
(3) Author and year		Selection bias	Study design	Confounders	Judgment	Blinding	Judgment	Data collection	Judgment	Withdrawals	Judgment	Global rating	Judgment			
Aguirre-Bermeo et al. (2016) [30]		Moderate	Participants are likely to be representative of the target population	Weak	Subject are their own controls	Strong	Control of confounders was described	Moderate	Moderate	None	Strong	Data collection tools are valid and reliable	Moderate	One withdrawal	Moderate	One 'Weak' rating
Bellani et al. (2016) [21]		Moderate	Participants are likely to be representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
COVID-ICU (2021) [44]		Strong	Participants are representative of the target population	Weak	Uncontrolled study	Strong	Control of confounders was described	Moderate	Moderate	None	Strong	Data collection tools are valid and reliable	Moderate	399 withdrawal	Moderate	One 'Weak' rating
Ding et al. (2021) [33]		Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Moderate	None	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings

Table 2 (continued)

(3) Author and year	Selection bias	Judgment	Study design	Judgment	Con-founders	Judgment	Blinding	Judgment	Data collection	Judgment	Withdrawals	Judgment	Global rating	Judgment
Feihl et al. (2000) [24]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Gentilello et al. (1995) [25]	Strong	Participants are representative of the target population	Strong	controlled study	Strong	Control of confounders was described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Strong	No 'Weak' rating
Hickling et al. (1990) [26]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Hickling et al. (1994) [27]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Husain-Syed et al. (2020) [34]	Moderate	Participants are likely to be representative of the target population	Moderate	Subject are their own controls	Weak	Control of confounders was not described	Moderate	None	Strong	Data collection tools are valid and reliable	Strong	No withdrawals	Weak	Two 'weak' ratings
Jardin et al. (1999) [45]	Strong	Participants are representative of the target population	Strong	controlled study	Weak	Control of confounders was not described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Moderate	One 'Weak' rating
Kahl et al. (2021) [35]	Strong	Participants are representative of the target population	Strong	controlled study	Strong	Control of confounders was described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Four withdrawal	Strong	No 'weak' ratings
Kalton et al. (1997) [28]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings

Table 2 (continued)

(3) Author and year	Selection bias	Judgment	Study design	Judgment	Con-founders	Judgment	Blinding	Judgment	Data collection	Judgment	Withdrawals	Judgment	Global rating	Judgment
Kregenow et al. (2006) [11]	Strong	Participants are representative of the target population	Strong	controlled study	Strong	Control of confounders was described	Moderate	Not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Strong	No 'weak' ratings
Liu et al. (2020) [36]	Moderate	Participants are likely to be representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding: none	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Loiz et al. (2021) [37]	Moderate	Participants are likely to be representative of the target population	Weak	Subject are their own controls	Weak	Control of confounders was not described	Moderate	Blinding: none	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
McIntyre et al. (1994) [29]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Mekomsso Dessap et al. (2016) [23]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Strong	Control of confounders was described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Moderate	One 'Weak' rating
Nin et al. (2017) [5]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Strong	Control of confounders was described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Moderate	One 'Weak' rating
Pan et al. (2020) [38]	Moderate	Participants are likely to be representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Petran et al. (2020) [39]	Moderate	Participants are likely to be representative of the target population	Weak	Uncontrolled study	Strong	Control of confounders was described	Moderate	Blinding: none	Strong	Data collection tools are valid and reliable	Moderate	Six withdrawal	Moderate	One 'Weak' rating

Table 2 (continued)

(3) Author and year	Selection bias	Judgment	Study design	Judgment	Con-founders	Judgment	Blinding	Judgment	Data collection	Judgment	Withdrawals	Judgment	Global rating	Judgment
Pfeiffer et al. (2002) [31]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Schmidt et al. (2020) [40]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Strong	Control of confounders was described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Moderate	One 'Weak' rating
Shimoda et al. (2021) [41]	Moderate	Participants are likely to be representative of the target population	Weak	Subject are their own controls	Weak	Control of confounders was not described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Four withdrawal	Weak	Two 'weak' ratings
Thorens et al. (1996) [32]	Strong	Participants are representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding is not described	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings
Winiszewski et al. (2018) [42]	Moderate	Participants are likely to be representative of the target population	Weak	Uncontrolled study	Weak	Control of confounders was not described	Moderate	Blinding: none	Strong	Data collection tools are valid and reliable	Moderate	Withdrawals not reported	Weak	Two 'weak' ratings

