BMJ Open Efficacy and safety of lapatinib and trastuzumab for HER2-positive breast cancer: a systematic review and metaanalysis of randomised controlled trials

Zhi-qiao Xu, Yan Zhang, Ning Li, Pei-jie Liu, Ling Gao, Xin Gao, Xiao-jing Tie

ABSTRACT

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Tumor Center, Kaifeng Central Hospital, Kaifeng, China

Correspondence to Dr Yan Zhang; zhang_yan_2016@163.com

Objectives: The anti-HER2 monoclonal antibody trastuzumab and the tyrosine kinase inhibitor lapatinib have complementary mechanisms of action and synergistic antitumour actively in models of HER2positive breast cancer. However, the efficacy of trastuzumab in combination with lapatinib remains controversial. Therefore, we conducted this metaanalysis to compare combination treatment with lapatinib and trastuzumab to trastuzumab or lapatinib alone in the treatment of HER2-positive breast cancer. Methods: Randomised controlled trials (RCTs). published in PubMed, Embase and Web of Science, were systematically reviewed to assess the survival benefits and toxicity profile of HER2-positive patients with breast cancer who were treated with lapatinib and trastuzumab. Outcomes included pathological complete response (pCR), event-free survival (EFS), overall survival (OS) and toxicities. Results were expressed as the risk ratio (RR) or HR with 95% CIs. Pooled estimates were calculated by using a fixed-effects model or a randomised-effects model. Results: A total of 7 RCTs involving 2084 patients met the inclusion criteria and were included in this metaanalysis. The combination of lapatinib and trastuzumab significantly improved pCR (RR=1.43, 95% CI 1.23 to 1.67; p<0.001), EFS (HR=0.75, 95% CI 0.60 to 0.93; p=0.009) and OS (HR=0.72, 95% CI 0.56 to 0.93; p=0.011) in the treatment of HER2-positive breast cancer compared with trastuzumab or lapatinib alone. The combination treatment also increased the pCR irrespective of hormone receptor status and tumour size. More frequent grade 3 or 4 adverse events, including diarrhoea, rash or ervthema, neutropenia and hepatic adverse events, were found in the combination group than in the trastuzumab or lapatinib group. **Conclusions:** On the basis of the current evidence, our results reveal that the addition of lapatinib to trastuzumab can significantly improve pCR, EFS and OS with a tolerated toxicity in patients with HER2-positive breast cancer. Further well-conducted, large-scale trials are needed to validate these findings.

INTRODUCTION

Amplification of the human epidermal growth factor receptor 2 (*HER2*) gene occurs

Strengths and limitations of this study

- This is a systematic review and meta-analysis of randomised controlled trials (RCTs) to compare combination treatment with lapatinib and trastuzumab to trastuzumab or lapatinib alone in the treatment of HER2-positive breast cancer.
- A total of 7 RCTs involving 2084 patients met the inclusion criteria and were included in this meta-analysis. All these studies included were high-quality, well-performed trials.
- We found that a combination of lapatinib and trastuzumab significantly improved pathological complete response (pCR), event-free survival and overall survival in the treatment of HER2-positive breast cancer compared with trastuzumab or lapatinib alone. The combination treatment also increased the pCR irrespective of hormone receptor status and tumour size.
- Owing to the limited number of included studies and small sample size, the treatment effects of combination treatment with lapatinib and trastuzumab might be overestimated. Therefore, physicians should interpret our findings with caution when applying them in clinical practice.

in ~20-25% of primary breast cancers, and is associated with poor clinical outcomes in the absence of systemic therapy.¹² An anti-HER2 drug such as the monoclonal antibody trastuzumab (herceptin, Genentech, San Francisco, California, USA) is widely used in the treatment of HER2-positive breast cancer.³ When used in combination with chemotherapy, trastuzumab significantly prolongs overall survival (OS) in patients with either metastatic disease³ or early breast cancer⁴ ⁵ compared with chemotherapy alone. When used as an add-on to chemotherapy in the neoadjuvant setting, trastuzumab improves the pathological complete response (pCR) rate⁶ ⁷ and event-free survival (EFS).⁷ Another anti-HER2 agent, the tyrosine kinase inhibitor lapatinib (tykerb, GlaxoSmithKline, Brentford, UK), has been

approved for the treatment of patients with advanced HER2-positive breast cancer.⁸

