Genetic identification of pathogenic variations of the *DMD* gene: a retrospective study from 10,481 neonatal patients based on next-generation sequencing data

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Background: An elevated level of creatine kinase (CK) is usually the primary screening marker for Duchenne muscular dystrophy (DMD)/Becker muscular dystrophy (BMD). This study investigated the clinical application of next-generation sequencing (NGS) in newborns with a possible diagnosis of DMD/ BMD in the neonatal intensive care unit (NICU).

Methods: NGS data from the NICU between June 1, 2016, and June 30, 2020, were reanalyzed by an in-house pipeline. Other methods confirmed the genetic findings, and clinical follow-up was performed until August 1, 2020.

Results: Of the 10,481 newborns, 19 (0.18%, 19/10,481) cases with pathogenic variations of the *DMD* gene were identified, including 13 (68.4%, 13/19) deletions, 4 (21.1%, 4/19) duplications, and 2 (10.5%, 2/19) nonsense mutations. Eight of the cases were diagnosed with DMD. Therapeutic strategies were modified for these patients. Six cases were diagnosed with BMD. Five patients except for 1 deceased patient were further followed-up, and clinical management was adjusted based on the clinical symptoms. The remaining 5 cases were indeterminate for DMD and BMD. Genetic counseling and further follow-up were performed or suggested.

Conclusions: Our study showed that DMD/BMD could be diagnosed earlier in the neonatal stage before the typical clinical symptoms appear. Early diagnosis may provide an opportunity for guiding the care and treatment of patients. However, ethical issues need to be kept in mind in the process of genetic counseling.

Keywords: Duchenne muscular dystrophy (DMD); Becker muscular dystrophy (BMD); next-generation sequencing (NGS)

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Introduction

Duchenne muscular dystrophy (DMD) and its less severe form Becker muscular dystrophy (BMD) are inherited progressive myopathic diseases resulting from mutations in the DMD gene located on the X chromosome. This gene spans approximately 2.4 Mb of genomic DNA, including 79 exons and 78 introns, and affects skeletal and myocardial muscle and the brain's development. At present, the overall incidence is estimated to be 1 case per 5,000 live male births according to 10 large DMD newborn screening programs (1). DMD patients are characterized by progressive muscular damage and degeneration, resulting in mildly delayed motor milestones, muscular weakness, loss of ambulation, cardiomyopathy, and cardiac or respiratory failure. Also, some patients can present cognitive dysfunction (2). In contrast, BMD patients present a later and slower progression of muscular damage. The severity of phenotypes is related, at least in part, to whether the amino acid translational reading frame is disrupted or preserved by the deletion/duplication mutations (3).

In practice, patients with DMD/BMD are usually not symptomatic until 2 to 5 years old. The typical diagnostic process usually begins in early childhood after the characteristic clinical symptoms are noticed (1). Further investigations, including metabolic tests, genetic tests, and possible muscle biopsy, are performed (1). Therefore, a delay of up to 2 years between the first onset of symptoms and the diagnosis is common in affected patients (4). To date, many studies have suggested that earlier intervention, such as glucocorticoid treatment (5,6), multidisciplinary care (7), and better family planning (8), could improve neuromuscular function and quality of life and extend the lifespan of DMD patients (9). Furthermore, molecular and gene therapies for DMD have been on the horizon (10). Therefore, a timely and accurate diagnosis of DMD/BMD is crucial for management.

Currently, population-based DMD newborn screening is based on creatine kinase (CK) from dried blood spots. An elevated CK level is the primary screening marker and assists in identifying patients with suspected myopathic diseases. However, its performance has not been satisfactory under clinical conditions since CK levels can be elevated due to birth trauma, asphyxia, and infection, which produces false positives in sick neonates (8). With the widescale implementation of next-generation sequencing (NGS) in recent years, the application of NGS provides exciting possibilities in the field of critical care medicine (11-13). A previous study indicated that neonatal intensive care unit (NICU) patients were at high risk of genetic conditions, and many trials have been launched to investigate genetic conditions in the NICU setting, which could help to identify the target population (14). Therefore, our study aims to perform genetic identification of pathogenic *DMD* gene variations in newborns from the NICU using clinical exome sequencing data and investigate the impact of the early identification of pathogenic variations of the *DMD* gene on the clinical decision. We present the following article in accordance with the MDAR and STROBE reporting checklist (available at http://dx.doi.org/10.21037/ atm-20-7102).

