ORIGINAL ARTICLE

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Clinical significance of nuclear factor κB and chemokine receptor CXCR4 expression in patients with diffuse large B-cell lymphoma who received rituximab-based therapy

Ho Cheol Shin¹, Jongwon Seo¹, Byung Woog Kang¹, Joon Ho Moon¹, Yee Soo Chae¹, Soo Jung Lee¹, Yoo Jin Lee¹, Seoae Han¹, Sang Kyung Seo¹, Jong Gwang Kim¹, Sang Kyun Sohn¹, and Tae-In Park²

Departments of ¹Hematology and Oncology and ²Pathology, Kyungpook National University Hospital, Daegu, Korea

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Correspondence to Byung Woog Kang, M.D.

Department of Hematology and Oncology, Kyungpook National University Hospital, 807 Hogukro, Buk-gu, Daegu 702-210, Korea Tel: +82-53-200-2622 Fax: +82-53-200-2029 E-mail: bwkang@knu.ac.kr **Background/Aims:** This study investigated the expression of nuclear factor κB (NF- κB) and the chemokine receptor (CXCR4) in patients with diffuse large B-cell lymphoma (DLBCL) who received rituximab-based therapy.

Methods: Seventy patients with DLBCL and treated with rituximab-CHOP (R-CHOP) were included, and immunohistochemistry was performed to determine the expression of NF- κ B (I κ B kinase α , p50, and p100/p52) and CXCR4. To classify DLBCL cases as germinal center B-cell-like (GCB) and non-GCB, additional immunohistochemical expression of CD10, bcl-6, or MUM1 was used in this study. The expression was divided into two groups according to the intensity score (negative, 0 or 1+; positive, 2+ or 3+).

Results: The median age of the patients was 66 years (range, 17 to 87), and 58.6% were male. Twenty-seven patients (38.6%) had stage III or IV disease at diagnosis. Twenty-three patients (32.9%) were categorized as high or high-intermediate risk according to their International Prognostic Indexs (IPIs). The overall incidence of bone marrow involvement was 5.7%. Rates of positive NF-κB and CXCR4 expression were 84.2% and 88.6%, respectively. High NF-κB expression was associated with CXCR4 expression (p = 0.002), and 56 patients (80.0%) showed coexpression. However, the expression of NF-κB or CXCR4 was not associated with overall survival and EFS. On multivariate analysis that included age, gender, performance status, stage, and the IPI, no significant association between the grade of NF-κB or CXCR4 expression and survival was observed.

Conclusions: The current study suggests that the tissue expression of NF- κ B and CXCR4 may not be an independent prognostic marker in DLBCL patients treated with R-CHOP.

Keywords: Lymphoma; NF-kappa B; CXCR4

INTRODUCTION

immunoglobulin G1 monoclonal antibody targeting CD20) to cyclophosphamide, vincristine, adriamycin, and prednisolone (CHOP) therapy, the relapse rate is

Despite the benefits of adding rituximab (a chimeric

Copyright © 2014 The Korean Association of Internal Medicine This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/ by-nc/3.c/) which permits unrestricted noncommercial use, distribution, and reproduction in any medium, provided the original work is properly cited. relatively high, and survival after relapse remains low, in patients with diffuse large B-cell lymphoma (DLBCL) [1]. The prognosis of patients with DLBCL has been shown to be influenced by several established surgical-pathological features. In particular, because DLBCL is considered a heterogeneous disease based on its development, growth, and progression depending on the deregulation of various cellular pathways, it can be subdivided into several subtypes according to its immunochemical features [2-4]. Two representative examples are nuclear factor κ B (NF- κ B) and the chemokine receptor CXCR4.

