# Horizontal Transfer of DNA from the Mitochondrial to the Plastid Genome and Its Subsequent Evolution in Milkweeds (Apocynaceae)

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Data deposition: Raw Illumina sequence data from this article have been submitted to the NCBI Sequence Read Archive under accession SRP027350 and SRP027355. Assembled sequence data have been submitted to GenBank under accession KF386166, KF511778-KF511786, KF539844-KF539854, and KF541337.

### **Abstract**

Horizontal gene transfer (HGT) of DNA from the plastid to the nuclear and mitochondrial genomes of higher plants is a common phenomenon; however, plastid genomes (plastomes) are highly conserved and have generally been regarded as impervious to HGT. We sequenced the 158 kb plastome and the 690 kb mitochondrial genome of common milkweed (Asclepias syriaca [Apocynaceae]) and found evidence of intracellular HGT for a 2.4-kb segment of mitochondrial DNA to the rps2-rpoC2 intergenic spacer of the plastome. The transferred region contains an rpl2 pseudogene and is flanked by plastid sequence in the mitochondrial genome, including an rpoC2 pseudogene, which likely provided the mechanism for HGT back to the plastome through double-strand break repair involving homologous recombination. The plastome insertion is restricted to tribe Asclepiadeae of subfamily Asclepiadoideae, whereas the mitochondrial rpoC2 pseudogene is present throughout the subfamily, which confirms that the plastid to mitochondrial HGT event preceded the HGT to the plastome. Although the plastome insertion has been maintained in all lineages of Asclepiadoideae, it shows minimal evidence of transcription in A. syriaca and is likely nonfunctional. Furthermore, we found recent gene conversion of the mitochondrial rpoC2 pseudogene in Asclepias by the plastid gene, which reflects continued interaction of these genomes.

**Key words:** Asclepias syriaca, gene conversion, horizontal gene transfer, mitochondrial genome, phylogeny, plastome.

### Introduction

Horizontal gene transfer (HGT) is the phenomenon in which genetic material is transmitted laterally between organisms or between genomes within organisms, rather than vertically though sexual reproduction (Keeling and Palmer 2008; Bock 2010; Renner and Bellot 2012). This process can occur irrespective of the relatedness of the organisms and occurs frequently between prokaryotes and eukaryotes (Keeling and Palmer 2008; Bock 2010). HGT between genomes in plants takes place through intracellular transfer of DNA among the nuclear, plastid, and mitochondrial genomes. In land plants, HGT of plastid DNA (ptDNA) to the mitochondrial genome and transfer of ptDNA and mitochondrial DNA

(mtDNA) to the nuclear genome are well documented (Richardson and Palmer 2007; Keeling and Palmer 2008; Bock 2010; Smith 2011; Renner and Bellot 2012). However, the highly conserved land plant plastome has long been considered "essentially immune" to HGT (Richardson and Palmer 2007, p. 7; Smith 2011), until the recent reports of a single case of movement of mtDNA into the plastome (Goremykin et al. 2009; lorizzo et al. 2012a, 2012b).

In the single confirmed case of mitochondrial to plastid HGT, mtDNA including a putative mitochondrial cox1 pseudogene was discovered in the cultivated carrot (Daucus carota) plastome by Blast analyses during the characterization of the grape mitochondrial genome (Goremykin et al. 2009)

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and confirmed by sequencing of the carrot mitochondrial genome (lorizzo et al. 2012b). lorizzo et al. (2012a) proposed transposition via a non-long terminal repeat (LTR) retrotransposon as the mechanism of HGT and by surveying the plastomes of other members of Apiaceae found that six additional species of *Daucus* and its close relative, cumin (*Cuminum cyminum*), share the DNA transfer from the mitochondrial to plastid genomes.

A putative mitochondrial to plastid HGT of an rpl2 pseudogene was detected by Ku et al. (2013) in the common milkweed (Asclepias syriaca L.: Apocynaceae) based on Blast similarity searches. Here, we confirm transfer of mtDNA, including the rpl2 pseudogene (\psi rpl2), into the plastome of A. syriaca based on the full genome sequences of the plastome and mitochondrial genome for this species. Furthermore, we suggest that homologous recombination mechanisms that function to repair double-strand breaks in plastomes enabled the movement of mtDNA into a highly conserved plastome, a process that was facilitated by the presence of plastid sequence in the mitochondrial genome from earlier HGT events. We place this gene transfer event in an evolutionary context by showing the phylogenetic distribution of the insertion across subfamily Asclepiadoideae and determine that it occurred in the common ancestor of tribe Asclepiadeae and demonstrate that the exogenous ptDNA has been retained relatively intact in all daughter lineages. Through additional phylogenetic analyses of homologous sequences in the plastid and mitochondrial genomes, we determined that there has been ongoing exchange between the two genomes leading to gene conversion of a mitochondrial pseudogene (\psi rpoC2) in Asclepias. Finally, by means of transcriptome sequencing for A. syriaca, we show that only a small portion of the horizontally transferred segment, not including ψrpl2, is transcribed leading us to suggest that most of the segment lacks function, although the expressed ends may have some regulatory role.

### **Materials and Methods**

Illumina Library Preparation and Sequencing

We extracted DNA using one of the following kits or methods: FASTDNA kit (MP Bio), DNeasy kit (Qiagen), or the cetyl trimethylammonium bromide method (Doyle and Doyle 1987). We prepared Illumina libraries for *A. syriaca* and 11 other Asclepiadoideae (table 1) following (Straub et al. 2011) or using the gel-free size selection protocol described in Straub et al. (2012) for *Asclepias nivea* and *Secamone afzelii*. We obtained mate pair libraries for *A. syriaca* with insert sizes of 2, 3.6, 4.5, and 5 kb from Global Biologics (Columbia, MO). We sequenced either single-end or paired-end Illumina short reads (36, 80, or 101 bp) for each library on Illumina HiSeq 2000, Illumina GAIIx, or Illumina MiSeq sequencers at either the Oregon State University Center for Genome Research and

Biocomputing (OSU-CGRB) or the Oregon Health and Science University.