Methods

Study subjects

The clinical exome sequencing data of 10,486 individuals admitted to the NICU at Fudan University of Children from June 1, 2016, to June 30, 2020, were reanalyzed by an inhouse pipeline for genetic analysis. The inclusion criteria were as follows: (I) patients were from the NICU; (II) postnatal age was less than 28 days; (III) biological parents or guardians provided informed consent. Clinical data were extracted from medical records, including clinical features, laboratory results, and follow-up information in the clinic. Patients were excluded if clinical information was absent. A molecular geneticist and a neurologist evaluated genotype and phenotype correlations for DMD. The last follow-up was performed by phone call. The study was conducted following the Declaration of Helsinki (as revised in 2013). The Children's Hospital of Fudan University ethics committee approved this study since the study began (2020-441). Written informed consent was obtained from all participants.

Data analysis

The raw data of FastQ was reanalyzed using the in-house analytical pipeline (15) (Figure S1). GATK best practice was performed for single nucleotide variants (SNVs)/small indels for variant calling. Copy number variants (CNVs) were detected by HMZDelFinder (16) and CANOES (17) separately, and the results were merged. HMZDelFinder is an algorithm for the detection of rare and intragenic homozygous and hemizygous (HMZ) deletions. BAM files and VCF files of individuals were extracted as the input for variant detection. The application was conducted

under default-set thresholds. In the published version of CANOES (17), researchers stated that all CNV callings were restricted to the autosomes due to complications resulting from the samples being of different genders. We extended the CNV calling to chromosome X by adjusting the target region coverage according to the samples' gender. The detailed extension process included adjusting the X chromosome coverage according to gender and evaluating the baseline for CNV detection on the X chromosome using autosome and X chromosome coverage data. Both read coverage and the GC content of the exons were used to call CNVs by CANOES. The annotation and filtrations of both SNVs and CNVs have been described in published work (15). SNVs were then validated by Sanger sequencing, while diagnostic CNVs were confirmed by multiplex ligation-dependent probe amplification (MLPA).

The human genome variation society (HGVS) nomenclature and the predicted mutation type of *DMD* gene variations were made about the Leiden muscular dystrophy database website (http://www.dmd.nl/). The previously reported cases were extracted from the Leiden muscular dystrophy database (18), the UMD-DMD database (19), the eDystrophin database, the TREAT-NMD DMD Global database (20), and the human gene mutation database (HGMD).

Results

Genetic analysis identified 19 newborns with DMD gene pathogenic variations

The genetic data from 10,481 patients from the NICU between June 1, 2016, and June 30, 2020, were reanalyzed. Nineteen (0.18%, 19/10,481) newborns with pathogenic variations in the *DMD* gene were identified by the in-house pipeline, including 17 CNVs (89.5%, 17/19) and 2 SNVs (10.5%, 2/19). Among the 17 CNVs, 76.5% (13/17) were deletions and 23.5% (4/17) were duplications (*Figure 1*). Eight infants were clinically diagnosed with DMD. Six infants were clinically diagnosed with DMD. Six infants were clinically diagnosed with DMD. Six infants were clinically diagnosed with BMD, and 1 of them died during the neonatal period. The remaining 5 infants had indeterminate DMD or BMD, and 1 of these 5 infants died during the neonatal period. The results of the MLPA and Sanger sequencing are described in the supplementary file (Figure S2).

