

## Review

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# Exploring the Connection Between the Gut Microbiome and Parkinson's Disease Symptom Progression and Pathology: Implications for Supplementary Treatment Options

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**Abstract.** The contribution of the microbiota to induce gastrointestinal inflammation is hypothesized to be a key component of alpha-synuclein (aSyn) aggregation within the gastrointestinal (GI) tract in the pathological progression of Parkinson's disease (PD). The function of the GI tract is governed by a system of neurons that form part of the enteric nervous system (ENS). The ENS hosts 100–500 million nerve cells within two thin layers lining the GI tract. The gut-brain axis (GBA) is the major communication pathway between the ENS and the central nervous system. It has become increasingly clear that the microbiota in the gut are key regulators of GBA function and help to maintain homeostasis in the immune and endocrine systems. The GBA may act as a possible etiological launching pad for the pathogenesis of age-related neurodegenerative diseases, such as PD, because of an imbalance in the gut microbiota. PD is a multi-faceted illness with multiple biological, immunological, and environmental factors contributing to its pathological progression. Interestingly, individuals with PD have an altered gut microbiota compared to healthy individuals. However, there is a lack of literature describing the relationship between microbiota composition in the gut and symptom progression in PD patients. This review article examines how the pathology and symptomology of PD may originate from dysregulated signaling in the ENS. We then discuss by targeting the imbalance within the gut microbiota such as prebiotics and probiotics, some of the prodromal symptoms might be alleviated, possibly curtailing the pathological spread of aSyn and ensuing debilitating motor symptoms.

**Keywords:** Microbiome, Parkinson's disease, alpha-synuclein, prodromal symptoms, probiotics, prebiotics, fecal microbiota transplantation, gastrointestinal tract

## INTRODUCTION

Parkinson's disease (PD) is the second most common neurodegenerative disorder affecting approxi-

mately 2–3% of people worldwide above the age of 65. With an increase in life expectancy and an aging population, PD has been determined to be the fastest growing neurological disorder [1]. PD affects males 1.5 times more than females with most cases arising in higher-income nations [1, 2]. Classified as a multi-faceted illness, there are several biological, immunological, and environmental factors that con-

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tribute to the pathological progression of this disease. Depending on these risk factors, the etiology of PD has been classically categorized as familial/genetic or sporadic/idiopathic. In this regard, the possibility that the factors contributing to PD exist on a spectrum with familial links that result in PD diagnosis at one end and purely environmental impacts at the other. Familial PD has a strong genetic component and is caused by specific gene mutations. However, since not all genes associated with PD give rise to Parkinsonian symptoms speaks to the role of environmental factors in the provocation of the illness. Further suggesting that sporadic/idiopathic PD may have multiple unknown contributing factors, or interactive effects of genetic vulnerability and environmental factors that make up the 95% majority of confirmed PD cases [3].

Whether the etiology of PD is familial or sporadic, cardinal symptoms among PD patients include resting tremor, bradykinesia, rigidity, postural instability, and stooped posture [4]. A diagnosis of PD is normally made only once these motor symptoms become apparent in an individual. Along with the emergence of the cardinal motor symptoms, it is also at this time that around 60–80% of dopaminergic neurons have degenerated in the substantia nigra (SN) and approximately 80% of dopamine levels are depleted in the nigrostriatal terminals [5, 6]. A key pathological hallmark associated with dopaminergic cell death is the intraneuronal misfolding and aggregation of the neuronal protein, alpha-synuclein (aSyn) [7].

aSyn is a 140 amino acid (aa) polypeptide encoded by the *SNCA* gene located at the q21-23 region of the long arms of chromosome 4 [8]. This protein is predominantly found in native monomeric forms at the presynaptic terminal in the brain and in various tissues such as the heart and the gastrointestinal (GI) tract [9, 10]. Although the function of aSyn remains elusive, the location of aSyn has hinted at its role in synaptic plasticity, vesicle packaging, and neurotransmitter release [11]. In 1997, two pivotal studies confirmed the involvement of aSyn in PD by identifying a missense point mutation of A53T in the *SNCA* gene that led to early-onset familial PD [12] and discovering that Lewy bodies (LBs) are mainly composed of aggregated forms of aSyn [13].

Initially, aSyn aggregation begins slowly as monomeric aSyn starts to misfold and clump into oligomeric structures. Protein degradation systems like the ubiquitin-proteasome system and autophagy-lysosomal pathways are present to combat the formation of aggregated aSyn [14]. Over time, the ability to degrade abnormal protein structures declines, causing increased levels of misfolded aSyn. This creates an imbalance between monomer and oligomer forms of aSyn, resulting in misfolded protein accumulation. As the aggregation continues to develop, oligomeric forms of aSyn develop into stable insoluble fibrils (Fig. 1). It is hypothesized that the fibrils may spread to neighboring cells in a prion-like manner and act as a template for monomeric aSyn proteins to misfold, propagating aSyn-associated

