

Regulating retrotransposon activity through the use of alternative transcription start sites

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Abstract

Retrotransposons, the ancestors of retroviruses, have the potential for gene disruption and genomic takeover if not kept in check. Paradoxically, although host cells repress these elements by multiple mechanisms, they are transcribed and are even activated under stress conditions. Here, we describe a new mechanism of retrotransposon regulation through transcription start site (TSS) selection by altered nucleosome occupancy. We show that Fun30 chromatin remodelers cooperate to maintain a high level of nucleosome occupancy at retrotransposon-flanking long terminal repeat (LTR) elements. This enforces the use of a downstream TSS and the production of a truncated RNA incapable of reverse transcription and retrotransposition. However, in stressed cells, nucleosome occupancy at LTR elements is reduced, and the TSS shifts to allow for productive transcription. We propose that controlled retrotransposon transcription from a nonproductive TSS allows for rapid stress-induced activation, while preventing uncontrolled transposon activity in the genome.

Keywords chromatin remodeling; retrotransposable elements; transcriptional regulation

Subject Categories Chromatin, Epigenetics, Genomics & Functional Genomics; Transcription

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Introduction

Eukaryotic genomes are packaged in a complex DNA–protein structure called chromatin. In addition to compacting and protecting the genome, chromatin serves as a versatile regulatory platform. Nucleosomes, which consist of 147 base pairs of DNA wrapped around a histone protein octamer, are the fundamental repeating units of chromatin. Nucleosome positioning and posttranslational

modifications to histones alter DNA accessibility and, consequently, transcription levels. In addition to the effects of nucleosome position and composition, chromatin modifications can attract or repel effector proteins for further impact on transcription and other processes (reviewed in [1]).

Retrotransposable elements are genomic parasites that are both key drivers of evolution and the ancestors of retroviruses [2–5]. These elements can stably integrate into the host cell genome and replicate via an RNA intermediate. In addition to exogenous retroviral invaders, the human genome is host to several families of endogenous retroviruses (ERVs). Novel insertion events can abrogate gene function and cause disease. In addition, since supporting a high parasitic load is energetically demanding, cellular control over transposition is imperative. Host cells have developed numerous silencing mechanisms to repress these elements, including DNA methylation, RNA interference (RNAi), repressive histone modifications, and ATP-dependent chromatin remodeling [6–8]. For example, in budding yeast the mobility of the *Ty1* retrotransposon is restricted by the activity of many host factor (RTT) genes, including several chromatin and transcription factors (reviewed in [9]), and in plants, small RNA play key roles in silencing of retrotransposons (reviewed in [10]). However, evidence from yeast to mammals shows that stressed cells permit transposition [11–16], even targeting insertion to coding regions [17]. For example, the budding yeast *Ty5* retrotransposons normally target heterochromatin for insertion [18,19]. However, stressed host cells eliminate this targeting, allowing *Ty5* to act as a powerful mutagen [17]. It is also becoming clear that retrotransposons may be involved in plasticity [20,21] and tissue-specific gene regulation in mammals, often serving as promoters to coding genes and functional ncRNA [22–24]. Thus, it is clear that regulation of retroelements demands a more sophisticated regulatory program than the simple repression that was once widely assumed.

The common laboratory strain of the fission yeast *Schizosaccharomyces pombe* is host to one gypsy-class retrotransposable element, *Tf2* [25]. Fission yeast does not methylate DNA, but represses *Tf2* transcription with a combination of histone deacetylation, RNAi,

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and physical gene clustering mediated by the fission yeast CENP-B homologs [26–29]. Like retroviruses, *Tf2* retrotransposons spread via an RNA intermediate and are flanked by long terminal repeats (LTRs), which serve as both promoter and essential untranslated region (UTR) [30]. Although the exact mechanism of retrotransposition varies between groups of LTR retrotransposons, reverse transcription is primed from a primer binding site (PBS) located just downstream of the LTR sequence. In the case of *Tf2*, the primer itself also comes from the LTR, though other retroelements prime reverse transcription with host nucleic acids, for example, tRNA molecules [30,31]. Thus, the entire transcript, including UTRs, is essential to LTR retroelement propagation.

ATP-dependent chromatin remodelers are important transcriptional regulators, altering the positioning and composition of nucleosomes and, thus, the accessibility of DNA. Chromatin remodelers are integral to all processes that require access to the DNA molecule, including replication, repair, recombination, and transcription. Helicase-like DNA translocases of the Snf2 family catalyze the remodeling reaction and are known to function both alone and in complexes. A diverse toolkit of Snf2 remodelers appears to have emerged early in eukaryotic evolution, with the structure and function of remodeler subfamilies being well conserved from yeasts to metazoans [32]. The Fun30/SMARCAD1 chromatin remodelers have been implicated in centromeric function [33,34], DNA double-strand break repair [35–37], transcriptional repression [38], maintaining heterochromatin through DNA replication [39], and maintaining chromatin boundaries [40]. Fission yeast has three Fun30 subfamily remodelers, Fft1, Fft2, and Fft3, of which only Fft3 is characterized [40,41].

Here, we describe a novel mechanism of retrotransposon regulation via transcription start site selection by modulation of nucleosome occupancy. It is known from studies of the *Ty1* retrotransposon in budding yeast that a shorter transcript, giving rise to a truncated Gag protein is involved in copy number control at the level of virus-like particle formation [42]. Here, we show that, as in the case of integrated HIV sequences, chromatin remodelers in fission yeast are crucial to blocking the transcription of full-length retrotransposon transcripts. Rather than simply blocking retroelement transcription with a well-positioned nucleosome, TSS control is part of a versatile strategy to harness LTR-promoted transcription. This allows retroelements to play a role in the host cell stress response and may have implications for LTR and chromatin involvement in multicellular differentiation and plasticity.

Results

Fun30 chromatin remodelers regulate retrotransposon transcript abundance and length

In our investigation of the Fft2 remodeler, we noticed that *Tf2* retrotransposons are the most dramatically upregulated class of genes in an *fft2Δ* strain (Fig 1A). A *ura4+* reporter gene inserted 3' of *Tf2-11* is also clearly derepressed in an *fft2Δ fft3Δ* double deletion mutant leading to reduced growth on counterselective FOA plates (Fig EV1). Intrigued, we decided to study the role of this previously uncharacterized chromatin remodeler in

retrotransposon regulation. To verify the increased transcription, we performed a Northern blot with a sense strand-specific riboprobe against the *Tf2* elements (Fig 1B). The Northern blot confirmed a strong *Tf2* upregulation in *fft2Δ* and showed an even more dramatic derepression in the double *fft2Δ fft3Δ* mutant. *Tf2* element derepression in *fft3Δ* is also clear, though weaker than in *fft2Δ*. A size shift was also suggested by the blot, with the *Tf2* mRNA gel mobility being slightly decreased in the single and double mutants (Fig 1B). Next, we performed reverse transcription and qPCR for different regions of the *Tf2* mRNA [26] (Fig 1C). In this assay, the different regions of the RNA are detected in different ratios in mutant vs. wt samples. In wt, RNA molecules that contain amplicon 2 are relatively abundant, while amplicon 1 is rare. In *fft* mutants, however, amplicon 1 is upregulated, leading to a strong ratio increase. This assay thus validated the size shift and suggested that the additional sequence in the mutant samples is at the 5' end of the transcript (Fig 1C and D). The full 5'UTR of fission yeast *Tf1* and *Tf2* elements is needed for the reverse transcription stage of the retrotransposon life cycle [30]. Interestingly, RNA-sequencing shows that the full 5'UTR is not transcribed for any of the 13 copies of *Tf2* in wild-type (WT) cells ([43]; shown in Fig 2B). Our results confirm that in WT cells, mRNA molecules with this extended 5'UTR are relatively rare from the population (Fig 1D). In contrast, transcripts with the full 5'UTR are abundant in Fft2 and Fft3 remodeler mutants, with the double mutant showing over an 80-fold increase relative to WT. Elevation of *Tf2* protein coding RNA relative to wt was more modest: four- to sixfold in the single mutants and 11-fold in the double mutant. 5' RACE (rapid amplification of cDNA ends) analysis reveals a clear difference between the lengths of the primary RNA species in WT and double *fft2Δ fft3Δ* mutant cells (Fig 1E). Sequencing the RACE products shows a WT transcript originating from a transcription start site just upstream of the translation start codon. In contrast, the longer mRNA species that appears in the single mutants and dominates in the double is initiated at the beginning of the R sequence of the LTR (Fig 1F). This means that although retrotransposons are transcribed at moderate levels in WT cells, these transcripts are unable to support reverse transcription. In the absence of Fft2 and Fft3, however, transcripts able to prime reverse transcription are abundant.

To verify this observation, we sequenced cap-selected 5' ends of RNAs (CAGE tags [44]) from WT and *fft2Δ fft3Δ* cells and mapped these tags to the LTR and 5' of the *Tf2* ORF. CAGE tag counts at given TSS are a measure of capped RNA production from that location. Indeed, a strong upstream CAGE peak is only present in *fft2Δ fft3Δ* cells, and this peak coincides precisely with the RACE-defined TSS (Fig 2). To validate that the shorter RNA produced in wt cells is capped, we used 5'-cap-sensitive RNA ligase-mediated RACE (RLM-RACE). A total of 21 wt RLM-RACE cDNA clones were sequenced. They verified the CAGE results with capped mRNA, with most sequence reads starting in the region around 600 bp relative to the 5' end of the LTR coinciding with wt CAGE signals (Table EV1; Fig 2). The CAGE signals were highly consistent over three replicates, and since RLM-RACE uses a different chemistry than CAGE, this confirms that the shorter forms of RNA that dominate in wt are produced from a downstream TSS. There are multiple downstream TSS sites in a region around 600 bp from the 5' end of the LTR (Table EV1).

