

REVIEW ARTICLE

Genetic Alterations of Periampullary and Pancreatic Ductal Adenocarcinoma: An Overview

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Abstract: Pancreatic Ductal AdenoCarcinoma (PDAC) is one of the most lethal malignancies of all solid cancers. Precancerous lesions for PDAC include PanIN, IPMNs and MCNs. PDAC has a poor prognosis with a 5-year survival of approximately 6%. Whereas Periampullary AdenoCarcinoma (PAC) having four anatomic subtypes, pancreatic, Common Bile Duct (CBD), ampullary and duodenum shows relative better prognosis. The highest incidence of PDAC has been reported with black with respect to white population. Similarly, incidence rate of PAC also differs with different ethnic populations. Several lifestyle, environmental and occupational exposures including long-term diabetes, obesity, and smoking, have been linked to PDAC, however, for PAC the causal risk factors were poorly described. It is now clear that PDAC and PAC are a multi-stage process resulting from the accumulation of genomic alterations in the somatic DNA of normal cells as well as inherited mutations. Approximately 10% of PDAC have a familial inheritance. Germline mutations in *CDKN2A*, *BRCA2*, *STK11*, *PALB2*, *PRSSI*, etc., as well as certain syndromes have been well associated with predisposition to PDAC. *KRAS*, *CDKN2A*, *TP53* and *SMAD4* are the 4 “mountains” (high-frequency driver genes) which have been known to earliest somatic alterations for PDAC while relatively less frequent in PAC. Our understanding of the molecular carcinogenesis has improved in the last few years due to extensive research on PDAC which was not well explored in case of PAC. The genetic alterations that have been identified in PDAC and different subgroups of PAC are important implications for the development of genetic screening test, early diagnosis, and prognostic genetic markers. The present review will provide a brief overview of the incidence and prevalence of PDAC and PAC, mainly, increased risk in India, the several kinds of risk factors associated with the diseases as well as required genetic alterations for disease initiation and progression.

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1. INTRODUCTION

The pancreas is an endoderm-derived organ having exocrine as well as endocrine function. Regulating protein and carbohydrate digestion, as well as glucose homeostasis, is its primary function. Eighty percent tissue mass of the pancreas is exocrine in function. Digestive zymogens are produced and delivered by acinar and ductal cells branching network into gastrointestinal (GI) tract [1]. Pancreatic Cancers (PC) fall into two major categories: (1) cancers arising from the endocrine cells of pancreas; and (2) cancers arising from the exocrine cells of pancreas. Islet cell cancers are rare and grow slowly compared to exocrine PCs. Exocrine PCs

develop from the cells lining the system of ducts delivering enzymes to the small intestine and are commonly referred to as pancreatic adenocarcinomas. Pancreatic Ductal AdenoCarcinoma (PDAC), nomenclature derives from its histological features of ductal cells is the most common pancreatic neoplasms and accounts for >85% of all PC [2]. It can arise anywhere in the pancreas and periampullary region. Whereas, Periampullary AdenoCarcinoma (PAC) is a heterogeneous group of neoplasms that originates from within 2 cm of the major duodenal papilla. Periampullary tumor includes tumor originating from the head of the pancreas (60%), the ampulla of Vater (20%), distal common bile duct (10%), and the duodenum (10%) [3]. The macroscopic presentation of PAC includes: a) intramural tumors, which occur inside the ampulla, without any protuberance inside the duodenum; b) extramural tumors are polypoid tumors that swell through the ampullary aperture into the duodenum, c) ulcera-

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tive cancers of the ampulla, which is related with the worst prevelion [4]. Microscopically, there are two main histological types of PAC namely, the “intestinal type” which is similar to tubular carcinoma of the stomach or the colon and show columnar tumor cells with elongated cigar-shaped basally located nuclei and nuclear stratification [5, 6]; and the “pancreatobiliary type”, resembling pancreatic carcinoma or cholangiocarcinoma. Pancreatobiliary type features papillary projections with mere fibrous cores [5, 6]. The presence of pre-invasive adenomas or areas of dysplasia can help in the distinction of ampulla and the intestinal subtype of PAC [5]. The mode of presentation and treatment options for ampullary and periampullary tumors is similar, their prognosis is quite different with that for PDAC [7].

2. WORLDWIDE INCIDENCE OF PDAC AND PAC

At the onset of the 21st century, the estimated number of PC worldwide was 110,000, with an estimated global mortality rate of 98% [8]. Pancreatic ductal adenocarcinoma of pancreas is the fourth most common cause of cancer related mortality across the world [1, 9-12]. It was reported that 48,960 new cases and 40,560 deaths for PDAC occurred in 2015 [13]. The risk is almost 20 times higher for individuals who are above 50 years as compared to the younger population. According to GLOBOCAN 2012, the Age Standardized Rate (ASR) of PDAC incidence data is 4.9 per 1,00,000 in men and 3.6 per 1,00,000 women. Worldwide ASR (ASR-W) for incidence and mortality of PDAC is 4.2% and 4.0% respectively [14]. According to the Cancer fact sheet 2016, it is estimated that in 2016, the above figures will raise up to 53,070 new cases and 41,780 deaths with respect to PDAC, which is 3.1% of all cancer. Based on data from SEER (Surveillance, Epidemiology, and End Result Programme) 18 2006-2012, the 5 year survivability for PDAC is 7.7%. According to SEER 2009-13, the median age of diagnosis of PDAC is 70 and the median age of death is 72. Using statistical models for analysis, rates for new pancreatic cancer cases have been rising on average 0.6% each year over the last 10 years. By 2030, PDAC will become the second dominant cause of cancer-related death in the US after lung cancer, outpacing colorectal, breast, and prostate cancers [15]. In the Indian subcontinent, there were no detailed and concrete reports of incidence and prevalence of PDAC and PAC diseases on the basis of recent databases. The incidence of PDAC is low (0.5-2.4 per 100,000 men and 0.2-1.8 per 100,000 women) in most parts of India. Higher rates are seen in the male urban populations of western and northern India. The incidence of PC in India is 0.5-2.4 per 100,000 men and 0.2-1.8 per 100,000 women [16]. The incidence of PAC is approximately 0.5-2% of all gastrointestinal malignancies and 20% of all tumors of the extrahepatic biliary tree [17-19]. Geographic and ethnic variations in the incidence of the 4 morphological subtypes of PAC also exist, which provide potential insights into their etiologies. He and co-workers reported a 3-decade study of 2564 resected PACs, in which the proportion of pancreatic, ampullary, biliary and duodenal carcinomas was 66%, 16%, 12% and 6%, respectively [20]. A Chinese series of 501 PACs showed a high proportion of ampullary cancers in their population with 34% accounting for pancreatic, 50% for ampullary, 10% for biliary and 5% accounting for duodenal cancers [21]. Australian series had a

higher percentage of PDAC (56%), followed by 25% of ampullary cancer, then 15% of biliary and finally only 4% of duodenal cancers [22]. Although various genetic mutations have implicated in PAC, the primary molecular alterations leading to tumor initiation and progression remain unclear [23-25]. In a longitudinal study of over 450,000 participants, a healthy lifestyle reduces the risk of PC by nearly 60% [8]. The variable incidences of 4 morphological subtypes in the different countries may relate to geographic differences in the epidemiology of these cancers. Pancreatic cancer has a poorer survival rate compared to ampullary, duodenal, biliary cancers. Five years estimated survival in patients of PAC undergoing resection has been poor and ranges from approximately 20% in pancreatic adenocarcinoma to 50% in duodenal adenocarcinoma. Among environmental risk factors diabetes, obesity, smoking, alcohol, increased oil fat consumption, coffee intake, decreased physical activity are associated with increased risk of PDAC. The proportion of PDAC that may be attributable to occupational exposures have been estimated to be 12% [26]. Among occupational risk factors, chlorinated hydrocarbons, organochlorines, ionizing radiation, airborne particles, nitrosamines have been associated with increased risk of PDAC. Other risk factors for PC include Chronic Pancreatitis (CP), allergies, periodontal diseases, several infections like HBV, *H. pylori*, Cholecystochemy and Cholelithiasis, Pernicious Anemia, NonSteroidal Anti-Inflammatory Drugs (NSAIDs). Smoking and diabetes mellitus, and smoking with family history of PC had the highest correlation to PC incidences. The joint effect of smoking and either risk factor seems to be additive, making a person who has both of these risk factors at a much higher risk to develop some form of PDAC [27]. Patients with a history of partial gastrectomy for ulcer have a high risk of PDAC [28, 29]. Distal common bile duct cancers are associated with several known host factors in addition to advanced age, like inflammatory bowel disease, sclerosing cholangitis, choledochal cysts, and intrahepatic or common bile duct stones. In addition, a geographic association has been noted for bile duct cancers, with clusters observed in some parts of the United States. Duodenal and ampullary cancers occur with heightened frequency in patients with hereditary polyposis syndromes including Hereditary Non Polyposis Colorectal Carcinoma (HNPCC), Peutz-Jeghers Syndrome (PJS), Familial Adenomatous Polyposis (FAP), and Gardner's Syndrome (GS) [30].