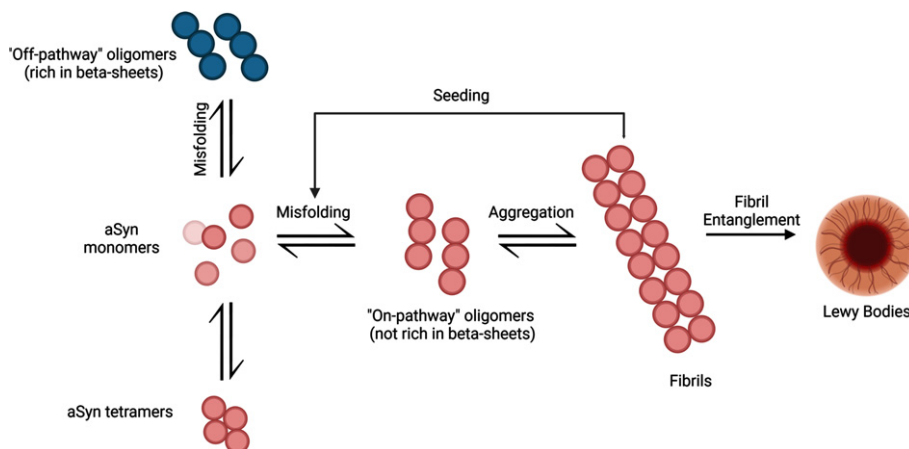


Fig. 1. The aggregation process of aSyn protein. aSyn protein is normally found in natively unfolded monomeric structures but can also be found in  $\alpha$ -helical tetramers when binding to lipid compounds. Aggregation of misfolded aSyn protein can either form oligomers that are rich in  $\beta$ -sheet formations (off-pathway) or oligomers that are not rich in  $\beta$ -sheet formations (on-pathway). Oligomers that are not rich in  $\beta$ -sheet conformations aggregate into fibrils, ultimately leading to the formation of LBs. These pathologic aSyn fibrils can act as a template for aSyn monomers and induce misfolding, creating a cycle of misfolding and aggregation of aSyn. Created with BioRender.com.

neurodegeneration [15]. Altogether, examining the ability of pathogenic aSyn to spread to neighboring cells and induce aSyn-associated cellular death may help to uncover the trajectory of tissue-level damage and determine its relationship to the various symptom profiles associated with PD pathology.

Although the diagnosis of PD is dependent on the emergence of motor symptoms, prodromal non-motor symptoms often start before motor symptoms emerge (Fig. 2) [16]. These non-motor symptoms range from cognitive and behavioral symptoms, to sleep disorders, and gastrointestinal issues, with gastrointestinal symptoms affecting 80% of PD [17]. Because gastrointestinal issues are common in PD and arise years prior to motor symptoms, viewing changes in gastrointestinal function may prove to be a biomarker in the early identification and treatment of PD [18, 19].

## BRAAK STAGING

First described in 2003, the “Braak’s hypothesis” suggests PD pathology follows a six-stage sequence during disease development that may start in the peripheral nervous system (PNS) [20]. This model

is further expanded by the “dual-hit” hypothesis, as it suggests that PD may originate from environmental toxins such as air pollutants and viruses that enter through the nasal and/or GI route before spreading towards the brain via the vagus nerve [21]. Alternatively, in other patients with PD, it also has been hypothesized to originate in the central nervous system (CNS) and affect the amygdala first before spreading to the SN and PNS [22]. In this “CNS-first” model, possible sites of LB pathology may originate in the amygdala or in off-regions such as the olfactory bulb [23]. In addition, there are key differences between the “PNS-first” and the “CNS-first” PD phenotypes. Primarily, in the “PNS-first” model there is a strong association in patients that experience REM sleep behavior disorders (RBD) in the prodromal phase, whereas in the “CNS-first” model, there is a lack of RBDs. Interestingly, despite nigrostriatal dopaminergic dysfunction occurring after PNS damage in the “PNS-first” model compared to dopaminergic dysfunction occurring before PNS involvement in the “CNS-first” model, motor symptom progression is faster in the “PNS-first” model [23]. While it is probable that there are different subtypes of PD as suggested by Borghammer & Van Den