Lapatinib prolonged progression-free survival (PFS) in patients who have progressed on trastuzumab when it was administered as monotherapy⁹¹⁰ or in combination with trastuzumab,¹¹ or with capecitabine.¹²

Since trastuzumab with lapatinib have complementary mechanisms in the treatment of tumours, it is possible that their combined use would produce better effects than either agent alone. Preclinical studies indicated that the combination treatment could improve apoptosis¹³ and enhance tumour control in HER2-positive xenografts.¹⁴ In the NeoALTTO trial,¹⁵ the authors evaluated the effects of combination treatment with lapatinib and trastuzumab in HER2-positive breast cancers. Their results showed that the pCR rate in the combination group (51.3%) was almost twofold higher than that in the trastuzumab group (29.5%),¹⁵ whereas in another large-scale randomised controlled trial (RCT) of NSABP protocol B-41,¹⁶ they also observed a trend towards improvement in pCR, but the trend was not statistically significant.¹⁶ Given that multiple trials observed controversial clinical outcomes of the combination therapy, it is vital to understand the full impact of the dual HER2-targeted therapy on the pCR and survival outcomes. Therefore, we conducted this meta-analysis of eligible RCTs to investigate the efficacy and safety of lapatinib plus trastuzumab, when compared with lapatinib or trastuzumab alone, for HER2-positive patients with breast cancer.

MATERIALS AND METHODS Search strategy

This meta-analysis was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and meta-analysis (PRISMA) criteria.¹⁷ PubMed, Embase and Web of Science databases from inception to 11 March 2016 were searched to identify relevant studies. The search was limited to human participants and no language restriction was imposed. Search terms included: ('breast neoplasms' (MeSH Terms) OR ('breast'(All Fields) AND 'neoplasms' (All Fields)) OR 'breast neoplasms' (All Fields) OR ('breast' (All Fields) AND 'cancer' (All Fields)) OR 'breast cancer' (All Fields)) AND ('lapatinib' (Supplementary Concept) OR 'lapatinib' (All Fields)) AND ('trastuzumab' (MeSH Terms) OR 'trastuzumab' (All Fields)). Details of the search strategy are shown in online supplementary appendix 1. In addition, we also searched the reference lists of the included studies to identify other potentially eligible studies that we may leave out with our primary search.

Study selection

The following inclusive selection criteria were applied: (1) study design: RCT; (2) study population: female patients over the age of 18 who had histologically confirmed breast cancer with HER2-positive tumour; (3)

comparison intervention: combination treatment of lapatinib with trastuzumab versus lapatinib or trastuzumab alone; (4) outcome measure: pCR, EFS, OS and adverse events. In case the same clinical trial appeared in several publications, we only included the most informative article or the longest follow-up study to avoid duplication of information.

Data extraction and quality assessment

We used a standardised data extraction sheet to extract data. The following information was obtained from each study: first author, publication year, number of patients in each arm, age of patients, population characteristics, the HRs with the corresponding 95% CIs on EFS and OS and the risk ratios (RRs) with the corresponding 95% CIs on the rate of pCR and incidence of adverse events. Data extraction was independently performed by two investigators (Z-qX and YZ), and discrepancies were resolved by discussion and consensus.

Two investigators (NL and P-jL) independently assessed the risk of bias in included RCTs with the method recommended by the Cochrane Collaboration.¹⁸ The quality of evidence for the outcome measures was evaluated using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach.¹⁹

Statistical analysis

We estimated the pooled HR with 95% CI for time-to-event outcomes and the pooled RR with 95% CI for dichotomous outcomes. A random-effects model²⁰ was used to pool the estimates for all the outcomes. A fixed-effects model²¹ was used when the heterogeneity among the included studies was zero. Heterogeneity across the studies was tested using the Cochran Q statistic and quantified with the I^2 statistic, in which $I^2 > 50\%$ indicated significant heterogeneity.²² We also investigated the influence of a single study on the overall pooled estimate by deleting one study in each turn. Owing to the limited number (<10) of included studies in each analysis, the assessment of publication bias was not performed. Pooled estimates with calculated p values smaller than 0.05 were declared statistically significant, except when otherwise stated. All statistical analyses were performed using STATA, V.12.0 (Stata Corporation, College Station, Texas, USA).