D1 Domain 1; D2 Domain 2; D3 Domain 3; D4 Domain 4; D5 Domain 5; D5 Domain S

imposed hypercapnia under NPV were reported in eight studies (218 patients) [19, 20, 22, 24, 25, 29, 31, 32], 21 studies (9514 patients) [5, 11, 21–23, 26–28, 30, 33–44] and one (369 patients) [11] study, respectively. The latter study [11] was unique for imposed hypercapnia in NPV and reported <1% (3/369) patients with sustained $\text{PaCO}_2 > 45$ mmHg, precluding any further analysis of imposed hypercapnia under NPV.

Clinical consequences of acute hypercapnia

Mortality

Data for mortality were reported for hypercapnic and non-hypercapnic groups in three studies with permissive hypercapnia (157 patients) [19, 25, 45], and six others with imposed hypercapnia under PV (9,096 patients) [5, 11, 21, 23, 43, 44]. Studies testing permissive hypercapnia reported a lower mortality in hypercapnic patients receiving PV compared to non-hypercapnic patients receiving NPV (OR for random effect model = 0.26, 95% CI [0.07–0.89]). By contrast, studies reporting imposed hypercapnia under PV reported increased mortality in hypercapnic patients receiving PV as compared to non-hypercapnic patients also receiving PV (OR for random effect model = 1.54, 95% CI [1.15–2.07]). There was a significant interaction between the mechanism of hypercapnia (permissive or imposed under PV) and the effect on mortality ($p < 0.01$) (Fig. 2), which persisted even after exclusion of outliers [43] (online resource, Appendix B) or exclusion of studies with ARDS related to coronavirus disease 2019 (COVID-19) [44] (online resource, Appendix C) (see Fig. 3).

Hemodynamics

The impact of hypercapnia on hemodynamic parameters was reported in seven studies [19, 22, 24, 28, 29, 31, 32] involving 102 patients (see Fig. 4). Permissive hypercapnia induced an increase in cardiac index/output [19, 24, 31, 32], which could be due to increased systemic vasodilation as evidenced by a decrease in systemic vascular resistances [29, 31, 32]. This increased cardiac index was associated with: (i) an increase in pulmonary shunt [24, 28, 31] (see Fig. 4), with deterioration in gas exchange [24, 28] in all but one [19] study reporting shunt data; (ii) increased pulmonary pressures [19, 24, 31, 32], but no significant change in pulmonary vascular resistances [24, 29, 31, 32]. During PV, imposed hypercapnia was associated with conflicting effects on cardiac

index [22, 28] and worsened pulmonary vascular function [22, 28].

Discussion

To the best of our knowledge, we herein report the first review of the literature with meta-analysis on the clinical consequences of hypercapnia in adult patients with ARDS, with the following findings: (i) the clinical effects of hypercapnia were conflicting depending on the mechanism of hypercapnia; (ii) permissive hypercapnia was associated with improved survival whereas imposed hypercapnia under PV worsened mortality, suggesting a major role of the PV strategy on the outcome and indicating imposed hypercapnia as a marker of ARDS severity; (iii) permissive hypercapnia was associated with increased cardiac index whereas imposed hypercapnia yielded conflicting results with worsened lung vascular function.