2.1. Precursor Lesions of PDAC

The precursor lesion for PDAC is known as Pancreatic Intraepithelial Neoplasms (PanIN). It is categorized as either low grade (PanIN-1a or 1B), intermediate (PanIN-2), or high grade (PanIN-3) lesions [31]. Although PanINs are the most characterized precursors, other non-invasive lesions are also thought to precede PDAC formation. These are Intraductal Papillary Mucinous Neoplasms (IPMNs) and Mucinous Cystic Neoplasms (MCNs) named as they produce mucins [32]. PanINs are columnar, mucinous epithelium and with increasing architectural disorganization. IPMNs are characterized by larger size and involvement of the main ductal pancreatic duct or larger ductal branches. MCNs do not arise in the main pancreatic ducts and represent by their associated ovarian type stroma with a variable

degree of epithelial dysplasia and focal regions of invasion. MCN is not connected with the ductal system and commonly occurs in female [33].

The aim of this review is to summarize all the genetic alterations that occur during PDAC initiation, progression, and maintenance along with inherited mutations describing standard approaches as well as genetic alterations of different anatomical subtypes of PAC. In addition, this review also focuses on ethnic variation, lifestyle, environmental, occupational, and genetic factors for the risk of PDAC and PAC. We described somatic high frequency and low-frequency gene mutations in PDAC and PAC patients. This review report also emphasizes on the incidence and prevalence of PDAC and PAC worldwide and in the Indian subcontinent.

2.2. Genetic Susceptibility

Development of PDAC may be associated with inherited mutations in specific genes. These mutations are thus the cause of a number of familial cancer syndromes, accounting for 5-10% of PDAC cases [34]. Of the remaining sporadic PDACs, some could be attributed to polymorphic mutations in genes encoding tobacco and food metabolizing enzymes, as well as to DNA repair genes. One recent study detected certain detoxifying genes in 455 patients with PDAC [35, 36]. Gene that is reported to have increased the risk of PDAC is *GSTM1*, while genes such as *CYP1B1-4390-GG* and uridine 5'-diphospho glucuronosyl transferase have been reported to have reduced the risk of PDAC [36].

2.3. Germline Mutation in PDAC

Approximately 5-10% of PDAC has a familial basis of inheritance [34, 37]. However, few studies have suggested lower penetrance of inheritance for PDAC (1.9-2.7%) [38, 39]. Inherited predisposition of PC can be described in two classes; Hereditary Syndromes associated with PDAC and Familial Pancreatic Cancer (FPC) [40]. FPC families can be further divided into 2 groups: "pure" PDAC families (40%) and another 1 associated with other tumor types (60%) [41]. Hereditary PDAC is defined as a genetic syndrome with an identifiable gene mutation associated with an increased risk of PDAC, whereas FPC is defined as family with at least one pair of first-degree relatives (parent-child or sibling pair) with PC without an identifiable syndrome, or two or more first degree relatives with PDAC that do not fulfill the criteria of any other inherited tumor syndrome [34]. The National Familial Pancreas Tumor Registry (NFPTR) at Johns Hopkins reported a 6.8 fold (95% CI =4.54-9.75) increased risk of PDAC in the first degree relatives of FPC patient compared to general United States Population [42].

The PanIN, IPMN, and MCN, have been found to be well defined precursor lesions of PDAC. PanIN is the most frequent precursor lesion of sporadic and FPC. Studies of resected pancreatic lesions from patients with FPC showed the presence of multifocal precursor lesions in most specimens. PanIN3 is the most common one in between several forms of PanIN [43, 44]. IPMNs are more frequent in familial cases than sporadic PC. The branch duct of IPMN is a potential indicator of PDAC in an individual with FPC [45].

2.4. Hereditary Syndromes

Hereditary Syndromes associated with PDAC are those where an inherited genetic syndrome with an identifiable gene mutation is associated with increased risk of PDAC. Common syndromes that are associated with PDAC are (i) PJS, (ii) Familial Atypical Multiple Mole Melanoma (FAMMM), (iii) Hereditary Breast-Ovarian Cancer (HBOC), (iv) HNPCC alternatively called Lynch Syndrome (LS), (v) FAP, (vi) Li-Fraumeni Syndrome (LFS) [45-47] (Table 1) (Fig. 1).

2.4.1. Peutz-Jeghers Syndrome (*STK11/LKB1*) (PJS)

PJS is an autosomal dominant genetic disorder characterized by the development of benign hamartoma polyps in the gastrointestinal tract and hyper pigmented macules on the lips and oral mucosa [48, 49]. Almost half of the patients with PJS harbor germline mutations in tumor suppressor gene *STK11/LKB1*. There is a 132-fold increased risk of developing PDAC in patients with PJS. Affected individuals have 36% cumulative lifetime risk of developing PC [50]. One comprehensive Dutch study of PJS patients revealed a 26% increased risk of PDAC by the age of 70 and Relative Risk (RR) 76 [48].

2.4.2. Familial Atypical Multiple Mole Melanoma (*CDKN2A*)

Familial Atypical Multiple Mole Melanoma syndrome is an autosomal dominant genodermatosis characterized by multiple melanocytic nevi, usually more than 50, and a family history of melanoma. It is associated with mutations in the tumor suppressor gene *CDKN2A* and shows reduced penetrance and variable expressivity. *CDKN2A* is a potential risk factor for inherited PDAC [51-53]. It is a cell cycle regulator gene coding for the p16 protein product, and has functional effects in melanoma and PDAC cell lines. An important isoform of *CDKN2A* is p16INK4a, located on Chromosome 9p21.3. Approximately 10% of melanomas have a familial basis; mutations in *CDKN2A* reported approximately 40% in these families [54]. In some FAMMM kindreds with *CDKN2A* mutations, the prevalence of PDAC has been observed in a very high frequency, thus can be separated from hereditary tumor syndrome and referred to as PC melanoma syndrome or FAMMM-PC [55]. Individuals with this syndrome have a RR of 20-34% with a 17% life time risk of developing PDAC [56]. Sixty to 90% of melanoma risk by the age of 80 and 20% of PDAC risk was observed by the age of 75, being associated with *CDKN2A* mutations [47]. Studies from different ethnic backgrounds showed the different spectrum of data on mutation frequencies with RR of PDAC and Melanoma. A specific genetic background of FAMMM kindreds showed 25% PC risk whereas a cohort study observed 13-20 fold increase of PDAC [57].

2.4.3. Hereditary Breast-Ovarian Cancer (HBOC) (*BRCA1* and *BRCA2*)

The incidence of HBOC in the general population is approximately 1 in 500 individuals. The majority of the cases of HBOC are due to mutations in the *BRCA1* and *BRCA2* genes [58, 59]. The HBOC syndrome is an autosomal dominant disorder with increased risks for breast cancer (47-55%

Table 1. Syndromes and genes associated with Pancreatic ductal adenocarcinoma.

Syndrome	Genes	PDAC Risk
Hereditary Syndromes	-	-
Peutz-Jeghers Syndrome	<i>LKB1</i>	35% lifetime
FAMMM Syndrome	<i>CDKN2A, CDK4</i>	13-22X
HBOC	<i>BRCA1, BRCA2</i>	2-3.5X
LI-Fraumeni Syndrome	<i>TP53</i>	7.3X
HNPCC	<i>MLH1, MSH2</i>	3.7%
FAP	<i>APC</i>	4.5X
Syndromes with Chronic Inflammation	-	-
Hereditary Pancreatitis	<i>PRSS1, SPINK1</i>	30-40%
Cystic Fibrosis	<i>CFTR</i>	<5%
FPC Syndrome	<i>PALB2, BRCA1, BRCA2, ATM, FANCC, FANCG, CDKN2A, PALLD, CHECK2</i>	2 FDR: 8-12% >3 FDR: 16-38%

by age 70), ovarian cancer (17-39%), and other cancers including prostate, male breast, melanoma and pancreas, associated with germline mutations in *BRCA1* and *BRCA2* [60-62]. Carrier frequency is increased among patients with Ashkenazi Jewish ethnicity (1 out of 40). Specifically, there are 3 founder mutations in this population: 185delAG and 5382insC in *BRCA1* and 6174delT in *BRCA2* [63].

Mutations in *BRCA1* are primarily associated with early onset of breast and ovarian cancer risks, though other cancer risks do occur at higher rates than expected in the general population including PDAC. A study reported an RR of 2.8 compared to the general population risk of 1.3% for PDAC in *BRCA1* mutation carriers [64]. However, in a study of British (n=268) no significant association of increased risk of PDAC has been found with *BRCA1*-associated HBOC families [65].

In a study of Ashkenazi Jewish population of North America (n=858), 1% carries the germline *BRCA2* 6174delT mutation, which is associated with 10 fold risk of PDAC [66, 67]. Overall *BRCA2* mutation carriers have an estimated 5% life risk of developing PDAC [61]. Analysis of 222 *BRCA2* families identified a statistically significant increased risk for PDAC (RR 4.1, 95% CI 1.9-7.8) [65]. In a study of 173 families with germline *BRCA2* mutations and breast or ovarian cancers, representing a cohort of 3728 individuals, the RR of PC was 3.51 [68]. The Breast Cancer Linkage Consortium indicated that *BRCA2* mutation carriers have a 3.5 RR compared to non-mutation carriers (5-7% lifetime risk) for developing PDAC. Animal studies confirm a major role for *BRCA2* in a mouse model of FPC [69]. Mice with the *BRCA2* null background showed genomic instability throughout the exocrine pancreatic cells, with the development of pancreatic intraepithelial neoplasia (PanIN lesions) in most mice and invasive PDAC in about 15% of mice. *BRCA2* defective cells exhibited impaired Homologous Recombination (HR) repair, growth and proliferation arrest, abnormal cell cycle, radio resistant, DNA synthesis, genomic

instability, and hypersensitivity to DNA damaging agents. Combining *BRCA2* null and the Trp53 (R172H) variants promoted tumor formation. Absence of *BRCA2* function predisposes the exocrine pancreas to profound DNA damage. The frequency of invasive neoplasia is accentuated by the concomitant deregulation of p53. Taken together, these data suggested that patients with *BRCA2* mutations are at increased risk for PDAC, but this pathway is not essential or common in sporadic PDACs [69].

