



## ORIGINAL ARTICLE

# Short-term mortality in end-stage heart failure patients



Jose Maria Verdú-Rotellar<sup>a,b,c</sup>, Esther Calero<sup>b,e,g</sup>, Rosa Abellana<sup>b,d</sup>, José Verdú-Soriano<sup>e</sup>, Ernest Vinyoles<sup>a,b,d</sup>, José Luis del Val-García<sup>a,b</sup>, Mar Domingo<sup>f</sup>, Miguel-Angel Muñoz<sup>a,b,c,\*</sup>

<sup>a</sup> Gerencia Atención Primaria de Barcelona, Institut Català de la Salut, Barcelona, Spain

<sup>b</sup> Primary Health Care University Research Institute Jordi Gol (IDIAPJGol), Barcelona, Spain

<sup>c</sup> School of Medicine, Universitat Autònoma de Barcelona, Bellaterra, Spain

<sup>d</sup> School of Medicine, Universitat de Barcelona, Barcelona, Spain

<sup>e</sup> Community Nursing, Preventive Medicine, Public Health and History of Science Department, Faculty of Health Sciences, University of Alicante, Spain

<sup>f</sup> Heart Failure Unit, Hospital Universitari Germans Trias i Pujol, Institut Català de la Salut, Badalona, Spain

<sup>g</sup> Hospital Universitari de Bellvitge, Institut Català de la Salut, L'Hospitalet de Llobregat, Barcelona, Spain

Available online 10 January 2020

### KEYWORDS

Heart failure;  
End of life;  
Epidemiology;  
Prognostic factors

### Abstract

**Objectives:** This study is aimed at analyzing the impact of the main factors contributing to short and long-term mortality in patients at final stages of heart failure (HF).

**Setting:** Patients attended at any of the 279 primary health care centers belonging to the Institut Català de la Salut, in Catalonia (Spain).

**Participants:** Patients with Advanced HF.

**Design:** Multicenter cohort study including 1148 HF patients followed for one-year after reaching New York Heart Association (NYHA) IV.

**Main measurements:** The primary outcome was all-cause mortality. Multivariate logistic regression models were performed to assess the outcomes at 1, 3, 6, and 12 months.

**Results:** Mean age of patients was 82 (SD 9) years and women represented 61.7%. A total of 135 (11.8%) and 397 (34.6%) patients died three months and one year after inclusion, respectively. Male gender, age, and decreased body mass index were associated with higher mortality at three, six and twelve months. In addition, low systolic blood pressure levels, severe reduction in glomerular filtration, malignancy, and higher doses of loop diuretics were related to higher mortality from 6 to 12 months.

The most important risk factor over the whole period was presenting a body mass index lower than 20 kg/m<sup>2</sup> (three months OR 3.06, 95% CI: 1.58–5.92; six months OR 4.42, 95% CI: 2.08–9.38; and 12 months OR 3.68, 95% CI: 1.76–7.69).

\* Corresponding author.

E-mail address: [mamunoz.bcn.ics@gencat.cat](mailto:mamunoz.bcn.ics@gencat.cat) (M.-A. Muñoz).

**PALABRAS CLAVE**

Insuficiencia  
cardíaca;  
Fin de vida;  
Epidemiología;  
Factores pronósticos

**Conclusions:** We may conclude that male, age, and decreased body mass index determined higher short-term mortality in NYHA IV. In addition, low systolic blood pressure, reduced glomerular filtration, malignancy, and higher doses of loop diuretics contribute to increasing the risk of mortality at medium and long-term. Such variables are easily measurable and can help to decide the best way to face the most advanced stages of the disease.

© 2019 The Authors. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

## Mortalidad a corto plazo en los pacientes con insuficiencia cardíaca en estadios finales

### Resumen

**Objetivos:** Analizar los factores que contribuyen a la mortalidad de pacientes en las etapas finales de la insuficiencia cardíaca (IC).

**Ámbito:** Centros de atención primaria del Institut Català de la Salut, Cataluña, España.

**Participantes:** Pacientes con IC avanzada.

**Diseño:** Estudio de cohortes multicéntrico. Incluyó 1.148 pacientes de IC seguidos durante un año tras el registro de estadio funcional NYHA IV.

**Mediciones principales:** El resultado principal fue la mortalidad por todas las causas. Se realizaron modelos de regresión logística multivariada (1, 3, 6 y 12 meses).

**Resultados:** Edad media 82 años (DE 9), las mujeres representaron el 61,7%. Un total de 135 (11,8%) y 397 (34,6%) pacientes murieron 3 meses y un año después de su inclusión. El sexo masculino, la edad y el índice de masa corporal (IMC)  $< 20 \text{ kg/m}^2$  se asociaron con una mayor mortalidad a los 3, 6 y 12 meses. Bajos niveles de presión arterial sistólica, reducción severa en el filtrado glomerular, malignidad y dosis altas de diuréticos fueron relacionadas con una mortalidad más alta de 6 a 12 meses.

El factor de riesgo más importante fue un IMC  $< 20 \text{ kg/m}^2$  (3 meses OR: 3,06; IC 95%: 1,58-5,92; 6 meses OR: 4,42; IC 95%: 2,08-9,38 y 12 meses OR: 3,68; IC 95%: 1,76-7,69).

**Conclusiones:** Los varones, la edad avanzada y un IMC disminuido determinaron una mortalidad a corto plazo más alta en pacientes NYHA IV. La baja presión arterial sistólica, la reducción del filtrado glomerular, la malignidad y las dosis altas de diuréticos aumentan el riesgo de mortalidad a medio y largo plazo. Estas variables son fáciles de obtener, y pueden ayudar a decidir las mejores estrategias para afrontar los estadios más avanzados de la enfermedad.