Conflicting role of hypercapnia

Complex findings were observed across literature. From these findings, it appeared that hypercapnia is protective when driven by lower V_T , but is associated with increased mortality when imposed at lower V_T (targeting 6 ml/kg predicted body weight). Overall, PV is probably driving the protective effect of permissive hypercapnia, in accordance with observational cohorts [5], randomized trials [1] and recommendations [46]. By contrast, the association of imposed hypercapnia under PV with increased mortality indicates it could be a marker of ARDS severity and/or have own detrimental effects. The former point is in accordance with studies suggesting pulmonary dead space as a strong prognostic factor in ARDS [47]. The latter point is corroborated by the finding of more renal and cardiac failure in patients with imposed hypercapnia under PV [5]. The main hemodynamic effect of imposed hypercapnia under PV relates to pulmonary vascular dysfunction, with pulmonary hypertension and RV dysfunction, which could trigger or worsen renal failure via a decreased cardiac output and/or an increased congestion [48]. This pulmonary vasoconstrictive effect of hypercapnia is in accordance with previous data in critically ill patients with [49] or without [50] ARDS.

Altogether, our findings suggest that, in the clinical setting, (i) permissive hypercapnia to achieve PV should be preferred to normocapnia under NPV; (ii) normocapnia under PV could be preferred to imposed hypercapnia