### Sequence Assembly and Analysis

We used Alignreads v. 2.25 (Straub et al. 2011) and the A. syriaca reference plastome we previously sequenced (GenBank: JF433943.1) with one copy of the inverted repeat removed for reference-guided plastome assembly of a random subset of 5 million reads from the A. syriaca data set. We conducted Blast (Altschul et al. 1997) searches (BlastN) against the GenBank nucleotide database to identify regions of similarity to plant mitochondrial genomes in the insert region. We used Velvet 1.0.12 (Zerbino and Birney 2008) and Velvet Optimiser (http://bioinformatics.net.au/software. velvetoptimiser.shtml, last accessed July 4, 2013) with a hash length of 71, expected coverage of 31, and coverage cutoff of 0.326 to perform de novo assembly of the mitochondrial genome of A. syriaca. We trimmed the A. syriaca mate pair reads to 30 bp (2, 3.6, 4.5 kb libraries—101 bp original length) or removed bases below Q30 on either end of the reads and retained reads ≥30 bp (5 kb library—36 bp original length) using Trimmomatic v. 0.20 (Lohse et al. 2012). The trimmed mate pair reads were utilized in SSPACE 2.0 (Boetzer et al. 2011) to scaffold the mitochondrial de novo contigs. We used Geneious 6.1.4 (Biomatters Ltd.) to design polymerase chain reaction (PCR) primers (supplementary table S1. Supplementary Material online) to fill gaps between scaffolds using standard Sanger dye-termination sequencing (Applied Biosystems). We further used the PCR results to order scaffolds into a master circle. Undetermined sequence in the Velvet assembly was ascertained either by read mapping in Geneious or reference-guided assembly in Alignreads.

We identified homologous regions between the plastome and mitochondrial genome using BLAT (Kent 2002) and annotated these regions using Mitofy (Alverson et al. 2010). We aligned assembly contigs and consensus sequences with a selection of asterid plastome sequences available in GenBank (table 2) using MAFFT v. 6.857 (Katoh and Toh 2008) and compared them in BioEdit v. 7.0.5.3 (Hall 1999) to determine insert sizes (length of nonhomologous regions). We confirmed the 5' and 3' ends of the insert sequence in the A. syriaca plastome by PCR (1× Phusion® Flash High-Fidelity PCR Master Mix [Finnzymes], 0.2 μM forward primer 5'-ACAC TCTCGTAGCGCCGTATAGTCTT-3', 0.2 µM reverse primer 5'-GGTTCAAAGGATTAGTGCACCCTTCA-3', cycling conditions: 30s 98°C, 25 cycles of 10s 98°C, 30s 61°C, 90s 72°C, extension 10 min 72 °C) followed by standard Sanger dye-termination sequencing.

We assembled the *A. nivea* plastome sequence using a combination of de novo and reference-guided assembly following (Straub et al. 2011) and using the *A. syriaca* reference (GenBank: JF433943.1). For other Asclepiadoideae, we made reduced random read pools providing approximately  $125 \times$ 

Subfamily	Tribe	Subtribe	Species	Voucher	rps2-rpo@	rps2-rpoC2	PCR Product	Informatically
					Intergenic	Intergenic Spacer	for rp/2-↓rpoC2	Determined
					Spacer Size Similar to	Method of Confirmation		<i>√rpoC</i> 2
					A. syriaca			
Apocynoideae	Baisseeae		Oncinotis tenuiloba Stapf	S. Liede 3621 (UBT)	No	PCR	No	n/a
Asclepiadoideae	Asclepiadeae	Asdepiadinae	Asdepias nivea L.	M. Fishbein 6061 (OKLA)	Yes	PCR, NGS	Yes	Yes <sup>a</sup>
			Asdepias syriaca L.	W. Phippen 5 (OSC)	Yes	PCR, NGS	Yes	Yes
		Astephaninae	Astephanus triflorus R. Br.	P. Bruyns 8901E (BOL)	Yes	NGS	Yes	Yes
		Cynanchinae	Cynanchum leave (Michx.) Pers.	M. Fishbein 5228 (OKLA)	Yes	PCR	Yes	No
			Raphistemma pulchellum Wall.	D. Middleton, P. Karaket, S. Lindsay,	Yes	PCR	Yes	No
				T. Phutthai, and S. Suddee 5103 (E)				
			Seutera angustifolia (Pers.) Fishbein &	M. Fishbein 5604 (OKLA)	Yes	PCR	Yes	No
			W.D. Stevens					
		Eustegiinae	Eustegia minuta (L.f.) N.E.Br.	P. Bruyns 4357 (BOL)	Yes	PCR, NGS	Yes <sup>b</sup>	Yes
		Gonolobinae	Dictyanthus pavonii Decne.	M. Fishbein 5842 (OKLA)	Yes	PCR	Yes	No
			Matelea biflora (Raf.) Woodson	A. Rein 39 (OKLA)	Yes	NGS	Yes	Yes
		Metastelmatinae	Metastelma califomicum Benth.	M. Fishbein 6436 (OKLA)	Yes	PCR	Yes	No
		Orthosiineae	Orthosia scoparia (Nutt.) Liede & Meve	M. Fishbein 5280 (OKLA)	Yes	NGS	Yes	Yes
		Oxypetalinae	Araujia sericifera Brot.	M. Fishbein 6070 (OKLA)	Yes	NGS	No	Yes <sup>a</sup>
			Funastrum arenarium	M. Fishbein 6442 (OKLA)	Yes	PCR	Yes	No
			(Decne. ex Benth.) Liede					
		Tylophorinae	Vincetoxicum rossicum	T. Livshultz 03-31 (BH)	Yes	PCR, NGS	Yes	Yes
			(Kleopow) Barbar.					
	Ceropegieae	Aniostominae	Sisyranthus trichostomus K. Schum.	C. <i>Peter 3760</i> <sup>€</sup>	No	PCR, NGS	No	Yes
		Heterostemminae	Heterostemma piperifolium	D.J. Middleton 194 (A)	No	PCR, NGS	Yes	No
			King & Gamble					
	Fockeeae		Fockea edulis K. Schum.	7. Livshultz s.n. (BH)	No	PCR	Yes	No
	Marsdenieae		Marsdenia astephanoides	M. Fishbein 5104 (OKLA)	No	PCR, NGS	Yes	Yes
			(A.Gray) Woodson					
			Telosma cordata (Burm. f.) Merr.	T. Livshultz 01-33 (BH)	No	PCR, NGS	Yes <sup>b</sup>	Yes
Periplocoideae			<i>Periploca sepium</i> Bunge	T. Livshultz s.n. (PH)	No	PCR	No	n/a
Secamonoideae			S. afzelji (Roem. & Schult.) K.Schum.	F. Billiet S2211 (BR)	No	PCR, NGS	No	Yes

\*Partial sequence determined (see main text).
\*Donfirmed by Sanger sequencing.
\*Personal spirit-preserved collection of Dr Graig Peter, Department of Botany, Rhodes University, Grahamstown, South Africa.