© 2019 Los Autores. Publicado por Elsevier España, S.L.U. Este es un artículo Open Access bajo la licencia CC BY-NC-ND (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

## Introduction

Heart failure (HF) affects more than 10% of those aged over 70 years, and in individuals over 65 it is the major reason for hospitalization and the third leading cause of death.<sup>1,2</sup> The clinical course of HF is progressive and eventually results in advanced stages leading to transplantation or death.<sup>3</sup>

Although HF symptoms, burden, and mortality are similar to the most prevalent cancers,<sup>2,3</sup> little has been published regarding the final stages of advanced HF patients managed mainly in primary care setting. Due to their particular characteristics, such as old age and comorbidities, most of these patients are not candidates for transplantation or implantable devices, and palliative measures are commonly needed. Accurate knowledge regarding the factors related to a curtailed life expectancy would guide health professionals, together with patients and families, in deciding where and when to provide treatment, as well as how to carry out the appropriate palliative and comfort measures.<sup>4,5</sup> At these final stages, the relevance of such issues might

fluctuate rapidly as the patient's condition becomes increasingly deteriorated.

There are no specifically designed models to describe the prognosis of terminal HF patients, consequently, some of them underestimate the risk of dying, and often include a number of variables not easily obtained in general practice. Such models do not thus properly represent most of the advanced HF population attended in primary care.<sup>6-9</sup>

The aim of our study was to identify variables accessible in general practice which can determine the impact of the main prognostic factors contributing to mortality at short and long-term in patients at final stages of HF.

## Methods

We carried out a multicenter cohort study based on information from the SIDIAP database (Information System for the Development of Research in Primary Care System). This database contains the daily clinical activities registered by primary care nurses and family physicians. It includes

information from over five million patients' electronic records (EMR).<sup>10</sup>

## Patient population

Study population were patients older than 44 years registered as having HF (International Classification Diseases 10th version: I.50) at stage IV of the New York Heart Association (NYHA) on 31st December, 2013. To be included in the analysis, patients must have been attended at least once during the study period at any of the 279 primary health care centers.

Patients were included when they were registered as having NYHA IV in the patient's EMR in the period between 1st January, 2010, and 31st December, 2013. All patients had a follow-up for at least one year or until they died during this period.

Variables included in the analysis were: socio demographic (gender, age), cardiovascular risk factors and comorbidities (hypertension, diabetes, coronary heart disease, stroke, and atrial fibrillation), other comorbidities (chronic renal disease, chronic pulmonary disease, and cancer), laboratory tests (sodium, potassium, creatinine, and hemoglobin), considering anemia (hemoglobin <13 g/dL in men and 12 g/dL in women, and Hyponatremia if Na <140 mmol/L, daily living activities assessment, and treatment related to HF (beta-blockers (BB), angiotensin-converting enzyme inhibitors (ACEi), angiotensin receptor blockers (ARB), mineral corticoid antagonists (MRA), and loop diuretics). In order to perform the analysis ACEi and ARB were grouped together.

Blood pressure, body mass index, and heart rate were categorized as hypotension (systolic blood pressure (SBP) <90 mmHg), low body mass index (<20 kg/m<sup>2</sup>), and high heart rate (>100 b/min), respectively.

The main outcome was all-cause mortality (at one, three, six, and twelve months) after NYHA IV registration in EMR.

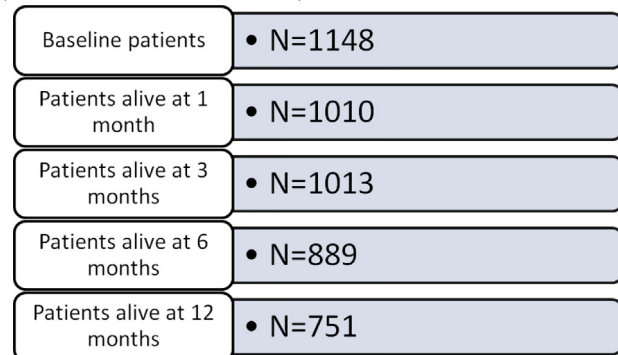
## Statistical analysis

Continuous variables were expressed as mean and standard deviation while categorical variables were described as total number and percentages. Univariate analysis between patients' characteristics (socio-demographic, comorbidities, clinical and analytic information, daily living activities, and medical treatment related to HF) and mortality outcome were performed using student's *t* test and chi-square test or Fisher's exact test as appropriate. The unadjusted odds ratio was also computed. Multivariate logistic regression analyses were performed to analyze the association of mortality (at one, three, six, and twelve months after reaching the NYHA IV stage of HF) and the patients' characteristics. A multiple imputation with the predictive mean matching method was carried out to deal with the missing values of the variables considered in the multivariate model. To generate the imputations all the patients' information was taken into account. Twenty imputations were generated and the values combined using Rubin's rules.<sup>11</sup> The significance level was fixed at 0.05. Analysis was performed using R software for Windows version 3.3.2 (R project for statistical computing;

Vienna, Austria). The multiple imputations were carried out with the *aregImpute* function in the *Hmisc* package.

## Ethics

All ethical aspects were taken into consideration. The study protocol was approved by the local Clinical Ethic Committee (reference number P13/052).



General outline of the study: Number of survival patients along the study

## Results

### Descriptive analysis

Baseline characteristics of patients are shown in Table 1. Among the 1148 patients, women represented 61.7%, mean age was 82 (SD 9) years, and 82.8% were more than 75 years old. The most prevalent cardiovascular comorbidities at baseline were hypertension (79.2%), atrial fibrillation (46.7%), and diabetes (42.2%). One third of the patients had a history of coronary heart disease and 14.3% had had a previous stroke. Among the non-cardiovascular comorbidities, the most frequent was chronic kidney disease (34.1%). The most commonly prescribed medication were ACEi/ARB (66.6%) followed by BB (45%), and MRA (26.5%).

Women were more commonly older, hypertensive, and had atrial fibrillation whilst men suffered more frequently from coronary heart disease, chronic obstructive pulmonary disease, and cancer. Mineral corticoid receptors antagonist were more frequently prescribed in men and no differences were found regarding the rest of the medication.