RESULTS

Identification of eligible studies

The initial search yielded 816 relevant citations from PubMed, Embase and Web of Science. Of these, 358 were excluded as duplicate records, and 440 were excluded after review of the title/abstract (figure 1). Therefore, 18 potential studies were identified for the final analysis; however, 11 studies were excluded because 2^{23} ²⁴ were single-arm phase II studies, 6^{12} ^{25–29} were unrelated to our topics, 1^{30} did not provide outcomes of our interest, 1^{31} was a non-RCT design and 1 was a



protocol study.³² Finally, seven RCTs (involving 2084 patients)^{15 16 33–37} that met the inclusion criteria were included in this meta-analysis.

Characteristics of eligible studies

The main patient characteristics of the four included studies were presented in table 1. All seven included studies were well-performed, prospective RCTs. Clinical characteristics were matched for hormone receptor and clinical lymph-node status in each study. These studies were published between 2012 and 2015. Most of the patients in these studies were from Europe, North and South America, Asia and Africa. All these eligible patients were older than 18 years and had histologically or cytologically confirmed HER2-positive breast cancer. Among the seven studies, only one study enrolled patients who had prior trastuzumab-based therapies,³⁴ whereas patients in the remaining studies had no previously treated experience.

The ALTTO study was initially reported by de Azambuja *et al* in 2014,³³ and updated in 2016 by Sonnenblick *et al.*³⁸ However, the updated study only

provided data in the subpopulations which developed early rash or not, but not in the overall population. Thus, we included the first version of the study published in 2014 and excluded the updated version.

The details of the risk-of-bias assessment are summarised in figure 2. Three trials were judged to be at low risk of bias, and four at unclear risk of bias. Three trials generated an adequate randomisation sequence and appropriate allocation concealment. The GRADE level of evidence was high for pCR, EFS and OS.

Pathological complete response

Five studies reported pCR in study patients.¹⁵ ¹⁶ ^{35–37} Breast pCR was noted in 502 of 880 (57.0%) patients in the combination group, and 349 of 855 (40.8%) patients in the other therapy group. The pooled results using a random-effects model demonstrated that the pCR rate was significantly higher in the combination group than in the lapatinib or trastuzumab group (RR=1.43, 95% CI 1.23 to 1.67; p<0.001) (figure 3). There was moderate heterogeneity among the included individual studies (p for heterogeneity=0.031; I^2 =51.0%).

| Study | Country | Treatment regimen | Number of patients | Age (range, years) | Duration (years) |
|--|---|---------------------------|-----------------------|-----------------------|---------------------|
| Baselga <i>et al</i> ¹⁵ | Europe, Asia, North and South America, and South Africa | Lapatinib +trastuzumab | 152 | 50 (43–59) | 1.5 |
| | | Lapatinib | 154 | 50 (42–56) | |
| | | Trastuzumab | 149 | 49 (44–57) | |
| Robidoux <i>et al¹⁶</i> | USA, Canada and Puerto Rico | Lapatinib +trastuzumab | 174 | >18 | 4.3 |
| | | Lapatinib | 174 | >18 | |
| | | Trastuzumab | 181 | >18 | |
| de Azambuja <i>et al³³</i> | Europe, Asia, North and South America, and South Africa | Lapatinib +trastuzumab | 152 | 50 (43–59) | 3.77 |
| | | Lapatinib | 154 | 50 (42–56) | |
| | | Trastuzumab | 149 | 49 (44–57) | |
| Blackwell <i>et al⁸⁴</i> | North America and Europe | Lapatinib +trastuzumab | 148 | 52 (26–81) | 3 |
| | | Lapatinib | 148 | 51 (29–78) | 2.5 |
| Guarneri <i>et al</i> ³⁵ | Italy | Lapatinib +trastuzumab | 46 | 49 (26–65) | 5 |
| | | Lapatinib | 39 | 49 (34–68) | |
| Bonnefoi <i>et al</i> ³⁶ | UK | Trastuzumab | 36 | 50 (34–65) | NR |
| | | Lapatinib +trastuzumab | 52 | 49.4 (27.3–70.8) | |
| | | Lapatinib | 23 | 49.9 (27.3–68.5) | |
| | | Trastuzumab | 53 | 47 (25.3–68.9) | |
| Holmes <i>et al⁸⁷</i> | USA | Lapatinib +trastuzumab | 33 | 50.0 (28–66) | NR |
| | | Lapatinib | 34 | 52 (25-67) | |
| | | Trastuzumab | 33 | 54 (21–67) | |