 Table 2

 List of Asterid Plastome Sequences Downloaded from NCBI and Aligned to Determine the Size of the Typical Asterid rps2-rpoC2 Intergenic Spacer and Stop Codon

Order	Family	Species	NCBI Accession	Size	Canonical Stop
			Number	ofrps2-rpoC2 (bp)	Codon Utilized?
Apiales	Apiaceae	Anthriscus cerefolium	NC_015113.1	220	Yes
		Daucus carota	NC_008325.1	209	Yes
	Araliaceae	Panax ginseng	NC_006290.1	212	Yes
Asterales	Asteraceae	Ageratina adenophora	NC_015621.1	260	No
		Guizotia abyssinica	NC_010601.1	246	No
		Helianthus annuus	NC_007977.1	327	No
		Jacobaea vulgaris	NC_015543.1	221	No
		Lactuca sativa	NC_007578.1	241	No
		Parthenium argentatum	NC_013553.1	286	No
Ericales	Ericaceae	Vaccinium macrocarpon	NC_019616.1	262	No
	Primulaceae	Ardisia polysticta	NC_021121.1	221	Yes
	Theaceae	Camellia sinensis	NC_020019.1	247	No
Gentianales	Rubiaceae	Coffea arabica	NC_008535.1	213	Yes
Lamiales	Lamiaceae	Salvia miltiorrhiza	NC_020431.1	208	Yes
		Tectona grandis	HF567869.1	208	Yes
	Oleaceae	Jasminum nudiflorum	NC_008407.1	258	Yes
		Olea europaea	NC_013707.2	208	Yes
		Olea woodiana	NC_015608.1	208	Yes
	Pedaliaceae	Sesamum indicum	KC569603.1	209	Yes
Solanales	Convolvulaceae	Ipomoea purpurea	NC_009808.1	213	Yes
	Solanaceae	Atropa belladonna	NC_004561.1	221	Yes
		Nicotiana sylvestris	NC_007500.1	227	Yes
		Nicotiana tabacum	NC_001879.2	227	Yes
		Nicotiana tomentosiformis	NC_007602.1	222	Yes
		Nicotiana undulata	NC_016068.1	227	Yes
		Solanum bulbocastanum	NC_007943.1	228	Yes
		Solanum lycopersicum	NC_007898.2	225	Yes
		Solanum tuberosum	NC_008096.2	225	Yes

sequencing depth of the plastome, or used all reads if  $<125\times$  depth was obtained, and then conducted reference-guided assembly in Alignreads using the *A. nivea* sequence as a reference, the medium similarity setting, and the masking parameters and evaluation criteria of Straub et al. (2012). We performed a second iteration of this analysis using the result of the first assembly as the reference in cases where the whole insert region was not assembled in a single contig during the first round of assembly.

#### Sequencing Depth Estimation in A. syriaca

We removed duplicate sequences from the read pool to eliminate PCR duplicates that could potentially inflate the observed sequencing depth using custom python scripts for paired-end reads and the FASTX-toolkit (http://codex.cshl.org/labmembers/gordon/fastx\_toolkit/, last accessed July 24, 2013) for single-end reads. We then used the assembled *A. syriaca* plastome and mitochondrial genome sequences to map reads in

BWA v. 0.5.7 (Li and Durbin 2009). To exclude reads that may have originated in the other genome, we used only perfect matches to construct read pileups using SAMtools v. 0.1.7 (Li et al. 2009). We used the pileups to determine the number of reads with starts mapping in the insert regions. To ensure that only reads from the correct genome were counted, in regions of 100% sequence similarity we excluded the read starts for reads wholly fitting into the window of similarity from the counts. This estimate is conservative because reads that did originate from the genome in question will have been eliminated. We then sampled six sequence regions of the same size as the inserted segment from the surrounding areas of the plastome. Each was separated from the insert segment and each other sample by the read length (80 bp), so that no read was present in two windows. We counted read starts in these windows and used them to construct a 95% confidence interval for the number of reads expected to be found for each genome per sequence window.

PCR Screening for the Insert in Asclepiadoideae Plastomes and the rpoC2 Pseudogene in Asclepiadoideae Mitochondrial Genomes

To screen for the presence of the insert in the rps2-rpoC2 intergenic spacer in other Asclepiadoideae, we used the same PCR primers used in A. syriaca and the same PCR conditions with the exception of lowering the annealing temperature to either 55 °C or 50 °C to achieve amplification in some species.

To screen for the presence of an rpoC2 pseudogene in the mitochondrial genomes of Asclepiadoideae, we designed one PCR primer in the most divergent region between the plastid rpl2 pseudogene and mitochondrial rpl2 (5'-GCGATTGGTCTA AACCTTCGA-3'). To further increase specificity, we incorporated a penultimate mismatch (Cha et al. 1992). We designed three reverse primers using the A. syriaca mitochondrial rpoC2 as a guide (R1: 5'-ACCATTGATCATTTTGTTATCATCCA-3': R2: 5'-ACATATGAAATAGCGGACGGTCTA-3': R3: 5'-TGTCAAAG AGGCGAAGAAATCT-3'). We used the PCR conditions given above modified by decreasing the annealing temperature to 55 °C and increasing the number of cycles to 30.

### Sequencing and Assembly of rpoC2/\psirpoC2

To informatically assemble  $\psi rpoC2$ , we used BLAT to identify reads that hit the plastid rpoC2 sequence of each species for which we collected Illumina data. We determined the sequence of  $\psi rpoC2$  by using the plastid sequence as a reference and mapping reads with one or more mismatches or those supporting indels versus the plastid sequence in Geneious using the Geneious assembler with custom sensitivity settings to allow gaps with a maximum of 50% per read and size of 60 bp, a word length of 24 with words repeated more than eight times ignored, a maximum of 25% mismatches per read, an index word length of 14, and a maximum ambiguity of four. We mapped multiple best matches randomly and did not use the fine tuning option. We detected gaps larger than those recovered by read mapping with BLAT. We checked portions of the informatically determined sequence for accuracy using primers R1 and R2 as sequencing primers in Eustegia and Telosma, and R3 and an additional primer (R4: 5'-TCTAATGGAAAAAGCAAATTGAATGA-3') in A. syriaca, and employed the  $\psi rpoC2$  PCR protocol and standard Sanger dye-termination sequencing described above. We used the same read mapping approach to detect variants of the rps2-rpoC2 intergenic spacer across Asclepiadoideae that could correspond to the homologous region of the mitochondrial genome if present in species other than A. syriaca.

#### Phylogenetic Analyses

We prepared a matrix for phylogenetic analysis by aligning plastome sequences in MAFFT v. 6.864b. Due to the difficulty in assembling putative pseudogenes accD and ycf1 in Asclepias (Straub et al. 2011), we excised these regions from the alignment. We used GBlocks v. 0.91b (Talavera and Castresana 2007) with default settings (minimum of seven and ten sequences for a conserved position and a flank position, respectively; maximum of eight nonconserved positions; minimum length of 10 bp in a block), modified by allowing positions where half of the sequences contained gaps, to remove unalignable and poorly aligned regions of the matrix. We analyzed this final matrix (supplementary data set S1, Supplementary Material online) by utilizing CIPRES resources (Miller et al. 2010) to run RAxML v. 7.6.3 (Stamatakis 2006; Stamatakis et al. 2008) with the following settings: -p 6540444 -x 18884 -N 1000 -k -f a -m GTRCAT. The maximum likelihood (ML) search and 1.000 rapid bootstrapping replicates were performed in a single run. The tree was rooted using S. afzelii, a member of subfamily Secamonoideae, the sister subfamily of Asclepiadoideae, as an outgroup.