Fig. 1 depicts the evolution in mortality during the first year after reaching NYHA IV. It can be observed that the slope of the curve was higher in the first six months.

### Univariate analysis

During the first year mortality constantly increased (4.2% at 1 month, 11.8% at 3 months, 22.6% at 6 months, and 34.6% at 12 months, respectively).

Cardiovascular comorbidity did not significantly affect mortality at any time during the first year after reaching NYHA IV. Only age and hyponatremia were related to higher mortality at very early stages (one month). From the sixth month onwards, male gender, age, high dependency on daily activities, cancer, hyponatremia, chronic renal disease, low SBP, and decreased body mass index were associated with a higher risk of mortality. Reduced levels of hemoglobin and

**Table 1** Baseline characteristics of heart failure patients.

	Total N = 1148	Women N = 708	Men N = 440	p Value
<b>Age (%)</b>				
<50	3 (0.26)	2 (0.28)	1 (0.23)	<0.001
50 to 74	195 (17.0)	90 (12.7)	105 (23.9)	
≥75	950 (82.8)	616 (87.0)	334 (75.9)	
Age (years)	81.6 (8.87)	83.1 (8.42)	79.2 (9.04)	<0.001
<b>Smoking habit (%)</b>				
Non-smoker	838 (74.2)	648 (93.4)	190 (43.7)	<0.001
Active smoker	41 (3.63)	8 (1.15)	33 (7.59)	
Former smoker	250 (22.1)	38 (5.48)	212 (48.7)	
Barthel <20 (1 to 100) (%)	90 (12.3)	67 (14.5)	23 (8.46)	0.022
<b>Comorbidity</b>				
Hypertension (%)	909 (79.2)	594 (83.9)	315 (71.6)	<0.001
Diabetes (%)	485 (42.2)	286 (40.4)	199 (45.2)	0.121
Coronary heart disease (%)	375 (32.7)	184 (26.0)	191 (43.4)	<0.001
Stroke (%)	164 (14.3)	91 (12.9)	73 (16.6)	0.094
Atrial fibrillation (%)	536 (46.7)	356 (50.3)	180 (40.9)	0.002
Chronic renal disease (%)	391 (34.1)	253 (35.7)	138 (31.4)	0.146
Chronic pulmonary disease (%)	378 (32.9)	145 (20.5)	233 (53.0)	<0.001
Cancer (%)	216 (18.8)	114 (16.1)	102 (23.2)	0.004
<b>Clinical variables</b>				
Systolic blood pressure ≤90 mmHg (%)	37 (3.46)	18 (2.71)	19 (4.67)	0.127
Body mass index <20 kg/m <sup>2</sup> (%)	36 (4.21)	19 (3.75)	17 (4.87)	0.532
Heart rate > 100 min <sup>-1</sup> (%)	55 (5.41)	33 (5.25)	22 (5.67)	0.887
Charlson ≥ 6 (%)	155 (13.5)	77 (10.9)	78 (17.7)	0.001
Glomerular filtration <30 mL/min (%)	97 (11.9)	63 (12.4)	34 (11.1)	0.675
Anemia (<13 g/dL in men and <12 g/dL in women)	421 (53.8)	245 (49.9)	176 (60.3)	0.006
Hyponatremia (<140 mmol/L)	234 (30.7)	143 (29.7)	91 (32.4)	0.493
<b>Laboratory tests</b>				
Sodium (mg/dL)	141 (3.62)	141 (3.61)	141 (3.63)	0.337
Potassium (mg/dL)	4.53 (0.55)	4.50 (0.53)	4.57 (0.58)	0.113
Hemoglobin (g/dL)	12.2 (1.80)	12.0 (1.68)	12.5 (1.94)	<0.001
Creatinine (mg/dL)	1.24 (0.60)	1.15 (0.51)	1.39 (0.71)	<0.001
<b>Medication</b>				
ACEi/ARB* (%)	758 (66.0)	478 (67.5)	280 (63.6)	0.199
Beta blockers (%)	517 (45.0)	325 (45.9)	192 (43.6)	0.490
MRAs <sup>†</sup> (%)	304 (26.5)	162 (22.9)	142 (32.3)	0.001
Doses of loop diuretics (mg)	55.7 (44.3)	54.5 (42.5)	57.8 (47.1)	0.243

Values are given as mean (standard deviation) or frequency (percentage).

\* ACEi/ARB indicates angiotensin converting enzyme inhibitor/Angiotensin II receptor blockers.

† MRAs, Mineralocorticoid Receptor Antagonists.

raised loop diuretic doses were related to mortality at six and twelve months (Table 2).