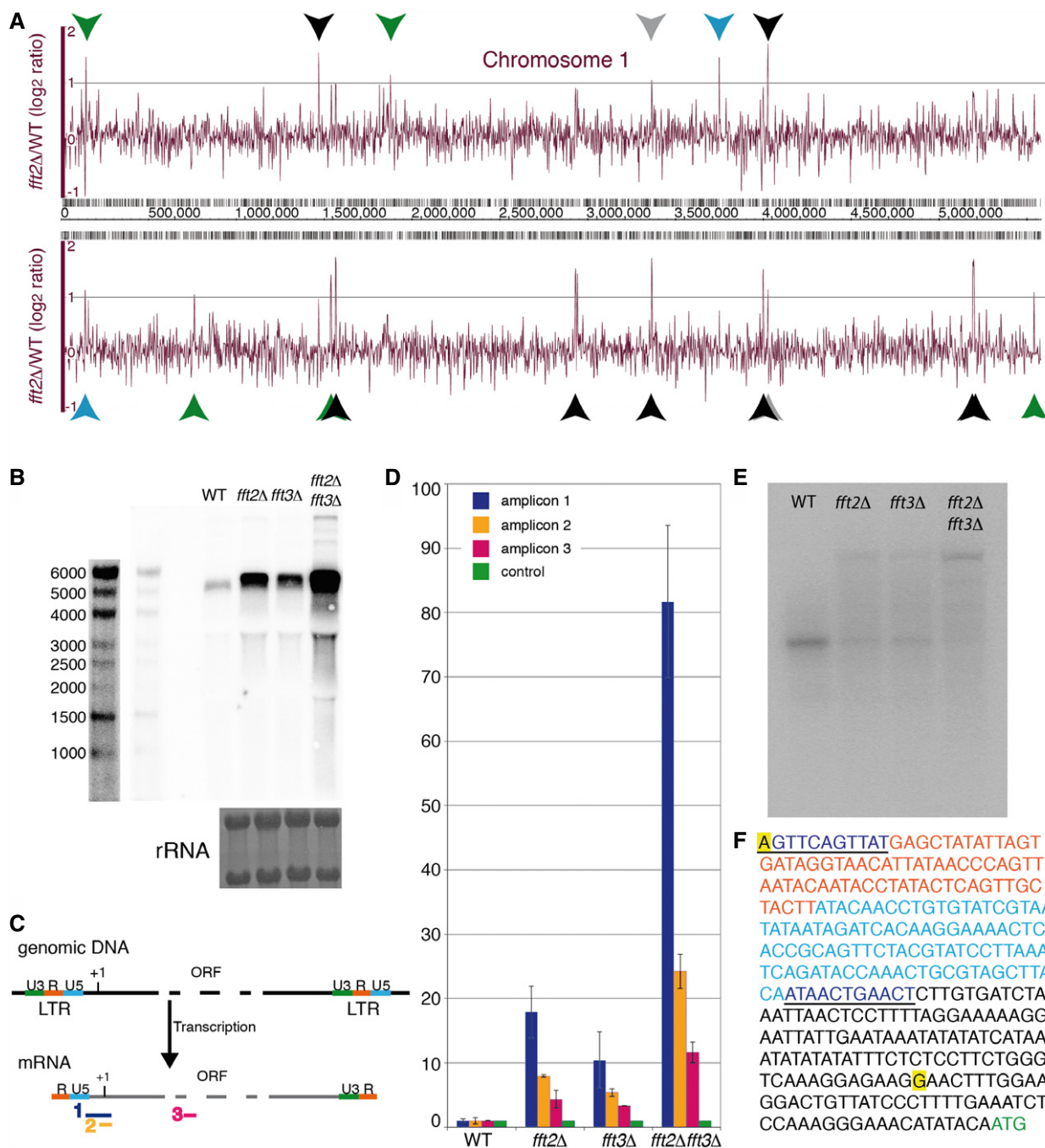


Figure 1. *Tf2* retrotransposons are upregulated in *Fft* mutants.

Retrotransposon transcription in WT (Hu303), *fft2*Δ (Hu1955), *fft3*Δ (Hu1867), and *fft2*Δ*fft3*Δ (Hu2000).

- A** Transcription in *fft2*Δ relative to WT over chromosome 1. The top and bottom panels represent fold change in tiling array signal from the forward and reverse strands, respectively. Coordinates are shown in the middle panel; genes more than twofold upregulated are marked: *Tf2* retrotransposons (black); antisense to *Tf2* elements (gray); coding genes (green); noncoding RNAs (blue).
- B** Northern blot for the *Tf2* ORF; rRNA staining of the same membrane by methylene blue is shown below. Two different exposures of the molecular size marker are shown (left).
- C** qPCR scheme. Amplicon 1 spans the end of the LTR and into the ORF. Amplicon 2 does not include the LTR but covers the translation start site. Amplicon 3 is entirely within the ORF.
- D** Bar graph representing RNA levels for the amplicons depicted in (C), relative to a control locus (*SPAC1F8.07c*) and to WT levels, as measured by qPCR. Error bars represent standard deviation of duplicate reverse transcriptions of biological triplicates.
- E** 5' RACE products run on a 1.5% agarose gel.
- F** The sequence of a *Tf2* retrotransposon transcript from the 'R' sequence of the upstream LTR to the start codon of the coding region. The long and short RACE products (E) were sequenced, and the transcript start sites are highlighted (yellow). Orange font, R. Light blue font, U5. Dark blue font, self-primer and primer binding site. Green font, start codon.

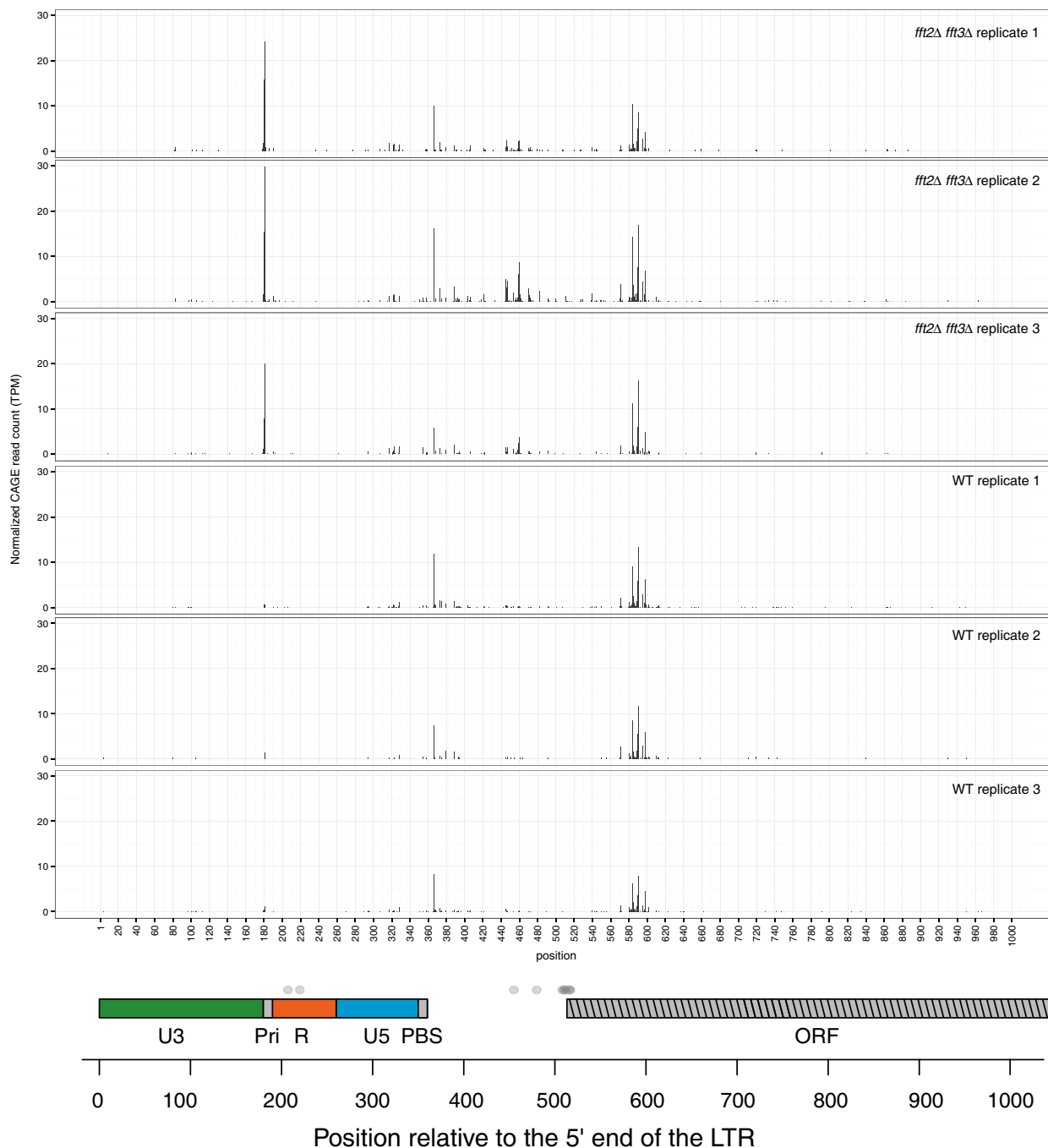


Figure 2. Transcription initiation occurs at alternative TSS in the U3 LTR region in *Fft* mutants.

