

# SCIENTIFIC REPORTS



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## On the Chemistry and Physical Properties of Flux and Floating Zone Grown $\text{SmB}_6$ Single Crystals

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Received: 14 May 2015

Accepted: 08 January 2016

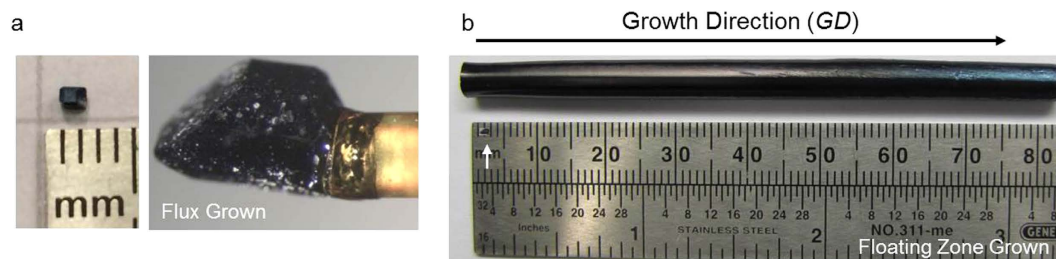
Published: 19 February 2016

Recent theoretical and experimental findings suggest the long-known but not well understood low temperature resistance plateau of  $\text{SmB}_6$  may originate from protected surface states arising from a topologically non-trivial bulk band structure having strong Kondo hybridization. Yet others have ascribed this feature to impurities, vacancies, and surface reconstructions. Given the typical methods used to prepare  $\text{SmB}_6$  single crystals, flux and floating-zone procedures, such ascriptions should not be taken lightly. We demonstrate how compositional variations and/or observable amounts of impurities in  $\text{SmB}_6$  crystals grown using both procedures affect the physical properties. From X-ray diffraction, neutron diffraction, and X-ray computed tomography experiments we observe that natural isotope containing ( $\text{SmB}_6$ ) and doubly isotope enriched ( $^{154}\text{Sm}^{11}\text{B}_6$ ) crystals prepared using aluminum flux contain co-crystallized, epitaxial aluminum. Further, a large, nearly stoichiometric crystal of  $\text{SmB}_6$  was successfully grown using the float-zone technique; upon continuing the zone melting, samarium vacancies were introduced. These samarium vacancies drastically alter the resistance and plateauing magnitude of the low temperature resistance compared to stoichiometric  $\text{SmB}_6$ . These results highlight that impurities and compositional variations, even at low concentrations, must be considered when collecting/analyzing physical property data of  $\text{SmB}_6$ . Finally, a more accurate samarium-154 coherent neutron scattering length, 8.9(1) fm, is reported.

The lanthanide hexaborides,  $\text{LnB}_6$  ( $\text{Ln} = \text{La-Nd}, \text{Sm}, \text{and Eu-Ho}, \text{Yb}, \text{and Y}$ ), comprise a fascinating class of materials which crystallize with a primitive cubic structure ( $a \sim 4.1 \text{ \AA}$ ,  $V \sim 70 \text{ \AA}^3$ ,  $Z = 1$ , and  $\text{SG} = \text{Pm-3m}$ )<sup>1</sup>. In the case of  $\text{Ln} = \text{Sm}$ , many theoretical and experimental studies of  $\text{SmB}_6$  have been performed for more than four decades and have focused on its samarium ion mixed valence nature ( $\text{Sm}^{+2}$  and  $\text{Sm}^{+3}$ ), Kondo insulating behavior, and the mysterious plateau commonly observed in the low temperature regime of many temperature dependent resistance datasets<sup>2,3</sup>. More recently, theoretical and experimental studies have focused on the possibility that Kondo insulating  $\text{SmB}_6$  harbors non-trivial topological protected surface states, which might provide an explanation for the low temperature resistance plateau<sup>4–23</sup>. However, previous literature claims that this remnant metallic feature results from combinations of impurity phases and compositional variations<sup>24,25</sup>.

To determine the possible contributions of impurity phases and compositional variations to the low temperature resistance behavior, a central need is high quality single crystals of  $\text{SmB}_6$  with precisely defined and controlled stoichiometry. The main procedures used to synthesize single crystals of  $\text{SmB}_6$  are the floating zone (FZ) and flux growth (FG) methods. In general, the FG technique is used to grow crystals below their melting temperature, and as a result, high temperature decomposition can be prevented. However, the major disadvantages

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**Figure 1.** (a) Pictures of a flux grown single crystal (right) and as mounted onto a Kapton covered vanadium post used for neutron diffraction measurements. (b) A single crystal prepared via the floating zone procedure. Also shown is a scaled version of the picture of the flux grown single crystal (white arrow).

of this technique can include crystal products that are small in size, the presence of flux inclusions within the crystal, contamination from the melt container, and possible inhomogeneities in the crystal due to inconsistent linear growth rates of different facets that develop during growth. In contrast to the *FG* technique, the use of the crucible-and flux-less *FZ* technique circumvents the problem of the incorporation of impurities from the crucible and flux materials; further, the grown crystals are quite large and can be manipulated easily. However, preparing single crystals *via* this technique, which is widely used for the crystal growth of congruently or near congruently melting compounds, can be more complicated for the materials with instabilities near their melting temperature<sup>26</sup>.

