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#### ORIGINAL RESEARCH

Outcomes Following Initiation of Triple Therapy with Fluticasone Furoate/Umeclidinium/Vilanterol versus Multiple-Inhaler Triple Therapy Among Medicare Advantage with Part D Beneficiaries and Those Commercially Enrolled for Health Care Insurance in the United States

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**Purpose:** Patients with chronic obstructive pulmonary disease (COPD) have been shown to benefit from triple therapy commonly delivered by multiple-inhaler triple therapy (MITT); however, the complexity of MITT regimens may decrease patient adherence. Fluticasone furoate/ umeclidinium/vilanterol (FF/UMEC/VI), a once-daily single-inhaler triple therapy (SITT), became available in the United States (US) in 2017, but real-world data comparing outcomes for SITT versus MITT are currently limited. This study compared outcomes among patients with COPD initiating MITT versus SITT with FF/UMEC/VI who were either Medicare Advantage with Part D (MAPD) beneficiaries or commercial enrollees in the US.

**Methods:** Retrospective study using administrative claims data from the Optum Research Database for patients with COPD who initiated FF/UMEC/VI or MITT between September 1, 2017, and March 31, 2019 (index date: first pharmacy claim for FF/UMEC/VI cohort; earliest day of  $\geq$ 30 consecutive days-long period of overlap in the day's supply of all triple therapy components for MITT cohort). COPD exacerbations, adherence to triple therapy, and all-cause and COPD-related health care resource utilization (HCRU) and costs were compared between FF/UMEC/VI and MITT initiators.

**Results:** In total, 4659 FF/UMEC/VI initiators and 9845 MITT initiators for the MAPD population, and 821 FF/UMEC/VI initiators and 1893 MITT initiators for the commercial population were included in the study. MAPD beneficiaries initiating FF/UMEC/VI had a significantly lower annual rate of severe exacerbations compared to MITT initiators (0.26 vs 0.29; p=0.014). They also had a significantly higher mean adherence (proportion of days covered) (0.51 vs 0.37; p<0.001) and significantly lower all-cause and COPD-related inpatient stays compared to MITT initiators ([32.02% vs 34.27%; p=0.017], [16.09% vs 17.72%; p=0.037]). Trends were similar among the commercial population, but the results were not statistically significant.

**Conclusion:** FF/UMEC/VI initiators had significantly fewer severe exacerbations, higher triple therapy adherence, and lower HCRU costs compared to MITT initiators for MAPD beneficiaries.

**Plain language summary:** Triple therapy for chronic obstructive pulmonary disease (COPD) includes the use of several inhalers daily in a respiratory therapy combination known as multiple-inhaler triple therapy (MITT), which can be challenging for patients. However, treatment can be simplified by combining these therapies into just one inhaler, in a respiratory therapy combination known as single-inhaler triple therapy (SITT). As there is currently limited information comparing treatment outcomes in patients using SITT versus those using MITT in the real world, in this study we included Medicare Advantage with Part D (MAPD) beneficiaries and commercial enrollees with COPD who started using either an SITT combination of fluticasone furoate/umeclidinium/vilanterol (FF/

UMEC/VI) or MITT. More specifically, we looked at flare-ups in COPD symptoms (known as exacerbations), percentage of days that a patient had their medication on hand (known as treatment adherence), and health care utilization and costs. The study was conducted using administrative claims data between September 1, 2016, and March 31, 2020 from the Optum Research Database. For patients who had MAPD insurance, individuals who started FF/UMEC/VI experienced fewer severe exacerbations and increased treatment adherence, as compared to those who started MITT. They also had lower health care utilization and costs compared to those using MITT. Similar results were observed for patients who were commercially enrolled, albeit lacking statistical significance. Overall, the findings of this study indicate that triple therapy with FF/UMEC/VI can improve exacerbations and treatment adherence in patients with COPD and decrease health care utilization and associated costs.

**Keywords:** chronic obstructive pulmonary disease, triple therapy, multiple-inhaler triple therapy, single-inhaler triple therapy, adherence, exacerbations

### Introduction

The Global Initiative for Chronic Obstructive Lung Disease recommendations suggest the use of triple therapy for patients with chronic obstructive pulmonary disease (COPD) with persistent symptoms and risk of exacerbations.<sup>1</sup> Patients with COPD on triple therapy have been shown to experience improved lung function and health-related quality of life as well as lower hospitalization rates.<sup>2–6</sup> However, evidence suggests that the complexity of multiple-inhaler regimens may decrease patient adherence.<sup>7–11</sup>

Fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) was approved by the United States (US) Food and Drug Administration in 2017 as single-inhaler triple therapy (SITT) for the treatment of COPD.<sup>12</sup> While several studies have shown baseline characteristics to be similar in patients using SITT and MITT,<sup>13–15</sup> there are limited data comparing patient outcomes for SITT versus MITT.<sup>13,16,17</sup> In 2020, an international study showed that once-daily SITT with FF/UMEC/VI provided similar overall improvements in health status, and a similar safety profile as MITT with twice-daily budesonide/formoterol and once-daily tiotropium.<sup>13</sup> Moreover, the real-world INTREPID study showed that FF/UMEC/VI improved lung function and quality of life in patients with COPD compared to MITT.<sup>16</sup>

The current study was therefore designed to compare outcomes among patients diagnosed with COPD who initiated MITT versus SITT (comprised of FF/UMEC/VI), in two separate populations: Medicare Advantage with Part D (MAPD) beneficiaries and those commercially enrolled for health care insurance in the US.