### Multivariate analysis

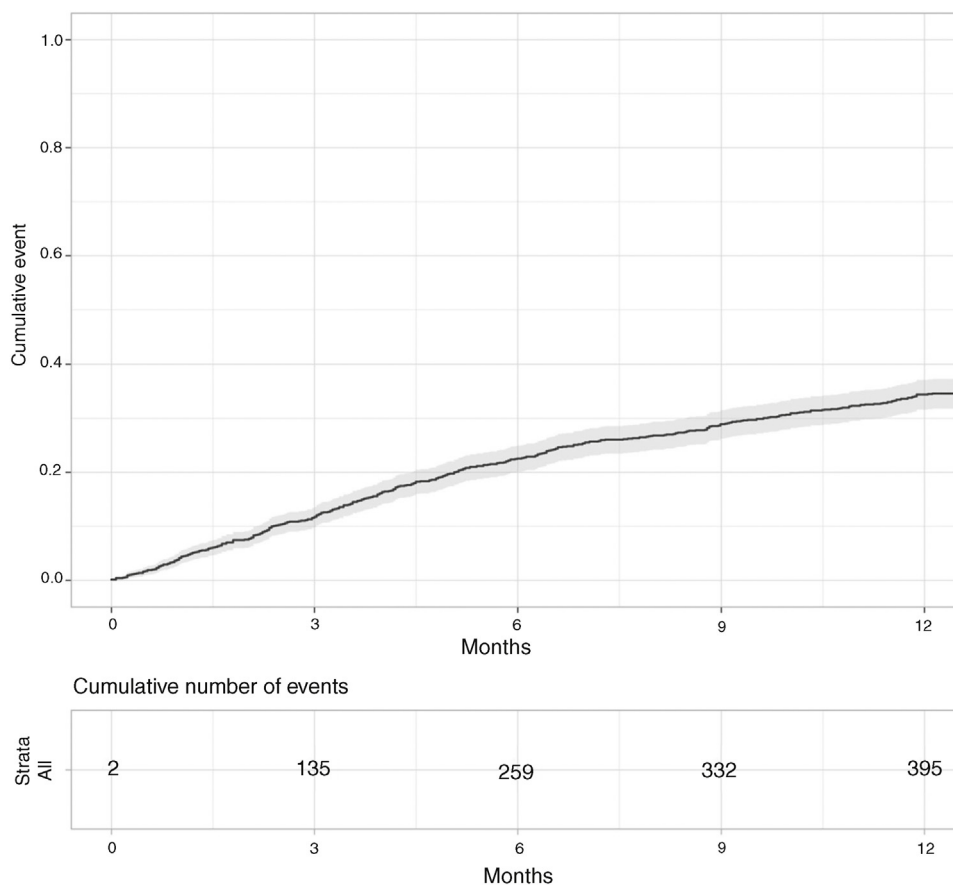
Some variables had missing values, especially the Barthel index (36%), hemoglobin levels (32%), and glomerular filtration rate (29%). We present the final adjusted multivariate models after performing multiple missing imputation.

In the first month the prescription of ACE inhibitors or ARB resulted in a protective effect (OR 0.46 95% CI 0.26–0.84). This effect remained along the first year but was not statistically significant from the third month onwards (Fig. 2).

Three months after reaching NYHA IV the main factors related to higher mortality were male gender, increased age, and reduced body mass index. Six months after reaching NYHA IV the effect of the former variables remained significant and other variables presented statistical significance in the multivariate model, such as reduced glomerular filtration, low SBP, cancer, and the loop diuretic doses used to manage HF.

### Discussion

Our study, based on real world data from clinical records, adds information to previous evidence about HF prognosis.



**Figure 1** Evolution in the cumulative incidence of mortality during the first year after reaching New York Heart Association IV (N = 1148).

It describes the evolution and impact short-term, and at one year, of the main prognostic factors after reaching NYHA IV, and is specifically focused on stable, advanced HF patients managed in primary care. At three months, only age, male gender, and reduced body mass index resulted in higher mortality. At six and 12 months these factors were still related to higher mortality with the addition of decreased glomerular filtration, low SBP, cancer, and higher doses of furosemide.

A number of scores have been developed to predict the prognosis of HF patients, in most of them, however, survival was analyzed at long-term (one year or more), participants were recruited in hospitals, the proportion of NYHA IV was lower than 10%, and many findings were based on variables generally complicated to obtain in non-hospital settings.<sup>12-15</sup> With respect to mortality, the PRAISE study was conducted in patients with NYHA IIIb and IV and showed an annual rate of 11%. The CHARM study included a sample of 173 patients with NYHA IV and observed a figure of 29%, which was closer to our findings.<sup>16,17</sup> Ambardekar et al. also reported a high rate of mortality after a 16-month follow-up in a cohort of ambulatory advanced HF patients who were not eligible for transplantation/left ventricular assisted device.<sup>18</sup> Currently, morbidity and mortality for individuals with advanced HF continues to be elevated and comorbidity common, since most patients are elderly, as previously described.<sup>19</sup>

### Comparative analysis of mortality determinants

Regarding gender, it has already been argued that women present higher comorbidity (with the exception of coronary heart disease such as HF) and symptom severity than men, but better survival. It is plausible to think that this could have influenced prognosis, nevertheless, it does not completely explain differences in mortality between genders.<sup>20</sup>

Patients with SBP levels lower than 90 mmHg had a more than two-fold risk of death in comparison with the others, as previously observed by Vidán et al. They justified this result by the fact that patients with higher SBP on hospital admission were younger, healthier, and received more aggressive treatment. In addition, the pathophysiology might differ in patients with HF and higher blood pressure.<sup>21</sup> Similar results were also reported in advanced chronic systolic HF patients although the SBP cut-off was 120 mm Hg. The authors hypothesized that their findings could be related to a greater incidence of ischemic heart disease in patients with lower blood pressure.<sup>22</sup> In our study such an effect on mortality was found to be independent of age, treatment, and comorbidity. The latest version of the European Society of Cardiology Clinical Practice Guidelines includes hypotension as a factor of poor ischemic cardiomyopathy prognosis and proposes these patients be candidates for the implantation of assistive devices.<sup>23</sup>