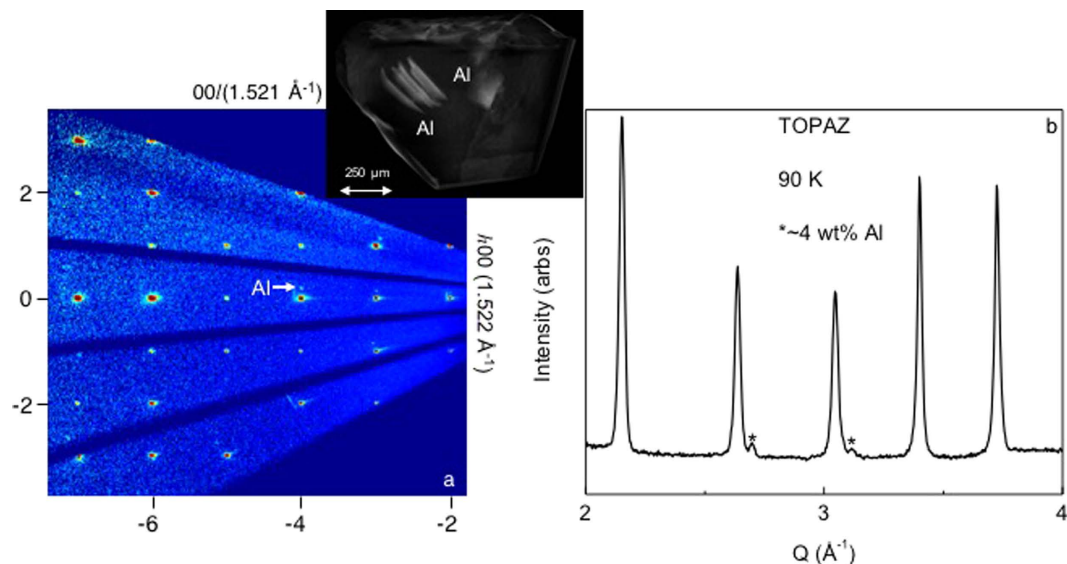
Here, we report the results of a comparative study of *FZ* and *FG* single crystals of  $\text{SmB}_6$ . We find large systematic changes in the occurrence of the low-temperature resistance plateau with small but systematic changes in the lattice parameters of *FZ* prepared  $\text{SmB}_6$  along the length of a large single crystal, showing that small changes in composition have large effects on the resistance plateau and other physical properties of  $\text{SmB}_6$ . Further, single crystal neutron diffraction studies of a doubly isotope enriched *FG*  $^{154}\text{Sm}^{11}\text{B}_6$  single crystal revealed the presence of epitaxially oriented, co-crystallized aluminum. These results show that metallic aluminum incorporated into *FG* crystals of  $\text{SmB}_6$  will have an effect on the physical properties of crystals prepared using this method. Finally, using the neutron diffraction experiments, we have determined a more accurate coherent neutron scattering length value of 8.9(1) fm for samarium-154.

## Results and Discussion

**Chemistry and Physical Properties.** As mentioned above, the *FG* procedure is a common method used to grow  $\text{LnB}_6$  single crystals. A typical photograph of an  $\text{SmB}_6$  crystal grown using the *FG* procedure is shown in Fig. 1a. The crystal has several facets and is of the appropriate size for diffraction experiments and physical properties measurements. Under magnification, portions of the surface of this crystal are coated with a lustrous, metallic substance (possibly residual aluminum flux), which is typically etched away prior to physical property measurements.

Using single crystal neutron diffraction, which effectively probes the entire sample volume due to the penetrating power of neutrons, we find the residual aluminum is not confined to the surfaces of this doubly isotope enriched crystal, which was grown using samarium-154 and boron-11 (The least neutron absorbing, stable isotopes of samarium and boron). Figure 2a shows a neutron precession image along the  $(00l)$  and  $(h00)$  directions. A set of companion peaks (white arrow) accompany the main  $\text{SmB}_6$  Bragg reflections. To identify this satellite crystallite, a powder-like neutron diffraction histogram was obtained from the radial integration of the single crystal neutron diffraction data, Fig. 2b. The asterisk denotes the reflections from the secondary phase. We identify it as aluminum metal for three reasons: first, the lattice parameter ( $a = 4.053(3) \text{ \AA}$ ) is within 0.09–0.3% of the literature values for aluminum; second, the systematic absences are consistent with the  $Fm\text{-}3m$  space group of Al; and third, there are no other known compounds that can explain these diffraction peaks and the observed lattice constant containing some combination of  $\text{Ln}$ , B, C, V, and Al ( $\text{Ln} = \text{La-Nd}$  and  $\text{Sm-Lu}$ ). An aluminum/ $\text{SmB}_6$  co-Rietveld refinement to the powder averaged data showed the ratio of aluminum: $\text{SmB}_6$  was  $\sim 4 \text{ wt\%}:96 \text{ wt\%}$  or  $\sim 25 \text{ mol\%}:75 \text{ mol\%}$ . Such a significant amount of well-ordered aluminum cannot originate solely from a surface coating, and instead demonstrates that aluminum inclusions are present within this  $\text{SmB}_6$  *FG* crystal. This is not surprising, given the similar crystal structures of  $\text{SmB}_6$  and aluminum (cubic crystal symmetry with the  $a$ -lattice parameters for both being  $\sim 4.1 \text{ \AA}$ ), the large excess of aluminum flux required for growths given the solubility of boron under typical *FG* conditions, and the previous reports of metallic inclusions during *FG* crystal polishing<sup>24,27</sup>.

To check the validity of the neutron experiments and data analysis, X-ray computed tomography (CT) volumetric data of the *FG*  $^{154}\text{Sm}^{11}\text{B}_6$  crystal were obtained. A resulting image of the 3D reconstruction is shown above the precession image in Fig. 2a, and a movie generated from the reconstruction of the frames has been added to the Supplementary Information (Figure S1.). Regions composed of materials with high  $Z$  (atomic number) and/or high number density will attenuate the X-ray beam more strongly than lower  $Z$ , low density regions. Clearly, this crystal is comprised of two materials: one with a high average  $Z$  (atomic number, dark contrast), and a second with low, but non-negligible, average  $Z$  (light contrast). This is exactly what would be expected for a  $\text{SmB}_6$  crystal with aluminum inclusions: the  $\text{SmB}_6$  corresponds to the high  $Z$  regions, and the aluminum the low  $Z$  regions. Several features of the aluminum inclusions are evident: they are not confined to the surface, but instead are included deep within the crystal, have well-defined facets oriented non-randomly with respect to the host, and



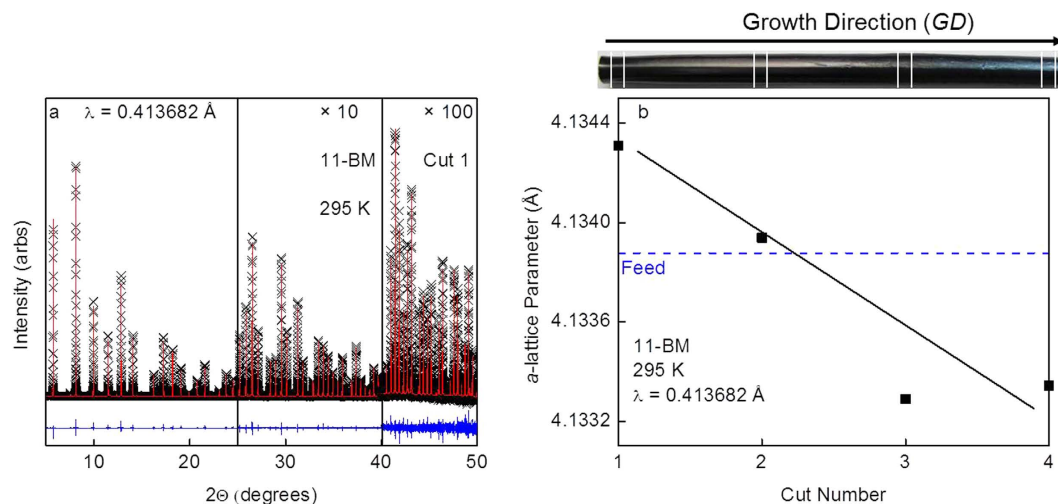
**Figure 2.** (a) A  $00l$  versus  $h00$  precession image of the  $^{154}\text{Sm}^{11}\text{B}_6$  flux grown crystal collected at  $T = 90$  K using the TOPAZ single crystal diffractometer located at the Spallation Neutron Source and a X-ray CT image showing the presence of inclusions within the  $^{154}\text{Sm}^{11}\text{B}_6$  crystal. The companion reflections (see white arrow) correspond to epitaxial aluminum present in this flux grown crystal, determined using (b) a neutron diffraction histogram obtained from the radial integration of the single crystal neutron diffraction data. The asterisks denote the reflections from the epitaxial aluminum present in the flux grown crystal of  $\text{SmB}_6$ .