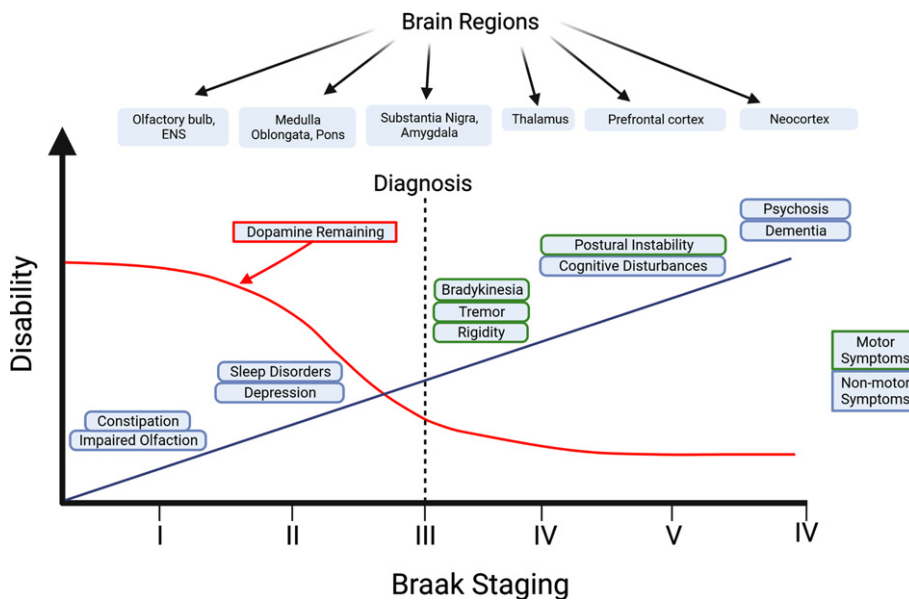


Fig. 2. Progression of non-motor and motor symptoms in Parkinson’s disease. In the Braak Model of PD, it is hypothesized that the origin of PD originates in the PNS such as the olfactory bulbs and the ENS (Stage I). Non-motor symptoms can start to appear months or years prior to the onset of motor symptoms. Transmission of pathogenic forms of aSyn is thought to spread throughout the body in a prion-like manner, where aggregated aSyn can travel from the ENS to the brain via the vagus nerve. The diagnosis of PD is made once motor symptoms become apparent (Stage III). Long-term progression of PD causes a decrease in dopamine levels in the brain and an increase in the severity of motor and non-motor symptoms such as postural instability and cognitive disturbances, resulting in a dramatic decline in the quality of life of PD patients. Created with BioRender.com.

Berge [22], the “PNS-first” model continues to be used as the gold standard for PD progression since most PD-positive patients show this pattern of pathology [22]. When looking at the biopsies of PD patients, all patients showed signs of LB pathology in the esophagus, suggesting that LB formations could arise outside the CNS [24]. Further autopsy reports showed LB pathology in other ENS areas like the stomach, colon, and rectum [25]. To explore the consequences of LB pathology in the ENS, preformed aSyn fibrils (PFFs) were injected into the gastrointestinal tract of wild-type mice. Within days, phosphorylated-aSyn pathology was observed in both the ENS and the dorsal motor nucleus of the vagus nerve (DMV) before reaching the substantia nigra pars compacta (SNpc) [26, 27]. Taken together, these pieces of evidence help support Braak’s hypothesis of PD pathology originating in the PNS before reaching the brain via aSyn prion-like mechanisms.

### **THE ENTERIC NERVOUS SYSTEM’S ROLE IN PD**

Referred to as the “second brain”, the enteric nervous system (ENS) contains 100–500 million neurons, making it the largest collection of neurons outside the CNS [28, 29]. These neurons are held within two thin layers lining the GI tract from the esophagus to the rectum and share similar chemical coding, structure, and function as neurons within the CNS [30]. The ENS consists of two networks of cells: the submucosal plexus, which regulates local functioning such as absorption and secretion, and the myenteric plexus, that is more involved in muscle movement including gut motility [28, 29]. Despite the ENS functioning independently from the CNS, frequent communication occurs between the two systems via the gut-brain axis (GBA). The GBA is a bidirectional communication pathway between the brain and the gut, and consists of crosstalk between the neuronal, hormonal, and immune systems [31]. The GBA is composed of multiple components including the CNS, the autonomic nervous system (ANS), the ENS, and the hypothalamic-pituitary-adrenal (HPA) axis [32]. Neuronal inputs from the ANS and the ENS innervate the vagus nerve and travel up to the lower brainstem regions. From here, information is passed on to higher order information processing neural networks (e.g., emotional, cognitive) of the brain [30]. While the GBA is a bidirectional pathway, most connections come from

afferent projections from the gut and are heavily regulated by the gut microbiome [33].

The gut microbiome consists of a balance between bacteria, viruses, fungi, archaea, and many unicellular eukaryotes belonging to hundreds of different species [34, 35]. With a diverse microbiota profile, the gut microbiome influences the ENS in numerous physiological processes that include digestion, nutrient absorption, development, and metabolism [34, 36]. As microbiota are sensitive to changes in the local environment, their abundance levels and function can be altered by various factors such as vaginal birth, diet, genetics, environmental exposures, and antibiotic use [37]. Consequently, this may cause a shift in gut microbial composition towards an overabundance of pathogenic bacteria that are capable of releasing endotoxins that induce inflammation and compromise intestinal wall integrity, thereby leading to numerous diseases like IBS and inflammatory bowel disease (Fig. 3) [38–40].

### **GUT MICROBIOTA ALTERATIONS IN PD**

The GI symptoms that PD patients experience may be caused by changes in the composition of the GI microbiome. In studies that investigate the composition of a patient’s microbiome, there are two techniques available: 16S rRNA gene-based amplicon sequencing and whole-genome shotgun metagenomic sequencing. The former technique extracts the DNA from all cells in the area of interest and targets the 16S rRNA gene portion of the bacterial genome and amplifies it using PCR for microbial composition. Whereas whole-genome shotgun sequencing takes the DNA from cells and breaks it up into small fragments and amplifies by means of PCR. Next, a DNA library is created from these DNA fragments. By using computer software, these fragments are joined together from their overlapping sequences to create a larger strand of DNA, eventually forming a whole genome sequence [41]. While both techniques identify the composition of microbial communities, inconsistent findings may arise when comparing studies that use different sequencing approaches [42]. Given that 16S rRNA sequencing is more affordable it is more commonly used, although output using this technique limits its findings to genus-level. In contrast, WGS is known for being more expensive, yet it can provide more details pertaining to the species-specific microbial compositions [41].