Clinical diagnosis of 19 newborns with DMD gene pathogenic variations

The genotype and phenotype correlation, the genetic

evidence, and clinical assessment at last follow-up for the 19 newborns with *DMD* gene pathogenic variations are summarized in *Table 1*. Except for 2 cases (Neo_18, Neo_19) with nonsense mutations, 7 cases were outof-frame deletions, 1 case (Neo_9) was an out-of-frame duplication, 6 cases were in-frame deletions, 1 case (Neo_7) was an in-frame duplication, and the remaining 2 cases (Neo_1, Neo_17) were difficult to predict, with *DMD* gene exon 1-7 duplications.

Eight patients (No. 1-8) were diagnosed with DMD according to the out-of-frame mutation type and the mutation hotspots of previous DMD patients. Three newborns (Neo_11, Neo_14, Neo_16) had a family history. The variant of Neo_9 was a novel pathogenic variation, but the predicted mutation type was an out-of-frame duplication, and he had a CK level of 50,000 IU/L. Therefore, he was classified in the group of DMD patients.

Six newborns (No. 9-14) were diagnosed with BMD based on in-frame mutation type and the mutation hotspots of previous BMD patients. No muscular biopsy has been performed on these 6 patients.

Five newborns (No. 15-19) could not be distinguished between DMD and BMD based on limited phenotypes and DMD gene pathogenic variations. Neo_1 and Neo_17 had exon 1–7 duplication, which makes it difficult to predict mutation type. In a previous report (29), a patient who harbored exon 1–7 duplication was diagnosed with DMD. However, due to this area's phenotype variability, it is hard to predict the mutation type of our patients. Clinical followup and genetic consultation were recommended. Neo_7 (with duplication of exon 1–2) and 2 cases with nonsense mutations (Neo_18 and Neo_19) had limited phenotypes. Also, there was insufficient evidence of the correlation between phenotype and the variations of these 3 infants. A muscluar biopsy has not been performed on these 4 survival patients.

Clinical assessment and follow-up of the 19 patients

Among the 19 newborns with *DMD* gene pathogenic variations, 8 infants aged from 9 months to 3 years were diagnosed with DMD. They were classified as stage 1 based on the updated 2010 DMD care considerations supported by the US Centers for Disease Control and Prevention (CDC) (*Figure 2*). These 8 patients (No. 1-8) were referred to the DMD clinic for scheduled multidisciplinary follow-up and treatment guidance.

Six patients (No. 9-14) aged from 9 months to 3 years

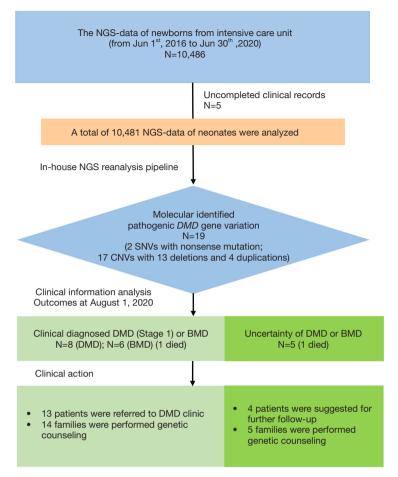


Figure 1 The study algorithm of the genetic identification of *DMD* gene pathogenic variations via next-generation sequencing. NGS, next-generation sequencing; BMD, Becker muscular dystrophy; DMD, Duchenne muscular dystrophy.

were diagnosed with BMD. We could not conclude the severity of BMD based on the limited phenotypes. Therefore, 5 patients except for 1 deceased infant (Neo_6) were referred to the DMD clinic for further follow-up, and the management plans were based on clinical symptoms. Genetic counseling was offered to all the families of patients with BMD.

For the remaining 5 (No. 15-19) newborns without a definitive diagnosis of DMD or BMD, 4 of them except for 1 deceased infant (Neo_17) were suggested to undergo further follow-up and investigation in a genetic clinic. Five of the families underwent genetic counseling. However, none of the 4 patients underwent a muscular biopsy.