are present in an amount consistent with the aluminum/ $\text{SmB}_6$  co-Rietveld refinements to the neutron data. Given the large size of the aluminum inclusions, laboratory X-ray powder diffraction experiments were conducted using natural isotope containing  $\text{SmB}_6$  crystals grown from an aluminum flux to check for variabilities in amounts of co-crystallized aluminum. In total, five crystals of varying shapes and sizes were separately ground up and subjected to powder diffraction experiments. From co-Rietveld refinements to the data, the amount of co-crystallized aluminum between crystals averaged  $\sim 2$  wt% ( $\sim 13$  mol %), with a maximum of  $\sim 4$  wt% ( $\sim 26$  mol%) and only one with no aluminum above our limit of detection. To highlight how insensitive X-ray diffraction is to aluminum in  $\text{SmB}_6$ , a powder diffraction histogram showing the data collected for the  $\sim 4$  wt%:96 wt% natural isotope containing  $\text{SmB}_6$  crystal is depicted in Figure S2.

A surprising finding from our neutron and X-ray CT data is that the aluminum inclusions are not randomly oriented, but instead maintain a nearly epitaxial registry with the hexaboride, and have non-negligible sizes. These inclusions, which will not necessarily affect the electrical transport at low temperature (plateau region) as they are embedded in a host insulating material, would provide an alternate explanation for the origin of high frequency and light mass quantum oscillations observed in torque magnetometry experiments on *FG*  $\text{SmB}_6$  samples. Quantum oscillations have been previously reported in lanthanum doped  $\text{CaB}_6$  crystals grown from an aluminum flux, where the symmetry of the angular dependence of the oscillations was not compatible with that expected for  $\text{CaB}_6$ . Given that some samples of  $\text{Ca}_{1-x}\text{La}_x\text{B}_6$  in this work exhibited a superconducting transition close to the  $T_c$  of aluminum ( $\sim 1.2$  K), the authors postulated that the observed oscillations were due to aluminum contamination<sup>28</sup>. Taking these previously reported experiments and our experiments together, the variability in the number of aluminum inclusions should be checked on a crystal-by-crystal basis before collecting and analyzing physical property data using aluminum *FG* hexaborides.

In order to avoid flux inclusions and grow a larger, more homogeneous and higher purity crystal of  $\text{SmB}_6$ , which is reported to be a congruently melting compound, the *FZ* technique was successfully utilized to produce the  $\text{SmB}_6$  single crystal shown in Fig. 1b. This crystal, measuring roughly 8 cm in length, is considerably larger than  $\text{SmB}_6$  single crystals that can be prepared using *FG*. The *FZ* crystal does not contain detectable inclusions, but we do find composition variations.

To understand the origin of the composition variations, four individual cuts were taken from the *FZ* grown crystal (Fig. 3b Inset), and a portion of each individual cut was used for synchrotron powder X-ray diffraction, while another portion was used for physical property measurements followed by trace elemental analysis. The resulting synchrotron powder X-ray diffraction data and fit for cut 1 is shown in Fig. 3a; similar quality fits are obtained for cuts 2–4 (Figure S3 in the Supplementary Information). Final parameters for all refinements are given in Table 1. There is a systematic change in lattice parameters across the cuts. Going from cut 1 to cut 3, the lattice parameters decrease, while the lattice parameter for cut 4 is similar to cut 3. This is shown graphically in Fig. 3b and Figure S4. The refined  $a$ -lattice parameter value for the polycrystalline feed rod, as confirmed by powder X-ray diffraction, is shown as a blue dashed line, which lies between the start (cut 1) and end (cut 4) of the grown crystal. This is consistent with the temperature-composition phase diagram for the Sm-B system which indicates that by fully melting a feed rod with the composition either near to the stoichiometric ratio (Sm/B : 1/6) or over the range of Sm to B atomic percent ratios from  $\sim 14.3\%$  Sm-85.7% B (Sm/B : 1/6) to  $\sim 9.0\%$  Sm-91.0% B



**Figure 3.** (a) Rietveld refinement to synchrotron X-ray diffraction data at  $T = 295$  K collected on Cut 1 of the  $\text{SmB}_6$  floating zone grown single crystal. The black crosses, red lines, and blue lines correspond to the collected data, refined model, and difference curve respectively. The higher angle data are multiplied by  $\times 10$  ( $25 \geq 2\theta \geq 40$ ) and  $\times 100$  ( $40 \geq 2\theta \geq 50$ ) to highlight the quality of the fit. Fits to cuts 2–4 are of similar quality. (b) The refined  $a$ -lattice parameter values for cuts 1–4 versus cut number, where this value decreases with cut number. The line serves as a guide to the eye and the error bars are contained within the data points. Additionally, the refined  $a$ -lattice parameter value for the polycrystalline feed rod used for the growth of the FZ  $\text{SmB}_6$  crystal versus cut number (blue dashed line) is shown.