We prepared a second matrix for phylogenetic analysis by aligning the sequences of rpoC2 from the plastomes of each species, the informatically assembled \( \psi rpoC2 \) sequence from each species, and an outgroup plastid rpoC2 sequence from Nerium oleander (GenBank: GQ997692.1), a member of Apocynoideae (another subfamily of Apocynaceae), using MAFFT. We conducted a phylogenetic analysis of the final matrix (supplementary data set S2, Supplementary Material online) using RAxML through CIPRES with the following settings: -p 1648144 -x 2465477 -N 5000 -k -f a -m GTRGAMMA. The ML search and 5.000 rapid bootstrapping replicates were performed in a single run.

### Chloroplast Transcriptome Sequencing and Analysis

We mechanically homogenized fresh frozen leaves (~200 mg) from A. syriaca (W. Phippen 5 (OSC)) on dry ice in a FastPrep-24 bead mill (MP Bio). We added 1.5 ml of cold extraction buffer (3 M LiCl/8 M urea; 1% PVP K-60; 0.1 M dithiothreitol [Tai et al. 2004]) to the ground tissue, homogenized the tissue, and pelleted cellular debris at 200  $\times$  g for 10 min at 4  $^{\circ}$ C. The supernatant was incubated overnight at 4°C, RNA was pelleted by centrifugation (20,000  $\times$  g for 30 min at 4  $^{\circ}$ C), and cleaned using the ZR Plant RNA MiniPrep kit (Zymo Research). We prepared an RNA-Seg library using 2 µg total RNA, followed by ribosomal RNA subtraction (RiboMinus<sup>TM</sup>; Life Technologies), and standard TruSeg reagents (Illumina Inc.) modified to enable strand-specific sequencing by dUTP incorporation (Parkhomchuk et al. 2009). In this approach, second strand synthesis is supplemented with a dUTP/dNTP mixture (Fermentas ThermoScientific) in place of standard dNTPs. Prior to enrichment PCR, uracil-containing sites are degraded with a uracil-specific excision reagent mixture (New England Biolabs). We enriched the library using standard TruSeq amplification primers, and a 12-pM aliquot was sequenced at OSU-CGRB using an Illumina HiSeq 2000 to obtain 101 bp single-end reads.

We removed reads not passing the Illumina chastity and purity filters and trimmed all reads to 100 bp. We used Trimmomatic to trim leading and trailing bases less than Q20, to trim the rest of the sequence when the average quality in a sliding window of 5 bp fell below Q30, and to exclude reads <36 bp following trimming, which resulted in a final data set of 24.6 M reads. We determined expression of the insert region and flanking operons by mapping the qualityfiltered reads back to the plastome reference sequence using BWA. To estimate sequencing depth, we calculated the average depth in a sliding window of 20 bp across the atpl-rpoC2 region. We also calculated reads per kilobase of exon model per million mapped reads (RPKM) values (Mortazavi et al. 2008) separately for sense and antisense reads mapped to the insert region and flanking genes using Artemis v. 15.1.1 (Carver et al. 2012) and BamView v. 1.2.9 (Carver et al. 2013).

### **Results**

Sequencing and Assembly of the *A. syriaca* Plastome and Mitochondrial Genome Confirm Intracellular HGT

Sequencing and assembly of a 158,719-bp *A. syriaca* plastome (GenBank: KF386166) confirmed a 2,427-bp insertion in the *rps2–rpoC2* intergenic spacer (2,677 bp total length; fig. 1*A*) relative to other angiosperms in the asterid clade (ca. 80,000 species). This insertion is also present in the

plastome of a second A. syriaca individual (GenBank: JF433943.1) that we previously sequenced (Straub et al. 2011; Ku et al. 2013), and this region in the newly sequenced individual differs by the insertion of 21 bp, 16 of which are repeated upstream of the insertion, and deletion of 21 bp that comprises a direct repeat in the first individual. The rps2rpoC2 intergenic spacer ranges from 208 to 327 bp in 28 other photosynthetic asterid species with plastomes in GenBank (table 2). Blast (Altschul et al. 1997) searches of the GenBank nucleotide database using the A. syriaca rps2rpoC2 spacer region as a guery returned high confidence hits to plant mitochondrial genomes, including grape (Vitis vinifera), papaya (Carica papaya), and watermelon (Citrullus lanatus). The putatively homologous mitochondrial region includes rpl2, confirming that the A. syriaca plastome contains a pseudogene ( $\psi rpl2$ ) consisting of the second exon of mitochondrial rpl2. Assembly of the approximately 690 kb milkweed mitochondrial genome from the same individual that we used for plastome sequencing revealed that it contains sequences homologous to the inserted segment in the plastome (fig. 1A), which are split between two regions of 1,091 and 1,401 bp, and separated by 55,845 bp in the master circle of the contemporary A. syriaca mitochondrial genome.

To confirm that the appearance of mitochondrial sequence in the plastome was not the product of misassembly, we utilized the quantitative nature of short read sequencing and the

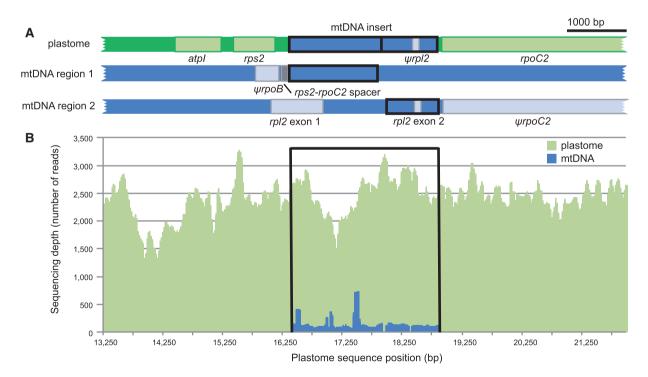


Fig. 1.—Region of horizontal transfer from the mitochondrial genome to the plastome of Asclepias syriaca. (A) Diagram of the A. syriaca plastome and homologous regions of the mitochondrial genome. The mtDNA insert in the plastome and homologous regions in the mitochondrial genome are indicated by black boxes. (B) Sequencing depth of the A. syriaca plastome surrounding the mtDNA insert and the homologous region of the mitochondrial genome.

fact that ptDNA is typically an order of magnitude more abundant than mtDNA in *Asclepias* genomic DNA extractions (Straub et al. 2011; Straub et al. 2012). A total of 72,004 reads mapped to the mtDNA insert in the plastome, following a correction for reads that could have originated from the mitochondrial genome due to 100% sequence similarity in blocks that exceed the read length. This value falls within the 95% confidence interval (65,947–76,521) of reads mapped to equal-sized partitions of the surrounding single-copy portion of the plastome and differs markedly from the corrected value of 3,509 reads mapped to the homologous regions of the mitochondrial genome. The inserted region therefore has similar sequencing depth to the surrounding plastome, rather than the mitochondrial genome, which demonstrates that it is not an assembly artifact (fig. 1*B*).