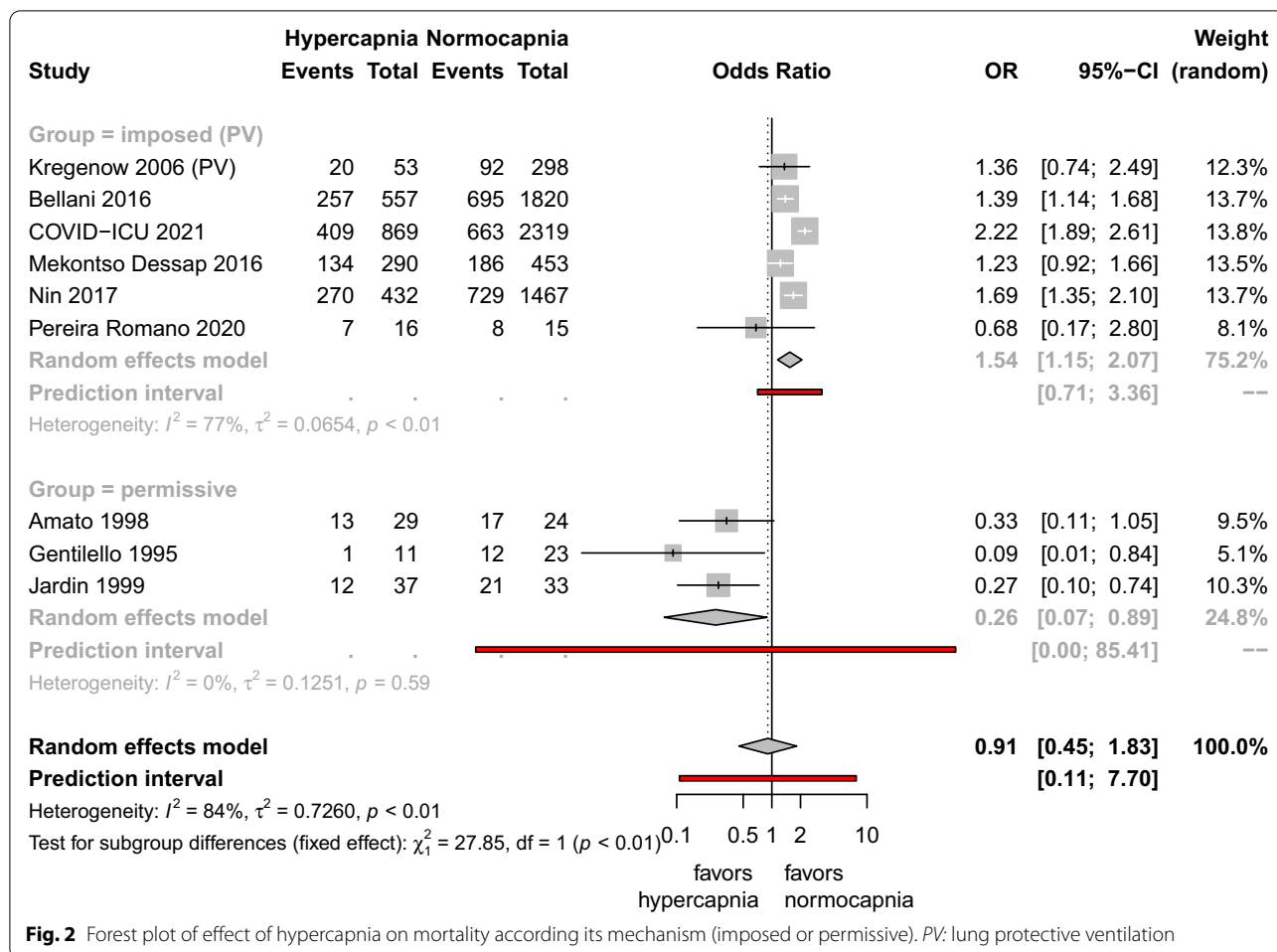


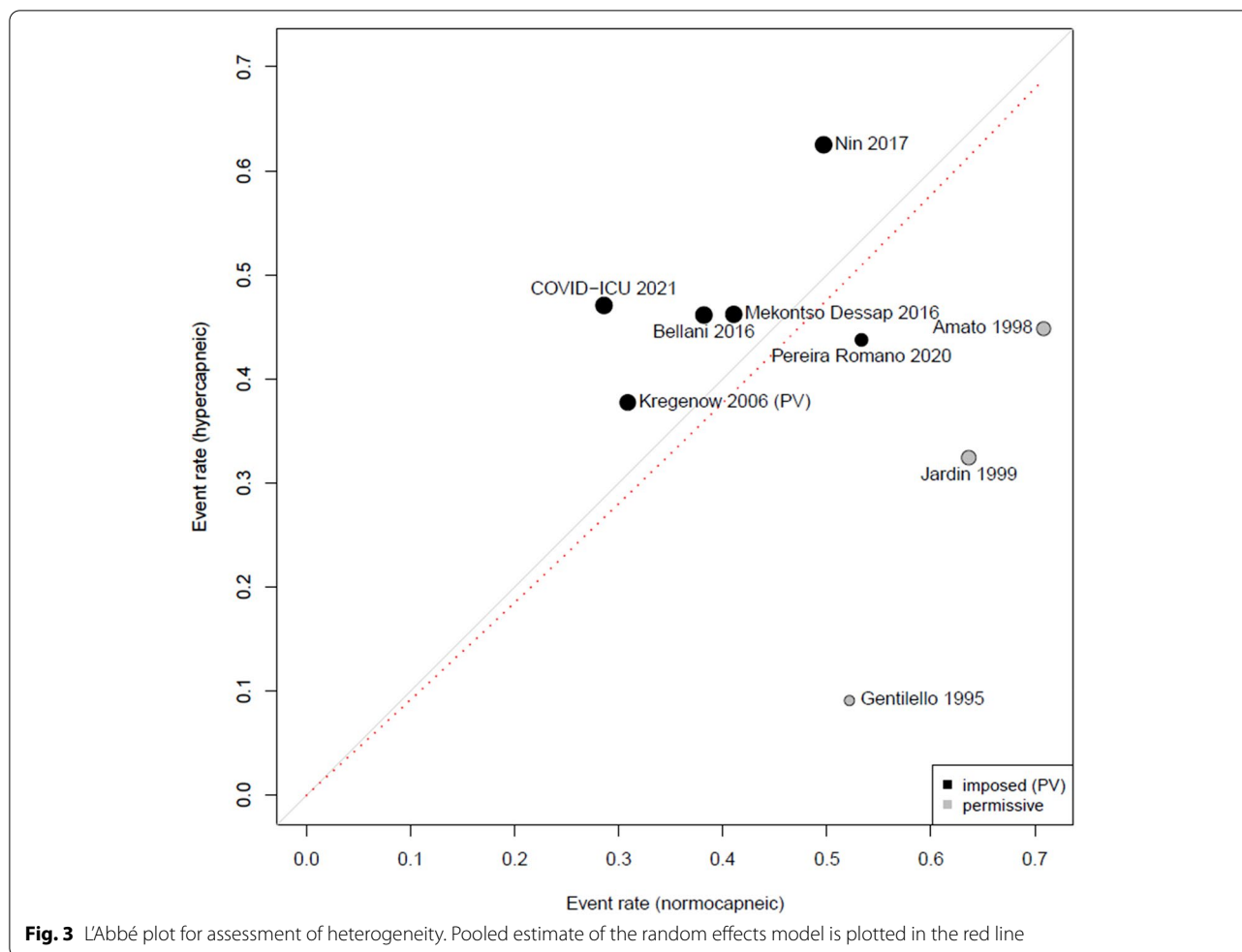
Fig. 2 Forest plot of effect of hypercapnia on mortality according its mechanism (imposed or permissive). PV: lung protective ventilation