Top panel: Y-axis shows the CAGE signal intensity (tag per million normalized number of mapped CAGE tags on plus strand). X-axis shows LTR and 5' of *Tf2* ORF. Three biological replicates are shown for each strain: *fft2Δfft3Δ* and WT. Bottom: LTR and *tf2* ORF are colored: U3 (green), R (red), U5 (blue), self-primer and primer binding sequence (PBS; gray), beginning of ORF (gray striped). TSS of all *Tf2* transcripts from Rhind et al [43] are shown as gray dots above the sequence elements. The black square indicates the 5' end of the *Tf2* mRNA in WT, and the green square indicates the 5' end in *fft2Δ fft3Δ* detected by 5' RACE.

***Fft2* and *Fft3* position a nucleosome to block full-length retrotransposon transcription**

Next, we wondered whether the effect of *Fft2* and *Fft3* on *Tf2* transcript length is direct, as we had previously observed that

Fft3 binds LTRs [40] (Fig 3A, bottom). We performed chromatin immunoprecipitation coupled with DNA microarray (ChIP-chip) analysis of endogenous C-terminal epitope-tagged *Fft2* and found that *Fft2* is localized to both LTR elements and *Tf2* coding regions (Fig 3A, top). We were also curious about the complementary

roles of the paralogs, as most species only have one Fun30 subfamily remodeler. To dissect this relationship further, we performed ChIP-chip of Fft2-myc in an *fft3Δ* background and of Fft3-myc in an *fft2Δ* background. The LTR and *Tf2* binding of Fft2 increases in the absence of Fft3 (Fig 3A, top), which in combination with the transcriptional phenotype suggests that these *Fft* remodelers are able to substitute for one another to some extent.

To determine whether Fft2 and Fft3 regulate the *Tf2* TSS by altering nucleosome occupancy or positioning, we sequenced mono-nucleosomal DNA fragments generated by micrococcal nuclease (MNase) digestion. We observed an approximately 50% decrease in occupancy of the nucleosome in the LTR U3 region in the *fft2Δ fft3Δ* double mutant (Fig 3B). This nucleosome is positioned directly upstream of and slightly overlapping the TSS of the longer 5'UTR and presumably prevents transcription initiation at this site in WT

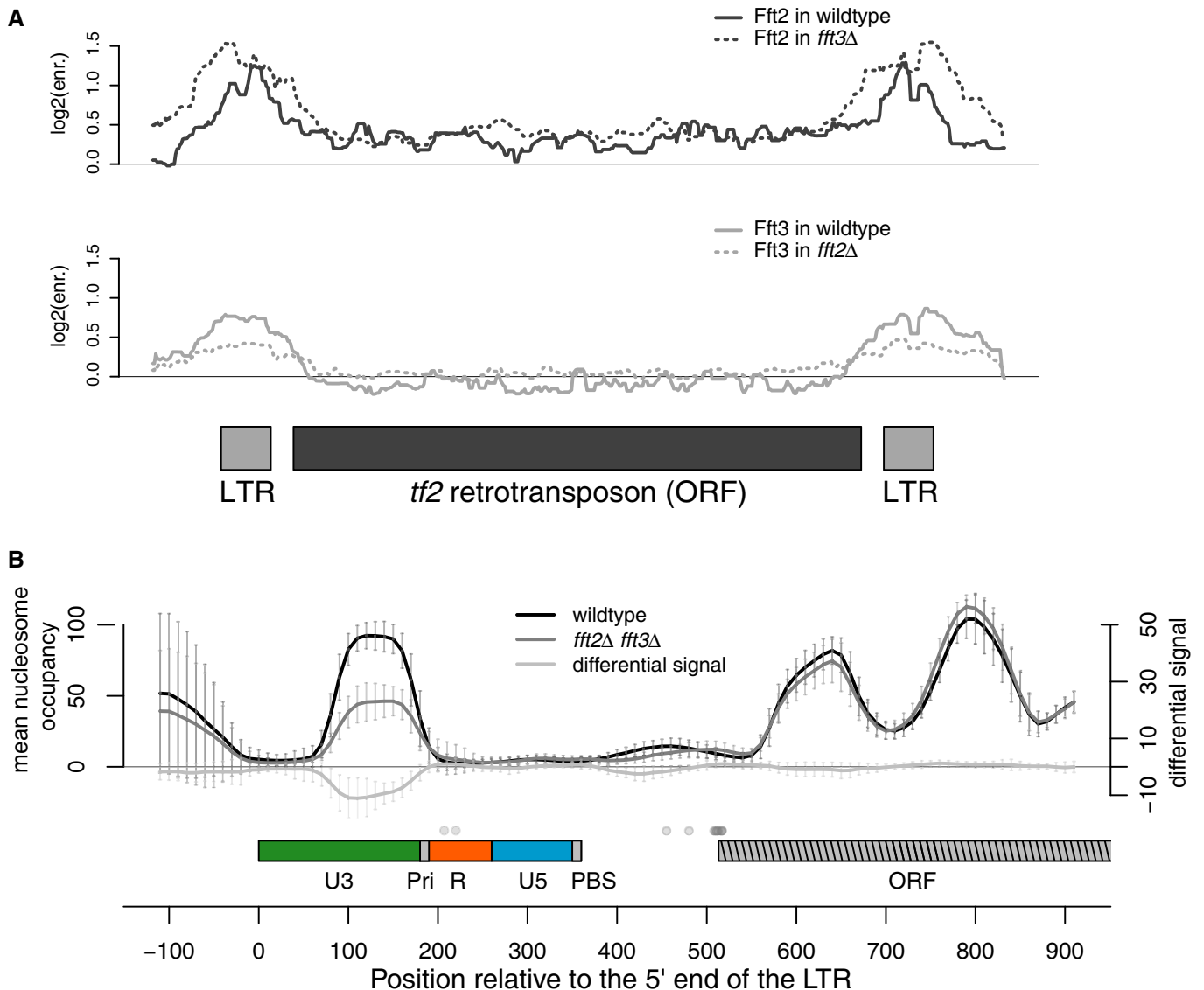


Figure 3. Fft remodelers bind to and affect nucleosome occupancy at *Tf2* LTRs.

A Fft2 and Fft3 are both enriched at LTRs, while Fft2 is also enriched at the *tf2* coding region. Fft2 LTR enrichment increases in *fft3Δ* cells, while Fft3 LTR enrichment decreases slightly in *fft2Δ* cells. Top: Fft2-myc enrichment in WT (solid line) and in *fft3Δ* (dashed line). Bottom: Fft3-myc enrichment in WT (solid line) and in *fft2Δ* (dashed line). ChIP-chip signal is normalized against no-epitope control arrays.

B Nucleosome occupancy over the LTR U3 is significantly reduced (average reduction ~50%; average *P*-values at each position < 0.001, Poisson test) in *fft2Δ fft3Δ* cells. MNase-seq data aligned at the start of *tf2* 5'LTRs is shown for WT (black line), and *fft2Δ fft3Δ* (dark gray line). Light gray line indicates significance of difference between WT and mutant signals: Amplitude reflects significance (*P*-value) and sign reflects the sign of occupancy change in mutant vs. WT cells. Error bars show standard deviation of nucleosome occupancy averaged over 13 *tf2* elements. LTR and *tf2* ORF are colored: U3 (green), R (red), U5 (blue), self-primer and primer binding sequence (PBS; gray), beginning of ORF (gray striped). TSS of all *tf2* transcripts from Rhind et al [43] are shown as gray dots above the sequence elements, while the green dot indicates the TSS in *fft2Δ fft3Δ*.

cells. In contrast, nucleosome occupancy at this position was substantially reduced, but to a lesser degree in *fft3Δ* MNase-seq samples that were prepared in parallel [41]. We hypothesize that, as observed at the HIV LTR [8], the LTR U3 sequence appears so refractory to nucleosome formation that this nucleosome is lost in the absence of Fft2/3 remodeling. We also observed an increase in the transcription-associated histone H3K9 acetylation 5' of the *Tf2* ORF in *fft* mutants (Fig EV2).

Fft2 and Fft3 position nucleosomes over solo LTRs, reducing transcription of adjacent genes

Next, we asked whether Fft2/3 remodeling at the 261 solo LTR elements scattered throughout the genome could affect the transcription of adjacent genes. Genes with a 5' LTR are transcribed at the same level as genes without a 5' LTR (Fig EV3), suggesting that a 5' LTR is not generally active. While the sequence of many of these LTRs is deteriorated, we nevertheless find a nucleosome occupancy similar to what we observe at *Tf2* 5' LTRs. The occupancy of this nucleosome is reduced in *fft2Δ fft3Δ* cells (Fig 4A). We observe that genes with a 5' LTR are significantly upregulated in *fft2Δ fft3Δ* cells (Fig 4B), likely due to increased exposure of the U3 LTR promoter. This is true for both coding and noncoding genes.

Retrotransposon TSS and the cellular stress response

Interestingly, both *fft2* and *fft3* are downregulated at the mRNA level upon stress treatment [15]. Given the evidence that transposon activation is a widespread stress response in eukaryotes [11,14,15], we hypothesized that permitting the low level transcription of a truncated retrotransposon transcript allows for rapid activation as a part of the stress response. To see whether the same TSS shift is indeed part of the natural cellular response to stress, we exposed cells to transient heat (39°C) or oxidative stress (0.5 mM H₂O₂). RT-qPCR revealed an increase in longer transcripts, as well as an overall increase in transcription, following heat and oxidative stress treatments (Fig 5A). This indicates that cells switch between *Tf2* retrotransposon transcription initiation sites in response to environmental conditions.