The plastome insert and the mitochondrial sequence have a pairwise sequence divergence of 0.072 substitutions per site and differ by 29 indels with a total length of 251 bp. The pairwise sequence divergence between \( \psi rpl2 \) and the mitochondrial rpl2 is 0.089 substitutions per site. Both the plastid and mitochondrial sequences have the conserved stop codon characteristic of core eudicots that separates the portion of the gene encoded in the mitochondrial genome (5' rpl2 sensu Adams et al. 2001) and the portion presumably transferred to the nuclear genome (Adams et al. 2001). In the translated pseudogene sequence, five of the substitutions relative to mitochondrial rpl2 are nonsynonymous, and one is a nonsense mutation that would lead to a truncation 16 amino acids prior to the conserved end of 5' rpl2. In addition, the homologous regions of the mitochondrial genome are flanked by sequence of plastid origin, a partial rps2-rpoC2 intergenic spacer on the 5' end and an rpoC2 pseudogene ( $\psi rpoC2$ ) on the 3' end (fig. 1A), which has numerous missense, nonsense, and frameshift mutations. We confirmed the presence of the insert in the plastome by PCR amplification and sequencing of the insert region using primers anchored in the flanking plastid genes, one of which (rps2) does not have a pseudogene in the milkweed mitochondrial genome.

# Phylogenetic Distribution of the Mitochondrial to Plastid Genome HGT

To place the transfer event into an evolutionary context, we surveyed 22 other species of Apocynaceae to determine whether they shared the mitochondrial segment of DNA in the *rps2-rpoC2* intergenic spacer (table 1). First, we used PCR to screen 17 species spanning three of four subfamilies of Apocynaceae, with sampling concentrated in Asclepiadoideae, the subfamily to which *A. syriaca* belongs, to determine whether the spacer region was similar in size to that observed in *A. syriaca*, or whether it was more typical of other asterids (fig. 2). All members of tribe Asclepiadeae (subfamily Asclepiadoideae) with successful PCR amplification had spacer regions as large as or larger than *A. syriaca*. The

large spacer region was also present in *Eustegia* (table 1), an enigmatic monotypic African genus that, while possessing synapomorphic features of tribe Asclepiadeae (Bruyns 1999), has been resolved in phylogenetic analyses of plastid sequence data alternatively as sister to the rest of the Asclepiadeae (Liede 2001) or as the sister group of the tribes Marsdenieae and Ceropegieae (Rapini et al. 2003; Meve and Liede 2004; Rapini et al. 2007). Members of tribes Fockeeae, Marsdenieae, and Ceropegieae of Asclepiadoideae and subfamilies Secamonoideae, Periplocoideae, and Apocynoideae did not show evidence of an insertion in the spacer region (table 1).

We selected 11 species for whole plastome sequencing and phylogenetic analysis to determine whether the large spacers of tribe Asclepiadeae contained mitochondrial inserts and the order of evolutionary events leading to the mtDNA transfer to the plastome. As expected, we detected inserts ranging in size from 2,427 to 4,715 bp in the rps2-rpoC2 intergenic spacer in the plastome assemblies of all species of Asclepiadeae, including Eustegia, but not in other Apocynaceae (figs. 2 and 3). Comparison of the inserts of other Asclepiadeae with the A. syriaca mitochondrial genome revealed a segment of approximately 400 bp that is absent from the plastome and mitochondrial genome of A. syriaca and does not have significant Blast hits in GenBank. The phylogenetic analysis of the plastome sequences (11,933 variable of 117,206 total characters; -In likelihood -257,351.74) considering only substitutions, but not indel events, including the insertion from the mitochondrial genome, revealed that Eustegia is sister to the rest of Asclepiadeae (fig. 4) and may be retained in the tribe as its earliest diverging lineage. Therefore, the plastome insertion provides a rare genomic change that is a molecular synapomorphy for Asclepiadeae.

Comparison of the 3'-end of aligned plastid *rpoC2* sequences showed that multiple distinct mutations have occurred in Apocynaceae that truncate the protein by 2–18 amino acid residues (supplementary fig. S1, Supplementary Material online). The length of the protein is otherwise conserved across most asterids, although Asteraceae and Ericales also exhibit some length variation (table 2).

# Phylogenetic Distribution of the Plastid to Mitochondrial HGT

To explore a possible mechanism for the DNA transfer from the mitochondrial genome to the plastome, we used PCR to screen the same set of species evaluated by PCR for the presence of the mtDNA insertion in the plastome for a \(\psi poC2\) gene flanking the mitochondrial \(rpl2\) gene in the mitochondrial genome. Nearly all surveyed members of Asclepiadoideae showed the presence of the pseudogene based on amplification using a mitochondrial genome-specific primer anchored in \(rpl2\) and three different primers designed from the \(A\). \(syriaca\) \(\psi rpoC2\) sequence (fig. 2 and table 1). However, samples from subfamilies Secamonoideae and



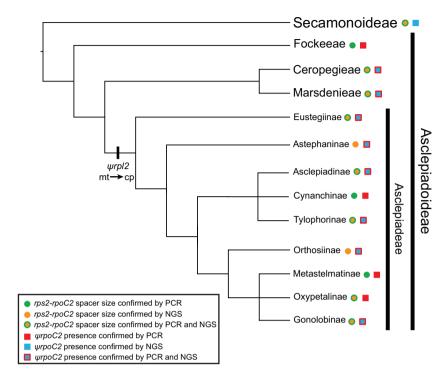


Fig. 2.—Evolutionary relationships of the Asclepiadoideae (Apocynaceae) and results of PCR and NGS surveys of the size of the *rps2-rpoC2* intergenic spacer in the plastome and for the presence of  $\psi rpoC2$  in the mitochondrial genome downstream of *rpl2*. The topology of the phylogenetic tree of the relationships among tribes of Asclepiadoideae and subtribes of Asclepiadeae is a summary of the plastome phylogeny obtained in this study (fig. 4) augmented with the relationships of major clades not sampled here, as indicated by previous phylogenetic studies of ptDNA sequences (Liede 2001; Liede and Täuber 2002; Rapini et al. 2003; Liede-Schumann et al. 2005; Rapini et al. 2007; Livshultz 2010). The hash mark represents horizontal transfer of DNA from the mitochondrial genome to the plastome in the common ancestor of Asclepiadeae. The circles and squares represent PCR and NGS screening of the size of the *rps2-rpoC2* intergenic spacer and presence of  $\psi rpoC2$ , respectively. The colors of the circles and squares indicate which method was used. Details of species sampling are given in table 1.