under PV. However, we are still lacking randomized trials to assess if mitigating imposed hypercapnia under PV via reduced CO_2 production (e.g., hypothermia) or increased elimination (e.g., increased respiratory rate, and/or decreased instrumental dead space) alters clinical outcomes. Whether the use of extracorporeal CO_2 removal for imposed hypercapnia under PV may improve outcomes [51] also require further studies. Future studies are similarly necessary to scrutinize the prognostic role of increased PaCO_2 generated by ultra-protective ventilation (UPV i.e. V_T targeting 3–4 ml/kg of predicted body weight), as compared to PV (i.e. V_T targeting 6 ml/kg of predicted body weight), and its potential mitigation by extracorporeal CO_2 removal [52]. In the recent REST randomized clinical trial, the use of

extracorporeal CO_2 removal to facilitate UPV, compared with PV, did not significantly reduce 90-day mortality and was associated with more serious adverse events [53].

Strengths and limitations

Strengths of our study include the wide period of assessment and selection process. Our search ended in 2021, and little new information has been published since on this topic, including for COVID-19-related ARDS. One limitation is the lack of standardization in the definition and duration of hypercapnia. However, we performed a subgroup analysis to scrutinize the respective roles of permissive and imposed hypercapnia. We cannot exclude that some part of the permissive



hypercapnia in studies of PV is due to ARDS severity. There was heterogeneity among studies concerning their design (prospective or retrospective), tidal volume under PV (especially in observational cohorts), reporting of tidal volume related to predicted body weight, and hypercapnia definition. In addition, other potential confounding factors that might be associated with both hypercapnia and mortality were not taken into account. Last, we used the Berlin definition for ARDS, which was published after many studies included in the meta-analysis. However, included patients with acute lung injury

before the Berlin definition were considered as having mild ARDS.

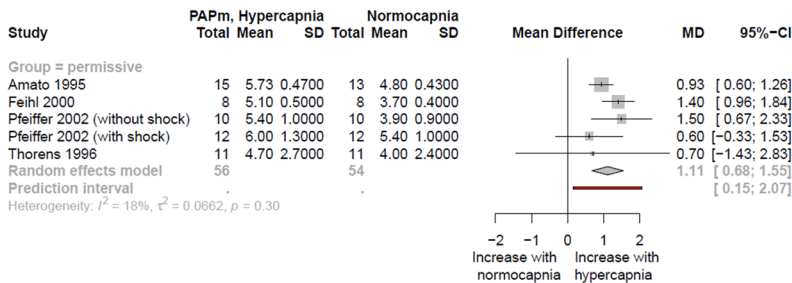
Conclusion

We performed a systematic review and meta-analysis of a wide population of adult patients with ARDS, and found conflicting clinical effects of hypercapnia depending on its mechanism. The favorable effects of permissive hypercapnia seemed driven by the associated PV, with improved hemodynamics. On the contrary, imposed hypercapnia under PV was associated with a worse outcome.