**Table 2** Risk factors related to mortality at 1, 3, 6, and 12 months.

	N	Mortality 1 month		Mortality 3 months		Mortality 6 months		Mortality 1 year	
		N (%)	p Value	N (%)	p Value	N (%)	p Value	N (%)	p Value
<i>Sex</i>			0.738		0.043		0.055		0.002
Women	708	28 (3.95)		72 (10.2)		146 (20.6)		220 (31.1)	
Men	440	20 (4.55)		63 (14.3)		113 (25.7)		177 (40.2)	
<i>Age (1 year)</i>	1148	84.3 (8.95)	0.039	84.8 (8.19)	<0.001	84.3 (8.25)	<0.001	83.7 (8.35)	<0.001
<i>Age (two categories)</i>									
45–74 years	198	6 (3.0)	0.048	13 (6.5)	0.018	26 (13.1)	0.006	47 (23.7)	0.001
≥75 years	950	42 (4.4)		122 (12.8)		233 (24.5)		350 (36.8)	
<i>Smoking habit</i>			0.837		0.156		0.469		0.935
Non-smoker	838	35 (4.2)		101 (12.1)		194 (23.2)		292 (34.8)	
Active smoker	41	1 (2.4)		1 (2.44)		7 (17.1)		14 (34.1)	
Former smoker	250	12 (4.8)		31 (12.4)		51 (20.4)		84 (33.6)	
<i>Comorbidity (%)</i>									
Barthel index			0.070		0.194		0.012		0.032
≥20	644	28 (4.3)		85 (13.2)		159 (24.7)		242 (37.6)	
<20	90	8 (8.9)		17 (18.9)		34 (37.8)		45 (50.0)	
<i>Hypertension</i>			0.584		0.589		0.426		0.176
No	239	12 (5.0)		31 (13.0)		59 (24.7)		92 (38.5)	
Yes	909	36 (3.9)		104 (11.4)		200 (22.0)		305 (33.6)	
<i>Diabetes</i>			0.259		0.032		0.323		0.247
No	663	32 (4.8)		90 (13.6)		157 (23.7)		239 (36.0)	
Yes	485	16 (3.3)		45 (9.28)		102 (21.0)		158 (32.6)	
<i>Coronary heart disease</i>			0.955		0.755		0.640		0.876
No	773	33 (4.3)		93 (12.0)		178 (23.0)		269 (34.8)	
Yes	375	15 (4.0)		42 (11.2)		81 (21.6)		128 (34.1)	
<i>Stroke</i>			0.125		0.751		0.920		1.000
No	984	37 (3.7)		114 (11.6)		221 (22.5)		340 (34.6)	
Yes	164	11 (6.7)		21 (12.8)		38 (23.2)		57 (34.8)	
<i>Atrial fibrillation</i>			0.748		0.787		0.517		0.607
No	612	24 (3.9)		70 (11.4)		133 (21.7)		207 (33.8)	
Yes	536	24 (4.5)		65 (12.1)		126 (23.5)		190 (35.4)	
<i>Chronic renal disease</i>			0.720		0.042		0.010		0.008
No	757	30 (3.9)		78 (10.3)		153 (20.2)		241 (31.8)	
Yes	391	18 (4.6)		57 (14.6)		106 (27.1)		156 (39.9)	

Table 2 (Continued)

	N	Mortality 1 month		Mortality 3 months		Mortality 6 months		Mortality 1 year	
		N (%)	p Value	N (%)	p Value	N (%)	p Value	N (%)	p Value
<i>COPD*</i>			1.000		0.992		1.000		0.918
No	770	32 (4.1)		90 (11.7)		174 (22.6)		265 (34.4)	
Yes	378	16 (4.2)		45 (11.9)		85 (22.5)		132 (34.9)	
<i>Cancer</i>			0.860		0.096		0.002		<0.001
No	932	38 (4.1)		102 (10.9)		193 (20.7)		296 (31.8)	
Yes	216	10 (4.6)		33 (15.3)		66 (30.6)		101 (46.8)	
<i>Anemia</i>			0.951		0.150		0.006		<0.001
No	362	15 (4.1)		36 (9.9)		68 (18.8)		105 (29.0)	
Yes	421	16 (3.8)		57 (13.5)		115 (27.3)		176 (41.8)	
<i>Hyponatremia</i>			0.006		0.094		0.045		0.009
No	528	14 (2.6)		57 (10.0)		110 (20.8)		170 (32.2)	
Yes	234	17 (7.2)		34 (14.5)		65 (27.8)		99 (42.3)	
	Total	Mortality 1 month		Mortality 3 months		Mortality 6 months		Mortality 1 year	
	N	N (%)	p Value	N (%)	p Value	N (%)	p Value	N (%)	p Value
<i>Clinical variables (%)</i>									
<i>Systolic blood pressure</i>			0.070		0.072		0.001		0.006
>90 mmHg	1033	42 (4.07)		120 (11.6)		227 (22.0)		347 (33.6)	
≤90 mmHg	37	4 (10.8)		8 (21.6)		17 (45.9)		21 (56.8)	
<i>Body mass index</i>			0.137		<0.001		<0.001		<0.001
≥20 kg/m <sup>2</sup>	819	28 (3.42)		74 (9.04)		149 (18.2)		244 (29.8)	
<20 kg/m <sup>2</sup>	36	3 (8.33)		11 (30.6)		19 (52.8)		23 (63.9)	
<i>Heart rate</i>			0.728		0.207		0.294		0.809
≤100 min <sup>-1</sup>	961	41 (4.27)		111 (11.6)		212 (22.1)		325 (33.8)	
>100 min <sup>-1</sup>	55	3 (5.45)		10 (18.2)		16 (29.1)		20 (36.4)	
<i>Charlson</i>			0.384		0.942		0.078		0.012
<6	993	39 (3.93)		116 (11.7)		215 (21.7)		329 (33.1)	
≥6	155	9 (5.81)		19 (12.3)		44 (28.4)		68 (43.9)	

Table 2 (Continued)