### **Methods**

### Study Design and Data Source

This was a retrospective study of MAPD beneficiaries and commercial enrollees analyzed as separate populations, with the MAPD beneficiaries being the primary population of interest. MAPD beneficiaries were patients who received optional prescription drug coverage through their Medicare insurance. The study uses administrative claims data from the Optum Research Database (ORD) during the period from September 1, 2016, through March 31, 2020 (Figure 1). The ORD is a large US health care claims database with fully adjudicated medical and pharmacy claims and linked enrolment information since 1993 on more than 73 million lives.

The study index date was the date of first prescription for FF/UMEC/VI or the earliest day of  $\geq$ 30 consecutive days-long period of overlap in the day's supply with all three triple therapy components on hand (for the MITT cohort). The patient identification period was September 1, 2017, through March 31, 2019. Patients were continuously enrolled in the 12 months before the index date (baseline period) and the 12 months after the index date (follow-up period). The index date was included in the baseline period. Propensity score methods were used to account for confounding bias.

### Study Population

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Eligible patients were  $\geq$ 40 years of age at index and were required to have  $\geq$ 1 pharmacy claim for triple therapy during the patient identification period, and  $\geq$ 2 claims with a diagnosis code for COPD in any position on separate dates of



Figure I Study design diagram. Abbreviations: FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; MITT, multiple-inhaler triple therapy.

service during the study period. Patients were excluded if they had  $\geq 1$  day of overlapping days' supply with an inhaled corticosteroid (ICS), long-acting beta-agonist (LABA), and long-acting muscarinic antagonist (LAMA) (ie MITT) during the baseline period, excluding the index date;  $\geq 1$  medical claim with a diagnosis code for cystic fibrosis, lung cancer, or alpha-1 antitrypsin deficiency during the study period; pharmacy claims for both MITT and FF/UMEC/VI on the index date; and unknown age, sex, geographic region, or insurance type.

### **Study Objectives**

The following study objectives have been considered for both the primary (MAPD beneficiaries) and the secondary (commercial enrollees) populations of interest. The primary objective was to compare COPD exacerbation rates (any, severe, moderate) between patients with COPD who initiated triple therapy with FF/UMEC/VI versus triple therapy with MITT. MITT inhalers could have comprised of FF/VI plus a LAMA, or other combinations of ICS, LABA, and LAMA. Severe exacerbations were defined as a hospitalization with a primary diagnosis code of COPD. Moderate exacerbations were defined as an emergency room, physician office, or hospital outpatient visit with a primary diagnosis code of COPD accompanied by an antibiotic or systemic corticosteroid dispensing within 5 days. Secondary objectives were to compare medication adherence between patients with COPD who initiated triple therapy with FF/UMEC/VI versus MITT, and to compare all-cause and COPD-related health care resource utilization (HCRU) between patients with COPD who initiated triple therapy with a single inhaler of FF/UMEC/VI versus multiple inhalers. Adherence was evaluated using proportion of days covered (PDC), which was defined as the number of overlapping days on which medication was available divided by 365 (the number of days between the index date through the end of 12-month follow-up period). The exploratory objective was to compare all-cause and COPD-related health care costs between patients with COPD who initiated triple therapy with FF/UMEC/VI versus MITT.

### Data Analysis

Inverse probability of treatment weighting<sup>18–21</sup> was used to control for possible confounding of the association between the outcomes and study cohort. Outcomes included: COPD exacerbations, adherence to triple therapy, all-cause and COPD-related HCRU, all-cause and COPD-related costs. The success of the weighting procedure was evaluated by comparing the standardized differences, with  $\leq 10\%$  considered an acceptable threshold for balance. Additionally, the distribution of weights was examined, with no extreme weights found which could have led to increased variance in comparisons of outcomes. Bivariate comparisons were performed by treatment cohort (FF/UMEC/VI vs MITT). Pearson's chi-square tests were performed for categorical variables and two-sample *t*-tests were performed for continuous

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variables. Statistical significance of p-values was based on p<0.05 and was calculated using robust (sandwich) variance estimates to account for weights and correlation due to multiple observations per patient. Means, standard deviations (SDs), and categorical variable statistics were weighted and normalized to the original cohort sizes. Only weighted results are reported.

# Results

### Patient Attrition

After applying the inclusion and exclusion criteria, the following patient cohorts were included in the study: 4659 FF/ UMEC/VI initiators and 9845 MITT initiators for the MAPD population, and 821 FF/UMEC/VI initiators and 1893 MITT initiators for the commercial population. After weighting, all standardized differences were below 10% for baseline COPD exacerbations, all-cause and COPD-related HCRU, and all-cause and COPD-related HCRU costs.