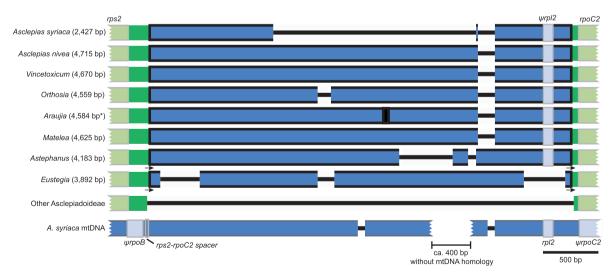
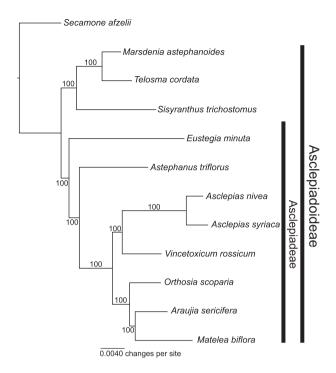


Fig. 3.—Variability in the mtDNA inserts in the plastomes of Asclepiadeae. Plastome mtDNA inserts are shown as blue boxes outlined in black and their lengths are given in parentheses. Sequence deletions of 50 bp or more relative to the other sequences are shown as black lines. The black box represents undetermined sequence in the *Araujia* assembly and the asterisk after the length denotes that it is an estimate. The arrows (not drawn to scale) indicate 24 bp imperfect direct repeats observed in *Astephanus* and *Eustegia*. The 5' repeat is identical in *Astephanus* and *Eustegia* and the 3' repeat differs from the 5' repeat by 1 bp in *Astephanus* and 2 bp in *Eustegia*. Representations of the conserved sequence in other sampled Asclepiadoideae (*Marsdenia*, *Sisyranthus*, and *Telosma*) and sequences of the two homologous regions of the mitochondrial genome of *A. syriaca* are shown for comparison.



**Fig. 4.**—ML phylogeny of the Asclepiadoideae (Apocynaceae) based on plastome sequences of major lineages. The numbers shown above or below the branches are bootstrap support values.

Periplocoideae did not amplify using these primers, indicating that either the pseudogene is not present or the PCR priming sites are divergent or absent. A  $\psi rpoC2$  sequence of 499 bp was informatically assembled for *S. afzelii*, indicating that all reverse primer sites are in fact absent in this species.

Pairwise divergence between rpoC2 and  $\psi$ rpoC2 in A. syriaca was 0.011 substitutions per site, which is much less than the pairwise divergence observed between the plastome insert and the homologous regions of the mitochondrial genome (fig. 5A), indicating the possibility of more recent exchange between the two genomes. To test for evidence of gene conversion, we informatically assembled the  $\psi rpoC2$  sequences for species with sequenced plastomes and obtained Sanger sequences for portions of the pseudogene in A. syriaca, Eustegia, and Telosma to confirm the informatically assembled sequences. Low sequencing depth of the mitochondrial genome and/or the apparent presence of more than one rpoC2 pseudogene prevented the mitochondrial sequences of Araujia and A. nivea from being successfully assembled. Phylogenetic analysis of the successfully determined mitochondrial sequences and the plastid homologs (690 variable of 4,222 total characters; -In likelihood -11896.01) indicated that there has indeed been recent recombination between the plastid gene and the mitochondrial pseudogene in A. syriaca, as the mitochondrial sequence is strongly supported to share more recent ancestry with plastid sequences than with mitochondrial sequences from other species (fig. 5*B*). Some of the other mitochondrial sequences share single-nucleotide polymorphisms with the plastid sequence from the same species and may be mosaics containing smaller tracts of gene conversion (Hao and Palmer 2009; Hao et al. 2010) than what we observed in *A. syriaca* and which are too short to affect the placement of the sequences in the phylogenetic analysis (Hao and Palmer 2011).

Due to the presence of the partial rps2-rpoC2 intergenic spacer observed in the A. syriaca mitochondrial genome, we used this sequence to look for evidence of more than one (i.e., a plastid and a putatively mitochondrial) copy of this sequence. Asclepias nivea, Araujia, Orthosia, Matelea, and Marsdenia all showed evidence of two sequences. The other species did not, but due to the short length of this sequence, it is possible that it is present in all species but has not accumulated mutations in some of them. The observation of two sequences, especially in Marsdenia (tribe Marsdenieae), leaves open the possibility that this sequence was present in the ancestral mitochondrial genome of Asclepiadeae along with  $\psi rpoC2$ .

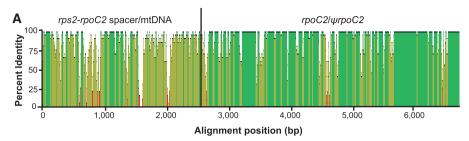
### Expression Analysis of the Plastome Insert Region

We evaluated transcriptome sequences derived from leaf tissue of the same A. syriaca individual used in genomic sequencing to determine whether the  $\psi rpl2$  exon was expressed at the level of mRNA. Our results show that the flanking operons from rpoC2 (including rpoB, rpoC1, and rpoC2) and rps2 (including rps2, atpA, atpI, and atpH) are actively transcribed in leaf tissue, but that transcripts representing the insert and the  $\psi rpl2$  exon are not abundant (fig. 6). The RPKM values for sense (and antisense) mapped reads were 3,298 (6), 854 (1), 149 (12), and 254 (12) for atpI, rps2, the insert, and rpoC2, respectively.