	Cut 1	Cut 2	Cut 3	Cut 4
$T$ (K)	295	295	295	295
Space group	$Pm-3m$	$Pm-3m$	$Pm-3m$	$Pm-3m$
$a$ (Å)	4.134309(2)	4.133938(1)	4.133288(1)	4.133343(1)
$V$ (Å <sup>3</sup> )	70.67(1)	70.65(1)	70.61(1)	70.62(1)
$Z$	1	1	1	1
$x$ position (B)	0.19985(18)	0.19855(16)	0.19962(15)	0.19997(1)
$U_{\text{Sm}}$ (Å <sup>2</sup> )	0.007763(20)	0.00802(2)	0.007428(16)	0.007360(12)
$U_{\text{B}}$ (Å <sup>2</sup> )	0.00258(13)	0.00266(12)	0.00177(11)	0.00230(12)
$^a R_p$	0.061	0.056	0.052	0.052
$^b R_{wp}$	0.080	0.073	0.067	0.072
$^c R_{exp}$	0.043	0.048	0.048	0.041
$^d \chi$	3.386	2.280	1.904	3.098

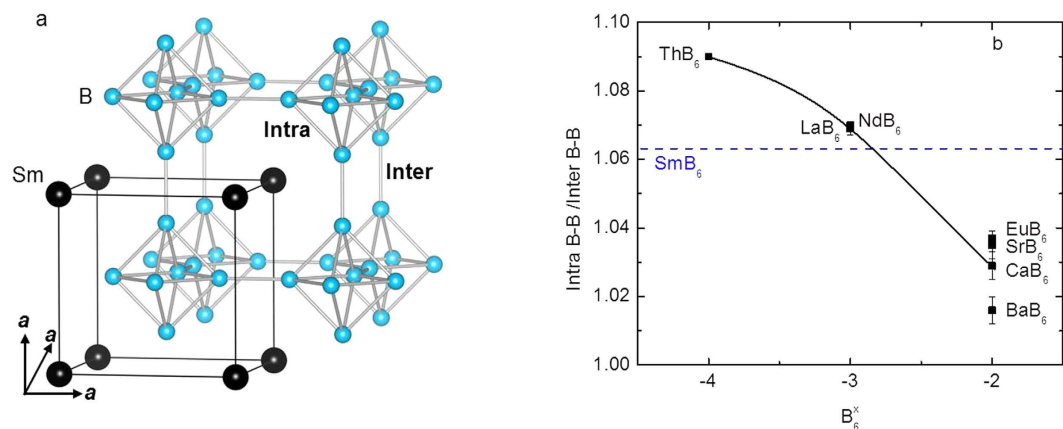
**Table 1.** Crystallographic parameters for the floating zone cuts of  $\text{SmB}_6$  obtained from Rietveld refinements to the 11-BM data. The Sm and B atoms reside on the  $1a$  (0, 0, 0) and  $6f$  ( $x, \frac{1}{2}, \frac{1}{2}$ ) Wyckoff position, respectively. The statistical uncertainties are given in parentheses.  $^a R_p = \sum |Y_o - Y_c| / \sum Y_o$ .  $^b R_{wp} = [M/\sum w(Y_o^2)]^{1/2}$ .  $^c R_{exp} = R_{wp}/(\chi^2)^{1/2}$ .  $^d \chi = (M/N_{\text{obs}} - N_{\text{va}})^{1/2}$ .

(Sm/B : 1/10), the first solidified crystal would have the highest possible Sm to B ratio within the  $\text{SmB}_6$  crystal structure (at  $\sim 14.3$  at%Sm-85.7at%B)<sup>29</sup>. This explains how a larger lattice parameter of 4.1343 Å obtained for the initially growing crystal occurs with the use of a polycrystalline feed rod with a slightly smaller lattice parameter of 4.1333 Å.

Attempts to directly identify the precise origin of the compositional change from Rietveld refinements or trace elemental analysis were unsuccessful. When the boron and samarium occupancy parameters for all cuts were allowed to individually float during separate Rietveld refinement cycles, no significant deviation from unity was noticed for either on any dataset. As such, for all final refinements the occupancy parameters for boron and samarium were fixed at unity. Trace elemental analysis via glow discharge mass spectrometry (GDMS) also showed no systematic trends in total, rare earth, or transition metal impurity levels as a function of rod length, Figure S5 and Table S1.

Nonetheless, the lattice parameters listed in Table 1, combined with previous robust elemental analyses, do provide insight into the composition differences between the different FZ cuts. The lattice parameter for cut 1 is in excellent agreement with the lattice parameter ( $a = 4.1342(5)$  Å) determined for stoichiometric  $\text{SmB}_6$  as reported by Tarascon *et al.*<sup>30</sup>, and close to Paderno *et al.*'s most stoichiometric sample of  $\text{SmB}_6$  ( $a = 4.13334(2)$  Å)<sup>31</sup>. The reduction of the lattice parameter in subsequent cuts is consistent with the formation of samarium vacancies,



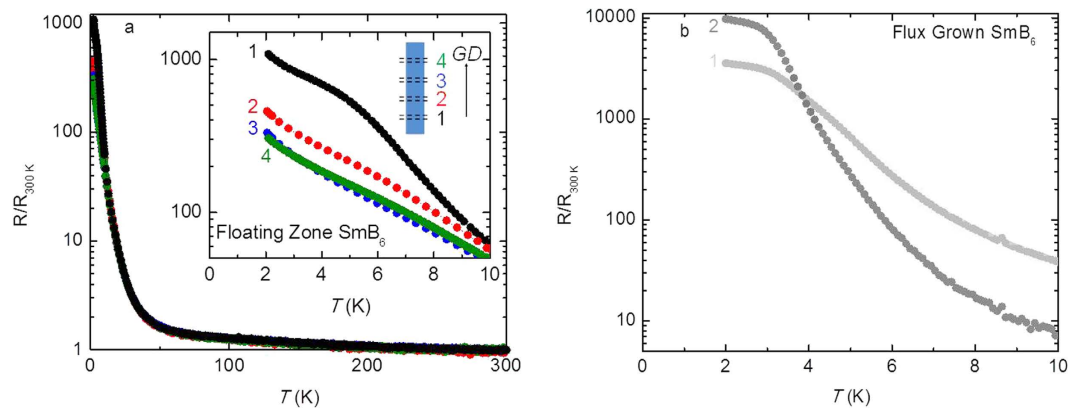


**Figure 4.** (a) Structural depiction of  $\text{SmB}_6$ . (b) The intra boron-boron bond distances normalized by the inter boron-boron distances (Intra B-B/Inter B-B) of the  $B_6$  octahedral cluster for selected hexaborides versus different charges of the  $B_6$  cluster ( $B_6^x$ ) (■). Also shown is the Intra B-B/Inter B-B for all  $\text{SmB}_6$  FZ cuts and the FG  $\text{SmB}_6$  sample (blue dashed line). The charge on the  $B_6$  cluster for  $\text{SmB}_6$  mostly closely resembles  $-3$ , but is slight reduced, consistent with a partial mixed valency. The curved solid line serves as a guide to the eye.