To see whether chromatin remodeling directly enables this stress-induced TSS switch, we compared LTR nucleosome occupancy in WT and *fft2Δ fft3Δ* cells before and after heat shock. Using MNase-qPCR to measure LTR nucleosome occupancy, we observed that in WT, the occupancy of the nucleosome in the U3 region of the LTR is clearly reduced by stress (Fig 5B). Reduction in LTR nucleosome occupancy in *fft2Δ fft3Δ*, from its much lower starting point before heat shock, was milder (15% reduction on average) (Figs 5B and EV4). Accordingly, exposure to stress conditions does not lead to a further increase in *Tf2* transcription levels compared to unstressed cells (Fig 5C). This indicates that the LTR chromatin state in *fft2Δ fft3Δ* cells mirrors that of stressed cells.

LTR nucleosome positioning is dependent on the catalytic function of Fft2 and Fft3

We wondered whether the effect of the two Fun30 chromatin remodelers on LTR nucleosome occupancy was due to active remodeling or to some other function, perhaps recruitment of another factor. We had previously generated a strain in which Fft3

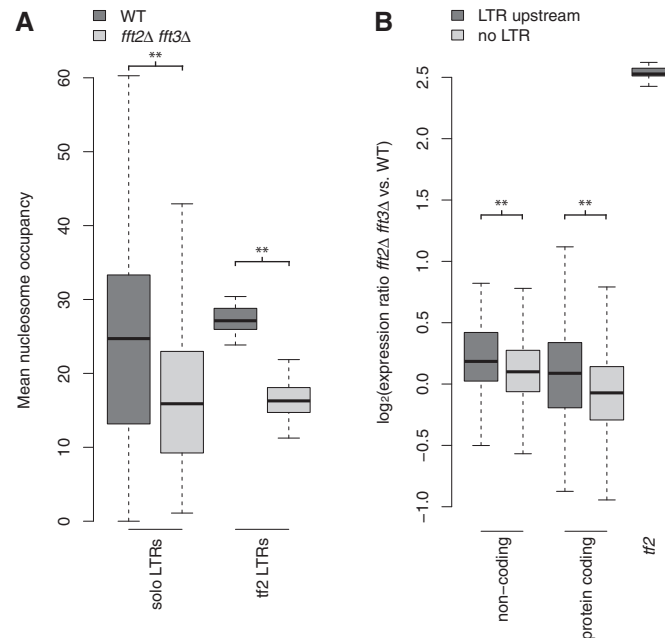


Figure 4. LTR-proximal genes are upregulated in *fft2Δ fft3Δ*.

A Mean nucleosome occupancy over solo LTRs ($n = 236$) and *tf2*-associated LTRs ($n = 25$), in WT and in *fft2Δ fft3Δ*.
 B Mean ratio of expression (*fft2Δ fft3Δ*/WT) for each of the following categories: noncoding with LTR ($n = 69$)/without LTR ($n = 1,665$) (** $P = 0.008$); protein coding with LTR ($n = 246$)/without LTR ($n = 4,887$) (** $P < 0.001$); *Tf2s* ($n = 13$).

Data information: Data shown as box plots, significance of difference between categories was assessed by Wilcoxon–Mann–Whitney test (** $P < 0.001$).

ATPase function is abrogated by a point mutation [41], and decided to generate an equivalent point mutation (K581R) in *fft2* (Fig 6A). Neither the level of Fft2 protein expression (Fig EV5A) nor Fft2 targeting to chromatin (Fig EV5B) appears to be altered in *fft2-K581R-myc* as compared with *fft2-myc*.

First, we compared retrotransposon TSS usage between Fun30 remodeler catalytic and deletion mutants. Using reverse transcription followed by qPCR, as in Fig 1C and D, we observed comparable increases in long transcript abundance in single catalytic (*fft2-K581R* and *fft3-K418R*) and deletion (*fft2Δ* and *fft3Δ*) mutants (Fig 6B). This indicates that the chromatin remodelers' catalytic function is essential for preventing the production of full-length *Tf2* transcripts. In contrast, the increase in full-length transcript abundance in a double catalytic mutant (*fft2-K581R fft3-K418R*) is significantly less than the increase in a double deletion mutant (*fft2Δ fft3Δ*), pointing to an additional noncatalytic role for the remodelers. Indeed, the abundance of full-length *Tf2* transcripts in *fft2-K581R fft3-K418R* is similar to that in the single mutants. Because remodeler targeting to LTRs is not compromised by the catalytic point mutation, it is possible that the catalytically dead remodeler blocks the access of the functioning remodeler. This could cause an almost complete loss of nucleosome remodeling, even in the single catalytic mutants.

Finally, we measured nucleosome occupancy in the single catalytic and deletion remodeler mutants by MNase-qPCR. In all four strains (*fft2Δ*, *fft2-K581R*, *fft3Δ*, and *fft3-K418R*), nucleosome occupancy was reduced to 50–60% of WT occupancy (Fig 6C). This

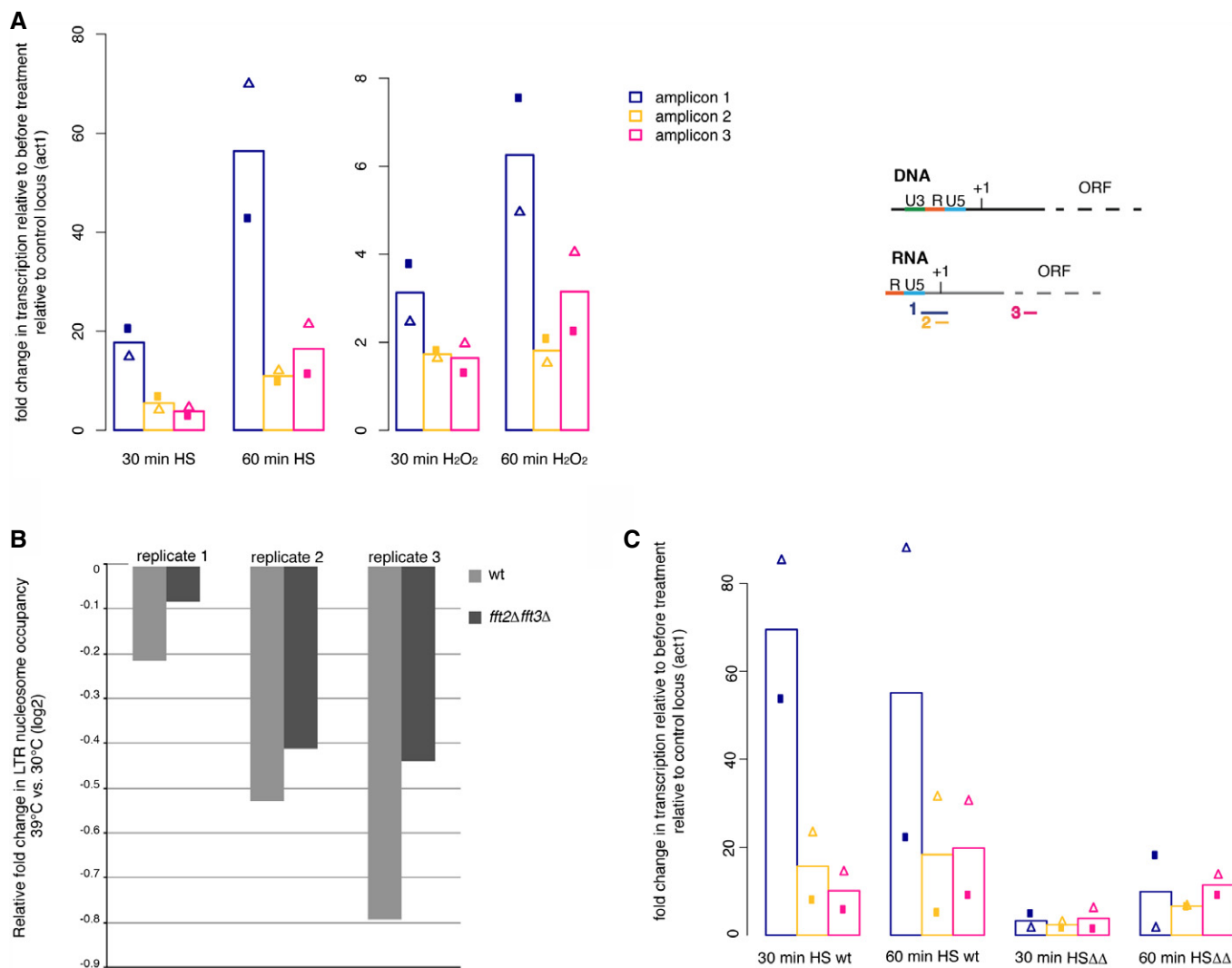


Figure 5. *Tf2* elements undergo an *Fft* remodeler-dependent TSS shift when stressed.

A Heat and oxidative stress cause a *Tf2* TSS shift in WT cells, resulting in the production of reverse transcription competent *Tf2* transcripts. Transcription fold change as in Fig 1D, but relative to *act1* transcription and to transcription in the same culture immediately prior to stress, as measured by qPCR.

B Heat shock-associated TSS shift is accompanied by a reduction in LTR nucleosome occupancy. The degree of nucleosome loss is greater in WT cells than in *fft2Δfft3Δ* cells, where nucleosome occupancy is already very low (Figs 2B and EV3). LTR nucleosome occupancy shown relative to occupancy of the *Tf2* ORF +1 nucleosome, measured by MNase-qPCR.

C Exposure to stress conditions does not increase *Tf2* transcript levels further in *fft2Δfft3Δ* cells. Transcription fold change as in Fig 1D, but relative to *act1* transcription and to transcription in the same culture immediately prior to stress, as measured by qPCR.