Subgroup analysis based on the treatment comparators was conducted. The pooled estimates using a random-effects model showed that a combination treatment of lapatinib and trastuzumab was associated with a significantly higher pCR rate than either lapatinib (RR=1.54, 95% CI 1.16 to 2.03; p=0.003) or trastuzumab alone (RR=1.36, 95% CI 1.12 to 1.65; p=0.002) (figure 3).

Subgroup analysis based on the hormone receptor status indicated that combination treatment significantly increased the pCR in patients with hormone receptor-positive (RR=1.31, 95% CI 1.02 to 1.69; p=0.034) or negative (RR=1.39, 95% CI 1.14 to 1.69; p=0.001) (figure 4). Moreover, for patients with tumour size $\leq 5/$ >5 cm, combination treatment significantly improved the pCR in patients irrespective of their tumour size (for tumour size ≤ 5 cm, RR=1.65, 95% CI 1.08, 2.52; p=0.020; for tumour size>5 cm, RR=1.46, 95% CI 1.05 to 2.04; p=0.025) (figure 4).

Event-free survival and overall survival

Two RCTs reported EFS and OS in study patients.^{33 34} The pooled results of these studies using a fixed-effects model showed that combination treatment of lapatinib and trastuzumab significantly prolonged the EFS and OS more than did lapatinib or trastuzumab alone in patients with HER2-positive breast cancer (for EFS,

HR=0.75, 95% CI 0.60 to 0.93; p=0.009; for OS, HR=0.72, 95% CI 0.56 to 0.93; p=0.011) (figure 5). The test for heterogeneity was not significant (for EFS, p=0.0853; I^2 =0.0%; for OS, p=0.649; I^2 =0.0%).

Adverse events

Six studies included in this meta-analysis presented data on adverse events.^{15 16 33–36} The most common adverse events were diarrhoea, rash or erythema, neutropenia and hepatic adverse events. Pooled analysis using a random-effects model showed that compared with monotherapy, combination therapy was associated with a significantly higher incidence of grade 3 or 4 diarrhoea (RR=6.38, 95% CI 4.05 to 10.07; p=0.001), rash or erythema (RR=1.51, 95% CI 1.06 to 2.16; p=0.0024), and hepatic adverse events (RR=2.31, 95% CI 1.35 to 3.98; p=0.002).

DISCUSSION

The present study was a systematic review and meta-analysis of RCTs with the objective of comparing the combination treatment of lapatinib and trastuzumab to lapatinib or trastuzumab alone in the treatment of HER2-positive breast cancer. This study indicated that lapatinib in combination with trastuzumab significantly



Figure 2 Risk of bias summary.

Figure 3 Pathological complete response for combination therapy of lapatinib and trastuzumab with lapatinib or trastuzumab alone.

increased pCR, and prolonged EFS and OS in the treatment of HER2-positive breast cancer. Moreover, the combination treatment increased pCR when it was compared with either lapatinib or trastuzumab alone. A significantly higher incidence of grade 3 or 4 adverse events, including diarrhoea, rash or erythema, and hepatic adverse events, were observed in the lapatinib plus trastuzumab group. Our study demonstrated that patients with HER2-positive breast cancer could achieve increased pCR, and improved EFS and OS when they were treated with the combination treatment of lapatinib and trastuzumab.