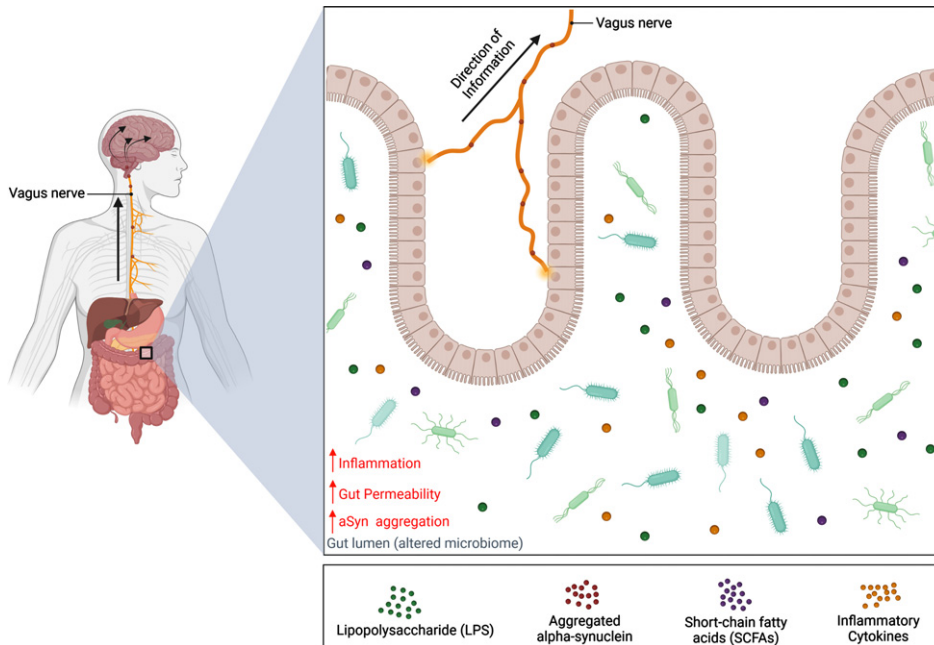


Fig. 3. Schematic representation of the gut-brain axis in Parkinson's disease. Alterations of the microbiota composition can be caused by various factors such as genetics and environmental risks. Dysbiosis of the microbiome can contribute to PD pathology in the gut before spreading to the brain via the vagus nerve. This results in a decrease in abundance of beneficial bacteria and a reduction of their metabolites, SCFAs, which have been shown to lower inflammation and gut permeability. Meanwhile, the abundance of harmful bacteria increases, along with the release of endotoxins like LPS. It is hypothesized that these endotoxins can increase inflammatory cytokine expression and cause the gut to become permeable for bacteria and endotoxins to enter the CNS and induce aSyn misfolding. Consequently, aSyn pathology can develop in the gut and travel up the vagus nerve where pathogenic aSyn can spread to brain regions in a prion-like manner. Created with BioRender.com.

Overall, several studies have suggested that distinct differences in gut microbiota exist among PD patients compared to healthy controls [43–48]. For example, in a recent meta-analysis, the microbial genera *Lactobacillus*, *Akkermansia*, and *Bifidobacterium* were increased in PD patients, while the microbial *Lachnospiraceae* family, and *Faecalibacterium* genus were reduced [43]. Interrelationships between different PD phenotypes and abundance of key species of bacteria have also been found as levels of gram-negative bacteria, *Enterobacteriaceae*, were higher in PD patients with postural instability and gait difficulty compared to tremor-dominant PD patients [45] while PD duration and disease severity were positively correlated with Bacteroidetes and Proteobacteria phylum [44].

Altered microbial composition in the gut can also modify the number of by-products that bacteria produce. Bacterial metabolites known as short-chain fatty acids (SCFAs) are created by bacteria that break down fiber and resistant starch from the diet. The main SCFAs produced are acetate, pro-

pionate, and butyrate [49]. Although SCFAs levels are reduced in PD patients, the role of SCFA in PD remains controversial [50, 51]. Numerous beneficial functions have been associated with SCFAs as they help regulate intestinal wall integrity, curb inflammation, and reduce blood-brain barrier (BBB) permeability [51, 52]. However, in an animal study conducted by Sampson et al. [50], oral administration of SCFAs to germ-free mice accelerated aSyn pathology and exacerbated inflammation mediated by activated microglia, suggesting that an extreme imbalance in SCFAs could have negative outcomes for disease.