Neo_5, born at the gestational age of 36 weeks, was admitted due to suspected sepsis with an incidental finding of elevated CK. His electrocardiogram showed sinus rhythm and T wave change. No family history was reviewed. Because of persistent elevated CK, he was referred to the neurological specialty clinic for follow-up since the neonatal period. At 3 months of age, he presented the calf's pseudohypertrophy on examination, and CK was persistently elevated. Combined with the earlier molecular results of out-of-frame deletions of exons 45-50 in the *DMD* gene, he had a timely clinical diagnosis of DMD. The physician discussed the management plan with the family and planned to administer corticosteroids at 4 years old. Currently, he is 2 years old with no motor or cardiac dysfunction.

Neo_16 presented with hyperbilirubinemia, mild hypotonia, and elevated CK at 3 days of life. His brother presented difficulty in walking up steps and was diagnosed with DMD at 7 years old. Neo_16 was diagnosed with DMD at 1 month of age due to persistently elevated CK and identification of out-of-frame deletions of exons 22–37 in

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N	Case ID	Neonatal period		Blood CK at	Family	HGVS nomenclature/DMD variant/	Supportive evidence	Clinical assessment (8)
		Main phenotype	Blood CK	follow-up	history	predicted mutation type		at August 1, 2020
-	Neo_2	Pneumonia, cardiomegaly, suspected myocarditis	12,070	I	No	c.(6614+1_6615-1)_(6912+1_6913-1) de/exon 46-47 de//Out-of-frame del	1 patient DMD (21), 1 patient DMD (22)	3 yrs, stage 1: at diagnosis
N	Neo_4	Poor feeding	I	I	No	c.(6614+1_6615-1)_(6912+1_6913-1) de/exon46-47 del/Out-of-frame del	1 patient DMD (21), 1 patient DMD (22)	3 yrs, stage 1: at diagnosis
ო	Neo_5	Sepsis, suspected muscular dystrophy	5,454	15,852	No	c.(6438+1_6439-1)_(7309+1_7310-1) del/exon 45-50 del/Out-of-frame del	3 patients DMD (21), 1 patient DMD (22)	2 yrs, stage 1: at diagnosis
4	Neo_9	Urinary tract infection	50,000	3,090	No	c.(4233+1_4234-1)_(6290+1_6291-1) dup/exon 31-43 dup/Out-of-frame dup	No supportive evidence	1 yr, stage 1: at diagnosis
5	Neo_11	Urinary tract infection, polycythemia, tachycardia	66,439	2,510	Yes ^a	c.(6438+1_6439-1)_(7309+1_7310-1) de/exon 45-50 del/Out-of-frame del	3 patients DMD (21), 1 patient DMD (22)	10 months, stage 1: at diagnosis
9	Neo_14	Suspected muscular dystrophy	I	I	Yes ^b	c.(6614+1_6615-1)_(7098+1_7099-1) de/exon 46-48 de//Out-of-frame del	1 patient DMD (22)	8 months, stage 1: at diagnosis
2	Neo_15	Hyperbilirubinemia, cholangiectasis, suspected myocarditis	11,660	9,600	No	c.(6614+1_6615-1)_(7309+1_7310-1) del/exon 46-50 del/Out-of-frame del	1 patient DMD (23)	9 months, stage 1: at diagnosis
ω	Neo_16	Hypotonia, hyperbilirubinemia, suspected muscular dystrophy	73,760	22,300	Yes°	c.(2803+1_2804-1)_(5325+1_5326-1) del/exon22-37 del/Out-of-frame del	Variant recorded in 133 DMD/BMD patients (24)	3 yrs, stage 1: at diagnosis
o	Neo_3	Hyperbilirubinemia, umbilical hernia, CMV infection	2,519	I	No	c.(6438+1_6439-1)_(6912+1_6913-1) del/exon 45-47 del/In-frame del	1 patient BMD (25), 1 indeterminate BMD and DMD patient (26)	3 yrs, uncertainty of severity of BMD
10	Neo_6	Sepsis, congenital intestinal atresia, multiple organ dysfunction	335	139	No	c.(6912+1_6913-1)_(7542+1_7543-1) del/exon 48-51 del/In-frame del	1 patient BMD (26)	Died, uncertainty of severity of BMD, genetic counseling
1	Neo_8	Pneumonia	I	I	No	c.(93+1_94-1)_(1149+1_1150-1)del/ exon 3-10 del/In-frame del	1 patient BMD (27)	1 yr, uncertainty of severity of BMD
12	Neo_10	Congenital laryngomalacia	2,010	2,320	٩ ٧	c.(960+1_961-1)_(1149+1_1150-1)del/ exon 10 del/In-frame del	Variant recorded in the TREAT-NMD DMD database	1 yr, uncertainty of severity of BMD