Data information: Bars show mean of two biological replicates, with triangles and circles showing the values of the individual replicates.

further supports a direct role for chromatin remodeling in regulating the TSS of retrotransposons.

Tf body disruption

In WT cells, *Tf2* elements cluster within the nucleus in subnuclear structures called *tf* bodies [28]. This clustering is lost upon stress treatment and in CENP-B mutant strains [28]. We therefore asked whether the disrupted chromatin structure and TSS regulation we had observed in *fft2/3* mutants would also perturb *tf* body integrity. We performed fluorescence *in situ* hybridization (FISH) against the *Tf2* elements in WT, *fft2Δ*, *fft3Δ*, and *fft2Δfft3Δ* cells and observed

a significant ($P < 0.001$, χ^2 -test) declustering effect in the double *fft2Δfft3Δ* mutant (Fig 7A and B). This suggests that transcription and chromatin structure may be epistatic to CENP-B-mediated *Tf2* regulation by clustering.

Increased mobility of the *Tf2* retrotransposon in *Fun30* mutants

Our above results provide strong support for a repressive role of *Fft2* and *Fft3* at *Tf2* elements. To directly test the retromobility of *Tf2* elements, we used the synthetic *Tf2-12-neoAI* construct with the neomycin marker gene containing an artificial intron inserted in an opposite orientation downstream of the *Tf2* ORF [16]. This

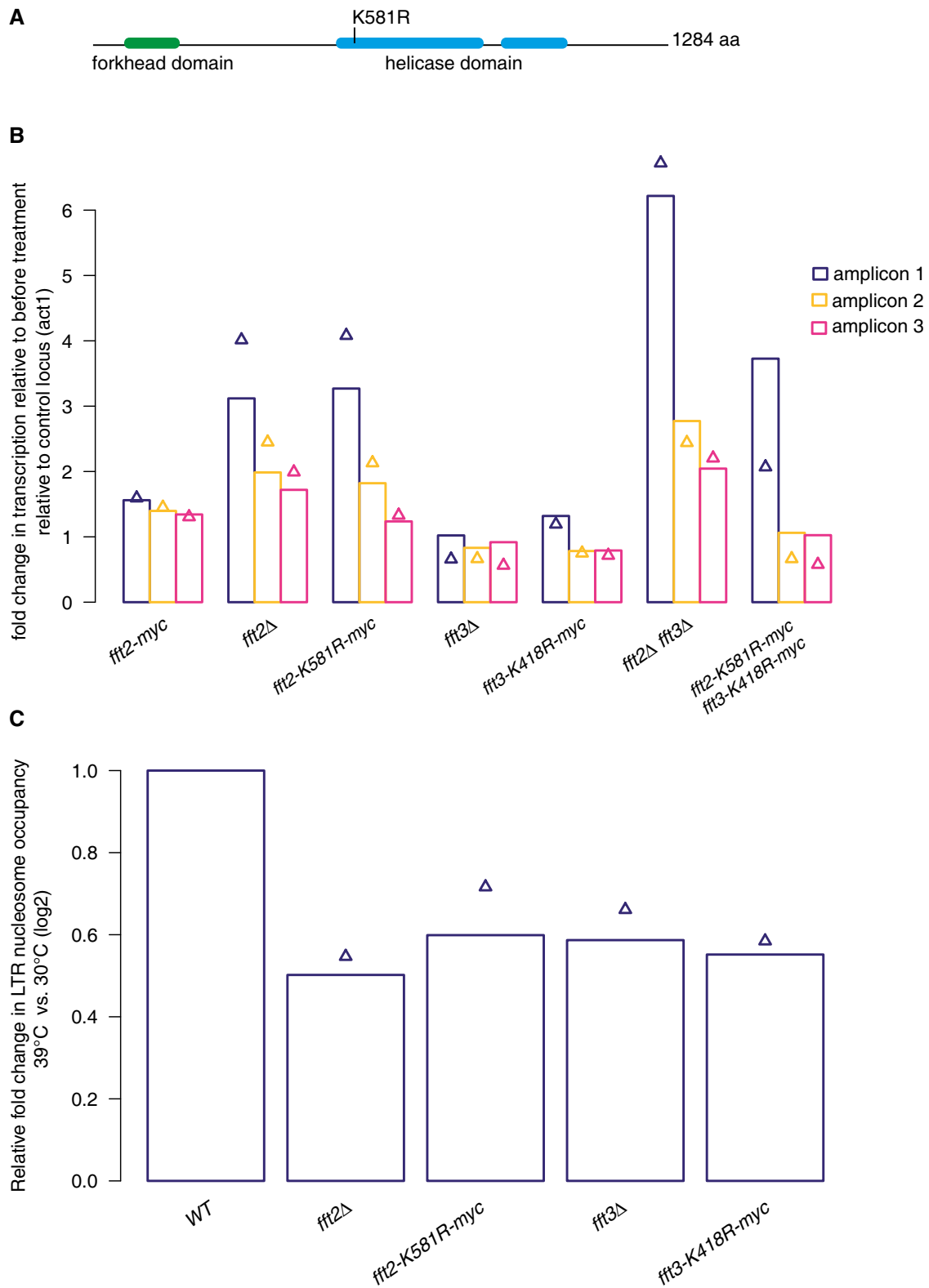


Figure 6. ATP-dependent remodeling function is essential to retrotransposon regulation by Fft2 and Fft3.

A Schematic of the Fft2 protein showing the location of the catalytic function-abrogating point mutation K581R.

B Bar graph representing RNA levels as in Fig 1D, relative to a control locus (*act1*) and to WT levels, as measured by qPCR.

C TSS shift in Fft deletion and catalytic mutants is accompanied by reduced LTR nucleosome occupancy, measured by MNase-qPCR as in Fig 5B.

Data information: Bars show mean of two biological replicates, with triangles and circles showing the values of the individual replicates.

construct can only give rise to G418-resistant colonies by splicing out the artificial intron and production of cDNA, which is mobilized and inserted into the genome. Using this assay, the *fft3Δ* and *fft2Δfft3Δ* mutant strains showed > 100-fold increase in median *Tf2* transposition frequency and about a 40-fold increase in transposition rates per cell generation compared to wild type (Fig 8A and B). Three independent G418-resistant derivatives and the *fft3Δ* and *fft2Δfft3Δ* *tf2-neoAI* strains were subjected to Southern blotting using a probe for the *neoA* gene, and new genomic *Tf2* insertion sites were clearly detected (Fig 8C). Thus, not only do Fft2 and Fft3 repress the expression of full-length *Tf2* transcripts, but at least Fft3 also restricts the mobility of the *Tf2* element.

Discussion

Here, we provide a mechanistic understanding of the relationship between cellular stress and retroelement activation (Fig 9). Taken together, our findings reveal TSS control as an important mechanism of retroelement regulation. We provide evidence of a direct role for nucleosome occupancy and ATP-dependent chromatin remodeling in this regulation and demonstrate coordination with the cellular response to stress. Fft2 and Fft3 cooperate to position a nucleosome over the LTR U3 sequence, blocking transcription initiation. This leads to the exclusive generation of truncated, reverse transcription-incompetent *Tf2* transcripts in WT cells while maintaining a transcriptionally permissive chromatin environment around the retrotransposon. We also provide evidence for Fft3

restricting the *in vivo* mobility of *Tf2* elements using a retromobility assay. When cells are exposed to environmental stress, the *fft2* and *fft3* genes are downregulated. This results in the loss of the LTR nucleosome from the energetically unfavorable U3 sequence, allowing a shift in TSS and production of full-length transcripts capable of retrotransposition.

Although *Tf2* is an LTR retrotransposon that spreads via a self-priming mechanism, the method of regulation described here (Fig 9) has relevance for all LTR retrotransposons, endogenous retroviruses (ERVs), and retroviruses. For any of these retroelements, an incomplete transcript will be incapable of generating cDNA and integrating into the host genome. Our model is consistent with observations that reduced histone production results in *Tf2* upregulation [45] and that deletion of the fission yeast HIRA histone chaperone *hip1* leads to *Tf2* upregulation [46]. We had previously observed an extended *Tf2* 5'UTR in *hst4Δ* cells, which we at the time attributed to a retrotransposon processing defect [26]. We now suspect that Hst4, a class III histone deacetylase, is involved in stabilizing the U3 nucleosome, perhaps via its role in deacetylating H3K56 [47,48]. H3K56ac is known to be important for nucleosome “breathing” [49], and deacetylation of this residue could be particularly important for nucleosome stability at energetically unfavorable sequences like the LTR U3. Unlike the exposed histone tail residues, K56 is in the nucleosome core and is not readily accessible. Hst4 may cooperate with an ATP-dependent chromatin remodeler, in this case Fft2/3, for efficient access to its target lysine residue. Such a cooperation would be similar to that between HDAC1/2 and CHD3/4 in the NuRD complex,