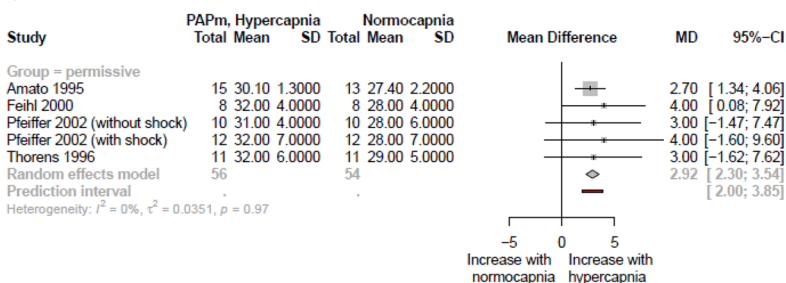
(See figure on next page.)

Fig. 4 Forest plots of hemodynamic changes in hypercapnic and normocapnic patients according to their mechanism. Results are reported in mean difference (SD). Only two studies [22, 28] ($N = 11$ and $N = 7$) reported cardiac index in imposed hypercapnia under PV, and were not included in meta-analysis due to this limited number and high clinical heterogeneity

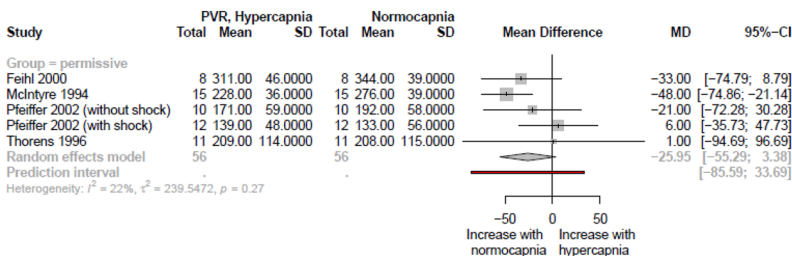
(A) Cardiac index (L/mn/m²)



(B) Pulmonary artery pressure, mean (mmHg)

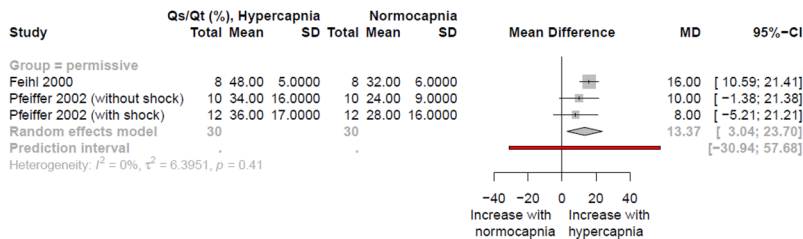


(C) Pulmonary vascular resistance, mean (dynes.s.cm⁻⁵)



(D) Pulmonary shunt

Qs/Qt (%): fraction of total cardiac output flowing through the shunt



(E) Systemic vascular resistance, mean (dynes.s.cm⁻⁵)

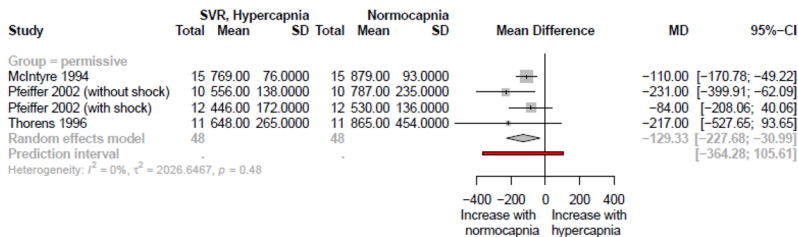


Fig. 4 (See legend on previous page.)

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1007/s00134-022-06640-1>.

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Author contributions

AMD and AVB designed the meta-analysis. SG, TP, GG and AMD searched for the articles, screened titles and abstracts and extracted data. SG, TP, GG and AMD performed statistical analysis and interpretation of data. SG, TP, GG and AMD drafted the manuscript, and all authors revised it for important intellectual content. Final approval of the version submitted for publication was obtained for all authors.

Declarations

Conflicts of interest

On behalf of all authors, the corresponding author states that there is no conflict of interest.

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