There has been one published meta-analysis of neoadjuvant dual HER2-targeted therapy with lapatinib and trastuzumab in the treatment of patients with early-stage HER2-positive breast cancer.³⁹ In that study, the authors included four peer-review reports and one abstract to estimate the effect of adding lapatinib to trastuzumab plus neoadjuvant chemotherapy on pCR rate.³⁹ Their results showed that the combination treatment was associated with a significant improvement in the pCR.³⁹ Our meta-analysis expands on this early meta-analysis to provide better characteristics for the combination treatment for HER2-positive breast cancer. First, in our study, there are more enlarged sample sizes than in the previous study, which increases the statistical power to evaluate the effect of combination treatment. Second, we also assessed the effects of combination treatment in the subpopulation of patients with different hormone receptor status and tumour size, whereas in the previous study, the authors only evaluated the effect of combination treatment on the pCR. Furthermore, we also were capable of evaluating the effects of combined therapy in



| Study | | % |
|--|---------------------|--------|
| ID | RR (95% CI) | Weight |
| Hormone-receptor positive | | |
| Baselga J (2012) | 2.56 (1.46, 4.49) | 12.36 |
| Baselga J (2012) | 1.83 (1.12, 3.01) | 14.42 |
| Robidoux A (2013) | 1.19 (0.92, 1.53) | 24.56 |
| Robidoux A (2013) | 1.16 (0.89, 1.51) | 24.03 |
| Bonnefoi H (2015) | 1.01 (0.59, 1.72) | 13.20 |
| Bonnefoi H (2015) | 0.91 (0.50, 1.66) | 11.43 |
| Subtotal (I-squared = 55.1%, p = 0.049) | 1.31 (1.02, 1.69) | 100.00 |
| | | |
| Hormone-receptor negative | | |
| Baselga J (2012) | 1.82 (1.26, 2.62) | 16.99 |
| Baselga J (2012) | 1.68 (1.18, 2.39) | 17.85 |
| Robidoux A (2013) | 1.12 (0.87, 1.42) | 24.87 |
| Robidoux A (2013) | 1.21 (0.95, 1.53) | 25.12 |
| Bonnefoi H (2015) | 1.31 (0.82, 2.08) | 12.68 |
| Bonnefoi H (2015) | 2.72 (0.80, 9.31) | 2.48 |
| Subtotal (I-squared = 46.0%, p = 0.099) | 1.39 (1.14, 1.69) | 100.00 |
| Tumora E om | | |
| Papalas I (2012) | 1.04 (1.25, 0.00) | 25.64 |
| Baselga J (2012) | - 1.94 (1.35, 2.80) | 35.01 |
| Baselga J (2012) | 2.28 (1.23, 4.23) | 23.95 |
| Robidoux A (2013) | 1.18 (0.90, 1.55) | 40.45 |
| Subiolal (I-Squared = 71.0%, p = 0.032) | 1.05 (1.08, 2.52) | 100.00 |
| Tumor> 5 cm | | |
| Baselga I (2012) | 2 12 (1 37 3 27) | 28 98 |
| Baselga I (2012) | 1 29 (0.83, 2.01) | 28.62 |
| Robidoux A (2013) | 1 23 (0.95, 1.61) | 42 41 |
| Subtotal (I-squared = 57.2% n = 0.096) | 146 (105 204) | 100.00 |
| outotal (, oqualed = 01.270, p = 0.000) | 1.40 (1.03, 2.04) | 100.00 |
| NOTE: Weights are from random effects analysis | | |
| | 1 | |
| .107 1 | 9.31 | |

Figure 4 Pathological complete response for combination therapy of lapatinib and trastuzumab with lapatinib or trastuzumab alone in the subgroup populations.

the survival outcomes (EFS and OS), which has not been investigated in the previous meta-analysis.

On the basis of the previous meta-analysis, we furthermore included other studies.³³ ³⁴ ³⁶ With the added statistical power, this study demonstrated that the combination of lapatinib and trastuzumab significantly increased the pCR more than did lapatinib or trastuzumab alone, which was in line with previous meta-analysis. Moreover, in the subgroup analysis, the combination treatment also increased the pCR irrespective of hormone receptor status and tumour size.