	Total	Mortality 1 month		Mortality 3 months		Mortality 6 months		Mortality 1 year	
	N	N (%)	p Value	N (%)	p Value	N (%)	p Value	N (%)	p Value
<i>Glomerular filtration</i>			1.000		0.032		0.001		<0.001
≥30 mL/min	719	29 (4.03)		76 (10.6)		150 (20.9)		233 (32.4)	
<30 mL/min	97	3 (3.09)		18 (18.6)		36 (37.1)		52 (53.6)	
<i>Medication (%)</i>									
<i>ACEi/ARB<sup>†</sup></i>			0.004		0.040		0.009		<0.001
No	390	26 (6.67)		57 (14.6)		106 (27.2)		162 (41.5)	
Yes	758	22 (2.90)		78 (10.3)		153 (20.2)		235 (31.0)	
<i>Beta blockers</i>			0.741		0.672		0.085		0.016
No	631	28 (4.44)		77 (12.2)		155 (24.6)		238 (37.7)	
Yes	517	20 (3.87)		58 (11.2)		104 (20.1)		159 (30.8)	
<i>MRAs<sup>‡</sup></i>			0.550		1.000		0.759		0.450
No	844	33 (3.91)		99 (11.7)		188 (22.3)		286 (33.9)	
Yes	304	15 (4.93)		36 (11.8)		71 (23.4)		111 (36.5)	
<i>Doses of loop diuretics (Mean, SD<sup>§</sup>)</i>	1148	57.9 (49.4)	0.763	60.0 (48.9)	0.287	61.7 (48.8)	0.025	60.8 (47.3)	0.008
<i>Laboratory tests (Mean, SD)</i>									
Sodium (mg/dL)	1148	140 (4.12)	0.094	140 (4.13)	0.512	141 (4.08)	0.361	140 (3.99)	0.143
Potassium (mg/dL)	1148	4.53 (0.59)	0.982	4.48 (0.63)	0.466	4.54 (0.62)	0.799	4.56 (0.59)	0.304
Creatinine (mg/dL)	1148	1.25 (0.58)	0.932	1.39 (0.69)	0.026	1.36 (0.73)	0.007	1.34 (0.65)	0.001
Hemoglobin (g/dL)	1148	12.1 (2.02)	0.869	11.8 (1.91)	0.083	11.8 (1.90)	0.003	11.9 (1.89)	0.001

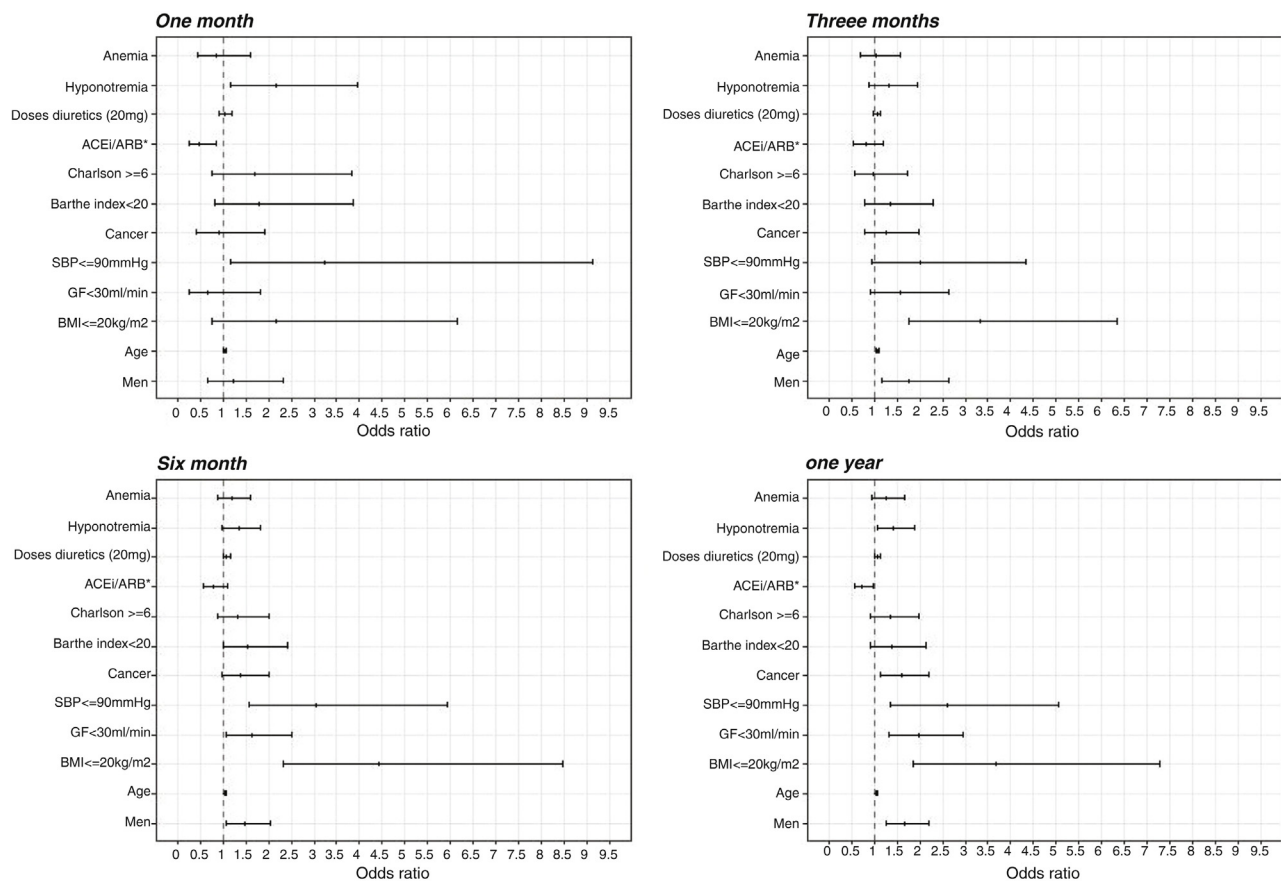
\* Chronic Obstructive Pulmonary Disease.

† ACEi/ARB indicates angiotensin converting enzyme inhibitor/Angiotensin II receptor blockers.

‡ MRAs, Mineralocorticoid Receptor Antagonists.

§ Standard deviation.





**Figure 2** Evolution of the impact of factors related to mortality in patients with heart failure at stage IV of the New York Heart Association.