Due to the microbiome in the gut being extremely heterogeneous, it may be possible that any alterations in the gut may affect the abundance of several bacterial populations. These changes may cascade to extreme boundaries such as changes in SCFA production. Thus, this review seeks to provide insight for how altered gut microbiota composition may influence various mechanisms that propagate PD symptomatology.

## THE IMPACT OF ALTERED GUT MICROBIOTA COMPOSITION ON PD SYMPTOMOLOGY

### Inflammation

One contributing factor to gut dysfunction is inflammation, which is also a major component of PD pathogenesis [53]. Recent studies suggest that systemic inflammation in both the CNS and PNS contributes to promoting PD pathology via the chronic release of pro-inflammatory cytokines and the proliferation of immune cells stemming from alterations in gut functioning [54]. As described above, the local environment that surrounds the gut is heavily influenced by the microbiota. Due to alterations in gut composition, the number of by-products that the bacteria produce and release into the gut's local environment may affect PD pathogenesis. A meta-analysis determined that bacteria belonging to the families *Lachnospiraceae* and *Ruminococcaceae* were reduced in PD patients. These families are known producers of SCFAs like butyrate and similar reductions in their levels have been found in other inflammatory disorders [43]. Other meta-analysis studies have found similar results with decreased levels of Firmicutes belonging to the genera *Roseburia* and *Faecalibacterium*, both of which are SCFA-producing bacteria [55]. Bacteria from the genus *Faecalibacterium* are some of the most abundant found in the healthy human gut. They play a vital role in regulating gut health as anti-inflammatory bacteria by balancing immune-related factors and regulating microbiome metabolism [56]. In an *in vitro* study, Sokol et al. [57] showed that peripheral blood mononuclear cells treated with *Faecalibacterium prausnitzii* bacteria isolated from human stool samples produced the highest levels of anti-inflammatory cytokines (e.g., IL-10) and the lowest levels of pro-inflammatory cytokines (e.g., IL-12 and IFN- $\gamma$ ) when compared to other commensal bacterial species. Since alterations in the abundance of *Faecalibacterium* bacteria have been implicated in other gastrointestinal disorders, it may be no surprise that similar changes are observed in PD patients, which likely can contribute to the dysregulated immune-related mechanisms in the gut of these individuals [56].

Other bacteria, such as *Escherichia coli* (*E. coli*), have been shown to be increased in PD patients. One study determined that the overabundance of *E. coli* bacteria in the intestinal mucosa increased colon per-

meability in PD patients and was associated with several diseases marked by inflammation of the gut including Crohn's disease and ulcerative colitis [58, 59]. In addition, the increase in the abundance of certain gram-negative bacteria like *E. coli*, which can contain amyloid curli proteins on the cell surface, may trigger PD pathology [60]. A study conducted by Sampson et al. [60] reported that aSyn overexpressing (ASO) mutant mice displayed worsening motor and GI dysfunction when these mice were colonized with curli-producing *E. coli*. Further investigation showed that these mice had enhanced aSyn aggregation and inflammatory markers like IL-6 and TNF- $\alpha$  in the colon. In a similar study, Chen et al. [61] observed increased levels of TNF and IL-6 in several brain regions including in the striatum, SN, and the hippocampus in rats that were orally administered curli-producing *E. coli* bacteria.

*Helicobacter pylori* (*H. pylori*), a gram-negative bacterium, has also been shown to have a higher prevalence in PD patients. Although the mechanisms of *H. pylori* in PD remain unclear, individuals that are *H. pylori*-positive were at a 59% increased risk of developing PD [62]. When compared to *H. pylori*-negative PD patients, *H. pylori*-positive individuals experienced a longer disease duration overall and required higher doses of levodopa for treatment of motor symptoms [63]. Studies have shown that *H. pylori* can infect the gut by attaching to gastric epithelial cells and releasing proteins like vacuolating cytotoxin A, a toxin that can cause rapid tissue damage and apoptosis [64, 65]. Consequently, these events lead to the activation of the immune system by increasing inflammatory cytokines such as IL-8 and IL-1 $\beta$  [65, 66]. In addition, *H. pylori* can also contain lipopolysaccharides (LPS) on the cell surface that act as endotoxins, and trigger inflammation and apoptosis within the gut [67, 68].

### Mood

Of the non-motor symptoms that arise in PD, depression and anxiety have been commonly observed. While PD patients report a significant decrease in the quality of life (QoL) after being diagnosed [69], it was also reported that anxiety and depression-like symptoms can be experienced before motor symptoms [70]. While the mechanisms for these mood disorders are not fully established, several factors, such as inflammation and neurotransmitter imbalance, have been shown to contribute to the onset of these non-motor symptoms [71, 72].