based on Paderno *et al.*'s careful chemical analyses which found that the  $a$ -lattice parameter decreases as the samarium content decreases. While the variation between cuts 1 to 4 is small, less than 1% or  $\text{Sm}_{1-x}\text{B}_6$  ( $x = 0.01$ ), based on the  $a$ -lattice parameter of  $4.1317(2)$  Å for  $\text{Sm}_{0.97}\text{B}_6$  and assuming Vegard's law applies, we have evidence that this compositional variation is due to vaporization during growth. During each growth, we observe vaporization of a small ( $\sim 1\%$ ) amount of the rod material. Using laboratory powder X-ray diffraction, the vaporized powder was confirmed to be a multiphase mixture of  $\text{SmB}_6$  and  $\text{SmB}_4$ , i.e. samarium rich compared to the feed rod, implying a relative loss of samarium in the growing crystal (Figure S6 in the Supplementary Information). While this loss of material could be due to stoichiometric  $\text{SmB}_6$  being an incongruent melter, a more likely explanation is a slight non-stoichiometry in the feed rods themselves, combined with the higher synthesis temperature: the lattice parameter for the polycrystalline feed rod starting material is slightly nonstoichiometric relative to the most stoichiometric portion of our grown FZ single crystal (cut 1); further, at higher temperatures, entropic considerations will favor the introduction of more samarium vacancies into the growing crystal. This is also consistent with our observations of FG specimens, which show lattice parameters consistent with few samarium vacancies. These results, however, are in contrast to a recent  $\text{SmB}_6$  thin-film study, where the authors found that the lattice parameter increased with increasing samarium vacancy content. This result is consistent with lattice mismatch effects on the MgO substrate, where the higher samarium vacancy nanocrystal films become strained, and as more samarium is added the lattice mismatch is reduced, and thus the size of the lattice constant decreases<sup>32</sup>.

The structural parameters can also be used to independently determine the samarium valence. The structure of  $\text{SmB}_6$ , shown in Fig. 4a, adopts the  $\text{CaB}_6$  structure-type, or more descriptively is analogous to CsCl, where the Sm atoms occupy the vertices of a cube and the  $B_6$  octahedra lie in the center of the unit cell. An additional structural feature shown in Fig. 4a is the bonding between  $B_6$  octahedra (inter), which is shorter in distance compared to the boron-boron bonds forming the  $B_6$  octahedra (intra), that forms a three dimensional interconnected cage structure. The intra-octahedral boron-boron bond distances normalized by the inter-octahedral boron distances (Intra B-B/Inter B-B) for selected hexaborides versus different charges of the  $B_6$  cluster ( $B_6^x$ ;  $x = -4, -3$ , and  $-2$ ) are plotted in Fig. 4b. Also shown are the Intra B-B/Inter B-B values for all  $\text{SmB}_6$  FZ cuts and FG  $\text{SmB}_6$  versus charge of the  $B_6$  cluster for all charges (dashed line). Relative to the  $-4$  ( $\text{ThB}_6$ )<sup>33</sup> and  $-2$  ( $\text{CaB}_6$ ,  $\text{SrB}_6$ ,  $\text{BaB}_6$ , and  $\text{EuB}_6$ )<sup>34–36</sup> charges, the charge on the  $B_6$  cluster for all  $\text{SmB}_6$  samples appears to most closely resemble  $-3$  ( $\text{NdB}_6$  and  $\text{LaB}_6$ )<sup>36,37</sup>. However, the dashed line falls slightly below the Intra B-B/Inter B-B values for  $\text{NdB}_6$  and  $\text{LaB}_6$ <sup>36,37</sup>, and this is consistent with a partial mixed valency. Applying a lever-type rule, an estimate of the  $\text{Sm}^{+3}$ :  $\text{Sm}^{+2}$  ratio for all samples was determined to be  $\sim 80\%:20\%$ . Given that the Intra B-B/Inter B-B values for all  $\text{SmB}_6$  samples lie on the dashed line in Fig. 4b, this suggests that while the composition changes along the FZ crystal have noticeable effects on the lattice parameters, the overall samarium vacancies and valence are not drastically altered along the length of the crystal (this is not unexpected as the samarium content is changing by  $< 1\%$ ). It is interesting to note that these data, which are consistent with a  $\text{Sm}^{+2.80}\text{B}_6$  scenario, are not necessarily in quantitative agreement with the findings of other experiments like X-ray absorption spectroscopy (XAS), where those data and analysis show that bulk  $\text{SmB}_6$  has a mixed valence nature closer to  $\text{Sm}^{+2.60}\text{B}_6$ <sup>30,38</sup>. The most plausible explanation for this difference, if real, is that in addition to formal changes in the oxidation states, there are configurational changes that occur on samarium without a change of formal oxidation state.

To see how the changes in composition along the FZ crystal affect the physical properties of  $\text{SmB}_6$ , temperature dependent resistance data were collected for each FZ cut. The 300 K normalized resistance data for all cuts are plotted from  $T = 2$ –300 K in Fig. 5a. At higher temperatures the data from all cuts closely resemble the resistance data reported for a majority of  $\text{SmB}_6$  samples. That is, the resistances are roughly temperature independent from 300 K to 100 K, increase dramatically below 40 K, and have some degree of plateauing below  $\sim 10$  K. We find systematic variations in the low temperature behavior with changing samarium vacancy concentration.



**Figure 5.** (a) Resistance ( $R$ ) normalized by the room temperature resistance values ( $R_{300\text{K}}$ ) versus temperature ( $T$ ) for the FZ cuts 1–4. The inset highlights the trend in normalized resistance from 0 to 10 K showing that the magnitude of  $R/R_{300\text{K}}$  and the degree of plateauing decreases from 1 to 4. (b) Resistance ( $R$ ) normalized by the room temperature resistance values ( $R_{300\text{K}}$ ) versus temperature ( $T$ ) for two FG  $\text{SmB}_6$  crystals arbitrarily designated “1” and “2”. While the magnitudes of the  $R/R_{300\text{K}}$  data points differ at low temperatures, a resistance plateau is observed for these crystals and other FG  $\text{SmB}_6$  crystals from this growth. These low temperature data resemble closely other flux samples reported in literature, carbon doped  $\text{SmB}_6$  FZ crystals, and cut 1 seen in a).