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Table 1 (continued)

		Neonatal period		Blood CK at	Family	HGVS nomenclature/DMD variant/	Construction or indexes	Clinical assessment (8)
		Main phenotype	Blood CK	follow-up	history	predicted mutation type		at August 1, 2020
13	Neo_12	Asphyxia, respiratory distress, hyperbilirubinemia, congenital laryngomalacia	302	I	^o N	c.(6912+1_6913-1)_(7542+1_7543-1) del/exon 48-51 del/In-frame del	1 patient BMD (26)	9 months, uncertainty of severity of BMD
14	Neo_13	Vomiting, urinary tract infection, hyperbilirubinemia	119	I	No	c.(5922+1_5923-1)_(6438+1_6439-1) del/exon 42-44 del/In-frame del	1 patient BMD (28)	8 months, uncertainty of severity of BMD
15	Neo_1	Hyperbilirubinemia	222	I	No	c.(?244)_(649+1_650-1)dup/exon1-7 dup/difficult to predict	1 patient DMD (29)	3 yrs, further follow-up
16	Neo_7	Patent ductus arteriosus	247	I	No	c.(?244)_(93+1_94-1)dup/exon 1-2 dup/In-frame dup	Variant recorded in the TREAT-NMD DMD database	9 months, further follow-up
17	Neo_17	Omphalocele, sepsis, encephalopathy	60	I	No	c.(?244)_(93+1_94-1)dup/exon1-7 dup/difficult to predict	1 patient DMD (29)	Died, genetic counseling
18	Neo_18	Asphyxia requiring resuscitation, abnormal liver function, suspected muscular dystrophy	19,524	4,858	No	c.5452G>T (p.Glu1818Ter)	1 patient DMD (in-house database)	3 yrs, further follow-up
19	Neo_19	Hyperbilirubinemia, abnormal liver function, suspected muscular dystrophy	I	9,076	°Z	c.6408G>A (p.Trp2136Ter)	Variant recorded in containing 433 DMD/ BMD (30)	3 yrs, further follow-up
Yes ^ª no fi	: his uncle v amily history	Yes ^a : his uncle was diagnosed with DMD and died at 15 years old. Yes ^b : his uncle was diagnosed with DMD. Yes ^c : his brot no family history. CK, creatine kinase; BMD, Becker muscular dystrophy; DMD, Duchenne muscular dystrophy; CMV, cyto	died at 15 ₎ 3ecker musc	years old. Yes cular dystroph	b: his und 1y; DMD,	Yes ^a : his uncle was diagnosed with DMD and died at 15 years old. Yes ^b : his uncle was diagnosed with DMD. Yes ^c : his brother was diagnosed with DMD at 7 years old; No, no family history. CK, creatine kinase; BMD, Becker muscular dystrophy; DMD, Duchenne muscular dystrophy; CMV, cytomegalovirus; PMID, PubMed ID; Used RefSeq ID	other was diagnosed with tomegalovirus; PMID, Publ	DMD at 7 years old; Med ID; Used RefSe