## **Baseline Patient Characteristics**

Baseline characteristics for MAPD beneficiaries were generally similar between FF/UMEC/VI initiators and MITT initiators (Table 1). The mean age was 71.81 (SD 8.70) years for FF/UMEC/VI initiators and 71.66 (SD 8.76) years for MITT initiators, and females represented 58.03% of the FF/UMEC/VI initiators population and 58.02% of the MITT initiators population. The top three most common comorbidities were other lower respiratory diseases (85.57% FF/UMEC/VI; 84.63% MITT; p=0.171), hypertension (83.76% FF/UMEC/VI; 85.17% MITT; p=0.046), and disorders of

Demographic Characteristics	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value
Age (continuous), mean (SD)	71.81 (8.70)	71.66 (8.76)	1.73	0.388
Age group, n (%)	·			
4049	55 (1.19)	124 (1.26)	-0.67	0.769
50–59	378 (8.11)	843 (8.57)	-1.66	0.414
60–64	446 (9.57)	928 (9.43)	0.49	0.809
65–69	815 (17.50)	1698 (17.25)	0.65	0.744
70–74	1162 (24.95)	2421 (24.59)	0.81	0.678
75+	1803 (38.69)	3830 (38.90)	-0.43	0.827
Sex, n (%)	·			
Female	2704 (58.03)	5712 (58.02)	0.02	0.992
Male	1955 (41.97)	4133 (41.98)	-0.02	0.992
Race/ethnicity, n (%)	·			
White	2864 (61.47)	6078 (61.74)	-0.55	0.783
African American/Black	734 (15.76)	1557 (15.82)	-0.16	0.937
Asian	54 (1.17)	109 (1.11)	0.54	0.790
Hispanic	314 (6.75)	647 (6.57)	0.70	0.723
Unknown	692 (14.85)	1453 (14.76)	0.26	0.893

Table	I	Weighted	Baseline	Characteristics	for	MAPD	Beneficiaries
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Demographic Characteristics	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value
Comorbidities, <sup>a</sup> n (%)	J	I	I	<u>.</u>
Hypertension	3902 (83.76)	8385 (85.17)	-3.90	0.046
Other lower respiratory disease	3987 (85.57)	8332 (84.63)	2.65	0.171
Disorders of lipid metabolism	3539 (75.96)	7438 (75.55)	0.96	0.627
Diseases of the heart	3350 (71.91)	7142 (72.54)	-1.40	0.474
Other nutritional, endocrine, and metabolic disorders	2683 (57.59)	5449 (55.35)	4.53	0.022
Respiratory infections	2606 (55.93)	5393 (54.78)	2.32	0.237
Diseases of the urinary system	2464 (52.89)	5314 (53.97)	-2.16	0.271
Non-traumatic joint disorders	2427 (52.09)	5231 (53.13)	-2.08	0.291
Other connective tissue disease	2365 (50.77)	5238 (53.20)	-4.87	0.013
Diabetes mellitus without complication	2227 (47.80)	4881 (49.58)	-3.57	0.070
Eye disorders	2272 (48.76)	4809 (48.84)	-0.18	0.927
Spondylosis, intervertebral disc disorders, other back problems	2201 (47.23)	4814 (48.90)	-3.34	0.090
Diseases of arteries, arterioles, and capillaries	2265 (48.61)	4685 (47.59)	2.05	0.298
Other nervous system disorders	2119 (45.47)	4637 (47.10)	-3.26	0.098
Screening and history of mental health and substance abuse codes	2114 (45.37)	4256 (43.23)	4.32	0.028
Upper gastrointestinal disorders	1994 (42.80)	4363 (44.31)	-3.06	0.122
Substance-related disorders	1818 (39.01)	3754 (38.13)	1.81	0.360
Other skin disorders	1645 (35.31)	3560 (36.16)	-1.78	0.365
Other gastrointestinal disorders	1592 (34.17)	3453 (35.08)	-1.90	0.337
Respiratory failure, insufficiency, arrest (adult)	1498 (32.15)	3203 (32.53)	-0.81	0.685
Medication, n (%)				
Maintenance medication, n (%)				
ICS	357 (7.66)	701 (7.12)	2.05	0.321
LABA	48 (1.02)	108 (1.10)	-0.78	0.698
ICS/LABA combination	2087 (44.79)	4353 (44.22)	1.16	0.559
LAMA	996 (21.37)	2164 (21.98)	-1.49	0.504
LAMA/LABA combination	579 (12.43)	1219 (12.38)	0.15	0.933
Methylxanthines	88 (1.89)	189 (1.92)	-0.28	0.886
Methylxanthines combinations	0 (0.00)	0 (0.00)	-	_
Phosphodiesterase-4 enzyme inhibitor	64 (1.37)	156 (1.59)	-1.82	0.380

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#### Table I (Continued).