2.4.4. Hereditary Nonpolyposis Colorectal Carcinoma /Lynch Syndrome (HNPCC/LS) (DNA Mismatch Repair Genes)

Lynch Syndrome or HNPCC is an autosomal dominant hereditary disease alternatively called HNPCC characterized by early onset of colon cancer due to germline mutations in one of the DNA mismatch repair genes (*hMLH1*, *hPMS1*, *hPMS2*, *hMSH2*, or *hMSH6/GTBP*) [49]. This syndrome is caused by a mutation in the Mismatch Repair (MMR) genes *MSH2*, *MLH1*, *MSH6*, and *PMS2*. In a study of 147 families with germline MMR gene mutations, the cumulative risk of PDAC was 1.31%, up to age 50 and 3.68% up to age 70yr, which is 8.6-fold (95% CI =4.7-15.7) higher as compared to the general population [70].

2.4.5. Familial Adenomatous Polyposis (FAP) (APC)

Familial Adenomatous Polyposis is an inherited disorder characterized by cancer of the large intestine (colon) and rectum. People with the classic type of FAP may begin to develop multiple noncancerous (benign) growths (polyps) in the colon as early as their teenage years. Inherited mutations in the tumor suppressor gene, *APC*, account for the majority of cases. FAP has an increased risk of PDAC, with calculated increased RR of 4.6% (95% CI 1.2-11.4) in affected individuals. Small bowel cancers occur in 50-90% of patients with FAP and are usually periampullary [71, 72].

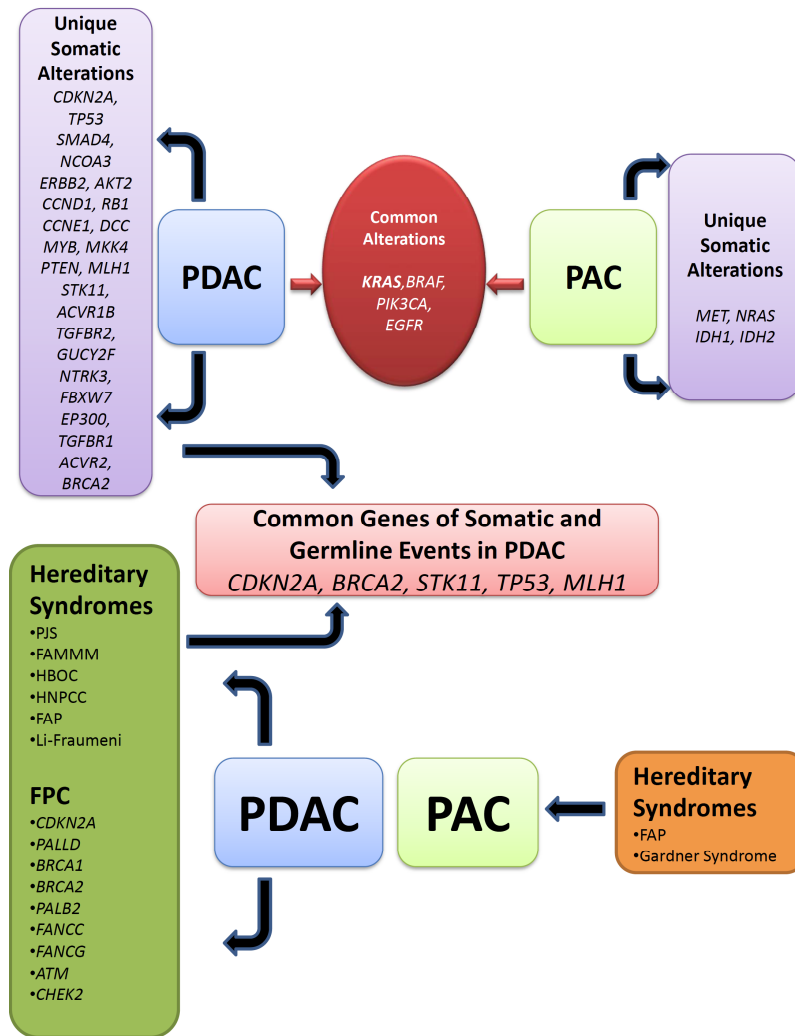


Fig. (1). Unique and common germline and somatic genomic alteration network in pancreatic ductal and periampullary adenocarcinoma. Unique somatic alterations in several genes are listed in PDAC and PAC separately. Common somatic alterations are listed together. Different hereditary syndromes were unique for PDAC and PAC and are listed individually. FPC genes are mutated and segregated in families risk for PDAC are only observed and grouped together. Common germline and somatic genomic alterations are assigned for PDAC risk.

Gardner’s Syndrome is a variant of FAP, and individuals with GS have a 100 fold increase risk of developing PAC when compared with general population [72] (Fig. 1).

2.4.6. Li-Fraumeni Syndrome (TP53)

Though very rare but there are reports suggesting that LFS carries a mutation in tumor suppressor gene *TP53*, thus increasing the risk of PDAC compared to general population. In addition, very rarely patients affected by AT develop PDAC [73].

2.5. Syndrome with Chronic Inflammation of the Pancreas

2.5.1. Hereditary Pancreatitis

Among the entire inherited cancer predisposition syndrome, Hereditary Pancreatitis (HP) is only one where PC is the sole cancer risk factor. HP is a rare form of CP, which is an autosomal dominant inherited disorder and highly penetrant (>80%) and typically occur prior to the age of 30, although dependent on ancestral origin in the family [74].

Germline mutations in *PRSSI* cause an autosomal dominant form of the disease, whereas germline mutations in *SPINK1* lead to an autosomal recessive pattern of inheritance [75, 76].

The *PRSSI* gene, provides instructions for making an enzyme called cationic trypsinogen. Cationic trypsinogen is produced in the pancreas and helps with the digestion of food. Premature activation of trypsinogen leads to acute pancreatitis [75]. R117H germline mutation frequently observed germline mutation in *PRSSI* [47]. As a result of this mutation, the enzyme is not able to be broken down, even when it is no longer bound to calcium. However, this mutation eliminates the trypsin hydrolysis site. Few rare mutations were also observed in cationic trypsinogen. The reported cationic trypsinogen mutations are listed here: N29I, A16V, D22G, K23R, A121T, and R122C. R116C is a rare mutation that may result in misfolding of the protein and present an alternative cause of activation [77]. Patients with HP have recurrent acute pancreatitis, which can often evolve into CP. Their lifetime risk for PDAC is 35-fold (or more) by ages 70-75 [78].

Modeling of HP has revealed that pancreata from Elastase-R122H (mPRSS1) transgenic mice display early-onset acinar cell injury and inflammatory cell infiltration [79].

2.5.2. Chronic Pancreatitis

Chymotrypsin C (CTRC) gene mutations can be found in chronic pancreatitis. *CTRC* mutations appear to boost the effects of the mutant forms of cationic trypsinogen [79]. The two most frequent variants, where disease association reached statistical significance, were c.760C>T (p.R254W) and c.738_761del24 (p.K247_R254del), both located in exon 7 of *CTRC* [79]. The effect sizes of these mutations, as measured by the Odds Ratio (OR), were 3.3 and 11.5, respectively. Functional analysis of the *CTRC* variants showed impaired activity and/or reduced secretion, which resulted in “removal” of the normal negative degradative influence of *CTRC* on trypsin levels [47, 79].

Pathogenic variants in *SPINK1* gene, encoding serine protease inhibitor, Kazal-type 1, can lead to autosomal recessive pancreatitis. In the United States, Europe, and India a high-risk haplotype containing *SPINK1* p.Asn34Ser is common, with a minor allele frequency as high as 3%. In China, Japan, and Korea the *SPINK1* splice variant (c.194+2T>C, also known as IVS3+2T>C) is common. It is not known if biallelic *SPINK1* pathogenic variants alone are sufficient to cause recurrent acute or CP, but multiple families with biallelic *SPINK1* pathogenic variants in affected family members have been documented [80].

Heterozygous mutations in the Cystic Fibrosis Transmembrane Receptor (CFTR) gene, may be found in a subset of these patients. *CFTR* is an ion channel involved in the transport of chloride and thiocyanate. While *CFTR* gene mutations are traditionally associated with cystic fibrosis, they may also contribute to chronic pancreatitis [81]. Dysfunction of *CFTR* results in acute pancreatitis. The risk ratio of PDAC in patients with cystic fibrosis in the USA and Europe during 1985-2005 was 5.3 (95% CI 2.4-10.1) [82].

2.6. Familial Pancreatic Cancer

Familial Pancreatic Cancer is defined as a family with at least one pair of first-degree relatives (parent-child or sibling pair) with PC without an identifiable syndrome in the family [83]. Germline mutations of different DNA repair genes have been identified in familial PC. The reason behind the mechanism is that accumulation of damaged DNA can trigger different states-uncontrolled cell division, apoptosis, and senescence that may transform to cancerous cell. Genes that are associated with FPC are; *PALLD*, *BRCA1*, *BRCA2*, *PALB2*, *FANCC*, *FANCG*, *ATM*, *CDKN2A*, and *CHEK2* [45, 73] (Table 1) (Fig. 1).