The low temperature remnant metallicity decreases from cut 1 to cut 3 along with the overall normalized resistance values, while the features for cuts 3 and 4 are seemingly identical. The degree of reproducibility of these resistance curves is highlighted in Figure S7. This figure shows a second set of measurements where new contacts replaced the old contacts of the original cuts 1–3 (open colored circles) and resistances were measured using a new cut between the location of the original cut1 and cut 2 and a new cut beyond the location of the original cut 4 (filled gray squares). We have previously shown that electron doping  $\text{SmB}_6$  via the replacement of carbon for boron in the boron sub-lattice can induce a low temperature resistance plateau<sup>22</sup>. Samarium vacancies will introduce holes, rather than electrons, and from our previously proposed density of states model, this is expected to reduce the degree of resistance plateauing in  $\text{SmB}_6$ <sup>22</sup>. Taken together, these observations suggest that the composition along the FZ crystals is being changed systematically, and that these small changes in compositions produce noticeable changes in physical properties. These results and our previous  $\text{SmB}_6$  dopant study highlight the utility of the FZ technique to systematically control crystal stoichiometry in  $\text{SmB}_6$ <sup>22</sup>.

The temperature dependent resistance collected using two aluminum FG crystals is shown in Fig. 5b. Both samples exhibit a large resistance plateauing magnitude at low temperature, which most closely resembles cut 1 in Fig. 5a and the heavily carbon doped  $\text{SmB}_6$  FZ crystals as previously reported by us<sup>22</sup>. Further, the  $R(T)$  values used to generate Fig. 5b and  $R(T)$  values reported for other FG samples largely vary and differing crystal dimensions do not always account for such variations. It is interesting to note the disparities in lattice parameters for the five natural isotope  $\text{SmB}_6$  crystals mentioned above, which range from 4.13261(8) Å to 4.1339(1) Å. This range in lattice parameters suggests that composition changes between crystals in the same and obviously different batches of FG crystals occur and can lead to different physical properties (e.g.; resistance). Combining this observation with that of the differing amounts of aluminum contamination mentioned above for five FG  $\text{SmB}_6$  crystals taken from the same growth show that systematic control of the stoichiometry for FG crystals is difficult, and that comparisons of the physical properties between FG crystals is not as simple as comparing the physical properties between cuts along a large FZ crystal (although fewer Sm vacancies should be possible in the best flux grown specimens due to entropic considerations)<sup>22</sup>.

**<sup>154</sup>Sm Neutron Scattering Length Determination.** The previously best determined coherent neutron scattering length value (8.0(1.0) fm) for samarium-154 ( $b_{154\text{Sm}}$ ) has a large uncertainty that makes it unsuitable for detailed refinements of single crystal neutron diffraction data, and thus for determination of any Sm:B non-stoichiometry<sup>39</sup>. We thus performed the necessary experiments to provide a refined number with an order of magnitude reduced uncertainty.

Two small crystal pieces were removed from the doubly isotope enriched  $^{154}\text{Sm}^{11}\text{B}_6$  FG single crystal shown in Fig. 1a. The first piece was used to determine the various samarium and boron isotope ratios via ICP-MS, while the second piece was used to collect a large and highly redundant single crystal X-ray diffraction dataset (see SI). Refinements to this dataset returned the best goodness-of-fit statistics when the composition of this crystal was set to  $\text{SmB}_{5.88}$  and all other parameters were allowed to float (Table S2 and S3). Using this composition, the known coherent scattering lengths for 10-boron ( $^{10}\text{B}$ ) and 11-boron ( $^{11}\text{B}$ )<sup>39</sup>, and determining the overall coherent neutron scattering length for boron in this crystal via  $b_{\text{B}} = w_1^{10}\text{B} + w_2^{11}\text{B} = 6.4(1)$  fm where  $w_1$  and  $w_2$  were determined from the ICP-MS data; an overall coherent neutron scattering length of 8.85 fm was determined for the combination of samarium isotopes ( $b_{x\text{Sm}}$ ) in the doubly isotope enriched single crystal through a series of refinements to the  $T = 295$  K neutron diffraction data. When the errors based on the X-ray refinements and compositions for  $b_{x\text{Sm}}$  and  $b_{\text{B}}$  were taken into account, respectively, the overall coherent scattering length value was found to equal 8.9(1) fm. Finally, after setting this value equal to the weighted values (Again, these values were

Temperature (K)	90	295
Space group	<i>Pm-3m</i>	<i>Pm-3m</i>
<i>a</i> (Å)	4.1306(2)	4.1319(2)
<i>V</i> (Å <sup>3</sup> )	70.48(1)	70.54(1)
<i>Z</i>	1	1
Collected Reflections	977	1149
Crystal Size (mm <sup>3</sup> )	1.05 × 1.10 × 1.55	1.05 × 1.10 × 1.55
<i>Goof</i>	1.30	1.13
$R_I[F^2 > 2\sigma(F^2)]^a$	0.060	0.067
$wR_2(F^2)^b$	0.166	0.190
$\Delta\rho_{\max}$ (fm Å <sup>-3</sup> )	0.99	1.17
$\Delta\rho_{\min}$ (fm Å <sup>-3</sup> )	-2.59	-3.21

**Table 2. Crystallographic parameters for the flux grown <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub> crystal obtained from model fits to the TOPAZ neutron data.** The statistical uncertainties are given in parentheses.  $^aR_I(F) = \sum ||F_o| - |F_c|| / \sum |F_o|$ .  $^b wR_2(F^2) = [\sum [w(F_o^2 - F_c^2)^2] / \sum [w(F_o^2)^2]]^{1/2}$ .

<i>T</i> = 90 K								
atom	Wyckoff Site	x	y	z	Occupancy	<i>U</i> <sub>11</sub> (Å <sup>2</sup> )	<i>U</i> <sub>22</sub> (Å <sup>2</sup> )	<i>U</i> <sub>33</sub> (Å <sup>2</sup> )
Sm1	1a	0	0	0	1	0.00254(18)	0.00254(18)	0.00254(18)
B1	6f	0.19984(7)	½	½	1	0.00230(18)	0.00361(18)	0.00361(18)
<i>T</i> = 295 K								
atom	Wyckoff Site	x	y	z	Occupancy	<i>U</i> <sub>11</sub> (Å <sup>2</sup> )	<i>U</i> <sub>22</sub> (Å <sup>2</sup> )	<i>U</i> <sub>33</sub> (Å <sup>2</sup> )
Sm1	1a	0	0	0	1	0.0066(2)	0.0066(2)	0.0066(2)
B1	6f	0.19964(6)	½	½	1	0.00267(19)	0.0044(2)	0.0044(2)

**Table 3. Atomic fractional coordinates, site occupancies, and ADPs for the flux grown <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub> crystal obtained from model fits to the TOPAZ neutron data.** The statistical uncertainties are given in parentheses.

determined from the ICP-MS data.) of the known coherent scattering lengths of the samarium isotopes present in this crystal (<sup>144</sup>Sm, <sup>147</sup>Sm, <sup>148</sup>Sm, <sup>149</sup>Sm, <sup>150</sup>Sm, and <sup>152</sup>Sm)<sup>39</sup>, a new value equal to 8.9(1) fm was determined for <sup>154</sup>Sm (Table S4).