Demographic Characteristics	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value
Rescue medication, n (%)				
SABA	3279 (70.38)	7178 (72.91)	-5.61	0.004
SAMA	200 (4.29)	434 (4.41)	-0.57	0.772
SAMA/SABA	1223 (26.26)	2291 (23.27)	6.94	<0.001
Corticosteroids, n (%)				
Oral corticosteroids	3024 (64.90)	6226 (63.24)	3.46	0.076
Injectable corticosteroids	1648 (35.38)	3424 (34.78)	1.25	0.524
COPD-guideline-recommended antibiotics, <sup>b</sup> n (%)	3455 (74.15)	7257 (73.72)	0.98	0.616

Notes: Index pharmacy claims excluded from baseline period. <sup>a</sup>Comorbidities were classified using the Clinical Classification Software for ICD-9-CM/ICD-10-CM. Agency for Healthcare Research and Quality, Rockville, MD. <u>www.hcup-us.ahrq.gov/toolssoftware/ccs/ccs.jsp</u>; <u>www.hcup-us.ahrq.gov/toolssoftware/ccs10.jsp</u>. <sup>b</sup>The American Thoracic Society (2017) and Global Initiative for Chronic Obstructive Lung Disease (2020) guidelines recommend that antibiotics for acute exacerbation of chronic bronchitis be selected based on local sensitivity patterns. For more specific recommendations, The Sanford Guide to Antimicrobial Therapy (2020) was referenced. **Abbreviations**: COPD, chronic obstructive pulmonary disease; FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; ICS, inhaled corticosteroid; LABA, long-acting beta-agonist; LAMA, long-acting muscarinic antagonist; SD, standard deviation.

lipid metabolism (75.96% FF/UMEC/VI; 75.55% MITT; p=0.627). While higher percentages of patients with other nutritional, endocrine, and metabolic disorders (57.59% vs 55.35%; p=0.022), as well as with a history of mental health and substance abuse (45.37% vs 43.23%; p=0.028), were recorded in the FF/UMEC/VI initiators group, compared to the MITT initiators, more patients with hypertension (85.17% vs 83.76%; p=0.046) and other connective tissue disease (53.20% vs 50.77%; p=0.013) were recorded in the MITT initiators group, compared to the FF/UMEC/VI initiators. Maintenance and rescue medication use during baseline was similar between FF/UMEC/VI initiators and MITT initiators. Other common medications taken at baseline by MAPD beneficiaries were COPD-guideline-recommended antibiotics (74.15% FF/UMEC/VI; 73.72% MITT; p=0.616) and oral corticosteroids (64.90% FF/UMEC/VI; 63.24% MITT; p=0.076).

Baseline characteristics for the commercial population are reported in Supplementary Table 1.

## **COPD** Exacerbations

Baseline and follow-up COPD exacerbation data for MAPD beneficiaries are shown in Table 2. At follow-up, FF/UMEC/ VI initiators had a significantly lower annual rate (0.26 [SD 0.65] vs 0.29 [SD 0.69]; p=0.014), duration (7.44 [SD 21.51] vs 8.80 [SD 23.74]; p=0.002), and cost (\$3273.67 [SD \$10,863.79] vs \$4098.21 [SD \$15,612.64]; p<0.001) of severe exacerbations compared to MITT initiators. They also had a significantly lower average cost of any COPD exacerbation compared to MITT initiators (\$2453.56 [SD \$8988.67] vs \$3271.58 [SD \$14,080.11]; p<0.001), and a significantly lower risk of moderate exacerbation(s) after month 1 as compared to MITT initiators (p=0.009) (Supplementary Figure 1).

Results in the commercial population during follow-up were directionally consistent with those in the MAPD population, but the results were not statistically significant (<u>Supplementary Table 2</u> and <u>Supplementary Figure 2</u>).

# Adherence to Triple Therapy

MAPD FF/UMEC/VI initiators had a significantly higher mean PDC during follow-up (0.51 [SD 0.31] vs 0.37 [SD 0.29]; p<0.001), a significantly greater proportion of patients with PDC  $\geq 0.8$  (25.99% vs 13.07%; p<0.001), and a significantly lower proportion of patients discontinuing their index medication compared to MITT initiators (65.99% vs 82.16%; p<0.001) (Table 3).