Anxiety is a severe type of mental illness and is the most prominent psychiatric feature of PD patients as it affects more than half of those with this disease [69]. While anxiety is characterized by chronic overactivation of the HPA axis, human studies have suggested that acute stress can increase intestinal permeability via mast cell activation [73]. Paired with an inflammatory state caused by altered gut microbiota composition, the intestinal and BBB permeability becomes severely weakened. Accordingly, LPS released from gram-negative bacteria such as *E. coli* can travel up the vagus nerve, bypass the BBB, and over-activate the HPA axis, leading to anxiety-like behaviors [74]. Numerous mechanisms have been proposed for how LPS affects the HPA axis. First, LPS can activate the HPA axis through means of cytokine expression and activation of toll-like receptor (TLR) 4 [75]. Second, LPS can stimulate the release of corticosteroid-releasing hormone (CRH), which then results in the upregulation of ACTH leading to the secretion of cortisol [76, 77]. Lastly, LPS can directly influence cortisol by activating adrenal cells through cyclooxygenase-dependent mechanisms [78]. Demonstrated *in vivo*, intraperitoneal injection of LPS was administered in mice that were then assessed for behavioral outcomes. Mice that received a LPS injection had increased plasma corticosterone levels and displayed more anxiety-like behaviors as more time was spent in the closed arms of the elevated plus maze, as well as the outer limits of the open field test [79].

Although it is not surprising that individuals with PD report a decrease in QoL, it may be that altered gut microbiota composition could play a major role in modulating one aspect of QoL like depressive behaviors via impaired neurotransmission. While changes in monoamine activity are not the sole cause of depression, they are a critical component that underlies depressive-like symptoms. As previously mentioned, the ENS shares similar chemical signaling as the CNS. More than 90% of serotonin and other neurotransmitters such as gamma-aminobutyric acid (GABA) and dopamine are produced by gut microbes [80–82]. While serotonin that is synthesized outside the CNS cannot cross the BBB, the serotonin precursor, tryptophan, can. Considered an essential amino acid, tryptophan cannot be produced within the body and therefore must be consumed through the diet. Once in the gut, tryptophan can be delivered to the brain for serotonin production. However, there are multiple mechanisms in the GI tract that may take up the tryptophan supply and metabolize it

into other metabolites such as indole metabolites and metabolites in the kynurenine pathway [83]. With an abundance of gram-negative bacteria such as *E. coli*, tryptophan is converted into indole formations, oxindole and isatin, with tryptophanase enzymes [84]. When these indole metabolites were introduced in germ-free rats by colonizing *E. coli* in the gut, the rats demonstrated helplessness behavior in a tail suspension test, indicative of depressive-like behaviors, and increased anxiety-like behaviors on the open-field and elevated plus maze tests [84]. Furthermore, the inflammatory environment that is created in the gut by altered microbe composition can synthesize more tryptophan into kynurenine metabolites. One such kynurenine metabolite, called quinolinic acid, is neurotoxic and can cause cellular loss and contribute to PD-associated neurodegeneration [85, 86].

In addition to disturbances in serotonin production, altered gut microbiota composition may also impact dopamine production. As gram-negative bacteria abundance increases, beneficial bacteria, such as *Prevotellaceae*, can decrease. This negative change in bacteria has been linked to a decrease in a gut hormone called ghrelin in PD patients [45]. In an animal study that induced dopamine cell loss with MPTP, treatment with ghrelin promoted a neuroprotective effect on dopaminergic neurons by inhibiting the accumulation and phosphorylation of aSyn, promoting autophagy, and inhibiting endoplasmic reticulum-mediated apoptosis [87, 88]. Similarly, lower levels of SCFA-producing bacteria have been linked to dopamine deficiencies and depressive-like behaviors [89, 90]. The administration of SCFAs (acetate, propionate, and butyrate) has been shown to promote anti-depressive effects in animal models [91]. Specifically, butyrate can act as a histone deacetylase and bind onto G protein-coupled receptors, FA3 receptors, and prevent dopaminergic cell death and lead to increased striatal dopamine levels [92, 93].

#### *Alpha-synuclein protein aggregation*

Based on the “PNS-first” hypothesis, aSyn aggregation has been hypothesized to originate in the gut before traveling to the brain via prion-like transmission [15]. Studies have suggested that levels of aSyn aggregation can be influenced indirectly by the gut microbiome [94]. Kim et al. [67] induced human recombinant aSyn into forming fibrils in the presence or absence of LPS. After 7 days of fibrillization, the LPS-free aSyn fibrils or LPS-aSyn fibrils were

injected into the striatum of mice. Six months after injection, it was observed that LPS-treated fibrils promoted the misfolding of endogenous aSyn into oligomeric forms. These LPS-treated aSyn aggregates had different conformations compared to the wild-type aSyn fibrils, suggesting that LPS-treated aSyn could form distinct configurations based on different environmental factors such as those within the gut and could present different clinical features or lead to different aSyn-related pathological trajectories.

Along with LPS inducing aSyn aggregation, there are certain bacteria such as curli-producing *E. coli* that can propagate aSyn pathology in the gut of animals [95]. The *E. coli* genome encodes an amyloidogenic protein, CsgA that is the main component of biofilm that encompasses several bacteria [61]. Like other amyloid proteins, CsgA proteins are unfolded, but can self-induce fibrilization and form protein aggregates [96]. In a study by Sampson et al. [60], oral administration of CsgA was shown to accelerate aSyn aggregation and induce motor deficits in a Thy1-aSyn mouse model that overexpresses human aSyn protein, whereas in wild-type mice these effects were not observed after CsgA administration. While results from this study suggest that CsgA does not have a primary role in the initiation of aSyn aggregation and PD pathology, the summation of small events may cause a significant impact and exacerbate aSyn aggregation once PD pathology reaches a tipping point.