Once the  $b_B$  and  $b_{x_{Sm}}$  were set to be 6.4(1) fm and 8.9(1) fm, respectively, refinements to the *T* = 90 K and 295 K neutron data were performed. The crystallographic parameters and refinement statistics for both temperatures are provided in Table 2, and the atomic fractional coordinates, site occupancies, and ADPs are given in Table 3. During separate refinements the samarium and boron occupancy parameters were allowed to float. In all refinements, the resulting occupancies were found to be within 3σ of unity, thus, the information in Table 3 reflects refinements where the occupancies have been set to unity.

## Conclusions

Structural data for *FG* and *FZ* single crystals of SmB<sub>6</sub> have been compared. Observable amounts of impurity phases and compositional variations can have large effects on the physical properties of SmB<sub>6</sub> crystals prepared using *FG* and *FZ* procedures, respectively. In particular, epitaxially oriented aluminum inclusions are found in *FG* crystals and samarium vacancies in a *FZ* crystal. The control over samarium vacancy concentration in *FZ* growths is particularly notable as it provides an experimental route to directly connect the low temperature transport behavior to the bulk phenomenology: if the low temperature resistance plateau arises from the same physics as the spin exciton<sup>23</sup>, then based on the substantial changes in low temperature resistivity we observe here, there should be dramatic changes in the spin exciton as a function of samarium content. Further, the boron-boron bond distances determined from our X-ray and neutron refinements are consistent with mixed valency with a formula close to Sm<sup>+2.80</sup>B<sub>6</sub>. The changes in boron-boron bond distances for SmB<sub>6</sub> and other hexaborides determined using temperature dependent X-ray and neutron scattering data would be interesting to study in order to see if changes in the charge transfer to the B<sub>6</sub> cage for SmB<sub>6</sub> occur relative to other hexaborides. Finally, we have determined that the coherent neutron scattering length for samarium-154 equals 8.9(1) fm, a value an order of magnitude more accurate than previously known.

## Methods

**Synthesis.** Using an experimental methodology similar to those reported previously<sup>22,40</sup>, a single crystal of SmB<sub>6</sub> with approximate dimensions of 80 mm in length and 6 mm diameter was prepared from polycrystalline rods of SmB<sub>6</sub> (Testbourne Ltd, 99.9%) using a four-mirror optical floating zone furnace (Crystal Systems Inc FZ-T-12000-X-VPO-PC) with 4 × 3 kW Xe lamps as the heating source. The crystal growth was performed by melting the polycrystalline feed rod onto a seed rod, then running the molten zone in an upward direction along the feed rod (defining the growth direction) at a zoning rate of 10 mm/h, under flowing ultra-high purity argon

at a pressure of 2 bar with a flow rate of 2 L/min, and the rotation rate of 10 rpm for the growing crystal. Only one zone pass was required for the growth. Slices of the crystal were cut close to the [100] orientation using a diamond saw.

Single crystals of  $\text{SmB}_6$  (no isotopic enrichment) were also synthesized via the *FG* method from a large excess of aluminum by placing samarium (Ames Laboratory), boron powder (Alfa Aesar, 99.999%), and aluminum (Alfa Aesar, 99.999%) into 50-mL alumina crucibles in an approximate 0.005:0.03:3 molar ratio of Sm:B:Al. This crucible were topped with an alumina lid and placed into a vertical tube furnace. Under the flow of UHP argon gas, this reaction vessel and its contents were heated at a rate of 200 °C to 1450 °C and held constant at this temperature for 10 h before being cooled at a rate of 5 °C h<sup>-1</sup> to 1000 °C. After furnace cooling to ambient temperatures, the excess aluminum was separated from the *FG*  $\text{SmB}_6$  single crystals via a caustic NaOH etch.

The *FG* single crystal of doubly isotope enriched  $\text{SmB}_6$  (<sup>154</sup>Sm<sup>11</sup>B<sub>6</sub>), needed for the single-crystal neutron diffraction experiments, as naturally occurring samarium and boron are largely comprised of isotopes that absorb neutrons, was provided by Oak Ridge National Laboratory (ORNL).

**Powder X-ray Diffraction.** Slices cut from a large *FZ* grown  $\text{SmB}_6$  single crystal were ground using a stainless steel mortar and pestle. A small amount of a powdered silicon standard ( $SG = Fd-3m$  and  $a = 5.43102 \text{ \AA}$ ) was added to the resulting  $\text{SmB}_6$  powder for each cut. To acquire a high intensity-high resolution powder X-ray diffraction dataset, synchrotron powder X-ray diffraction data were obtained at  $T = 295 \text{ K}$  using the 11-BM beam line ( $\lambda = 0.4136820 \text{ \AA}$ ) at the Advanced Photon Source within Argonne National Laboratory<sup>41</sup>. The data points were collected over a  $2\theta$  range 0.5°–50° with a step size of 0.001° and step time of 0.1 seconds. Le Bail fits and Rietveld refinements were conducted using the GSAS/EXPGUI software to optimize the lattice/instrumental (GU, GV, and GW) and structure parameters for the  $\text{SmB}_6$  models, respectively<sup>42,43</sup>. The crystallographic parameters and refinement statistics for all cuts are provided in Table 1.

All laboratory powder X-ray diffraction patterns were collected using Cu  $K\alpha$  radiation on a Bruker D8 Focus diffractometer with a LynxEye detector. The same silicon standard described above ( $SG = Fd-3m$  and  $a = 5.43102 \text{ \AA}$ ) was mixed in with powder from the polycrystalline rods of  $\text{SmB}_6$  purchased from Testbourne Ltd and the natural isotope containing  $\text{SmB}_6$  *FG* crystals. Rietveld refinements were performed in TOPAS (Bruker AXS) to determine all lattice parameters.