In this meta-analysis, the clinical response rate of lapatinib plus trastuzumab was superior to lapatinib or trastuzumab alone. Also, we noted that this higher pCR rate for the combination treatment was clear across all subgroups tested. This result was consistent with other studies of combined therapy in HER2-positive tumours. The NeoALTTO study,¹⁵ in which patients with HER2-positive early breast cancer were randomly allocated to receive lapatinib or trastuzumab, or lapatinib in combination with trastuzumab, showing a doubling in pCR in the combination group than in the lapatinib group. The pCR rate in the hormone-receptor-positive patients was 16.25% in the lapatinib group compared with 41.56% in the combination group.¹⁵ For the patients with a hormone-receptor-negative tumour, the pCR rate in these two groups was 33.78% and 61.33%, respectively.¹⁵ Investigators of the NSABP protocol B-41 study also reported a higher pCR rate in the combination treatment.¹⁶ In that study, the pCR in hormone-receptor-positive and negative tumours was highest in the combination group (55.6% and 73.0%, respectively), intermediate in the trastuzumab group (46.7% and 65.5%, respectively) and lowest in the lapatinib group (48.0% and 60.6%, respectively).¹⁶ Despite the combination of lapatinib and trastuzumab significantly increased pCR in hormone-receptor-positive and negative patients, the rate of pCR seemed to be slightly higher in hormone-receptor-negative patients.

With regard to the survival outcomes of EFS and OS, our data demonstrated that combination treatment significantly prolonged the EFS and OS. Our findings are similar to the recently reported EGF 104900 trial,³⁴ in which the combination treatment demonstrated a significant 4.5-month median OS and 3-week EFS advantage in patients with heavily pretreated HER2-positive metastatic breast cancer.³⁴ These results confirmed the preclinical data that lapatinib in combination with trastuzumab has



Figure 5 Event-free survival and overall survival for combination therapy of lapatinib and trastuzumab with lapatinib or trastuzumab alone.

synergistic antiproliferative effects.¹⁴ In the NeoALTTO trial,³³ however, the survival advantage of the combination treatment was not observed. In that study, patients with HER2-positive early breast cancer were randomly allocated to the lapatinib group (154), trastuzumab group (149) or lapatinib plus trastuzumab group (152).³³ At the end of follow-up, the 3-year EFS and 3-year OS were 84% and 95% in the combination group, 78% and 93% in the lapatinib group and 76% and 90% in the trastuzumab group, respectively.³³ The differences between these groups were not significant. However, for patients who achieve pCR after the combination treatment, they had significantly better EFS and OS than did those without pCR.

The ALTTO trial is an international, intergroup, openlabel, phase III randomised trial in patients with HER2-positive early breast cancer.⁴⁰ In that study, 2093 and 2097 patients were randomly assigned to the combination and trastuzumab groups, respectively. After a median follow-up of 4.5 years, patients in the combination group had a 16% reduction in DFS compared with those in the trastuzumab group (HR=0.84, 95% CI 0.70 to 1.02).⁴⁰ However, the difference did not reach statistical significance (p=0.048). This result is inconsistent with the finding of the EGF104900 trial,³⁴ which observed a beneficial effect of combination treatment. In the EGF104900 trial,³⁴ 146 and 145 patients were randomly assigned to the combination and lapatinib arms. The median PFS for patients in the two groups was 11.1 and 8.1 weeks, respectively.³⁴ Patients in the combination group experienced a significantly improved PFS compared with those in the lapatinib group (HR=0.74, 95% CI 0.58 to 0.94; p=0.011).