The NF- κ B family comprises five members that form homodimers or heterodimers, and can be activated through the classical or alternative pathway. Previous studies have demonstrated that abnormal activation of NF- κ B contributes to lymphoma development and progression, as well as resistance of malignant cells to chemotherapy and radiation [5]. Therefore, NF- κ B may be a predictor of prognosis in lymphoma patients or a beneficial target for lymphoma treatment, although the underlying mechanism in lymphoma remains unknown [6,7]. For example, Bavi et al. [8] reported a significant correlation between high expression of NF- κ B and a poor prognosis in patients with DLBCL.

CXCR4 is an α -chemokine receptor specific for stromal derived factor-1 (SDF-1), which has potent chemotactic activity for lymphocytes. CXCR4 expression has been demonstrated in various tumors and was linked to metastasis to tissues containing a high concentration of SDF-1 [9]. In recent years, CXCR4 expression was also found in malignant lymphoma cell lines, and its inhibition by a monoclonal antibody enhanced apoptosis, decreased proliferation, and inhibited migration [10].

Interestingly, Okera et al. [11] reported that NF- κ B expression was positively correlated with CXCR4 expression in prostate cancer. Furthermore, NF- κ B has been suggested to regulate migration and metastasis by up-regulating the expression of CXCR4 in breast cancer and leukemia [12,13].

Accordingly, the present study analyzed the expression of these molecules and their impact on the survival of patients with DLBCL who received rituximab-based therapy.

METHODS

Patients and treatment

We retrospectively reviewed the medical records of 70 patients with newly diagnosed DLBCL who received R-CHOP at Kyungpook National University Hospital (KNUH) between August 2003 and December 2009. All of the tissues investigated in the present study were obtained from excisional biopsy material. All patients were evaluated using standard laboratory tests, computed tomography (CT), and a unilateral bone marrow (BM) aspirate and biopsy at the time of diagnosis. Additional information was also recorded, including age, gender, performance status, the presence of B symptoms (fever, night sweats, weight loss), the presence of bulky disease (defined as tumor size > 10 cm), the presence of extranodal disease, the presence of BM involvement, the International Prognostic Index (IPI) [14], serum lactate dehydrogenase levels, hemoglobin levels, white blood cell counts, and platelet levels. All of the patients were staged according to the Ann Arbor Staging classification [15] using CT. All of the patients were treated with six cycles of rituximab plus CHOP (R-CHOP), while the patients with bulky disease received six cycles of R-CHOP with radiotherapy.

Immunohistochemistry and scoring of NF- κ B and CXCR4 expression

Written informed consent for immunohistochemistry (IHC) was received from the patients, and the study was approved by the Institutional Review Board at KNUH. The tissue sections were deparaffinized in xylene and rehydrated using a graded series of ethanol solutions. A rabbit polyclonal anti-NF-κB p100/p52 antibody (dilution, 1:300; ab31409, Abcam, Cambridge, UK), NF-κB p50 antibody (1:150; sc-114, Santa Cruz Biotechnology, Santa Cruz, CA, USA), I κ B kinase α (Ikk α) antibody (1:40; sc-7183, Santa Cruz Biotechnology), and rabbit anti-human CXCR4 polyclonal antibody (1:100; E18444, Spring Bioscience, Pleasanton, CA, USA) were used as primary antibodies. Immunohistochemical staining was carried out according to the manufacturer's protocol with the Ventana BenchMark XT autoimmunostainer (Ventana Medical Systems, Tucson, AZ, USA) using the ultraView kit. Primary antibodies were incubated at 37°C for 32 minutes, followed by standard Ventana signal amplifi-



cation, counterstaining with hematoxylin for 4 minutes and staining with a bluing reagent for 4 minutes. Slides were then removed from the immunostainer, mounted and examined by light microscopy.