**Single Crystal X-ray Diffraction.** A small piece of a doubly isotope enriched crystal of <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub> was mounted onto a fiber using epoxy. All reflection intensities were measured under ambient conditions using a SuperNova diffractometer (equipped with an Atlas detector) employing Mo  $K\alpha$  radiation ( $\lambda = 0.71073 \text{ \AA}$ ) under the CrysAlisPro software package (version 1.171.36.28, Agilent Technologies, 2012). Unit cell indexing and data reductions were performed using the CrysAlisPro software. The generation of the initial models and structure refinements were performed using SIR97 and SHELXL-2013, respectively<sup>44,45</sup>. The selection of the *Pm-3m* was based on the observed Laue symmetry and the systematic absences. After the refinement of the atomic positions, the collected data were corrected for absorption using an analytic correction<sup>46</sup>. During the final stages of refinements the atomic displacement parameters (ADPs) were refined as anisotropic and weighting schemes were applied. The crystallographic parameters and refinement statistics are provided in Table S2, and the atomic fractional coordinates, site occupancies, and ADPs are given in Table S3.

**Single Crystal Neutron Diffraction.** Single crystal neutron diffraction experiments were performed using the TOPAZ beam line at the Spallation Neutron Source at ORNL using a doubly isotope enriched crystal of <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub>. A *FG* crystal with dimensions of 1.05 × 1.10 × 1.55 mm was mounted onto a Kapton covered vanadium post with Loctite instant adhesive (495) and positioned onto the goniometer. Data collections were performed at  $T = 90 \text{ K}$  and 295 K in wavelength-resolved time-of-flight (TOF) Laue mode using neutrons with a wavelength range of  $\lambda = 0.6\text{--}3.5 \text{ \AA}$ . To ensure good coverage and redundancy for each data collection, data were collected with 13 detectors and using 13 to 15 crystal orientations, which were selected by evaluation with CrystalPlan software<sup>47</sup>, with collection times of approximately 3 hours per orientation. The integrated raw Bragg intensities were obtained using the 3-D ellipsoidal Q-space integration method in Mantid<sup>48</sup>. Data were corrected for background and detector efficiency. Data reduction including, Lorentz, neutron TOF spectrum, and absorption corrections was carried out with the local ANVRED<sup>49</sup>. The reduced data were saved in SHELX HKLF2 format in which the wavelength is recorded separately for each individual reflection, and the reduced data were not merged as a consequence of the saved format. The crystallographic parameters and refinement statistics for both temperatures are provided in Table 2, and the atomic fractional coordinates, site occupancies, and ADPs are given in Table 3.

**Mass Spectrometry.** Glow discharge mass spectrometry (GDMS) and data analysis were performed by Evans Analytical Group to determine the concentrations of elements in the starting material, cut 1, cut 2, and cut 3 (See Table S1.).

Inductively coupled plasma mass spectrometry (ICP-MS) experiments and data analysis were performed at the Water Quality Center at Trent University in Ontario, Canada to determine the concentrations of the differing samarium and boron isotopes in the *FG* doubly isotope enriched <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub> single crystal (See Table S4).

**X-ray computed tomography.** The X-ray computed tomography (CT) data were collected on the <sup>154</sup>Sm<sup>11</sup>B<sub>6</sub> flux grown single crystal using a Bruker Skyscan 1172G. The source was set to 100kV/57 μA. Frames were collected in 0.5 degree steps using a 500 μm Al+Cu filter and SHT 11 Mp camera, with averaging of 100, 1.48 s exposures per angle and median filtering for 2.21 μm nominal resolution. Reconstruction was performed using the associated software. The final images were generated in attenuation mode, with contrast adjusted to visualize low Z inclusions.



**Physical Properties.** All temperature dependent resistance data were collected using the resistivity option of a 9-Tesla Quantum Design Physical Property Measurement System (PPMS). The measurements were performed using a standard four-probe method, where platinum leads were mounted in a linear configuration onto the crystals using silver epoxy. The FZ crystals were bar shaped and had roughly the same geometric factors (length  $\sim 1.00$  mm and cross-sectional area  $\sim 1.25$  mm<sup>2</sup>). The resistance data collected using the two natural isotope FG crystals labeled “1” and “2” had dimensions of length = 1.2 mm; area = 0.33 mm<sup>2</sup> and length 0.6 mm; area = 0.54 mm<sup>2</sup>, respectively. For all measurements, very small excitation currents of 100  $\mu$ A or less were used in order to avoid Joule heating effects<sup>22</sup>.

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### Acknowledgements

The work at the Institute for Quantum Matter (IQM) was supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences and Engineering under Grant No. DE-FG02-08ER46544. D.P.Y. acknowledges support from the National Science Foundation under Grant No. NSF-DMR1306392. Use of the Advanced Photon Source at Argonne National Laboratory was supported by the U. S. Department of Energy, Office of Science, Office of Basic Energy Sciences, under Contract No. DE-AC02-06CH11357. The neutron diffraction data were collected at the Oak Ridge National Laboratory's Spallation Neutron Source; supported by the Division of Scientific User Facilities, Office of Basic Energy Sciences, U.S. Department of Energy, under contract DE-AC05 00OR22725 with UT Battelle, LLC. W.A.P would like to thank Collin L. Broholm for helping in the procurement of the doubly isotope enriched flux grown  $\text{SmB}_6$  crystal.

### Author Contributions

W.A.P., S.M.K. and T.M.M. managed the project. W.A.P., J.C.L., M.D.L. and T.M.M. procured the doubly isotope enriched flux grown  $\text{SmB}_6$  crystal. X.P.W., J.C.L., M.D.L., C.H., W.A.P. and T.M.M. collected and analyzed the single crystal neutron diffraction data for the flux grown  $\text{SmB}_6$  single crystal. W.A.P. and M.A.S. collected and analyzed the single crystal X-ray diffraction data for flux grown  $\text{SmB}_6$  single crystal. S.M.K. prepared the  $\text{SmB}_6$  floating zone crystal. D.P.Y. and N.H. synthesized the natural isotope containing flux grown  $\text{SmB}_6$  crystals. Sample manipulations of the floating zone crystal were performed by W.A.P. and S.M.K. W.A.P. and T.M.M. analyzed all the powder X-ray diffraction data. W.A.P., P.C. and J.A.T. collected all physical properties. C.M.L. collected all the X-ray computed tomography frames and performed all reconstructions. All authors contributed to the writing of the manuscript.

### Additional Information

**Supplementary information** accompanies this paper at <http://www.nature.com/srep>

**Competing financial interests:** The authors declare no competing financial interests.

**How to cite this article:** Phelan, W. A. *et al.* On the Chemistry and Physical Properties of Flux and Floating Zone Grown  $\text{SmB}_6$  Single Crystals. *Sci. Rep.* **6**, 20860; doi: 10.1038/srep20860 (2016).



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