## TYPES OF MICROBIAL THERAPY IN PD

### Probiotics

Probiotics composed of beneficial bacteria have been shown to be a useful treatment to combat altered gut microbiota composition and alleviate PD-like symptoms. As described above, the local environment that surrounds the gut is heavily influenced by the microbiota. In an *in vivo* animal study, oral ingestion of probiotic Malaysian LAB-fermented cow's milk containing various species of *Lactobacillus* significantly reduced inflammatory cytokines and increased antioxidants in LPS-treated mice [97]. In another study, Magistrelli and colleagues [98] extracted peripheral blood mononuclear cells from 40 PD patients and 40 age-matched controls and cultured the cells against six different strains of probiotics belonging to the *Bifidobacterium* and *Lactobacillus* genera. By assessing the total amount of

cytokines and reactive oxygen species released from the cells, it was noted that all probiotic strains (*L. salivarius*, *L. plantarum*, *L. acidophilus*, *L. rhamnosus*, *B. animalis subsp. lactis*, and *B. breve*) lowered inflammatory cytokines and oxidative damage in both controls and PD patients. In rats, treatment with probiotics reduced activity of an elevated HPA axis response induced by a partial restraint model. Within 2 weeks of probiotic treatments, levels of plasma adrenocorticotropin (ACTH), corticosterone, and the hypothalamic corticotropin-releasing factor (CRF) diminished [99]. Other animal studies showed that administration of a probiotic strain, *Lactobacillus plantarum* PS128 (PS128), to germ-free mice increased serotonin and dopamine production in the striatum compared to controls [100]. In humans, a double-blind, placebo-controlled study was conducted on 38 healthy volunteers. Participants were either given a probiotic formulation containing species of *Lactobacillus* and *Bifidobacterium* or a placebo for 6 weeks. At the end of the study, participants in the treatment group reported improved mood, reduced depression, and better sleep quality [101]. This effect of probiotic-induced elevation in mood was thought to be due in part to the increased levels of tryptophan and subsequent synthesis of serotonin in the brain [102, 103]. Lastly, probiotic supplementation was also shown to decrease constipation severity in PD individuals. In a double-blind randomized trial, 72 PD patients were either given a multi-strain capsule containing eight bacterial strains (*Lactobacillus acidophilus*, *Lactobacillus reuteri*, *Lactobacillus gasseri*, *Lactobacillus rhamnosus*, *Bifidobacterium bifidum*, *Bifidobacterium longum*, *Enterococcus faecalis*, *Enterococcus faecium*) or a placebo maltodextrin capsule for four weeks. PD patients that received the probiotic capsules had more spontaneous bowel movements and improved their quality of life in regard to constipation symptoms compared to those that received the placebo capsule [104]. Given that the striatum is heavily affected in PD with a decrease in dopamine levels, the use of certain probiotic strains could potentially be effective in helping PD patients. This suggests that probiotic treatments may prolong dopaminergic neuronal survival in the brain and alleviate motor and non-motor impairments [100, 105].

### Prebiotics

Like probiotics, prebiotics can be used to modify the gut bacteria composition within the gut and



promote health benefits. In contrast to probiotics, prebiotics are not living organisms and are referred to as “selective fermented ingredients that result in specific changes, both in the composition and/or activity of the gastrointestinal microbiota that confers benefits” [106]. Prebiotics are composed of dietary fiber that cannot be processed in the upper GI tract and must travel down to the lower GI tract where they are fermented in the colon [107]. While prebiotics are considered dietary fibers, not all dietary fibers are prebiotics. Once in the colon, prebiotics can be broken down by *Lactobacilli* and *Bifidobacteria* to enhance gut barrier function, prevent pathogenic bacteria from occupying space in the GI tract and enhance SCFA levels such as acetate and butyrate [107]. These effects were demonstrated in humans in a clinical trial (NCT02784145) as Becker and colleagues [108] showed the effectiveness of using prebiotic resistant starch (RS) after 8 weeks in PD patients as butyrate concentrations increased and levels of intestinal inflammatory marker, calprotectin, decreased in their stool samples. In addition, a significant improvement in depressive symptoms were reported whereby Becker et al. [108] suggested this outcome was likely attributable to increased butyrate levels. Despite the therapeutic effects of RS, there is conflicting evidence published regarding the use of RS given that improvement of GI function is not always observed [109]. Whereas Becker and colleagues [108] suggest that differences in outcomes may be owing to RS types that were used, along with the duration of the studies (8 weeks vs. 12 weeks). The effects of prebiotics have also been demonstrated *in vitro* and *in vivo* whereby several *in vitro* studies have shown beneficial outcomes linked with butyrate where it acts by protecting dopaminergic neurons from apoptosis [110] and also reduces cellular inflammatory markers [111]. Meanwhile, *in vivo* studies have also shown butyrate to improve intestinal and BBB permeability [112, 113], prevent aSyn aggregation [105], and alleviate motor deficits induced in PD animal models [112, 114]. These studies suggest that the consumption of prebiotics may benefit PD pathological outcomes.