#### **Discussion**

Plant plastomes are highly conserved, and intracellular gene transfer involving the plastome has been shown to be highly asymmetric. Although genetic material from plastid genomes is regularly transferred to plant mitochondrial and nuclear genomes, it has long been believed that plant plastomes do not tolerate incorporation of foreign DNA (Richardson and Palmer 2007; Keeling and Palmer 2008; Smith 2011). The power of the quantitative nature of next-generation sequencing (NGS) allowed confirmation of just such a rare event, transfer of mtDNA into the plastome, through sequencing and assembly of these two genomes in common milkweed. Further sampling of other species of Apocynaceae revealed that this transfer event uniquely occurred in the common ancestor of tribe Asclepiadeae. Because of the conserved nature of the plastome, evidence of this intracellular HGT has been preserved through the divergence of thousands of species, originating perhaps in the late Eocene (Rapini et al. 2007). Evidence for the mechanism of the HGT event, as well as of continued interaction of the plastid and mitochondrial genomes, is





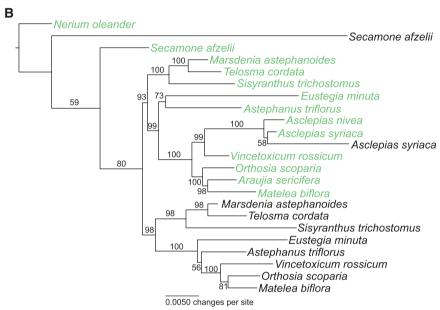


Fig. 5.—Gene conversion between the plastid rpoC2 and mitochondrial  $\psi rpoC2$  genes of A. syriaca. (A) Plot of percent identity between homologous regions of the plastome and mitochondrial genomes of A. syriaca over a 20-bp sliding window. Green indicates 100% identity, brown <100% but at least 30% identity, and red <30% identity. Gap positions in the alignment were considered to have no identity. (B) ML phylogeny of plastid rpoC2 and mitochondrial  $\psi rpoC2$  sequences. The numbers above or below the branches are bootstrap support values. Plastid gene sequences are indicated in green and mitochondrial pseudogene sequences in black. In the absence of gene conversion or other confounding factors, both the plastid and mitochondrial sequences should produce monophyletic groups with identical branching orders; however, strongly supported placement of the A. syriaca mitochondrial pseudogene sequence in the plastid clade indicates sufficient gene conversion to affect the outcome of the phylogenetic analysis.

present in the DNA sequences obtained from representative species.

# The Mechanism of the Mitochondrial to Plastome HGT in Milkweeds

A suite of DNA repair mechanisms functions to maintain the integrity of the plastome and contribute to the conservative evolution of this genome, which exists in a genotoxic environment due to photo-oxidative stress (Maréchal and Brisson 2010). Double-strand breaks in ptDNA can be repaired by homologous recombination through both the classical double-strand break repair model (DSBR) and the synthesis-dependent strand annealing model (SDSA), either of which can result in gene conversion if the template used differs from the DNA under repair (Odom et al. 2008; Maréchal and Brisson 2010). Homologous sequences as short as

50–150 bp are long enough to allow these mechanisms to occur (Singer et al. 1982; Watt et al. 1985; Maréchal and Brisson 2010). One of these ptDNA repair mechanisms is likely responsible for the incorporation of mtDNA into the plastid genome in the ancestor of Asclepiadeae following a double-strand break in the *rps2–rpoC2* intergenic spacer.

All Asclepiadoideae (the subfamily containing Asclepiadeae) share the presence of  $\psi rpoC2$  flanking rpl2 in the mitochondrial genome, indicating that the ancestral mitochondrial genome of Asclepiadeae contained the necessary homologous sequence on one flank of the mtDNA that was inserted into the plastome. Presence of plastid rps2-rpoC2 flanking the other end of the inserted segment (as observed in the mitochondrial genome of A. syriaca and likely present in other Asclepiadoideae) in combination with  $\psi rpoC2$  could have facilitated repair of a double-strand break between rps2 and rpoC2 through either DSBR or

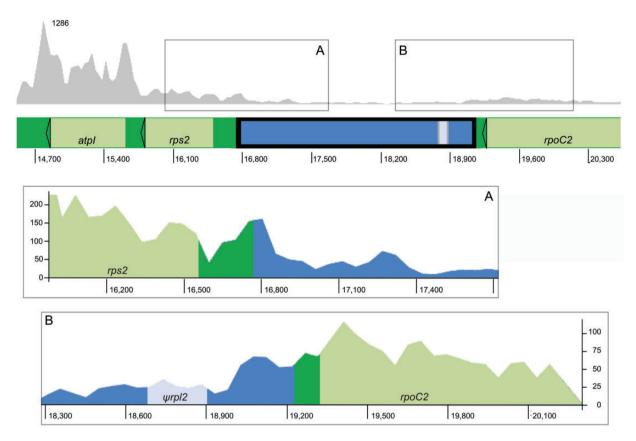


Fig. 6.—Gene expression levels of the plastome insert and flanking operons in *A. syriaca*. The gray plot shows relative sequencing depth for plastome regions flanking the rps2-rpoC2 intergenic spacer; color scheme for plastid genes, plastid spacers, and the mitochondrial insert follows figures 1 and 3. (*A*) Expression of the 5'-end of rps2 and the flanking insert sequence. (*B*) Expression of the 3'-end of rpoC2 and flanking insert sequence, including  $\psi rpl2$ . Plastome coordinates are given on the x axis and read depth on the y axis for both (*A*) and (*B*). Note that the scale is not the same in (*A*) and (*B*).

SDSA that used the mtDNA as a template, rather than another copy of the plastome, of which there are multiple copies per cell in most higher plants (Smith 2011). There is also a plastid \$\psi rpoB\$ adjacent to the spacer sequence in the mitochondrial genome of \$A\$. syriaca (fig. 1A), but there is no evidence that it played a role in the HGT mechanism. It could represent an independent transfer of ptDNA to the mitochondrial genome or if it was part of a single transfer that included the \$rps2-rpoC2\$ spacer sequence, the intervening \$rpoC2\$ and \$rpoC1\$ genes and \$3'\$ \$rpoB\$ sequence (ca. 9,100 bp) have since been deleted.

The two segments of the mitochondrial genome that were inserted into the plastome are not currently contiguous in *A. syriaca*. The arrangement observed in the plastome insert likely represents the ancestral mitochondrial genome sequence that has since been rearranged. This would be consistent with observations showing slow nucleotide substitutions in plant mitochondrial genomes but a rapid pace of rearrangement (Wolfe et al. 1987; Palmer and Herbon 1988; Maréchal and Brisson 2010; Knoop 2012). The presence of sequence in the plastome inserts without homology to the *Asclepias* mitochondrial genome or sequence in GenBank

could be due to the deletion of this sequence in the *Asclepias* mitochondrial genome, though it was present in the ancestral mitochondrial genome, but also leaves open the possibility that the mechanism of transfer may be more complicated and has involved multiple steps or sources of DNA, such as the nuclear genome.

Due to the presence of homologous flanking sequence in the mitochondrial genomes of milkweeds, homologous recombination double strand break repair mechanisms (DSBR and SDSA) seem most likely as causal processes in the HGT. However, other mechanisms are known to operate in plastome repair. Single-strand annealing and microhomologymediated end joining, also occur; however, these alternative pathways generally cause deletions, not insertions (Kwon et al. 2010; Maréchal and Brisson 2010). A mechanism thought to be similar to nonhomologous end joining, which frequently occurs during repair of nuclear DNA in plants, cannot be ruled out but has only rarely been observed in plastids, and due to its rarity has been cited as a reason that HGT had not been observed in plastomes (Odom et al. 2008; Kwon et al. 2010). A final possibility is that a transposable element could have facilitated the horizontal transfer event, which is



thought to be the mechanism in the carrot mtDNA horizontal transfer to the plastome (lorizzo et al. 2012a). Non-LTR retrotransposons characteristically produce short direct repeats due to target site duplication (Han 2010). Direct repeats are observed at either end of the plastome insertions in *Eustegia* and *Astephanus*, which belong to the earliest diverging lineages of the Asclepiadeae; however, this mechanism is unlikely given the absence of sequence in the insert region homologous to known transposable elements.