Interpretation of immunohistochemical analysis of NF- κ B and CXCR4 expression

Based on prior studies, breast carcinoma tissue was used as the positive control for NF- κ B expression. The tumors were classified into four grades: grade 0, no expression; grade 1, less than 10% expression; grade 2, between 10% and 50% expression; grade 3, more than 50% expression. For statistical reasons, the expression of each of NF- κ B p100/p52, NF- κ B p50, and Ikk α was analyzed as a dichotomous covariate—namely, NF- κ B p100/p52-low (grade 0 and 1) versus NF- κ B p100/52-high (grade 2 and 3), NF- κ B p50-low (grade 0 and 1) versus NF- κ B p50-high (grade 2 and 3), and NF- κ B/Ikk α -low (grade 0 and 1) versus NF- κ B/Ikk α -high (grade 2 and 3). We defined positive expression for NF- κ B when the expression of p100/52, p50, and Ikk α was high. Placenta was used as the positive control for CXCR4. Staining

Table 1. Baseline characteristics

Characteristic	Total patients (n = 70)	ABC (n = 48)	GCB (n = 22)	p value
Age, yr	66 (17–87)	67 (17-87)	66 (32–79)	0.939
Male	41 (58.6)	30 (62.5)	11 (50.0)	0.434
Performance status				0.391
0	8 (11.4)	4 (8.3)	4 (18.2)	
1	46 (65.7)	30 (62.5)	16 (72.7)	
2	13 (18.6)	11 (22.9)	2 (9.1)	
3-4	3 (4.3)	3 (6.3)	0	
Stage				0.790
Ι	14 (20.0)	9 (18.8)	5 (22.7)	
II	29 (41.4)	20 (41.7)	9 (40.9)	
III	17 (24.3)	12 (25.0)	5 (22.7)	
IV	10 (14.3)	7 (14.6)	3 (13.6)	
IPI				0.786
Low	42 (60.0)	28 (58.4)	14 (63.7)	
Low-intermediate	5 (7.1)	4 (8.3)	1 (4.5)	
High-intermediate	14 (20.0)	8 (16.7)	6 (27.3)	
High	9 (12.9)	8 (16.7)	1 (4.5)	
B symptom	5 (7.1)	5 (10.4)	0	0.173
Bulky disease	7 (10.0)	6 (12.5)	1 (4.5)	0.420
BM involvement	4 (5.7)	3 (6.3)	1 (4.5)	0.627
Elevated LDH	24 (34.3)	17 (64.6)	15 (68.2)	0.794
IκB kinase α	60 (85.7)	40 (83.3)	20 (90.9)	0.488
р50	68 (97.1)	47 (97.9)	21 (95.5)	0.533
p100/p52	68 (97.1)	47 (97.9)	21 (95.5)	0.566
CD10	19 (27.1)	0	19 (86.4)	< 0.001
Bcl-6	46 (65.7)	27 (56.3)	19 (86.4)	0.016
MUM1	60 (85.7)	45 (93.8)	15 (68.2)	0.008
CXCR4	62 (88.6)	44 (91.7)	18 (81.8)	0.249

Values are presented as median (range) or number (%).

ACB, activated B-cell-like; GCB, germinal center B-cell-like; IPI, International Prognostic Index; BM, bone marrow; LDH, lactate dehydrogenase.

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was scored as follows: grade 0, no expression; grade 1, less than 10% expression; grade 2, between 10% and 50% expression; grade 3, more than 50% expression. CXCR4 overexpression (positive) was defined as grade 2 or 3. Two pathologists who were blinded to the patients' characteristics examined all the slides. The average percentage concordance for slides was 90.0%. In discordant cases, each pathologist retested and reviewed the slides again by capturing 10 pieces within a same sample.

Statistical analysis

Descriptive statistics are reported as proportions and medians. The baseline characteristics were compared using the chi-square and Fisher exact tests. A chi-square test was also used to assess the correlation between the levels of the two proteins. Overall survival (OS) was defined as the time from diagnosis to death from any cause. Event-free survival (EFS) was defined as the time from diagnosis to relapse or death from any cause [16]. The OS and EFS were calculated using the Kaplan-Meier test and compared using the log-rank test. Cox's proportional hazard regression model was used for the survival analyses. The analyses were adjusted for age, gender, performance status, stage, and IPI. The hazard ratio and 95% confidence interval were also estimated. A cutoff *p* value of 0.05 was adopted for all of the statistical analyses. All of the analyses were performed using SPSS version 14 (SPSS Inc., Chicago, IL, USA).