In relation to the patients' weight, in our study, the risk of dying for patients with a body mass index  $<20 \text{ kg/m}^2$  was more than three times higher than in the rest. Considerable research has been devoted to the role body mass index plays in HF patients.<sup>24</sup> It has been hypothesized that individuals with chronic HF and obesity have significantly lower sympathetic activation. This may partially explain the obesity paradox described in chronic HF patients.<sup>25</sup> The higher mortality related to low body mass index has been associated with higher Tumoral Necrosis Factor- $\alpha$ , adiponectin, troponin T and pulmonary arterial systolic pressure.<sup>26</sup> Whilst we could not measure either cachexia or sarcopenia in our cohort we did make an approximation of the obesity effect by measuring body mass index. It has been shown to accurately correlate with the thickness of the subcutaneous fat layer and is accepted as a measure of obesity in HF.<sup>27</sup>

Most HF prognostic scores consider glomerular filtration as an independent factor of death. We found an almost two-fold odds ratio of mortality in patients presenting a glomerular filtration  $<30 \text{ mL/min}$ . When attempts to alleviate congestion are limited by impaired renal function the cardiorenal syndrome appears.<sup>28</sup> It consists of a neuro hormonal adaptation leading to renal-reduced perfusion and right ventricular dysfunction. Its prevalence is around 60% in patients with acute decompensation and up to 51% mortality has been reported when glomerular filtration is  $<53 \text{ mL/min}$ .<sup>29</sup>

Although it may appear obvious that HF patients requiring higher loop diuretic doses might be more unwell than those receiving lower ones, the powerful and independent association with mortality warrants further consideration. Loop diuretic activation of the renin-angiotensin-aldosterone and sympathetic nervous systems has been shown to play a major role in the pathophysiology of HF and may be associated with HF progression.<sup>30</sup>

The protective effect on early mortality of ACEi/ARB disappeared along the follow up. We cannot ascertain why this effect is not maintained, but probably may be due to the fact of the advanced stage of the disease, where could be hard to lengthen the survival with any intervention.

An association between anemia and increased all-cause and short-term cardiovascular mortality has been reported.<sup>31</sup> In our study, the trend regarding anemia was toward a higher risk although it was not statistically significant at multivariate analysis.

### Strengths and limitations

Our main limitation is the retrospective design and the fact that data were gathered from electronic medical records. Nevertheless, SIDIAP has already proven to be a valid source for cardiovascular disease research.<sup>10</sup> Some variables presented missing values which were imputed in order to avoid substantial loss of precision and power in the statistical

analysis. A strength is the large cohort of patients with stable, advanced HF managed in the community.

It would be necessary further studies to properly explain some of the findings, such as the role of ACEi/ARB on the survival of patients at advanced stages of HF.

Nevertheless the initial objective of our study was not to test the efficacy of treatments in enlarging the survival but to identify factors related with a poorer prognosis in order to be able to discuss with the patients and their families the best way to face the end of life.

## Conclusions

Among stable, ambulatory HF patients, male gender, age, and low body mass index are involved in higher mortality at both short and long-term after reaching NYHA IV stages. In addition, low SBP, reduced glomerular filtration, malignancy, and higher necessity in the doses of loop diuretics contribute to increasing the risk of mortality at medium and long-term. Such variables are easily measurable in primary care and may help health professionals identify HF patients with worse outcomes and decide with patients and their families the best way to face the most advanced stages of the disease.

### Key Points

#### What is known about the subject/What does this study contribute

1. Clinical course of HF is progressive and, in advanced stages leads to a number of hospitalizations, transplantation or death.
2. Palliative measures can help to better manage patients at final stages.
3. Since the uncertainty of the evolution at final stages it is difficult to ascertain which patients could benefit from homecare and palliative measures.
4. To know the factors related to a worse short term prognostic may help to take decisions about their care.