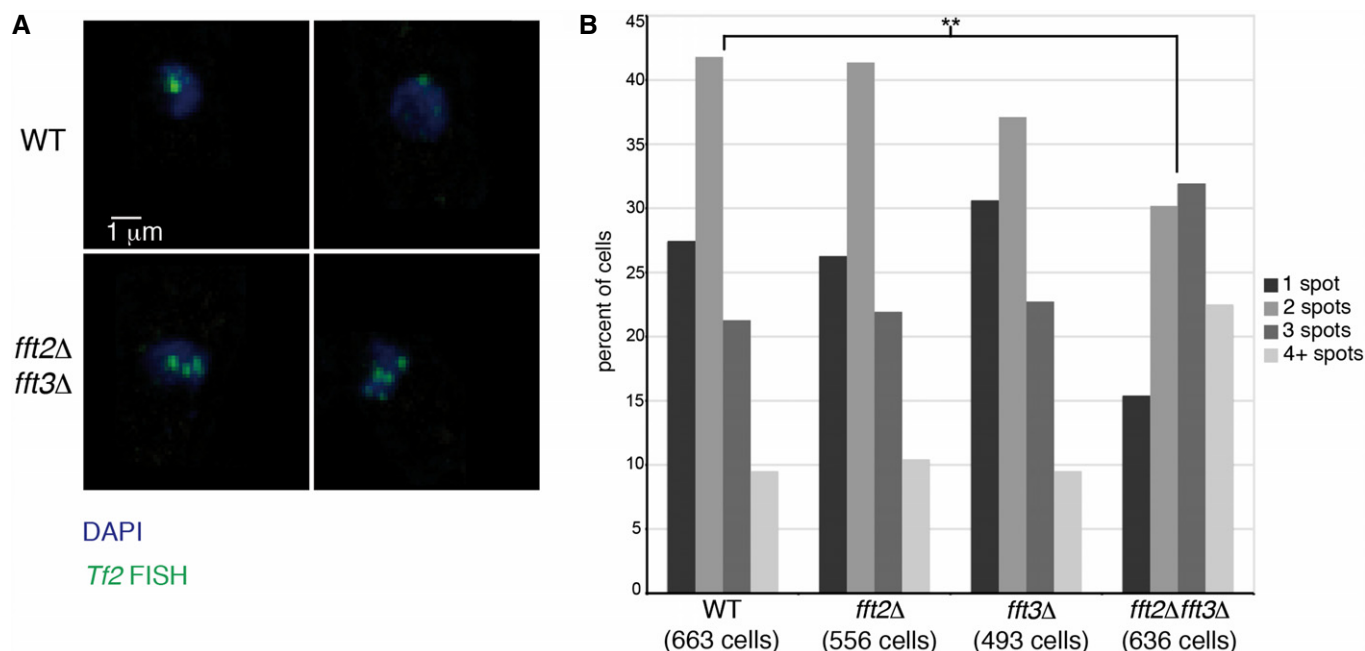


Figure 7. Fft2 and Fft3 are required for *Tf2* element clustering in *tf* bodies.

A Fluorescence *in situ* hybridization with a probe against *tf2* elements (green), and DAPI staining (blue) in WT and *fft2Δ fft3Δ* cells.

B The number of *tf2* element signal clusters is significantly elevated (** $P = 9.8 \times 10^{-18}$, χ^2 -test) in *fft2Δ fft3Δ* cells, indicating a loss of retrotransposon clustering. *Tf2* clustering was counted in WT cells ($n = 663$), *fft2Δ* ($n = 556$), *fft3Δ* ($n = 493$), *fft2Δ fft3Δ* ($n = 636$). Significance of difference between strains was assessed by chi-square test.

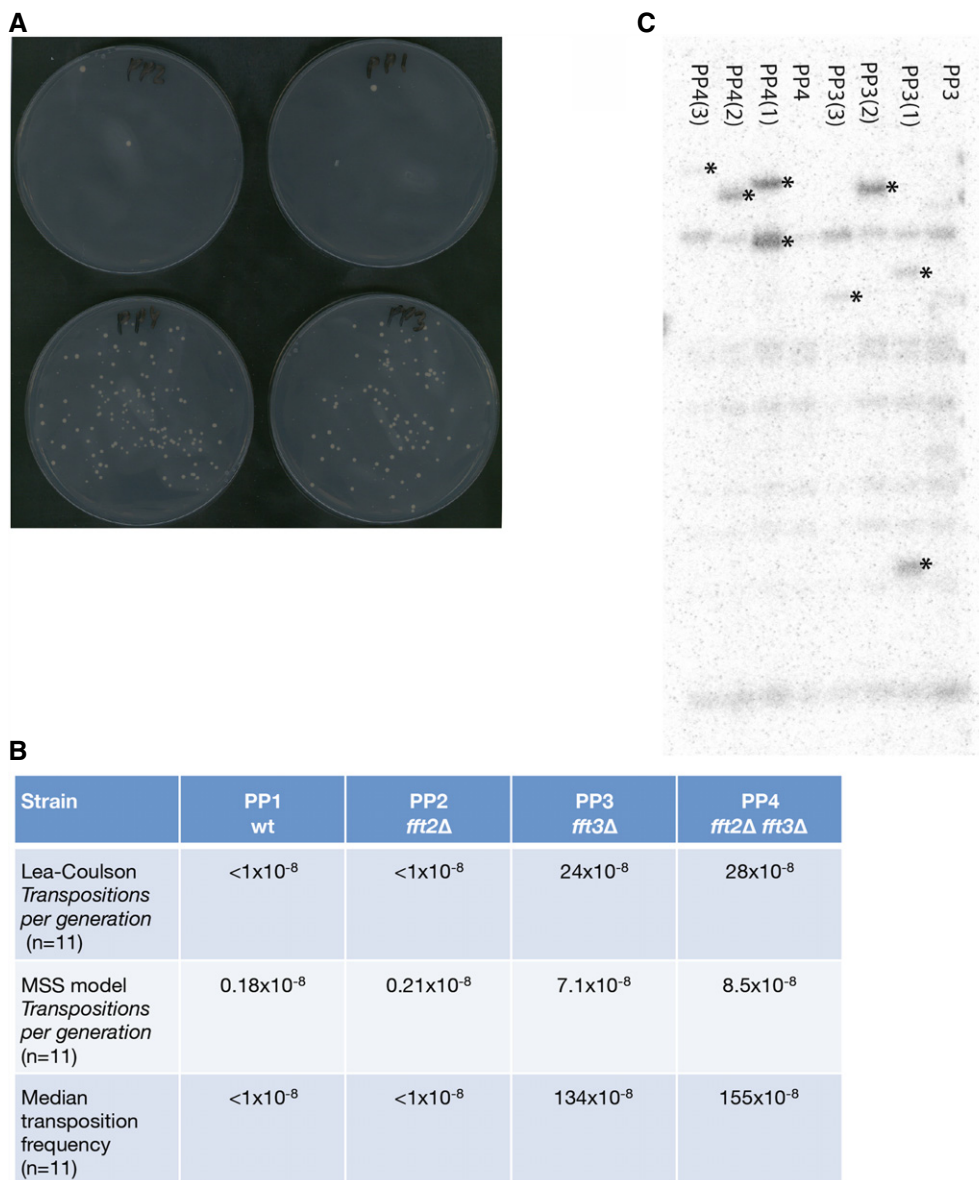


Figure 8. *Tf2* retromobility assay.

- A Pictures of YES plates with G418 showing growth of G418-resistant subclones in *fft3Δ* (PP3) and *fft2Δfft3Δ* (PP4) mutant strains with new integrations of *Tf2*-12-*neoA*. Pictures were taken after 3 days of growth at 32°C.
- B A table showing the *Tf2* transposition frequencies and rates calculated with fluctuation tests using FALCOR.
- C Southern blot probed for *neoA* in *fft3Δ* (PP3) and *fft2Δfft3Δ* mutant strains (PP4) and derived G418-resistant subclones. The bands marked by asterisks represent new unique *Tf2* insertions.

where *in vitro* histone deacetylase activity is enhanced twofold by the presence of ATP [50]. An alternative explanation could be that Fft2 and Fft3 are sensitive to the histone acetylation state of target nucleosomes, as is the case for the Swr1 remodeler complex SWR-C. When H3K56 is acetylated, the dimer exchange function of SWR-C becomes deregulated, allowing it to insert either H2A.Z/H2B or H2A/H2B histone dimers [51].

We observe that Fft2 and Fft3 enzymes both contribute to repression of full-length *Tf2* mRNA expression. However, only Fft3 was found to have a role in repressing *Tf2* mobility *in vivo*. We speculate

that Fft3 has an additional role in restricting transposition during the integration step, and therefore, loss of Fft2 alone is not sufficient to boost the mobility of the retrotransposon (Fig 9). It is possible that Fft3 affects the chromatin structure of target regions or interferes with the function of the DNA-binding protein Sap1, recently shown to interact with the *Tf1* integrase and target integration to RNA pol II promoters [52,53].