	Baseline				Follow-Up		
	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust p-value
Any COPD exacerbation, <sup>a-c</sup> mean (SD)							
Annual rate of COPD exacerbation	1.22 (1.47)	1.18 (1.42)	2.85	0.181	1.01 (1.43)	1.01 (1.40)	0.832
Total duration (days) of COPD exacerbation(s)	24.51 (33.93)	24.35 (33.35)	0.48	0.824	20.03 (31.81)	20.68 (32.46)	0.314
Average cost of COPD exacerbation(s) (\$)	3359.40 (13,414.78)	3821.72 (12,185.29)	-3.61	0.095	2453.56 (8988.67)	3271.58 (14,080.11)	<0.001
≥I COPD exacerbation, <b>n (%)</b>	2808 (60.28)	6002 (60.96)	-1.40	0.473	2302 (49.41)	4986 (50.65)	0.208
Annual rate of COPD exacerbation among patients with ≥1 COPD exacerbation	2.02 (1.40)	1.93 (1.36)	6.53	0.020	2.05 (1.42)	1.99 (1.39)	0.131
Total duration (days) of COPD exacerbation(s) among patients with $\geq$ I COPD exacerbation	40.65 (35.41)	39.94 (34.67)	2.05	0.466	40.53 (34.89)	40.83 (35.46)	0.770
Average cost of COPD exacerbation(s) among patients with ≥1 COPD exacerbation (\$)	5573.12 (16,918.73)	6268.99 (15,107.42)	-4.34	0.120	4965.86 (12,291.56)	6459.68 (19,258.29)	<0.001
Severe COPD exacerbation, <sup>b</sup> mean (SD)							
Annual rate of severe COPD exacerbation	0.34 (0.71)	0.35 (0.70)	-0.61	0.786	0.26 (0.65)	0.29 (0.69)	0.014
Total duration (days) of severe COPD exacerbation(s)	9.54 (23.98)	10.25 (24.76)	-2.94	0.175	7.44 (21.51)	8.80 (23.74)	0.002
Average cost of severe COPD exacerbation(s) (\$)	4275.73 (14,835.73)	4693.37 (14,244.63)	-2.87	0.175	3273.67 (10,863.79)	4,098.21 (15,612.64)	<0.001
≥I severe COPD exacerbation, <b>n (%)</b>	1169 (25.10)	2521 (25.61)	-1.17	0.573	840 (18.03)	2002 (20.33)	0.005
Annual rate of severe COPD exacerbation among patients with ≥I severe COPD exacerbation	1.36 (0.80)	1.35 (0.73)	1.33	0.789	1.44 (0.80)	1.44 (0.83)	0.964
Total duration (days) of severe COPD exacerbation(s) among patients with $\geq$ I severe COPD exacerbation	38.00 (34.79)	40.04 (34.67)	-5.88	0.188	41.24 (34.24)	43.26 (35.81)	0.226
Average cost of severe COPD exacerbation(s) among patients with ≥I severe COPD exacerbation (\$)	17,034.33 (25,691.14)	18,326.69 (23,293.54)	-5.27	0.223	18,158.56 (19,614.04)	20,155.35 (29,588.45)	0.044
Moderate COPD exacerbation, <sup>c</sup> mean (SD)							
Annual rate of moderate COPD exacerbation	0.88 (1.21)	0.83 (1.20)	3.77	0.062	0.75 (1.18)	0.71 (1.13)	0.093
Total duration (days) of moderate COPD exacerbation(s)	14.97 (21.61)	14.09 (21.35)	4.08	0.045	12.59 (20.72)	11.88 (19.72)	0.087
Average cost of moderate COPD exacerbation(s) (\$)	237.81 (581.07)	244.59 (609.06)	-1.14	0.587	203.12 (614.70)	195.25 (510.23)	0.480

#### Table 2 Weighted COPD Exacerbations for MAPD Beneficiaries

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	Baseline				Follow-Up			
	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust p-value	
≥I moderate COPD exacerbation, <b>n (%)</b>	2284 (49.03)	4676 (47.50)	3.07	0.119	1962 (42.12)	4060 (41.24)	0.367	
Annual rate of moderate COPD exacerbation among patients with ≥1 moderate COPD exacerbation	1.79 (1.16)	1.76 (1.19)	3.22	0.272	1.79 (1.20)	1.73 (1.16)	0.124	
Total duration (days) of moderate COPD exacerbation(s) among patients with $\geq 1$ moderate COPD exacerbation	30.53 (21.85)	29.67 (22.30)	3.90	0.187	29.90 (22.40)	28.81 (21.35)	0.122	
Average cost of moderate COPD exacerbation(s) among patients with ≥1 moderate COPD exacerbation (\$)	485.02 (754.21)	514.95 (801.14)	-3.85	0.200	482.25 (873.34)	473.43 (706.83)	0.711	

Notes: Follow-up period does not include the index date. <sup>a</sup>Exacerbations are classified according to the highest severity contributing event. <sup>b</sup>Severe exacerbations are defined as a hospitalization with a primary diagnosis code of COPD. <sup>c</sup>Moderate exacerbations are defined as an emergency room, physician office, or hospital outpatient visit with a primary diagnosis code of COPD accompanied by an antibiotic or systemic corticosteroid dispensing within 5 days. **Abbreviations**: COPD, chronic obstructive pulmonary disease; FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; MAPD, Medicare Advantage with Part D; MITT, multiple-inhaler triple therapy; SD, standard deviation.

	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust p-value
PDC, <sup>a</sup> mean (SD)	0.51 (0.31)	0.37 (0.29)	<0.001
PDC ≥0.8, n (%)	1211 (25.99)	1287 (13.07)	<0.001
Discontinuation of index medication, <sup>b</sup> n (%)	3074 (65.99)	8089 (82.16)	<0.001

Table 3 Weighted Follow-Up Adherence	to Triple Therapy for MAPD Beneficiaries
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**Notes**: Follow-up period includes index pharmacy claims. <sup>a</sup>PDC defined as number of overlapping days on which medication was available divided by the number of days between the index date through the end of the follow-up period. <sup>b</sup>Time to discontinuation defined as time from the index date to treatment gap of  $\geq$ 60 days after the run out of days' supply of the last prescription filled prior to the gap in therapy. **Abbreviations**: FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; MAPD, Medicare Advantage with Part D; MITT, multiple-inhaler triple therapy; PDC, proportion of days covered; SD, standard deviation.