Palladin is a protein that in humans is encoded by the *PALLD* gene. Palladin is a component of actin-containing microfilaments that control cell shape, adhesion, and contraction. In a study of linkage analysis of large FPC pedigree characterized by autosomal dominant inheritance of PC with early onset and high penetrance showed significant linkage to chromosome 4q32-34 [84]. After few years an oncogenic germline mutation (Pro239Ser) was identified in the affected members of the family [85]. Thus it was suggested *PALLD* gene is a major susceptible for PDAC.

Germline mutations in *BRCA1* reported in small number of patients with FPC reported are very rare. *BRCA1* mutations are mainly related with breast and ovarian cancers in female. A 2.8 RR was observed with respect to general population, 1.3% of PDAC was detected in *BRCA1* mutation carriers. However, another study did not notice any increased risk of PDAC among *BRCA1* mutation carriers of 268 *BRCA1* families. A large scale study conducted by Breast Cancer linkage Consortium, found a 2.26 fold (95% CI=1.26-4.06) increased risk of PC in *BRCA1* mutation carriers. Whereas, in a study of 66 FPC patients from NFPTR kindred with 3 or more relatives with PDAC did not identify any deleterious *BRCA1* germline mutation in these patients [86]. In another study of 145 Ashkenazi Jewish PDAC patients, no increase in frequency of *BRCA1* was found [87]. Most of the studies did not find any increased risk of PDAC in *BRCA1* mutated patients; however, Thompson and co-workers reported 2 to 2.5 fold increased risk of PDAC in *BRCA1* mutated patients [88].

BRCA2 is the commonest altered genes identified in FPC even in the absence of breast and/or ovarian cancer. But mutations in *BRCA2* have been long reported and it is the most frequently identified alterations in FPC even in the absence of breast cancer. Approximately 10% of FPC carries *BRCA2* mutations, although age of onset may not be particularly early in life. The majority of *BRCA2* germline mutations are nonsense or frameshift mutations, such as the 6174delT and other exon 11 mutations, these are almost 80% in the total mutations [89]. Approximately 4% to 10% of Ashkenazi Jews with PDAC carry a germline *BRCA2* mutation [66, 87]. It was estimated that 1% of the Ashkenazi Jewish population in North America harbors germline *BRCA2* 6174delT founder mutation, which has been associated with a 10-fold increased risk of developing pancreatic, breast, prostate, and ovarian cancers [66, 67]. Studies from German and British FPC families and North American FPC families suggested 15% and 17% rate of *BRCA2* mutation respectively [90]. However, in a study of moderate risk and high risk FPC families only 6% of deleterious *BRCA2* mutations were detected [91]. The overall prevalence of *BRCA2* mutations in moderate risk and high risk PDAC families is approximately 6% with frequency ranging from 3% to 15% for families depending on the number of affected family members. Partner and localizer of *BRCA2*, also known as *PALB2* or *FANCN*, located on chromosome 16p12.2, is a protein which in humans is encoded by the *PALB2* gene. Germline mutations in *PALB2* interacting domain of *BRCA2* could not bind strongly with *PALB2* thereby disrupting the *BRCA2* functions in DNA damage sites. *PALB2* and *BRCA2* deficient cells showed identical phenotypes. In HBOC syndrome and Fanconi Anemia families, mutation of *PALB2* is identified. In a study of Whole Genome Sequencing (WGS) of North American FPC families, *PALB2* reported as a new PDAC susceptible gene, as a truncating mutation occurred in 3.1% of the people [92]. In a study of German and British FPC families, *PALB2* mutation was identified in 3.7% [93]. However, a Dutch study could not detect any mutation in *PALB2* in a study of 28 FPC families without *BRCA1/BRCA2* mutation [94]. The observation noted that *PALB2* mutation in FPC might affect a small subset of European descent families with an addition of breast cancer. A Whole Exome Se-

quencing (WES) study from Jones and coworkers described that *PALB2* identified as a susceptible gene in FPC. Studies suggested that *PALB2* mutation carriers in FPC families have 10 to 32-fold increased risk for the development of PDAC depending on the number of affected family members [92, 93]. Indeed *PALB2* is increasingly considered a good candidate for clinical testing in *BRCA1* and *BRCA2*-negative HBOC families. *FANCC* and *FANCG* are the genes in the *BRCA2* pathway. Germline mutations in these genes have been linked to early-age of onset PDAC, whereas segregating germline mutation is not reported in FPC [95].

Whole genome analysis of nearly 170 families with FPC revealed heterozygous germline mutation of the *ATM* gene, in two kindreds with FPC and four families had deleterious homozygous *ATM* mutations [96]. Mutation segregation with the disease in the both kindreds and analyzed tumors revealed loss of heterozygosity of the wild type allele. These can be defined by classic two-hit model in tumor suppressor genes. The risk of breast and PDACs observed carriers of monoallelic *ATM* mutations. Possible importance of *ATM* in PDAC was highlighted in BxPC-3 cells (with wild type *K-ras*) which were treated with curcumin [97]. Curcumin resulted in phosphorylation of *ATM* at Ser-1981, G2/M cell cycle arrest and apoptosis of the tumor cells [97]. Additional analysis of 166 patients with FPC identified another four deleterious *ATM* germline mutations [96]. However, no mutations were detected in 190 healthy spouses. The prevalence of *ATM* mutations in the whole FPC cohort was 2.4%, whereas prevalence of *ATM* mutation was 4.6% when families with 3 or more affected member were considered [96].

Several studies suggest that *CDKN2A* (*p16INK4a*) mutation occurs in FPC without metachronous or synchronous occurrence of melanoma in the family. Study from Italian and Dutch population suggests that *CDKN2A* may be FPC susceptible gene and *CDKN2A* testing may be appropriate in FPC when melanoma does not occur in the family [98]. In a study of 1537 North American unselected patients with PC found 0.6% patients carried *CDKN2A* mutations. Among the 120 FPC cases in that study, 3.3% were *CDKN2A* positive. It was concluded these mutations are penetrate among smokers [99]. *CDKN2A* mutations are mostly missense and located in exon1 and exon2. In a study germline testing was performed for *CDKN2A* in a series of unselected PDAC patients and found 4% of the patients are *CDKN2A* positive [100]. In that extended study of 225 PDAC patients and controls, the *CDKN2A* mutation rate was 5.7%, ranging from 2.6% in patients without a family history of PDAC or melanoma, to 17% when two cancers occurred in the index patients or FDR's, and to 45% when three or more cancers occurred. Sixteen probands of FPC families were identified, defined for having at least two FDR's affected by PDAC, and no other manifestation of hereditary cancer syndromes. Deleterious *CDKN2A* mutations were found in five of the probands (31%) [98]. The mutation frequency ranged from 20% in FPC families with two affected members to 50% in families with 3, and was comparable to the mutation rate in melanoma families [101]. In a study performed by Harnick and colleagues of *CDKN2A* mutation in 28 FPC families, *CDKN2A* mutations were identified in 3 of these families who are melanoma positive. The selection criteria included presence of melanoma and indeed melanoma also occurred in four of these families (14%). The

prevalence of *CDKN2A* mutation in their FPC families with no occurrence of melanoma was 12% (n=3) [91, 98, 102, 103]. However, the likelihood of identifying a *CDKN2A* mutation may also be high in families with 2 or more instances of PDAC or with one instance of PDAC and 1 of melanoma among FDRs, because it was found that 17% of such kindreds were positive for *CDKN2A* mutations [98]. *CHEK2* is a multi-organ cancer susceptibility gene associated with a predisposition to breast, prostate and colon cancer. Analysis of 35 index patients of German FPC families identified 1 *CHEK2* mutation (1170delC) (3%) [104].

2.7. Genetic Progression of PDAC

The evolution of PDAC from normal duct is well understood. The molecular changes can be categorized in different precursor lesions of PDAC. Point mutation in *KRAS* is the first hit mutation for development of PDAC from normal ductal cells [105, 106]. Inactivation of several other tumor suppressor genes, such as those encoding *p16^{INK4A}*, *p53*, and *SMAD4*, also contributes to the evolution of histologically defined precursor lesions into infiltrating cancer [107]. The progression has been model experimentally supported using transgenic mice expressing mutant *Kras* in the pancreas, alone [108] or in combination with inactivation of the tumor suppressor genes [109, 110].

The genetic changes of PanINs have been studied in details. It can be explained as a bona fide precursor of PDAC. Invasive PDAC and PanIN can share almost similar kinds of mutation pattern [111]. *KRAS* and *p16/CDKN2A* mutations have been observed in the early stage of PanIN with low and intermediate grade dysplasia, whereas *TP53* and *SMAD4* mutations exhibit in late stage, occurring in PanINs with high grade dysplasia and in invasive cancer [111, 112]. *KRAS* mutations observed in most of the cases of PanIN could have been used as markers for the presence of PanIN for early and are present in most cases of PanINs [113]. These can be explained as founder mutations as they appear in every sample from a given patient. However, the presence of *TP53*, or *SMAD4* gene mutations would suggest that a high grade precursor or an invasive carcinoma [113]. Moreover, analysis of PanINs reveal a stepwise accumulation of mutations as the lesions progress toward invasive adenocarcinoma. Molecular analysis of PanINs has shown that it harbours similar genetic abnormalities as infiltrating PDAC [111]. Activating point mutations in codon 12 of the *KRAS* gene typically occur in early low grade PanIN lesions (PanIN-1), and overexpression of Her2/neu are initial events whereas inactivating mutations in the *p16^{INK4A}/CDKN2A* gene occur in intermediate lesions (PanIN-2), and inactivating mutations in *SMAD4*, *TP53*, and *BRCA2* occur in late lesions (PanIN-3) [111, 114]. Telomere shortening is also an early event, that occurring in PanIN-1 lesions, contribute accumulation of chromosomal abnormalities in PanINs. Notably, telomere shortening was detectable at the beginning of PDAC formation and was observed in low grade PanINs [115], IPMNs [116], and PanIN-associated acinar-to-ductal metaplasias [117]. In PDAC, telomere length was short compared to those of normal chromosomes, that leads to severe telomere dysfunctions and chromosomal abnormalities including the inactivation of several tumor suppressor genes [118].