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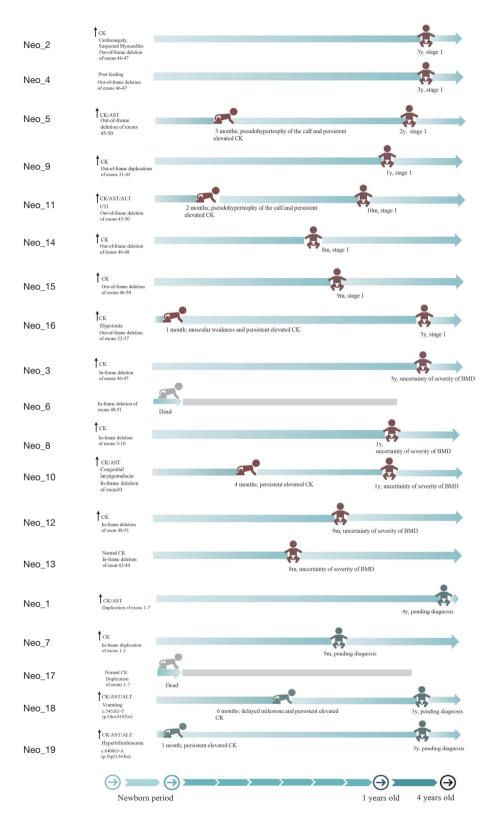


Figure 2 The clinical history of 19 patients with *DMD* gene pathogenic variations. CK, serum creatine kinase; AST, aspartate transaminase; ALT, aspartate aminotransferase; CK, creatine kinase; BMD, Becker muscular dystrophy.

the *DMD* gene. Multidisciplinary care was implemented, and family support was offered at 1 month of age. At present, he is 3 years old, and no abnormalities in motor and cardiac function have been found.

Neo_18 was admitted due to asphyxia, required resuscitation, and had an abnormal liver function at 2 days of life. He had an incidental finding of elevated CK. The elevated CK was persistent, ranging from 2,028 to 19,524 UI/L, and 1 nonsense variation in the *DMD* (c.5452G>T, p.Glu1818Ter) gene was detected. During the scheduled clinical assessment, he could not sit alone at 6 months of life, indicating delayed milestones. Family education has been provided. At present, he is 3 years old without motor dysfunction.

Discussion

To our knowledge, genetic testing can provide a confirmed diagnosis of dystrophinopathy without typical findings from muscle biopsy in most suspected cases (9). The current recommendation suggests that muscle biopsy is optional to offer to patients according to the clinical situation and differential diagnosis of other muscular dystrophy types (9). If the genetic test confirms the diagnosis of DMD, a muscle biopsy is not necessary. The recent DMD care considerations guide assessments and interventions based on disease stages (8) and suggest that clinical care coordination is crucial in DMD management. These strategies include neuromuscular, rehabilitation, endocrine (growth, puberty, and adrenal insufficiency), gastrointestinal (including nutrition and dysphagia), respiratory, cardiac, bone health, and orthopedic management (8). Evidence has revealed that targeted intervention for known clinical symptoms can change the natural history of DMD and help patients achieve a longer lifespan (9). Glucocorticoids are the only therapeutic medication for DMD patients aged 4 years or older (8,31). Studies have demonstrated that glucocorticoids can improve motor function and pulmonary function, delay ambulation loss, and extend the lifespan (31). With the widespread understanding of dystrophinopathy at the molecular level and the side-effects of glucocorticoids (8), new therapeutic medications are emerging. A study indicated that new medications, including eteplirsen, golodirsen, and ataluren, have been approved by the FDA, and ataluren can be administered as early as 2 years old (4).