Figure 1. Representative examples of immunohistochemical staining with (A) anti-nuclear factor κ B (anti-NF- κ B) p100/p52, (B) NF- κ B p50, (C) I κ B kinase α , and (D) CXCR4 antibodies (×400).



Table 2. Correlation between NF- κB and CXCR4 expression

Variable	CXCR4 positive	CXCR4 negative	Total	p value
Total	62	8	8	0.002
NF-κB positive	56	3	59	
NF-κB negative	6	5	11	

NF- κ B, nuclear factor κ B.



Figure 2. Survival curves according to (A) nuclear factor κB (NF- κB) and (B) CXCR4 expression levels.

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Factor	þ value ^a	HR	95% CI	þ value	þ value ^a	HR	95% CI	þ value
Older age (60 > yr)	0.085	1.079	0.319–3.653	0.178	0.012	1.447	1.042–2.713	0.028
Male gender	0.502	1.657	0.534–5.143	0.382	0.653	1.220	0.348-4.227	o.756
ECOG (2–4)	0.062	1.592	0.345-7.344	0.551	0.115	1.613	0.262–9.571	0.598
Stage III/IV	0.192	1.864	0.178–4.191	0.856	0.424	2.742	1.563–5.021	0.114
IPI (high/high-intermediate)	0.071	1.914	0.873–4.639	0.452	0.187	1.714	1.429–3.482	0.032
CXCR4 (+)	0.203	1.177	0.050–1.622	0.170	0.420	1.260	0.866–2.020	0.063
NF-KB (+)	o.383	o.866	0.281–3.562	0.375	0.092	0.742	0.131-4.193	o.735
EFS, event-free survival; OS, overall	l survival; HR, h	azard ratio; CI	, confidence interva	l; ECOG, Easte	rn Cooperation ()ncology Grou	p; IPI, International	. Prognostic In-

aex; NF-KB, nuclear factor KB.

^aLog-rank test for univariate analysis.

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RESULTS

Patients' characteristics

The patients' characteristics are summarized in Table 1. The total cohort included 70 patients. The median age was 60.7 years (range, 17 to 87), and 58.6% were male. Twenty-seven patients (38.6%) had stage III or IV disease at diagnosis. Twenty-three patients (32.9%) were categorized as high or high-intermediate risk according to their IPI. The overall incidence of BM involvement was 5.7%. Seven patients (10.0%) had bulky disease. The characteristics of the germinal center B-cell-like (GCB) and non-GCB groups were similar.

IHC analysis of NF-κB and CXCR4 expression

The NF-κB and CXCR4 expression results are shown in Fig. 1. Positive NF-KB expression was found in 59 patients (84.3%) and CXCR4 in 62 patients (88.6%). Regarding the NF-KB and CXCR4 statuses, no significant difference was found between the GCB and non-GCB groups. High NF-KB expression was associated with CXCR4 expression (p = 0.002), and 56 patients (80.0%) showed coexpression (Table 2). With a median follow-up duration of 24.7 months (range, 0.3 to 66.4) among the patients alive at the last follow up, the 5-year OS and EFS rates were 80.0% and 73.7%, respectively. The expression of NF-κB or CXCR4 was not associated with OS or EFS (Fig. 2). On multivariate analysis that included age, gender, performance status, stage, and IPI, no significant association was observed between the grade of NF-KB or CXCR4 expression and survival. The IPI and older age were independent prognostic factors of OS for patients with DLBCL (Table 3).

DISCUSSION

We investigated the relationship between the immunohistochemical expression of NF-KB and CXCR4 with the clinical outcome in patients with DLBCL. The results indicate that NF-KB and CXCR4 are abnormally expressed in DLBCL and coexpressed in 80% of these patients. Although the expression of NF-KB or CXCR4 was not associated with survival for DLBCL patients, it is important to understand the biological and molecular processes of DLBCL.