IPMNs also contain the *KRAS* point mutations and inactivating mutations in genes coding *TP53* [119] and p16^{INK4A} [120]. The *SMAD4* gene is inactivated in a small number of IPMNs (3%) [121], whereas loss of the *STK11/LKB1* gene product is significantly common [122].

The genetic alterations of MCNs are not extensively studied but it appears that they can have many of the same abnormalities found in infiltrating ductal adenocarcinoma of the pancreas, but at a lower frequency [111]. Like PanINs and IPMNs, MCNs also contain mutations in *KRAS*, *TP53* and *CDKN2A* [107]. Some advanced MCNs may lose *SMAD4* activity [123]. It is evident that some non-invasive IPMNs and some MCNs of the pancreas progress to invasive adenocarcinoma over time. Patients with these non-invasive lesions are on average 2-5 years younger than patients with the corresponding invasive lesions [124].

2.8. Somatic Alterations in PDAC

PDAC develops *via* Acinar-Ductal Metaplasia (ADM) and neoplastic precursor lesions; PanINs, IPMNs and MCNs. During this progression, PDAC genome harbours several genomic alterations that drive the tumor to metastasis. The most important paradigms to emerge from more than two decades of study in PDAC have been understood. PDAC is a disease of a combination of inherited and somatic mutations. According to the rate of occurrence, these somatic alterations can be subdivided into high-frequency alterations and low-frequency alterations. However, a comparison of the characteristics founder *versus* progressor gene alterations revealed that majority of the deleterious genetic alterations present in the PDAC including activating mutations of *KRAS* and inactivating mutations in *CDKN2A*, *TP53*, and *SMAD4* are consistent with the progression model of pancreatic carcinogenesis (Fig. 1). Genomic alterations of *CDKN2A*, *BRC1A2*, *MLH1*, *TP53* and *STK11* genes were mutated somatically in PDAC in addition to that FPC germline mutations were also shown in these genes, by Knudson's two-hit hypothesis model these genes are probably the most significant effects in PDAC (Fig. 1). Although oncogenic mutations in *KRAS* occur frequently in PDAC, there are no explanations where PDAC showed with the wildtype *KRAS* allele. A minority of the patients showed mutated *BRAF* where *KRAS* is wildtype. It can be explained by mutual exclusive property of cancer mutation theory.

2.9. High Frequency Alterations

2.9.1. *KRAS*

KRAS is a proto-oncogene which encodes a ~21 kDa small GTPase that mediate a range of cellular functions, including proliferation, cell survival, cytoskeletal remodelling, *etc.* The switch to the active state of Ras protein is promoted by Guanine nucleotide Exchange Factors (GEFs), which aids exchange of GDP for GTP. *KRAS* inactivation occurs through guanosine-triphosphatase-activating proteins, which promotes GTP hydrolysis to the diphosphate GDP and attenuate signaling [125].

KRAS Activating mutations are found in more than 90% human PDAC. This leads to impair intrinsic GTPase activity of *KRAS* protein and can block the interaction between

KRAS and GAPs. This leads to constitutive activation of *KRAS* and persistent stimulation of downstream signaling pathways that drive many of the hallmarks of cancer, sustained proliferation, metabolic reprogramming, anti-apoptosis, remodeling of tumor microenvironment, evasion of the immune response, cell migration and metastasis [126]. The spectrum of *KRAS2* gene mutations in PDAC includes alteration in codon 12, 13, and 61 [125]. The frequency and specific substitutions show cancer type differences, with 98% of *KRAS* mutations in PDAC occurring at position G12. Of the 8 different substitutions found at this position, the predominant substitution is G12D. Mutations in codon 12 comprise 98% of all mutations in *KRAS* whereas frequencies of codon 13, and codon 61 mutations were 1% for both [127, 128]. Out of the G12 mutations 51% are G12D, (30%) G12V, (12%) G12R, (2%) G12S, (2%) G12C, (2%) G12A and <1% were G12L/F. Out of the G13 mutations 76% were G13D, 10% for both G13S and G13C, and 4% were G13P. Regarding Q61 mutations, 82% are Q61H, 11% Q61R, and 7% were Q61K [128].

Targeting of mutant *Kras*^{G12D} or *Kras*^{G12V} specifically to the murine pancreas is sufficient to initiate development of ADM, PanINs, IPMNs, and AFLs, which progress with long latency to invasive metastatic PDAC, thus recapitulating the disease [108, 126, 129, 130]. In transgenic mice, inducible *KRAS* G12D mutant was not shown only to initiate neoplastic lesions but was also involved in tumor maintenance [131]. In a study, it was found that *KRAS* mutation was associated with reduced patient survival in both malignant exocrine and PDAC [132]. Patients with PDAC carrying *KRAS* mutations showed a median survival of 17 months compared to 30 months for those who do not carry *KRAS* mutation (log-rank P=0.07) with a multivariate Hazard Ratio (HR) of 2.19 (95%CI 1.09-4.42) [132]. Recent studies in mouse models where *Kras* can be switched off and on demonstrated that continuous oncogenic *Kras* signaling is essential for both progression and maintenance of PDAC [131, 133]. Also, it was seen that sustained oncogenic *Kras* signaling is essential for growth and maintenance of metastasis in PDAC [131].

Activated *KRAS* engages multiple effector pathways, notably the Raf/Mek/Erk, PI3K/Pdk1/Akt, and Ral guanine nucleotide exchange factor pathways [134-136]. Recent findings indicate *Kras*-PI3K-Pdk1 signaling drive PDAC initiation, progression and maintenance. Genetic proof of the importance of PI3K-Pdk1 signaling was shown in classical *Kras*^{G12D} PDAC model [136]. Studies in PC cell lines have revealed crosstalk between oncogenic *KRAS* and the Hedgehog signaling pathway, suggesting that oncogenic *KRAS* plays an important role in activating Hedgehog signaling through the RAF/MEK/MAPK pathway in the absence of hedgehog ligands during pancreatic tumorigenesis [137]. Activating mutations of *KRAS* leads to increase such as proliferation, cell division, survival, and gene expression through the traditional targets of *KRAS* signaling, such as the phosphoinositide 3-kinase pathway and the RAF-mitogen activated protein kinase (MAPK) pathway. Oncogene *KRAS* also activate the proliferation of the desmoplastic stroma. The stroma has an important role in cell proliferation and invasion of PDAC development [131].

2.9.2. *P16/CDKN2A/INK4A*

The second most important frequently mutated gene in PDAC is *CDKN2A/p16^{INK4A}*. *CDKN2A* regulates the cell cycle through the p16/Rb pathway and controls progression through the G1/S transition. *CDKN2A* is inactivated in PC through germline mutations as well as by somatic alterations, whereas inactivation of this tumor suppressor gene occurs in 80-95% sporadic cases. Disruption or inactivation of *CDKN2A* occurs through multiple mechanisms including nearly by homozygous deletion (40%), intragenic mutations with LOH (40%), and the remainder (15-20%) by epigenetic promoter silencing [137-139]. *CDKN2A* loss is generally seen in moderately advanced lesions that show features of dysplasia. *CDKN2A* encodes two tumor suppressors - *INK4A* and *ARF* - *via* distinct first exons and alternative reading frames in shared downstream errors [140]. Many PC sustain a loss of both the *INK4A* and *ARF* transcripts, thereby disrupting both the retinoblastoma (RB) and p53 tumor suppressor pathways. The cause of *CDKN2A* loss is due to the deletion of chromosome 9q21 in PDAC. This position encodes two tumor suppressor loci *INK4A* and *ARF*. This gene product stabilizes the p53 tumor suppressor through the neutralization of MDM2, it induces the ubiquitination and subsequent degradation of p53. *INK4A* seems to be the more important PDAC suppressor at this locus, as germline and sporadic mutations have been identified the target *INK4A*, but spare *ARF* [139, 141]. Though several different *KRAS* mutations detected in individual PanIN lesions, loss of *INK4A* usually occurs in later stages of pancreatic neoplasia. Immunohistochemical analysis confirmed the loss of p16 expression in affected tumor, specifies that p16 genetic events have functional importance. Homozygous deletion resulting inactivation of the *p16^{INK4A}/CDKN2A* gene also inactivate an adjacent gene on chromosome 9p, *MTAP* (methylthioadenosine phosphorylase), which is located 100 kb telomeric and plays important role in the synthesis of adenosine. *MTAP* function is completely lost in 30% of PDAC [112, 137, 142].