Our study identified 19 newborns with *DMD* gene pathogenic variations from 10,481 NICU patients, and the incidence of *DMD* gene pathogenic variations was 0.18% (19/10,481) in our cohort. With early genetic findings of *DMD* gene pathogenic variations, all the infants were closely monitored at an early age. The early identification of *DMD* gene pathogenic variations in addition to CK levels avoided muscle biopsy, altered the clinical decision, and guided physicians to decide the best practices. Among these newborns, Neo_16, with a family history of DMD, presented with hypotonia and persistently elevated CK in the neonatal period, which indicates that DMD-relevant phenotypes can manifest as early as the neonatal period. A similar finding from a case report showed that an infant born at 31 weeks presented with hypotonia since birth and was diagnosed with DMD and X-linked myotubular myopathy at around 1 month of age (32).

However, 5 newborns with DMD gene pathogenic variations in our study did not have a definitive diagnosis of DMD or BMD because of limited phenotypes and nonhotspot mutation types. There was limited evidence of an association between phenotypic expression and the variations of these 5 newborns. Therefore, physicians referred them, except for 1 deceased infant, to the genetic consulting clinic for further follow-up. These 4 infants may require further evaluation of CK levels or muscle biopsy depending on the further clinical situation (9). Moreover, studies have indicated that some patients with DMD gene mutations could present with dilated cardiomyopathy and mild cognitive impairment or global developmental delay (3,9). Therefore, these genetic findings can help physicians recognize the relevant but nonspecific phenotypes early and potentially avoid a delayed diagnosis of DMD/BMD. The MDSTARnet cohort study indicated that earlier age of onset of first symptoms was a risk factor for more rapid progression of muscle weakness (33). Thus, early identification of the relevant phenotype could also help to predict the prognosis of patients with DMD/BMD.

Importantly, we faced ethical issues during the re-analysis of these data. Eight infants with DMD at stage 1 of the disease and 6 patients with BMD were pre-symptomatic. They will develop muscular weakness in later life, and there is no curative treatment. Therefore, these infants may experience social stigmatization of persons with disabilities and feel negatively in their later life. For the 2 deceased newborns with *DMD* gene pathogenic variations, their families may feel negatively about having another baby. For the 5 newborns without a definitive diagnosis of DMD or BMD, potential harm or anxiety to families may have been caused by the lack of closure.

On the other hand, 2 studies in a small number of

participants revealed that more than 90% of parents of affected children and expectant parents supported the DMD newborn screening program (34,35). Also, our study indicated that the NGS identification of *DMD* gene pathogenic variations in the NICU did impact future clinical management for these patients. Therefore, with the more widespread realization of the benefits of NGS identification of *DMD* gene pathogenic variations in practice, along with muscle-directed therapies and organized multidisciplinary care, we may have a greater ability to deal with the ethical issues.

Our study has several limitations. First, analysis of the clinical exome sequencing data used for DMD/BMD was performed on patients from a single hospital; therefore the generalizability may be limited. Second, the CK levels' dynamic changes were absent because patients did not present for follow-up in the clinic consistently. The last clinical follow-up was via a telephone call. Therefore, the clinical symptoms provided by parents may not be accurate. Third, our study ended on August 1, 2020. Most of the patients were less than 4 years old. Therefore, it will be essential to continue tracking these families to assess longterm benefits. Additionally, given the limited long-term neuromuscular assessments and the absence of muscle biopsy, we could not confirm DMD or BMD diagnosis in 5 cases. Lastly, for 6 patients with a BMD diagnosis, further management should be modified based on the severity of the disease.

In conclusion, with the early identification of *DMD* gene pathogenic variations, in addition to CK levels and family history, we can diagnose patients with DMD in the neonatal period before the onset of typical clinical symptoms, and further multidisciplinary management and follow-up can be implemented at an early age for these affected children. This strategy for DMD/BMD diagnosis can improve the outcomes of affected patients, but further clinical trials are urgently required. Notably, for patients with incomplete clinical data and non-hotspots variations, physicians must balance the infant and parents' best interests when they consult with families.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted per the Declaration of Helsinki (as revised in 2013). The Children's Hospital of Fudan University ethics committee approved this study since the study began (2020-441). Written informed consent was obtained from all participants.

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