Activating retrotransposons, and even targeting them to coding regions, in response to stress has been observed in numerous species [11], including fission yeast [15,16]. It has long been

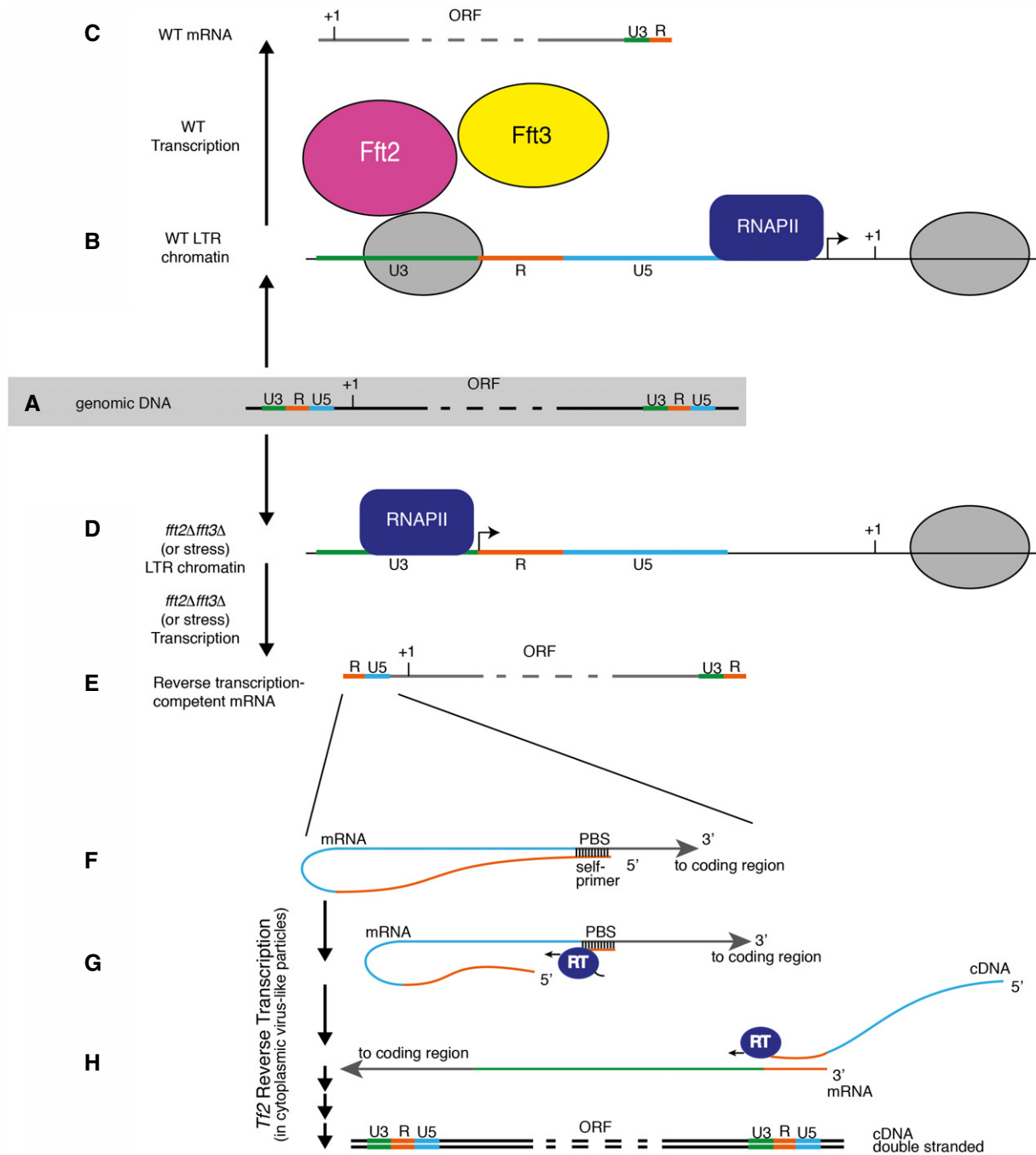


Figure 9. A model of transcription at *Tf2* retrotransposons.

- A Genomic DNA: *Tf2* retrotransposons are flanked by LTRs, which are composed of U3, R, and U5 sequences.
- B In WT cells, Fft2 and/or Fft3 position a nucleosome over the U3 and U3/R border. As a result, transcription initiates downstream of the LTR, just upstream of the protein coding region.
- C The mRNA produced in WT cells is unable to support reverse transcription, an essential step in the retrotransposon life cycle.
- D In cells lacking or downregulating Fft2, Fft3, or both, a promoter and transcription start site in the LTR are exposed.
- E The resultant mutant mRNA is capable of supporting reverse transcription.
- F A self-primer in the R region hybridizes to the primer binding site (PBS) in the U5.
- G The *tf2*-encoding reverse transcriptase (RT) cleaves the self-primer from the rest of the mRNA and generates cDNA complementary to the U5 and R sequences while digesting the mRNA template.
- H The RT and short cDNA transcript are able to hybridize at the 3' end of the mRNA, continuing reverse transcription and eventually producing a double-stranded cDNA capable of reintegration into the genome.
- I A putative role of Fft3 in restricting *Tf2* integration is depicted. Gray ovals represent nucleosomes; RNAPII, RNA polymerase II. Steps (F–H) are based on the mechanism elucidated by Levin [30].

postulated that the resulting novel regulatory patterns could be an adaptive response to environmental challenges [12]. Indeed, newly inserted *Tf1* retrotransposons have been shown to target RNA polymerase II promoters [52,54,55] and to affect the transcription levels of their new neighbor genes [56,57]. The mechanism described here would allow the cell to retain any novel regulatory benefits after the stress response ends, because LTRs could be allowed to stimulate transcription of neighboring genes without the risk of genomic takeover by repetitive elements. If retrotransposon integration targets the promoters of the genes activated by the current stressor, this could lead to a stably upregulated transcriptional program. The result would be cells with a survival advantage in an altered, for example, warmer or nutrient-depleted environment.

The need for retrotransposon TSS control extends beyond the yeast genome. Our findings provide an exciting mechanistic explanation for the rather perplexing recent findings that widespread LTR and retrotransposon-directed transcription is crucial for mammalian pluripotency and cell fate determination. Both human and mouse development exhibit close ties to transposable elements, with MuERV-L and HERV-H activation being suggested to mark pluripotency or trigger and regulate embryonic development, respectively [23,58,59]. Indeed, up to 30% of mouse and human TSS are in transposable elements. These transcripts demonstrate cell type-specific regulation [60] and have, in some cases, been shown to correlate with pluripotency [24]. A copy of HERV is highly transcribed in a human myeloid leukemia cell line [61], suggesting differential regulation of transposable elements in disease. Host cells have coopted LTR sequences as important *cis*-regulatory elements, with stem cell-specific transcription factors targeting LTR sequences [62,63] and stem cell-specific LTR-derived transcripts associating with enhancers [24]. Furthermore, it has long been known that global demethylation during mammalian gametogenesis and early embryonic development results in the derepression of ERVs and LTR retrotransposons [64]. All of this would seem to expose a cell to intolerable levels of retrotransposition, but would be safe in the context of a strictly regulated TSS. In contrast, when the retrotransposon sequence falls at the 3' end of a transcript, it appears to be targeted for repression [22]. Such transcripts pose a higher risk to genomic integrity, as they may contain all LTR sequences required for successful retrotransposition.

The mechanism of retroelement control we describe here is of particular interest in the context of integrated exogenous retroviruses. The human Swi/Snf subfamily chromatin remodeler BAF may repress HIV sequences that have integrated into the genome by positioning a nucleosome over the TSS of the HIV sequence, in the LTR [8]. A similar remodeling complex, PBAF, has also been found to support HIV proviral transcription [65]. Based on our findings in fission yeast, it would be interesting to see whether truncated HIV transcripts are initiated downstream of the nucleosome that BAF positions. One of the major challenges in curing HIV is the persistence of latent, integrated infection (reviewed in [66]). A better understanding of the role that chromatin plays in latency may suggest new drug targets. It would also be very interesting to see whether BAF or other chromatin remodelers position nucleosomes to steer TSS choice for the many human transcripts that are promoted by LTR sequences.

From an evolutionary perspective, it is interesting to note that the expansion of the Fun30 remodeler subfamily in the fission

yeasts occurred in parallel with other major evolutionary changes, including the elimination of most transposon families and a transition to nontransposon centromeres [43]. Given the involvement of the *S. pombe* Fun30 remodelers in both centromeric function and retrotransposon regulation, it is tempting to speculate that the diversification of this subfamily either allowed for or was favored by these changes. Finally, the ability of fission yeast Fun30 remodelers to bind and alter the chromatin structure at LTR elements [40,41] may be yet another example of the generalization of a mechanism originally selected for its function in controlling transposable elements. The ability of LTR elements to function as chromatin boundaries may stem from their previously acquired ability to attract chromatin remodelers like those of the Fun30 subfamily.

Here, we show an exciting mechanism that underpins host-retroelement symbiosis in fission yeast. Strict TSS control, regulated by chromatin remodelers and nucleosome positioning, provides context for the interesting possibility that ERVs may activate mammalian development. It will be important to determine how phylogenetically widespread such mechanisms are, and to see whether they can be manipulated to improve treatment of retroviral infections.

Materials and Methods

Construction of strains

Standard genetic techniques were used to construct strains [67]. Primers used in this study are described in Table EV2. Primers 1–2, used to tag *Fft2* by homologous recombination, were generated with the PPPP tool [68]. The catalytic mutant *fft2-K581R* mutation was generated by homologous recombination with the primer 25–26 amplicon and screened by sequencing the primer 27–28 amplicon. Strains used in this study are described in Table EV3.

Growth conditions

Cells were grown according to standard methods [67]. Duplicate liquid cultures were grown in YES media at 30°C and 180 rpm shaking. For all experiments, cells were harvested in mid-log growth (5×10^6 cells/ml). Heat stress was achieved by transferring log-phase cultures to 39°C for the indicated length of time (30 or 60 min). Oxidative stress was achieved by adding H_2O_2 to cultures to a concentration of 0.5 mM and harvesting after 30 or 60 min. For spotting growth assays, cells were grown in liquid culture to mid-log phase, diluted in PBS, and spotted on solid media in fivefold serial dilutions. Nonselective medium (N/S) is PMG with 100 mg/l uracil; selective medium (–ura) is PMG without added uracil; counterselective medium (FOA) is PMG with 100 mg/l uracil and 1 g/l 5-fluoroorotic acid (US Biologicals). Assays were performed in duplicate, and plates were incubated at 30°C.