2.9.3. *TP53*

The p53 protein encoded by *TP53* gene is responsible for modulating cellular responses to cytotoxic stress by maintaining genome stability. *TP53* is responsible for regulation of the G₁/S cell cycle check points, maintenance of G₂/M arrest, and induction of apoptosis, and protection against genomic rearrangement and accumulation of mutations. Almost 50-70% of the PDAC contains genomic alterations in DNA binding domain of *TP53* [139]. Both oncogenic activation and loss of tumor suppressor pathways associated with *TP53*. The mutations, mainly the missense mutations, in coding sequences of DNA binding domain of p53, are often accompanied by loss of the wild-type allele [143]. Loss of heterozygosity of *TP53* is detected in PanIN-3 lesions; resulting impaired p53 functions occur in late in the progression of PDAC. *TP53* inactivation is the most common somatic alterations in most cancers. Mostly, the inactivation of *TP53* detected by point mutations and homozygous deletions. The loss of p53 function leads to increase in cell growth, proliferation and cell division. Alterations of p53 are associated with K-ras mutations suggesting an effect in tumorigenesis [144, 145]. *TP53* dependent fail-safe program of PDAC was

explained by the upregulation of p21^{Cip1} in PanIN1 lesions, where P53 functions in senescence pathway. p21^{Cip1} acts as a cyclin-dependent kinase inhibitor. Loss of p21 functions through lack of transactivation has been detected in approximately 30-60% of the PDAC cases [146-148]. Loss of p53 functions results in aneuploidy, and genomic instability, a common observation in PDAC development [149, 150].

2.9.4. *DPC4/SMAD4*

Another frequently mutated tumor suppressor gene in PDAC is *SMAD4/DPC4*. The Smad4 protein plays a critical role in signaling through the transforming growth factor-beta (TGF-β) pathway. The TGF-β pathway is activated when TGF-β protein binds to specific cell surface receptors. This triggers an intracellular cascade that results in phosphorylation and nuclear localization of Smad transcription factors Smad2/3, complex with *SMAD4*. Inactivation of *SMAD4* occurs in 50-60% of the PDAC patients [151]. Mainly *SMAD4* gene is mutated or deleted and these events occur in the late stage of the tumor progression. In 30-35% cases, the gene is activated by homozygous deletion and in 20-30% cases loss of heterozygosity is observed [151]. Smad4 proteins can transduce the TGF-β signal from the cell surface to the nucleus [152]. During the early stages of pancreatic neoplastic progression TGFβ receptor or activin was mutated and abnormal expression also noticed in the neoplastic clone, which offers a selective advantage [153]. Whereas, in the late stage of PanIN, decreased expression of *SMAD4*, multiple genetic defects in cell cycle checkpoints and other regulatory systems were detected [154]. *Smad4* loss interferes with the intracellular signaling cascade downstream from TGF-β, resulting in decreased growth inhibition *via* loss of pro-apoptotic signaling or *via* inappropriate G₁/S transition [155]. Loss of SMAD4 nuclear labelling by IHC is observed late in pancreatic carcinogenesis, such as in PanIN-3 precursor lesions and infiltrating adenocarcinoma [121, 123, 154]. Loss of *SMAD4* is also associated with poor prognosis of PDAC and with the development of widespread metastases in PDAC [156]. Many cancers, including PC, harbour defects in TGF-β and are resistant to TGF-β mediated growth suppression. The TGF-β type I receptor is also mutated in PDAC. Roles of activins and BMPs are reported in cancers. A recent study reported that activin type IB receptor gene (*ACVR1B*) is also mutated in PDAC. The TGF-β pathway is highly mutated in PDAC, with an overall mutation rate of >80% [157].

2.9.5. *Mucin*

The two proposed classifications of mucins based on cellular expression pattern, are membrane-bound mucins and secretory mucins. The secretory mucins, lack a transmembrane domain and are secreted directly into the extracellular spaces, include *MUC2*, *MUC5AC*, *MUC5B*, *MUC6*, *MUC7*, *MUC8* and *MUC19*. The membrane-bound class of mucins are type I membrane proteins with single transmembrane domains and different lengths of cytoplasmic tail at the C-terminus. The membrane-bound class includes *MUC1*, *MUC3A*, *MUC3B*, *MUC4*, *MUC12*, *MUC13*, *MUC15*, *MUC16*, *MUC17* and *MUC20* [158]. Membrane-bound mucins can be released from cells through proteolytic cleavage, and many are produced in secreted forms that result from alternative mRNA splice forms in which the trans-

membrane domains are eliminated. All known mucins indicate the presence of positively charged lysine-arginine-rich motifs in the region juxtaposed to the plasma membrane. This motif is present in MUC1 (sequence RRK), MUC3 (RRGR), MUC12 (RKRHR), MUC15 (KRK), MUC16 (RRRKK) and MUC17 (RSKR). Another conserved motif in the cytoplasmic tails of many transmembrane mucins is the tyrosine-based sorting signal for clathrin-mediated endocytosis. This motif [YXX(L/M/V/I/F)] has been shown to be crucial for clathrin-mediated endocytosis of MUC1 (YHPM). Similar motifs are found in MUC3 (YVAL), MUC12 (YNNF) and MUC17 (YSNF). MUC1 intracellular signaling regulates other signaling pathways including MAPK, NF- κ B, JAK-STAT, HIF, Wnt, TP53, Era and c-Src. In different cancer, *MUC1* also regulates the processes such as growth, differentiation, apoptosis, cell fate, oxidative stress death protection, immunosurveillance, adhesion, polarity, inflammation, colonization and metabolism. Mucins are somatically mutated and dysregulated in PDAC. *MUC1* T112P mutation was observed in 31.25% of all stages of PDAC. T4373 deletion mutation of *MUC5B* also identified in stage II PDAC. *MUC6* and *MUC16* have a relatively high mutation in PDAC. Forty-three percent of *MUC16* mutations were nonsynonymous mutations, with 14.9% of these mutations resulting in frameshifts or deletions in PDAC [159]. *MUC1* is a polymorphic, highly glycosylated, type I transmembrane protein, which engages in signal transduction through extracellular domain-mediated ligand binding or by interacting with receptors for growth and differentiation factors. Differential glycosylation patterns on the Tandem repeat may affect the adhesion properties that results in an increased ability to tumor cell metastasize [160].

2.10. Low Frequency Alterations

2.10.1. *NCOA3/AIB1*

The nuclear receptor coactivator 3 also known as *NCOA3* is a protein that, in humans, is encoded by the *NCOA3* gene. *NCOA3* assists nuclear receptors in the up regulation of gene expression. *NCOA3* is a transcriptional coactivator protein that contains several nuclear receptor interacting domains and an intrinsic histone acetyltransferase activity. *NCOA3* is recruited to DNA promotion sites by ligand-activated nuclear receptors. *NCOA3*, in turn, acylates histones, which makes downstream DNA more accessible to transcription. High level of amplification for *AIB1* reported in ~10% of breast cancer tissues and four of nine PDAC cell lines [161, 162]. In archival PDAC tissues copy number gains of *AIB1* gene observed in >37% [163].

2.10.2. *ERBB2/Her2*

The ErbB family consists of 4 plasma membrane-bound receptor tyrosine kinases. One of which is erbB-2, and the other members being epidermal growth factor receptor, erbB-3 (neuregulin-binding; lacks kinase domain), and erbB-4. *HER2* can heterodimerise with any of the other three receptors and is considered to be the preferred dimerisation partner of the other ErbB receptors. Dimerisation results in the autophosphorylation of tyrosine residues within the cytoplasmic domain of the receptors and initiates a variety of signaling pathways like MAPK, PI3K/AKT, etc. In PDAC, *ERBB2* amplification observed with variable

incidence in 10-60% of cases with increased expression [164, 165].

2.10.3. *AKT2*

AKT2 is 1 of 3 closely related serine/threonine-protein kinases (*AKT1*, *AKT2* and *AKT3*) called the AKT kinase, and which regulate many processes including metabolism, proliferation, cell survival, growth and angiogenesis. *AKT2* encodes a serine and/or threonine kinase that act as a downstream effector of the PI3-AKT pathway. This gene is amplified and overexpressed in approximately 11% (3/28) to 20% (7/35) of PDAC [166, 167]. Some studies suggested 10-60% amplification in PDAC [1, 137]. AKT signaling also linked to enhance IGF-IR expression in PDAC by promoting invasive potential of cells [1].

2.10.4. *BRAF*

B-Raf is a member of the Raf kinase family of growth signal transduction protein kinases. This protein plays a role in regulating the MAP kinase/ERKs signaling pathway, which affects cell division, differentiation, and secretion. It is mutated in 33% of PC that has wild-type *KRAS* [168]. The mutational occurrence of *KRAS* and *BRAF* is suggested to be mutually exclusive. Some studies suggest that 1 mutation in 1 of these 2 genes results in retention of wild-type copies of the other. These observations suggest oncogenic regulation of *KRAS* or *BRAF* is a very important step in the development of PDAC [112, 137].

2.10.5. *CCND1*

The protein encoded by this gene belongs to the highly conserved cyclin family, whose members are characterized by a dramatic periodicity in protein abundance throughout the cell cycle. *CCND1* forms a complex with and functions as a regulatory subunit of CDK4 or CDK6, whose activity is required for cell cycle G1/S transition. Southern analysis showed *CCND1* amplification present in 25% PDAC which is correlated with increased expression [169].

2.10.6. *RB1*

Function of pRb is to prevent excessive cell growth by inhibiting cell cycle progression until a cell is ready to divide. When the cell is ready to divide, pRb is phosphorylated, becomes inactive and allows cell cycle progression. It is also a recruiter of several chromatin remodeling enzymes such as methylases and acetylases. In many cancers, RB gene is frequently mutated but in PDAC rare mutations (<6%) are reported [170].

2.10.7. *CCNE1*

Cyclins function as regulators of CDK kinases which contribute to the temporal coordination of each mitotic event. *CCNE1* forms a complex with and functions as a regulatory subunit of CDK2, whose activity is required for cell cycle G1/S transition. Amplification and overexpression of *CCNE1* reported in various cancers include colon adenocarcinoma, metastatic ductal carcinoma of the breast, serous carcinoma of the ovary, and adenocarcinoma of the stomach. Immunohistochemistry (IHC) studies of *CCNE1* suggest overexpression of *CCNE1* in ~6% of PDAC with gene amplification and mutational inactivation of *FBXW7* [171].