Results in the commercial population during follow-up were consistent with those in the MAPD population and were statistically significant (Supplementary Table 3).

### HCRU

#### All-Cause HCRU

Baseline and follow-up all-cause HCRU data for MAPD beneficiaries are shown in Table 4. FF/UMEC/VI initiators had a significantly lower proportion of all-cause outpatient (OP) (86.05% vs 87.83%; p=0.006), all-cause emergency room (ER) (52.77% vs 54.88%; p=0.031), and all-cause inpatient (IP) stays (32.02% vs 34.27%; p=0.017) compared to MITT initiators during follow-up. However, FF/UMEC/VI initiators had a significantly higher proportion of patients with all-cause office visits compared to MITT initiators during follow-up (98.73% vs 97.49%; p<0.001).

	Baseline			Follow-Up			
	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust p-value
All-cause HCRU, n (%)							
Ambulatory visits	4647 (99.75)	9816 (99.70)	0.80	0.777	4649 (99.78)	9809 (99.64)	0.184
Office visits	4563 (97.94)	9625 (97.76)	1.23	0.649	4600 (98.73)	9598 (97.49)	<0.001
Outpatient visits	3990 (85.65)	8443 (85.76)	-0.32	0.866	4009 (86.05)	8647 (87.83)	0.006
Emergency room visits	2641 (56.68)	5749 (58.39)	-3.46	0.076	2459 (52.77)	5403 (54.88)	0.031
Inpatient stays	1767 (37.93)	3663 (37.20)	1.50	0.457	1492 (32.02)	3374 (34.27)	0.017
Pharmacy use	4656 (99.94)	9835 (99.90)	1.37	0.425	4659 (100)	9845 (100)	_
All-cause HCRU counts,	, mean (SD)						
Ambulatory visits	33.30 (30.76)	33.07 (29.61)	0.76	0.727	35.42 (33.31)	37.59 (34.50)	0.002
Office visits	18.36 (14.55)	17.82 (14.44)	3.69	0.065	18.29 (14.56)	18.42 (15.41)	0.676
Outpatient visits	15.01 (25.15)	15.31 (23.99)	-1.24	0.590	17.20 (27.92)	19.23 (28.76)	0.001
Emergency room visits	1.81 (3.58)	1.95 (4.07)	-3.65	0.077	1.99 (5.15)	2.10 (5.36)	0.338
Inpatient stays	0.64 (1.19)	0.63 (1.12)	0.63	0.785	0.58 (1.17)	0.63 (1.22)	0.036
Inpatient days <sup>a</sup>					16.20 (21.60)	18.63 (25.66)	0.003
Pharmacy fills	58.10 (41.56)	61.97 (45.63)	-8.85	<0.001	61.12 (44.15)	70.05 (49.97)	<0.001

Table 4 Weighted All-Cause HCRU for MAPD Beneficiaries

Notes: Follow-up period does not include the index date.  ${}^{\mathrm{a}}$ Subset of patients with an inpatient stay.

Abbreviations: FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; HCRU, health care resource utilization; MAPD, Medicare Advantage with Part D; MITT, multipleinhaler triple therapy; SD, standard deviation. Results in the commercial population were directionally consistent with those in the MAPD population for the proportion of patients with all-cause OP visits, all-cause ER visits, and all-cause IP stays, although the results were not statistically significant (Supplementary Table 4).

### COPD-Related HCRU

MAPD FF/UMEC/VI initiators had a significantly lower average proportion of patients with COPD-related IP stays during follow-up compared to MITT initiators (16.09% vs 17.72%; p=0.037) (Table 5). There were no significant differences in the proportion of patients with COPD-related office visits, COPD-related OP visits, COPD-related ER visits, or COPD-related pharmacy use between MITT initiators and FF/UMEC/VI initiators.

Results in the commercial population were directionally consistent with those in the MAPD population for the proportion of patients with COPD-related IP stays during follow-up, although the results were not significant (<u>Supplementary Table 5</u>).

### HCRU Costs

#### All-Cause HCRU Costs

All-cause total (medical and pharmacy) costs were \$4096.20 lower for MAPD FF/UMEC/VI initiators (\$29,012.99 [SD \$35,224.09] vs \$33,109.19 [SD \$40,942.14]; p<0.001) compared to MITT initiators (<u>Supplementary Table 6</u>). Medical, ambulatory, OP, IP stay, and pharmacy costs were also significantly lower for MAPD FF/UMEC/VI initiators.

Results in the commercial population were directionally consistent with those in the MAPD population, but not statistically significant (Supplementary Table 7).