Table 3. Univariate and multivariate analysis for factors affecting EFS and OS

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Because NF- κ B is known to play major roles in the growth and metastasis of malignant tumors, many studies have focused on the clinical significance of its expression in tumors to predict the prognosis of solid tumors, including lymphoma [17]. The expression of NF-κB has been reported to be a significant marker of tumor recurrence or reduced survival, and this expression was independent of the conventional clinicopathological factors for DLBCL [8,18]. Furthermore, the activated B-cell subtype of DLBCL, characterized by its pattern of gene expression, shows constitutive activity of Ikk with accumulation of NF-κB proteins in the nucleus [19]. However, the relationship between NF-κB expression and prognosis remains unclear. For example, NF-KB activation in DLBCL is caused by genetic lesions affecting multiple other genes [20]. Additionally, treatment might affect the NF-kB signaling pathway in lymphoma, leading to altered survival in these patients [6]. Saito et al. [21] also reported that rituximab plus chemotherapy improved the survival of nongerminal-center-type untreated DLBCL, leading to increased sensitivity to chemotherapy by inhibiting the NF-KB signaling pathway. Thus, when taken together, the results remain inconsistent, although the findings of the present study differ in that all of the patients received rituximab-based chemotherapy.

The chemokine receptor CXCR4 is thought to be a metastatic indicator and poor prognostic factor in several hematologic and nonhematologic malignancies. Ahn et al. [22] reported that high CXCR4 expression is associated with a poor prognosis in patients with acute myeloid leukemia. In addition, increased CXCR4 levels have been found in several solid tumor types and may portend a worse prognosis [23-25]. In particular, CXCR4 has been implicated in regulating the metastasis of breast and prostate cancer and is under the control of NF- κ B.

A recent *in vitro* study demonstrated that NF- κ B regulates the motility of breast cancer cells by directly up-regulating the expression of CXCR4. Okera et al. [11] also suggested that CXCR4 expression is associated with the activities of interleukin 8, matrix metalloproteinase 9, and vascular endothelial growth factor, and these factors are often regulated by NF- κ B. In the present study, DLBCL patients showed increased levels of NF- κ B and CXCR4 coexpression, a finding that is consistent with a

previous report. This finding also suggests that clinical studies of DLBCL with coexpression of these molecules should be considered separately from other lymphomas. In the present study, although positive expression of NF-kB seemed to be associated with slightly better survival than negative expression of NF-KB, the overall prognostic impact was not statistically significant. There are several possible explanations for this result. First, the sample size of 70 patients was too small to draw statistically significant conclusions. Second, although IHC is considered a good method for NF-κB testing, various ranges of positivity rates have been reported among studies. In fact, there is no consensus regarding the interpretation of NF-KB expression. Finally, the effect of chemotherapy may also have contributed to the survival outcomes, although no data regarding the relationship between NF-κB expression and the use of chemotherapy have been reported.

In conclusion, NF- κ B and CXCR4 were abnormally expressed in DLBCL and were coexpressed in 80% of these patients. In the present study, both NF- κ B and CXCR4 were found to be associated with the outcomes of Korean patients with DLBCL. However, further, larger-scale studies to clarify the role of NF- κ B and CXCR4 as biomarkers for these patients are warranted.

KEY MESSAGE

- 1. Diffuse large B-cell lymphoma (DLBCL) can be subdivided into several subtypes according to the immunochemical features.
- 2. Nuclear factor κB (NF- κB) and CXCR4 were coexpressed in 80% of the patients.
- 3. The expression of NF-κB and CXCR4 may not be an independent prognostic marker for DLBCL patients treated with rituximab-CHOP.

Conflict of interest

No potential conflict of interest relevant to this article was reported.

Acknowledgments

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