2.10.8. DCC

Deleted in Colorectal Carcinoma (*DCC*) is a tumor suppressor gene named due to its rare homozygous deletion in colorectal carcinomas. In a study, 6% of PDAC is reported to have this mutation in *DCC* gene, suggesting a role in PDAC [172, 173].

2.10.9. MYB

MYB proto-oncogene acts as a transcriptional activator in humans. This gene encodes a protein with 3 HTH DNA-binding domains that functions as a transcription regulator. This protein plays an essential role in the regulation of hematopoiesis. *MYB* amplification is reported in 4-6% of PDAC cell lines and tissues [171, 174, 175]. Studies indicate that the *c-myc* oncogene was overexpressed not only in the amplified samples but also in the majority of the examined PC tissues and cell lines, suggesting that amplification is one of the mechanisms leading to overexpression. Genetic alterations of *c-myc* were mainly found in advanced tumors, indicating a possible correlation between tumor progression and aggressive tumor phenotypes.

2.10.10. MAP2K4/MKK4

MAP2K4 encodes a member of the Mitogen-Activated Protein Kinase (MAPK) family involved in a wide variety of cellular processes such as proliferation, differentiation, transcription regulation, and development. Studies showed deletion and mutation in *MKK4* in human PC cell lines may have an additional role of *MKK4* as tumor suppressor genes. Homozygous deletions in *MKK4* were detected in 2-4 % of PDAC [176, 177]. In addition, in a panel of 45 PDAC pre-screened for loss of heterozygosity, one somatic missense mutation of *MKK4* was observed. The finding of a somatic missense mutation in the absence of any other nucleotide polymorphisms or silent nucleotide changes continues to favor *MKK4* as a mutational targeted tumor suppressor gene [176].

2.10.11. EGFR/ERBB1/Her1

The protein encoded by Epidermal Growth Factor Receptor (*EGFR*) is a transmembrane glycoprotein that is member of the protein kinase superfamily. *EGFR* is a cell surface protein that binds to epidermal growth factor. Binding of the protein to a ligand induces receptor dimerization and tyrosine autophosphorylation and leads to cell proliferation. In a study of 55 PDAC patients, 2 patients (3.6%) showed mutation delE746-A750 in tyrosine kinase domain, though few reports suggest no occurrence of *EGFR* mutations in PDAC [178].

2.10.12. PTEN

The *PTEN* is a tumor suppressor gene that provides instructions for making an enzyme that is found in almost all tissues in the body. The enzyme acts as a tumor suppressor, which means that it helps regulate cell division by keeping cells from growing and dividing too rapidly or in an uncontrolled way. Disease progression of PDAC has been associated with frequent loss of tumor suppressors like PI3K/PTEN pathway. Aberrant expression and deletion of the *PTEN* gene has been frequently reported in PDAC [179].

2.10.13. MLH1

It is a MMR gene homolog of the *E. coli* DNA, mutL. *MLH1* mediates protein-protein interactions during mismatch recognition, strand discrimination, and strand removal. Defects in *MLH1* are associated with the MicroSatellite Instability (MSI) observed in HNPCC. Genetic alterations in *MLH1* have been reported in 3-15 % of PDAC [180, 181].

2.10.14. PIK3CA

PI3K-AKT pathway is a key effector of Ras dependent transformation of many cell types and play role in cell survival, cell proliferation, and other growth related processes. The *PIK3CA* gene provides instructions for making the p110 alpha (p110 α) protein, which is one piece (subunit) of an enzyme called phosphatidylinositol 3-kinase (PI3K). The p110 α protein is called the catalytic subunit because it performs the action of PI3K, while the other subunit (produced by a different gene) regulates the enzyme's activity. Activating mutations of *PIK3CA*, gene encoding PI3K, have been reported in subset of PDAC. It was found 11% of IPMNs carries *PIK3CA* mutations [182].

2.10.15. STK11/LKB1

The *STK11/LKB1* gene, which encodes a member of the serine/threonine kinase family, regulates cell polarity and functions as a tumor suppressor. Though *STK11/LKB1* gene mutations are associated with PJS that increase risk of PDAC, sporadic mutations observed in 5% cases of PDAC particularly those arise in association of IPMN. LOH has been observed in 25% of patients with IPMN who lack PJS features [183].

2.10.16. ACVR1B

This gene encodes an activin A type IB receptor. Activins are dimeric growth and differentiation factors which belong to the transforming growth factor-beta (TGF-beta) superfamily of structurally related signaling proteins. Xenograft model studies showed homozygous deletion of exon 8 (657bp) and 6 bp deletion in exon 7. Sequencing analysis of the *MADH4* gene revealed a nonsense mutation in the exon 5 (codon 245) of the *MADH4* gene in that same xenograft model suggests coexistence of mutations of *ACVR1B* and *MADH4* in PDAC [153].

2.10.17. TGFBR2

The *TGFBR2* gene provides instructions for making a protein called transforming growth factor-beta (TGF- β) receptor type 2. This receptor transmits signals from the cell surface into the cell through a process called signal transduction. Through this type of signaling, the environment outside the cell affects activities inside the cell such as stimulation of cell growth and division. Somatic mutations in *TGFBR2* were identified in 4.1% which includes homozygous deletion in PDAC [157].

2.10.18. GUCY2F

Retinal guanylyl cyclase 2 also known as guanylate cyclase F (*GUCY2F*) is a protein that in humans is encoded by the *GUCY2F* gene. The protein encoded by this gene is a guanylyl cyclase found predominantly in photoreceptors in

the retina. The encoded protein is thought to be involved in resynthesis of cGMP after light activation of the visual signal transduction cascade, allowing a return to the dark state. In a study of 60 PDAC samples, *GUCY2F* mutation was found in 2 in percentage samples (p.Lys672Thr, p.Lys1063 Gln) [184].

2.10.19. *NTRK3*

This gene encodes a member of the Neurotrophic Tyrosine Receptor Kinase (NTRK) family. This kinase is a membrane-bound receptor that, upon neurotrophin binding, phosphorylates itself and members of the MAPK pathway. Signaling through this kinase leads to cell differentiation and may play a role in the development of proprioceptive neurons that sense body position. A report showed a mutation in *NTRK3* gene (p.His599Ty) that was detected in 1.6% of PDAC cases [184].

2.10.20. *FBXW7/CDC4*

F-box/WD repeat-containing protein 7 protein in humans is encoded by the *FBXW7* gene. This gene encodes a member of the F-box protein family which is characterized by an approximately 40 amino acid motif, the F-box. The F-box proteins constitute 1 of the 4 subunits of ubiquitin protein ligase complex called SCFs (SKP1-cullin-F-box), which function in phosphorylation-dependent ubiquitination. It was reported that mutation in *FBXW7* found in 1% of PDAC [171].

2.10.21. *EP300*

This gene encodes the adenovirus E1A-associated cellular p300 transcriptional co-activator protein. The protein functions as histone acetyltransferase that regulates transcription *via* chromatin remodeling, and is important in the processes of cell proliferation and differentiation. It mediates cAMP-gene regulation by binding specifically to phosphorylated CREB protein. In a study of epithelial tumors, 2 pancreatic cell lines found to have a missense mutations and in frame insertion [185].

2.10.22. *ALK5/TGFBR1*

The protein encoded by this gene forms a heteromeric complex with type II TGF- β receptors when bound to TGF- β , transducing the TGF- β signal from the cell surface to the cytoplasm. The encoded protein is a serine/threonine protein kinase. A homozygous deletion in *ALK5* gene was identified in 1% of PDAC patient [157].

2.10.23. *ACVR2*

The Activin type 2 receptors modulate signals for ligands belonging to the transforming growth factor beta superfamily of ligands. It functions in TGF β signaling pathway. *ACTVR2* mutations were identified in pancreatic cell lines, pancreatic tumors with both MSI positive and negative cases. All of the *ACVR2* mutations are truncating mutations and defective *ACVR2* result in the reduction of activin mediated cell signaling. These are involved in a host of physiological processes including, growth, cell differentiation, homeostasis, osteogenesis, apoptosis and many other functions. In a study, *ACVR2A* was found to be mutated in all MSI pancreatic tumors [186].

2.10.24. *BRCA2/FANCD1*

Though *BRCA2* mutations are generally inherited germline mutations, but only one case of sporadic pancreatic cancer due to the somatic inactivation of both *BRCA2* alleles in PDAC is reported [187]. In a few cases, *BRCA2* is mutated in late tumor progression. In that scenario, the DNA repair pathway is altered (Table 2).