## References

1. Bader F, Atallah B, Brennan LF, Rimawi RH, Khalil ME. Heart failure in the elderly: ten peculiar management considerations. *Heart Fail Rev.* 2017;22:219–28.
2. Sayago-Silva I, García-López F, Segovia-Cubero J. Epidemiology of heart failure in Spain over the last 20 years. *Rev Esp Cardiol.* 2013;66:649–56.
3. Allen LA, Stevenson LW, Grady KL, Goldstein NE, Matlock DD, Arnold RM, et al., American Heart Association; Council on Quality of Care and Outcomes Research; Council on Cardiovascular Nursing; Council on Clinical Cardiology; Council on Cardiovascular Radiology and Intervention; Council on Cardiovascular Surgery and Anesthesia. Decision making in advanced heart failure: a scientific statement from the American Heart Association. *Circulation.* 2012;125:1928–52.
4. Blinderman CD, Homel P, Billings JA, Portenoy RK, Tennstedt SL. Symptom distress and quality of life in patients with advanced congestive heart failure. *J Pain Symptom Manag.* 2008;35:594–603.
5. Pastor DK, Moore G. Uncertainties of the heart: palliative care and adult heart failure. *Home Healthc Nurse.* 2013;31:29–36.
6. Allen LA, Matlock DD, Shetterly SM, Xu S, Levy WC, Portalupi LB, et al. Use of risk models to predict death in the next year among individual ambulatory patients with heart failure. *JAMA Cardiol.* 2017;2:435–41.
7. Levy WC, Mozaffarian D, Linker DT, Sutradhar SC, Anker SD, Cropp AB, et al. The Seattle Heart Failure Model: prediction of survival in heart failure. *Circulation.* 2006;113:1424–33.
8. Chyu J, Fonarow GC, Tseng CH, Horwich TB. Four-variable risk model in men and women with heart failure. *Circ Heart Fail.* 2014;7:88–95.
9. Goda A, Williams P, Mancini D, Lund LH. Selecting patients for heart transplantation: comparison of the Heart Failure Survival Score (HFSS) and the Seattle Heart Failure Model (SHFM). *J Heart Lung Transplant.* 2011;30:1236.
10. Ramos R, Balló E, Marrugat J, Elosua R, Sala J, Grau M, et al. Validity for use in research on vascular diseases of the SIDIAP (Information System for the Development of Research in Primary Care): the EMMA study. *Rev Esp Cardiol (Engl Ed).* 2012;65:29–37.
11. Rubin RB. Multiple imputations for nonresponse in surveys. New York: John Wiley and Sons; 1987.
12. Lanfear DE, Levy WC, Stehlik J, Estep JD, Rogers JG, Shah KB, et al. Accuracy of seattle heart failure model and heart mate II risk score in non-inotrope-dependent advanced heart failure patients: insights from the ROADMAP study (risk assessment and comparative effectiveness of left ventricular assist device and medical management in ambulatory heart failure patients). *Circ Heart Fail.* 2017;10(5.), pii: e003745.
13. Vazquez R, Bayes-Genis A, Cygankiewicz I, Pascual-Figal D, Grigorian-Shamagian L, Pavon R, et al. The MUSIC Risk score: a simple method for predicting mortality in ambulatory patients with chronic heart failure. *Eur Heart J.* 2009;30:1088–96.
14. Harjola VP, Follath F, Nieminen MS, Brutsaert D, Dickstein K, Drexler H, et al. Characteristics, outcomes, and predictors of mortality at 3 months and 1 year in patients hospitalized for acute heart failure. *Eur J Heart Fail.* 2010;12:239–48.
15. Lagu T, Pekow PS, Shieh MS, Stefan M, Pack QR, Kashef MA, et al. Validation and comparison of seven mortality prediction models for hospitalized patients with acute decompensated heart failure. *Circ Heart Fail.* 2016;9(8.), pii: e002912.
16. Packer M, O'Connor CM, Ghali JK, Pressler ML, Carson PE, Belkin RN, et al. Effect of amlodipine on morbidity and mortality in severe chronic heart failure. *N Engl J Med.* 1996;335:1107–14.
17. Pocock SJ, Wang D, Pfeffer MA, Yusuf S, McMurray JJ, Swedberg KB, et al. Predictors of mortality and morbidity in patients with chronic heart failure. *Eur Heart J.* 2006;27:65–75.
18. Ambardekar AV, Forde-McLean RC, Kittleson MM, Stewart GC, Palardy M, Thibodeau JT, et al. High early event rates in patients with questionable eligibility for advanced heart failure therapies: results from the medical arm of mechanically assisted circulatory support (Medamacs) registry. *J Heart Lung Transplant.* 2016;35:722–30.
19. Ahluwalia SC, Gross CP, Chaudhry SI, Leo-Summers L, Van Ness PH, Fried TR. Change in comorbidity prevalence with advancing age among persons with heart failure. *J Gen Intern Med.* 2011;26:1145–51.
20. Frazier CG, Alexander KP, Newby LK, Anderson S, Iverson E, Packer M, et al. Associations of gender and etiology with outcomes in heart failure with systolic dysfunction: a pooled

- analysis of 5 randomized control trials. *J Am Coll Cardiol.* 2007;49:1450–8.
21. Vidán MT, Bueno H, Wang Y, Schreiner G, Ross JS, Chen J, et al. The relationship between systolic blood pressure on admission and mortality in older patients with heart failure. *Eur J Heart Fail.* 2010;12:148–55.
  22. Banach M, Bhatia V, Feller MA, Mujib M, Desai RV, Ahmed MI, et al. Relation of baseline systolic blood pressure and long-term outcomes in ambulatory patients with chronic mild to moderate heart failure. *Am J Cardiol.* 2011;107:1208–14.
  23. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JG, Coats AJ, et al. 2016 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure: The Task Force for the diagnosis and treatment of acute and chronic heart failure of the European Society of Cardiology (ESC) Developed with the special contribution of the Heart Failure Association (HFA) of the ESC. *Eur J Heart Fail.* 2016;18: 891–975.
  24. Zamora E, Díez-López C, Lupón J, de Antonio M, Domingo M, Santesmases J, et al. Weight loss in obese patients with heart failure. *J Am Heart Assoc.* 2016;5:e002468.
  25. Farré N, Aranyó J, Enjuanes C, Verdú-Rotellar JM, Ruiz S, Gonzalez-Robledo G, et al. Differences in neurohormonal activity partially explain the obesity paradox in patients with heart failure: The role of sympathetic activation. *Int J Cardiol.* 2015;181:120–6.
  26. Takiguchi M, Yoshihisa A, Miura S, Shimizu T, Nakamura Y, Yamauchi H, et al. Impact of body mass index on mortality in heart failure patients. *Eur J Clin Invest.* 2014;44:1197–205.
  27. Keys A, Fidanza F, Karvonen MJ, Kimura N, Taylor HL. Indices of relative weight and obesity. *Int J Epidemiol.* 2014;43:655–65.
  28. Bock JS, Gottlieb SS. Cardiorenal syndrome: new perspectives. *Circulation.* 2010;121:2592–600.
  29. Smith GL, Lichtman JH, Bracken MB, Shlipak MG, Phillips CO, DiCapua P, et al. Renal impairment and outcomes in heart failure: systematic review and meta-analysis. *J Am Coll Cardiol.* 2006;47:1987–96.
  30. Benedict CR, Weiner DH, Johnstone DE, Bourassa MG, Ghali JK, Nicklas J, et al. Comparative neurohormonal responses in patients with preserved and impaired left ventricular ejection fraction: results of the Studies of Left Ventricular Dysfunction (SOLVD) Registry The SOLVD Investigators. *J Am Coll Cardiol.* 1993;22 Suppl A:146A–53A.
  31. Ponikowski P, van Veldhuisen DJ, Comin-Colet J, Ertl G, Komajda M, Mareev V, et al. Beneficial effects of long-term intravenous iron therapy with ferric carboxymaltose in patients with symptomatic heart failure and iron deficiency. *Eur Heart J.* 2015;36:657–68.