Tf2 retromobility assay

Precultures of strains PP1, PP2, PP3, and PP4 carrying the *Tf2-12-neoAI* allele were grown in YES medium and used to inoculate 11 different 20 ml YES cultures for each strain at a starting concentration of 2×10^3 cells/ml. The cultures were grown for approximately

9 generations, and cells were plated at high densities (10×10^7 cells per plate) onto YES plates containing 200 $\mu\text{g}/\text{ml}$ G418 at 32°C. After 3 days, the number of G418-resistant colonies were scored and mobility rates were determined using fluctuation tests with Luria–Delbruck and MSS (maximum likelihood) models using the FALCOR (Fluctuation AnaLysis CalculatOR) tool according to [69]. New *Tf2* integration sites in G418-resistant subclones of PP3 and PP4 strains were confirmed by Southern blot as described in [16].

Chromatin immunoprecipitation

Chromatin immunoprecipitation was performed as described in [70] and in [71]. Chromatin extracts were prepared from biological triplicate cultures. Duplicate or triplicate ChIP was then performed on each biological replicate using the following antibody quantities per ChIP: 2 μg mouse 9E10 α -myc (Sigma M4439); 3 μg rabbit α -H3 (Ab1791); 2 μl α -H3K9Ac (Millipore 07-352). Fragmentation, labeling, and hybridization to the GeneChip *S. pombe* Tiling 1.0FR Arrays (Affymetrix) were performed by the Affymetrix core facility at Karolinska Institutet (BEA) according to standard protocols (<http://www.affymetrix.com>). In all cases, two or three biological replicates were hybridized separately.

RNA extraction and reverse transcription

Total RNA was extracted from mid-log-phase triplicate cultures of Hu303, Hu0029, Hu1955, Hu1867, Hu2000. In brief, cells were harvested by 5 min of centrifugation at 3,000 rpm. Pellets were resuspended in TES (10 mM Tris–HCl pH 7.5, 10 mM EDTA, and 0.5% SDS) and transferred to 65°C preheated acid phenol. After 45 min of shaking (1,400 rpm) incubation at 65°C, RNA was extracted with phenol–chloroform. Reverse transcription for quantification by qPCR was performed using Superscript™ II Reverse Transcriptase and random hexamers (Invitrogen) according to kit instructions. Levels of different species of *Tf2* mRNA were quantified using primers 3–8 as described in [26]. Prior to reverse transcription, RNA was treated with Turbo DNase I (Ambion #1907) according to the manufacturer instructions.

For microarray analysis, RNA was treated according to the Affymetrix total RNA labeling protocol (<http://www.affymetrix.com>) and hybridized to GeneChip *S. pombe* Tiling 1.0FR Arrays (Affymetrix) by the Affymetrix core facility at Karolinska Institutet (BEA). In all cases, two or three biological replicates were hybridized separately.

CAGE analysis

RNA concentration was determined using the NanoDrop ND-1000 (Thermo Fisher Scientific, Waltham, MA, United States), and the RNA quality was determined using the Bioanalyzer RNA Pico kit (Agilent Technologies, Santa Clara, CA, USA). CAGE libraries were prepared as described [44] with an input of 5,000 ng total RNA. Samples were run individually, and four CAGE libraries with different barcodes were pooled just prior to sequencing and applied to the same sequencing lane. Libraries were sequenced using Illumina Hi-Seq 2000. Sequenced reads were trimmed to remove linker sequences and subsequently filtered for a minimum sequencing

quality of 30 in 50% of the bases. Trimmed and filtered reads were mapped both to the *Schizosaccharomyces pombe* assembly ASM294v2 and to a 1,000-nt sequence from the start of the U3 LTR region using Bowtie [72] (version 0.12.7), and uniquely mapped reads with up to two mismatches were extracted. 5′ ends of mapped CAGE tags (reads) to the LTR region were aggregated in CAGE tag start sites (CTSSs) and normalized to tags per million mapped reads (TPM) according to the total number of mapped reads to ASM294v2 per CAGE library.

Microarray data normalization and analysis

Raw microarray data files (.cel format) were normalized using either R or Affymetrix Tiling Analysis Software (TAS). TAS was used to generate log₂ ratios of Fft2-myc or Fft3-myc to a control no-epitope myc-ChIP (Hu303) using two-sample analysis quantile normalization together and a bandwidth of 100. Probe signals were assigned to *S. pombe* genome coordinates (Sanger 2007). TAS was also used to generate log₂ ratios of mutant to WT expression arrays using the same normalization. Data analysis was performed in R (<http://www.r-project.org>) using the Bioconductor (<http://www.bioconductor.org>) packages “affy”, “affxparser”, and “preprocessCore” with standard parameters. CEL-files were imported and quantile normalized as described in [73].

Data was visualized using Podbat [33], R, and the Integrated Genome Browser (IGB, Affymetrix). R was used to generate average gene occupancy graphs. Box-and-whisker plots were created in R using the “boxplot” function with standard parameters. Significance tests between data subsets were performed using the Wilcoxon–Mann–Whitney test function “wilcox.test” with standard parameters.

Micrococcal nuclease (MNase) digestion

Mononucleosomal DNA fragments were prepared and purified as described in [74]. Fragments were amplified and labeled with the NEBNext® ChIP-Seq Library Prep Master Mix Set for Illumina® (NEB #E6240) and sequenced on an Illumina Miseq v.3. Paired-end reads were mapped to the *S. pombe* genome using Bowtie2 [75] with standard parameters. DANPOS [76] was then used to remove clonal reads, normalize by quantile normalization, calculate nucleosome occupancy, and compute differential signals. Averaging of occupancy data and visualization was carried out using R.

Primers 21–24 were used for quantification by qPCR. We normalized LTR nucleosome occupancy against the *Tf2* ORF +1 nucleosome because occupancy of this nucleosome appeared very stable in our MNase-seq data.

Northern blots

Ten micrograms of hot phenol isolated total RNA was electrophoresed in a MOPS–acetate–formaldehyde agarose gel and transferred to Hybond N+ (GE Healthcare) in 20× SSC. The RNA was UV cross-linked (Auto Crosslink 1,200 mJ, Stratagene). A 656-bp fragment from the *Tf2* retrotransposon coding region was cloned into pBluescript (Stratagene), and the resulting plasmids were used as templates for probe synthesis. ³²P-labeled riboprobes were prepared

using MaxiScript *in vitro* Transcription Kit (Ambion) according to the manufacturer's directions. Probes were hybridized in 5× Denhardt's solution, 6× SSC, 10 mM EDTA, 0.5% SDS, 0.1 mg/ml salmon sperm DNA (Invitrogen) at 68°C for 16 h. The blots were washed and exposed to phosphorimager screens (Fuji). Screens were scanned using Molecular Imager FX (BioRad). Blot was performed with biological duplicates of Hu0029, Hu1955, Hu1867, and Hu2000 RNA.

5' RACE

Classical RACE (Fig 1) was performed with Turbo DNase I (Ambion #1907)-treated RNA from Hu303, Hu1955, Hu1867, and Hu2000 using the 5' RACE System for Rapid Amplification of cDNA Ends, version 2.0 (Invitrogen), and oligonucleotides 9 (GSP1) and 10 (GSP2). 5'-cap-sensitive RNA ligase-mediated RACE (RLM-RACE) was performed to validate TSS in RNA from Hu0029 wt cells (Table EV1). A First-Choice RLM-RACE kit (Ambion #1700) was used, and RNA was treated with calf intestine alkaline phosphatase (CIP) to eliminate fragmented and uncapped mRNA. RACE and RLM-RACE products were cloned into pGEM-T (Promega) and electroporated into *E. coli* cells for amplification and sequencing.

Fluorescence *in situ* hybridization (FISH)

Cells from Hu0029, Hu1955, Hu1867, and Hu2000 were harvested from mid-log YES cultures grown at 30°C and prepared for FISH as described in [77]. Briefly, cells were fixed by adding paraformaldehyde to 1.75% for 45 min. Chromosomal DNA was denatured by 15-min incubations with 2× SSC, 2× SSC + 10% formamide, 2× SSC + 20% formamide, and 2× SSC + 40% formamide, successively, at 37°C. The probe was prepared from a PCR product amplifying *Tf2* element DNA (primers 14 and 15 as described in [28]). The PCR product was digested to an average length of 200 bp using DNase I and labeled with Digoxigenin-11-dUTP (Roche) using Pol I (Roche). Images were taken using a Nikon A1+ laser scanning microscope with a 60× Lambda S oil-immersion objective (NA 1.4). Imaging was set up fulfilling the Nyquist criterion in *xy* and *z*, with a minimum zoom of 2. For each cell, *z*-stacks were acquired with 0.2-μm spacing and subjected to a blind 3D deconvolution algorithm using the NIS Elements Advanced Research software version 4.12.

Western blot

Protein extracts from log-phase cultures were prepared using a FastPrep-24 machine (MP Biomedicals) and separated by 4–12% SDS-PAGE. Immunoblot analysis was carried out using anti-myc (9E10, Sigma) and anti-H3 (ab1791, Abcam) primary antibodies. A secondary infrared imaging system (Li-Cor) was used for detection, with Odyssey goat anti-mouse IRDYE 800CW and anti-rabbit IRDYE 680RD (both Li-Cor) as secondary antibodies.

Data accession

Raw and processed data are available for download at <http://www.ncbi.nlm.nih.gov/geo/> under the accession number GSE57069.

Expanded View for this article is available online.

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Author contributions

JP and KE planned the experiments. JP, BS, AS, MB, JB, CS, and ON performed the experiments. KE, JP, RA, AS, MA, BA, and BS analyzed and interpreted the data. JP, BS, RA, AS, and KE made the figures. JP and KE wrote and edited the text with input from all authors.

Conflict of interest

The authors declare that they have no conflict of interest.

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