2.11. Somatic Alterations in Periapillary Adenocarcinoma

Genomic and molecular studies have not been extensively studied worldwide for PACs. Though few studies reported somatic key alterations in PACs, which is less common with PC. The rate of *KRAS* mutation in ampullary subtype is 40-50% [188]. In a study of 52 ampullary cancers, where 25 were intestinal subtype and 24 were pancreatobiliary subtype, *KRAS* mutation observed in 52% intestinal subtype, whereas, 42% of the pancreatobiliary subtype had *KRAS* mutation [188]. Schultz *et al.* found that 80% of resected PDACs and 67% of ampullary cancers in chemotherapy-naïve pancreatic and ampullary cancers had *KRAS* mutation [189]. *KRAS* mutation in the biliary subtype is much lower than ampullary subtype. A study reported 33% *KRAS* mutation in biliary subtype [190]. Another study of oncogenic mutations in *KRAS*, *PIK3CA*, *MET*, *BRAF*, *EGFR*, and *NRAS* of 94 resected cholangiocarcinoma reported 25 mutations predominantly in *KRAS*, *PIK3CA*, *MET*, *BRAF*, *EGFR*, and *NRAS* [191]. Borger *et al.* reported that mutations in *IDH1* and *IDH2* were only found in only intrahepatic, but not in extrahepatic cholangiocarcinomas. The incidence of *KRAS* mutation was 5% in intrahepatic and 23% in extrahepatic cholangiocarcinomas [192]. In a study, the incidence of *KRAS* mutation was found to be 35% in duodenal cancer subtype [193]. Seventy-four percent of the mutations were G>A transitions. The same mutation was associated with late-stage and poor differentiation [193]. In another study, *KRAS* mutation was found in 32% of the patients but no *BRAF* mutation was observed [194]. Interestingly, in a study by Schonleben *et al.*, *BRAF* mutations (V600E and G469A) were observed in 66% (2/3) of PAC of duodenal subtype [24]. They also identified 28.6% *KRAS* mutation in all PAC. No mutation was detected in *HRAS*, *NRAS*, and *PIK3CA* [24]. Oliveira-Cunha *et al.* reported 41% of *KRAS* mutation incidence in 68 cases of PDAC and PAC [195] (Table 3) (Fig. 1).

2.12. Common Germline and Somatic Alterations of PDAC and PAC

In families with PC where the gene mutation is known, genetic counseling and testing could predict the PDAC risk of individuals. It has clinical implications for the affected individuals in addition to at-risk individuals in the family. Germline mutations of *CDKN2A*, *STK11*, *TP53*, *BRCA2* and *MLH1* are established as causative genes in hereditary syndrome associated with PDAC and FPC. *CDKN2A* and *TP53* genes also somatically altered in PDAC in higher alteration frequencies; however *STK11*, *BRCA2* and *MLH1* genes showed lower alteration frequencies in PDAC. This observation notifies that the roles of these genes are very important in PDAC development pathways, and are

Table 2. High frequency and low frequency somatic alterations in pancreatic ductal adenocarcinoma.

Gene Name	Type of Alterations	Frequency	Function
<i>KRAS</i>	Point Mutation	80-90%	GTPase
<i>CDKN2A</i>	Deletion, LOH	80%	Regulate cell cycle
<i>TP53</i>	Point Mutation, LOH	80%	Regulate DNA repair, Cell cycle, apoptosis
<i>SAMD4/DPC4</i>	Deletion, LOH	50-60%	Signal transducer
<i>MUC1</i>	Point Mutation	10-31%	Growth, differentiation, anti apoptotic
<i>AIB1/NCOA3</i>	Amplification	10%	Transcriptional coactivator
<i>ERBB2/HER2/EGFR2</i>	Amplification	10-60%	Signal Transduction
<i>AKT2</i>	Amplification	10-60%	Serine/threonine-protein kinase
<i>BRAF</i>	Point Mutation	0-33%	Growth signal transduction protein kinase
<i>CCND1</i>	Amplification	25%	Regulate Cell Cycle
<i>RB1</i>	Mutation	<6%	Regulate Cell Cycle
<i>CCNE1</i>	Amplification	6%	Regulate Cell Cycle
<i>DCC</i>	Mutation	6%	Transmembrane receptor protein
<i>MYB</i>	Amplification	4-6%	Transcription factor
<i>MKK4/MAP2K4</i>	Deletion	2-4%	Ser/Thr protein kinase
<i>EGFR/HER1/ERBB1</i>	Deletion	3.6%	Cell surface receptors
<i>MLH1/hMLH1</i>	Mutation	3-15%	DNA mismatch repair
<i>PIK3CA/PI3K</i>	Mutation	11%	Phosphorylate phosphatidylinositols
<i>STK11/LKB1</i>	Mutation	5%	Serine/threonine kinase
<i>TGFBR2</i>	Deletion	4.1%	Serine/threonine protein kinase, and TGF receptor subfamily
<i>GUCY2F</i>	Mutation	1.2%	Resynthesis of cGMP after light activation of the visual signal transduction cascade
<i>NTRK3</i>	Mutation	0.6%	Receptor tyrosine kinase
<i>FBXW7</i>	Mutation	1%	Targets cyclin E for ubiquitin-mediated degradation
<i>TGFBR1/ALK5</i>	Mutation	1%	Serine/threonine protein kinase

Table 3. Somatic alterations in periampullary adenocarcinoma.

Gene	Type of Alterations	Frequency	Function
<i>KRAS</i>	Mutation	30-60%	GTPase
<i>BRAF</i>	Mutation	0-66%	Growth signal transduction protein kinase
<i>PIK3CA</i>	Mutation	5.3%	Signal transduction
<i>MET</i>	Mutation	4.25%	Receptor tyrosine kinase
<i>EGFR/HER1/ERBB1</i>	Mutation	1%	Cell surface receptors
<i>NRAS</i>	Mutation	1%	GTPase

commonly altered in PDAC. These genes might be candidate genes for PDAC progression and development. No single genetic change has been identified as the catalyst for tumorigenesis in PDAC. Pancreatic and periampullary are common tumors, the origin of these tumors are in very close proximity. Somatic mutations of *KRAS*, *BRAF*, *PIK3CA* and *EGFR* genes are common in between PDAC and PAC (Fig. 1). There are certain genes altered are unique for PAC. Pancreatic ductal adenocarcinoma also arises from pancreatic head and body. Periampullary adenocarcinomas located in the pancreatic head may arise from the ampulla, the periampullary duodenum, the distal bile duct, or the pancreatic tissue itself. Pancreatobiliary subtype of PAC might share similar kind of mutation pattern though the mutation spectra of PAC are not well studied. Due to morphological origin variations, the mutation pattern of several PAC subtypes might differ between each other. These reports indicate that different mechanisms might play the key role in disease pathogenesis. *KRAS* mutations are more common in pancreatobiliary malignancies and PDAC indicate that they might follow similar mechanism of action. This would suggest that interactions among the identified common genes may confer selective growth advantages for neoplastic transformation. In PAC, this type of phenomena could not be observed as the study reports are not present in details. More research needs to be conducted on the genomic characteristics of PAC. Identification and characterization of somatic and/or germline genetic alterations would provide the mechanistic foundation of these genetically complex diseases.

CONCLUSION

Pancreatic ductal adenocarcinoma and PAC continue to be a devastating disease. These cancers are fatal and difficult to treat. In India, the incidence rate of these cancers is increasing very fast in the last two decades. We do not have recent reports on PDAC and PAC from different parts of Indian subcontinent. Major understanding of the earliest histologic and molecular changes has been well understood. Critical risk factors of PDAC like, lifestyle, environmental and occupational risk factors are extremely defined for western population, whereas in Indian patient population data is very limited and the cause of the disease is poorly understood. The exact molecular architecture of different stages of PDAC is well established in Western countries however, in Indian scenario, the genomic alteration in PDAC and different subtypes of PAC has not been explored yet. Screening of high-frequency FPC genes among families with PC can identify high-risk individuals among families. Though early drivers of PDAC and their molecular mechanism are completely understood, targeting them for therapeutic intervals is yet not possible. Advancement of sequencing technology has already revealed novel genetic alterations and probable pathways. Novel therapeutics target new drivers or combination of drivers is in clinical trials. More research needs to be done on genomic alterations of PAC to identify the key or early drivers for different histopathological subtypes. As PDAC and PAC are fast growing and detected in advance stages, the current goal of research should be focused on identification of early biomarkers as well as novel targeted therapeutics. Novel strategies based on genomic information seem

likely to revolutionize PDAC therapy over the next few years, and may ultimately lead to fully personalized or precision medicine.

LIST OF ABBREVIATIONS

ASR	=	Age Standardized Rate
ATM	=	Ataxia Teleangiectasia
<i>CFTR</i>	=	Cystic Fibrosis Transmembrane Receptor
CP	=	Chronic Pancreatitis
FAMMM	=	Familial Atypical Multiple Mole Melanoma
FAP	=	Familial Adenomatous Polyposis
FDR	=	First-Degree Relative
FPC	=	Familial Pancreatic Cancer
HBOC	=	Hereditary Breast-Ovarian Cancer
HNPCC	=	Hereditary Nonpolyposis Colorectal Carcinoma
HP	=	Hereditary Pancreatitis
IHC	=	Immunohistochemistry
IPMN	=	Intraductal Papillary Mucinous Neoplasms
LFS	=	Li-Fraumeni Syndrome
LOH	=	Loss Of Heterozygosity
LS	=	Lynch Syndrome
MCN	=	Mucinous Cystic Neoplasms
MSI	=	Microsatellite Instability
PAC	=	Periampullary Adenocarcinoma
PanIN	=	Pancreatic Intraepithelial Neoplasms
PC	=	Pancreatic Cancer
PDAC	=	Pancreatic Ductal Adenocarcinoma
PJS	=	Peutz-Jeghers Syndrome
RR	=	Relative Risk
TGF- β	=	Transforming Growth Factor-beta
TSG	=	Tumor Suppressor Gene

CONSENT FOR PUBLICATION

Not applicable.

CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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AUTHORS' CONTRIBUTIONS

Sikdar N. designed the outline, performed the majority of the writing and supervised the review. Saha G., Dutta A., Ghosh S., Shrikhande V.S., and Banerjee S. performed writing and editing of the review.

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