#### *Fecal microbial transplantation*

Fecal microbial transplantation (FMT) refers to the transfer of healthy gut microbiota from a healthy donor in a fecal suspension into the recipient’s gut to re-establish a healthy gut microbial community [115]. Currently, FMT treatment is generally con-

sidered safe with few short adverse effects (e.g., bloating, abdominal pain, nausea, and diarrhea) and long-term adverse effects (e.g., obesity, irritable bowel syndrome, and rheumatoid arthritis) [116]. Over the decade, FMT has gained interest in treating gastrointestinal diseases to which in 2013 the Food and Drug Administration (FDA) had issued a statement requiring physicians to submit an Investigational New Drug (IND) application for the use of FMT in patients. With feedback from the medical community, the FDA eased up regulations with FMT and allowed its use to patients who do not respond to standard therapy for *Clostridium difficile* infections (CDI) [117]. Nonetheless, the FDA is still imposing tight regulations with rigorous screening and informed consent to patients receiving FMT as cases of pathogenic bacteria were found in stool bank samples and the potential risk of SARS-CoV-2 RNA transmission from infected individuals [118]. Demonstrated in a clinical trial, fecal samples from 25 participants were frozen and stored prior to their stem cell transplantation. After being given antibiotics to prevent bacterial infection, participants either received auto-FMT treatment or standard care and were reevaluated for their gut microbiome compositions. From their results, 79% of participants that received auto-FMT restored 75% or more of their original bacteria composition, whereas 27% of participants that received standard care had their gut microbiota composition similar to their original fecal sample [119]. FMT treatment is primarily used to treat *Clostridium difficile* infections, but FMT treatment has spread to treat various diseases that are also affected by gastrointestinal disorders such as PD [120]. In a preliminary study, fifteen PD patients received FMT treatment, whereby 10 patients received FMT via colonoscopy (colonic FMT group) and the remaining 5 patients received FMT via nasal-jejunal tube (nasointestinal FMT group). Compared to the colonic FMT group, the nasointestinal FMT group did not report improvement in their non-motor symptoms such as anxiety and depression after a 1- and 3-month follow up. Whereas PD patients in the colonic FMT group reported more satisfaction with the treatment and out of the 15 FMT patients, only 5 patients reported adverse effects from FMT that included diarrhea, flatulence, and abdominal pain [115]. Recently, more evidence for this therapy to restore the microbial communities months after treatment has emerged. In a study by Kuai et al. [121], PD patients that received FMT reported an improvement in motor

and non-motor symptoms. In addition, the abundance of *Prevotella* and butyrate-producing bacteria, *Faecalibacterium* and *Blautia*, increased after FMT, while the abundance of *Bacteroidetes* decreased. In PD animal models, the use of FMT treatment re-established a healthy microbiome in the gut and alleviated the intestinal and BBB permeabilities [122, 123]. In a study by Zhao et al. [123], FMT treatment was neuroprotective in a rotenone-induced PD mouse model. More specifically, FMT treatment prevented dopaminergic neuronal loss and inhibited aSyn aggregation in the SN, leading to an improvement in motor deficits that were otherwise induced by rotenone administration. In another *in vivo* study, MPTP-treated mice that received FMT partly recovered striatal neurotransmitter levels such as dopamine and serotonin and alleviated motor dysfunction in mice [122]. Furthermore, FMT treatment in animals was shown to reduce the number of activated microglia and astrocytes in the SN and decrease the level of neuroinflammation via the inhibition of the TLR4/TANK-binding kinase 1 (TBK1)/TNF- $\alpha$  signaling pathway. Given the FDA's recommendation that requires rigorous screening protocols prior to FMT use as a way to minimize the transmission of pathogenic bacteria, along with the beneficial effects demonstrated in the studies above (like the restoration of a healthy microbiota), FMT treatment may provide a safe therapeutic alternative to PD patients.

## CONCLUSIONS

Although the presence of motor symptoms is necessary for the diagnosis of PD, it may be equally important to investigate non-motor symptoms as early indicators of this disease. Accordingly, emerging evidence has described that within the gut, dysbiosis of the microbiota can potentially increase the risk of inflammation, gut permeability, and induce aSyn aggregation. However, an important caveat to consider in human studies is that the beneficial outcomes that were described are self-reported. Hence, more research is needed to provide direct evidence that bacterial mechanisms can contribute to PD symptomatology. This leads to future research aimed at identifying specific bacterial strains that initiate PD pathology may be a viable therapeutic approach for the treatment of this disease. Taken together, by focusing on restoring a healthy microbiome composition, in addition to combating the microbial metabolites that interact with the environ-

ment that promote a pro-inflammatory state or induce aSyn misfolding, some of the symptoms like irritable bowel syndrome and constipation would likely be improved if not alleviated. This would mark the start to the improvement of QoL for the patients which has been a long-standing goal of the healthcare industry.

## CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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