The conflicting results between the ALTTO and EGF104900 trials could be explained by the following possible reasons: first, the patients' characteristics might account for the results. Patients enrolled in the EGF104900 trial were from America (62.5%) and Europe (37.5%),³⁴ whereas patients in the ALTTO trial were Caucasian (69%) and Asian (26%).40 Patients from different races may have a different response to the combination treatment. Second, the difference in drug dosage and administration schedule between the two trials might explain the results. In the EGF104900 trial,³⁴ patients received intravenous trastuzumab 2 mg/kg weekly in combination with 1500 mg daily oral lapatinb, or oral lapatinb 1500 mg daily monotherapy, whereas in the ALTTO trial,⁴⁰ the trastuzumab was administered with a loading dose of 4 mg/kg once and then 2 mg/kg weekly during chemotherapy, or at a loading dose of 8 mg/kg once and then 6 mg/kg every 3 weeks when given alone. Lapatinb was administered with a dosage of 750 mg/day in the combination treatment. Third, a difference in the sample size might influence results. In the EGF104900 trial,³⁴ the sample size for the combination arm and trastuzumab arm was 146 and 145, respectively, whereas in the ALTTO trial,⁴⁰ the corresponding figures were 2093 and 2097, respectively. Studies with a small sample size

are more likely to result in an overestimation of the treatment effect compared with large sample studies. Thus, the beneficial effect of combination therapy in the EGF104900 trial might be overestimated.

The association between pCR and the survival outcomes was also reported in several previous studies.^{7 41 42} In the NOAH study, patients with HER2-positive locally advanced or inflammatory breast cancer were randomly assigned to the trastuzumab and chemotherapy group or chemotherapy group.⁷ Results from that study showed that there was a strong correlation between pCR and the improved EFS.⁷ The investigators of the TECHNO study⁴² also reported an association between pCR and prolonged disease-free survival (DFS) and OS. In that study, the 3-year DFS was 88% in patients with pCR compared with 73% in patients without pCR (p=0.01); the 3-year OS was 96% in patients with pCR compared with 86% in patients without pCR (p=0.025).⁴² Another meta-analysis of 11 955 patients with primary breast cancer⁴³ also demonstrated that patients who achieved pCR had improved EFS and OS, and the association between pCR and long-term survival outcomes was strongest in patients with triple-negative breast cancer and in those with HER2-positive, hormone-receptor-negative tumours treated with trastuzumab.43

Regarding the safety profile, our study showed that the incidence of grade 3 or 4 toxicities, including diarrhoea, rash or erythema, neutropenia and hepatic adverse events, was higher in the combination group than in the monotherapy group. In a previously published trial, early rash was reported to be associated with a higher chance of pCR, mainly in patients older than 50 years (odds risk, OR=3.76, 95% CI 1.69 to 8.34), but not in those <50 years (OR=0.92, 95% CI 0.45 to 1.88; p for interaction=0.01).⁴⁴ However, no significant correlation was observed between pCR and diarrhoea or hepatic adverse events.⁴⁴

There are some potential limitations in this meta-analysis. First, our meta-analysis is based on seven RCTs and some of them have a relatively modest sample size, which may lead to an overestimation of the treatment effect when compared with studies with larger samples. Second, the patient characteristics, including hormone receptor status, tumour size, clinical status of lymph nodes and treatment regimens, vary greatly across studies. All of these factors may cause the heterogeneity of our analysis and have a potential impact on our final results. Third, our exploration of the survival effects of lapatinib plus trastuzumab in patients with HER2-positive breast cancer was insufficient because of the sparse reporting among the included studies. Finally, it should be noted that all of these trials were partly funded by the pharmaceutical industry, and their results might have been affected by the inherent conflict of interest and possible bias.

In summary, our meta-analysis indicated that a combination of lapatinib and trastuzumab can significantly improve pCR, EFS and OS in the treatment of HER2-positive breast cancer. Moreover, it also induced an acceptable and tolerable risk of diarrhoea, rash, neutropenia and hepatic adverse events. However, considering the potential bias and confounding of the included studies, further larger scale, well-designed RCTs are needed to identify these findings.

Contributors Z-qX and YZ conceived the study aims and design and contributed to the systematic review and data extraction. NL, P-jL, LG, XG and X-jT performed the analysis, interpreted the results and drafted the manuscript. All authors contributed to the revision of the data extraction, interpretation of results and to the review of the manuscript.

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