Regardless of how the mtDNA was incorporated into the plastome, it is still unknown how that DNA could have entered the plastid. There is no known native DNA uptake mechanism in plastids, and in the absence of such a mechanism, the double membrane of plastids and their lack of ability to fuse, as mitochondria do, may provide sufficient barriers to exogenous DNA (Richardson and Palmer 2007; Keeling and Palmer 2008; Bock 2010; Kwon et al. 2010; Smith 2011) in all but the most exceptional cases. The uptake of foreign DNA by plastids can be induced through stress treatments (Cerutti and Jagendorf 1995) and transformation accomplished using various methods (Maliga 2004), which further demonstrates that once the double membrane is breached, incorporation of foreign DNA is not a difficult step and can readily occur by homologous recombination (Maréchal and Brisson 2010).

#### Maintenance of the Insert over Evolutionary Time

In higher plants, horizontally transferred DNA is generally not functional in the recipient genome, even if the segment contains a gene or genes, and horizontally transferred DNA is usually only transiently maintained in the recipient genome on an evolutionary time scale (Richardson and Palmer 2007; Keeling and Palmer 2008; Bock 2010). Even considering the slower rate of evolution of the plastome than the nuclear genome, this large insertion has been maintained, relatively intact, since approximately the late Eocene in all subtribes of tribe Asclepiadeae. Sequence comparison across these subtribes indicates that the insert is beginning to degrade, and this is most notable in A. syriaca, in which approximately half of the insert sequence has been deleted. The general maintenance of this foreign insertion raises the question of whether or not it confers a selective advantage that caused that plastome variant to drive to fixation in the ancestor of Asclepiadeae, or whether it defied long odds as a neutral variant and was fixed by genetic drift.

In its current form, fitness advantages conferred by the horizontally transferred DNA cannot be realized at the protein level because there is minimal transcriptional activity in A. syriaca. The lack of abundance of primary or processed transcripts for the  $\psi rpl2$  exon indicates that the exon is likely not functional as a translated protein. This is not surprising given that rpl2 exon 2 is likely not essential for function even in the mitochondrial genome (Colas des Francs-Small et al. 2012). The insertion may still possess a less obvious role in

post-transcriptional processing, as the mature RNAs from both flanking operons show large differences in final accumulated mRNA levels. Both the 5' untranslated region of the rps2-atpA operon and the 3' untranslated region of the rpoB-rpoC2 operon appear to extend approximately 100 bp into the insert (fig. 6), creating a more robust target for transcriptional modification making it possible that the insert behaves as a novel transcriptional enhancer by stimulating translational efficiency, either due to direct interactions with 70S ribosomes (Pfalz et al. 2009) or by stabilizing specific isoforms that are more readily translatable (Felder et al. 2001). These extensions may also serve as targets for PPR-class genes or sRNAs, both of which target the 5' and 3' termini of transcripts and stabilize RNAs by blocking degradation by chloroplast exonucleases (Felder et al. 2001; Pfalz et al. 2009; Barkan et al. 2012). It could be telling that Eustegia, which has had multiple large deletions in the insert, retains the short regions of the insert sequence where transcription is extended in A. syriaca (fig. 3). However, if the insert segment is indeed neutral, its success is likely a consequence of "lucky insertion" into an intergenic spacer between two transcriptional operons (rpoB-rpoC2 and rps2-atpA), rather than into a location that would have caused disruption of an essential gene in the gene-dense plastome. In this case, the observed minimal transcription is likely a function of the frequency of promoters throughout the plastome and inefficient, stochastic transcription termination in plastids which contribute to transcription of virtually the entire plastome (Hotto et al. 2012; Zhelyazkova et al. 2012).

# Continued Exchange with the Mitochondrial Genome through Gene Conversion

The simultaneous phylogenetic analysis of mitochondrial  $\psi rpoC2$  and plastid rpoC2 sequences revealed relatively recent gene conversion, such that mitochondrial \psirpoC2 in Asclepias is more closely related to plastid rpoC2 than to ψrpoC2 in other Asclepiadeae. The position of the S. afzelii (subfamily Secamonoideae) mitochondrial sequence sister to all other Asclepiadoideae mitochondrial and plastid sequences could be because the  $\psi rpoC2$  in this species is of independent origin. Another possibility is that the pseudogenes are of common evolutionary origin, but there was plastid to mitochondrial gene conversion in the common ancestor of Asclepiadoideae after the divergence of Secamonoideae, which at that time caused the mitochondrial pseudogene to become more closely related to the plastid gene than the mitochondrial pseudogene in the sister lineage of Asclepiadoideae (i.e., Secamonoideae). Taken together, these results illustrate that the relationship between the two genomes is dynamic over evolutionary time.

This phenomenon has been observed before. A comparison of the maize and rice mitochondrial and plastid genomes that revealed that there has been ongoing intergenomic

exchange through gene conversion (Clifton et al. 2004) since an ancient transfer of ptDNA to the mitochondrial genome that likely occurred before the divergence of gymnosperms and angiosperms (Wang et al. 2007). Gene conversion among native mitochondrial genes, those of plastid origin, and with exogenous DNA has been observed on a finer scale leading to mosaicism in mitochondrial genes, which can be a significant generator of diversity in plant mitochondrial genomes (Hao and Palmer 2009; Hao et al. 2010) and may be a cause of phylogenetic incongruence (Hao and Palmer 2011). It is possible that such interplay between genomes has affected the evolution of the plastome as well and could be a contributor to the variability we observed at the 3' end of plastid *rpoC2* in Apocynaceae.

### **Conclusion**

The evidence provided in this study for HGT between the mitochondrial and plastid genomes of milkweeds, combined with the results of lorizzo et al. (2012b) showing a transposable element-mediated HGT of mtDNA into the plastome of carrot, confirms that DNA transfer from land plant mitochondrial genomes to plastomes is possible and can occur by more than one mechanism. The sequencing of additional land plant plastomes may reveal that horizontal or intracellular gene transfer into these genomes is not as rare as was previously thought and gives further insight into these and other mechanisms of DNA transfer between plant mitochondrial and plastid genomes.

## **Supplementary Material**

Supplementary figure S1, table S1, data sets S1 and S2 are available at *Genome Biology and Evolution* online (http://www.gbe.oxfordjournals.org/).

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