	Baseline						
	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust Standardized Difference (%)	Robust p-value	FF/UMEC/VI (N=4659)	MITT (N=9845)	Robust p-value
COPD-related utilizatio	n, n (%)						
Ambulatory visits	3694 (79.29)	7715 (78.37)	2.25	0.276	3669 (78.76)	7674 (77.95)	0.327
Office visits	3260 (69.98)	6799 (69.06)	2.00	0.333	3245 (69.65)	6679 (67.84)	0.053
Outpatient visits	1685 (36.17)	3522 (35.78)	0.82	0.679	1750 (37.56)	3812 (38.72)	0.232
Emergency room visits	1136 (24.39)	2447 (24.86)	-1.09	0.592	915 (19.65)	2000 (20.32)	0.410
Inpatient stays	950 (20.39)	2082 (21.15)	-1.87	0.368	750 (16.09)	1744 (17.72)	0.037
Pharmacy use	4440 (95.31)	9380 (95.28)	0.13	0.938	4659 (100.00)	9845 (100.00)	-
COPD-related counts, r	nean (SD)						
Ambulatory visits	4.53 (8.38)	4.19 (7.66)	4.28	0.043	5.04 (9.52)	5.38 (10.51)	0.098
Office visits	2.37 (3.17)	2.16 (2.68)	7.11	<0.001	2.25 (2.95)	2.21 (3.19)	0.576
Outpatient visits	2.17 (7.48)	2.03 (6.79)	1.89	0.377	2.80 (8.67)	3.17 (9.63)	0.045
Emergency room visits	0.51 (1.36)	0.52 (1.50)	-0.75	0.711	0.43 (1.31)	0.45 (1.47)	0.467
Inpatient stays	0.29 (0.75)	0.29 (0.70)	-0.82	0.703	0.24 (0.67)	0.27 (0.75)	0.009
Inpatient days <sup>a</sup>	2.84 (10.51)	3.58 (14.55)	-5.81	0.003	17.30 (22.71)	20.10 (25.85)	0.016
Pharmacy fills	9.56 (8.26)	9.58 (8.56)	-0.26	0.897	11.88 (8.76)	16.02 (10.70)	<0.001

Table 5 Weighted COPD-Related HCRU for MAPD Beneficiaries

Notes: Follow-up period does not include the index date. <sup>a</sup>Subset of patients with an inpatient stay.

Abbreviations: COPD, chronic obstructive pulmonary disease; FF/UMEC/VI, fluticasone furoate/umeclidinium/vilanterol; HCRU, health care resource utilization; MAPD, Medicare Advantage with Part D; MITT, multiple-inhaler triple therapy; SD, standard deviation.

#### **COPD-Related** Costs

COPD-related total (medical and pharmacy) costs were \$2171.41 lower for MAPD FF/UMEC/VI initiators (\$9338.83 [SD \$15,486.81] vs \$11,510.24 [SD \$17,840.57]; p<0.001) compared to MITT initiators (<u>Supplementary Table 8</u>). Medical, ambulatory, OP, IP stay, and pharmacy costs were also significantly lower for MAPD FF/UMEC/VI initiators.

Commercial FF/UMEC/VI initiators had significantly lower mean COPD-related pharmacy costs compared to MITT initiators (\$5400.43 [SD \$2637.17] vs \$6216.55 [SD \$3662.06]; p<0.001). The remaining commercial results were directionally consistent with the MAPD results, but not statistically significant (Supplementary Table 9).

### Discussion

This study focused on a population of MAPD beneficiaries as well as on a population of commercially insured patients diagnosed with COPD who initiated FF/UMEC/VI or MITT between September 1, 2017, and March 31, 2019.

For the MAPD beneficiaries, a lower average count of patients with severe COPD exacerbation, fewer patients discontinuing their index medication, and a higher proportion of patients with a PDC  $\geq 0.80$  for FF/UMEC/VI initiators were observed when compared to MITT initiators. Also, there were significant differences in all-cause HCRU between FF/UMEC/VI and MITT initiators, with the latter having a smaller proportion of patients with office visits but a greater proportion of patients with OP visits, ER visits, and IP stays. Higher percentages of patients with other nutritional, endocrine, and metabolic disorders, as well as with a history of mental health and substance abuse, were observed for FF/UMEC/VI initiators compared to MITT initiators. That may account for the increased percentage of patients with all-cause office visits in the FF/UMEC/VI initiators group, which did not occur for the COPD-related HCRU. This was generally consistent for COPD-related HCRU as well, although the differences for office visits, OP visits, and ER visits were not statistically significant. Moreover, FF/UMEC/VI initiators had significantly lower all-cause costs compared to MITT initiators for most measured categories. The exceptions were ER costs, office visit costs, and other medical costs, as well as two greater COPD-related HCRU costs, namely the ER visits and other medical costs.

For the commercially insured patients, the FF/UMEC/VI initiators did not have any significant differences in COPD exacerbations (any, severe, or moderate) in terms of the proportion of patients with  $\geq 1$  exacerbation, annual rate per patient, duration, cost, or time to exacerbation when compared to MITT initiators. The reason for the lack of significance in the commercially insured population compared to the MAPD population could potentially be that FF/UMEC/VI initiators in the MAPD population presented more opportunity for improvement compared to the commercial FF/UMEC/VI initiators at baseline, as they were older (71.81 years vs 61.74 years), had higher any and severe baseline annual exacerbation rates (any: 60.28% vs 55.43%; severe: 25.10% vs 15.78%), and had higher percentages of patients with hypertension (83.76% vs 65.36%), diseases of the heart (71.91% vs 58.40%) and diabetes mellitus without complications (47.80% vs 34.80%). FF/UMEC/VI initiators experienced a greater mean PDC, a higher proportion of patients with a PDC  $\geq$ 0.80, and fewer patients discontinuing their index medication compared to MITT initiators. Also, the average count of all-cause OP visits was lower in FF/UMEC/VI initiators compared to MITT initiators for all-cause HCRU. For COPD-related HCRU, FF/UMEC/VI initiators had a lower proportion of patients with a COPD-related OP visit compared to MITT initiators and fewer COPD-related pharmacy fills. The latter translated into significantly lower COPD-related pharmacy costs in FF/UMEC/VI initiators compared to MITT initiators. There were no other significant differences in all-cause or COPD-related costs for commercially insured patients.

The results of this study should be interpreted with the following study limitations in mind. To exclude data during the COVID-19 pandemic in the US, the data included in this study were less contemporary; thus, the patient identification period ended on March 31, 2019, to allow for 12 months of post-index continuous follow-up through March 31, 2020, and the FF/UMEC/VI population included patients who initiated treatment within approximately 18 months of the approval. Consequently, FF/UMEC/VI initiators were relatively early adopters of this therapy who may utilize health care resources differently and may not represent the broader FF/UMEC/VI population. Also, patients were required to be continuously enrolled with medical and pharmacy coverage for 12 months following the index date; this criterion may introduce survival bias by sub-setting to a healthier population of triple therapy initiators who survived for 12 months following treatment initiation. Moreover, the differences between the commercial and MAPD results are likely due to the

smaller sample size in the commercial population leading to some analyses being underpowered. Furthermore, the observable increased rates of medication adherence among patients receiving FF/UMEC/VI versus MITT may be due to patients only needing to pay for one medication rather than multiple medications, thus being the more cost-effective option. Nevertheless, the adherence results of this study are consistent with previous literature.<sup>14</sup> Lastly, one of the outcomes of interest in this study is adherence, as measured by PDC. There is a lack of consensus on the most appropriate adherence calculation when multiple medications are included in the line of therapy. In this study, a conservative estimate of PDC was calculated by requiring the patient to have all three active ingredients on hand to be considered treated. While this resulted in a lower PDC calculation, it more accurately reflects the number of days that a patient was being treated with all three active ingredients.

## Conclusions

In this real-world setting, MAPD beneficiaries initiating once-daily SITT with FF/UMEC/VI had fewer severe exacerbations, higher triple therapy adherence, and lower HCRU and HCRU costs compared to those initiating MITT. The commercial results mainly mirrored the results in the MAPD population, although in general, the results were not statistically significant.

## **Abbreviations**

COPD, chronic obstructive pulmonary disease; ER, emergency room; FF/UMEC/VI, fluticasone furoate/umeclidinium/ vilanterol; HCRU, health care resource utilization; ICS, inhaled corticosteroid; IP, inpatient; LABA, long-acting betaagonist; LAMA, long-acting muscarinic antagonist; MAPD, Medicare Advantage with Part D; MITT, multiple-inhaler triple therapy; OP, outpatient; ORD, Optum Research Database; PDC, proportion of days covered; SABA, short-acting beta-agonist; SAMA, short-acting muscarinic antagonist; SD, standard deviation; SITT, single-inhaler triple therapy; US, United States.

## **Data Sharing Statement**

The datasets generated during and/or analyzed during the current study are contained in a database owned by Optum<sup>®</sup> and are not publicly available and therefore cannot be broadly disclosed or made publicly available at this time. The disclosure of these data to third-party clients assumes certain data security and privacy protocols are in place, and that the third-party client has executed Optum's standard license agreement which includes restrictive covenants governing the use of the data.

# **Ethics Approval and Informed Consent**

As this was an analysis of claims data, institutional review board (IRB) approval was not required. Per Title 45 of CFR, Part 46 (<u>https://www.hhs.gov/ohrp/regulations-and-policy/regulations/45-cfr-46/index.html</u>), the administrative claims data analysis of our study was exempt from the IRB review as it was a retrospective analysis of existing data (hence no patient intervention or interaction), and no patient-identifiable information was included in the claims dataset.

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Therapy (MITT) Among Medicare Advantage with Part D Beneficiaries with Chronic Obstructive Pulmonary Disease (COPD). B39. COPD MANAGEMENT: FROM PHARMACOLOGIC TREATMENT TO NOVEL THERAPIES.

### **Author Contributions**

All authors made a significant contribution to the work reported, whether that was in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all of these areas; took part in drafting, revising, or critically reviewing the manuscript; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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### Disclosure

MB was an employee of GSK and held stock/shares in GSK at the time of the study conduct. KKD is an employee of GSK and holds stock/shares in GSK. LGSB, MGJ, NNG, and SHB are/were employees of Optum, which received research funds from GSK to conduct this study. Current affiliation details for MB: Gilead Sciences, Foster City, CA, USA. Current affiliation details for LGSB: Boehringer Ingelheim Pharmaceuticals, Inc., Ridgefield, CT, USA (Boehringer Ingelheim has no connection to this study). Current affiliation details for SHB: Genesis Research, Hoboken, NJ, USA.

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